

Empirical performance bounds for quantum approximate optimization

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Abstract

The quantum approximate optimization algorithm (QAOA) has been put forth as a method for near-term quantum computers to solve optimization problems. However, assessments of QAOA performance have mostly focused on small structured problem instances while performance on more general instances is less clear. Here, we numerically simulate QAOA pure state dynamics for every instance of MaxCut on non-isomorphic unweighted graphs with nine or fewer vertices with depth parameters p < 3. We find the approximation ratios and optimized circuit parameters concentrate across graphs of a given size and empirically show increases in concentration as graph size increases. The parameter concentration leads to two median-angle heuristics that overcome difficulties in QAOA parameter optimization and obtain mean approximation ratios within 3% and 0.2% of the optimal. We also analyze the probability to measure an optimal solution and find increasing variations between graphs as depth increases, in stark contrast to the approximation ratios which concentrate as depth increases. The resulting benchmark data set gives empirical bounds for on-going experimental realizations and lays groundwork for theoretical extensions to greater problem sizes and depths where QAOA may prove important for practically relevant problems.

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1 Introduction

Noisy, intermediate-scale quantum (NISQ) computers may soon solve problems of practical importance with a quantum computational advantage [2,3]. One promising approach uses the quantum approximate optimization algorithm (QAOA) [4–18] or its variants [19–25] to find approximate solutions to combinatorial optimization problems. By using alternating layers of a mixing operator and a cost operator, QAOA promises to prepare an approximation to the quantum state that maximizes the expectation value of the cost operator [4]. Moreover, the cost operator may represent an instance of unconstrained combinatorial optimization, which opens the application of QAOA to a wide variety of practical but challenging computational problems, including the well-known graph problem MaxCut.

Alongside theoretical guarantees, the empirical performance of QAOA is an important open question for evaluating computational utility. The optimal number of alternating circuit layers as well as their tuning has been observed to vary with specific problem instances. Previous efforts examining MaxCut have tested performance in terms of the approximation ratio, which quantifies the average cost function value observed relative to the optimal value. These include studies on families of problem instances represented by 2-regular graphs [4–6], 3-regular graphs [7–11], small samples of random graphs [12,13], and small samples of graphs with various fixed symmetries [14]. Notably, Crooks has shown that, for some instances, QAOA exceeds the worst-case performance bounds set by the Goemans–Williamson algorithm [13], which yields the best conventional lower bound on the approximation ratio for Max-Cut. Theoretical arguments indicate QAOA will not exceed Goemans-Williamson for all instances [26,27], however, as a heuristic method it need not exceed this bound for every instance to be useful in practice. Similarly, a classical algorithm has been developed which can outperform QAOA on MaxCut when the depth parameter p = 1(to be defined shortly) [28], however, QAOA may still have practical utility as a heuristic when p > 1. This collection of results has encouraged further study of the more general circumstances under which QAOA may provide a practical improvement in performance.

An additional measure of QAOA performance is the resources required to prepare the optimized circuit layers. By design, the alternating layers of QAOA are tuned to prepare the approximate quantum state using parameterized gates optimized with respect to the observed cost value. However, the effort to identify these optimized circuits becomes intractable for large numbers of parameters. Previous studies have used optimization heuristics based on machine learning [13,14] and various numerical optimization algorithms [7–9,12,23]. These results have been limited to small sets of graphs, often with simple regular structures, and an open question is whether there are general heuristics that yield good parameters quickly and consistently.

Here, we use numerical simulations of pure state dynamics to quantify performance bounds of QAOA solving an exhaustive set of MaxCut instances for $n \le 9$ vertex graphs at depths $p \le 3$. The exhaustive problem-instance set gives a thorough and systematic account of QAOA behavior on MaxCut for small graphs. We also evaluate the effectiveness of circuit optimization using the Broyden–Fletcher–Goldfarb–Shanno (BFGS) algorithm [29] by benchmarking against exact optimization software and brute force solutions for all graphs at p = 1 and for graphs with $n \le 6$ vertices at p = 2. We confirm BFGS returns optimal angles for QAOA state preparation in all these cases. Ultimately, our simulation results reveal patterns in the optimized circuits that support heuristics for more efficient parameter selection.

Our characterizations of QAOA performance for solving MaxCut address the approximation ratio and the probability of obtaining the optimal result. While the former measure estimates the average cost function value returned by QAOA relative to the actual optimal value, the latter quantifies the probability to recover the optimal cut. We compile the results for each graph instance into a data set [1] that serves as a validated benchmark to support experimental testing of QAOA on NISQ devices as well as analyses of how specific graph structure features are correlated with performance, see Ref. [30].

The remainder of the presentation is organized as follows: we review QAOA in Sect. 2 and discuss our approach to variational circuit simulations in Sect. 3. We present results from these simulations in Sect. 4, including trends in the performance measures and gate parameters, before concluding with our key findings in Sect. 5.

2 Quantum approximate optimization algorithm

The quantum approximate optimization algorithm (QAOA) is a variational algorithm designed to find good approximate solutions to combinatorial optimization problems [4]. A problem instance is specified by a cost function C(z) with $z = (z_{n-1}, z_{n-2}, ..., z_0)$ and $z_j \in \{0, 1\}$. QAOA recovers a candidate solution z^* and the quality of this candidate can be quantified by the normalized value of the cost function

$$\mathcal{R}(z^*) = \frac{C(z^*)}{C_{\max}}.$$
(1)

Here,

$$C_{\max} = \max \ C(z) \tag{2}$$

is the globally optimal value with optimal solution

$$z_{\max} = \arg\max_{z} C(z) \tag{3}$$

and $\mathcal{R}(z_{\text{max}}) = 1$ while $\mathcal{R} < 1$ otherwise.

QAOA encodes the bitstring z as a quantum state with respect to the computational basis $|z_j\rangle \in \{|0\rangle, |1\rangle\}$ such that $|z\rangle = |z_{n-1}, \ldots, z_0\rangle$. The cost function C(z) is expressed as the operator \hat{C} that is diagonal in the computational basis with matrix

elements

$$\langle z|\hat{C}|z\rangle = C(z). \tag{4}$$

The initial state of QAOA is taken as a uniform superposition of the computational basis states

$$|\psi_0\rangle = \frac{1}{\sqrt{2^n}} \sum_{z=0}^{2^n - 1} |z\rangle$$
 (5)

that is transformed by a series of p unitary operations as

$$|\psi_p(\boldsymbol{\gamma}, \boldsymbol{\beta})\rangle = \left(\prod_{q=1}^p \hat{U}(\hat{B}, \beta_q) \hat{U}(\hat{C}, \gamma_q)\right) |\psi_0\rangle \tag{6}$$

with variational angle parameters $\boldsymbol{\gamma} = (\gamma_1, \dots, \gamma_p)$ and $\boldsymbol{\beta} = (\beta_1, \dots, \beta_p)$. The first unitary operator

$$\hat{U}(\hat{C},\gamma_q) = \exp(-i\gamma_q\hat{C}) \tag{7}$$

applies a C(z)-dependent phase to each of the computational basis states. The second unitary

$$\hat{U}(\hat{B},\beta_q) = \exp(-i\beta_q\hat{B}),\tag{8}$$

applies coupling in the computational basis as

$$\hat{B} = \sum_{j=0}^{n-1} \hat{X}_j,$$
(9)

where \hat{X}_j is the Pauli X operator on qubit j. The latter transitions between the $\{|z\rangle\}$ depend on the C(z)-dependent phases from $\hat{U}(\hat{C}, \gamma_q)$ and the angle parameters (γ, β). QAOA selects these parameters to maximize the value $\langle \hat{C} \rangle$, as discussed in more detail in Sect. 2.1.

Measurement of the state $|\psi_p(\boldsymbol{\gamma}, \boldsymbol{\beta})\rangle$ in the computational basis yields each $z_j \in \{0, 1\}$ and therefore a candidate solution z^* . The probability to observe a specific z is given by the Born rule

$$P(z) = |\langle z | \psi_p(\boldsymbol{\gamma}, \boldsymbol{\beta}) \rangle|^2.$$
(10)

Following measurement, the observed result z is used to calculate the cost C(z). Repeating the sequence of preparation and measurement approximates the distribution of z given by Eq. (10). The proposed solution z^* may then be selected as the argument that yields the largest cost function as defined by Eq. (1).

As shown by Farhi et al. [4], the probability that a measurement returns the optimal solution z_{max} converges to unity as the QAOA depth p goes to infinity. At finite p there are theoretical arguments for worst-case performance [26,27,31], e.g., for certain bipartite graphs. Apart from worst-case performance bounds, less is known about the performance of QAOA at finite p, where studies suggest a modest p may suffice for achieving a quantum computational advantage [8,13].

2.1 Gate parameter optimization

Finding optimal solutions, or good approximations, to Eq. (1) requires optimizing the angle parameters that tune each QAOA layer. A standard approach to optimizing these angles is to pick an initial set of values ($\boldsymbol{\gamma}^{(0)}, \boldsymbol{\beta}^{(0)}$) and then prepare and measure many copies of the state $|\psi_p(\boldsymbol{\gamma}^{(0)}, \boldsymbol{\beta}^{(0)})\rangle$ to estimate the expectation value of the cost function operator as

$$\langle \hat{C}(\boldsymbol{\gamma}, \boldsymbol{\beta}) \rangle = \sum_{z} P(z)C(z).$$
 (11)

Analytically, P(z) depends on $|\psi_p(\boldsymbol{\gamma}, \boldsymbol{\beta})\rangle$ through Eq. (10).

When using an optimization algorithm, such as gradient descent or BFGS [29], new angles $(\boldsymbol{\gamma}^{(1)}, \boldsymbol{\beta}^{(1)})$ are tested for increases in $\langle \hat{C} \rangle$. After $(\boldsymbol{\gamma}^{(1)}, \boldsymbol{\beta}^{(1)})$ have been selected, the process is repeated with the new angles: prepare and measure a set of states $|\psi_p(\boldsymbol{\gamma}^{(1)}, \boldsymbol{\beta}^{(1)})\rangle$, send the results $\{z\}$ to a classical computer to calculate an estimate of $\langle \hat{C}(\boldsymbol{\gamma}^{(1)}, \boldsymbol{\beta}^{(1)}) \rangle$, then pick new angles to try with the quantum computer. The optimization process is repeated until a set of angles is found to maximize $\langle \hat{C} \rangle$. States with the optimized angles are repeatedly prepared and measured to sample z and identify a solution z^* that gives the best approximation.

Previous studies have found patterns in the optimized parameters that can potentially simplify gate-angle optimization. Zhou et al. [7] examined regular graphs and developed parameter optimization approaches for deep circuits using linear interpolation or discrete cosine and sine transformations of parameters from lower depths. Brandão et al. [10] have argued that parameters should be transferable between graphs with sufficiently similar structure, suggesting that approaches like that of Zhou et al. may be applicable within any families of structured graphs. Other studies suggest that parameter patterns apply more generally between varying types of graphs, as observed in small samples of random graphs [12,13], or perhaps even between varying problems and QAOA-like algorithms, as in an approach to Max-*k* Vertex Cover using a variant formulation of QAOA [23]. Together these studies suggest that parameter patterns may be generic and important in simplifying optimization for QAOA. However, it is unclear if these patterns will extend over general graphs or if they are byproducts of the structures and small samples of graphs examined so far.

In addition to the angle parameters, a depth parameter p defines a given state preparation circuit and instance of QAOA. Higher depths theoretically give better

performance [4], but they also require more computationally intensive optimizations. It is therefore efficient to use the smallest depth needed to obtain a desired quality of solution. Previous studies have characterized performance for 2-regular graphs at arbitrary depths [4,5] and established performance bounds for 3-regular graphs at several low depths [11]. For general graphs, an analytical expression that characterizes performance has been derived for the lowest-possible depth p = 1 [5,6] and the relationship between depth and performance under specific parameter schedules has been examined for graphs with varying symmetry [14]. Worst-case instances [26,31] and complexity theory bounds [27] also place limitations on QAOA performance as a universal approach to optimization. However, much less is known about QAOA performance on general graphs at depths p > 1 and which depths suffice for applications.

2.2 Characterizing QAOA performance

The quality of a solution z^* is characterized by the normalized cost function in Eq. (1). In QAOA, z^* depends on measurements that probabilistically sample the computational basis states following Eq. (10). Therefore, we characterize QAOA performance using the quantum approximation ratio

$$r = \frac{\langle \hat{C}(\boldsymbol{\gamma}, \boldsymbol{\beta}) \rangle}{C_{\max}},\tag{12}$$

where $\langle \hat{C}(\boldsymbol{\gamma}, \boldsymbol{\beta}) \rangle$ is the cost as in Eq. (11). The quantity *r* estimates the approximation ratio with respect to measurements of $|\psi_p(\boldsymbol{\gamma}, \boldsymbol{\beta})\rangle$. Previously, Farhi et al. have argued that measured bitstrings z^* yield $\mathcal{R}(z^*) \geq r$ with high probability. They used the variance $\langle C(z)^2 \rangle - \langle C(z) \rangle^2$ to bound the mean cost value $\langle C \rangle_{\text{meas}}$ for a set of measurement samples to within one of the theoretical mean, $\langle C \rangle - 1 \leq \langle C \rangle_{\text{meas}} \leq \langle C \rangle + 1$. This implies that at least one measured sample z^* must have $C(z^*) \geq \langle C \rangle - 1$, hence $\mathcal{R}(z^*) \geq r - 1/C_{\text{max}} \approx r$, cf. Sec. III of Ref. [4]. The quantum *r* is thus related to the classical notion of an approximation ratio as a minimum bound on performance for the optimization algorithm.

A second way to characterize QAOA quantifies the probability to obtain an optimal solution z_{max} from Eq. (3). To be clear, QAOA is an approximation algorithm and it is not expected to always return an optimal solution. The optimal solution probability is of interest because it gives the likelihood of obtaining the best possible outcome of using QAOA. In general, there can be multiple optimal solutions, which we denote as the set of *k* optimal solutions $\{z_{\max}^i\}_{i \in [k]}$, and the probability of obtaining an optimal solution is

$$P(C_{\max}) = \sum_{i=1}^{k} P(z_{\max}^{i}),$$
(13)

where $P(z_{\text{max}}^i)$ is the probability of z_{max}^i from Eq. (10). Letting P_s be a desired threshold to recover the max cut, the minimal number of samples N_s to observe a

cut that maximizes the cost function is given by

$$N_s = \frac{\log{(1 - P_s)}}{\log{(1 - P(C_{\max}))}},$$
(14)

since the probability to observe no maximum cut in N_s samples is $P' = (1 - P(C_{max}))^{N_s}$ and $P' = 1 - P_s$.

2.3 MaxCut

MaxCut partitions a graph *G* such that the number of edges shared between the partitions is maximized. Let G = (V, E) with $V = \{n - 1, ..., 0\}$ the set of vertex labels and $E = \{\langle j, k \rangle : j, k \in V\}$ the set of unweighted edges. For each vertex $j \in V$, a cut $z = (z_{n-1}, ..., z_0)$ assigns a binary label $z_j \in \{0, 1\}$ that denotes the corresponding set. We express the cost function for MaxCut as a sum of pair-wise clauses

$$C(z) = \sum_{\langle j,k \rangle} C_{\langle j,k \rangle}(z)$$
(15)

with

$$C_{\langle j,k \rangle}(z) = z_j + z_k - 2z_j z_k = \begin{cases} 1 & z_j \neq z_k \\ 0 & z_j = z_k \end{cases}$$
(16)

An individual clause $C_{(j,k)}(z)$ is maximized when two vertices j, k connected by an edge are assigned to opposing sets, and the purpose of MaxCut is to find a cut z_{\max} that maximizes Eq. (15). There are always at least two maximum cuts since the $C_{(j,k)}$ is invariant under $0 \leftrightarrow 1$ for all the bits z_j in any z.

From Eq. (4), the cost function is cast as a sum of operators for the edges

$$\hat{C} = \sum_{\langle j,k \rangle} \hat{C}_{\langle j,k \rangle},\tag{17}$$

with edge operators

$$\hat{C}_{\langle j,k\rangle} = \frac{1}{2} \left(\hat{\mathbb{1}} - \hat{Z}_j \hat{Z}_k \right), \tag{18}$$

where $\hat{1}$ is the identity operator and \hat{Z}_j is the Pauli Z operator on qubit j. The notation $\hat{Z}_j \hat{Z}_k$ uses an implicit tensor product with the identity operator on the Hilbert space of unlisted qubits.

| Table 1 The number of connected non-isomorphic | п | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|------------------------------------------------------------------|----|---|---|---|----|-----|-----|--------|---------|
| graphs N_n grows with the number of vertices n | Nn | 1 | 2 | 6 | 21 | 112 | 853 | 11,117 | 261,080 |

3 Simulations of QAOA

We simulate QAOA to solve MaxCut of all connected non-isomorphic graphs with $n \leq 9$ vertices [32]. We only consider connected graphs since solutions for any disconnected graph can be constructed from separate solutions of the connected graph components. The number of graphs N_n grows rapidly with the number of vertices n, as shown in Table 1.

3.1 Global optimal solutions for p = 1

We first optimize instances of QAOA for depth p = 1 using the expectation value of the cost function operator

$$\langle \hat{C}(\gamma_1, \beta_1) \rangle = \sum_{\langle j, k \rangle} \langle \hat{C}_{\langle j, k \rangle}(\gamma_1, \beta_1) \rangle$$
(19)

Previously, Hadfield derived a general expression for $\langle \hat{C}_{(i,k)} \rangle$ at depth p = 1 as [6]

$$\langle \hat{C}_{\langle j,k \rangle}(\gamma_1,\beta_1) \rangle = \frac{\sin(4\beta_1)\sin(\gamma_1)\left(\cos^d(\gamma_1) + \cos^e(\gamma_1)\right)}{4} - \frac{\sin^2(2\beta_1)\cos^{d+e-2f}(\gamma_1)\left(1 - \cos^f(2\gamma_1)\right)}{4} + \frac{1}{2}, \qquad (20)$$

where $d = \deg(j) - 1$ and $e = \deg(k) - 1$ are one less than the degrees of the vertices j and k connected by the edge $\langle j, k \rangle$ and f is the number of triangles containing $\langle j, k \rangle$.

We maximize $\langle \hat{C}(\gamma_1, \beta_1) \rangle$ using the numerical optimization software Couenne [33] [?], which optimizes problems of the form

$$\max F(\mathbf{x}, \mathbf{y})$$
s.t. $p_i(\mathbf{x}, \mathbf{y}) \le 0 \qquad \forall i \in [n]$
 $\mathbf{x} \in \mathbb{R}^n$
 $\mathbf{y} \in \mathbb{Z}^n.$

$$(21)$$

Here, we specify the continuous variable $\mathbf{x} = (\gamma_1, \beta_1)$ and the integer variable \mathbf{y} is not used. The function $F(\mathbf{x}, \mathbf{y})$ then corresponds with Eq. (19) while the polynomial constraints $p_i(\mathbf{x})$ are not included since there are no constraints on the angles. Couenne simplifies this problem by reformulating it with auxiliary variables. Then, a convex relaxation of the reformulated problem is found and solved using branch and bound

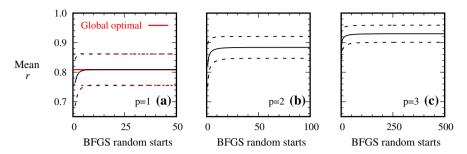


Fig. 1 Convergence of multi-start BFGS optimization for the 853 graphs with n = 7 vertices and depths p = 1, 2, 3 in (**a–c**), respectively. Solid black lines show the mean approximation ratio r over the graphs and black dashed lines show \pm one standard deviation. For p = 1 BFGS converges to the global optimal solutions from Couenne in red

techniques. The process is repeated until an optimal solution is recovered [33] [?]. The results provide globally optimal instances of QAOA for p = 1.

3.2 Numerical searches for general p

For QAOA depth p > 1, we use the Broyden–Fletcher–Goldfarb–Shanno (BFGS) optimization algorithm [29]. BFGS has been used previously in a wide variety of contexts including QAOA [7]. BFGS begins with an initial set of angles and then iteratively finds angles that converge to a local maximum of $\langle \hat{C}(\boldsymbol{\gamma}, \boldsymbol{\beta}) \rangle$. Each iteration is determined by the numerical gradient of $\langle \hat{C} \rangle$ and an approximate Hessian second-derivative matrix that is constructed from the gradient at successive steps. Using the approximate Hessian when calculating the steps gives faster convergence than first-order methods while also avoiding the computational expense of calculating the exact Hessian.

Apart from our conventional optimization approach with BFGS, it is noteworthy that other quantum-specific optimization approaches [34–36] and theoretical results [37] have been topics of ongoing development in the literature. These methods purport to improve on aspects of the variational parameter optimization, and may therefore be of interest in future studies of QAOA. However, for the problems addressed here, BFGS suffices to obtain perfect agreement with exact results in all cases we test, as detailed below.

We test convergence of BFGS using random initial angles and monitoring the local maximum of $\langle \hat{C} \rangle$. We repeat the initialization procedure a fixed number of times that varies with depth p. We use 50 random-angle seeds when p = 1, 100 random seeds when p = 2, and 500 seeds when p = 3. As shown in Fig. 1a, the average and standard deviation of the quantum approximation ratio at p = 1 quickly converges to the solution obtained using Couenne. We compared results from BFGS to results from Couenne for every graph with $n \le 8$ vertices and found exact agreement out to the 6-digit precision of Couenne. We were unable to verify solutions at n = 9 due to numerical issues with Couenne, so instead we checked these results using brute force searches, thereby resolving the issue of being unable to verify with Couenne.

Note these numerical issues are not with BFGS but with the Couenne approach for checking optimality of BFGS. We are not aware of any numerical issues with BFGS, or the results in this paper, which are computed with BFGS. As shown in Fig. 1b, c, we observe similar behavior in convergence for the cases of p = 2 and 3.

We extend our validation of BFGS for n = 9 with p = 1 and $n \le 6$ at p = 2 using brute force search. We evaluate $\langle \hat{C} \rangle$ for all $(\gamma_1, \gamma_2, \beta_1, \beta_2)$ on a grid with spacing $\pi/100$ for each angle. We found both BFGS and brute force return values for the optimal $\langle \hat{C} \rangle$ to within an additive factor of 10^{-2} . As BFGS consistently recovers larger maxima, we attribute these differences to coarse-graining in the brute force method. We conclude that BFGS is finding globally optimal results at p = 2 for these graphs.

To verify our results are consistent for n > 6 with p = 2 and for all n with p = 3, we run BFGS calculations a second time using different sets of 100 or 500 random seeds. We observe increases in $\langle \hat{C} \rangle$ after the second round of BFGS for less than 1% of graphs at each n and p. We conclude that BFGS typically finds globally optimal solutions at these higher n and p though it is unclear how deviations may grow beyond p = 3.

3.3 Symmetry analysis

The optimized angles recovered by BFGS exhibit a variety of symmetries, in which multiple angle solutions give the same optimized expectation value of the cost function. We simplify these results by systematically reporting a single assignment for the optimized angles to compare the distributions of angles recovered across different graph instances. Symmetry analysis proves essential for revealing patterns in the optimized angles discussed in Sects. 4.3–4.4. We summarize how we use the symmetries below with detailed descriptions of the symmetries deferred to "Appendix."

Many of these observed symmetries are identified by extending the analysis of Zhou et al. for regular graphs [7], which we apply more broadly to graphs where each vertex has even degree or each vertex has odd degree. Specifically, the angles β_q are periodic over intervals of $\pi/2$ and, without loss of generality, may always transform to the interval $-\pi/4 \leq \beta_q \leq \pi/4$ for all q. We always set $\beta_1 < 0$ following the "time-reversal" symmetry that follows from the dynamics in Eq. (6). There are no symmetries in γ_q for generic graphs, so generally these have $-\pi \leq \gamma_q \leq \pi$ for all q. For graphs where every vertex has even degree or every vertex has odd degree, we use symmetries to always transform to angles in the half-intervals $-\pi/2 \leq \gamma_q \leq \pi/2$. A small number of graphs have additional symmetries and for these we report angles with the most component (γ_q , β_q) pairs inside the intervals

$$\Gamma = [-\pi/2, 0], \quad \mathbf{B} = [-\pi/4, 0].$$
 (22)

4 QAOA simulation results

We analyze QAOA performance on the MaxCut problem for graphs with various numbers of vertices n at various depths p using the two performance measures of

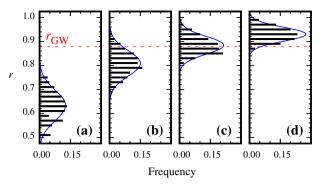


Fig. 2 Distributions of the approximation ratios *r* for graphs with n = 7 vertices. (**a**–**d**) show p = 0, ..., 3, respectively, the red dashed line shows the worst-case approximation ratio from the Goemans–Williamson algorithm r_{GW}

| Table 2 Mean \bar{r} , standard deviation σ , and complimentary | p | ī | σ | $\bar{F}_{0.7}$ | $\bar{F}_{0.8}$ | Ē _{0.9} |
|-------------------------------------------------------------------------------------------------------------|---|-------|-------|-----------------|-----------------|------------------|
| cumulative distribution functions $\bar{F}_R(r)$ of Eq. (23) for the <i>r</i> distributions in Fig. 2 | 0 | 0.633 | 0.063 | 0.116 | 0.004 | 0.000 |
| | 1 | 0.809 | 0.053 | 0.986 | 0.551 | 0.039 |
| 6 | 2 | 0.884 | 0.037 | 1.000 | 1.000 | 0.321 |
| | 3 | 0.930 | 0.029 | 1.000 | 1.000 | 0.825 |

Sect. 2.2. We also discuss patterns in the observed optimized angle distributions and we construct a search heuristic that uses these patterns to reduce the computational expense of parameter optimization.

4.1 Approximation ratio

Our first measure of QAOA performance on MaxCut is the approximation ratio r from Eq. (12). We present the distribution of r across graphs with various n and p. Figure 2 shows an example of the distribution of r for all 853 graphs with n = 7 vertices for depths p = 0, ..., 3 in panels (a)–(d), respectively. Note that p = 0 corresponds to the initial state in Eq. (5). Trends for other n are similar and described further below. In Fig. 2, the width of the plotted bars is smaller than the histogram bin width w = 0.02 to clearly visualize the distributions. Each panel also overlays a Gaussian distribution $(w/\sqrt{2\pi\sigma^2}) \exp(-(r-\bar{r})^2/2\sigma^2)$ using the mean \bar{r} and standard deviation σ in Table 2 as calculated from the observed distributions of r.

Figure 2a shows r for the initial states of Eq. (5). Every z is equally probable in these states, so r is equivalent to the approximation ratio obtained by averaging random cuts from the uniform distribution. This cuts half the edges in E on average, $\langle \hat{C} \rangle = E/2$, while the maximum number of cuts is bounded by the total number of edges, $C_{\text{max}} \leq E$, so $r = \langle \hat{C} \rangle / C_{\text{max}} \geq 1/2$.

Figure 2b–d shows similar distributions of the *r* for p = 1, 2, 3, respectively. These are well described by Gaussian distributions for each *p* and *r* is approaching one as

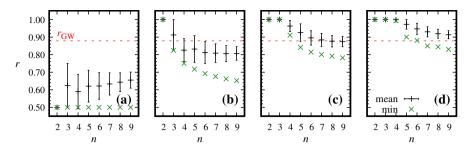


Fig.3 Mean *r* across all graphs with various numbers of vertices *n* and depths *p*. (**a**–**d**) show p = 0, ..., 3, respectively. Error bars represent standard deviations of the *r*, the dashed red line represents the worst-case approximation ratio r_{GW} of the Goemans–Williamson algorithm, and crosses show the smallest observed *r*

p increases. QAOA instances also perform more similarly with increasing depth as indicated by the decrease in standard deviation of *r*.

We further quantify increases in *r* with *p* by calculating $\overline{F}_R(r)$ as the fraction of graphs with approximation ratio *r* that exceeds a threshold *R*. This is given by the complimentary cumulative distribution function

$$\bar{F}_R(r) = \frac{1}{N_n} \sum_{G(n)} \Theta(r - R), \qquad (23)$$

where N_n is the number of non-isomorphic connected graphs with *n* vertices, $\sum_{G(n)}$ is the sum over these graphs, and Θ is the Heaviside step function. Table 2 presents $\overline{F}_R(r)$ for n = 7 with R = 0.7, 0.8, and 0.9. Almost all graphs exceed $r \ge 0.7$ at p = 1 and more than half exceed $r \ge 0.8$. At p = 2, all graphs have r > 0.8 while most graphs have r > 0.9 at p = 3. We note that the latter instances exceed the worst-case lower bound for the approximation ratio of $r_{GW} = 0.87856$ set by the Goemans–Williamson algorithm [38], which represents the best universal lower bound from a conventional algorithm.

We analyzed the distribution of r for all $n \le 9$. Similar trends are observed for all n: the mean r over the set of graphs increases as p increases and the distributions become narrower in terms of the standard deviation. The distributions of r over different graphs at the same n are well described by Gaussian distributions when $n \ge 7$, while for n < 7the small numbers of graphs yield more irregular histogram distributions.

Figure 3 shows the mean and minimum of r as a function of n for p = 0, ..., 3in panels (a)–(d), respectively. Averages for p = 0 are approximately 2/3 for all nwhile the standard deviation decreases with increasing n due to the number of graphs sampled increasing as N_n . For $p \neq 0$ in Fig. 3b–d, the smallest graphs reach an optimal r = 1 while larger graphs decay to steady values of r < 1 as n increases. There is not much variation in the mean or standard deviation of r between nearby n, especially at the largest n, so larger n might be expected to return similar r distributions. As pincreases, at each n the mean r becomes larger and the standard deviations become smaller, which is consistent with the trends at n = 7 seen in Fig. 2. The mean r all exceed the Goemans–Williamson r_{GW} by at least a standard deviation at p = 3. The

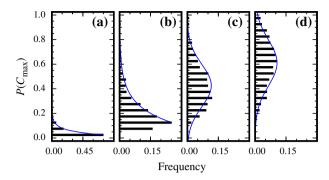


Fig. 4 Distributions of probabilities to obtain the maximum cut $P(C_{\text{max}})$ for graphs with n = 7 vertices. (a-d) show p = 0, ..., 3, respectively

| Table 3 Mean $\overline{P}(C_{\text{max}})$, standard deviation σ , and | р | $\bar{P}(C_{\max})$ | σ | $\bar{F}_{0.25}$ | $\bar{F}_{0.50}$ | $\bar{F}_{0.75}$ |
|----------------------------------------------------------------------------------------|---|---------------------|-------|------------------|------------------|------------------|
| complimentary cumulative distribution functions | 0 | 0.046 | 0.051 | 0.009 | 0.001 | 0.000 |
| $\bar{F}_R(P(C_{\text{max}}))$ similar to | 1 | 0.211 | 0.132 | 0.278 | 0.035 | 0.009 |
| Eq. (23) for the $P(C_{\text{max}})$ | 2 | 0.426 | 0.172 | 0.835 | 0.318 | 0.046 |
| distributions in Fig. 4 | 3 | 0.610 | 0.187 | 0.975 | 0.715 | 0.252 |

minimum values of the r, however, do not exceed the Goemans–Williamson bound at large n for the p in the figure; the worst-case graphs for each n and p are shown in Supplemental Information. For a detailed analysis of QAOA performance and how it relates to graph structure, based on the data set developed here, we refer the reader to Ref. [30]. For all data considered here, see Ref. [1].

4.2 Probability of the maximum cut

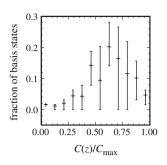
We next assess the performance of QAOA in terms of the probability for obtaining the maximum cut, $P(C_{\text{max}})$. Figure 4 shows an example of the normalized distribution of the $P(C_{\text{max}})$ for the 853 graphs with n = 7 at varying depths p. Statistics describing these distributions are given in Table 3.

The distribution for p = 0 shown in Fig. 4a peaks around $P(C_{\text{max}}) \approx 0$ with a rapid decrease away from zero, as expected. An exponential fit for this histogram distribution yields $\exp(-\kappa_0 P(C_{\text{max}}))$ with $\kappa_0 = 29.1 \pm 0.9$. At p = 0, each possible cut is equally probable in the initial state and there are 2^n possible cuts with at least two maximum cuts. This implies the bound

$$P_0(C_{\max}) \ge 1/2^{n-1},$$
 (24)

where the "0" subscript refers to the initial state. The minimum value $P_0(C_{\text{max}}) = 1/2^{n-1}$ gives the main contribution to the lowest bar in Fig. 4a while higher values are obtained for those graphs with additional symmetries, for example, in a complete graph where symmetry gives $n'!/[(n'/2)!^2]$ maximum cuts, where n' = n for n even

Fig. 5 Average spectrum of the normalized cost function $C(z)/C_{\text{max}}$ for graphs with n = 7 vertices



and n' = n + 1 for *n* odd. The peak around $P_0(C_{\text{max}}) = 1/2^{n-1}$ indicates that large degeneracies like this are uncommon in the total set of graphs.

Figure 4b shows $P(C_{\text{max}})$ when p = 1. We fit this distribution with an exponential starting at the second histogram bar and similar to the p = 0 distribution. This yields a smaller decay constant $\kappa_1 = 7.0 \pm 0.3$. Panels (c) and (d) show the distributions for p = 2 and 3, respectively. These distributions are better described by Gaussian distributions with means and standard deviations presented in Table 3. The means increase with p and the distributions widen indicating greater differences between graphs at larger p. The graphs pass thresholds $P(C_{\text{max}}) > R$ gradually, as seen by $\overline{F_R}(P(C_{\text{max}}))$.

We contrast distributions of $P(C_{\text{max}})$ against distributions of the quantum approximation ratio r in Fig. 2 and Table 2. The mean of $P(C_{\text{max}})$ increases the least when going from p = 0 to p = 1, while by contrast the mean of r increases the most in going from p = 0 to p = 1. The exponential and Gaussian distributions are also significantly different at small p. At higher p, $P(C_{\text{max}})$ and r both follow Gaussian distributions but there are differences in how their widths change with p, with increasing differences between different graphs for $P(C_{\text{max}})$ and the opposite for r.

We explain why distributions of r have high averages and narrow widths when concurrently $P(C_{\text{max}})$ presents broad widths and relatively small averages. Consider the normalized eigenspectrum \hat{C}/C_{max} of energies $C(z)/C_{\text{max}}$. For each n, we made a histogram of $C(z)/C_{\text{max}}$ for each graph, then average these values to obtain an average spectrum for $C(z)/C_{\text{max}}$. Figure 5 shows the average spectrum of $C(z)/C_{\text{max}}$ at n = 7vertices with each data point indicating the fraction of basis states in a histogram bin of width 1/12 averaged over all graphs with n = 7. The results look similar for other $n \ge 6$ while the distributions for $n \le 5$ are irregular due to small numbers of graphs. We show error bars in the figure denoting the first and third quartiles of the distributions of the fractions of basis states, calculated by listing the fractions for each graph in increasing order then taking the entries 1/4 and 3/4 of the way up the list, rounded to the nearest integer.

Most basis states give sub-optimal cuts $C(z)/C_{\text{max}} < 1$ in the average spectrum of Fig. 5, with the majority of states in the range $2/3 \leq C(z)/C_{\text{max}} \leq 0.95$ and with few optimal states at $C(z)/C_{\text{max}} = 1$. Thus, optimization of *r* in state preparation favors large total probabilities in many states with near-optimal $C(z)/C_{\text{max}}$ over the smaller probability of preparing truly optimal states. This gives relatively high and uniform *r* for different graphs but a much more variable $P(C_{\text{max}})$.

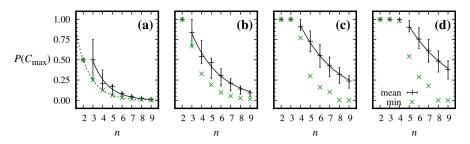


Fig. 6 Probabilities for obtaining the maximum cut $P(C_{\text{max}})$, averaged over graphs at various *n*, with p = 0, ..., 3 in (**a**-**d**), respectively. Asymmetric error bars show the quartiles of the distributions of $P(C_{\text{max}})$, the dashed black line in (**a**) follows $1/2^{n-1}$ from Eq. (24), crosses show minimum values, solid lines show the exponential fits of Eq. (25) to the indicated data, with parameters from Table 4

| Table 4 Parameters for theexponential fits of Eq. (25) in | p | N_p | k _p |
|------------------------------------------------------------------|---|---------------|-----------------|
| Fig. 6 | 0 | 3.2 ± 0.8 | 0.63 ± 0.07 |
| | 1 | 2.3 ± 0.2 | 0.34 ± 0.02 |
| | 2 | 2.6 ± 0.1 | 0.260 ± 0.008 |
| | 3 | 2.6 ± 0.2 | 0.210 ± 0.008 |

Figure 6 shows how the average of $P(C_{\text{max}})$ varies for the distribution of graphs at various *n* and *p* using asymmetric error bars expressed as quartiles. The mean of $P(C_{\text{max}})$ decreases exponentially with *n* as shown by the blue fit to the averages

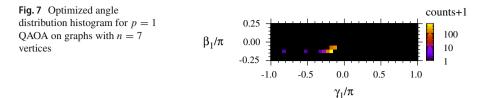
$$P_{\text{mean}}^{\text{fit}}(C_{\text{max}}) = N_p e^{-k_p n}$$
(25)

with the fit parameters in Table 4. We fit to a subset of the data at each p to include only the largest n where decay is observed, and we show the n we fit at each p by the location of the fit curve.

At p = 0 the first quartiles follow the minimum of Eq. (24), shown by the dashed black line. This indicates many of the graphs saturate the minimum theoretical value of $P_0(C_{\text{max}})$. As *n* increases, the averages and quartiles of the $P(C_{\text{max}})$ distributions approach small values near the minimum of Eq. (24).

Figure 6b shows the averages and quartiles of the distributions of $P(C_{\text{max}})$ at p = 1. The average $P(C_{\text{max}})$ have increased relative to p = 0 and are again decreasing exponentially with n, but the rate of exponential decay k_p is about half of the rate at p = 0, see Table 4. The error bars denoting quartiles reduce for n = 3, as these graphs are approaching full optimization. For higher n the error bars increase to indicate there are larger deviations between different graphs at p = 1.

The same trends continue at higher p: the average $P(C_{\text{max}})$ increases with p, with decreasing exponential decay constants in Table 4. The distributions widen as p increases at large n, indicating $P(C_{\text{max}})$ is increasingly sensitive to graph structure. This is in contrast to the distributions of the r, which narrow as p increases, with more similar r for different graphs.



4.3 Optimized angle distributions

We next discuss the distribution of optimized angle parameters shown in Figs. 7, 8, and 9 for the 853 graphs at n = 7. These figures present two-dimensional histograms of the angle distributions, where the optimized (γ_j, β_j) have been organized into bins of size $\pi/20 \times \pi/20$ and counted. We use a logarithmic color scale to visualize the distributions and add a base of one counts in each bin so the logarithm does not diverge when there are zero counts.

Patterns have been observed previously in the optimized angles for solving simple families of graphs. Optimized angle patterns have been observed for 3-regular graphs [7], small samples of random graphs [12,13], and even in a variant formulation of QAOA solving the Max-k Vertex Cover problem [23]. An argument has been given that angle patterns should be expected within families of graphs with systematic structure [10]. However, it is not clear if similar angle patterns hold for over the set of general graphs considered here.

4.3.1 Angle patterns at *p* = 1

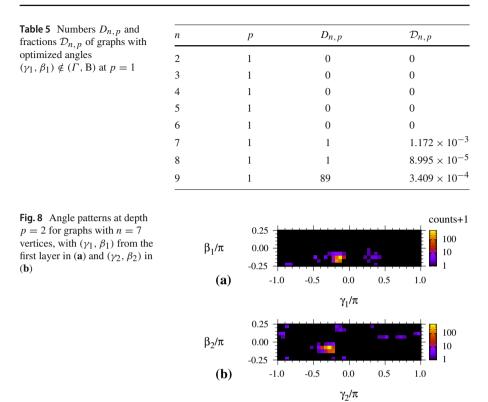
Figure 7 shows the distribution of optimized angles for all graphs with n = 7 vertices at depth p = 1 in Eq. (6). The overwhelming majority of the angles are focused in the bright spot near $\gamma_1 \approx -\pi/6$, $\beta_1 \approx -\pi/8$. This concentration is generic for all n studied: a very limited range of angles (γ_1 , β_1) are observed to optimize almost all graphs with p = 1.

We categorize the optimized angles using a partitioning of parameter space. Using $\Gamma = [-\pi/2, 0]$ and $B = [-\pi/4, 0]$ from Eq. (22), we say angle-pairs (γ_j, β_j) inside (Γ , B) follow the angle patterns and say angle-pairs outside (Γ , B) deviate from the pattern. Categorizing by (Γ , B) quantifies how many graphs have angles that follow the observed patterns in Fig. 7 at p = 1 and also extends to categorizing graphs when p > 1.

Let $D_{n,p}$ be the number of *n*-vertex graphs that deviate from the angle patterns at depth *p*. At p = 1, $D_{n,p}$ is the number of graphs with $(\gamma_1, \beta_1) \notin (\Gamma, B)$. For general *p*, $D_{n,p}$ is the number of graphs with $(\gamma_j, \beta_j) \notin (\Gamma, B)$ for any *j*. Let

$$\mathcal{D}_{n,p} = \frac{D_{n,p}}{N_n} \tag{26}$$

be the fraction of *n*-vertex graphs that deviate from the patterns at depth *p*, where the N_n is the total number of graphs from Table 1. We calculate the $D_{n,p}$ using a numerical



error tolerance of $\epsilon = 10^{-5}$, so that γ_j that are in Γ to within numerical error are counted as $\gamma_j \in \Gamma$, and similarly for the β_j .

Table 5 lists $D_{n,p}$ and the fractions $\mathcal{D}_{n,p}$ of graphs that do not follow the angle patterns at p = 1 for $n \leq 9$. Every graph follows the pattern with $n \leq 6$, a small number of graphs deviate beginning with n = 7. The number of graphs that deviate increases with n, but they are a small fraction of the total number of graphs.

4.3.2 Angle patterns at p > 1

Figure 8 shows the distributions of optimized angles for all graphs with n = 7 vertices with depth p = 2. The observed (γ_1, β_1) differ from the values at p = 1 because these angles are optimized together with (γ_2, β_2) at p = 2. The majority of (γ_1, β_1) are still concentrated near $\gamma_1 \approx -\pi/6$, $\beta_1 \approx -\pi/8$ as for p = 1, but the distribution is more dispersed within (Γ , B) in comparison with Fig. 7. There is a new cluster of angles at $\gamma_1 \approx \pi/3$ with a spread over all the β_1 (recall $\beta_1 \le 0$ by the symmetry of Sect. 3.3), and a small cluster of graphs with angles $\gamma_1 \approx -9\pi/10$, $\beta_1 \approx -\pi/4$.

Figure 8b shows the distribution of the second layer of angles (γ_2, β_2) . The majority of angles follow a peaked distribution in the parameter space of (Γ, B) . The distribution is shifted from the distribution at p = 1 to new angles $\gamma_2 \approx -\pi/4$ and $\beta_2 \approx -\pi/13$.

| n | р | $\mathcal{D}_{n,p}^{(1)}$ | $\mathcal{D}_{n,p}^{(2)}$ | $\mathcal{D}_{n,p}^{(12)}$ | $\mathcal{D}_{n,p}$ |
|---|---|---------------------------|---------------------------|----------------------------|------------------------|
| 2 | 2 | 0.000 | 0.000 | 0.000 | 0.000 |
| 3 | 2 | 0.000 | 5.000×10^{-1} | 0.000 | 5.000×10^{-1} |
| 4 | 2 | 0.000 | 0.000 | 1.667×10^{-1} | 1.667×10^{-1} |
| 5 | 2 | 0.000 | 1.429×10^{-1} | 4.762×10^{-2} | 1.905×10^{-1} |
| 6 | 2 | 0.000 | 2.679×10^{-2} | 8.036×10^{-2} | 1.071×10^{-1} |
| 7 | 2 | 4.689×10^{-3} | 2.579×10^{-2} | 1.290×10^{-2} | 4.338×10^{-2} |
| 8 | 2 | 4.498×10^{-4} | 5.847×10^{-3} | 4.678×10^{-3} | 1.097×10^{-2} |
| 9 | 2 | 4.596×10^{-5} | 1.360×10^{-3} | 9.652×10^{-4} | 2.371×10^{-3} |

Table 6 Fractions of graphs with optimized angles that deviate from the angle-pattern parameter space (Γ, B) at p = 2, see text for details

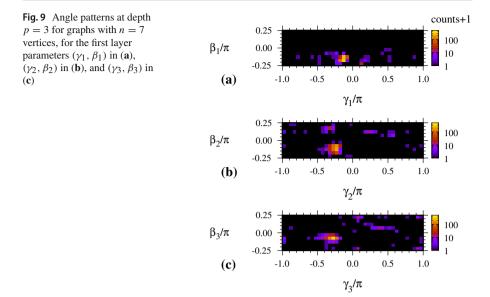
There are also subsets of graphs with angles that form clusters around seemingly random parameter values.

We again categorize the optimized angles as agreeing with the pattern when they are inside the parameter space of (Γ , B) from Eq. (22) and deviating from the pattern when they are outside (Γ , B) to within numerical error. The total fraction of graphs that deviate from the pattern is $\mathcal{D}_{n,p}$ from Eq. (26). We further separate the $\mathcal{D}_{n,p}$ into components to understand which (γ_i , β_i) deviate and their correlations at p = 2, 3.

Let $\mathcal{D}_{n,p}^{(j)}$ denote the fraction of graphs that deviate only in the *j*th angle pair, $(\gamma_j, \beta_j) \notin (\Gamma, B)$ and $(\gamma_k, \beta_k) \in (\Gamma, B)$ for all $k \neq j$. Let $\mathcal{D}_{n,p}^{(jk)}$ denote the fraction of graphs where two angle pairs deviate, $(\gamma_j, \beta_j) \notin (\Gamma, B)$ and $(\gamma_k, \beta_k) \notin (\Gamma, B)$ but $(\gamma_l, \beta_l) \in (\Gamma, B)$ for all $l \notin \{k, j\}$, and similarly let $\mathcal{D}_{n,p}^{(jkl)}$ denote the fraction of graphs where the *j*th, *k*th, and *l*th angle-pairs deviate from (Γ, B) . The total fraction of graphs that deviate from the angle patterns is the sum of fractions that deviate in different sets of angle pairs, for example, at p = 2 the total fraction of graphs that deviate is the sum of fractions that deviate in one or both angle pairs, $\mathcal{D}_{n,p} = \mathcal{D}_{n,p}^{(1)} + \mathcal{D}_{n,p}^{(2)} + \mathcal{D}_{n,p}^{(12)}$.

Table 6 shows the fractions of graphs that deviate from the angle patterns at p = 2. The total fraction of graphs that deviate $\mathcal{D}_{n,p}$ typically decreases as *n* increases, however, the $\mathcal{D}_{n,p}$ is also increasing with *p* as seen by comparison with Table 5. The angles deviate most often in (γ_2, β_2) or in both (γ_1, β_1) and (γ_2, β_2) , with $\mathcal{D}_{n,p}^{(2)} \approx \mathcal{D}_{n,p}^{(12)}$, while deviations in only (γ_1, β_1) are observed less often, with $\mathcal{D}_{n,p}^{(1)} < \mathcal{D}_{n,p}^{(2)}$, $\mathcal{D}_{n,p}^{(12)}$.

Figure 9 shows the optimized angle distributions at p = 3 and n = 7; Table 7 shows the fractions of graphs that deviate from (Γ , B). These continue the pattern: the majority of angles are concentrated in a single cluster in (Γ , B) at each layer, with additional small clusters of angles distributed unpredictably over the parameter space. The fractions of graphs in these clusters increases with p. Deviations from the angle patterns occur most often in the final layer of angles and in combinations connecting the final layer with earlier layers, with relatively large $\mathcal{D}_{n,p}^{(3)} \approx \mathcal{D}_{n,p}^{(23)} \approx \mathcal{D}_{n,p}^{(12)}$. Typically, smaller fractions of graphs deviate in the earlier layers only, as in $\mathcal{D}_{n,p}^{(1)}$, $\mathcal{D}_{n,p}^{(2)}$, and $\mathcal{D}_{n,p}^{(12)}$, or in disjoint layers, as in $\mathcal{D}_{n,p}^{(13)}$. The total fractions of graphs that deviate from the patterns $\mathcal{D}_{n,p}$ decreases with n and increases with p.



The deviations in the clusters of angles away from (Γ, B) appears to be due to differences in graph structure. We have confirmed that optimal angles deviate from (Γ, B) for some graphs. While parameter optimization is limited by the sampling of random seeds with BFGS, these deviations are not attributed to limits on sampling. We expect the clusters contain graphs with similar structures, with more graphs in the clusters at higher p indicating a greater sensitivity to graph structure features. In Supplemental Information, we show an example of the cluster at $\gamma_1 > 0$ for n = 7and p = 2 from Fig. 8a. The graphs show similarities, such as an axis of reflection symmetry, which may be related to their deviations in the angles. Our line of reasoning, that similar graphs are optimized with similar angles, is consonant with the previous theoretical analysis of Brandão et al. [10] who analyzed angles for graphs drawn from similar distributions; see also Shaydulin et al. [14,39] who have used graph symmetries in an approach for predicting QAOA angles. A new result brought out by our approach is that angles that optimize a graph at a given p are not necessarily close to the angles that optimize the same graph at p + 1. However, for most graphs at the n and p tested here, the angle variations are minimal and contained in (Γ , B). This motivates a heuristic approach to identifying optimized angles for most graphs, developed in the next section.

4.4 Median angles

We consider how patterns of optimized angles may identify good approximate angles for most graphs and greatly reduce the computational cost of searching for angles with BFGS. Similar uses of angle patterns have been considered for 3-regular graphs [7], families of structured graphs [10], and small samples of random graphs [13].

| Tablé | 7 Frac | Table 7 Fractions of graphs with | optimized angles the | optimized angles that deviate from the region (Γ, \mathbf{B}) at $p = 3$, similar to Tables 5 and 6 | spion (Γ, \mathbf{B}) at $p =$ | 3, similar to Tables 5 | 5 and 6 | | |
|-------|--------|----------------------------------|------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------|----------------------------|----------------------------|-----------------------------|------------------------|
| и | р | $\mathcal{D}_{n,p}^{(1)}$ | ${\cal D}_{n,p}^{(2)}$ | $\mathcal{D}_{n,p}^{(3)}$ | $\mathcal{D}_{n,p}^{(12)}$ | $\mathcal{D}_{n,p}^{(13)}$ | $\mathcal{D}_{n,p}^{(23)}$ | $\mathcal{D}_{n,p}^{(123)}$ | $\mathcal{D}_{n,p}$ |
| 7 | 3 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| 3 | 3 | 0.000 | 5.000×10^{-1} | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 5.000×10^{-1} |
| 4 | Э | 0.000 | 0.000 | 0.000 | 1.667×10^{-1} | 0.000 | 1.667×10^{-1} | 1.667×10^{-1} | $5.000 	imes 10^{-1}$ |
| 5 | Э | 0.000 | 4.762×10^{-2} | 9.524×10^{-2} | 0.000 | 4.762×10^{-2} | 2.381×10^{-1} | 4.762×10^{-1} | 4.762×10^{-1} |
| 9 | 33 | 8.929×10^{-3} | 0.000 | 6.250×10^{-2} | 8.929×10^{-3} | 1.786×10^{-2} | $1.250 	imes 10^{-1}$ | 8.036×10^{-2} | 3.036×10^{-1} |
| ٢ | 3 | 0.000 | 1.172×10^{-3} | 3.517×10^{-2} | $3.517 	imes 10^{-3}$ | 2.345×10^{-3} | $5.627 	imes 10^{-2}$ | 3.048×10^{-2} | 1.290×10^{-1} |
| 8 | 3 | 0.000 | 4.498×10^{-4} | 1.079×10^{-2} | $5.397 	imes 10^{-4}$ | 9.895×10^{-4} | 1.430×10^{-2} | 1.934×10^{-2} | 4.642×10^{-2} |
| 6 | 3 | 0.000 | 6.511×10^{-5} | 2.731×10^{-3} | 4.979×10^{-5} | 1.264×10^{-4} | 2.214×10^{-3} | 6.764×10^{-3} | 1.195×10^{-2} |
| | | | | | | | | | |

| β_1/π | β_2/π | β_3/π | γ_1/π | γ_2/π | γ_3/π |
|---------------|---------------|---------------|----------------|----------------|----------------|
| -0.15244 | -0.10299 | -0.06517 | -0.12641 | -0.24101 | -0.27459 |

Table 8 Median optimized angles at n = 7 and p = 3, shown to five decimal places

Table 9 Mean and standard deviations of the differences between quantities r_m , r_{mB} , $P_m(C_{max})$, and $P_{mB}(C_{max})$ calculated with the median angles and quantities r and $P(C_{max})$ calculated from BFGS optimizations with random seeds, see text for details

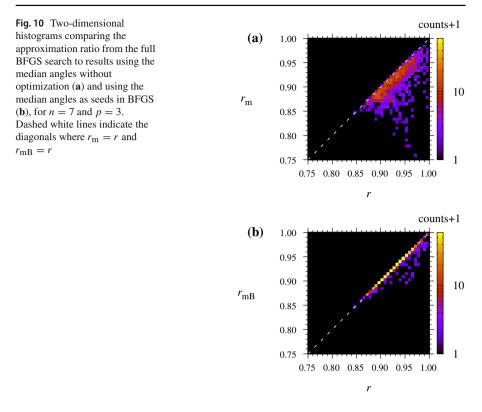
| | $r - r_{\rm m}$ | $r - r_{\rm mB}$ | $P(C_{\max}) - P_{\mathrm{m}}(C_{\max})$ | $P(C_{\max}) - P_{\mathrm{mB}}(C_{\max})$ |
|--------------------|-------------------------|-------------------------|------------------------------------------|-------------------------------------------|
| Mean | 2.2434×10^{-2} | 1.4198×10^{-3} | 7.2206×10^{-2} | 1.2641×10^{-2} |
| Standard deviation | 2.4664×10^{-2} | 6.2716×10^{-3} | 1.0585×10^{-1} | 5.9882×10^{-2} |

We define a set of angles that follows the angle patterns by first taking the median γ_1 over all γ_1 for graphs with n = 7 and p = 3, then define similar median γ_j and β_j for each *j* to obtain the set of angles shown in Table 8. We consider two approaches to QAOA that use these angles to avoid the computationally expensive random seeding of the standard BFGS approach. The first approach uses the median angles in the evolution of Eq. (6) without any optimization, results from this approach are denoted r_m and $P_m(C_{max})$. The second approach uses the median angles as seeds in a single BFGS optimization, results from the median angle approaches at n = 7 and p = 3 to our previous results from BFGS optimization with hundreds of random seeds. The results are visualized using two-dimensional histograms on a logarithmic color scale.

Figure 10a compares the standard r from the full BFGS search to the r_m from the median angles without any optimization. The results are concentrated near the diagonal, shown by the white dotted line, and the mean and standard deviation of the difference $r - r_m$ is small, as seen in Table 9. The median angles r_m give a good approximation to the r from the full BFGS search.

Figure 10b compares the standard *r* against the $r_{\rm mB}$ from single BFGS optimizations using the median angles as seeds. The $r_{\rm mB}$ are significantly improved in comparison with the $r_{\rm m}$, with 87% of the results satisfying $r_{\rm mB} = r$ up to an additive factor of 10^{-6} , with significantly reduced mean and standard deviation of the difference $r_{\rm mB} - r$ in Table 9. The approximation ratio from the single runs of BFGS with the median angle seeds are typically identical or close to results from the BFGS search with random seeds and are calculated at a small fraction of the computational expense.

Figure 11 assesses the probability of obtaining the maximum cut in the median angle approaches. The $P_m(C_{max})$ in Fig. 11a roughly follow the $P(C_{max})$ along the diagonal but with some considerable spread below the diagonal; note the difference in scale in comparison with the *r* in Fig. 10. There is also some spread above the diagonal, indicating the median angles give higher probabilities for the maximum cut for some graphs. The mean and standard deviation of the difference $P_m(C_{max}) - P(C_{max})$ is shown in Table 9; they are small but larger than the corresponding values for $r - r_m$. Overall, the median angles give probabilities for the maximum cut that

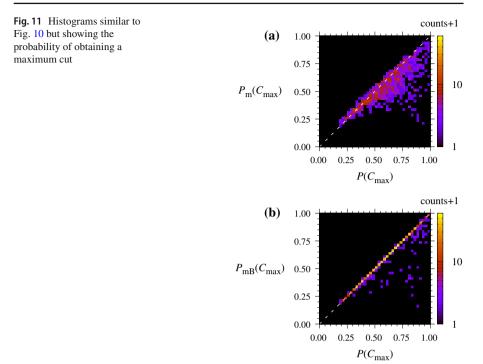


are typically close to the full BFGS results, but the probabilities are more sensitive to graph structures and vary significantly for some graphs.

Figure 11b shows $P_{\rm mB}(C_{\rm max})$, where the median angles have been used in a single BFGS optimization. The results are much closer to the diagonal than in Fig. 11a and the mean and standard deviation of the difference $P(C_{\rm max}) - P_{\rm mB}(C_{\rm max})$ are smaller than the corresponding quantities with $P_{\rm m}(C_{\rm max})$. In comparison with the $r_{\rm mB}$, we again see the probability of obtaining the maximum cut is more sensitive to the choice of angles, with greater deviations for some graphs in the figures and a larger mean and standard deviation of the difference in Table 9. However, for most graphs the median angles work very well, with 87% of graphs obtaining identical probabilities for the maximum cut using the median angles as seeds in BFGS and using the much more computationally expensive search over random seeds.

5 Conclusions

We have presented results that identify empirical performance bounds on optimized instances of QAOA for MaxCut. Using numerical simulations, we investigated an exhaustive set of MaxCut instances for depths $p \le 3$ on graphs with $n \le 9$ vertices. We calculated optimal solutions using exact numerical search and brute force search



at p = 1 and 2 and validated results from BFGS at depths $p \le 3$. The catalog of graph instances, optimized angles, and simulated states are available online [1].

Our analysis used the approximation ratio r and the probability for obtaining a maximum cut $P(C_{\text{max}})$ as measures of QAOA performance. We observed that r becomes more similar across graph structures as p and n increase and the Gaussian distributions of r narrow. Most graphs at $n \le 9$ exceed the worst-case Goemans–Williamson bound by p = 3 in these narrow distributions, indicating viability of modest-depth QAOA to outperform the lower GW bound in many (though not all) instances. A detailed analysis of correlations between graph features and QAOA performance, using this data set, has been presented in Ref. [30].

In contrast to the narrowing distributions of r, distributions of $P(C_{\text{max}})$ were found to broaden with increasing p. We attributed the difference to design of the cost function and its corresponding spectrum. A preponderance of nearly optimal states skews the optimization of r away from the much smaller set of truly optimal states. While this yields a large, uniform value for r, it leaves $P(C_{\text{max}})$ less constrained. One alternative is to focus optimization on state preparation to ensure the largest values of $P(C_{\text{max}})$, for example by choosing gate angles that optimize a nonlinear function such as $\langle \exp(\alpha \hat{C}) \rangle$ [24]. For p > 0, we observed an exponential decay in $P(C_{\text{max}})$ with respect to n. The rate constant, k_p , was found to decrease as p increases, and this raises the question as to whether such exponential behavior can predict performance metrics at larger n and p. The patterns observed in the optimized angles across this exhaustive set of instances mirror previous results for narrower cases [7,10,12,13,23]. Using these patterns as a search heuristic demonstrated high-quality results for most graphs and required a significantly smaller computational cost than BFGS search with random seeding. For larger *n* and *p*, this work suggests identifying median angles from optimizations on a small set of graphs and using these angles on varying graphs at the same *n* and *p*. If the angle distributions remain concentrated, then median angles from the small set of graphs should successfully transfer to the majority of other graphs. Future works could check on the validity of the heuristic at higher *n* and *p* by comparing against optimized results generated from other methods, such as BFGS or quantum natural gradient, or assess how graph features affect the success of angle transfer. Identifying the prevalence of angle patterns at larger *n* and *p* as well as the correlation with graph properties may enable new search heuristics, for example, for general QAOA-like algorithms, as suggested by the work of Cook et al. [23].

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Declarations

Conflict of interest None of the authors has a conflict of interest to declare that is relevant to the content of the paper.

Data and code Data and code from this study are available online [1].

A Angle symmetries

In this appendix, we discuss details of the angle symmetries from Sect. 3.3. Most of the symmetries have been described previously by Zhou et al. [7], although we note two of the symmetries they described for regular graphs apply more broadly to graphs where every vertex has even degree or every vertex has odd degree. Each symmetry relates different sets of angles $(\boldsymbol{\gamma}, \boldsymbol{\beta})$ and $(\boldsymbol{\gamma}', \boldsymbol{\beta}')$ that give the same approximation ratio in Eq. (11),

$$\langle \hat{C}(\boldsymbol{\gamma}, \boldsymbol{\beta}) \rangle = \langle \hat{C}(\boldsymbol{\gamma}', \boldsymbol{\beta}') \rangle, \qquad (27)$$

where

$$\boldsymbol{\gamma} = (\gamma_1, \ldots, \gamma_{q-1}, \gamma_q, \gamma_{q+1}, \ldots, \gamma_p),$$

$$\boldsymbol{\beta} = (\beta_1, \dots, \beta_{q-1}, \beta_q, \beta_{q+1}, \dots, \beta_p), \tag{28}$$

with $(\mathbf{\gamma}', \mathbf{\beta}')$ related to $(\mathbf{\gamma}, \mathbf{\beta})$ in different ways for the different symmetries. An example is periodic behavior of the β_q angles over intervals of $\pi/2$, we use this to restrict every β_q component to the interval $-\pi/4 \leq \beta_q \leq \pi/4$, as described by Zhou et al. There are a variety of additional symmetries we describe below, with derivations in subsequent subsections.

The first type of symmetry applies to graphs where every vertex has even degree. In this case the γ_q angles are periodic over intervals of π since all the eigenvalues of \hat{C} are even, as shown in detail in the next subsection. Thus the symmetry of Eq. (27) holds for any pair of angles with $\beta = \beta'$ and

$$\boldsymbol{\gamma}' = (\gamma_1, \dots, \gamma_{q-1}, \gamma_q \pm \pi, \gamma_{q+1}, \dots, \gamma_p), \tag{29}$$

where $\mathbf{\gamma}'$ differs from $\mathbf{\gamma}$ by a shift $\gamma_q' = \gamma_q \pm \pi$ for any q. We use this symmetry to organize the angle distributions so $|\gamma_q| \le \pi/2$ for all q for graphs where every vertex degree is even. We search for generic optimized angles in our implementation of the BFGS algorithm from Sect. 3.2, but if we find a $|\gamma_q| > \pi/2$ then we add or subtract π to get a $|\gamma_q'| \le \pi/2$.

The second type of symmetry applies to graphs where every vertex has odd degree. This gives a joint symmetry in both sets of angles in Eq. (27),

$$\boldsymbol{\gamma}' = (\gamma_1, \dots, \gamma_{q-1}, \gamma_q \pm \pi, \gamma_{q+1}, \dots, \gamma_p)$$
$$\boldsymbol{\beta}' = (\beta_1, \dots, \beta_{q-1}, -\beta_q, -\beta_{q+1}, \dots, -\beta_p). \tag{30}$$

In Eq. (30), γ' differs from γ in the *q*th component, $\gamma'_q = \gamma_q \pm \pi$ for any *q*, and β' differs from β in the sign of all subsequent components, $\beta'_r = -\beta_r$ for all $r \ge q$. The proof follows from Pauli operator commutation relations applied to the two unitary operators of Eqs. (7)–(8), as shown in detail later. In our calculations, we use this symmetry to organize the angles so $|\gamma_q| \le \pi/2$ for all *q* for graphs where every vertex degree is odd, similar to how we organize the angles when all the vertex degrees are even.

The third analytic symmetry we use is the "time-reversal" symmetry [7]

$$(\boldsymbol{\gamma}',\boldsymbol{\beta}') = (-\boldsymbol{\gamma},-\boldsymbol{\beta}). \tag{31}$$

The symmetry is related to the \hat{B} and \hat{C} operators in Eqs. (7)–(8) and the initial state $|\psi_0\rangle$ of Eq. (5), which have real-valued matrix elements and coefficients in the computational basis. We give a proof at the end of "Appendix." We use this symmetry to always transform to angles with $\beta_1 \leq 0$. The β_q for q > 1 can be positive or negative.

We find additional symmetries in the optimized angles for a small subset of graphs. For these, we report the angles with the most component-pairs $(\gamma_i, \beta_i) \in (\Gamma, B)$ from Eq. (22). Using the angles with the most components in (Γ, B) is designed to emphasize the angle patterns in Sect. 4.3. The number of graphs M(p) we used the

1*

| Table 10 Numbers of graphs $M(p)$ where we found | n | <i>M</i> (1) | <i>M</i> (2) | <i>M</i> (3) |
|----------------------------------------------------------------------------------------------------------------|---|--------------|--------------|--------------|
| degeneracies and used the rule of | 2 | 0 | _ | _ |
| saving the angles with the most components in (Γ , B) following Eq. (22), for various depths p | 3 | 0 | 1* | _ |
| | 4 | 0 | 2* | 0 |
| | 5 | 0 | 2* | 1* |
| | 6 | 0 | 1 | 3* |
| | 7 | 0 | 0 | 2* |
| | 8 | 0 | 1 | 1 |
| | | | | |

0

Numbers with asterisks denote all the graphs we used the rule on were fully optimized, dashes denote all the graphs were optimized at smaller depths

0

 (Γ, B) symmetry on is shown for each *n* and *p* in Table 10. The symmetry was used most often when a graph became fully optimized. For example, we found two sets of angles for an *n* = 3 graph that optimized the cost function $\langle \hat{C} \rangle = C_{\text{max}}$; we saved the angles with the most components in (Γ, B) . Asterisks in the table denote each graph which we used the rule on for that *n* and *p* was fully optimized. Note when graphs are optimized at some *p* we do not simulate them at depths p' > p, so they do not carry over to columns for greater depths in the table. Overall, the rule is applied to a very limited subset of the graphs we study.

A.1 Symmetry when all vertices have even degree

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When all the vertices have even degree, the angle components γ_q are periodic over intervals of π , as discussed around Eq. (29). To demonstrate equivalence of $\langle \hat{C} \rangle$ in Eq. (27) for the angles γ' from Eq. (29) and γ from Eq. (28), in the next paragraph we show \hat{C} of Eq. (17) has only even eigenvalues when each vertex has even degree. Then $C(z) = 2m_z$ for all z, where m_z is an integer. The periodicity over π follows since the matrix elements from the unitary operator $\hat{U}(\hat{C}, \gamma_q)$ of Eq. (7) are invariant under changes $\gamma_q \rightarrow \gamma_q \pm \pi$, since

$$\langle z | \hat{U}(\hat{C}, \gamma_q) | z \rangle = \exp(\mp i 2m_z \pi) \exp(-i 2m_z \gamma_q)$$

= $\langle z | \hat{U}(\hat{C}, \gamma_q \pm \pi) | z \rangle,$ (32)

where the factor $1 = \exp(\mp i 2m_z \pi)$ connects the two expressions.

To show the eigenvalues C(z) are all even when all the vertex degrees are even, we begin by showing there exists a single bitstring $z^{(0)}$ for which $C(z^{(0)})$ is even. Then we show that if C(z) is even for any z and all the vertex degrees are even, then modifying any bit z_k in the bitstring z to get a new bitstring z' will give a C(z') that is also even. Together these imply that every bitstring has even C(z) when all the vertex degrees are even, since any bitstring can be made from a series of modifications to $z^{(0)}$ and every modification gives an even C(z). First consider the zero bitstring $z^{(0)} = (0, 0, ..., 0)$. From Eqs. (15)–(16), this has $C(z^{(0)}) = 0$ which is even. Next consider an arbitrary bitstring $z = (z_{n-1}, z_{n-2}, ..., z_k, ..., z_0)$ for which C(z) is even. Suppose we flip a bit z_k to make a new bitstring $z' = (z_{n-1}, z_{n-2}, ..., z'_k, ..., z_0)$ where $z'_k \neq z_k$ but the $z_{j\neq k}$ are the same. The change $z_k \rightarrow z'_k$ will change the value of each $C_{(j,k)}$ from Eq. (16) that is associated with z_k , so that if $C_{(j,k)}(z) = 1$ then $C_{(j,k)}(z') = 0$ and vice-versa. The total number of edge terms $C_{(j,k)}$ can be separated into κ terms that increase in value and δ terms that decrease in value when $z \rightarrow z'$. The value of the cost function for z' can then be expressed as $C(z') = C(z) + \kappa - \delta$. The number of edge components $C_{(j,k)}$ that depend on z_k is even when each vertex degree is even, so $\delta + \kappa = 2m$ for some integer m. This implies that κ and δ are either both even or both odd; either way, the difference $\kappa - \delta$ is even. Since C(z) is even by assumption, $C(z') = C(z) + \kappa - \delta$ is also even.

We have shown there is a bitstring $z^{(0)}$ for which $C(z^{(0)})$ is even and we have shown changing any such bitstring gives a new C(z') which is also even. This implies C(z) is even for all z for every graph where each vertex degree is even. By Eq. (32), the angle components γ_q are periodic over intervals of π for these graphs.

A.2 Symmetry when all vertices have odd degree

When all the vertices have odd degree, there is a symmetry Eq. (27) for angle-pairs $(\boldsymbol{\gamma}, \boldsymbol{\beta})$ and $(\boldsymbol{\gamma}', \boldsymbol{\beta}')$ from Eqs. (28) and (30), respectively. Only graphs with an even number of vertices can have this symmetry since it is impossible to have a graph with an odd number of vertices where every vertex has odd degree. We prove the symmetry holds in a simple case with p = 1, the extension to p > 1 uses similar reasoning. We begin by considering the relations between the unitary operators with $(\boldsymbol{\gamma}, \boldsymbol{\beta})$ and $(\boldsymbol{\gamma}', \boldsymbol{\beta}')$, then use the analysis to relate the time evolution and probabilities P(z) for states with the different angles.

Let $\gamma'_1 = \gamma_1 \pm \pi$. The unitary operator $\hat{U}(\hat{C}, \gamma_1)$ from Eq. (7) is a product of unitary-operator components for each edge, a single component can be expressed as

$$\exp(-i\gamma_1 \hat{C}_{\langle j,k\rangle}) = \exp\left(-i\frac{\gamma_1}{2}(\hat{\mathbb{1}} - \hat{Z}_j \hat{Z}_k)\right)$$
$$= \exp\left(-i\frac{\gamma_1' \mp \pi}{2}\right)\exp\left(i\frac{\gamma_1' \mp \pi}{2} \hat{Z}_j \hat{Z}_k\right).$$
(33)

Separate out a term $\exp\left(\mp i(\pi/2)\hat{Z}_j\hat{Z}_k\right) = \mp i\hat{Z}_j\hat{Z}_k$ to obtain

$$\exp(-i\gamma_1 \hat{C}_{\langle j,k\rangle}) = e^{i\delta} \hat{Z}_k \hat{Z}_j \exp(-i\gamma_1' \hat{C}_{\langle j,k\rangle}), \tag{34}$$

where $e^{i\delta}$ is an overall phase.

Now consider the unitary operator $\hat{U}(\hat{C}, \gamma_1)$ of Eq. (7). Using Eq. (34), we have

$$\hat{U}(\hat{C},\gamma_1) = \prod_{\langle j,k \rangle} \exp(-i\gamma_1 \hat{C}_{\langle j,k \rangle})$$

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$$=e^{i\eta}\left(\prod_{j=0}^{n-1}\hat{Z}_{j}\right)\hat{U}(\hat{C},\gamma_{1}^{\prime}),\tag{35}$$

where $e^{i\eta}$ is a phase factor. The term $\prod_{j=0}^{n-1} \hat{Z}_j$ comes from the product of $\hat{Z}_j \hat{Z}_k$ for all the edges—each \hat{Z}_j is raised to an odd power in the product since each vertex degree is odd and using $(\hat{Z}_j)^2 = \hat{1}$ reduces this to $\prod_{j=0}^{n-1} \hat{Z}_j$.

We will use Eq. (35) to simplify the time evolution of $|\psi_p(\gamma_1, \beta_1)\rangle$ in Eq. (6). This includes the unitary operator $\hat{U}(\hat{B}, \beta_1)$ from Eq. (8), which shows up in the product $\hat{U}(\hat{B}, \beta_1)\hat{U}(\hat{C}, \gamma_1)$. Our next goal will be to use commutation relations to move the $\prod_{j=0}^{n-1} \hat{Z}_j$ to the left side of the product.

Express the $\hat{U}(\hat{B}, \beta_1)$ from Eq. (8) as

$$\hat{U}(\hat{B},\beta_1) = \prod_{j=0}^{n-1} \left(\cos(\beta_1) \hat{\mathbb{1}} - i \sin(\beta_1) \hat{X}_j \right).$$
(36)

Now consider the product of the unitary operators

$$\hat{U}(\hat{B},\beta_{1})\hat{U}(\hat{C},\gamma_{1}) = e^{i\eta} \prod_{j=0}^{n-1} \left(\cos(\beta_{1})\hat{\mathbb{1}} - i\sin(\beta_{1})\hat{X}_{j} \right) \\ \times \left(\prod_{j=0}^{n-1} \hat{Z}_{j} \right) \hat{U}(\hat{C},\gamma_{1}').$$
(37)

The Pauli operators anticommute so

$$\hat{U}(\hat{B},\beta_1)\hat{U}(\hat{C},\gamma_1) = e^{i\eta} \left(\prod_{j=0}^{n-1} \hat{Z}_j\right) \hat{U}(\hat{B},-\beta_1)\hat{U}(\hat{C},\gamma_1').$$
(38)

We are now ready to show the angles (γ_1, β_1) and (γ'_1, β'_1) give equivalent $\langle \hat{C} \rangle$ in Eq. (11), where $\gamma'_1 = \gamma_1 \pm \pi$ and $\beta'_1 = -\beta_1$. To demonstrate the equivalence we show the computational basis state probabilities P(z) are the same for both (γ_1, β_1) and (γ'_1, β'_1) .

The probability amplitude for a basis state $|z\rangle$ is

$$\langle z|\psi(\gamma_1,\beta_1)\rangle = \langle z|\hat{U}(\hat{B},\beta_1)\hat{U}(\hat{C},\gamma_1)|\psi_0\rangle.$$
(39)

Using Eq. (38), this can be expressed as

$$\langle z|\psi(\gamma_1,\beta_1)\rangle = \langle z|e^{i\eta} \left(\prod_{j=0}^{n-1} \hat{Z}_j\right) \hat{U}(\hat{B},\beta_1')\hat{U}(\hat{C},\gamma_1')|\psi_0\rangle$$

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$$=e^{i\eta}\langle z|\left(\prod_{j=0}^{n-1}\hat{Z}_{j}\right)|\psi(\gamma_{1}',\beta_{1}')\rangle$$
(40)

Squaring the amplitudes, we obtain

$$\begin{aligned} |\langle z|\psi(\gamma_1,\beta_1)\rangle|^2 &= \langle \psi(\gamma_1',\beta_1')| \left(\prod_{j=0}^{n-1} \hat{Z}_j^{\dagger}\right) |z\rangle\langle z| \\ &\times \left(\prod_{j=0}^{n-1} \hat{Z}_j\right) |\psi(\gamma_1',\beta_1')\rangle. \end{aligned}$$
(41)

The $\left(\prod_{j=0}^{n-1} \hat{Z}_j\right)$ can be moved to the left since it commutes with $|z\rangle\langle z|$, then using $\left(\prod_{j=0}^{n-1} \hat{Z}_j^{\dagger}\right) \left(\prod_{j=0}^{n-1} \hat{Z}_j\right) = \hat{1}$ gives

$$P(z) = |\langle z | \psi(\gamma_1, \beta_1) \rangle|^2 = |\langle z | \psi(\gamma'_1, \beta'_1) \rangle|^2,$$
(42)

where P(z) is the probability of $|z\rangle$ from Eq. (10). The P(z) are the same for (γ_1, β_1) and (γ'_1, β'_1) so $\langle \hat{C}(\gamma_1, \beta_1) \rangle = \langle \hat{C}(\gamma'_1, \beta'_1) \rangle$ in Eq. (11), which is the desired symmetry.

A.3 Time-reversal symmetry

We finally consider the "time-reversal" symmetry with the angles of Eqs. (28) and (31) in the symmetry relation Eq. (27) [7]. The symmetry is related to structures of the \hat{B} and \hat{C} operators, which have real-valued matrix elements in the computational basis in Eqs. (9) and (17), and the structure of the initial state $|\psi_0\rangle$, which has real-valued coefficients in the computational basis in Eq. (5). To demonstrate the symmetry we calculate generic computational basis probabilities P(z) for states with both sets of angles and show they are equal, thus the $\langle \hat{C} \rangle$ are equal following Eq. (11).

Consider the probability amplitude $\langle z | \psi_p(\boldsymbol{\gamma}, \boldsymbol{\beta}) \rangle$ for a single basis state $|z\rangle$. From Eqs. (5)–(8) this is

$$\langle z|\psi_p(\boldsymbol{\gamma},\boldsymbol{\beta})\rangle = \frac{1}{\sqrt{2^n}} \sum_{z'} \langle z| \left(\prod_{q=1}^p e^{-i\beta_q \hat{B}} e^{-i\gamma_q \hat{C}}\right) |z'\rangle.$$
(43)

Taking the complex conjugate of Eq. (43) only changes the sign of the angle terms since the \hat{B} and \hat{C} matrices have real-valued matrix elements in the computational basis, thus

$$\langle z|\psi_p(\boldsymbol{\gamma},\boldsymbol{\beta})\rangle^* = \langle z|\psi_p(-\boldsymbol{\gamma},-\boldsymbol{\beta})\rangle.$$
(44)

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The Born probabilities P(z) from Eq. (10) are the same for both states since

$$P(z) = |\langle z | \psi_p(\boldsymbol{\gamma}, \boldsymbol{\beta}) \rangle|^2 = |\langle z | \psi_p(\boldsymbol{\gamma}, \boldsymbol{\beta}) \rangle^*|^2$$
$$= |\langle z | \psi_p(-\boldsymbol{\gamma}, -\boldsymbol{\beta}) \rangle|^2, \tag{45}$$

so the $\langle \hat{C} \rangle$ are the same in Eq. (11).

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