

# A Montel Type Result for Super-Polyharmonic Functions on $\mathbf{R}^N$

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Received: 6 October 2009 / Accepted: 19 April 2010 / Published online: 1 May 2010  
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**Abstract** Our aim in this paper is to discuss a Montel type result for a family  $\mathcal{F}$  of super-polyharmonic functions on  $\mathbf{R}^N$ . We give a condition on spherical means to assure that  $\mathcal{F}$  contains a sequence converging outside a set of capacity zero.

**Keywords** Polyharmonic functions · Super-polyharmonic functions · Spherical means · Riesz decomposition

**Mathematics Subject Classifications (2010)** Primary 31B30 · 31B05 · 31B15

## 1 Introduction

A function  $u$  on an open set  $\Omega \subset \mathbf{R}^N$  ( $N \geq 2$ ) is called polyharmonic of order  $m$  in  $\Omega$  if  $u \in C^{2m}(\Omega)$  and  $\Delta^m u = 0$  on  $\Omega$ , where  $m$  is positive integer,  $\Delta$  denotes the Laplacian and  $\Delta^m u = \Delta^{m-1}(\Delta u)$ . We denote by  $\mathcal{H}^m(\Omega)$  the space of polyharmonic functions of order  $m$  on  $\Omega$ . For fundamental properties of polyharmonic functions,

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we refer the reader to the book by Aronszajn, Creese and Lipkin [3]. We say that a locally integrable function  $u$  on  $\Omega$  is super-polyharmonic of order  $m$  in  $\Omega$  if

- (1)  $(-\Delta)^m u$  is a nonnegative measure on  $\Omega$ , that is,

$$\int_{\Omega} u(x)(-\Delta)^m \varphi(x) dx \geq 0 \quad \text{for all nonnegative } \varphi \in C_0^\infty(\Omega);$$

- (2)  $u$  is lower semicontinuous in  $\Omega$ ;
- (3) every point of  $\Omega$  is a Lebesgue point of  $u$

(see [5]);  $(-\Delta)^m u$  is referred to as the Riesz measure of  $u$  and denoted by  $\mu_u$ . If  $(-\Delta)^m T \geq 0$  on  $\Omega$  in the sense of distribution, then one can find a super-polyharmonic function  $u$  of order  $m$  in  $\Omega$  such that

$$T = u \quad \text{in the sense of distribution.}$$

We denote by  $\mathcal{SH}^m(\Omega)$  the space of super-polyharmonic functions of order  $m$  on  $\Omega$ . In particular,  $u$  is biharmonic if  $u \in \mathcal{H}^2(\Omega)$  and  $u$  is super-biharmonic if  $u \in \mathcal{SH}^2(\Omega)$ . These spaces are important in connection with the Bergman space.

The open ball and the sphere centered at  $x$  with radius  $r$  are denoted by  $B(x, r)$  and  $S(x, r)$ , respectively. In particular, the unit ball  $B(0, 1)$  is written as  $\mathbf{B}$ . For a Borel measurable function  $f$  on  $\mathbf{R}^N$  and a Borel measure  $\nu$  on  $\mathbf{R}^N$ , we use the standard notation

$$\int_A f(y) d\nu(y) = \frac{1}{\nu(A)} \int_A f(y) d\nu(y)$$

for a Borel measurable set  $A$  with  $0 < \nu(A) < \infty$ , if the integral exists. When  $d\nu = dS$  and  $A = S(x, r)$ , we write

$$M(f, x, r) = \int_{S(x,r)} f(y) dS(y) = \frac{1}{\sigma_N r^{N-1}} \int_{S(x,r)} f(y) dS(y),$$

where  $\sigma_N$  is the surface area of a unit sphere. If  $x$  is the origin, then we write simply  $B(r) = B(0, r)$ ,  $S(r) = S(0, r)$  and  $M(f, r) = M(f, 0, r)$ .

In case  $0 < \alpha < N$ , we define the capacity of a set  $E \subset \mathbf{R}^N$  by

$$\text{Cap}_\alpha(E) = \inf \nu(\mathbf{R}^N),$$

where the infimum is taken over all nonnegative measures  $\nu$  such that

$$\int_{\mathbf{R}^N} |x - y|^{\alpha-N} d\nu(y) \geq 1 \quad \text{for every } x \in E.$$

In case  $\alpha = N$ , for  $R > 0$ , we define the (relative) capacity of a set  $E \subset B(R)$  by

$$\text{Cap}_N^{(R)}(E) = \inf \nu(\mathbf{R}^N),$$

where the infimum is taken over all nonnegative measures  $\nu$  such that

$$\int_{\mathbf{R}^N} \log \left( \frac{2R}{|x - y|} \right) d\nu(y) \geq 1 \quad \text{for every } x \in E;$$

we write  $\text{Cap}_N(E) = 0$  if

$$\text{Cap}_N^{(R)}(E \cap B(R)) = 0$$

for all  $R > 0$ . In case  $\alpha > N$ , we write  $\text{Cap}_\alpha(E) = 0$  if  $E$  is empty. For fundamental properties of capacity, we refer to Hayman-Kennedy [7], Landkof [11] and the last author [14].

The famous Montel’s theorem says that a family of analytic functions on complex plane  $\mathbf{C}$  which is uniformly bounded on each compact set is normal, see [16, Section 2.2]. Normal families of subharmonic functions were extensively discussed by Anderson and Baernstein [2], Kondratyuk and Tarasyuk [10] and Supper [18]. In this paper, we extend their results to super-polyharmonic functions.

Let  $\varphi$  be a positive continuous function on  $[0, \infty)$  such that

$$r^{2-2m}\varphi(r) \text{ is nondecreasing.} \tag{1.1}$$

Our main aim in this paper is to prove the following result.

**Theorem 1.1** *Let  $S_m$  be the set of all super-polyharmonic functions of order  $m$  in  $\mathbf{R}^N$  which are polyharmonic of order  $m$  in  $\mathbf{B}$ . Let  $\{u_n\}$  be a sequence in  $S_m$  such that*

$$M((-1)^m u_n^+, r) \leq \varphi(r) \quad \text{for all } r > 0 \text{ and } n. \tag{1.2}$$

*If in addition  $\{u_n\}$  is uniformly bounded on a neighbourhood of the origin, then there exist a subsequence  $\{u_{n_j}\} \subset \{u_n\}$  and  $u \in S_m$  satisfying the following assertions:*

- (a)  $\{u_{n_j}\}$  converges to  $u$  uniformly on each compact set  $K$  with  $K \cap \overline{\cup_j \text{supp}(\mu_{u_{n_j}})} = \emptyset$ ;
- (b) for all  $x \in \mathbf{R}^N$ ,

$$\liminf_{j \rightarrow \infty} u_{n_j}(x) \geq u(x);$$

- (c) there exists a set  $E \subset \mathbf{R}^N$  with  $\text{Cap}_{2m}(E) = 0$  such that for all  $x \in \mathbf{R}^N \setminus E$

$$\liminf_{j \rightarrow \infty} u_{n_j}(x) = u(x);$$

*in case  $N < 2m$ , one may take  $E$  as the empty set.*

**Theorem 1.2** *Let  $\{u_n\}$  be a sequence in  $S\mathcal{H}^m(\mathbf{R}^N)$  such that*

$$M(|u_n|, r) \leq \varphi(r) \tag{1.3}$$

*for all  $r > 0$  and  $n$ . Then there exist a subsequence  $\{u_{n_j}\} \subset \{u_n\}$  and  $u \in S\mathcal{H}^m(\mathbf{R}^N)$  satisfying (a), (b) and (c) in Theorem 1.1.*

For proofs of these results, we first show that the family  $\{\mu_{u_n}\}$  of Riesz measures is vaguely bounded in  $\mathbf{R}^N$ , and then apply the local Riesz representation result for  $\mathcal{SH}^m(\mathbf{R}^N)$ .

## 2 Normal Family of Polyharmonic Functions

It is well-known that a collection of harmonic functions on a domain  $\Omega$  which is uniformly bounded on each compact subset of  $\Omega$  is a normal family, see [4, Theorem 2.6.]. In this section, we extend this result to polyharmonic functions.

Let us begin with the following result.

**Lemma 2.1** (cf. [14, Lemma 4.5 of Section 8]) *Let  $k$  be a nonnegative integer. Then there is a positive constant  $C(N, k, m)$  depending only on  $N, k$  and  $m$  such that*

$$|\nabla^k h(x)| \leq C(N, k, m)r^{-k} \int_{B(a, 2r)} |h(y)| dy \quad (2.1)$$

for all  $x \in B(a, r)$  and  $h \in \mathcal{H}^m(B(a, 2r))$ , where  $\nabla^k$  denotes the gradient iterated  $k$  times.

As an application of Lemma 2.1, we discuss Montel's type result for a class of polyharmonic functions.

**Lemma 2.2** *Let  $R > 0$ . If  $\{h_n\}$  is a sequence in  $\mathcal{H}^m(B(2R))$  such that*

$$\sup_n \int_{B(2R)} |h_n(x)| dx < \infty, \quad (2.2)$$

then there exists a subsequence of  $\{h_n\}$  which converges uniformly on  $\overline{B(R)}$ .

*Proof* Using Lemma 2.1 with  $k = 0, 1$ , we can find a positive constant  $C(R)$  such that

$$\sup_{x \in \overline{B(R)}} |h_n(x)| \leq C(R)$$

and

$$\sup_{x \in \overline{B(R)}} |\nabla h_n(x)| \leq C(R)$$

for every  $n$ . The latter inequality gives

$$|h_n(x) - h_n(y)| \leq C(R)|x - y|$$

for each  $x, y \in \overline{B(R)}$ , which implies that  $\{h_n\}$  is equicontinuous on  $\overline{B(R)}$ . Hence Arzela-Ascoli theorem will give an existence of subsequence converging uniformly on  $\overline{B(R)}$ .  $\square$

*Remark 2.3* Let  $\{h_n\}$  be a sequence of  $\mathcal{H}^m(\Omega)$  such that

$$\sup_n \int_K |h_n(x)| dx < \infty, \tag{2.3}$$

for each compact subset  $K$  of  $\Omega$ . By Lemma 2.2, there exists a subsequence of  $\{h_n\}$  which converges uniformly to a function  $h \in \mathcal{H}^m(\Omega)$  on each compact subset of  $\Omega$ .

**Proposition 2.4** *Let  $R > 0$  and  $\{h_n\}$  be a sequence in  $\mathcal{H}^m(B(2R))$  such that*

$$\sup_n \int_{B(2R)} h_n^+(x) dx < \infty.$$

*Suppose that  $\{h_n\}$  is uniformly bounded on a neighbourhood of the origin. Then there exists a subsequence of  $\{h_n\}$  which converges uniformly on  $\overline{B(R)}$ .*

*Proof* In view of the formula of Pizetti [15] for polyharmonic functions of order  $m$ , we have

$$M(h_n, r) = \sum_{j=0}^{m-1} a_j r^{2j} \Delta^j h_n(0) \tag{2.4}$$

for  $0 < r < 2R$ , where  $a_0 = 1$  and

$$a_j = \frac{1}{2^j j! N(N+2) \cdots (N+2j-2)} \quad (j \geq 1). \tag{2.5}$$

By Lemma 2.1, we see that  $\{\Delta^j h_n(0)\}$  are bounded for  $0 \leq j \leq m - 1$ . Since  $|h_n| = 2h_n^+ - h_n$ , we have

$$\sup_n \int_{B(2R)} |h_n(x)| dx < \infty.$$

Hence the proposition follows from Lemma 2.2. □

### 3 Spherical Means for Super-Polyharmonic Functions

For a multi-index  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_N)$  and a point  $x = (x_1, x_2, \dots, x_N)$ , we set

$$|\lambda| = \lambda_1 + \lambda_2 + \dots + \lambda_N,$$

$$\lambda! = \lambda_1! \lambda_2! \cdots \lambda_N!,$$

$$x^\lambda = x_1^{\lambda_1} x_2^{\lambda_2} \cdots x_N^{\lambda_N}$$

and

$$D^\lambda = \left(\frac{\partial}{\partial x}\right)^\lambda = \left(\frac{\partial}{\partial x_1}\right)^{\lambda_1} \left(\frac{\partial}{\partial x_2}\right)^{\lambda_2} \cdots \left(\frac{\partial}{\partial x_N}\right)^{\lambda_N}.$$

Consider the Riesz kernel of order  $2m$  defined by

$$\mathcal{R}_{2m}(x) = \begin{cases} \alpha_{N,m}|x|^{2m-N} \log(1/|x|) & \text{if } 2m - N \text{ is an even nonnegative integer,} \\ \alpha_{N,m}|x|^{2m-N} & \text{otherwise.} \end{cases}$$

Here the constant  $\alpha_{N,m}$  is chosen such that  $(-\Delta)^m \mathcal{R}_{2m}$  is the Dirac measure at the origin, that is,

$$\alpha_{N,m}^{-1} = 2^{m-1}(m-1)! \sigma_N \prod_{\substack{0 \leq j \leq m-1 \\ j \neq m-N/2}} (N-2m+2j)$$

(see [8]).

If  $u \in \mathcal{SH}^m(B(R))$ , then  $u$  is of the form

$$u(x) = \int_{B(R')} \mathcal{R}_{2m}(x-y) d\mu_u(y) + h_{R'}(x)$$

for  $x \in B(R')$ , where  $0 < R' < R$  and  $h_{R'} \in \mathcal{H}^m(B(R'))$ . This is called the Riesz representation of super-polyharmonic functions. For further results of a representation of super-polyharmonic functions, we refer to [1, 5, 6, 9] and [17].

Let us begin with the following result.

**Lemma 3.1** *Let  $R > 1$ . Then*

$$\int_{B(R)} |\mathcal{R}_{2m}(x-y)| dx \leq CR^{2m} \log(2R)$$

for all  $y \in B(R)$ , where  $C$  is a positive constant depending only on  $N$  and  $m$ .

*Proof* Note that

$$\begin{aligned} \int_{B(R)} |x-y|^{2m-N} dx &\leq \int_{B(y,2R)} |x-y|^{2m-N} dx \\ &\leq CR^{2m}. \end{aligned}$$

If  $2m - N$  is a nonnegative even integer, then

$$\begin{aligned} \int_{B(R)} \left| |x-y|^{2m-N} \log(1/|x-y|) \right| dx &\leq \int_{B(y,1)} |x-y|^{2m-N} \log(1/|x-y|) dx \\ &\quad + \int_{B(y,2R) \setminus B(y,1)} |x-y|^{2m-N} \log|x-y| dx \\ &\leq CR^{2m} \log(2R). \end{aligned}$$

Thus the lemma is proved. □

Following the book by Hayman-Kennedy [7], we consider the remainder term in the Taylor expansion of  $\mathcal{R}_{2m}(\cdot - y)$  given by

$$\mathcal{R}_{2m,L}(x, y) = \mathcal{R}_{2m}(x-y) - \sum_{|\lambda| \leq L} \frac{x^\lambda}{\lambda!} (D^\lambda \mathcal{R}_{2m})(-y),$$

where  $L$  is a real number; if  $L < 0$ , then  $\mathcal{R}_{2m,L}(x, y) = \mathcal{R}_{2m}(x - y)$ . Further, we consider the function

$$K_{2m,L}(x, y) = \begin{cases} \mathcal{R}_{2m}(x - y) & \text{when } y \in \mathbf{B}, \\ \mathcal{R}_{2m,L}(x, y) & \text{when } y \notin \mathbf{B}. \end{cases}$$

We note that

$$(-\Delta)^m \mathcal{R}_{2m,L}(\cdot, y) = (-\Delta)^m K_{2m,L}(\cdot, y) = \delta_y,$$

where  $\delta_y$  denotes the Dirac measure at  $y$ . By using the kernel function  $K_{2m,L}(x, y)$ , we see that  $u \in \mathcal{SH}^m(\mathbf{R}^N)$  is of the form

$$u(x) = \int_{B(R)} K_{2m,L}(x, y) d\mu_u(y) + h_R(x) \tag{3.1}$$

for  $x \in B(R)$ , where  $h_R \in \mathcal{H}^m(B(R))$  (see [7] and [13, 14]).

Since  $\Delta^j \mathcal{R}_{2m}(x)$  depends only on  $r = |x|$ , we write

$$\Delta^j \mathcal{R}_{2m}(r) = \Delta^j \mathcal{R}_{2m}(x)$$

when  $r = |x|$ .

For  $0 < t \leq r$ , set

$$g_m(t, r) = \sum_{j=0}^{m-1} a_j (t^{2j} \Delta^j \mathcal{R}_{2m}(r) - r^{2j} \Delta^j \mathcal{R}_{2m}(t))$$

with  $a_j$  given by (2.5).

Here let us note the following result concerning spherical means for generalized Riesz kernels.

**Lemma 3.2** (cf. [6, Lemmas 4.3 and 4.4]) *The following hold:*

- (1)  $M(\mathcal{R}_{2m,2m-2}(\cdot, y), r) = \begin{cases} g_m(|y|, r) & \text{if } |y| < r, \\ 0 & \text{if } |y| \geq r. \end{cases}$
- (2)  $(-1)^m g_m(\cdot, r)$  is positive and strictly decreasing in  $(0, r)$  for each fixed  $r > 0$ .

We next give a growth property for Riesz measures of super-polyharmonic functions satisfying growth conditions on spherical means. Let  $u \in \mathcal{S}_m$  and  $\mu_u = (-\Delta)^m u$ . Note from our assumption  $u \in \mathcal{S}_m$  that

$$\mu_u(\mathbf{B}) = 0. \tag{3.2}$$

Then representation (3.1) implies that for each  $R > 0$ ,

$$u(x) = \int_{B(R)} \mathcal{R}_{2m,2m-2}(x, y) d\mu_u(y) + h_R(x) \quad (x \in B(R)), \tag{3.3}$$

where  $h_R \in \mathcal{H}^m(B(R))$ . Since  $u$  is polyharmonic of order  $m$  in  $\mathbf{B}$ , we see that  $\Delta^j h_R(0) = \Delta^j u(0)$  for  $j = 0, 1, \dots, m - 1$ . From (2.4) and Lemma 3.2, we have for  $0 < r < R$ ,

$$\begin{aligned}
 (-1)^m M(u, r) &= (-1)^m \int_{S(r)} \left( \int_{B(R)} \mathcal{R}_{2m, 2m-2}(x, y) d\mu_u(y) \right) dS(x) + (-1)^m M(h_R, r) \\
 &= (-1)^m \int_{B(R)} M(\mathcal{R}_{2m, 2m-2}(\cdot, y), r) d\mu_u(y) + (-1)^m \sum_{j=0}^{m-1} a_j r^{2j} \Delta^j h_R(0) \\
 &= \int_{B(r)} (-1)^m g_m(|y|, r) d\mu_u(y) + (-1)^m \sum_{j=0}^{m-1} a_j r^{2j} \Delta^j u(0) \\
 &\geq \int_{B(r/2)} (-1)^m g_m(r/2, r) d\mu_u(y) + (-1)^m \sum_{j=0}^{m-1} a_j r^{2j} \Delta^j u(0) \\
 &= r^{2m-N} (-1)^m g_m(1/2, 1) \mu_u(B(r/2)) + (-1)^m \sum_{j=0}^{m-1} a_j r^{2j} \Delta^j u(0). \tag{3.4}
 \end{aligned}$$

Thus, with the aid of (1.1), (3.2) and (3.4), we have the following result.

**Lemma 3.3** *Suppose that  $u \in \mathcal{S}_m$  satisfies*

$$(-1)^m M(u, r) \leq \varphi(r) \quad (\text{for all } r > 0) \tag{3.5}$$

and

$$|\Delta^j u(0)| \leq C_1 \quad (j = 0, 1, \dots, m - 1). \tag{3.6}$$

Then there exists a positive constant  $C_2$  depending only on  $N, m, C_1$  and  $\varphi(1)$  such that

$$\mu_u(B(r)) \leq C_2 r^{N-2m} \varphi(2r) \tag{3.7}$$

for all  $r > 0$ .

### 4 Proofs of Theorems 1.1 and 1.2

For a proof of Theorem 1.1, we need the following lemma.

**Lemma 4.1** (cf [11] and [13, Theorem 4.5, Chapter 2]) *Let  $\nu_n$  and  $\nu$  be nonnegative measures on  $\mathbf{R}^N$  such that  $\{\nu_n\}$  converges vaguely to  $\nu$  on  $\mathbf{R}^N$  and  $\cup_n \text{supp}(\nu_n)$  is bounded.*

(1) *In case  $N \geq 2m$ ,*

$$\lim_{n \rightarrow \infty} \int_{\mathbf{R}^N} \mathcal{R}_{2m}(x - y) d\nu_n(y) = \int_{\mathbf{R}^N} \mathcal{R}_{2m}(x - y) d\nu(y)$$

*uniformly on each compact set  $K$  with  $K \cap \overline{\cup_n \text{supp}(\nu_n)} = \emptyset$ . In case  $N < 2m$ , the above convergence is uniform on each compact set  $K$ .*



(2) In case  $N \geq 2m$ ,

$$\liminf_{n \rightarrow \infty} \int_{\mathbf{R}^N} \mathcal{R}_{2m}(x - y) \, dv_n(y) = \int_{\mathbf{R}^N} \mathcal{R}_{2m}(x - y) \, dv(y)$$

for each  $x \in \mathbf{R}^N$ .

(3) In case  $N \geq 2m$ , there exists a subset  $E$  of  $\mathbf{R}^N$  with  $\text{Cap}_{2m}(E) = 0$  such that

$$\liminf_{n \rightarrow \infty} \int_{\mathbf{R}^N} \mathcal{R}_{2m}(x - y) \, dv_n(y) = \int_{\mathbf{R}^N} \mathcal{R}_{2m}(x - y) \, dv(y)$$

for each  $x \in \mathbf{R}^N \setminus E$ .

Now we are ready to prove Theorem 1.1.

*Proof of Theorem 1.1* Let  $\{u_n\}$  be as in Theorem 1.1. For simplicity we put  $\mu_n = \mu_{u_n}$ . Throughout this proof, let  $C$  denote various constants independent of  $n$ .

We see from (1.2) that

$$\begin{aligned} \int_{B(2R)} ((-1)^m u_n)^+ dx &\leq \sigma_N \int_0^{2R} r^{N-1} \varphi(r) \, dr \\ &\leq \frac{2^N \sigma_N}{N} R^N \varphi(2R) \end{aligned}$$

for  $R > 0$ .

From our assumption and Lemma 2.1, note that  $\{\Delta^j u_n(0)\}$  is bounded for  $0 \leq j \leq m - 1$ . By Lemma 3.3, we have

$$\mu_n(B(r)) \leq Cr^{N-2m} \varphi(2r) \tag{4.1}$$

for all  $r > 0$ . Thus, replacing  $\{\mu_n\}$  by a subsequence, we may assume that  $\{\mu_n\}$  converges vaguely to a measure  $\mu$  on  $\mathbf{R}^N$ ; see [12, Theorem 1.23]. Moreover,  $\mu$  satisfies

$$\mu(\mathbf{B}) = 0 \tag{4.2}$$

and

$$\mu(B(r)) \leq Cr^{N-2m} \varphi(2r)$$

for all  $r > 0$ .

Now represent  $u_n$  as

$$u_n(x) = \int_{B(2R)} \mathcal{R}_{2m}(x - y) \, d\mu_n(y) + h_n(x),$$

where  $h_n \in \mathcal{H}^m(B(2R))$ . In view of Lemma 3.1 and (4.1), we see that

$$\begin{aligned} \int_{B(2R)} \left| \int_{B(2R)} \mathcal{R}_{2m}(x - y) \, d\mu_n(y) \right| dx &\leq \int_{B(2R)} \left( \int_{B(2R)} |\mathcal{R}_{2m}(x - y)| \, dx \right) d\mu_n(y) \\ &\leq CR^{2m} \log(4R) \mu_n(B(2R)) \\ &\leq CR^N \log(4R) \varphi(4R) \end{aligned}$$

for  $R > 1$ . Hence

$$\begin{aligned} \int_{B(2R)} ((-1)^m h_n)^+ dx &\leq \int_{B(2R)} ((-1)^m u_n)^+ dx + CR^N (\log(4R))\varphi(4R) \\ &\leq CR^N (\log(4R))\varphi(4R) \end{aligned}$$

for  $R > 1$ .

Since  $\mu_n(\mathbf{B}) = 0$ , for  $x \in B(1/2)$ ,

$$\int_{B(2R)} |\mathcal{R}_{2m}(x - y)| d\mu_n(y) \leq C,$$

so that  $\{h_n\}$  is uniformly bounded on  $B(1/2)$ . Consequently it follows from Proposition 2.4 that there exists a subsequence  $\{h_{n_i}\}$  of  $\{h_n\}$  which converges to some  $h \in \mathcal{H}^m(B(R))$  uniformly on  $B(R)$ . Thus, with the aid of Lemma 4.1, the proof is completed.  $\square$

To show Theorem 1.2, we prepare the following result.

**Lemma 4.2** *Suppose that  $u \in \mathcal{SH}^m(\mathbf{R}^N)$  satisfies*

$$M(|u|, r) \leq \varphi(r)$$

*for all  $r > 0$ . Then there exists a positive constant  $C$  depending only on  $N$  such that*

$$\mu_u(B(r)) \leq Cr^{N-2m}\varphi(2r)$$

*for all  $r > 0$ .*

*Proof* Let  $\psi \in C_0^\infty(B(2))$  such that  $0 \leq \psi \leq 1$  on  $\mathbf{R}^N$  and  $\psi = 1$  on  $\mathbf{B}$ . Set  $\psi_r(x) = \psi(x/r)$ . Then

$$\begin{aligned} \mu_u(B(r)) &\leq \int_{\mathbf{R}^N} \psi_r(y) d\mu_u(y) \\ &= \int_{\mathbf{R}^N} u(y)(-\Delta)^m \psi_r(y) dy \\ &\leq \int_{B(2r)} |u(y)| |(-\Delta)^m \psi_r(y)| dy \\ &\leq \sigma_N \|(-\Delta)^m \psi\|_\infty r^{-2m} \int_0^{2r} t^{N-1} \varphi(t) dt \\ &= \frac{\sigma_N \|(-\Delta)^m \psi\|_\infty 2^N}{N} r^{N-2m} \varphi(2r) \end{aligned}$$

for  $r > 0$ . Thus the required result follows.  $\square$

*Proof of Theorem 1.2* Let  $\{u_n\}$  be as in Theorem 1.2. By Lemma 4.2, we see that

$$\mu_n(B(r)) \leq Cr^{N-2m}\varphi(2r)$$

for all  $r > 0$ . Thus we may assume that  $\{\mu_n\}$  converges vaguely to a measure  $\mu$  on  $\mathbf{R}^N$  satisfying

$$\mu(B(r)) \leq Cr^{N-2m}\varphi(2r).$$

Further,  $u_n$  can be written as

$$u_n(x) = \int_{B(2R)} \mathcal{R}_{2m}(x - y) d\mu_n(y) + h_n(x) \quad (x \in B(2R)),$$

where  $h_n \in \mathcal{H}^m(B(2R))$ . Hence it follows from Lemma 3.1 that

$$\int_{B(2R)} |h_n(x)| dx \leq CR^N(\log(4R))\varphi(4R)$$

for  $R > 1$ . Therefore we see from Lemma 2.2 that there exists a subsequence  $\{h_{n_j}\}$  of  $\{h_n\}$  which converges to some function  $h \in \mathcal{H}^m(B(R))$  uniformly on  $B(R)$ . Finally, in a way similar to the proof of Theorem 1.1, we can prove the convergence properties of potentials

$$\int_{B(2R)} \mathcal{R}_{2m}(x - y) d\mu_n(y),$$

which completes the proof. □

*Remark 4.2* If  $u \in \mathcal{SH}^m(\mathbf{R}^N)$  satisfies

$$M(|u|, r) \leq C(1 + r)^\ell \tag{4.3}$$

for all  $r > 0$ , then  $u$  is of the form

$$u(x) = \int_{\mathbf{R}^N} K_{2m,\ell}(x, y) d\mu_u(y) + h(x) \quad (x \in \mathbf{R}^N),$$

where  $h \in \mathcal{H}^m(\mathbf{R}^N)$ .

**Acknowledgement** The authors would like to thank the referee for making helpful suggestions that improved exposition of the paper.

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