




# Optimization of culture medium and growth conditions of the plant growth-promoting bacterium *Herbaspirillum seropedicae* BR11417 for its use as an agricultural inoculant using response surface methodology (RSM)

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## Abstract

**Aims** Culture media compositions and bioprocess conditions were studied to improve the production of cell biomass and indolic phytohormones by *Herbaspirillum seropedicae* BR11471, a plant growth promoting bacterium, and different inoculant formulations were also produced and tested for their stability and shelf life.

**Methods** Response surface methodology (RSM) based on central composite rotation designs (CCRD) was used to find bioprocess variables that lead to an increase in bacterial biomass and yield of indolic compounds. The major components of DYGS medium were optimized in small-scale shaken cultivations, in two sets of CCRD. High performance liquid chromatography was used to

determine nutrient consumption and to correlate it with cell biomass production, and the Salkowski method was used to quantify indoles. Hydrolytic activity in the formulations was quantified with the fluorescein diacetate assay.

**Results** Glycerol ( $5.5 \text{ g L}^{-1}$ ) and yeast extract ( $2.8 \text{ g L}^{-1}$ ), as the main carbon and nitrogen sources, respectively, increased biomass production by 87.5% when compared to original DYGS medium, reaching  $3.0 \text{ g L}^{-1}$  of dry cell weight (DCW). In a 2.0 L bioreactor, the optimized medium was used to enhance process conditions for DCW and indole-3-acetic acid (IAA). Biomass production reached  $3.4 \text{ g L}^{-1}$  and was restrained at highest air flow levels. The conditions of 34–36 °C, 150 rpm and  $4.0 \text{ L min}^{-1}$  of air flow rate resulted in  $11.97 \text{ mg L}^{-1}$  of IAA, an increase of 370% over original DYGS at 30 °C. Peat can still be regarded as a good cell carrier for solid state inoculants, whilst the additives tested for liquid formulations are individually more efficient than the mixture.

**Conclusions** The production of inoculants containing *H. seropedicae* strain BR11471 can be efficiently improved with the use of the RSM approach i.e. it maximizes the production of biomass and indolic compounds, and reduces culture media components, both key factors for large-scale industrial production.

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## Introduction

The inoculation of crops with plant growth promoting bacteria (PGPB) is an emerging technology with increasing diffusion and potential use to increase productivity of agricultural goods. As beneficial interactions of plants with microorganisms become better understood, crops can be safely stimulated by the use of microorganisms as biofertilizers, for crop protection, environmental remediation, biocontrol, and to reduce overall dependence on agricultural chemicals (Adesemoye et al. 2009; Estrada et al. 2013; Hayat et al. 2010). After many years of research, a number of bacterial strains are ready to be introduced into agricultural practice such as some *Bacillus*, *Pseudomonas* and *Azospirillum* species, in addition to the well-known rhizobia group. However, crops inoculated with PGPB still represent only a small fraction of world agriculture. Factors that limit wider utilization of biological products are related to the production, formulation, storage and application of these bacteria (Bashan et al. 2014; O'Callaghan 2016). Inoculation technology with PGPB has had little impact on the productivity of most countries with rural activity. The persistent low confidence of farmers in PGPB-containing inoculants is mainly due to the lack of consistent data on their efficiency and their low quality (Bernabeu et al. 2018; Bashan et al. 2014; Compant et al. 2010; Lucy et al. 2004).

*Herbaspirillum seropedicae* was first discovered in Brazil by Baldani et al. (1986) and has been isolated from various cereal crops (Monteiro et al. 2012; Balsanelli et al. 2015). It is a Gram-negative diazotrophic proteobacterium known for positive effects on the growth of rice, maize, sugarcane, among others (James et al. 2002; Canellas et al. 2013; Estrada et al. 2013; Rothballer et al. 2008). It has the ability to produce plant hormones and siderophores, promote mineral solubilization, and to perform biological nitrogen fixation (BNF) (Bastián et al. 1998; Richardson et al. 2009; Monteiro et al. 2012; Rosconi et al. 2013; Wagh et al. 2014). Amadeo et al. (2011) also showed that inoculation with *H. seropedicae* BR11417 increased maize productivity up to 34%. The effectiveness of inoculants containing PGPB depends on a successful colonization of the inoculated plant tissues. Desirable effects could be boosted or anticipated in the field by aggregating substances like auxins, which can be produced during the bioprocesses, and be present in the final product (Bashan et al. 2014; Silva et al. 2012).

The development of a cost-competitive bioprocess is a key point to obtain large scale feasibility of bioproducts (Amadeo et al. 2011; Chebotar et al. 2015; Chen et al. 2012). Response surface methodology (RSM) is a set of statistical techniques based on the design of experiments for building production models, evaluating the effects of multiple factors simultaneously, overcoming the limitations of classical methods of optimization (Hajji et al. 2008; Lotfy et al. 2007; Mutalik et al. 2008; Xie et al. 2012).

The aims of this study were to select the most suitable culture medium for cell biomass production of *H. seropedicae* BR11417 in shaken cultivations, to apply central composite rotatable designs (CCRDs) of RSM for optimization of medium and conditions in a bioreactor, and finally to evaluate the overall quality of experimental inoculants formulated for agricultural use.

## Materials and methods

### Microorganism and culture media

*Herbaspirillum seropedicae* BR11417 (ZAE94) was obtained from the Culture Collection of Johanna Döbereiner Biological Resources Center (CRB-JD, Embrapa Agrobiologia, Seropédica, RJ, Brazil). Slants of potato agar medium were used to prepare stock cultures used thereafter for all experiments, and these were also kept frozen at  $-80\text{ }^{\circ}\text{C}$  in 30% glycerol as cryo-protectant. Colonies were grown in DYGS medium (in  $\text{g L}^{-1}$ : glucose, 2.0; malic acid, 2.0; yeast extract, 2.0; peptone, 1.5; glutamic acid, 1.5;  $\text{K}_2\text{HPO}_4$ , 0.5;  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ , 0.5) at  $35\text{ }^{\circ}\text{C}$  for 24 h. To confirm the identity of the strain, semi-solid JNFb medium was used with bromothymol blue as the pH indicator, as described by Baldani et al. (2014). Cell growth and production of indolic hormones were tested on traditional media used for PGPB growth such as: DYGS, LGI, LGI-P, JNFb, and also LB (Baldani et al. 2014). Two variations of basic DYGS medium were also initially used to select the most favorable carbon source: DYGS-1 contained glucose and DYGS-2 contained glycerol (both at  $5.5\text{ g L}^{-1}$ ) as the main carbon source. Malic acid, peptone and glutamic acid were all removed for both DYGS-1 and DYGS-2.

## Culture conditions

Batch cultures in shaken Erlenmeyer flasks were carried out at 150 rpm, and at 30 or 35 °C in a floor incubator shaker in orbital mode (IS-971-R, Lab Companion). Batch bioreactor experiments were conducted in 2.0 L vessels with control of air flow and temperature, and by monitoring pH and dissolved oxygen (Biostat B-plus twin, Sartorius Stedim Biotech). Operation conditions were set according to each CCRD. In every up-scaling step, from stocks to bioreactor, a ratio of 10% was used for inoculum size.

## Inoculant formulations and bacterial survival

Selected culture conditions for *H. seropedicae* BR11417 were conducted in a 2.0 L batch bioreactor, and were used for inoculant formulation immediately after cells reached late exponential phase. Inoculants were prepared by mixing culture broths with a carrier into four different formulations: (a) peat-based - PI, 3 parts of sterilized ground peat with 2 parts of cell broth; (b) Xanthan gum - XI, at a final concentration of 5 g L<sup>-1</sup>; (c) PVP (polyvinylpyrrolidone) - PV, at a final concentration of 5 g L<sup>-1</sup>; (d) Xanthan gum and PVP - XP, 2.5 g L<sup>-1</sup> for each component; (e) control - CB, only the culture broth. Liquid formulations (XI, PV and XP) were stabilized in a phosphate buffered saline solution (PBS) containing citric acid and dibutylhydroxytoluene (48 and 1.2 mg L<sup>-1</sup>, respectively). Inoculants containing 50 g (peat-based) or 50 mL (liquid formulations) were packed into sealed plastic bags or small high-density polyethylene (HDPE) bottles, respectively, previously sterilized in the autoclave at 121 °C. Food grade xanthan gum 200 Mesh (Synth) and polyvinylpyrrolidone K-30 (Dinâmica) were obtained from local supplier, and peat of Argentinian origin was used after neutralization with calcium carbonate. All formulations were kept in a dark storage room at 25–30 °C. Shelf life was determined at different times (0, 1, 2, 4 and 6 months) by plate counting after spreading on JNFb medium (Baldani et al. 2014), and the number of viable cells were expressed as colony forming units (CFU) per gram or milliliter, whether the material was solid (peat) or liquid, respectively. Total metabolic activity of cells in the formulations was assayed by the Fluorescein Diacetate method (FDA) according to Green et al. (2006). After 2 h of incubation the production of fluorescein was measured at 490 nm and correlated to a freshly prepared standard curve.

## Analytical tests and calculations

Cell growth was estimated by the measure of optical density at 600 nm. Calibration curves were made to correlate optical density (OD<sub>600</sub>) and dry cell weight (DCW). Samples of 10 mL of culture broth were centrifuged, washed twice with distilled cold water and filtered through 0.22 µm disk filters (Millipore). The filters were dried at 65 °C to constant weight. Cell counts were determined after serial dilutions and spreading of samples on JNFb medium, and it was expressed as CFU g<sup>-1</sup> or CFU mL<sup>-1</sup>.

Glucose and glycerol concentrations were determined in culture supernatants at different times. They were measured in a LC-20A Modular HPLC, with a RI detector (Shimadzu). Samples of 20 µL were eluted at 0.8 mL min<sup>-1</sup> with 0.005 M H<sub>2</sub>SO<sub>4</sub> as the mobile phase in a HPX-87H column at 65 °C. A calibration curve was prepared with HPLC-grade reagents (Merck). Yield coefficients (*Y*) were calculated as the amount of desired product formed (g) in ratio to mass of a compound utilized or formed (g), to express the conversion of the carbon source to cell biomass (*Y*<sub>X/S</sub>); the production of IAA related to the total biomass (*Y*<sub>P/X</sub>); and the production of IAA related to consumed substrate (*Y*<sub>P/S</sub>).

Indolic compounds were quantified using Salkowski reagent according to the microplate assay (Sarwar and Kremer 1995). The highest grade available indole-3-acetic acid (Sigma-Aldrich) was used for the calibration curve, freshly prepared for every set of samples. The concentrations of indoles were expressed as mg L<sup>-1</sup> of indole-3-acetic acid (IAA) equivalent. All results of every test are the mean of at least three replicates.

## Experimental designs

The factors affecting bacterial biomass production were selected based on the growth behavior of *H. seropedicae* BR11417 on the media traditionally used for PGPB (Baldani et al. 2014), in the first experiments with shaken flask cultivations. The components, levels and codes used for media optimization are shown in Table 1. To improve the nitrogen source a 2<sup>2</sup> central composite rotation design (CCRD-1) was centered on four combinations of yeast extract and peptone, the main nitrogenous components of DYGS medium. The optimal concentrations of salts in the DYGS medium were also evaluated in a set of ten experiments of four combinations, in the CCRD-2 (Table 2). Once these components were analyzed, their optimal concentrations were used

**Table 1** Process variables and their symbols as used in CCRD-1 and CCRD-2, showing their actual and coded levels

Variable (g L <sup>-1</sup> ).	Symbols	Coded levels				
		-1.41	-1	0	1	1.41
Bacteriological Peptone	X <sub>1</sub>	0.44	0.75	1.50	2.25	2.56
Yeast extract	X <sub>2</sub>	0.59	1.00	2.00	3.00	3.41
K <sub>2</sub> HPO <sub>4</sub>	X <sub>3</sub>	0.15	0.25	0.50	0.75	0.85
MgSO <sub>4</sub> .7H <sub>2</sub> O	X <sub>4</sub>	0.15	0.25	0.50	0.75	0.85

in the succeeding experiments. In this second experimental design, the carbon source was then tested in terms of conversion into cell biomass under five levels employed during shaking flask cultivations: 2.5, 5.5, 8.25, 11.0 and 13.75 (g L<sup>-1</sup>). A 2<sup>3</sup> factorial CCRD-3 was further employed to test the independent variables temperature (°C), aeration (L min<sup>-1</sup>) and agitation (rpm) in a 2.0 L bioreactor. The process variables, levels and codes used for media optimization are shown in Table S1. To fit the polynomial model, 17 experiments with 8 combinations were required to evaluate cell concentration (DCW) and indole acetic acid production (IAA). Each experiment was performed in triplicate.

#### Statistical analysis

Statistica 10 (StatSoft) was used to design, calculate and analyze the response models obtained, and to

**Table 2** Treatment combinations, used in CCRD-01 and CCRD-02, showing coded settings for the two variables of each design, mean experimental responses and predicted values. The

Order of treatments	CCRD-01				CCRD-02			
	X <sub>1</sub> (g L <sup>-1</sup> )	X <sub>2</sub> (g L <sup>-1</sup> )	Biomass (g L <sup>-1</sup> )		X <sub>3</sub> (g L <sup>-1</sup> )	X <sub>4</sub> (g L <sup>-1</sup> )	Biomass (g L <sup>-1</sup> )	
			Experimental	Predicted ±5%			Experimental	Predicted ±5%
1	-1	-1	1.37	1.63 ± 0.082	-1	-1	2.75	2.70 ± 0.135
2	1	-1	1.64	1.63 ± 0.082	1	-1	2.72	2.70 ± 0.135
3	-1	1	2.70	2.69 ± 0.135	-1	1	2.83	2.70 ± 0.135
4	1	1	2.64	2.69 ± 0.135	1	1	2.59	2.70 ± 0.135
5	0	0	2.48	2.40 ± 0.120	0	0	2.67	3.02 ± 0.151
6	-1.41	0	2.38	2.40 ± 0.120	-1.41	0	2.60	2.64 ± 0.132
7	1.41	0	1.33	2.40 ± 0.120	1.41	0	2.72	2.64 ± 0.132
8	0	-1.41	2.59	1.18 ± 0.059	0	-1.41	2.82	2.78 ± 0.139
9	0	1.41	2.44	2.67 ± 0.134	0	1.41	3.02	2.78 ± 0.139
10	0	0	2.36	2.40 ± 0.120	0	0	3.04	3.02 ± 0.151

generate surface contour plots. Regression analysis and analysis of variance (ANOVA) were performed for determining significance of the model terms and for fitting the mathematical models to experimental data. The adequacy of the model was determined using F-value, *P* value, residual standard deviation and coefficient of determination (R<sup>2</sup>).

## Results

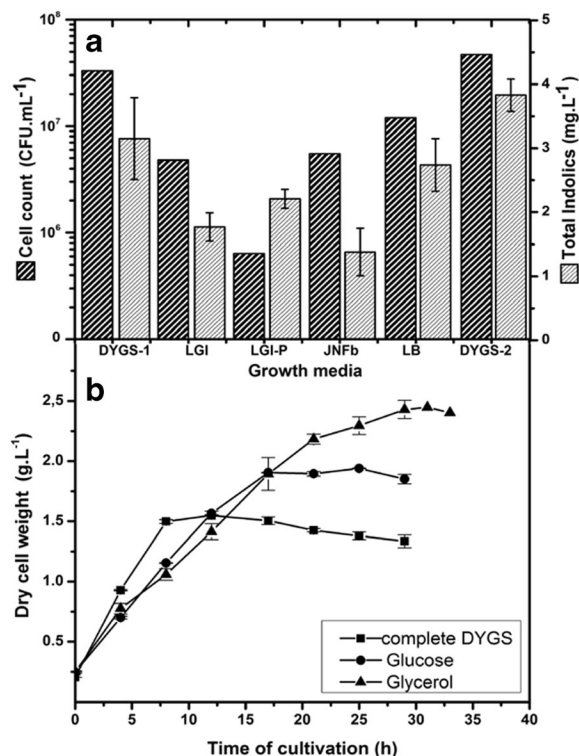
### Optimization of medium composition

The growth of *H. seropedicae* BR11417 and the production of indoles were evaluated in shaken cultivations on different media traditionally used for isolation, characterization and even production of PGPB-containing inoculants. After 24 h of

corresponding coded and actual values for peptone (X<sub>1</sub>), yeast extract (X<sub>2</sub>), potassium phosphate (X<sub>3</sub>), and magnesium sulphate (X<sub>4</sub>) are available in the on-line Supplementary Material

cultivation, at 30 °C and 150 rpm, DYGS-1 medium produced  $3.3 \times 10^7$  CFU mL<sup>-1</sup> and 3.15 mg L<sup>-1</sup> of total indoles (Fig. 1a). Under the same conditions of temperature and agitation, LB performed better than LGI, LGI-P and JNFb for both parameters. When temperature was raised to 35 °C production of biomass and indoles was similar for DYGS-1 for the same period of cultivation at 30 °C, however the production of indoles was lower for LB, LGI, LGI-P and JNFb (data not shown). Furthermore, when *H. seropedicae* BR11417 was grown at 35 °C (DYGS-2) the increase in cell counts and production of indolic compounds were more pronounced.

Despite its utility in the laboratory, DYGS is a complex and costly medium for large scale industrial inoculant production. Therefore, a comparative growth assay was performed at 35 °C, based on the evaluation of the above tests. Accordingly, glucose- and glycerol-based media (both at 5.5 g L<sup>-1</sup>) were formulated for maximizing economical biomass conversion (Fig. 1b).



**Fig. 1** Cell growth and indolics (IAA) production of *H. seropedicae* BR11417 in (a) shaken cultures for 24 h, at 150 rpm and 30 °C (DYGS-1, LGI, LGI-P, JNFb and LB), and at 35 °C (DYGS-2); b growth conditions on original DYGS (Silva et al. 2012), and on modified DYGS, with glucose or glycerol as the main carbon sources

The original unmodified DYGS medium produced 1.55 g L<sup>-1</sup> of cells after 12 h of growth and a yield ( $Y_{X/S}$ ) of 0.24, but the glucose-based medium produced 1.86 g L<sup>-1</sup> of cell biomass after 21 h and a yield of 0.30, and the glycerol-based medium resulted in cell concentrations reaching 2.44 g L<sup>-1</sup> with an average yield of 0.40 after 30 h of cultivation. Therefore, based on these results, glycerol was chosen as the only carbon source to be added to the so-called modified DYGS for the succeeding optimization experiments.

In order to select a nitrogen source and to adjust the basic saline composition of the modified DYGS medium, two groups of 10 experiments were run and the results are shown in Table 2. In Table 2, on the left side (CCRD-1), the process variables peptone ( $X_1$ ) and yeast extract ( $X_2$ ) are presented with their actual levels, coded levels and mean experimental responses. On the right side of Table 2 (CCRD-2), the process variables potassium phosphate ( $X_3$ ) and magnesium sulphate ( $X_4$ ) are also presented with their levels and mean experimental responses. For both designs, treatment three showed the highest bacterial biomass production, 2.70 g L<sup>-1</sup> for the nitrogen source, and 2.83 g L<sup>-1</sup> for the salts. These levels of production were obtained at low peptone and phosphate levels. These combinations in treatment 3 produced 74% and 82.6%, respectively, more bacterial biomass than the original DYGS medium.

The analysis of variance (ANOVA) of the regression model was performed to demonstrate the significance according to Fisher's F-test (Table 3). Also, for both designs, the computed F-values (20.55 for CCRD-1, 18.00 for CCRD-2) were much higher than the tabular value indicating that 96.25% (for CCR-1) and 95.74% (for CCR-2) of the variation among treatments were explained by the model. To verify the significance of each regression coefficient, Student's *t* test and *P*-values were used to understand mutual interactions (Heck et al. 2005). The multiple regression analysis (Electronic Supplementary Material, Table S2) of the experimental data for both designs allowed a second order polynomial fitness, where peptone showed no significance for CCRD-1. To explain the bacterial biomass production ( $X$ ) according to the models, the statistical insignificant terms must be eliminated and the two equations are:

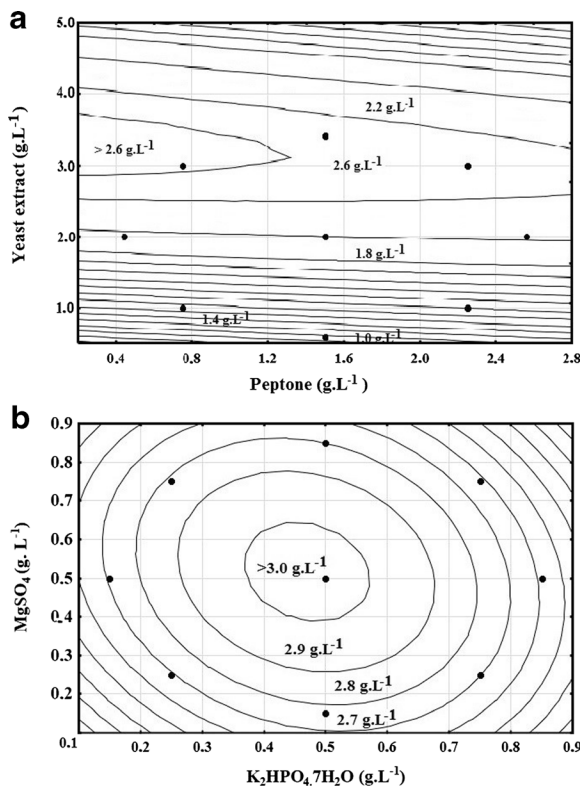
- $X = 2.398 + 0.529 X_2 - 0.239 X_2^2$ , where  $X_2$  is yeast extract; and
- $X = 3.029 - 1.95 X_3^2 - 0.125 X_4^2$ , where  $X_3$  is potassium phosphate and  $X_4$  is magnesium sulphate.

**Table 3** Analysis of variance (ANOVA) of the models for biomass production (DCW) by *Herbaspirillum seropedicae* regarding the influence of nitrogen source and saline composition of the medium

	Source	SS	DF	MS	F-value	
					Calculated	Tabulated
CCRD-01	Regression	2.565	5	0.513	20.55	6.26
	Error	0.100	4	0.025		
	Total SS	2.665	9			
	$R^2 = 0.9625$					
CCRD-02	Regression	0.207	5	0.041	18.00	6.26
	Error	0.009	4	0.002		
	Total SS	0.216	9			
	$R^2 = 0.9574$					

SS, sum of squares; DF, degrees of freedom; MS, mean square; significance level = 95%

The predicted values for biomass production according to these models are also presented in Table 2, following the recorded experimental values. Peptone did not influence the production of bacterial biomass. According to the model, yeast extract can be used as the sole nitrogen source. Figure 2 shows contour



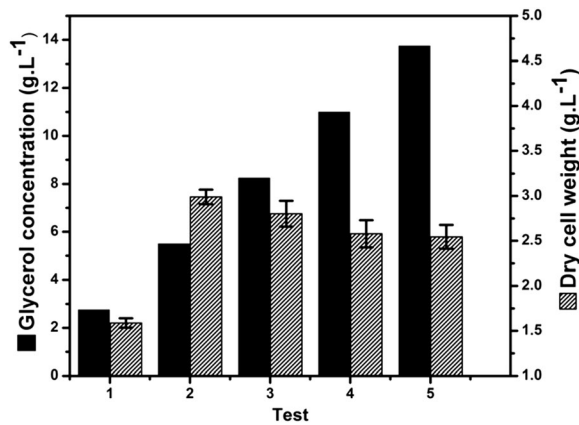
**Fig. 2** Contour plots for the effects of nitrogen source (a) and saline content (b) of the modified DYGS medium on the production of biomass (DCW, in  $\text{g L}^{-1}$ )

shapes for the factors that affected DCW production. Increasing concentrations of yeast extract resulted in higher production of bacterial biomass. However, the impact of peptone on cell production was not so evident, and the flattened nature of the contour in Fig. 2a depicts a poor interaction between peptone and yeast extract. Furthermore, by increasing the concentration of yeast extract above  $4.0 \text{ g L}^{-1}$  the effect was negative on cell production, either with or without peptone added. In contrast, the concentration of major salts showed a precise and strict range when biomass production was increased (Fig. 2b).

The basic optimized medium was used to test the impact of different concentrations of glycerol on the biomass production by *H. seropedicae* BR11417. Accordingly, five levels were tested (Fig. 3), and the original concentration of  $5.5 \text{ g L}^{-1}$  of glycerol in the modified DYGS resulted in  $2.98 \text{ g L}^{-1}$  of DCW. For the medium containing  $13.75 \text{ g L}^{-1}$  of glycerol, DCW reached only  $2.54 \text{ g L}^{-1}$ .

#### Optimization of bioreactor operation for bacterial biomass and IAA production

The previously optimized DYGS medium was used to support 2.0 L bioreactor experiments. The treatments with coded combinations of the three factors are shown in Table 4, along with the actual measurements and the predicted values. Treatments five, 10 and 17, with all levels set to flat (coded level = 0), presented DCW above  $3.1 \text{ g L}^{-1}$  and IAA levels above  $11.4 \text{ mg L}^{-1}$ . Maximum biomass concentration of  $3.34 \text{ g L}^{-1}$  was obtained at  $39.2 \text{ }^\circ\text{C}$  (level + 1), 194.6 rpm (+1) and  $2.2 \text{ L min}^{-1}$  of



**Fig. 3** Influence of glycerol concentration on biomass production with the modified DYGS medium optimized for nitrogen source and salts composition. Glycerol concentrations are (in g L<sup>-1</sup>): 2.5 (Test 1), 5.5 (2), 8.25 (3), 11.0 (4) and 13.75(5). All tests were run in triplicates in a shaker incubator at 150 rpm and 35 °C

air flow (level – 1). Maximum IAA production of 11.97 mg L<sup>-1</sup> was obtained when *H. seropedicae* BR11417 was grown at the same settings for agitation and air flow, and at a lower temperature (30.8 °C, level – 1), but not the lowest one (28 °C, level – 1.68). When

temperature was set to the highest level (42 °C) a major impact was observed on yield coefficients for biomass and IAA over consumed substrate.

The combination of low temperature of growth (30.8 °C), low agitation (105.4 rpm) and low air flow (2.2 L min<sup>-1</sup>) resulted in high yield of IAA over consumed substrate and bacterial biomass production. Analysis of variance (ANOVA) demonstrated high significance for biomass production (Table 5), as the computed F-value (4.26) is higher than the tabular F-value (2.72), yet little variations were observed at the axial points of temperature when the experimental values were correlated to the predicted ones. The coefficient of determination (R<sup>2</sup>) was calculated to be 0.8457 for biomass production, indicating that the model could explain over 84% of the variability. For IAA production, ANOVA indicated that the model is highly significant, and an R<sup>2</sup> = 0.92 suggests a satisfactory representation of the process model.

To verify the significance of each coefficient and to understand the patterns of interactions between variables, *t*-tests and *P* value were applied (Tables S3 and S4). The regression coefficients to be considered in

**Table 4** Treatment combinations used in CCRD-03, showing coded settings for variables, the responses for biomass (DCW, in g L<sup>-1</sup>) and total indolics (IAA, in mg L<sup>-1</sup>), and the predicted values

for biomass (PRD-DCW) and indolics (PRD-IAA). The corresponding coded and actual values for Temperature, Agitation and Aeration are presented at the Electronic Supplementary Material

Order of treatments	Temperature (°C)	Agitation (RPM)	Aeration (LPM)	DCW (g L <sup>-1</sup> )	PRD-DCW (g L <sup>-1</sup> )	IAA (mg L <sup>-1</sup> )	PRD-IAA (mg L <sup>-1</sup> )	Y <sub>x/s</sub>	Y <sub>p/x</sub>	Y <sub>p/s</sub>
1	-1	-1	-1	2.541	2642	11.760	10.979	0.443	4.759	2.107
2	-1	-1	1	2.078	2.242	4.782	4.611	0.350	1.789	0.626
3	-1	1	-1	2.583	2.854	11.970	10.979	0.452	4.423	2.000
4	-1	1	1	2.393	2.536	5.390	4.832	0.422	1.946	0.820
5	0	0	0	3.140	3.131	11.770	11.503	0.548	3.441	1.884
6	1	-1	-1	3.171	2.969	11.152	9.989	0.533	3.354	1.788
7	1	-1	1	2.488	2.570	9.860	8.865	0.429	3.827	1.643
8	1	1	-1	3.344	3.263	9.940	9.953	0.583	2.848	1.659
9	1	1	1	3.192	2.854	7.390	8.323	0.546	2.185	1.193
10	0	0	0	3.153	3.131	11.464	11.503	0.539	3.225	1.737
11	-1.68	0	0	2.068	1.788	5.629	6.713	0.464	2.500	1.160
12	+1.68	0	0	1.895	2.338	8.670	9.068	1.143	4.458	5.094
13	0	-1.68	0	2.735	2.884	9.990	11.320	0.469	3.303	1.550
14	0	+1.68	0	3.139	3.378	11.310	11.503	0.531	3.548	1.885
15	0	0	-1.68	3.148	3.467	8.701	9.938	0.540	2.521	1.362
16	0	0	+1.68	2.470	2.795	3.114	3.319	0.429	1.036	0.444
17	0	0	0	3.142	3.131	11.630	11.503	0.542	3.480	1.887

**Table 5** Analysis of variance (ANOVA) of the models for biomass (a) and indole acetic acid (b) production by *Herbaspirillum seropedicae* BR 11417

Source	SS	DF	MS	F-value	
				Calculated	Tabulated
(a) Regression	2.985	9	0.332	4.26	2.72
Residue	0.544	7	0.078		
Total	3.529	16			
$R^2 = 0.8457$					
(b) Regression	119.35	9	13.26	9.46	2.72
Residue	9.81	7	1.40		
Total	129.16	16			
$R^2 = 0.9240$					

SS, sum of squares; DF, degrees of freedom; MS, mean square; significance level = 90%

constructing a model for biomass and indoles production were those whose values were superior to tabular  $t$ , and with  $P$ -values less than the significance level. Second-order temperature, temperature, agitation and aeration were significant for bacterial biomass production. For the production of indoles, the model revealed that temperature and agitation were significant as well as the interaction between these two factors. The models that explain biomass ( $X$ ) and IAA ( $Y$ ) production are:

- (c)  $X = 3.131 + 0.163 Y_1 - 0.378 Y_1^2 + 0.146 Y_2 - 0.199 Y_3$ , where  $Y_1$  is temperature,  $Y_2$  is agitation and  $Y_3$  is aeration;
- (d)  $Y = 11.503 + 0.701 Y_1 - 1.280 Y_1^2 - 1.970 Y_3 - 1.727 Y_3^2 + 1.215 Y_1 Y_3$ , where  $Y_1$  is temperature and  $Y_3$  is aeration.

The contour shapes for biomass and IAA responses can be seen in Fig. 4, when cells of *H. seropedicae* BR11417 were grown in the medium optimized for carbon and nitrogen sources. These plots clearly demonstrate that biomass can be increased as agitation is raised (Fig. 4a), as long as the temperature is kept at moderate settings (34–36 °C). The impact of aeration in the culture media went on the opposite way, so less air flow (1–2 L min<sup>-1</sup>) promoted high biomass production (Fig. 4b). Interestingly, aeration and agitation did not interact in promoting biomass production, and this behavior would be difficult to perceive by using the one-variable-at-a-time approach. These two parameters are reportedly of major importance for bioproducts and cell

mass production in aerobic cultures, as reported by Xie et al. (2012). Temperature was identified as a key process variable in the production of indoles by *H. seropedicae* BR11417, however the interaction of temperature and aeration (Fig. 4e) was the more evident result from the surface plots.

### Quality and stability of formulations

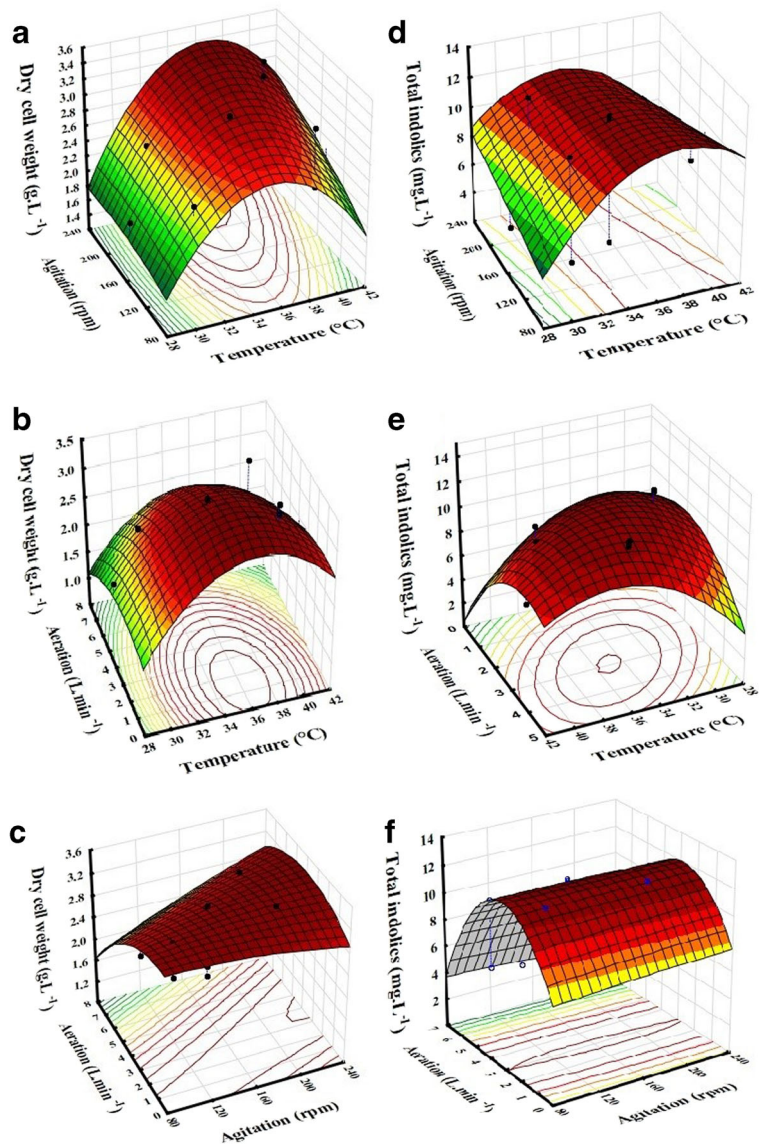
Studies on the shelf life of different formulations containing *H. seropedicae* BR11417 were carried out by plate counting after serial dilutions, and at different times after packaging. Overall microbial activity, expressed as units of formazan produced after hydrolysis of fluorescein diacetate were also measured in the same moment for each sample. The same culture condition was used to prepare all the formulations. Peat formulation showed a superior hydrolytic activity in the early months compared to other inoculants (Fig. 5), and it resulted in good cell stability. After the fourth month cell counts experienced a rapid decline. In the control, where no additive was used, cell counts dropped dramatically, and were accompanied by low levels of metabolic activity. Inoculants with xanthan gum formulation (XI) and polyvinylpyrrolidone (PV) additives showed a similar behavior to controls regarding overall hydrolytic activity, but XI presented better results for cell stability after 2 months. The mixture of XI and PV was inefficient in maintaining shelf life with a fast decrease in cell counts after two months of storage, and a continuous decline up to the sixth month of analysis. Total indoles were measured from the onset of formulation production, and after 2 and 4 months of storage. In the formulations XI, PV and XP total indolic compounds were stable and did not vary perceptively (data not shown). For the peat formulation it was not possible to perform the photometric assay described above, and in the control, where no stabilizing agent was added, indoles were poorly detected.

### Discussion

*Herbaspirillum seropedicae* BR 11417 and other strains have a great potential for use as PGPB (Alves et al. 2015; Canellas et al. 2013; Trovero et al. 2018). However, apart from symbiotic rhizobia (and their legume hosts), *Azospirillum* spp. are by far the most studied PGBP, and commercial inoculants based upon them



**Fig. 4** Response surface plots for Dry Cell Weight (Left group) and Total Indolics (Right group) showing the interactions between (a,d) agitation and temperature; (b,e) aeration and temperature, and; (c,f) aeration and agitation

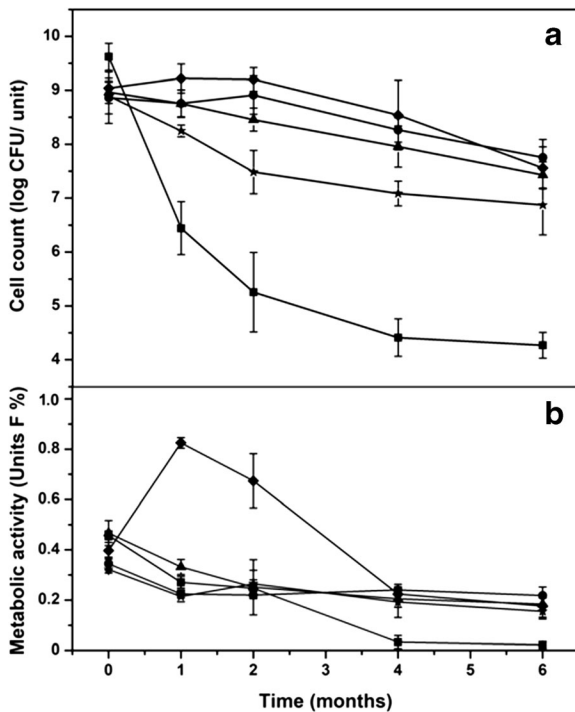


are available since the end of the twentieth century, mainly in developing countries. Few studies have reported inoculant production or studied the factors affecting growth and phytohormone production for these bacteria (Cappuyns et al. 2007; Ona et al. 2005). Bashan et al. (2011) proposed two media for cultivation of *Azospirillum* strains which were based on modified TYG (tryptone, yeast extract and glucose) medium where the glucose was replaced by Na-gluconate or glycerol, but neither the media composition nor the growth conditions were optimized. Trujillo-Roldán et al. (2013) performed scale-up experiments for inoculant formulation with *Azospirillum* spp. that were based

on oxygen transfer parameters and with the use of an optimized NFb medium.

Many microbial isolates with PGPB capacities are identified annually, yet the majority of strains do not reach the formulation stage, as discussed by Bashan et al. (2014). Bastián et al. (1998) were the first to identify the production of IAA and gibberellins by *H. seropedicae* on chemically defined NFb medium, and these authors discussed the importance of studying the production of phytohormones in the relations of endophytic microorganisms with host plants.

Most inoculant production plants were originally designed for cultivations of relatively slow-growing



**Fig. 5** Cell viability of *H. seropedicae* inoculant formulations. **a** shows the cell counting along 6 months of storage per unit (mL for liquid or g for solid formulation). **b** shows overall metabolic activity FDA hydrolysis expressed as formazan units produced per 100 ml of liquid formulations, or per 100 g of peat formulation. Symbols: square, control (CB); circle, xanthan gum (XI); triangle, polyvinylpyrrolidone (PI); star, xanthan gum +PVP (XP); diamond, peat (PI). Mean data shown and standard errors bars are representative of three replicates

rhizobia, so oxygen transfer and aeration are not easily measurable nor adjustable at the factory. Since medium composition is also a major issue in terms of production costs, the present study succeeded in optimizing biomass production for *H. seropedicae* BR11417 by adjusting the nitrogen and salt composition of the modified DYGS medium, and by substituting all three carbon sources for glycerol alone. Adnan et al. (2014) used a glycerol-based medium at  $34.5 \text{ g L}^{-1}$  to optimize ethanol production with recombinant *E. coli*, however the biomass never exceeded  $0.6 \text{ g L}^{-1}$ . They affirmed that higher glycerol concentrations are believed to produce osmotic pressure within the bacterial cell, causing cell damage due to the purging of water molecules.

To properly formulate a bacterial suspension is one of the most common barriers to the commercialization of inoculant products to enhance crop yields (Stephens and Rask 2000; Bashan et al. 2014). Every industry develops its proprietary formulations containing a diverse

variety of additives. While the culture broth solely without amendments is still used for testing the efficiency of PGPB (Bernabeu et al. 2018), the importance of a proper formulation and its effect on cell viability and ultimately how it limits the efficacy of inoculants in the field is largely recognized (Berninger et al. 2018). In the present study we used two common and well known additives, xanthan gum and PVP for liquid formulations, and traditional peat as the carrier for solid inoculants. Used individually, they all performed and maintained a similar shelf life as compared to unamended culture broth. Novel materials and methods to evaluate cell viability have been the focus of recent studies (Lobo et al. 2018), but little is known concerning the development of bioprocesses for the production of indolic compounds. Indole acetic acid is an intermediate metabolite; many biochemical routes are involved in its synthesis and consumption by PGPB, and, moreover, it can be easily and naturally oxidized (Pedraza et al. 2004; Spaepen et al. 2007). The stabilization of indoles in a commercial formulation does not imply any practical effectiveness when used in the field.

Response Surface Methodology is a set of important statistical designs and numerical techniques used to optimize bioprocesses and predict biological behaviors. RSM is based on the fit of experimental data to polynomial equations, and it not only defines the effect of independent variables, but also their interaction and even quadratic effects (Hallenbeck et al. 2015; Liu et al. 2017). In recent years it has been applied for optimization of experiments in fields like phytochemistry and bioprocessing or food and chemical engineering, always emphasizing practical applications (Pandey et al. 2018; Sharma et al. 2018; Amiri et al. 2019). In rotatable designs the variance of predicted response is constant at all points that are equidistant from the design center. Given the number of experimental factors tested and their levels, CCRDs allow an economic design for the response surface due to the reduced number of combinations for the levels of factors studied when compared to the full factorial (Myers et al. 2016).

During the present study, it was possible to optimize medium and bioprocess conditions for cell growth and indoles production by *H. seropedicae* BR11417. Equations for modeling indolic compounds and bacterial biomass production were developed based on cultivation experiments with varying process parameters. As far as the authors are aware, this study is the first successful application of the RSM design for inoculant

production by any *Herbaspirillum* strain, a PGPB genus with interesting and desirable features to be used by farmers (Monteiro et al. 2012). The maximal amount of DCW accumulated was 3.34 g L<sup>-1</sup> (a 2.15-fold increase as compared to original DYGS medium) and 11.97 mg L<sup>-1</sup> of IAA (3.8-fold increase) when the optimized conditions were used. In addition to establishing optimal medium composition, the methodology presented here also makes it possible to predict yields when the variables are altered in some way. The coefficients of determination were 84.6 and 92.4 for DCW and IAA, respectively, confirming the validity of the model. Inoculants produced from these culture conditions have maintained good stability over long periods in spite of the main carrier chosen in the formulation. We expect that the results of this study can support and stimulate inoculant industries in the development of new bioproducts aimed at a more sustainable agriculture.

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#### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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