

# Thermo-Sensitive Liposome co-Loaded of Vincristine and Doxorubicin Based on Their Similar Physicochemical Properties had Synergism on Tumor Treatment

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## ABSTRACT

**Purpose** To develop vincristine (VCR) and doxorubicin (DOX) co-encapsulated thermo-sensitive liposomes (VD-TSL) against drug resistance, with increased tumor inhibition rate and decreased system toxicity, improving drug targeting efficiency upon mild hyperthermia (HT) in solid tumor.

**Methods** Based on similar physicochemical properties, VCR and DOX were co-loaded in TSL with pH gradient active loading method and characterized. The time-dependent drug release profiles at 37 and 42°C were assessed by HPLC. Then we analysed the phospholipids in filtrate after ultrafiltration and studied VD-TSL stability in mimic *in vivo* conditions and long-time storage conditions (4°C and -20°C). Cytotoxic effect was studied on PANC and sw-620 using MTT. Intracellular drug delivery was studied by confocal microscopy on HT-1080. *In vivo* imaging of TSL pharmacokinetic and

biodistribution was performed on MCF-7 tumor-bearing nude mice. And therapeutic efficacy on these xenograft models were followed under HT.

**Results** VD-TSL had excellent particle distribution (about 90 nm), high entrapment efficiency (>95%), obvious thermo-sensitive property, and good stability. MTT proved VD-TSL had strongest cell lethality compared with other formulations. Confocal microscopy demonstrated specific accumulation of drugs in tumor cells. *In vivo* imaging proved the targeting efficiency of TSL under hyperthermia. Then therapeutic efficacy revealed synergism of VCR and DOX co-loaded in TSL, together with HT.

**Conclusion** VD-TSL could increase drug efficacy and decrease system toxicity, by making good use of synergism of VCR and DOX, as well as high targeting efficiency of TSL.

**KEY WORDS** doxorubicin · hyperthermia · solid tumor · thermo-sensitive liposome · vincristine

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## ABBREVIATIONS

CVD-TSL	Thermo-sensitive liposomes containing Cou-6, vincristine and doxorubicin
Cy5-TSL	Thermo-sensitive liposomes containing Cy5
DOX	Doxorubicin
DOX-TSL	Thermo-sensitive liposomes containing doxorubicin
HT	Hyperthermia
TSL	Thermo-sensitive liposomes
VCR	Vincristine
VCR-TSL	Thermo-sensitive liposomes containing vincristine

VD-TSL Thermo-sensitive liposomes containing vincristine and doxorubicin

## INTRODUCTION

Solid tumor is viewed as an organ containing multiple cell types that act in concert to promote tumor growth (1, 2). They have an aggressive histopathological appearance and have often invaded neighboring organs or disseminated throughout tissues at the time of diagnosis (3–5). Many of them have an ambiguous borderline, which leads to high relapse rate after surgical resection. Chemotherapy continues to be the first-line treatment together with radiation therapy or surgery for most cancers, but always be accompanied by undesirable systemic side effects (6, 7) and failed to drug resistance (8). Drug resistance may be defined as a deficient response to chemotherapy (intrinsic resistance) or an early response followed by progression after chemotherapy (acquired resistance) (9). The mechanisms of drug resistance include some enzyme inactivation, membrane permeability changes, blockage of drug into the target structure, the original metabolic process alterations, and so on. As a result of nonspecific drug accumulation (10), chemotherapy patients often suffer adverse side effects associated with overdose because safe dosages may not completely eradicate tumors (11).

Combination therapy seeks to increase cancer eradication efficacy without amplifying systemic toxicity while simultaneously overcoming drug resistance (12). The anthracycline anticancer drug doxorubicin (DOX) is an effective chemotherapeutic agent that is generally used for the treatment of solid tumors (13, 14). It has strong inhibitory effect on the synthesis of DNA and RNA, belonging to the cycle non-specific anticancer drug, and its major limitation is cardiotoxicity. Doxorubicin cardiomyopathy accompanied by congestive heart failure ranges from 0.1 to 18.0% (15), and this complication is associated with a poor prognosis (14, 16). Vincaalkaloid vincristine (VCR) has also been widely used as a broad-spectrum antitumor drug since the 1960s, mainly for lymphoma and leukaemia. It has excellent therapeutic effect but a relative high neurotoxicity (17). As reported, 1 mg/kg of VCR could nearly achieve the tumor inhibitive effects of 10 mg/kg vinorelbine on tumor-bearing nude mice (18). VCR is a metaphase-specific drug that binds tubulin, causing microtubule depolymerization and apoptosis in cells during mitosis (19–21). When be administrated with DOX, VCR exerts cardio protective effects on cardiac myocyte toxicity induced by DOX, as well as chemical and hypoxicoxidative stress (22). In this way, DOX and VCR act on different targets of tumor cells, during solid tumor treatments, their combination would not only effectively surmount the drug resistance, but reduce the cardiotoxicity as well.

Besides the pharmacologic characteristics above, DOX and VCR have similar physicochemical properties for synchronous co-encapsulation as Table I shows (23).

Focusing on decrease undesirable system side effects, we turned to encapsulate the two drugs above to the stimulus-sensitive drug delivery systems to increase their targeting efficiency. The purpose of this targeted drug delivery system is to concentrate drugs on targeted site, significantly decrease drug concentration in circulation and normal tissues, then reduce systemic toxicity as much as possible. TSL was first introduced by Yatvin *et al.* (24, 25), it has a triggered drug release feature around a tunable membrane phase transition temperature ( $T_m$ , 42°C) (26–28), which is obtainable by using an external microwave or a high intensity focused ultrasound (methods of mild hyperthermia) (29, 30). Owing to the enhanced permeability retention (EPR) effect, TSL (diameter – 100 nm) will accumulate into well vascularized tumors, resulting in an enhanced local accumulation first (31–35). TSL combined with HT enabled a prolonged circulation half-life and controlled drug release in the exposure site of HT (36–38), then achieve a high concentration of drug in solid tumor, touching the very boundary (39, 40), so change the drug distribution *in vivo*. In addition, tumor cell sensitivity rises as well as DNA repair activity is inhibited during HT (41). As the most advanced stimulus-sensitive drug delivery system has progressed to clinical trials in recent years. The Celsion Inc. (USA) developed DOX-TSL, named ThermoDox®(42, 43). It has apparent thermo-sensitive characteristic and effective tumor suppression, which was approved for clinical trials in 2006, and completed phaseIII trials in 2012 (44, 45). Although the results failed to meet its required endpoints for progression free survival, we understand science is never plain sailing. Just as their supplementary phaseIII trials in China, TSL is still a promising vesicle for antineoplastic agents.

In this work, a solid tumor targeting drug delivery system of VD-TSL (Thermo-sensitive liposomes containing vincristine and doxorubicin) was constructed. And the principle of drug co-encapsulation is showed in Fig. 1. The physicochemical characters, *in vitro* drug release and stability of VD-TSL within 6 months were investigated. *In vitro* and *in vivo* experiments on solid tumor were carried out to evaluate the performance of the prepared VD-TSL.

## MATERIALS AND METHODS

### Materials

1, 2-Dipalmitoyl-sn-glycero-3-phosphocholine (DPPC), 1-stearoyl-2-hydroxy-sn-glycero-3-phosphatidylcholine (MSPC) and 1, 2-distearoyl-sn-glycero-3-phosphoethanolamine- N-[methoxy(polyethylene glycol)-2000] (DSPE-PEG<sub>2000</sub>) were purchased from Avanti (USA).

**Table 1** Physicochemical Properties of DOX and VCR

Name	Category	Water solubility mg/ml	p Ka		log P
			Strongest Acidic	Strongest Basic	
DOX	alkaloid	1.18	9.53	8.94	1.27
VCR	alkaloid	0.03	10.85	8.66	2.82

Sulfate vincristine was obtained from Baiyunshan Company (PRC).

### Preparation of Different Liposome Formulations

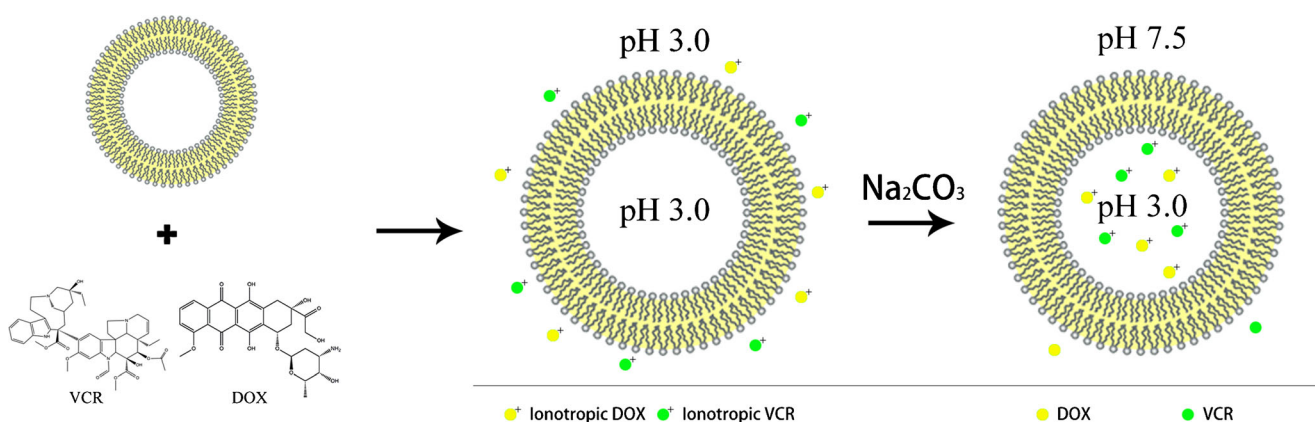
VCR and DOX was simultaneously loaded into liposomes, formulated with DPPC: DSPE-PEG<sub>2000</sub>: MSPC = 75:17:8 (weight ratio) using the active loading way (46). First of all, the blank liposomes were prepared by lipid film hydration and extrusion (47). The lipids were dissolved in chloroform and the solvent was removed under vacuum in rotary evaporator forming the homogeneous lipid film, vacuum dried for 8 h. Then lipid film was hydrated in 300 mM citric acid buffer solution at 50°C for 30 min. The newly formed multilamellar lipid vesicles were shattered by homogenizer (EmulsiFlex-C3, AVESTIN, Canada) from 5000 to 15000 psi for several cycles, extruding through extruder of 200 nm polycarbonate filter at 50°C and resulted in small TSL with a uniform size (19, 48).

VCR and DOX (1:4, *w/w*) were loaded into the liposome using the pH gradient method at 1:20 Drug/Lipids mass ratio (49). Liposome suspension was directly added into 300 mM citric acid buffer drug solution, and then we adjusted pH of extrinsic phase to 7.5 using Na<sub>2</sub>CO<sub>3</sub> solution (Fig. 1). As a result, liposomes with pH gradient between extrinsic and interior phase were developed, then incubated for about 30 min. In the end, most of drug molecular were locked in interior phase as ionotropic VCR and DOX. Then VD-TSL

were filtration sterilized by 100 nm polycarbonate filter and subpackaged to aseptic pials (VCR 0.4 mg/ml, DOX 1.6 mg/ml, 1 ml/pial) (19, 50). VCR-TSL (VCR 2 mg/ml) and DOX-TSL (DOX 2 mg/ml) were prepared the same way as VD-TSL.

### Quantitative Determination of Drugs

HPLC was used for the quantitative determination of VCR and DOX. The HPLC system consisted of a G1311A QuatPump, a G1315D DAD detector (Agilent, 1200 series, America). An EPC-C8 guard column and a ZORBAX SB-C8 analytical column (4.6 mm × 250 mm, pore size 5 μm) was used (51). For the detection of VCR and DOX, the mobile phase consisted of methanol: diethylamine solution (Mixture of water and diethylamine (985:15), adjusted with phosphoric acid to pH 7.5) 70:30 (*v/v*) introduced at a flow rate of 1 ml/min and the detection wavelength was 297 nm. (VCR: linearity:  $y = 15.3x$ ,  $r^2 = 1$ , range: 10 μg/ml - 1.2 mg/ml; DOX: linearity:  $y = 13.68x$ ,  $r^2 = 0.999$ , range: 10 μg/ml - 1.2 mg/ml; reproducibility and recovery for the method could meet the requirements.) While that for related compounds of VCR, the mobile phase is maintained at a flow rate of 1 ml/min, with an initial gradient of 62% of methanol and 38% of diethylamine solution for 12 min, then changed to increase methanol at a rate of 2% per minute, so that after 15 min it will comprise 92% of the mixture, then changed to decrease methanol at a rate of 15% per minute, so that after 2 min it will



**Fig. 1** The drug loading process.

again comprise 62% of the mixture. (System Suitability was good, detective limit was 3 ng/ml, and quantificative limit was 10 ng/ml.)

### Entrapment Efficiency

An ultrafiltration technique was used to separate the unencapsulated VCR and DOX from liposomes. A total of 0.5 ml drug containing liposomes, which was diluted 10 times, was placed in the upper chamber of a centrifuge tube matched with an ultrafilter (Sartorius Vivaspin 500 µl, 30 k MWCO PES, Germany) and was centrifuged for 10 min at 10,000 rpm. The ultrafiltrate in the ultrafilter containing the unencapsulated drug was determined by HPLC, as described above. The total drug in VD-TSL was determined through SDS solution (5%) disruption by HPLC. The entrapment efficiency (EE%) was calculated using the following equation:

$$EE\% = (W_{total\ drug} - W_{free\ drug}) / W_{total\ drug} * 100\%$$

Where  $W_{total\ drug}$  and  $W_{free\ drug}$  represent the total drug in TSL and the amount of free drug in the ultrafiltrate, respectively.

### Particle Size and Zeta Potential

The particle size distribution of the liposomes was determined using photo correlation spectroscopy (Nanophox, Sympatec GmbH, Germany). Liposome suspension was diluted with distilled water to avoid multiscattering phenomena; desired temperature was 25°C; measuring mode was cross correlation. Zeta potential analysis was conducted by using a Malvern Nano-ZS90 (Malvern Instruments, UK).

### TEM Cryo Imaging

The morphology of the liposome was observed by transmission electron microscopy (TEM, JEM-1010, JEOL Ltd., Tokyo, Japan). Samples for imaging were prepared by applying a 15 µl droplet of distilled water diluted liposome suspension to a Formvar-coated copper grid. Then the copper grid was air-dried for 10 min at room temperature after removing the excessive sample with filter paper. The adhered liposomes was negative stained by 3% phosphotungstic acid solution, and air-dried at room temperature (52).

### In Vitro Release of Drug from Liposomes With Different Formulations

*In vitro* drug release from TSL with different formulation (VCR, DOX, VCR vs DOX) was established at 37, 39, 41 and 42°C respectively. Add TSL 1 ml into a little beaker which had 9 ml normal saline (42°C or 37°C) under stirring.

The drug release was measured over time (at 1, 3, 5, 10, 30, 60 min) (52), extracting 500 µl TSL suspension and ultrafiltrating, then add additional 500 µl normal saline.

Dissolved TSL (by adding 5% sodium dodecylsulphate (10:1 v/v)) were considered as a positive control. The accumulated drug release (A%) was calculated using the following equations:

$$A_i(\%) = \frac{C_i \times 10 + (C_{i-1} + C_{i-2} + \dots + C_1) \times 0.5}{C_{control} \times 10} \times 100\%$$

i-The sampling number;  $C_i$ -The concentration of Ultrafiltration filtrate;  $C_{control}$ -The concentration of dissolved TSL.

### Phospholipid Analysis

To confirm the ultrafiltration technique used in the entrapment efficiency determination, we establish a high performance liquid chromatographic method with charged aerosol detection (HPLC-CAD, Waters e2695) for the determination of MSPC in blank TSL filtrate. The mobile phase was composed of methanol and distilled water with a flow rate of 1.0 ml/min. The detector parameters included a gas pressure of 35 psi (house nitrogen) and a range of 200 pA, and a Venusil XBP-C8 column was used (53, 54). The concentration of MSPC control solution was 20 µg/ml.

### Stability Study

The *in vitro* stability of liposomes were evaluated using a Turbiscan Lab® Expert (Formulation, L'Union, France), an innovative analytical instrument able to determine the small changes of colloidal systems (55). At predesigned time points through 6 months, 3 ml of the VD-TSL within specific cylindrical glass tubes under 4°C storage ( $n = 3$ ) was submitted to Turbiscan Lab® Expert stability analysis. While VD-TSL under -20°C ( $n = 3$ ) storage had to thaw first, and then be submitted to stability analysis above. And VD-TSL was also diluted by cell culture medium (90% DMEM) containing 10% FBS and analysis for 24 h. Measurements were carried out using a pulsed near infrared LED at a wavelength of 880 nm.

### In Vitro Cyto Toxicity

Cytotoxicity of Blank/Heating (Blank/HT, 45°C water bath for 30 min), VCR-TSL/HT, DOX-TSL/HT, VCR vs DOX, VD-TSL and VD-TSL/HT was evaluated by MTT assay with sw-620 and PANC cells. sw-620 and PANC cells purchased from the Cell Resource Centre (IBMS, CAMS/PUMC) were intained in the culture medium RPMI and DMEM supplemented with 10% FBS, 100 IU/ml penicillin,

and 100 mg/ml streptomycin, respectively (56). The two kinds of cells were plated in 96 well plates at a concentration of  $1 \times 10^5$  cells/well. The cells were allowed to adhere to the bottom of the wells for 24 h at 37°C with 5% CO<sub>2</sub> (57). After then, the plates with 20 µl of VCR-TSL (100 µg/ml), DOX-TSL (100 µg/ml) and VD-TSL (VCR: DOX = 1: 4, *w/w*, 100 µg/ml in total) were placed at 42°C water bath for 30 min, as well as the Blank/HT ones. The others were added 20 µl of VCR *vs* DOX (VCR: DOX = 1: 4, *w/w*, 100 µg/ml in total) and VD-TSL without HT. Then we incubated all the plates for an additional 24, 48 and 72 h, respectively. Next, 20 µl of MTT solution (5.0 mg/ml) was added to each well, and the plates were incubated for 4 h at 37°C. The media was removed and then 150 µl dimethyl sulfoxide (DMSO) was added to each well to dissolve the formazan crystals formed by the living cells. Cells without treatment were used as control (58). The absorbance at 492 nm of the solution in each well was recorded using a Microplate Reader (Model 680, BIO-RAD, USA). All samples were evaluated in sextuplicate.

### Confocal Laser Scanning Microscopy (CLSM)

Following the culture of sw-620 and PANC cells for 24 h on a petri dish, DOX-TSL, DOX-TSL/HT, VCR *vs* DOX, VD-TSL, VD-TSL/HT, Cou-TSL, Cou-TSL/HT, CVD-TSL (Cou, VCR and DOX), CVD-TSL/HT were added to each dish, and incubated at 37°C for another 4 h. Then the medium was removed, and the cells were washed with cold PBS (0.1 M, pH 7.4) for 3 times, followed by fixing with 4% paraformaldehyde for 20 min. Nucleus staining was performed by Hoechst33258 for 10 min at ambient temperature. The fluorescent images of cells were analyzed via confocal laser scanning microscopy (UltraVIEWVox, PerkinElmer, USA).

### Animal Model

Female nu/nu nude mice (weighing 18–22 g) were purchased from Vital River Laboratories (Beijing, China). A xenograft tumor model was produced via subcutaneous injection of MCF-7 cells as described in our previous report (55). All procedures involving animal housing and treatment were approved by the Animal Care and Use Ethics Committee of the Academy of Military Medical Sciences. Upon reaching tumor size of about 5 mm in diameter after 10 days growth, we began to prepare for the following experiments.

### In Vivo Imaging

The MCF-7 xenografted nude mice were received tail i.v. with 200 µl of 5% glucose (control), free Cy5 or Cy5-TSL at 1.2 mg/kg. Half of Cy5-TSL mice had HT treatment after administration. HT treatment was as follow: After injection,

the mice were fixed on heat insulation board by rubber band. The board had temperature-controlled holes, and tumor was placed above the holes. Temperature of holes was controlled at about 42°C by copper stick which had one terminal into hot water bath (45°C), and gave HT to tumor location for 30 min. Subsequently, *in vivo* fluorescence imaging was performed with a IVIS® Lumina II *in vivo* imaging system (IVIS® Lumina II *In Vivo* Imaging System, Caliper life sciences, USA) at the indicated times (15 min, 30 min, 1 h, 6 h and 24 h after injection). After *in vivo* imaging, the mice were sacrificed by cervical dislocation, and the tumor and major organs, including heart, liver, spleen, lung, kidney, were excised and imaged.

### In Vivo Antitumor Efficacy

40 nude mice bearing similar sized tumor were selected from 70 ones, then randomly divided into five groups. Control group (CG) received tail i.v. of physiologic saline; Injection group (IG) was given tail i.v. of VCR *vs* DOX solution (VCR 0.2 mg/kg, DOX 0.8 mg/kg); VCR-TSL/HT group (VG) was administrated tail i.v. of VCR-TSL (1.0 mg/kg) then had HT treatment; DOX-TSL/HT group (DG) was DOX-TSL (1.0 mg/kg) suspension with HT treatment and VD-TSL/HT group (VDG) was VD-TSL (VCR 0.2 mg/kg, DOX 0.8 mg/kg) (55).

Mice were subjected to treatment every 72 h, three treatments were given in all. During treatment, major and minor diameter of tumor was determined every day. Calculate volume according to formula 1:  $V = 1/2 * L * S^2$ , where L was major and S was minor diameter. Three days after the last treatment, mice were executed. Their tumors were deprived and weighed. Based on the data, growth curves of tumor were drawn and tumor inhibition rate (IR) was calculated as formula 2:  $IR = (1 - m/mc) * 100\%$ . The mc was the average weight of tumor in control group, m was average weight of tumor in other experimental groups (56).

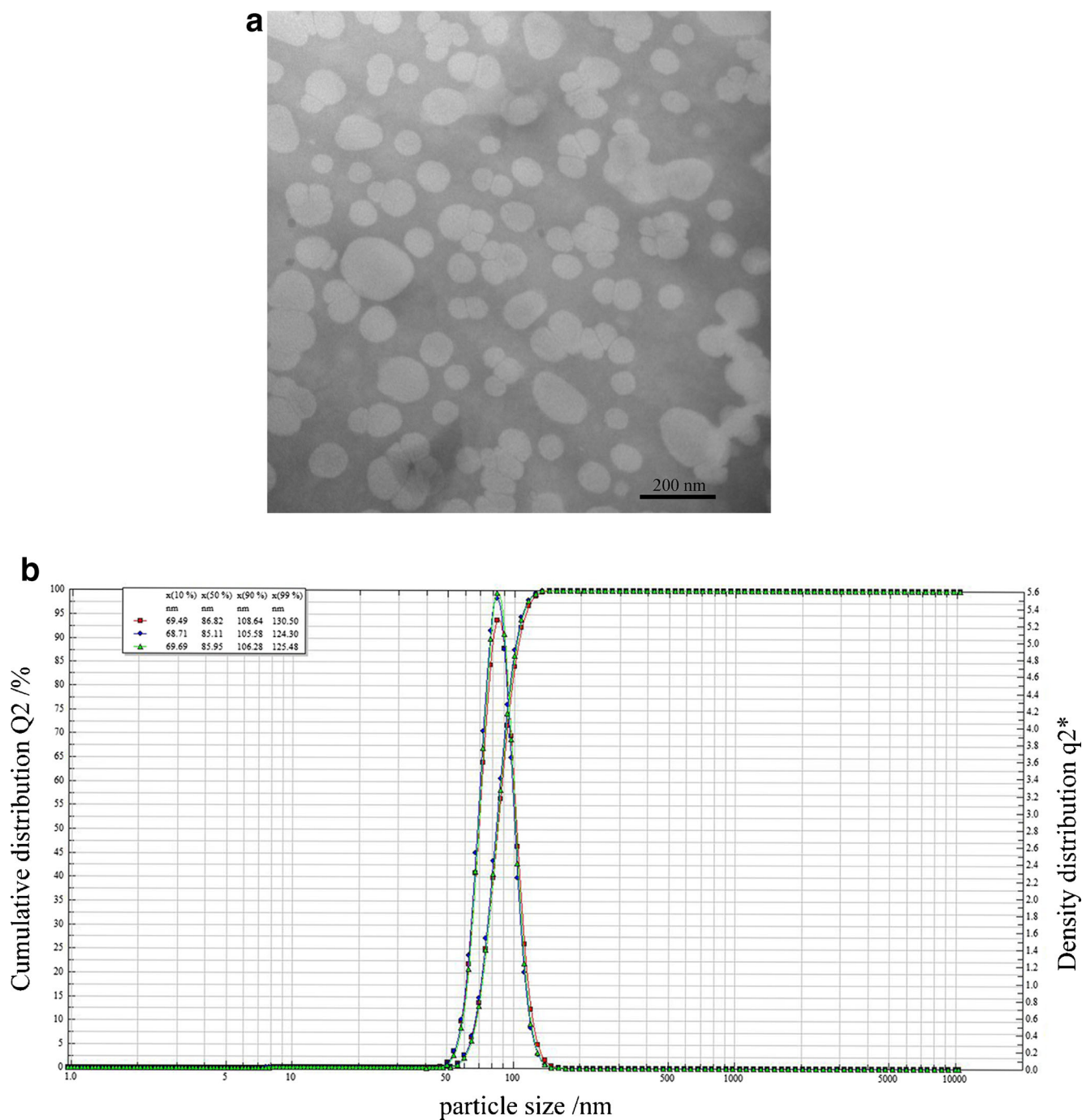
### Statistical Analysis

All data are shown as means standard deviation (SD) unless particularly outlined. Student's *t* test or one-way analyses of variance (ANOVA) were performed in statistical evaluation. A P-value less than 0.05 was considered to be significant, and a P-value less than 0.01 was considered as highly significant.

## RESULTS

### Preparation and Characterization of Liposomal Formulations

A drug delivery system based on the temperature-triggered VCR and DOX release of TSL was proposed for the targeted



**Fig. 2** TEM imaging of TSL (**a**); Particle size distribution of TSL (**b**).

**Table II** Characterization of Blank Liposome and Drug Loaded TSLs ( $n = 3$ )

Batch	Size ( $X_{50}$ , nm)	pH	Zeta potential (mV)	Drug content (mg/ml)	Entrapment efficiency (%)
Blank liposome	$85.23 \pm 2.03$	$3.02 \pm 0.12$	$2.13 \pm 0.21$	—	—
VCR-TSL	$90.03 \pm 0.28$	$7.31 \pm 0.22$	$0.33 \pm 0.10$	$2.05 \pm 0.05$	$98.21 \pm 1.55$
DOX-TSL	$88.45 \pm 1.41$	$7.26 \pm 0.14$	$-1.27 \pm 0.06$	$2.02 \pm 0.11$	$97.39 \pm 0.84$
VD-TSL	$90.12 \pm 0.86$	$7.36 \pm 0.06$	$-0.69 \pm 0.39$	$2.01 \pm 0.10$	$97.84 \pm 1.23$

delivery of drugs to tumor cells. Transmembrane gradient-loading methods have been used to actively encapsulate amphipathic weak bases into liposomes with high entrapment efficiency. These include simple pH gradients, ammonium gradients,  $MnSO_4$  gradients, and so on. Both VCR and DOX are amphipathic weak bases with similar pKa (Table I), and we loaded them into liposomes by pH-gradient. Instead of eluting dextran gel (G50) column, we directly dropped  $Na_2CO_3$  solution to exterior phase of TSL generating gradient. This method was simple, quick and obtained high entrapment efficiency.

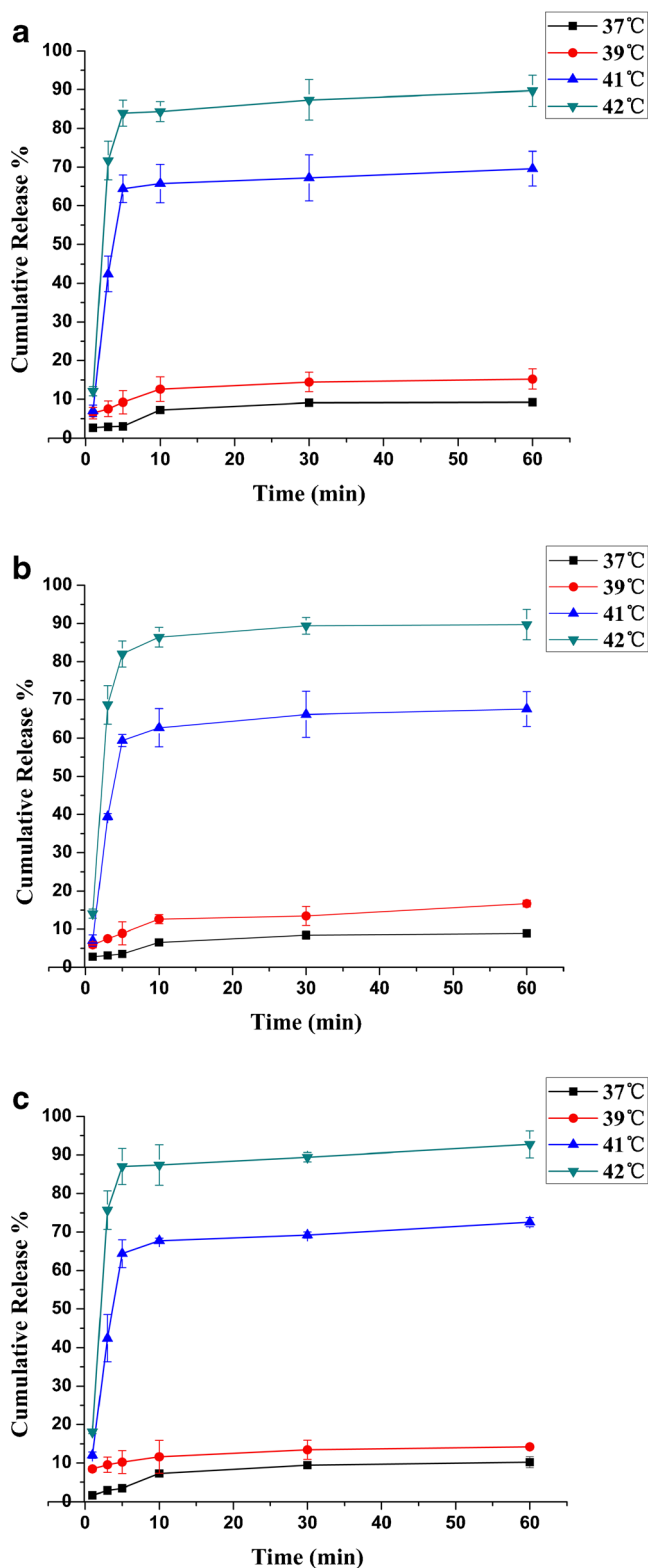
Three TSLs, including DOX-TSL, VCR-TSL and VD-TSL, were fabricated via the film evaporation/ultrasonication method. The morphology (Fig. 2) of the fabricated liposomes had a regular circular shape. The mean diameter, pH, assay and encapsulation efficiency of the liposomes were exhibited in Table II. The results showed all the liposomes had a mean particle size of about 90 nm, and an encapsulation efficiency of about 98%. The zeta potential of VD-TSL was near zero because DPPC, MSPC and DSPE-PEG<sub>2000</sub> were all electric neutrality.

### Temperature Triggered Release of Different Formulations

Whether different drug had an influence on drug release under heat stimulus is crucial for the success of the targeting liposomal system. The release of DOX and VCR from VCR-TSL, DOX-TSL, VD-TSL at different temperatures (37, 39, 41 and 42°C) was depicted in Fig. 3. The data revealed the release of both DOX and VCR from various liposomes exhibited a temperature-dependent characteristic, and was considerably low at 37°C (released less than 10% of total amounts after heating for 30 min). However, the release amount of both payloads approached about 85% within the first 5 min of heating at 42°C. At the end of HT process there was still 8–10% of the encapsulated DOX or VCR remained in carriers. The statistical differences of the 3 TSL formulations were not significant.

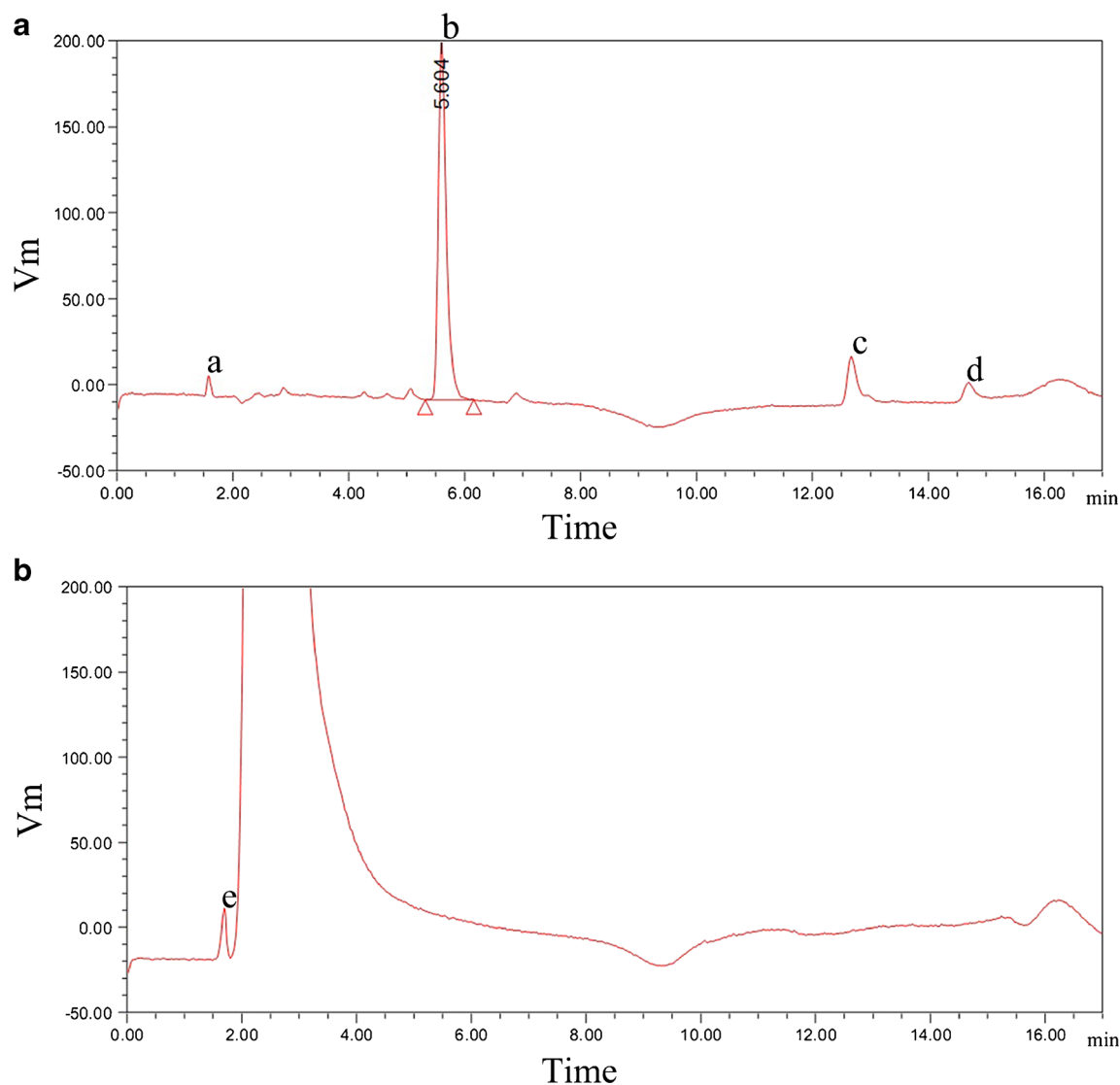
### Phospholipid Analysis

We analyzed the filtrate and MSPC solution. As shown in Fig. 4a, the peak b, c and d were the special peaks for MSPC, the peak a was methol. While in Fig. 4b, peak e was water, and the large peak after it was sugar and inorganic salts (59). We recognized that none of specific peaks for MSPC could be found in Fig. 4b, indicating that there was no phospholipid in filtrate after ultrafiltration of blank TSL. The figure suggested



**Fig. 3** Temperature-triggered release behaviors of VCR (a), DOX (b) and VCR vs DOX (c) from the fabricated TSLs at 37, 39, 41, and 42°C, respectively ( $n = 3$ ).

the rationality of ultrafiltration in TSL entrapment efficiency determination.



**Fig. 4** CAD evaluation of 20 µg/ml MSPC (**a**); CAD evaluation of vincristine TSL filtrate after ultrafiltrate (**b**).

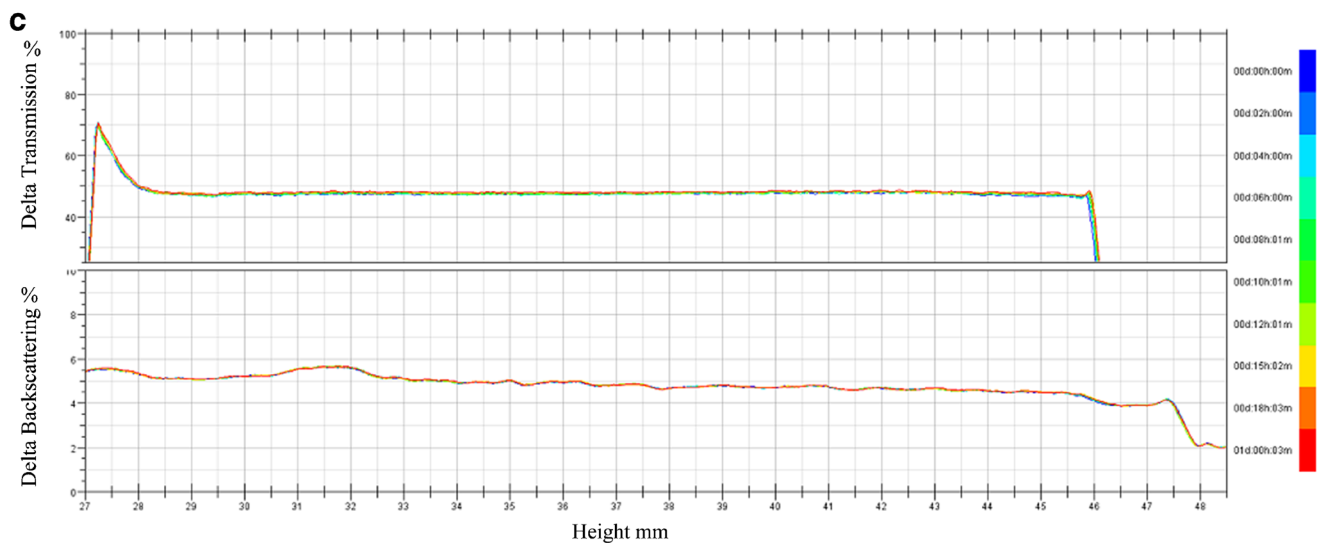
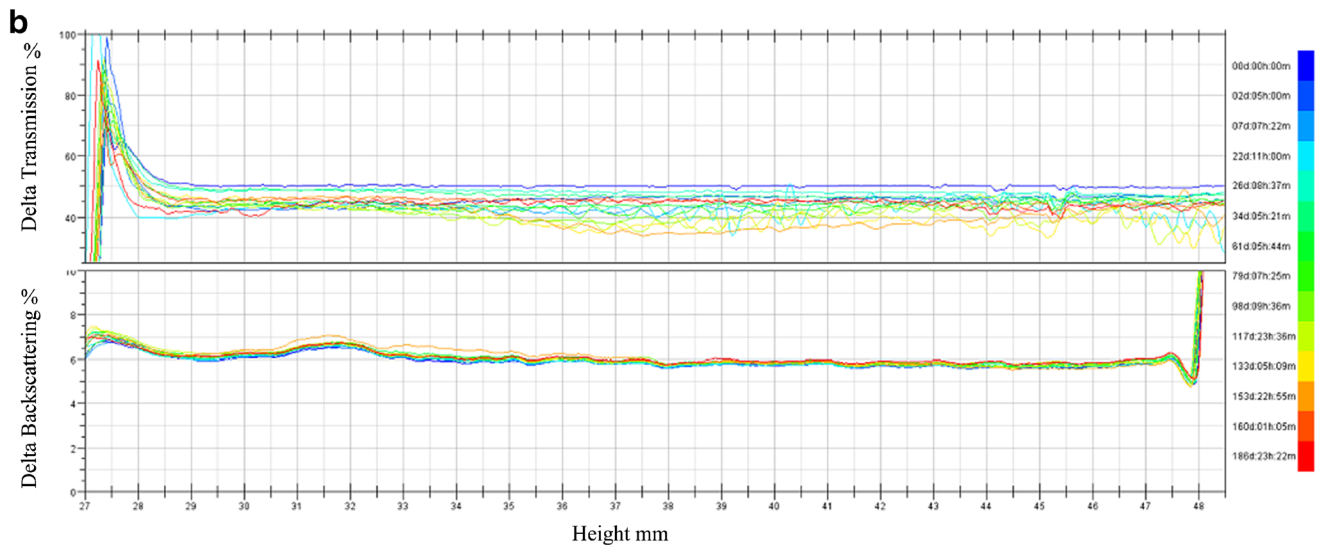
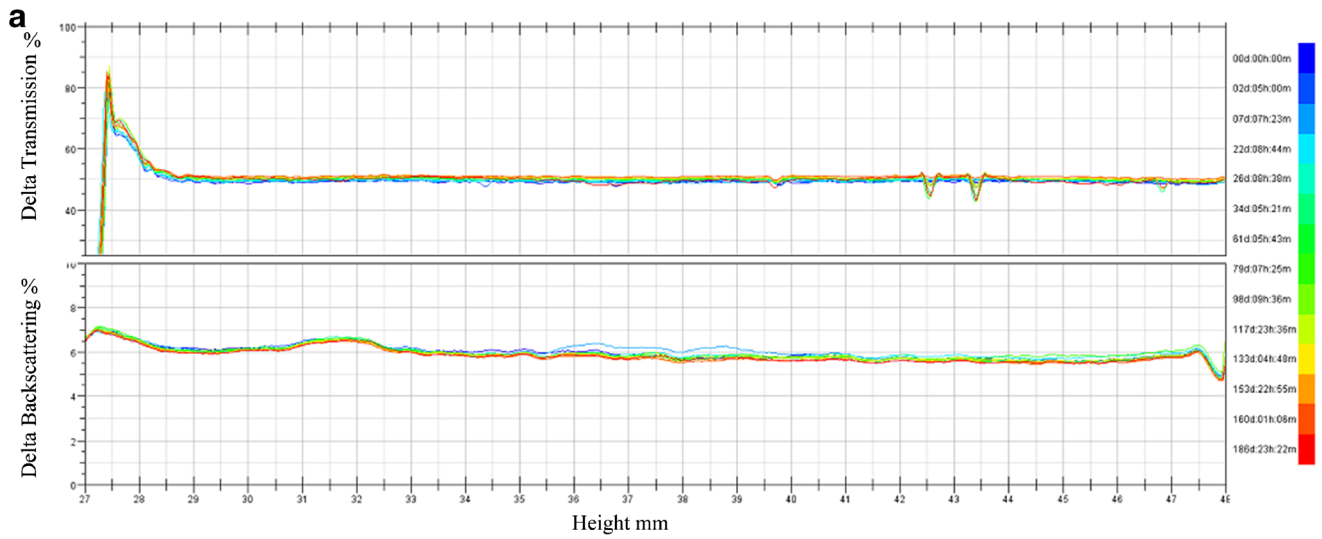
### Stability Study

The stability of VD-TSL is an important aspect to be taken into account when further used *in vivo* as product. The occurrence of aggregation, deposition and hydrolysis phenomena of VD-TSL under storage can lead to significantly worsening of the biopharmaceutical features of the vesicles. So the stability of VD-TSL diluted by cell culture medium for 24 h (37°C) and stored under 4°C, -20°C within 6 months was evaluated using the Turbiscan Lab® Expert. Variations greater than 10% either as a positive or negative value in the graphical scale of backscattering are the representative of an instable formulation (55). According to this judgement, the transmission or back-scattering profiles obtained (Fig. 5) indicating there was no apparent aggregation or sedimentation occurred of VD-TSL under each situation.

The particle size variations in Tables III and IV indicated that there had been some hydrolysis and oxidation of VCR under 4°C, since the liquid suspension of VCR is not a stable long-term storage state. But the morphology of TSL stored under 4°C was still stable. Each time we evaluated VD-TSL stored under -20°C, they were melt first and frozen again after evaluation. Their size increased a little within proper range because of the generation of ice crystal, and the evaluative operation also proved that VD-TSL had excellent frozen-melt stability. Besides size variations, we also take other

**Fig. 5** Transmission and backscattering profiles of VD-TSL by using Turbiscan Lab® Expert. The image (**a** and **b**) represent the analysis of different storage conditions within 6 months: 4°C and -20°C, respectively. And the stability of VD-TSL diluted by cell culture medium for 24 h at 37°C (C). Data are reported as a function of time and sample height (from 28 to 48 mm).





**Table III** The Physico-chemical Changes of VD-TSL Within 6 Months Under 4°C (*n* = 3)

Months	Size ( $X_{50}$ , nm)	VCR Related compounds (%)		Entrapment efficiency (%)
		Individual Related compounds	Total Related compounds	
1	82.10 ± 3.21	3.07 ± 0.02	5.07 ± 0.02	98.70 ± 0.01
2	79.16 ± 2.50	4.00 ± 0.01	6.40 ± 0.02	98.43 ± 0.02
3	79.42 ± 0.84	4.74 ± 0.02	7.07 ± 0.03	97.89 ± 0.01
4	79.28 ± 1.56	7.47 ± 0.01	9.09 ± 0.01	96.34 ± 0.02
5	79.10 ± 1.12	8.19 ± 0.01	10.15 ± 0.05	96.85 ± 0.03
6	75.26 ± 2.43	9.39 ± 0.04	10.63 ± 0.03	96.84 ± 0.02

factors into consideration in VD-TSL study as depicted in Tables II and III. According to the United States Pharmacopoeia, the individual related compounds of VCR shall not be greater than 2% and the total related compounds shall not be greater than 5%. After comparison, we draw a conclusion for VD-TSL: -20°C for long-term storage, and short-term deposit under 4°C.

## HEAT MEDIATED CYTOTOXICITY *IN VITRO*

### *In Vitro* Cytotoxicity of the Liposomes

The *in vitro* cytotoxicity of Blank/HT, VCR-TSL/HT, DOX-TSL/HT, VCR *vs* DOX, VD-TSL and VD-TSL/HT were tested in PANC and sw-620 cells using a MTT assay. As shown in Fig. 6, the cell viability decreased as prolonging the cell culture time with various TSLs. The results depicted VD-TSL showed stronger anti-proliferative activity than VCR *vs* DOX in both PANC and sw-620 cell lines. The TSL with HT promoted anti-proliferative activities in solid tumor cell lines. VD-TSL/HT and VCR-TSL/HT showed the strongest cytotoxicity on PANC cells, followed by VD-TSL, VCR *vs* DOX, DOX-TSL/HT and Blank/HT, respectively. The data also verified that TSLs had higher drug penetration than

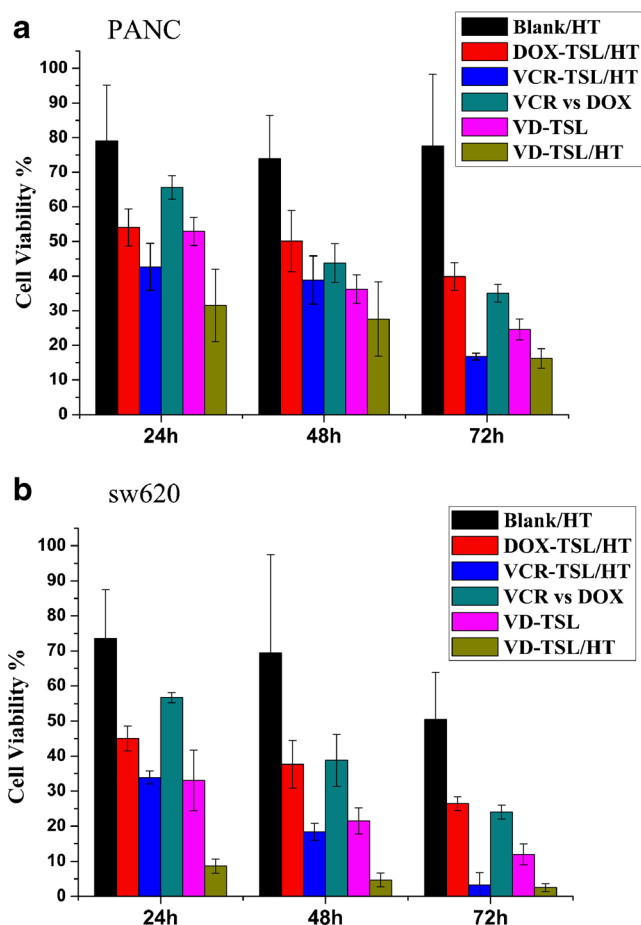
free drugs through membrane fusion. On the other hand, HT could improve cell permeability, VCR-TSL/HT, DOX-TSL/HT and VD-TSL/HT groups also boost cytotoxicity of the liposomes to sw-620 cells. HT made damages to cells, but the cell viability hadn't decreased as prolonging the cell culture time. It could raise the membrane fluidity of cells, and opening doors for drugs ingress, that's why VD-TSL/HT had apparently stronger anti-proliferative activities than VCR *vs* DOX. The results confirmed that sw-620 cells were more sensitive than PANC cells to VCR and DOX (Fig. 6), and heat-stimulus promoted anti-proliferative activities of drugs to solid tumor cells. Comparing DOX-TSL/HT and VCR-TSL/HT with VD-TSL/HT, it was noted that DOX and VCR had apparent synergism as many clinical trials reported (60–64).

### Confocal Microscopy Analysis

The cytotoxicity and cell penetrating efficiency of TSL was further evaluated by confocal laser scanning microscopy. In order to clarify the internalization of the liposomes, the cell nuclei were stained with Hoechst33258 (blue), the DOX-TSL and VD-TSL had red fluorescence (DOX), Cou-TSL had green fluorescence (Cou-6). Then the CVD-TSL had red fluorescence and green fluorescence. As shown in Fig. 7a, the red

**Table IV** The Physico-chemical Changes of VD-TSL Within 6 Months Under -20°C (*n* = 3)

Months	Size ( $X_{50}$ , nm)	VCR Related compounds (%)		Entrapment efficiency (%)
		Individual Related compounds	Total Related compounds	
1	85.10 ± 3.22	0.63 ± 0.01	1.22 ± 0.01	98.88 ± 0.01
2	85.96 ± 1.89	0.91 ± 0.01	1.50 ± 0.02	98.81 ± 0.02
3	91.22 ± 2.95	1.36 ± 0.02	2.15 ± 0.01	98.75 ± 0.03
4	93.46 ± 0.78	1.70 ± 0.01	2.43 ± 0.00	98.53 ± 0.02
5	93.55 ± 4.01	1.86 ± 0.00	2.55 ± 0.01	98.00 ± 0.01
6	92.11 ± 1.56	1.87 ± 0.01	3.16 ± 0.02	98.88 ± 0.01



**Fig. 6** antiproliferative activity of Blank/HT, DOX-TSL/HT, VCR-TSL/HT, VCR vs DOX solution, VD-TSL and VD-TSL/HT. **(a)** Cell viability of PANC cells after incubating with various formulations with different times. The data are presented as the mean  $\pm$  SD ( $n = 6$ ). Blank/HT  $P < 0.01$  versus VD-TSL/HT; VD-TSL/HT  $P < 0.05$  versus VD-TSL; VD-TSL/HT  $P < 0.05$  versus VCR vs DOX solution; VD-TSL/HT  $P < 0.05$  versus DOX-TSL/HT; VD-TSL/HT  $P > 0.05$  versus VCR-TSL/HT. **(b)** Cell viability of sw-620 cells after incubating with various formulations with different times. Blank/HT  $P < 0.01$  ( $n = 6$ ) versus VD-TSL/HT; VD-TSL/HT  $P < 0.05$  versus VD-TSL; VD-TSL/HT  $P < 0.05$  versus VCR vs DOX solution; VD-TSL/HT  $P < 0.05$  versus DOX-TSL/HT; VD-TSL/HT  $P < 0.05$  versus VCR-TSL/HT.

fluorescence (in the web version) can be found distributing mainly in the nuclei of the HT-1080 cells treated with formulations containing DOX. DOX-TSL and VD-TSL had stronger red fluorescence intensity than DOX vs VCR, indicating that phospholipid membrane was better for drug entrance to cells through fusion effect. When given hyperthermia stimulus, the membrane permeability of cells would be apparent improved, as Fig. 7b. Cou-TSL/HT had stronger green fluorescence intensity in cytoplasm of the HT-1080 cells than Cou-TSL. Fig. 7c indicates the accumulation of CVD-TSL in the HT-1080 cells with and without hyperthermia, respectively. According to the images, CVD-TSL with hyperthermia exhibited the most intense intracellular fluorescence in HT-1080 cells as expected. CVD-TSL/HT represented the

behavior of VD-TSL/HT in cells. With Cou-6 distributed in TSL membrane, CVD-TSL/HT had a more clear presentation that TSL could entered cells totally through membrane fusion.

## IN VIVO ANTI-TUMOR EFFICIENCY OF THE TARGETED LIPOSOMES

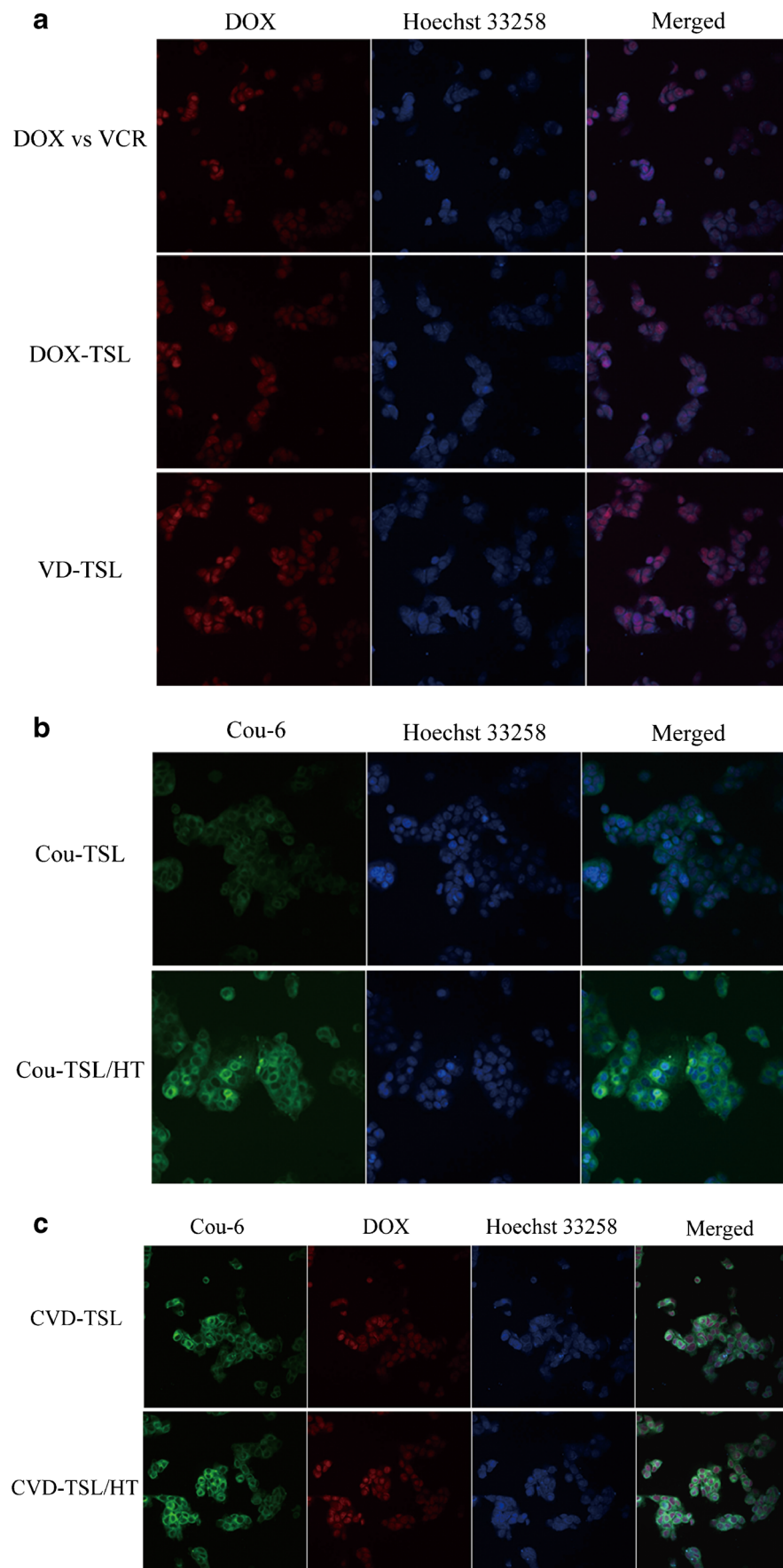
### In Vivo Distribution of TSL

Having demonstrated the efficiency in solid tumor cells *in vitro*, we next explored the activity of free drugs and TSL in MCF-7 tumor-bearing Nu/Nu nude mice models. Cy5 integrates with high stability into liposome and remains attached even when in contact with other membranes. To determine the real-time biodistribution of TSL, Cy5-labeled liposomes were administrated to MCF-7 xenograft models through tail i.v., then the time-dependent clearance and *in vivo* targeting efficacy of formulations were observed using non-invasive imaging in live animals (Fig. 8a). A strong signal was observed in the whole body within 15 min post the injection of Cy5-labeled formulations without obvious targeting effect, and gradually decreased as the time elapsed, indicating the rapid circulation of formulations in the blood stream. By monitoring real-time fluorescence intensity in the whole body, the targeting characteristics of the formulations were easily determined 30 min later. Cy5 solution showed nonspecific distribution of fluorescence all over the body, and the fluorescence intensity decreased rapidly post injection. However, the fluorescence was still detectable at 12 h after administration of Cy5-TSL and Cy5-TSL/HT, indicating a prolonged circulation of the nanocarriers. Cy5-TSL/HT showed the strongest fluorescence signal in the tumor among the formulations, suggesting that Cy5-TSL/HT preferentially released Cy5 around tumor tissues, and made Cy5 accumulated in the tumor.

For a more accurate measurement, major internal organs (heart, liver, spleen, lung, kidney and tumor) were taken at 12 h post injection and analyzed directly on the fluorescent imager (Fig. 8b). The fluorescence level of Cy5-TSL and Cy5-TSL/HT in liver was observed to be higher than that of the Cy5 solution. The increase in liver accumulation of Cy5-TSL and Cy5-TSL/HT may be due to the reticuloendothelial system (RES) filtration (65). However, the fluorescence intensity of Cy5-TSL/HT in the tumor was significantly higher than other groups, which was resulted from the synergistic reaction of hyperthermia and EPR effect (66) of tumors.

### In Vivo Therapeutic Efficacy

The antitumor efficacy against the MCF-7 xenograft was evaluated by comparing 1) control group, 2) VCR vs DOX solution, 3) VCR-TSL/HT, 4) DOX-TSL/HT, 5)VD-TSL/HT.



**Fig. 7** Cellular localization of each formulation monitored by confocal microscopy after incubating with HT-1080 cells for 4 h. (a) DOX vs VCR solution, DOX-TSL, VD-TSL, all of them without HT; (b) Cou-TSL and Cou-TSL/HT; (c) CVD-TSL and CVD-TSL/HT.

Although all treatment groups (groups 2–5) showed initial tumor regression, only the liposome co-encapsulated formulation showed significant tumor regression as well as acceptable body weight decrease. As shown in Fig. 9a, the tumor volume of mice receiving physiological saline as control (C) rapidly increased in 22 days. Under HT, the tumor inhibitive effect was in the order of VCR-TSL/HT (1.0 mg/kg) > VD-TSL/HT (1.0 mg/kg) > DOX-TSL/HT (1.0 mg/kg) > VCR vs DOX solution (Injection, 1.0 mg/kg).

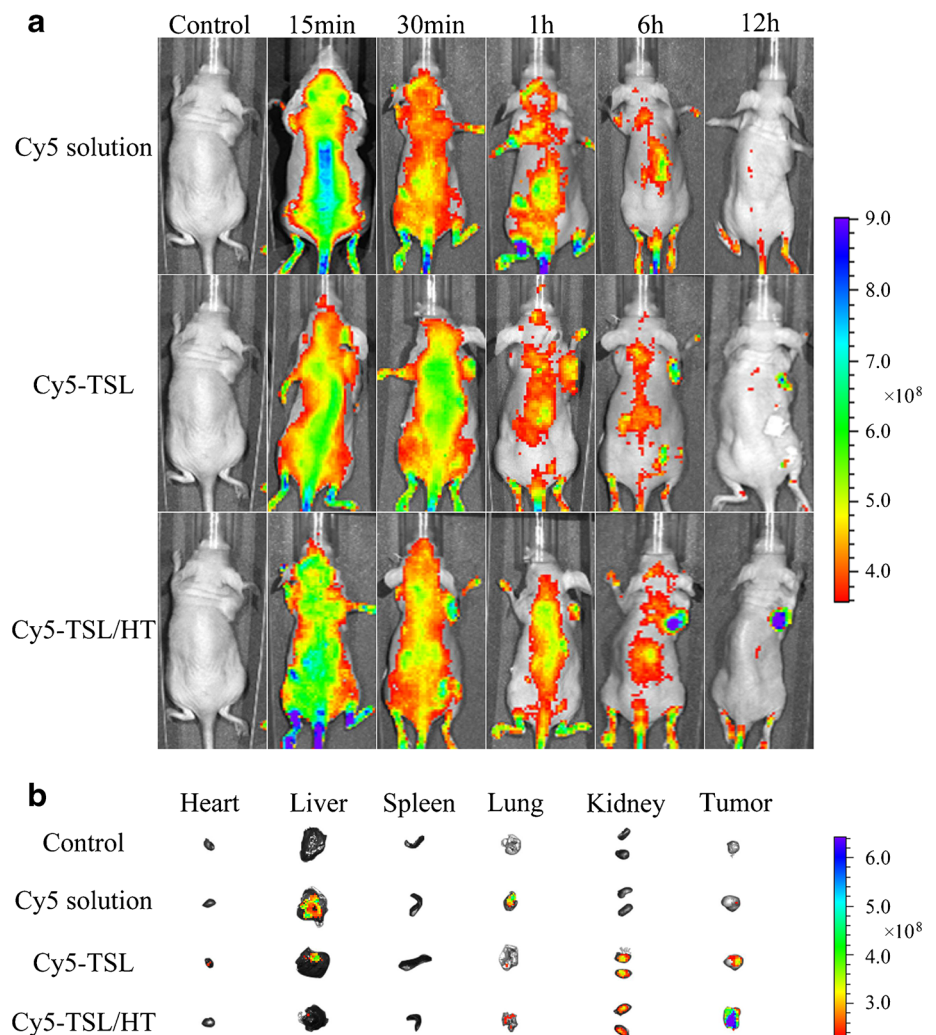
The body weight variations over the treatment period were also monitored to estimate the toxic side-effects of each group. As shown in Fig. 9b, there was apparent reduction in body weight of the nude mice groups: VCR-TSL/HT > DOX-TSL/HT and VCR vs DOX solution > VD-TSL/HT. Compared with VCR vs DOX solution, VD-TSL/HT had

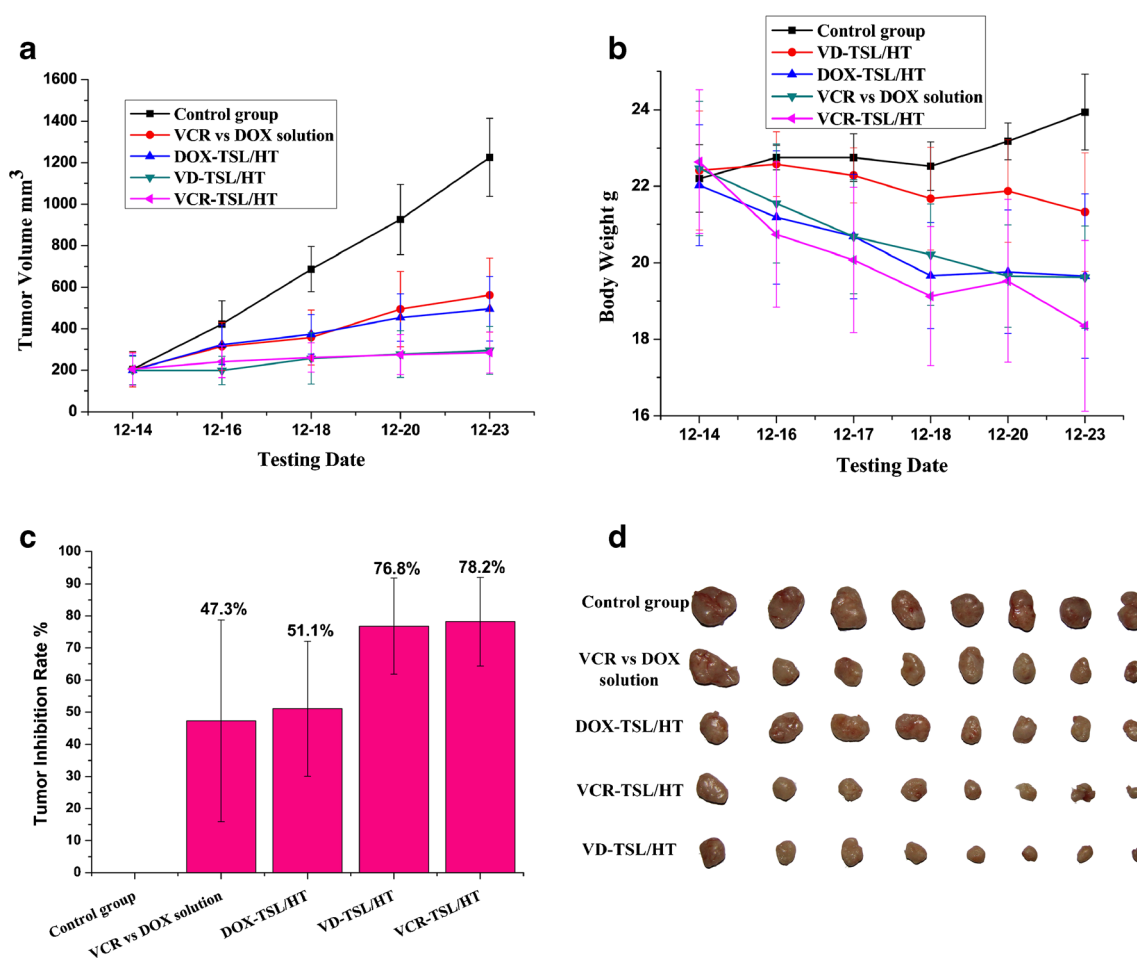
stronger tumor inhibitive effect ( $P < 0.05$ ) and less body weight reduction ( $P < 0.05$ ), indicating TSL/HT is safer and more effective than solution. As shown in Fig. 9c, the strongest inhibitory effect was observed at the end of the study (day 22) in VCR-TSL/HT group, which exhibited an inhibitory value of  $78.2 \pm 4.7\%$ . Although VD-TSL/HT had an inhibitory value of  $76.8 \pm 5.3\%$ , this group had no significant difference with VCR-TSL/HT ( $P > 0.05$ ). And the tumors were shown in Fig. 9d with apparent size differences.

## DISCUSSIONS

Based on ThermoDox, the blank TSL contained DPPC, MSPC and DSPE-PEG<sub>2000</sub>. DPPC is the fundamental composition, and it has a phase transition temperature ( $T_m$ ) at  $42^\circ\text{C}$ . MSPC is the minimum ingredient, as a lysophosphatide, it acts as catalyst to broke lipid bilayer. The addition of DSPE-PEG<sub>2000</sub> could help TSL escape from phagocytosis of RES. By addition of DSPE-PEG<sub>2000</sub>, we

**Fig. 8** Biodistribution of Cy5 during different formulations in mice bearing MCF-7 tumor xenografts. Whole body imaging at different time points after systemic administration (a). Fluorescence detections of isolated main tissues and organs from mice at the end point of observation (b).





**Fig. 9** Anticancer efficacy in the MCF-7 xenografts in female nude mice after the treatment with varying formulations (a); Body weight changes of the tumor-bearing nude mice after the treatment with varying formulations (b); Tumor inhibition rate of varying formulations in tumor-bearing nude mice (c); MCF-7 tumor inhibition experiments of VTSL on tumor-bearing nude mice (d). Data are presented as mean  $\pm$  SD ( $n = 8$ ).

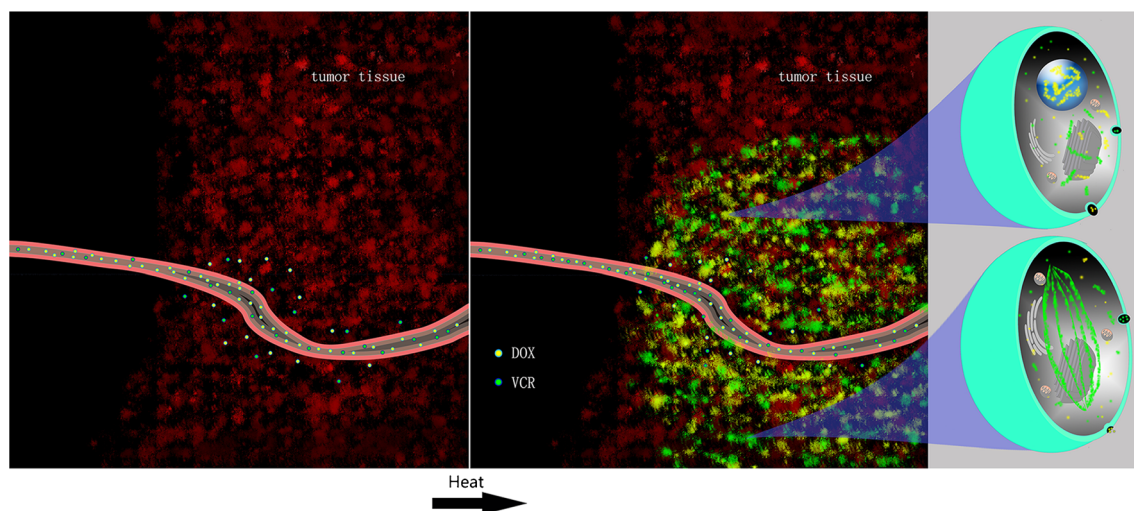
extended the *in vivo* circulation time of liposomes; and through ratio screening, we obtained the desired  $T_m$  (67–69). Then the TSL could make use of the EPR effect to targeting accumulate in tumor tissues before triggering drug release with application of HT (70, 71). And the action mechanism of VD-TSL *in vivo* was shown in Fig. 10.

Besides the synergistic effects of VCR and DOX proved by above experiments, their similar physicochemical properties make it possible to achieve convenient co-encapsulation and synchronous quantitative determination. Both VCR and DOX are alkaloid, they have proximal pKa and high membrane permeability (The cell membrane permeability of DOX is  $7.4 \times 10^{-5}$  cm/s, while cell membrane permeability of VCR is even stronger) (23, 72). Because of these, we used pH gradient method to encapsulate VCR and DOX, the interior phase pH was 3.0 and the extrinsic phase pH was 7.5. Drug in extrinsic phase are molecular, then they got through lipid bilayer into interior phase becoming ions and could not get out anymore (Fig. 1).

During the assay determination of VCR and DOX in VD-TSL, first we use Triton X-100 to break TSL bilayer, but the HPLC results showed that Triton X-100 could generate some related substance with VCR, influencing the final results. Then, we used SDS solution (5%) as ruptured agent of TSL instead of Triton X-100, and this hasn't been reported before. The rationale of this replace is that surfactant could break lipid bilayer, more other surfactants could also act as ruptured agent for any requirements, not only SDS solution (5%).

As Fig. 3 showed, VCR and DOX had no influence with thermo-sensitive property of TSL.  $T_m$  of TSL relates to the composition of lipid bilayer, not interior phase loaded water-soluble drugs. TSL has a broad-spectrum for drug loading.

There were 3 kinds of phospholipids in VD-TSL membrane, we chose MSPC for analysis because its specific peaks have a clear separation with sugar or inorganic salts. From VD-TSL preparation depicted above, the concentration of MSPC in diluted liposomes before ultrafiltration was about 320  $\mu$ g/ml, and we used 20  $\mu$ g/ml of MSPC as standard



**Fig. 10** After VD-TSL got into human body, which was loaded with DOX and VCR simultaneously, it would systemic distribute along with blood circulation. The VD-TSL flowing through tumor tissue could enter interstitial space through cracks in the vessel wall. When tumor tissue was given local hyperthermia, drug in VD-TSL released rapidly and accumulated in tumor. DOX mainly acts on DNA, while the main function of VCR is on tubulin, they two have synergistic effect.

solution when evaluating MSPC in filtrate. The calculation was as blow:

In VD-TSL constructed above, drug was 2 mg/ml, according to the drug/lipids ratio (1:20), phospholipids concentration was 40 mg/ml. For MSPC took 8% weight proportion of phospholipids, its concentration was 3.2 mg/ml. Diluted 10 times before ultrafiltration, then the final concentration of MSPC was 320  $\mu\text{g/ml}$ .

A salient feature of the Turbiscan Lab® Expert detection is that it can detect the early small changes in transmission profiles before the appearance of a macroscopic scale physical modification of colloidal emulsions, thus shorten the lapse of time necessary for the identification of instability phenomena (73, 74). After comparison of  $-20$  and  $4^\circ\text{C}$  storage (Fig. 5, Tables III and IV), we can see the related substances of VCR of VD-TSL under  $4^\circ\text{C}$  storage has exceeded the United States Pharmacopoeia regulations (51), while  $-20^\circ\text{C}$  storage ones were still qualified within 6 months. For VD-TSL,  $-20^\circ\text{C}$  is fit for long-term storage, and short-term deposit under  $4^\circ\text{C}$ .

The occurrence of aggregation phenomena of TSLs *in vivo* could lead to significant worsening of the biopharmaceutical features of the vesicles. So cell culture medium containing 10% FBS was used to mimic the *in vivo* situation, and the stability of VD-TSL in this medium was also evaluated by the Turbiscan Lab® Expert. The results indicating that the VD-TSL could maintain morphous stable and be applied *in vivo*.

After confirming that VD-TSL could work properly with HT and has good stability, we assessed its ability to deliver drugs into tumor cells. *In vitro* cell experiments were performed on PANC, sw-620 and HT-1080. For the temperature triggered release property of VD-TSL, it has broad-spectrum of

solid tumors. The cytotoxicity results (Fig. 6) demonstrated: (1) VCR and DOX had synergism; (2) TSL had stronger cell toxicity than solutions; (3) HT could improve the lethality of drugs and TSLs to tumor cells; (4) VD-TSL/HT had the most serious toxicity to tumor cells. CLSM experiments (Fig. 7) indicated: (1) From the comparison from DOX *vs* VCR to DOX-TSL and VD-TSL, we can see the later two had stronger fluorescence intensity, because it was easier for TSL to delivery drugs into cells than normal drug solutions; (2) The different fluorescence intensity of Cou-TSL and Cou-TSL/HT proved HT could trigger the drug release from TSL permeating into cells; (3) For CVD-TSL and CVD-TSL/HT, the latter one achieved stronger fluorescence intensity, there was a obvious red fluorescence (DOX) signal in nuclei, blocking DNA replication. The TSL membrane fragments containing Cou-6 aggregated in cytoplasm, or fused with cell membrane. VCR had no fluorescence, and couldn't be represented in Fig. 7c. For VCR affects microtubule protein, it must have stayed in cytoplasm. In this way, CVD-TSL killed tumor cells with nuclei ways and cytoplasm methods (75, 76).

The xenograft results revealed that VD-TSL/HT group had a much higher tumor inhibitory rate than VCR *vs* DOX solution (Fig. 9a). Based on high inhibitory rate, VD-TSL/HT group had significant lower toxicity to mice, when compared with VCR-TSL/HT or DOX-TSL/HT (Fig. 9b). The reasons could be explained by the following aspects: First of all, the combination of VCR and DOX had a significant synergistic effect, and the addition of VCR could reduce the cardiotoxicity of DOX, which is much better than that of single use; Second, the long-circulatory effect and suitable particle size of TSLs contributed to enough drug concentration during HT treatment by escaping from the RES rapid elimination; Third, as reported by Benjamin *et al.* (77), mild

HT augmented the accumulation of TSLs at tumor sites, and the effect was doubling the accumulation for every increase in degree from 39 to 42°C (Fig. 8). Because of the enhanced specific targeting property of the constructed drug delivery system, VD-TSL could decrease whole body toxicity of VCR and DOX to a great extent, as *in vivo* experiments finally indicated (Fig. 9b).

With additional research and preclinical studies of TSLs underway, experts explore the use of high frequency ultrasound (HiFu) as a heating modality for human beings. This kind of mild HT has been routinely used in the clinic and is known to have strongly improved the therapeutic effect of chemotherapy or radiotherapy, without significant adverse reactions (78, 79). The mechanisms were speculated as: increased vascular permeability, interstitial microconvection and perfusion, then cause increased local drug levels and improved tissue oxygenation (80).

## CONCLUSIONS

In this study, the constructed delivery system VD-TSL showed good physicochemical features such as uniform particle size, near zero zeta potential, really proud entrapment efficiency, obvious thermo-sensitive characteristics and excellent *in vitro* stability under  $-20^{\circ}\text{C}$  within 6 months. More importantly, the vesicles exhibited strong tumor inhibitory activities when they were combined with HT, both *in vitro* and *in vivo*. Based on the bio-distribution of TSL, we've made full use of the synergistic effects of VCR and DOX in tumor suppression, and the restriction of their toxicity. The promising results warrant future studies which involve improve *in vivo* study including survival analysis and tissue distribution of VD-TSL, as well as develop more potential drug combination encapsulated in TSL to achieving much greater therapeutic effects.

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