

# **Structural,FTIR, optical and dielectric properties of Zn<sub>1</sub></u>, Al, O ceramics for advanced applications**

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## **Abstract**

We report here the structural, FTIR, optical and dielectric properties of  $\rm Zn_{1-x}Al_xO$  with  $x=0.00 \le x \le 0.20$ ). The samples are synthesized by the solid state reaction method and the phase purity, structural morphology, and absorption spectra are examined by XRD, SEM, and FTIR techniques. The optical and dielectric measurements are obtained by a double beam spectrophotometer and an impedance analyzer. The wurtzite structure is confrmed for all samples, and the lattice parameters, crystallite diameter, and porosity are decreased by Al, whereas the Debye temperature and elastic modulus are increased. The residual stress is compressive for ZnO, but it is changed to tensile for the doped samples. Two different values of energy gap ( $E_{gh}$  and  $E_{gl}$ ) are apparent for each sample. The  $E_{gh}$  and  $E_{gl}$  are, respectively, 3.60, 3.56, 3.43, 3.53, 3.68 eV and 3.02, 2.95, 2.85, 2.92, 3.07 eV for all samples such that  $\Delta E = (E_{gh} - E_{gl}) \sim 0.60$ . The residual dielectric lattice constant is decreased by increasing x to 0.10, followed by a sharp increase at  $x = 0.20$ , while the opposite behavior is obtained for  $(N/m^*)$ . The dielectric constant and ac conductivity are slightly increased as x increases to 0.025, followed by a sharp increase with more increase of x to 0.20. The conduction is electronic for pure and  $x=0.025$  samples, but it changes to hole with increasing x to 0.20. The binding energy was decreased as x increased to 0.20, but there is no exact trend for the hopping distance and density of localized states against x. The F-factor for solar cell design was increased as x increased to 0.10, but it is almost constant at  $x = 0.20$ . The Cole–Cole plot is a straight line for  $x = 0.00$ , a semicircle arc for  $x=0.025$ , and a complete semicircle for  $x \ge 0.05$ . The impedance resistance of grain and grain boundaries decreases as x increases to 0.20. These outcomes indicate that the addition of Al to ZnO shifts the mechanical, optical, and dielectric medium to higher values, which is strongly recommended for the design of optoelectronic and solar cell instruments.

**Keywords** Al doped ZnO · Elastic modulus · Energy gap · Dielectric medium and · F-factor

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#### **1 Introduction**

Wurtzite structure of pure n-type ZnO with 3.2 eV band gap  $(E_{\sigma})$  and 60 Urbach energy has been used in varistors, optoelectronic and solar cell devices (Manikandan et al. [2017;](#page-22-0) Ragupathi et al. [2013;](#page-23-0) Kumar et al. [1715\)](#page-22-1). ZnO has been synthesised by the solid state reaction method through sintering at high temperatures followed by quenching in air to RT (Mohamed and Abu-Dief [2018](#page-22-2); Mustaqima [2014;](#page-23-1) Djerdj and Jaglici´c et al., [1096](#page-21-0); Yang [2013\)](#page-24-0). However, the properties of ZnO are controlled by intrinsic defects such as oxygen vacancies generated during sintering and also be improved by quenching (Amin and Sedky [2019;](#page-21-1) Sedky et al. [2007](#page-24-1)). Despite the existence of advantageous chemical techniques for ZnO synthesis, the solid-state method is still of interest because it governs the ZnO to work as a varistor (Mohamed and Abu-Dief [2020\)](#page-22-3). However, oxygen vacancies in ZnO can exist in three charge states, i.e.,  $V_0^0$ ,  $V_0^+$ , and  $V_0^{++}$ , respectively. The  $V_0^0$ , and  $V_0^{++}$  charged are stable, while  $V_0^+$  is unstable and it is usually changed into  $V_0^{++}$  by capturing electrons from the CB. However, due to  $V_0^{++}$  defects  $(10^{19} \text{ cm}^{-3})$ , some of the energy states lie at about 0.86 eV above the valence band (VB), which can affect the  $E<sub>g</sub>$  of ZnO to be qualified for practical applications (Vempati et al. [2012;](#page-24-2) Rodnyi et al. [2018a](#page-23-2)).

The mechanism of the ZnO conduction band (CB) usually arises when the 4 s orbital of Zn is wide enough to allow for efective charge transfer (Mohamed et al. [2022;](#page-23-3) Kumar and Rani [2013;](#page-22-4) Abdullah et al. [2012\)](#page-24-3). Consequently, the electrons are excited from the VB due to the absorption of light, and consequently the electron–hole pairs are generated. Above the limit of the  $E_{\sigma}$ , the recorded signals of photon energy are due to non-radiative and Urbach tail processes. However, the energy of photons for creating electron–hole pairs (e–h) can be considered only for materials with a larger Urbach energy like ZnO (Sedky et al. [2020\)](#page-24-4). In order to improve the optical properties, ZnO has 3-d transition metals (Shahedi and Jafari [2017;](#page-24-5) Raghu et al. [2017](#page-23-4)). Among these elements, Al doped ZnO is considered as an alternative for solar cells and light-emitting diodes (LEDs) as a result of the extra free electron formed in the ZnO CB (Wang and Zhang [2017](#page-24-6); Zhai et al. [2016](#page-25-0); Al-Naim et al. [2021\)](#page-21-2). It is also approved that Al decreased the particle size and slightly increased the  $E<sub>g</sub>$  of ZnO, which is attributed to the Al impurity incorporation or structural defects (Al-Naim et al. [2021;](#page-22-5) Diqiu et al. [2013](#page-22-6); Kamarulzama [2015](#page-24-7)). Due to the extrinsic Al dopant, the CB of ZnO can be partially filled with free electrons of  $Al^{3+}$ , resulting in an E<sub>g</sub> increase. However, when the carrier concentration exceeds  $5 \times 10^{20}$  cm<sup>-3</sup>, Eg shrinkage may occur due to carrier renormalization of carriers (Tong et al. [2011](#page-22-7); Li et al. [2014a](#page-22-8)). In addition, Al can also afect the excitonic absorption near the band edge due to the free-electron screening effect (Li et al. [2014b\)](#page-21-3). Therefore, it is interesting to examine the influence of Al on the optical constants of ZnO.

The electronic traps of ZnO are mainly localized at the grain boundaries and usually adsorbed with oxygen. They also capture some donor state electrons (Deng [2016\)](#page-21-4). Consequently, the Schottky barrier capacitance is found to be frequency dependent due to the finite time constants  $\tau (\tau = (1/\omega) = RC)$  of the deep trap states generated in the depletion layer (Arda et al. [2013](#page-23-5)). Ac impedance spectroscopy is able to separate the electric response in different regions of ZnO within the range of  $\tau$  (Ram et al. [2016](#page-24-8)). This response is entirely characterized by the complex dielectric parameters that govern ac feld frequency response (Sedky et al. [2022a](#page-23-6)).

Materials with high dielectric constants such as ZnO have received special attention due to the rapid developments in telecommunications and multilayer capacitors are required for microwave integrated circuits (Tripathi et al. [2010](#page-24-9), Saadi et al. [2020](#page-23-7), Sajid Ali et al.

[2012\)](#page-23-8). In contrast, materials that have a low dielectric constant are potential candidates for nonlinear optical and high-frequency devices, which are necessary for such applications (Saadi et al. [2020\)](#page-24-10). However, some parameters have a great infuence on improving ZnO dielectric constants, such as valence state, content, magnetic moment of TM, and also intrinsic defects (Sajid Ali et al. [2012;](#page-24-11) Singh et al. [2015;](#page-22-9) Zamiri et al. [2013;](#page-23-9) Irshad et al. [2018\)](#page-22-10). However, the dielectric properties of ZnO can be tuned by introducing dopants into the ZnO host matrix such as 3-d transition-elements (TM) (Omri et al. [2018;](#page-24-12) Joshi et al. [2014;](#page-21-5) Singh and Singh [2020a](#page-21-6); Das et al. [2017\)](#page-25-1). For example, a signifcant enhancement in dielectric constant resulted from the incorporation of Al in the ZnO lattice, while an opposite trend was observed for dielectric loss. In addition, the ac electrical conductivity of Al-doped ZnO samples increased due to the availability of charge carriers and defects by Al (Saadi et al. [2020](#page-24-10); ChediaBelkhaoui [2019](#page-22-11); Sedky et al.[2019;](#page-24-13) Kant and Sharma [2021](#page-22-12)).

The electrical properties of Al doped with ZnO are presented by Sedky et.al ([2019;](#page-22-13) Khan and Fashu [2017\)](#page-22-14). It is found the addition of Al generally decreases the nonlinear behavior of ZnO and, therefore, the DC electrical conductivity has increased. It is believed that the excess electron density and structural distortion, produced by Al doping in both under and over doped regions, are responsible for the above behavior. With this purpose in mind, structural, FTIR analysis, optical and dielectric behaviors will be examined on the same batch of samples. For such applications, some important physical parameters, such as Debye temperatures, elastic constants, energy gap, dielectric loss, N/m\*, inter-atomic distance R, density of states at Fermi level  $N(E_f)$ , F-factor, and ac impedance, are well estimated. These outcomes indicate that AlZnO samples are strongly recommended for the design of optoelectronic and solar cell instruments.

## **2 Experimental details**

 $\text{Zn}_{1-x}\text{Al}_x\text{O}$  samples with x = 0.00, 0.05. 0.10, 0.20 were synthesised by using the solidstate reaction method. The powders of  $ZnO$  and  $Al_2O_3$  (Aldrich 99.999 purity) are thoroughly mixed in the required proportions and calcined at 900◦ C in air for a period of 16 h. The resulting powders are ground, mixed, and pressed into discs (1 cm in diameter and 0.3 cm in thickness). The pellets are then separately sintered at temperature of 1200℃ for 12 h in the air and then suddenly cooled (quenched) in air to room temperature. The bulk density of the samples is measured in terms of their weight and volume. The phase purity of the samples was tested by XRD using  $Cu$ -Kα radiation of wave length 1.5418  $\tilde{A}$  at 40 kV and 30 mA settings, and (20°-70°) diffraction angles with a step of 0.06°. The structural morphology of the samples is obtained in powder form by scanning electron microscope (SEM) JSM 5400 LV with 2 μm bar length and 7500 amplifcation. FTIR absorption spectra of the samples were carried out in the range of  $(400-4000 \text{ cm}^{-1})$ , with 4  $\text{cm}^{-1}$  resolution and 2 (cm/s) scanning speed using a spectrum 400-FT-1R/FT-NIR spectrometer. The samples were homogenized in a spectroscopic grade of KBr carrier in an agate mortar and pressed into 2 mm pellets. The optical properties at room temperature were measured against wavelength (200–1000 nm) using a JascoV-570 (Japan) computer programmable double beam with UV–visible–NIR spectrophotometer at standard incidence with a scan speed of 1000 mm min−1. The complex dielectric measurements were obtained at room temperature using a precision impedance analyzer model 4295A in the frequency range of 1 to  $10<sup>7</sup>$  Hz and 1 V Ac amplitude. The pellets are sandwiched between two gold-plated stainless steel electrodes of 20 mm in diameter in parallel plate geometry. The ac conductivity and modulus of complex impedance *Z* as a function of frequency are well recorded. From the impedance spectra, values of the real *Z'* and the imaginary *Z"* parts of the complex impedance could be obtained.

## **3 Results and discussion**

#### **3.1 Structural analysis**

It is evident from the XRD patterns shown in Fig. [1](#page-4-0)a that all samples show a wurtzite structure ICSD 01–079-0208 (space group P63mc) of ZnO. No additional lines could be formed for the samples of  $x=0.00$  and 0.025, but as x is increased to and above 0.025, some unidentified lower peaks could be seen at  $2\theta = 30.96^{\circ}$  for  $x = 0.05$ ;  $2\theta = 30.96^{\circ}$ , 55.5°, 60° for  $x = 0.10$ ; and  $2\theta = 30.96^{\circ}$ , 48.74°, 55.5°, 60° for  $x = 0.20$ . This is because the bond energy of  $Al_2O_3$  is higher than ZnO, and therefore more energy is required for Al ions to enter the ZnO lattice to form the Al-O bond, and therefore some of secondary phases could be formed (Sajid Ali et al. [2012;](#page-24-11) Khan et al. [2013\)](#page-23-10). The lattice parameters  $a$  and  $c$ , listed in Table [1,](#page-5-0) are gradually decreased by increasing x. The  $c/a$ , U-parameter and ZnO bond length L are almost the same as reported for ZnO (Mansour Mohamed [2021;](#page-23-11) Morais et al. [2018](#page-24-14)). This is due to the smaller ionic size of Al<sup>3+</sup> (0.51 Å) than that of  $\text{Zn}^{2+}$  (0.74 Å) at the same tetrahe-dral fold-coordination (Samanta and Goswami [2018](#page-24-15); Sedky [2020\)](#page-24-16). As x increases to 0.10, the porosity calculated by;  $PS = [1 - (\rho_{\rm exp}/\rho_{\rm th})]$  decreases slightly, followed by an increase at  $x = 0.20$ . This behavior indicated that Al up to 0.10 helps as much as possible for decreasing the number of porous ZnO. However, porosity is the quality of ZnO of being porous, and it is usually evaluated by the ratio of the volume of interstices of ZnO to the volume of its mass. Therefore, Al up to 0.10 can enter the ZnO lattice and thus decreased the volume of interstices, which in turn decreased the porosity. However, at  $x = 0.20$ , some of the Al ions are unable to localize in the ZnO lattice due to the solubility limit, so the porosity was increased, in consistent with XRD analysis.

The crystallite size  $D_{hkl}$  given by  $D_{hkl} = \frac{K\lambda}{\beta \cos \theta}$  (Seetawan et al. [1302](#page-21-7)) for the most intense peaks listed in Table [1](#page-5-0) are decreased by x to 0.20. The vice is versa for dislocation density  $\delta$  calculated by  $(1/D_{hk}^2)$ . The micro-lattice strain  $\varepsilon_{ms} = \frac{\beta \cos \theta}{4}$  listed in Table [1](#page-5-0) is positive and increased by Al. While lattice strain  $\varepsilon_{\rm ls} = (c-c_0)/c_0$  is positive for pure ZnO, but it changes to negative for the doped samples. Regardless of its sign, this behavior indicates that Al helps as a possible ZnO for few lattice defects or distortions. (Wojnarowicz et al. [2018](#page-25-2); Aljaafari and Sedky [2020\)](#page-24-17). The SEM micrographs shown in Fig. [1](#page-4-0)b indicates that nearly, no second phases are formed at grain boundaries, but the grains are randomly distributed over the matrix structure. For simplicity, the average size of the grain ( $D_{SEM}$ ) is determined by the linear intercept method with the help of expression, $D_{SEM} = \frac{1.56L}{MN}$  (Wang and Zhang [2017](#page-24-6)), where L is the length of a random line on the micrograph, M is micrograph magnifcation, and N is the grain boundary number that is intercepted by the lines. As listed in Table [1,](#page-5-0) Al content up to  $x < 0.10$  decreased the average grain size of ZnO  $D_{SEM}$ , followed by an increase at  $x=0.20$ , which is consistent with  $D<sub>hkl</sub>$  behavior obtained from XRD analysis.



<span id="page-4-0"></span>**Fig. 1 a** XRD patterns for pure and Al doped ZnO samples **b** SEM micrographs for pure and Al doped ZnO samples

X	$a(\stackrel{\circ}{A})$	$c(\stackrel{\circ}{A})$	c/a	$V(\mathring{A})^3$	$\varepsilon_{ls} \times 10^{-3}$	U	$L(\AA)$
0.00	3.252	5.208	1.601	47.697	0.27	0.379	1.991
0.025	3.247	5.201	1.602	47.486	.08	0.380	1.987
0.05	3.242	5.196	1.603	47.295	$-2.04$	0.380	1.987
0.10	3.231	5.188	1.606	46.902	$-3.57$	0.380	1.990
0.20	3.237	5.191	1.604	46.665	$-4.92$	0.380	1.981
$\mathbf X$	$\rho_{\text{th}}$ (g/cm <sup>3</sup> )	$\rho_{exp}(g/cm^3)$	$P_{\rm s}$	$D_{XRD}$ (nm)	$\delta \times 10^{-4}$ (nm) <sup>-2</sup>	$\varepsilon_{\rm ms} \times 10^{-3}$	$D_{SEM}$ ( $\mu$ m)
0.00	5.794	5.451	0.059	41.830	5.72	3.32	2.060
0.025	5.630	5.312	0.056	41.167	5.90	3.376	1.790
0.05	5.529	5.244	0.051	38.983	6.58	3.566	1.610
0.10	5.253	5.053	0.038	37.230	7.21	3.734	1.780

<span id="page-5-0"></span>**Table 1**  $I_{\text{avg}}$ , a, c, c/a, V, U, L,  $\delta$ ,  $\rho$ ,  $P_s$ ,  $\varepsilon_{\text{ms}}$ ,  $\varepsilon_{\text{ls}}$ ,  $D_{\text{XRD}}$  and  $D_{\text{SEM}}$  of pure and Al doped ZnO samples

### **3.2 FTIR analysis**

Figure [2a](#page-6-0) shows FTIR spectra against wave number  $\bar{v}$  for the samples, and also the values of wave numbers  $\bar{v}$  against absorption peaks are listed in Table [2](#page-7-0). Firstly, there is a clear peak recorded at 3423.36, 3442.14, 3417.14, 3417.34, 3442.18  $\text{cm}^{-1}$ , respectively, which is related to O–H stretching vibrations for ZnO (Zhao [2016](#page-25-2); Wasly [2018\)](#page-24-17).One peak is only recorded at 2924.21 cm<sup>-1</sup> for the x=0.20 sample, but it disappears for the other samples, even for ZnO, which may be related to the solubility limit discussed above. Interestingly, the functional peak observed at 1630 cm<sup>-1</sup>due to H–O-H bonding vibrations and  $C = C$  stretching, is absent for all samples (Muhammad [2019\)](#page-23-12). Some other peaks were only obtained at 1426.07 and 1436.68 cm<sup>-1</sup>for  $x=0.00$  and 0.20. In contrast, there are also identified peaks recorded at 673.56, 672.18, 671.84, 668.13 for  $x = 0.00$ , 0.025, 0.05 and 0.10, but it is absent for  $x=0.20$ . However, the presence of the peak is evidence of the incorporation of  $Al^{3+}$  ions into the ZnO matrix and corresponds to the C=C, C=O, C–N and O–H stretching and C–H bending vibration (Srinivasulu et al. [2017\)](#page-21-8). Among the absorption peaks observed between  $(451.97–556.32 \text{ cm}^{-1})$  which corresponds to the active modes of ZnO related to hexagonal structure Santhosh Kumar ( [2017\)](#page-24-18). This means that the ZnO structure is slightly different than the ideal case for  $x = 0.20$ , as obtained from XRD analysis. This behavior indicates that Al shifted the bending and stretching vibrations of ZnO to lower values of  $\bar{v}$ , which can be attributed to the formation of a ZnAl<sub>2</sub>O<sub>4</sub> bond of a longer length chain and the fact that the Al had intense ZnO and moderate metal oxide modes in the ZnO matrix structure (Raja et al. [2014](#page-21-9)).

Debye temperature  $\theta_{\rm D}$  is given by Ba-Abbad et al. ([2013](#page-22-15));

$$
\theta_D(K) = \frac{hc\,\overline{\Delta v}}{K_B} = 1.439\,\overline{\Delta v} \tag{1}
$$



<span id="page-6-0"></span>**Fig. 2 a** FTIR spectra of for pure and Al doped ZnO samples **b** Debye temperature  $(\theta_D)$  versus Al content for the samples

Figure [2](#page-6-0)b shows that  $\theta_D$  suddenly increases as x increases to 0.10, followed by a sudden decrease at  $x = 0.20$ . However, this behavior is attributed to the shift in the wave number of FTIR bands to higher/lower values against x content as listed in Table [2.](#page-7-0)

The strength of the interaction between Zn cat-ions and  $(O^{-2})$  ions of ZnO, the force constant  $K_t$  can be calculated using;  $K_t = 0.076W\Delta v^2$  (Santhoshkumar et al. [2017\)](#page-21-10). Also, the stiffness constants  $S_{11}$  and  $S_{12}$  are easily calculated in terms of Poisson's ratio  $γ$  as;  $S_{11} = (K_t/c)$ ,  $S_{12} = (S_{11γ} / 1 - γ)$  and  $γ = 0.324$  (1-1.043PS) (Ahmad et al. [1456\)](#page-22-16). It is

$x = 0.00 \nu$ (cm) <sup>-1</sup>	$x = 0.025 \nu$ (cm) <sup>-1</sup>	$x = 0.05 \nu$ (cm) <sup>-1</sup>	$x = 0.10 \nu$ (cm) <sup>-1</sup>	$x = 0.20 \nu$ (cm) <sup>-1</sup>
3423.36	3442 4	3417.14	34 7.34	3442.18
2330				2340.21
1590		1610		1623
1426.07			1428.8	1436.68
673.56	672.18	671.84	668.13	
			556.32	
			502.43	
452.31	452.11	451.97		467.76
		γ		
0.304	0.305	0.307	0.311	0.301
		$\sigma(Gpa)$		
$-0.063$	0.250	0.474	0.832	1.145
		$S_{11}(D/cm^2)$		
$2.23E + 11$	$2.23E + 11$	$2.23E + 11$	$2.76E + 11$	$2.39E + 11$
		$S_{12}(D/cm^2)$		
$9.73E + 10$	$9.78E + 10$	$9.86E + 10$	$1.25E + 11$	$1.03E + 11$

<span id="page-7-0"></span>**Table 2** FTIR absorption peaks, residual stress, Poisson's ratio and elastic constants of pure and Al doped ZnO samples

evident from Table [2](#page-7-0) that ( $\gamma > 0.26$ ) for all samples which indicates ductile nature (Mitra and Mondal [2013\)](#page-21-11). The residual stress  $\sigma$  is calculated by El-Said Bakeer ([2020\)](#page-22-17);

$$
\sigma = \frac{[2S_{13}^2 - S_{33}(S_{11} + S_{12})]\varepsilon_{Ls}}{2S_{13}} = -232.8\varepsilon_{Ls}
$$
 (2)

where  $S_{13}$ ,  $S_{33}$ ,  $S_{11}$   $S_{12}$  are respectively equal 104.2, 213.8, 208.8 and 119.7 GPa for ZnO (Mazen et al. [2007\)](#page-21-12). As listed in Table [2](#page-7-0),  $\sigma$  is negative (compressive-like behavior) for ZnO. In contrast, it is positive (tensile-like behavior) for the doped samples, indicating that Al was able to eliminate the compressive stress of ZnO and turn it into tensile stress. This may be related to the number of pores and the lattice defects discussed above (Anupama et al. [2017\)](#page-23-13). Young Y, bulk modulus β and rigidity modulus G are determined by Modi et al. ([1543\)](#page-23-14); MariemChaari and matoussi et al., [2011\)](#page-23-15);

$$
Y = \frac{(S_{11} - S_{22}(S_{11} + 2S_{12})}{(S_{11} + S_{12})}; \beta = \frac{S_{11} + 2S_{12}}{3}; G = \frac{Y}{2(\gamma + 1)}
$$
(3)

As shown in Fig. [3](#page-8-0), both of them are increased as x increased to 0.10, followed by a decrease at  $x=0.20$ . This increase may be related to the shrinkage of inter-atomic bond length *L* which subsequently controls the ZnO bond strength (Rao et al. [2010](#page-23-16)).

#### **3.3 Optical measurements**

As shown in Fig. [4](#page-9-0)a-b, the relationship between refectance/absorption (R/A) and wave lengths reveals two minimum/maximum regions for all samples at about 400 nm, which may be related to increasing free carrier density in the near-UV region (Rusu et al. [2011](#page-24-19)).



<span id="page-8-0"></span>**Fig. 3** Elastic modulus (Y,B,G) versus Al content for the samples

Interestingly, the change in (R/A) for Al-doped samples is related to the oxygen vacancies, which usually make ZnO suitable for gas sensors (Patange et al. [2013](#page-21-13); Rajesh Babu and Tatarchuk [2018\)](#page-21-14). As given in Table [3,](#page-10-0) the first A<sub>max</sub> or R<sub>min</sub> occurs at ( $\lambda$  (1) <sub>max</sub> = 314 nm) for all samples, while the second  $A_{max}$  or  $R_{min}$  occurs at  $\lambda$  (2)  $_{max}$  = 352, 364, 350, 344 and 346 nm, respectively. Therefore, first exciton energy  $E_{ex}(1)$  is 3.953 eV for all samples, while the second exciton  $E_{av}(2)$  is decreased for  $x=0.025$ , followed by an increase to  $x=0.20$ . This means that the required energy for creating electron–hole pairs is decreased by 0.025 of Al, followed by an increase of up to 0.20. Generally,  $E_{ex}$  of ZnO is the required photon energy for electronic transition from the VB of (O-2p) to the (Zn-3d) of CB to form an exciton bound state (e–h) of 100 meV of binding energy and energy close to  $E<sub>g</sub>$ . The (e–h) are usually held together by the electrostatic Coulomb force and they have kinetic energy and a narrow spectrum, which is highly attractive for laser devices if we can trap the excitons and reduce their motion, which further narrows the energy spread (Chen and Li [2019,](#page-21-15) Rodnyi et al. [201](#page-23-17)8b, Al Naim and Sedky [2021\)](#page-21-16).

The absorption coefficient  $\alpha$  is calculated by,  $\alpha = 2.303$  ln (A/t), and the optical band gap  $E_g$  is obtained using Taucs equation (Ali et al. [2005](#page-23-18); Chen and Li [2019\)](#page-23-19);

$$
(\alpha h v)^{\frac{1}{m}} = A(hv - E_s)
$$
\n<sup>(4)</sup>

*m* represents the transition in the semiconducting materials and has values of 1/2 and 2 for allowed direct and indirect transitions, respectively, and B is a constant, and t is the diameter of the glass cuvette. The plot of  $(\alpha h\nu)^2$  against the photon energy is used to get the value of the  $E_{\sigma}$  (see Fig. [5\)](#page-11-0). As shown in the figure, two absorption edges are observed for all samples, indicating that there are two direct electronic transitions due to the absorption of the photon energy. The values of  $E<sub>g</sub>$  are obtained from the Figure are summarized in Table [3.](#page-10-0) The first  $(E_{gh})$  is obtained at UV region, while the second one  $(E_{gl})$  is obtained in the visible region. The values of  $E_{gh}$  and  $E_{gl}$  are 3.60, 3.56, 3.43, 3.53, 3.68 eV and 3.02, 2.95, 2.85, 2.92, 3.07 eV respectively. This suggests both  $E_{gh}$ , and  $E_{gl}$  had similar behav-ior as the x increased to 0.[2](#page-7-0)0. It is also evident from Table 2 that  $\Delta E = (E_{gh} - E_{gl})$  is 0.58, 0.61, 0.58 and 0.61 eV for all samples, in which  $\Delta E$  is constant. Anyhow, two  $E_{\varphi}$  are also



<span id="page-9-0"></span>**Fig. 4 a** Refectance (R) versus wave length for pure and Al doped ZnO samples **b** Absorption (A) versus wave length for pure and Al doped ZnO samples

recorded for n-type semiconductors (Rodnyi et al. [2018b](#page-22-18); Al- and Naim and Sedky, [2021;](#page-20-0) Mukhtar et al. [2012](#page-22-19)**).** In that case, the doping of ZnO with Al may produce a high carrier concentration and, as a result, more conduction band energy states are formed, which, in turn, reduces the  $E<sub>g</sub>$  as obtained. However, the further increment of  $E<sub>g</sub>$  above x = 0.05 may be due to the formation of structural defects in the host materials, thereby creating insulating states in the forbidden gap inside the band structure, and therefore,  $E_g$  was slightly increased.

<span id="page-10-0"></span>



<span id="page-11-0"></span>**Fig. 5**  $(\alpha h \nu)^2$  versus photon energy for pure and Al doped ZnO samples

In addition, the high frequency dielectric constant of  $\text{Zn}_{1-x}\text{Al}_x\text{O}$  is calculated from the following relation, which represents the dependence of the reflective index n on the wavelength  $\lambda$ (Othman et al. [2017](#page-21-17); Ismail et al. [2011a\)](#page-23-20);

$$
n^2 = \mathcal{E}_L - \left(\frac{e^2}{4\pi^2 c^2 \epsilon_0}\right) \left(\frac{N}{m^*}\right) \lambda^2
$$
 (5)

where c, e,  $m^*$ ,  $\epsilon_L$  and N denote light speed, electron charge, free space permittivity, effective mass of electron, residual lattice dielectric constant, and free carrier concentration, respectively. The intercept and slope of the straight lines in Fig. [6](#page-12-0) give the values of  $\epsilon_L$  and N/m<sup>\*</sup>, which are listed in Table [3](#page-10-0), are slightly increased by x up to  $x = 0.10$ , followed by a sharp increase at  $x=0.20$ , whereas  $\epsilon_1$  is decreased to  $x=0.10$ , followed by a sharp increase at  $x=0.20$ . The inter-atomic distance (R) given by (0.86/N  $^{(1/3)}$ ) (Abdel-Khalek et al. [2019](#page-21-18)) and listed in Table [3](#page-10-0) decreases as x increases to 0.20. Based on the above results, the higher valence state of the  $Al^{3+}$  compared to  $Zn^{2+}$  led to slightly deeper donors and, consequently, more carriers were generated. Furthermore, and in parallel, the ability of electron migration may be enhanced (Ismail et al. [2011b\)](#page-21-19). Furthermore, Al is nearly non-magnetic, and the addition of Al beside Zn will decrease the localization of the carriers. Therefore, we strongly recommend the Al doped samples for optoelectronic applications.

### **3.4 Dielectric measurements**

The real  $\varepsilon'$  part of the sample dielectric constant is determined by using the relation (El-Denglawey and El-Denglawey [2018](#page-24-20); Sagadevan et al. [2017](#page-21-20));

$$
\varepsilon' = \frac{Cd}{\varepsilon_{\circ}A} \tag{6}
$$



<span id="page-12-0"></span>**Fig. 6** Real part of optical dialectic constant  $(n^2-k^2)$  against wave length for pure and Al doped ZnO samples



<span id="page-12-1"></span>**Fig. 7** Dialectic constant (ε′) versus frequency for pure and Al doped ZnO samples

where C is the capacitance of the sample and  $C_0$  is the empty cell capacitance  $(C_0 = \varepsilon_0 A/d)$ , d is the sample thickness, A is the surface area of the electrodes,  $\varepsilon_0 = 8.85 \times 10^{-12}$  (F/m) is the permittivity of free space. Figure [7](#page-12-1) shows the plot of  $\varepsilon'$  against f for all samples. It is clear that  $\varepsilon'$  is gradually decreased as f increases up to  $10^4$  Hz for all samples, and above that it decreases, then saturated at  $10<sup>7</sup>$  Hz. In addition, it is slightly increased as x increases up to 0.0.025, but there is a signifcant increase with a further increase of x above 0.025. For example,  $\varepsilon'$  of  $x = 0.20$  is at least about  $10^3$  times that of  $x = 0.00$ , which indicates that the addition of Al above 0.025 to Zn shifts the dielectric medium to higher values. Therefore, when a feld is applied, the charge carriers can easily move within the grains at a low f below 10 MHz, but they are accumulated at the grain boundaries, resulting in the large interfacial polarization, and, in turn, high ε′. With a rise in frequency above 10 MHz, a decrease of  $\varepsilon'$  could be obtained. This is due to the fact that any species contributing to polarization is found to lag behind the applied feld, and consequently, the dipoles cannot follow the ac feld (Abdel-Khalek et al. [1178](#page-22-20); Hazem Mahmoud Ali and Ahmed Mohamed Abdel Hakeem [2015;](#page-25-3) Selvakumar et al. [2014\)](#page-25-4). On the other hand, the increase of  $\varepsilon'$  with x can be explained in terms of increasing electro-negatively between Al and O, which is 1.83 instead of 1.79 between Zn and O, and also increasing the number of carriers as indicted above (Mehedi Hassan et al. [2015](#page-24-21); Singh et al., [2015](#page-24-22)). Due to this, the strength of the Al-O ionic bond is improved, which results in an increase in the dielectric polarization. Moreover, the decrease in crystallite size and average crystalline size leads to an increase in the number of dipoles, which results in a decrease in polarization and increases the  $\varepsilon'$ values for the doped samples, as reported (Zamiri et al. [2014a](#page-25-5)).

The total conductivity can be obtained by Zamiri et al. [2014b](#page-22-21);

$$
\sigma_t(\omega) = \sigma_{dc} + \sigma_{ac} = \sigma_{dc} + B\omega^s \tag{7}
$$

where  $\sigma_{dc}$  is the dc conductivity calculated at zero f, B is constant, and s is the exponent of frequency. Figure [8](#page-13-0) shows the real part  $(\sigma')$  of  $\sigma_{ac}$  as a function of f. It is evident from the figure that  $\sigma$ <sup>'</sup> gradually increases by increasing f for all samples up to 10 MHz, obeying the universal power law (Singh and Singh  $2020a$ ). In addition,  $\sigma$ <sup>1</sup> for Al-doped samples is higher than that of ZnO due to the increased charge carriers through the replacement



<span id="page-13-0"></span>**Fig. 8** Ac conductivity (σ\) versus frequency for pure and Al doped ZnO samples

of  $\text{Zn}^2$  by  $\text{Al}^{3+}$ . At low f, the field drift carries over a large distance, and therefore  $\sigma \setminus$  is almost unchanged. As f is raised, the displacement of carriers is reduced, and at a critical frequency  $\omega_p$ ,  $\sigma'$  follows the relation;  $(\sigma_{ac} \sim \omega^s)$  with  $(0 \le s \le 1)$  for hopping conduction (Saadi et al. [2020\)](#page-21-21). These outcomes indicate that the addition of Al supports the  $\sigma_{ac}$  of ZnO as obtained.

However, the linear fit between  $\ln \sigma'$  and  $\ln \omega$  shown in Fig. [9a](#page-14-0),b gives two different linear regions. The obtained values of s and B at low and high frequencies, designated as  $s_1$ ,  $s_h$ ,  $B_h$  and  $B_L$  are obtained and listed in Table [4](#page-15-0). The values of  $s_L$  are between 0.565, 0.898



<span id="page-14-0"></span>**Fig. 9 a** lnσ\ versus lnω at low frequency for pure and Al doped ZnO samples **b** lnσ\ versus lnω at high frequency for pure and Al doped ZnO samples

Al content x	$\sigma_{\rm dc}$ ( $\Omega$ .cm <sup>)-1</sup>	$S_{L}$	$S_h$	$B_L$	B <sub>h</sub>	$W_{mI}$ (eV)	$W_{\rm mb}$ (eV)
0.00	$3.E-11$	0.898	0.892	$7.64E + 15$	$1.18E + 14$	1.520	1.435
0.025	$2.E-10$	0.565	0.700	$2.80E + 12$	$1.26E + 12$	0.356	0.517
0.05	8.E-08	0.023	0.351	$7.41E + 08$	$4.76E + 09$	0.159	0.239
$\overline{0}$ $\Omega$	$7.E-08$	0.031	0.287	$2.43E + 07$	$2.88E + 08$	0.160	0.217
0.20	$6.E-08$	0.011	0.234	$2.10E + 07$	$3.33E + 08$	0.157	0.202

<span id="page-15-0"></span>**Table 4**  $\sigma_{\text{dc}}$ , S, B and W<sub>m</sub> for pure and Al doped ZnO samples

for  $x = 0.00$  and 0.025 samples, which indicates electronic conduction. But with increasing x above 0.025, the values of  $s<sub>L</sub>$  are 0.023, 0.031, 0.011, which indicates hole conduction. However, similar behavior is obtained for  $s<sub>h</sub>$ . This behavior is attributed to the excess number of hole carriers which may be generated as a result of the difference between  $\text{Zn}^{2+}$  and  $Al^{3+}$ .

According to correlated barrier hopping (CBH) model, the binding energy  $W_m$  is given by Samanta et al. ([2020\)](#page-24-23);

$$
s = 1 - \frac{6K_B T}{W_m + K_B T \ln \omega \tau_o} = 1 - \frac{6K_B T}{W_m}
$$
(8)

where  $\tau_0$  is the characteristic relaxation time and is in the order of the atomic vibration of  $10^{-13}$  s. The values of W<sub>m</sub> correlated with the range of f are listed in Table [4](#page-15-0). Although they are decrease with x, the W<sub>mh</sub>  $\approx 1.5$  W<sub>mL</sub> except for x=0.00 in which W<sub>mh</sub>  $\approx 0.94$  $W_{mL}$ . In addition, the minimum hopping distance  $(R_{min})$  is given by Samanta et al. [\(2020](#page-24-23));

$$
R_{\min}(\omega) = \frac{e^2}{\pi \varepsilon_o \varepsilon^{\setminus} (W_m + K_B T \ln \omega \tau_o)} \sim \frac{e^2}{\pi \varepsilon_o \varepsilon^{\setminus} W_m}
$$
(9)

where  $\overline{W}_m = [(W_{mL} + W_{mh})/2]$ . On the other hand, the density of localized states at which carriers exist N can be expressed by Samanta et al. ([2020\)](#page-24-23);

$$
N(\omega) = \frac{24\sigma^{\setminus}}{\pi^3 \varepsilon_{\circ} \varepsilon^{\setminus} \omega R_{\min}^6}
$$
 (10)

As shown in Fig. [10](#page-16-0)a, the unusual behavior of  $R_{\text{min}}$  can be classified into two series relative to  $x = 0.00$ . The vice is versa for the behavior of  $N(\omega)$  against the x, see Fig. [10b](#page-16-0). These results indicate that the  $R_{\text{min}}$  mechanism against f is controlled by the x in the low under doped region  $(x=0.025)$  and also in the over-doped region  $(x=0.20)$ .

In addition, the density of states at Fermi level  $N(E_f)$  can be calculated by Singh et al. ([1832\)](#page-21-22);

$$
N_{E_f}(\omega) = \sqrt{\frac{3\sigma^2 \alpha^5}{4e^2 \omega K_B T (\ln \frac{10^{13}}{\omega})^2}}
$$
(11)

where  $\alpha$  is the localized wave function and equals 10<sup>10</sup> m<sup>-1</sup> and f<sub>o</sub>=10<sup>13</sup> Hz is the photon frequency corresponding to the applied ac field. However, the behavior of  $N(E_f)$  against x shown in Fig. [11a](#page-17-0) seems to be systematic because  $N(E_f)$  is gradually increased as x increases to 0.20. But it has unusual behavior against f where it gradually increases for



<span id="page-16-0"></span>Fig. 10 **a** Hopping distance  $(R_{min})$  versus frequency for pure and Al doped ZnO samples **b** Density of localized states (N) versus frequency for pure and Al doped ZnO samples

 $x = 0.00$  and  $0.025$  samples, goes to an optimum, and then decreases. But with increasing x above 0.025, N  $(E_f)$  decreases, increases and goes to an optimum and then decreases. The increase in N ( $E_F$ ) against x confirms the increase in  $\sigma_{ac}$ , and may support the growing concentration of defect energy states in ZnO (Zeyada et al. [2016](#page-23-21)). Our interesting point here is the optimum values of N  $(E_F)$  obtained at about 195 kHz for all samples and also its dependence on x. For example, N ( $E_F$ ) was increased from  $8.05 \times 10^{19}$  (erg.cm<sup>3</sup>)<sup>-1</sup> for  $x = 0.00$  to  $2.01 \times 10^{20}$ ,  $8.97 \times 10^{20}$ ,  $1.75 \times 10^{21}$  and  $1.78 \times 10^{21}$  (erg.cm<sup>3</sup>)<sup>-1</sup> for the doped samples, respectively. This behavior is not clear to us at present and may be either related



<span id="page-17-0"></span>**Fig. 11 a** Density of states at the Fermi level  $N(E_f)$  versus frequency for pure and Al doped ZnO samples **b** F-factor versus frequency for pure and Al doped ZnO samples

to the resonance of lattice vibrations against ac feld/or the enhancement of ac conductivity at 195 kHz. The higher value of F, as a figure of merit given by,  $(\sigma_{ac} \rangle \varepsilon)$  is mainly a good indicator for a sample to be more suitable for solar cell applications (Loane et al. [1991;](#page-22-22) Elliott  $1987$ ). As shown in Fig. [11b](#page-17-0), the F factor is gradually increased by increasing x, but it is almost constant above  $x = 0.05$ . These results strongly recommend the Al doped samples up to  $x = 0.10$  for solar cell design.



<span id="page-18-0"></span>**Fig. 12 a** Impedance  $(Z^{\prime})$  versus frequency for pure and Al doped ZnO samples **b** Impedance  $(Z^{\prime})$  versus frequency for pure and Al doped ZnO samples

Figure [12a](#page-18-0), b shows the variations of Z\ and  $Z\textsuperscript{N}$  against f for all samples. It is evident that Z<sup>\</sup> and Z<sup>\ $\vert$ </sup> for x  $\leq$  0.025 samples are decreased linearly as a straight line with a rise in f. In addition,  $Z^{\setminus}$  was also decreased as x increased, whereas  $Z^{\setminus}$  was increased. With a further increase of x above 0.025,  $Z^{\backslash}$  is slightly increased with f and goes to higher values at about 10 kHz, and then decreases at 10 MHz. It also decreases as x increases below 100 kHz, but above that it is nearly constant for all samples. In contrast, a little bit of a decrease is obtained below 10 kHz for  $Z^\wedge$  against f followed by a significant increase with the rise in f to 10 MHz, but it becomes higher than that of  $x \le 0.025$  samples. However, the increases



<span id="page-19-0"></span>**Fig. 13 a** Cole- Cole plot for  $x = 0.00$  and 0.025 samples **b** Cole- Cole zoom at high frequencies for  $x = 0.00$ and 0.025 samples **c** Cole- Cole plot for x=0.05, 0.10 and 0.20 samples **d** Cole- Cole zoom at high frequencies for  $x = 0.05$ , 0.10 and 0.20 samples

in Z at low f confrm the highly resistive grain boundaries, whereas the decrease is due to the rise in the hopping of charge carriers (Sedky et al. [2022b](#page-24-24); Cherif [2016\)](#page-21-23). Anyhow, the Cole- Cole plot and also the zoom at high frequencies are shown in Fig. [13a](#page-19-0)-d and the impedance of grains and grain boundaries is determined at the half and end of the semicircle-shape plot, respectively. It is evident that the impedance spectra of  $x=0.00$  sample is straight line like- shape with  $Z' = 2.05 \times 10^{10} \Omega$  and  $Z'' = 1.39 \times 10^8 \Omega$ . With increasing x to 0.025, it becomes half semicircle (one quarter) like-shape, and with more increase of x to 0.05, a complete semicircle like-shape with a large radius is obtained. Similar behavior is also obtained for  $x \ge 0.10$ , but the area of the semicircle is gradually decreased as x increases. However, the values of the impedance of grain and grain boundaries for all doped samples  $Z'(g)$ ,  $Z'(gb)$ ,  $Z''(g)$  and  $Z''(gb)$  are listed in Table [5,](#page-19-1) in which they are gradually decreased as x increases to 0.20.

It can be seen that the frequency used for a pure sample is insufficient to cover the grain boundary, and thus the  $Z(g)$  and  $Z(gb)$  cannot be calculated. The  $Z(gb)$  was reduced by increasing x to 0.025, and the frequency was able to make it as one quarter of a circle because

<span id="page-19-1"></span>

the  $Z(gb)$  was reduced, and it can be obtained approximately. With an increase in x above 0.025, the applied frequency is more than adequate for covering the grain boundary, allowing the  $Z(g)$  and  $Z(gb)$  to be calculated. However, a single arc of an identical  $\tau = (1/\omega) = RC$ has been obtained in pure and doped ZnO (Ramesh Babu et al. [2012;](#page-22-24) Giuntini et al. [1981](#page-21-24)). Because of this behavior, conduction in grains and their boundaries could not be separated (Fahim et al. [2018\)](#page-25-6). Other reports believed that a single arc was caused by the combined contributions of the grain's core resistive and grain boundary (Singh and Singh [2020b](#page-22-25)). This fact suggests that the excess of doping probably exists in the grain boundary region, either as a very thin secondary phase, which could beneft the grain boundary transport for grain growth (Elkar et al. [2018](#page-24-25)).

# **4 Conclusion**

Structural, FTIR, optical and dielectric properties of  $\rm Zn_{1-x}Al_xO$  were investigated. Regardless of the  $x=0.20$  sample, which shows unusual behavior, the lattice constants, crystallite diameter, porosity, and average crystalline size are decreased by Al, while the Debye temperature and elastic modulus are increased. The residual stress is compressive for the ZnO, but it is tensile for the doped samples. Although two different values of  $E_{\sigma}$  are apparent for each sample, the  $\Delta E = (E_{gh} - E_{gl})$  between them is almost constant. Further, the residual dielectric constant is decreased as x increases to 0.10, followed by a sharp increase at  $x=0.20$ , while the opposite behavior is obtained for  $(N/m^*)$ . The conduction is electronic for  $x \le 0.025$  samples, but it is changed to hole for  $x > 0.0.025$ . Although W<sub>m</sub> decreased by increasing x, there was no exact trend for the behaviors of  $R_{\text{min}}$  and N against x. Interestingly, N ( $E_F$ ) has an optimum value at 195 kHz for all samples. The F-factor for solar cell design is increased as x increases to 0.10, but it is almost constant at  $x=0.20$ . The Cole–Cole plot for the samples is a straight line for  $x=0.00$ , a semicircle arc for  $x=0.025$ , and a complete semicircle for  $x \ge 0.05$ . The Z $\Gamma$ (G) and  $Z(\text{GB})$  are gradually decreased by increasing x to 0.20. These outcomes strongly recommend the AlZnO samples for the design of optoelectronic and solar cell instruments.

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# **Declarations**

**Confict of interest** The authors declare that they have no competing interests and the present work is original not published or submitted for evaluation to another Journal. Moreover, the obtained systematic fndings or study strongly recommend the Al doped samples for advanced applications such as Optoelectronic and solar cell instruments, which probably have never been early reported elsewhere over the last 3-years, which and highlight the present investigation. In addition, the datasets generated during and/or analyzed during the submitted current study are only available from the corresponding author on reasonable request.

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