

Optimization of photonic crystal fbers for transmission of orbital angular momentum modes

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Received: 13 April 2021 / Accepted: 3 October 2021 / Published online: 21 October 2021 © The Author(s), under exclusive licence to Springer Science+Business Media, LLC, part of Springer Nature 2021

Abstract

Photonic crystal fbers (PCFs) which can transmit orbital angular momentum (OAM) modes are attractive to optical communication due to the large capacity and fexible structure. Herein, a method is proposed to optimize a simple fber structure by changing the thickness of the annular area. The changes in the efective index, efective index diference, dispersion, effective mode area, nonlinear coefficient, numerical aperture, mode purity, and walk-off length in the thickness range of $2.0 \mu m$ to $2.5 \mu m$ are determined, compared, and analyzed systematically. The distance between the square air holes and central air hole is the better parameter to optimize the dispersion, efective mode area, and nonlinear coefficient, whereas the radius of the central air hole is more suitable for optimizing the NA, OAM purity and walk-off length. The optimization method and results have great value pertaining to the design and improvement of PCFs for transmission of OAM modes.

Keywords Orbital angular momentum · Photonic crystal fiber · Optical fiber communication · Fiber design

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1 Introduction

The orbital angular momentum (OAM) is a new dimension in space division multiplexing and widely used in fber optics communication because of the theoretically infnite orthogonal basis and infnite modes (Rui-Chao and Zhang [2017](#page-17-0); Ott et al. [2008](#page-17-1), [2003](#page-17-2)). So far, step index fbers (SIFs) (Kibler et al. [2021](#page-16-0)), graded index fbers (GIFs) (Majum-dar et al. [2014\)](#page-17-3), and photonic crystal fibers (PCFs) () have been proposed to propagate OAM modes. The performance of PCFs can be improved or controlled by optimizing the shape, size, number, and location of claddings in the air holes. In order to propagate OAM modes stably, the PCFs should have low and fat dispersion (Xu et al. [2021](#page-17-4)), large efective mode area (Shen et al. [2020\)](#page-17-5), small nonlinear coefficient (Liu and Zheng [2008](#page-16-1)), and large efective index diference between the circular scope and cladding (McMorran Benjamin et al. [2017](#page-17-6)). Recently, several types of optical fbers have been designed to transmit OAM modes. For example, Y. Yue et al. reported an annular PCF based on As_2S_3 with a large effective index difference of 3.35×10^{-2} (Yue et al. [2012a](#page-17-7)) and N. Ashok et al. described a PCF in which the dispersion in very mode was less than 300 ps/(nm·km) (Nandam [2018](#page-17-8)). X.G. Zhang et al. described a circular PCF in which the chromatic dispersion for OAM modes was within 39 ps/(nm·km) for a bandwidth of 750 nm (Wei Tian et al. [2016](#page-17-9)). F. Wang et al. proposed a PCF with mixed air holes to improve the air permeability for bigger efective index diference (Wang et al. [2016\)](#page-17-10) and another PCF with a large efective mode area was also described (Bozinovic and Ramachandran [2011](#page-16-2)).

In the design of optical fbers, the properties can be improved by optimizing the various structural parameters. However, systematic and comprehensive studies of the infuence of the structural parameters such as size on the characteristics of optical fbers performance have been rare. Moreover, there is little information on a simple and feasible optimization method for optical fbers pertaining to the propagation of OAM modes. At present, the common knowledge is that the relevant factors afecting transmission of OAM modes in PCFs include the efective refractive index, photon confnement ability, and light feld distribution in the annular region (Brunet et al. [2014](#page-16-3); Zhang et al. [2015](#page-17-11); Brunet and Rusch [2016;](#page-16-4) Xu et al. [2011](#page-17-12)). In order to design optical fbers with better properties, it is necessary to have a simple and rational method to optimize parameters such as the thickness of the annular area. There are two ways to alter the thickness of the annular area. The frst means is to change the distance between the frst layer air hole and center air hole without adjusting the radius of the center air hole, and the second one is to change the radius of the central air hole without adjusting the position of the air hole. Herein, the efects of the efective index, effective index difference, dispersion, effective mode area, nonlinear coefficient, numerical aperture, mode purity, and walk-off length, and thickness of the annular area on the transmission of OAM modes in PCFs are investigated systematically. Our results reveal that the simple method and results have great value in the design and optimization of PCFs for transmission of OAM modes.

2 PCF design and numerical simulation method

To assess the efects of the thickness of the annular area on the fber properties, a simple and common PCF structure is chosen as shown in Fig. [1](#page-2-0). The background materials are silica (orange area) and the core is a big hole flled with air. The blue region composed of silica is

Fig. 1 Cross-section of the annular index profle structure in the photonic crystal fber

the perfectly matched layer (PML) which limits the boundary conditions in the simulation. Two circular layers and one square layer of air holes are designed in the cladding of PCF. The reason why the diference in the diameters of the two layers of circular air holes is large is to reduce the confnement loss. The inner portion is a stratum of square air holes which not only increase air transmittance, but also concentrate the energy in the annular region. Therefore, the efective refractive index diference can give rise to stable transmission. In the structure, d_1 =0.8 μm and d_2 =1.5 μm are the diameters of the central and two kinds of circular air holes, respectively. The side length of the square air holes is $a=1$ μ m and *h* is the distance between the square and central air holes. It is noted that d_0 and *h* jointly determine the thickness of the annular area and propagation of OAM modes in the structure.

The refractive index of the background materials and PML depends on the Sellmeier equa-tion [\(Liu 2015\)](#page-16-5) as shown in Eq. (1) :

$$
n^{2}(\lambda) = 1 + \frac{A_{1}\lambda^{2}}{\lambda^{2} - B_{1}} + \frac{A_{2}\lambda^{2}}{\lambda^{2} - B_{2}} + \frac{A_{3}\lambda^{2}}{\lambda^{2} - B_{3}}
$$
(1)

where $A_1 = 0.6961663$, $A_2 = 0.4079426$, $A_3 = 0.897479$, $B_1 = 0.0684043$, $B_2 = 0.1162414$, and $B_3 = 9.896161$. The simulation is carried out using COMSOL to calculate the effective refractive index and other physical quantities and MATLAB is utilized for post-processing of some of the fber characteristics.

3 Results and discussion

Two optimization methods are adopted to investigate the relationship between the thickness of the annular region and efective refractive index, efective index diference, efective mode area, nonlinear coefficient, effective index difference, and dispersion at a wavelength of 1.55 μ m. The value of d_0 is fixed when *h* is changed and the distance between the square air hole to the center of the fiber is kept constant as d_0 is adjusted. Overall, the thickness of the annular area is varied between 2 and 2.5 μm.

3.1 Transmission modes

The OAM modes in optical fbers consist of the odd and even modes of the same order, meaning that $OAM \pm \pm l$,*m* is composed of HEeven *l*+1 and HEodd *l*+1, or EHeven l -1 and EHodd l -1, where HE^{even} or EH^{even} is the even HE and EH modes and HE^{odd} or EH^{odd} is the odd mode of HE and EH modes, respectively. There is a $\pi/2$ phase difference between the odd and even modes and the number of OAM modes is determined by the following equations Kabir [\(2020](#page-16-6)):

$$
OAM^{\pm}_{\pm l,m} = HE^{even}_{l+1,m} \pm iHE^{odd}_{l+1,m} \quad \text{and} \quad OAM^{\mp}_{\pm l,m} = EH^{even}_{l-1,m} \pm iEH^{odd}_{l-1,m} \tag{2}
$$

where \pm ' in the upper right corner represents the right and left rotation directions of the circularly polarized OAM and '*l*' is the topological charge of the OAM modes to indicate the order of OAMs in the fiber. Moreover, \pm before *l* is the direction of phase rotation and *m*, usually taken one, is the number of concentric circles about the intensity distribution of OAM modes in the radial direction. It can only be used as two information states because $OAM_{+1,1}$ has the same circular polarization and rotation direction when $l=1$.

Theoretically the HE modes and EH modes can be linked to the OAM modes in the COMSOL simulation. $OAM_{1,m}$ has only two information bearing states and so it always has the same circular polarization and rotation directions (Zhu et al. [2017\)](#page-17-13). Meanwhile, the higher-order OAM modes can produce four independent information bearing modes and they can polarize and rotate in the same or opposite direction (Alexeyev et al. [1998](#page-16-7)). The topological charge of the HE module can reach 8 and that of EH is up to 6 in this structure. Consequently, this fiber can transmit $6 \times 4 + 2 = 26$ OAM modes including $OAM \pm \pm 1,1\{HE_{2,1}\}, OAM \pm \pm 2,1\{HE_{3,1},EH_{1,1}\}, OAM \pm \pm 3,1\{HE_{4,1},EH_{2,1}\}, OAM \pm \pm 4,1\{HE_{5,1},EH_{3,1}\}, OAM \pm \pm 5,1\{HE_{6,1},EH_{4,1}\}, OAM \pm \pm 6,1\{I\}$

 $OAM \pm \pm 5,1\{HE_{6,1},EH_{4,1}\}, OAM \pm \pm 6,1\{HE_{7,1},EH_{5,1}\}$ },OAM \pm ± 7,1{HE_{8,1},EH_{6,1}}. Among them, HE_{3,1}, HE_{5,1}, HE_{8,1}, EH_{1,1}, EH_{3,1}, EH_{6,1} are selected for discussion.

Figure [2a](#page-4-0) to f show the feld distributions in the *z* direction for a part of the modes at 1.55 μm. Because the EH modes are closer to the fber core than HE modes, the OAM modes of the EH modes are more stable than those of HE under an external infuence. Fig-ure [2](#page-4-0)g to i indicate the phase distributions of the OAM modes which change $\pi/2$ azimuthally and therefore, these modes can be de-multiplexed with conjugating phase modes.

3.2 Efective refractive index

The efective index of the OAM modes determines the efective index diference and dispersion and the efective refractive index of the modes can be changed by adjusting the

Fig. 2 a–**f** Optical feld distributions of the partial HE and EH modes in the *z* direction at 1.55 μm; **g**–**i** Phase diagrams of the partially displayed OAM modes

thickness of the ring region. Figure [3a](#page-5-0) and b show the efects of the thickness of the annular region on the efective refractive index according to the two optimization methods described in this paper.

It has been shown that the higher the mode order, the lower is the efective refractive index (Liu et al. [2019](#page-17-14); Zhang et al. [2016,](#page-17-15) [2017;](#page-17-16) Wang et al. [2020;](#page-17-17) Zhu et al. [2015](#page-17-18)). Therefore, only $\text{HE}_{3,1}$, $\text{HE}_{5,1}$, $\text{HE}_{8,1}$, $\text{EH}_{1,1}$, $\text{EH}_{3,1}$, and $\text{EH}_{6,1}$ are selected to discuss the mode functions. Figure [3](#page-5-0) shows that the effective refractive index increases with increasing distance between the square and central air holes (*h*) but decreases with increasing radius of

Fig. 3 a Efective refractive index obtained by changing the distance between the square and central air holes at 1.55 μm; **b** Efective refractive index obtained by changing the radius of the center air hole

the center air hole (d_0) . The effective refractive index diminishes gradually. In addition, the trend shown in (a) is almost symmetrical with that in (b) because the variation range of the annular region thickness is consistent $(2.0 \mu m)$ to $2.5 \mu m$) in the two optimization methods.

The efective index diference between the HE modes and EH modes should be greater than 1×10^{-4} (Bai et al. [2020](#page-16-8)) in order to transmit OAM modes stably in the optical fiber. An effective index difference of less than 1×10^{-4} may lead to crosstalk and coupling of diferent modes forming scalar LP modes (Wang et al. [2020\)](#page-17-19). The relationship between the distance between the square air holes and central air hole (*h*), radius of the central air hole (d_0) , and effective index difference in Fig. [4](#page-6-0)a and b indicates that the effective index difference is inversely proportional to *h* and directly proportional to d_0 .

Similar to Fig. [2,](#page-4-0) the trend in Fig. [3](#page-5-0)a is almost symmetrical with that in (b). The efective index diference decreases with increasing annular area thickness and is eventually less than 1×10^{-4} so that it cannot be magnified without limit during optimization. Besides, to

Distance from square air holes to the central air hole /(μm)

Fig. 4 a Efective index diference by changing the distance between the square air holes and central air hole at 1.55 μm. **b** Efective index diference by changing the radius of the central air hole

reduce crosstalk between diferent modes and ensure stable transmission of OAM modes, the radius of the central air hole can be increased appropriately to obtain a larger efective index diference.

3.3 Chromatic dispersion

Dispersion refers to the phenomenon of optical pulse broadening caused by diferent group velocities of diferent frequencies in the light source and it is divided into materials and waveguide dispersions (Huang et al. [2020\)](#page-16-9). Because the efective index diference of the

OAM modes is greater than 1×10^{-4} , the dispersion is mainly composed of waveguide dispersion and determined by Eq. ([3](#page-7-0)) (Ye et al. [2013\)](#page-17-20):

$$
D = -\frac{\lambda}{c} \frac{d^2 Re(n_{\text{eff}})}{d\lambda^2} \tag{3}
$$

where $c = 3 \times 10^8$ m/s is the speed of light, λ is the wavelength, and $Re(n_{\text{eff}})$ is the real part of the efective refractive index. The dispersion curves in Fig. [5a](#page-7-1) and b describe the variations in the two cases when changing the thickness of the annular area at 1.55 μm.

Regardless of whether the distance between the square air holes and central air hole is increased or the radius of the central air hole is reduced, there is little diference between the HE modes. A part of light will return to the core for further transmission after entering the cladding through the interface between the core and cladding. Light

Fig. 5 a and **b** Variations of dispersion in the two ways by adjusting the thickness of the annular region

propagation in the cladding is faster than that in the core leading to pulse broadening and dispersion (Ademgil and Haxha [2008](#page-16-10)). Figure [5](#page-7-1) shows that the two optimization methods have little efect on the dispersion of low-order EH modes, while the area of the annular region increased by reducing the radius of the central air hole is less than that increased by adding the distance between the square air hole and the central air hole, which can not reduce the dispersion of higher-order modes more. Consequently, it is more efficacious to increase the thickness of the annular area by increasing the distance between the square air holes and central air hole in order to debase dispersion.

3.4 Effective mode area and nonlinear coefficient

The area of the high refractive index ring region is called the efective mode area, which can be used to express the photon energy concentration in the optical fber. The efective mode area which is inversely proportional to the photon energy concentration can be calculated by Eq. ([4\)](#page-8-0) (Kabir et al. [2020a](#page-16-11)):

$$
A_{\text{eff}} = \frac{\left(\iint |E(x, y)|^2 dxdy\right)^2}{\iint |E(x, y)|^4 dxdy} \tag{4}
$$

where $E(x, y)$ is the electric vector of the cross-section of the optical fiber in light propagation, which can be studied by COMSOL directly. Another important physical quantity inversely proportional to the effective mode area is the nonlinear coefficient which can be calculated by Eq. (5) (5) (Bai et al. [2018\)](#page-16-12):

$$
\gamma = \frac{2\pi n_2}{\lambda A_{\text{eff}}} \tag{5}
$$

where $n = 2.3 \times 10^{-20} \text{m}^2 \cdot \text{W}^{-1}$ is the nonlinear refractive index of silica, λ is the wavelength, and A_{eff} is the effective mode area. The nonlinear coefficient is a physical quantity that characterizes the infuence of the nonlinear efects on the optical fber. The nonlinear efects refer to those caused by nonlinear polarization of the medium under the action of strong light due to the small size of the optical fber and mainly include stimulated absorption and refractive index disturbance. The smaller the nonlinear coefficient, the better is transmission of the OAM modes and therefore, the efective mode area should be as large as possible. Figure [6](#page-9-0)a–d show the effective mode areas and nonlinear coefficients for the two optimization ways.

The efective mode area is proportional to the thickness of the annular region. The reason why increasing thickness of the annular region can expand the area of the light guide in the fber and the efective mode feld area will enlarge accordingly is that the optical energy is mainly concentrated in the ring region, so that the nonlinear efects are depressed to transmit the OAM modes steadily. It is also better to increase the thickness of the annular region by enlarging *h* because a large core radius has stronger binding ability with photons consequently reducing the possibility of photons leaking into the cladding to exacerbate the nonlinear efects. Consequently, it is more advantageous to increase the distance between the square and central air holes when optimizing the fber structure.

Fig. 6 a–d Effective mode areas and nonlinear coefficients for the two optimization ways

3.5 Numerical aperture (NA)

The numerical aperture (NA) describes the cone angle of light entering and leaving the fber. NA is a dimensionless entity representing the ability of the optical fber to receive and transmit light. It can be utilized to measure the total acceptance of optical power into the fiber and calculated by Eq. (6) (6) (Kabir et al. [2020b\)](#page-16-13):

$$
NA = \left(1 + \frac{\pi A_{\text{eff}}}{\lambda^2}\right)^{\left(-\frac{1}{2}\right)}\tag{6}
$$

where A_{eff} is the effective mode area and λ is the wavelength. NA is inversely proportional to the area of the efective mode feld and directly proportional to the wavelength. Theoretically, it is better to have a larger numerical aperture. Figure [7a](#page-10-0) and b exhibit the relationship between the thickness of the annular region and NA for the two cases. The two ways to increase the thickness of the annular area will reduce NA, but it is better to change the radius of the central air hole. This is because for the same thickness, NA is relatively large and conducive to the optical power coming in and out of the fber.

3.6 OAM purity

The OAM purity is an important parameter of the PCF in optical communication because only the modes with high purity can be transmitted stably in the fber. In fact, the mode purity (*η*) is more important in large capacity transmission. The purity of the OAM modes

Fig. 7 a and **b** Relationship between the thickness of the annular region and NA for thein two cases

can be qualitatively evaluated using the light intensity overlap factor as shown in Eq. ([7](#page-10-1)) (Fahad and Ahmed [2020\)](#page-16-14):

$$
\eta = \frac{I_r}{I_c} = \frac{\iint_{\text{ring}} \overline{|E|}^2 dxdy}{\iint_{\text{cross - section}} \overline{|E|}^2 dxdy}
$$
(7)

where I_r and I_c are the light intensity of the ring area and entire fiber section, respectively, which can be computed by COMSOL. The purity of the OAM modes at 1.55 μm is pre-sented in Fig. [8](#page-11-0)a and b for different ring area thicknesses for the two ways.

The higher the mode purity, the more stable is the transmission. By adjusting the thickness of the annular region, the mode purity increases. A higher mode purity can be obtained by changing the radius of the central air hole under the same conditions. The mode purity of the HE mode is more important than that of the EH mode because the

Fig. 8 a and **b** Purity of a part of the OAM modes

EH mode is closer to the core and the optical energy of the EH mode in the ring region is lower. Hence, the radius of the central air hole can be reduced to improve the mode purity when optimizing the structure.

3.7 Walk‑of length

The diferent transmission velocities of the odd and even modes of the same order OAM mode in the fiber lead to walk-off effect. The length of walk-off determines the mode transmission distance in the optical fber and is proportional to the transmission distance. When the faster mode and slower mode are separated by a certain distance due to the walk-off effect, the OAM modes cannot be synthesized normally. The walk-off length of 2π ($L_{2\pi}$) and walk-off length of 10 ps (L_{10ps}) can be used to analyze the walkoff effect. $L_{2\pi}$ is the propagation distance of two eigenmodes at an interval of 2π optical periods and $L_{10_{ps}}$ is the delay propagation distance of two eigenmodes separated by 10 ps*.* Equations [\(8](#page-12-0)) and [\(9](#page-12-1)) (Yue et al. [2012b](#page-17-21)) are used to calculate the two kinds of walk-off length at 1.55 um:

$$
L_{2\pi} = \frac{\lambda}{n_{\text{eff}}^{\text{even}} - n_{\text{eff}}^{\text{odd}}} (m) \tag{8}
$$

$$
L_{10ps} = \frac{c \times 10ps}{n_{\text{eff}}^{\text{even}} - n_{\text{eff}}^{\text{odd}}} = \frac{3 \times 10^{-3}}{n_{\text{eff}}^{\text{even}} - n_{\text{eff}}^{\text{odd}}}(m) \tag{9}
$$

where λ is the wavelength, *c* is the speed of light and *neven eff* and *nodd eff* are the effective index diferences of the even and odd modes, respectively. Figure [9a](#page-12-2)–d show the two kinds of walk-off lengths for different annular thickness. Although there is no obvious relationship between the thickness of the annular region and two types of walk-of lengths, adjusting the radius of the central air hole for the same thickness can obtain a larger walk-of length and transmission over a longer distance in the optical fber.

Fig. 9 a, **b** Walk-off length of 2π and **c**, **d** walk-off length of 10 ps

3.8 Confnement loss

The confnement loss which can not only determine the mode quality, but also afect the stable transmission of OAM modes is a signifcant parameter of the PCF. In addition, the lower the confnement loss is, the longer the stable transmission distance of OAM modes is. The confnement loss is determined by Eq. ([10](#page-12-0)) (Hassan et al. [2020](#page-16-15)):

$$
L = \frac{2\pi}{\lambda} \frac{20}{\ln(10)} 10^6 Im(n_{\text{eff}})
$$
 (10)

where λ is the wavelength and Im(neff) is the imaginary part of effective refractive index.

Figure [10](#page-13-0) shows the efects of two diferent optimization methods on confnement loss. It is seen from Fig. [10](#page-13-0) that there is no obvious relationship between the confnement loss and the thickness of the annular region. However, a lower confnement loss up to

Fig. 10 a, **b** Confnement loss in two optimization methods

10–9-10–11 dB/m can be obtained by increasing the distance between square air holes and the central air hole. Consequently, increasing this distance has advantage of reducing the confnement loss.

3.9 Final structure

In the previous content, through two optimization methods, the infuences of the thickness of the annular region on efective refractive index, efective index diference, dispersion, effective mode area, nonlinear coefficient, NA, OAM purity, walk-off length and confinement loss are calculated, and some conclusions are obtained. In this section, the structure shown in Fig. [1](#page-2-0) has been optimized, and we design the PCF with the best performance. The main parameters of the PCF are listed in Table [1.](#page-14-0)

The performances of the PCF with optimized structure are calculated at $1.55 \mu m$ by using COMSOL Multiphysics software and are listed in Table [2](#page-15-0).

The simulation at 1.55um indicates that the efective refractive index diference of all OAM modes is greater than 1×10^{-4} , which ensures the stable transmission of OAM modes. The minimum dispersion and lowest nonlinear coefficient are only 61.87 ps/ (km·nm) (HE_{1,1}) and 0.765 km⁻¹·W⁻¹, respectively. In addition, the purity of all OAM modes is larger than 99.76% and the confinement loss is between 10^{-11} and 10^{-9} dB/m, thus the OAM modes will hardly leak into the cladding. Moreover, the maximum 10 ps walk-off length of the proposed PCF is 5803 (HE₆₁), which can be used for long-distance propagation.

4 Conclusion

By adjusting the thickness of the annular area, transmission of OAM modes in PCFs can be optimized to provide the theoretical foundation for the design of optical fbers. For the thickness range of the annular area between 2.0 and 2.5 μ m, the radius of the central air hole or distance between the square air holes and central air hole can be varied from 2.0 to 2.5 μm and 8.0 to 7.5 μm, respectively. By means of simulation and comparative analysis, the effective index difference, dispersion, nonlinear coefficient, and NA are inversely proportional to the thickness of the annular area, but the efective mode area and OAM purity are directly proportional to the thickness of annular area. In particular, the distance between the square air holes and central air hole is the better parameter for optimization of the dispersion, effective mode area, and nonlinear coefficient, whereas the radius of the central air hole is more suitable for optimizing the NA, OAM purity and walk-of length. The method and results provide useful information to optimize optical fbers for transmission of OAM modes.

Acknowledgements This work was jointly supported by Local Universities Reformation and Development Personnel Training Supporting Project from Central Authorities [140119001], City University of Hong Kong Strategic Research Grant (SRG) [Grant Numbers 7005105 and 7005265], and Scientifc Research Fund of Sichuan Province Science and Technology Department [2020YJ0137].

Funding We declare that we have no fnancial and personal relationships with other people or organizations that can inappropriately infuence our work, there is no professional or other personal interest of any nature or kind in any product, service and or company that could be construed as infuencing the position presented in, or the review of, the manuscript entitled 'Optimization of photonic crystal fbers for transmission of orbital angular momentum modes'.

Declarations

Confict of interest The authors declare no conficts of interest.

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