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Effect of the nonlinear displacement-dependent characteristics of a hydraulic damper on high-speed rail pantograph dynamics

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Abstract A new simplified parametric model, which is more suitable for pantograph–catenary dynamics simulation, is proposed to describe the nonlinear displacement-dependent damping characteristics of a pantograph hydraulic damper and validated by the experimental results in this study. Then, a full mathematical model of the pantograph–catenary system, which incorporates the new damper model, is established to simulate the effect of the damping characteristics on the pantograph dynamics. The simulation results show that large F_{const} (saturation damping force of the damper during compression) and C_0 (initial damping coefficient of the damper during extension) in the pantograph damper model can improve both the raising performance and contact quality of the pantograph,

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whereas a large C_0 has no obvious effect on the lowering time of the pantograph; the nonlinear displacementdependent damping characteristics described by the second item in the new damper model have dominating effects on the total lowering time, maximum acceleration and maximum impact acceleration of the pantograph. Thus, within the constraint of total lowering time, increasing the nonlinear displacement-dependent damping coefficient of the damper will improve the lowering performance of the pantograph and reduce excessive impact between the pantograph and its base frame. In addition, damping performance of the new damper model would vary with the vehicle speeds, when operating beyond the nominal-speed range of the vehicle, the damping performance would deteriorate obviously. The proposed concise pantograph hydraulic damper model appears to be more adaptive to working conditions of the pantograph, and more complete and accurate than the previous singleparameter linear model, so it is more useful in the context of pantograph–catenary dynamics simulation and further parameter optimizations. The obtained simulation results are also valuable and instructive for further optimal specification of railway pantograph hydraulic dampers.

Keywords Pantograph hydraulic damper · Displacement dependent · Nonlinear damping characteristics · Pantograph–catenary dynamics · Contact quality · Raising and lowering performance

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1 Introduction

The pantograph is a key device for current collection in modern high-speed rail vehicles [\[1](#page-24-0)]; an optimal design of the structural and component parameters of the pantograph will improve the pantograph–catenary interaction and enable more stable current collection. A hydraulic damper is often installed between the base frame and the lower arm mechanism of a pantograph to improve the pantograph–catenary interaction quality and guarantee ideal raising and lowering performance of the pantograph.

In previous pantograph–catenary dynamics studies, Pombo and Ambrósio [\[2\]](#page-24-1) studied the effects of the pan-head mass, pan-head suspension stiffness and base frame damping on the pantograph–catenary interaction quality by establishing a lumped-mass linear dynamic model of the pantograph. In the pantograph model, the hydraulic damper was considered a simple linear model with the damping coefficient as the only parameter. In many similar studies, in both lumped-mass [\[3](#page-24-2)[–8](#page-25-0)] and multibody $[9-11]$ $[9-11]$ pantograph models, the hydraulic damper was also treated as a single-parameter linear model.

In previous pantograph optimization studies, Zhou and Zhang [\[12\]](#page-25-3) optimized the pantograph parameters using the sensitivity analysis and experience, and the optimal results were experimentally validated. Similar design optimizations [\[13](#page-25-4)[–16](#page-25-5)] of the pantograph parameters were performed using automatic algorithms or robust design techniques. However, in these works, the pantograph models are almost lumped-mass linear ones, where the hydraulic damper is also considered a single-parameter linear model.

In previous railway hydraulic damper studies, modelling the nonlinear characteristics of railway hydraulic dampers and analysing their effects on the yaw motion, stability $[17-19]$ $[17-19]$ and riding comfort $[20-22]$ $[20-22]$ of rail vehicle systems were performed. In a recent study, Wang et al. [\[23\]](#page-25-10) built a new full-parametric model to describe the nonlinear displacement-dependent characteristics of a high-speed rail pantograph damper and found that the new nonlinear model is more adaptive and optimal than the conventional singleparameter linear model by comparing the pantograph dynamic responses when with different damper models.

(1) The conventional single-parameter linear damper model is simple and easy to use, but not adaptive to the changing working conditions of the pantograph, thus, in modern high-speed pantograph development, a nonlinear damper with displacement-dependent characteristics in its extension stroke and low-level saturation damping characteristics in its compression stroke, is usually specified [\[23](#page-25-10),[24](#page-25-11)].

In addition, during the raising or lowering process of the pantograph, the damper angle usually changes with the motion of the framework, so the effective damping levels must be modelled and coupled into the dynamics simulation. Thus, the accuracy of the simple linear damper model also need to be improved.

- (2) Research on modelling the nonlinear displacementdependent characteristics of railway pantograph hydraulic damper is notably limited. Although a new full-parametric pantograph damper model [\[23](#page-25-10)] was built in recent study, a concise damper model which is more suitable for pantograph– catenary dynamics simulation is still expected.
- (3) Most existing works concern the problems of the pantograph–catenary interaction, but the effect of component characteristics, e.g., the hydraulic damper characteristics, on the raising and lowering performance of the pantograph is hardly addressed.

In this work, a new simplified parametric model is proposed to describe the nonlinear displacementdependent damping characteristics of the pantograph hydraulic damper and validated by experimental results. Then, a full mathematical model of the pantograph– catenary system, which incorporates the new pantograph damper model, is established to simulate the effect of the damping characteristics on the pantograph dynamics, and valuable results are obtained. The concise pantograph hydraulic damper model appears to be more adaptive and optimal than the conventional single-parameter linear model, so it is more useful in the context of pantograph–catenary dynamics simulation and parameter optimization. The obtained simulation results are also valuable and instructive for further optimal specification of pantograph hydraulic dampers.

The paper is structured as follows: a full mathematical modelling of the pantograph–catenary system with a new simplified parametric damper model is performed in Sect. [2,](#page-2-0) the effect of the damper characteristics on the pantograph dynamics is simulated in Sect. [3,](#page-9-0) and conclusions are drawn in the final section.

2 Mathematical modelling of the pantograph–catenary system with a new damper model

Figure [1](#page-2-1) illustrates the kinematical scheme and parameters of the pantograph–catenary system. The upper arm, lower arm, guiding rod, coupling rod and hydraulic damper are considered a united multibody mechanism, i.e., a framework with the points *A*, *B*, *C*, *D*, *N*, *P* as internal joints; the pantograph head includes a mass m_h and a suspension with stiffness k_h and damping *c*h; the pantograph head is connected with the multibody framework by joint *E*. The moving pantograph– catenary interaction is simplified as a variable stiffness k_c , so the dynamic interaction force between the panhead and the catenary can be readily obtained.

For the actuating system has a pneumatic actuator, complex control valves and connecting pipes, the modelling and effects of the actuating system on the pantograph dynamics will be addressed by another research

topic. In this work, for simplicity, it is assumed that the pneumatic actuator can supply immediate uplift force and moment with no time delays, and this assumption does not influence the evaluation of damper nature on the pantograph dynamics.

2.1 Multibody dynamic model of the pantograph framework

2.1.1 Relationships between key kinematic parameters

To model the multibody dynamics of the pantograph framework, it is necessary to first deduce the kinematic relationships between the components of the framework. Using the parameters and coordinates in Fig. [1b](#page-2-1) and only considering the vertical motion of the pantograph, it is easy to deduce the positions and angles of all nodes in the framework in terms of the raising angle α of the lower arm.

For example, the raising angle of the coupling rod in terms of α can be formulated by

$$
\theta_2(\alpha) = 2 \arctan \frac{k_2 + \sqrt{-k_1^2 + k_2^2 + k_3^2}}{k_1 + k_3}
$$
 (1)

Fig. 1 Schematic illustrations of the configuration (**a**), geometry and parameters (**b**) of the pantograph–catenary system

Where

$$
\begin{cases}\nk_1 = l_2^2 - l_1^2 - l_3^2 - h_0^2 - l_0^2 + 2l_0l_3\cos\alpha - 2h_0l_3\sin\alpha \\
k_2 = -2l_1l_3\sin\alpha - 2h_0l_1 \\
k_3 = -2l_1l_3\cos\alpha + 2l_0l_1\n\end{cases}
$$
\n(2)

and the raising angle of the connection rod *BC* in terms of α can also be written as

$$
\theta_1(\alpha) = \arctan \frac{-l_1 \sin \theta_2 + h_0 + l_3 \sin \alpha}{l_1 \cos \theta_2 + l_0 - l_3 \cos \alpha} \tag{3}
$$

The displacement of joint *E*, which is also a crucial parameter, can be deduced as follows

$$
y_e(\alpha) = h_0 + l_3 \sin \alpha + l_4 \sin(\theta_1 - \beta)
$$
 (4)

2.1.2 Multibody dynamic model of the pantograph framework

In Fig. [1b](#page-2-1), all rods are considered rigid bodies; because the masses of the guiding rod and connection rod *BC* are small, the guiding rod is negligible, and the connection rod *BC* can be considered a rod with no mass and no moment of inertia. Thus, the multibody dynamics of the pantograph framework can be described by the following Lagrange differential equation

$$
\frac{\mathrm{d}}{\mathrm{d}t}\left(\frac{\partial L}{\partial\dot{\alpha}}\right) - \frac{\partial L}{\partial\alpha} = G_{\mathrm{F}} \tag{5}
$$

where *L* is the Lagrangian function and $L = T - U$; *T* is the kinetic energy of the framework;*U* is the potential energy of the framework when the potential energy in the plane across point A is considered to be zero.

Thus, according to Fig. [1b](#page-2-1), we obtain

$$
T = \frac{1}{2}J_1\dot{\theta}_2^2 + \frac{1}{2}J_3\dot{\alpha}^2 + \frac{1}{2}J_4\dot{\theta}_1^2
$$

+
$$
\frac{1}{2}m_4\left[l_3^2\dot{\alpha}^2 + 2l_3l_{\text{m4}}\dot{\alpha}\dot{\theta}_1\cos(\alpha + \theta_1 - \beta)\right]
$$
 (6)

and

$$
U = m_1 g l_{m1} \sin \theta_2 + m_3 g (l_{m3} \sin \alpha + h_0) + m_4 g [h_0 + l_3 \sin \alpha + l_{m4} \sin(\theta_1 - \beta)]
$$
 (7)

In Eq. (5) , G_F is the generalized force, which includes interaction forces from the pantograph head and hydraulic damper and the uplift moment from the pneumatic actuator. According to the principle of virtual work, G_F can be formulated $[25]$ $[25]$ by

$$
G_{\rm F} = M_{\alpha} + k_4 [k_{\rm h} (y_{\rm h} - y_{\rm e} - l_{\rm h}) + c_{\rm h} (\dot{y}_{\rm h} - \dot{y}_{\rm e}) + m_{\rm h} g] - F_{\rm d} k_5
$$
(8)

where coefficients k_4 and k_5 are defined as variations of the displacement of joint E y_e to α and hydraulic damper length *s* to α , respectively; k_4 and k_5 are written as

$$
k_4 = \frac{\delta y_e}{\delta \alpha}, \ k_5 = \frac{\delta s}{\delta \alpha} \tag{9}
$$

Referring to Fig. [2,](#page-3-1) we can calculate the dynamic damper length *s* in terms of α in Eq. [\(9\)](#page-3-2) as follows

$$
s(\alpha) = \sqrt{[x_d + l \cos(\alpha + \gamma)]^2 + [y_d + l \sin(\alpha + \gamma)]^2}
$$
(10)

Thus, we substitute Eqs. $(6)-(10)$ $(6)-(10)$ $(6)-(10)$ into Eq. (5) to obtain a dynamic model of the framework in terms of α

$$
J_{\rm f}(\alpha)\ddot{\alpha} + U_{\rm f}(\alpha)\dot{\alpha}^2 + C_{\rm f}(\alpha)\dot{\alpha} + F_{\rm f}(\alpha) = M_{\alpha}(\alpha)
$$
\n(11)

where the effective moment of inertia J_f of the framework, coefficient *U*f, effective damping coefficient *C*^f of the framework, generalized force F_f of the framework and uplift moment M_{α} from the pneumatic actuator can be described as follows

$$
J_{\rm f}(\alpha) = J_1 k_6^2 + J_3 + J_4 k_7^2
$$

+ $m_4 [l_3^2 + 2k_7 l_3 l_{\rm m4} \cos(\alpha + \theta_1 - \beta)]$ (12)

$$
U_{\rm f}(\alpha) = J_1 k_6 k_8 + J_4 k_7 k_9
$$

+ $m_4 [k_9 l_3 l_{\rm m4} \cos(\alpha + \theta_1 - \beta)$
- $k_7 (1 + k_7) l_3 l_{\rm m4} \sin(\alpha + \theta_1 - \beta)]$ (13)

$$
C_{\rm f}(\alpha) = \frac{d(F_{\rm d}k_{\rm S})}{d\dot{\alpha}}\tag{14}
$$

Fig. 2 Geometric parameters to calculate the motion of the hydraulic damper

$$
F_{\rm f}(\alpha) = m_1 g l_{\rm m1} k_6 \cos \theta_2 + m_3 g l_{\rm m3} \cos \alpha + m_4 g [l_3 \cos \alpha + l_{\rm m4} k_7 \cos(\theta_1 - \beta)] + k_4 [k_{\rm h} (y_{\rm h} - y_{\rm e} - l_{\rm h}) + c_{\rm h} (\dot{y}_{\rm h} - \dot{y}_{\rm e}) + m_{\rm h} g]
$$
(15)

$$
M_{\alpha}(\alpha) = m_1 g l_{\rm m1} k_6 \cos \theta_2 + m_3 g l_{\rm m3} \cos \alpha + m_4 g [l_3 \cos \alpha + l_{\rm m4} k_7 \cos(\theta_1 - \beta)] + k_4 (F_{\rm u} + m_{\rm h} g)
$$
(16)

where coefficients k_6 and k_7 are defined as the variations of the coupling rod raising angle θ_2 to α and the angle of the connecting rod BC to horizontal line θ_1 to α , respectively; k_6 and k_7 are written as

$$
k_6 = \frac{\delta \theta_2}{\delta \alpha}, \ k_7 = \frac{\delta \theta_1}{\delta \alpha} \tag{17}
$$

Coefficients k_8 and k_9 are defined as

$$
k_8 = \frac{\mathrm{d}k_6}{\mathrm{d}\alpha}, \ k_9 = \frac{\mathrm{d}k_7}{\mathrm{d}\alpha} \tag{18}
$$

In the pantograph dynamics research, sometimes it is more interesting to study the dynamic model in terms of the displacement of joint *E*, i.e., *y*e; hence, except for using Eq. [\(4\)](#page-3-5), it is easy to deduce and use the following relations to transform α to y_e

$$
\dot{\alpha} = \frac{1}{k_4} \dot{y}_e, \ \ddot{\alpha} = \frac{1}{k_4} \ddot{y}_e + \frac{k_{10}}{k_4^2} \dot{y}_e^2 \tag{19}
$$

where coefficient k_{10} is defined as

$$
k_{10} = -\frac{\mathrm{d}k_4}{\mathrm{d}\alpha} \tag{20}
$$

2.2 Dynamic model of the pantograph head with catenary interaction

Referring to Fig. [1b](#page-2-1) and according to Newton's second law, the dynamic model of the pantograph head is written as

$$
m_{\rm h} \ddot{y}_{\rm h} = -k_{\rm h} (y_{\rm h} - y_{\rm e} - l_{\rm h}) - c_{\rm h} (\dot{y}_{\rm h} - \dot{y}_{\rm e}) - F_{\rm c}
$$
\n(21)

where the pantograph–catenary interaction force F_c can be further written as

$$
F_{\rm c} = \begin{cases} k_{\rm c}(y_{\rm h} - y_{\rm c}), & y_{\rm h} \ge y_{\rm c} \\ 0, & y_{\rm h} < y_{\rm c} \end{cases} \tag{22}
$$

where k_c is the changeable catenary stiffness and given by the following model [\[26](#page-25-13)]

$$
k_{c}(v, t) = k_{0} \left[1 + a_{1} \cos\left(\frac{2\pi v}{L_{c}}t\right) + a_{2} \cos\left(\frac{2\pi v}{L_{d}}t\right) + a_{3} \cos^{2}\left(\frac{2\pi v}{L_{c}}t\right) + a_{4} \cos^{2}\left(\frac{\pi v}{L_{c}}t\right) + a_{5} \cos^{2}\left(\frac{\pi v}{L_{d}}t\right) \right]
$$
(23)

Equation [\(23\)](#page-4-0) describes the fluctuating stiffness of the catenary in terms of the pantograph moving speed and time; it is a law fitted from the finite element model of the common Chinese catenary [\[26\]](#page-25-13).

Thus, as shown in Fig. [1b](#page-2-1), the dynamics of the pantograph head and catenary are coupled by k_c ; the dynamics of the pantograph head and framework are coupled by joint *E*, i.e., the dynamic forces from the pantograph head act on the framework through joint *E*, and in return the framework motions affect the pantograph head also through joint *E*.

2.3 A new simplified parametric pantograph damper model

The pantograph damper has nonlinear displacementdependent damping characteristics. Figure [3a](#page-5-0) shows that when the pantograph is in the normal working position, the damper has the shortest length, vibrates with very small amplitudes, and provides the pantograph with a low level of damping.

When the pantograph is lowered, the damper is extended and the fluids in the damper are displaced from the left chamber of the piston to the right chamber of the piston through the orifices in the rod. At the beginning of the extension, the damper produces small damping forces. However, with continuing extension of the damper, the orifices in the rod are sequentially obstructed by the guide seat, and the pressure in the left chamber of the piston increases, so the damper produces notably high damping forces to stop the pantograph hitting the vehicle roof.

When the pantograph is raised, the damper is compressed and the fluids in the damper are displaced from the inner tube to the reservoir through the foot valve with small resistances. In this process, the damper also provides the pantograph with a low level of damping.

A full-parametric model of the pantograph damper has been built in the literature [\[23\]](#page-25-10); however, in the pantograph dynamics simulation, a simplified parametric model would be wieldier and more efficient.

Fig. 3 a Cross section of the pantograph damper; **b** engineering drawing of the cross sections and dimensions of the orifices in the rod

2.3.1 Damping performance in the extension stroke

During the extension stroke of the damper, referring to Fig. [3,](#page-5-0) it is easy to write the following fluid continuity equations

$$
Q_{\text{work}} = A_{x} \dot{x}(t) = C_{d1} A_{n} \left[\frac{2}{\rho} (P - P_{i}) \right]^{1/2}
$$
 (24)

$$
Q_{\text{work}} = C_{\text{d1}} A_{\text{f}} \left(\frac{2}{\rho} P_{\text{i}}\right)^{1/2} \tag{25}
$$

where the pressure action area A_x of the piston during the extension stroke of the damper and the constant cross-section area A_f of the orifices in the rod for fluids outflow are written as

$$
A_{x} = \frac{\pi}{4} \left(D^{2} - d^{2} \right), A_{f} = \frac{\pi}{4} \left(2d_{2}^{2} + 2d_{3}^{2} + d_{4}^{2} \right)
$$
\n(26)

The changeable cross-section area *An* of the orifices in the rod for fluids inflow is described by

$$
A_n = \frac{\pi}{4} \left(d_0^2 + nd_1^2 \right), n = 0, 1, \dots 6 \tag{27}
$$

We combine Eqs. (24) and (25) to obtain

$$
P = \frac{\rho A_x^2 \left(A_f^2 + A_n^2\right)}{2C_{d1}^2 A_f^2 A_n^2} \dot{x}^2(t)
$$
\n(28)

Thus, the damping force during the extension stroke of the damper is

$$
F_{\rm d} = P A_{\rm x} = \frac{\rho A_{\rm x}{}^3 \left(A_{\rm f}{}^2 + A_n{}^2 \right)}{2C_{\rm d1}{}^2 A_{\rm f}{}^2 A_n{}^2} \dot{x}^2(t) \tag{29}
$$

if defining a constant

$$
k_{11} = \frac{\rho A_x^3}{2C_{d1}^2 A_f^2} \tag{30}
$$

it is easy to obtain

$$
F_{\rm d} = k_{11} \left(1 + \frac{A_{\rm f}^2}{A_n^2} \right) \dot{x}^2(t) \tag{31}
$$

Therefore, the damping coefficient of the pantograph damper during extension can be written as

$$
C_{ext} = k_{11} \left(1 + \frac{A_f^2}{A_n^2} \right) \dot{x}(t)
$$
 (32)

Eq. [\(32\)](#page-5-2) indicates that the damping coefficient of the damper during extension is governed by its displacement in relation to parameter A_n , and its speed $\dot{x}(t)$.

2.3.2 Damping performance in the compression stroke

During the compression stroke of the damper, referring to Fig. [3,](#page-5-0) it is also easy to write the following fluid continuity equation

$$
Q_{\text{work}} = A_{\text{c}} \dot{x}(t) = C_{\text{d}1} \left(\frac{\pi}{4} d_0^2\right) \left(\frac{2}{\rho} P\right)^{1/2} + C_{\text{d}2} \left[2\pi r_{\text{s}} C_{\text{e}} C_{\text{w}} \middle|_{r=r_{\text{s}}} \right] \times \frac{P}{E(h_1^3 + h_2^3 + \dots + h_n^3)} \left[\left(\frac{2}{\rho} P\right)^{1/2} \right]
$$
(33)

where the pressure action area A_c of the piston during the compression stroke of the damper is written as

$$
A_{\rm c} = \frac{\pi}{4}d^2\tag{34}
$$

the amount of fluid that passes through the small constant orifice d_0 in the inner tube is very small and on a different scale, when compared with the amount of fluid that passes through the compression shim-stack valve in the foot valve assembly. Thus, if we neglect the flow relating to constant orifice d_0 in Eq. [\(33\)](#page-6-0) and define a constant for the shim-stack valve

$$
k_{12} = \frac{2\pi C_{d2}r_{s}C_{e}C_{w}|_{r=r_{s}}}{E(h_{1}^{3}+h_{2}^{3}+\cdots+h_{n}^{3})}\sqrt{\frac{2}{\rho}}
$$
(35)

it is easy to solve Eq. (33) and obtain

$$
P = \left(\frac{A_c}{k_{12}}\right)^{\frac{2}{3}} [\dot{x}(t)]^{\frac{2}{3}}
$$
 (36)

Hence, the damping force during the compression stroke of the damper is

$$
F_{\rm d} = P A_{\rm c} = A_{\rm c} \left(\frac{A_{\rm c}}{k_{12}}\right)^{\frac{2}{3}} \left[\dot{x}(t)\right]^{\frac{2}{3}} \tag{37}
$$

We continue to define a constant

2.3.3 A new simplified parametric pantograph damper model

Equation [\(32\)](#page-5-2) indicates that the nonlinear damping coefficient of the damper during extension is affected by its speed $\dot{x}(t)$, however, before the orifices in the rod begin to be sequentially shielded by the guide seat, the rod has the largest inflow area A_n which makes the product of the first two items in Eq. [\(32\)](#page-5-2) be the smallest, and thus, the influence of speed $\dot{x}(t)$ to the variation of damping coefficient*C*ext would be weak in this process.

Thus, referring to Eq. [\(32\)](#page-5-2), if C_{ext} could be considered a constant in this process and defined as *C*0, it would be more concise and meaningful in engineering. Extensive numerical simulations show that the difference between the assumed linear model C_0 and the nonlinear model described by Eq. [\(32\)](#page-5-2) in this process is not obvious.

However, when the orifices in the rod begin to be sequentially shielded, the damping coefficient becomes larger and more complex, so Eqs. (31) and (32) are more appropriate to describe the significantly nonlinear behaviour in this process.

When the damper is compressed, the compression shim-stack valve in the foot valve assembly performs like a relief valve, so the damping force would quickly become constant after saturation. Equation [\(39\)](#page-6-1) also indicates that the speed $\dot{x}(t)$ weakly affects the damping force, so F_d can be considered a constant in this process and defined as F_{const} .

Thus, a new simplified parametric pantograph damper model is proposed as follows

$$
F_{\mathbf{d}} = \begin{cases} C_0 \dot{x}(t), \text{ if } \dot{x}(t) \ge 0, -\frac{s_3}{2} \le x(t) < \left(\frac{s_3}{2} - s_1\right), \\ k_{11} \left(1 + \frac{A_t^2}{A_n^2}\right) [\dot{x}(t)]^2, n = 0, 1, \dots, n - 1, \text{ if } \dot{x}(t) \ge 0, \left(\frac{s_3}{2} - s_1\right) \le x(t) \le \frac{s_3}{2}, \\ F_{\text{const}}, \text{ if } \dot{x}(t) < 0. \end{cases} \tag{41}
$$

$$
k_{13} = A_c \left(\frac{A_c}{k_{12}}\right)^{\frac{2}{3}}
$$
 (38)

to obtain

$$
F_{\rm d} = k_{13} \left[\dot{x}(t) \right]^{\frac{2}{3}} \tag{39}
$$

Thus, the damping coefficient of the pantograph damper during compression can be written as

$$
C_{\rm com} = k_{13} \left[\dot{x}(t) \right]^{-\frac{1}{3}} \tag{40}
$$

Equation (41) is a concise model with apparent physical meaning to describe the nonlinear displacementdependent damping characteristics of the pantograph damper. For a given type of pantograph damper, the second item in Eq. [\(41\)](#page-6-2) can be subdivided according to the concrete configuration of the orifice network. For example, for the damper structure in Fig. [3,](#page-5-0) Eq. [\(41\)](#page-6-2) can be concretely written as

$$
F_{d} = \begin{cases} C_{0}\dot{x}(t), & \text{if } \dot{x}(t) \ge 0, -\frac{s_{a}}{2} \le x(t) < \left(\frac{s_{a}}{2} - s_{1}\right), \\ k_{11}\left(1 + \frac{A_{f}^{2}}{A_{5}^{2}}\right)[\dot{x}(t)]^{2}, & \text{if } \dot{x}(t) \ge 0, \left(\frac{s_{a}}{2} - s_{1}\right) \le x(t) < \left[\left(\frac{s_{a}}{2} - s_{1}\right) + \Delta s_{1}\right], \\ k_{11}\left(1 + \frac{A_{f}^{2}}{A_{4}^{2}}\right)[\dot{x}(t)]^{2}, & \text{if } \dot{x}(t) \ge 0, \left[\left(\frac{s_{a}}{2} - s_{1}\right) + \Delta s_{1}\right] \le x(t) < \left[\left(\frac{s_{a}}{2} - s_{1}\right) + \Delta s_{2}\right], \\ k_{11}\left(1 + \frac{A_{f}^{2}}{A_{3}^{2}}\right)[\dot{x}(t)]^{2}, & \text{if } \dot{x}(t) \ge 0, \left[\left(\frac{s_{a}}{2} - s_{1}\right) + \Delta s_{2}\right] \le x(t) < \left[\left(\frac{s_{a}}{2} - s_{1}\right) + \Delta s_{1} + \Delta s_{2}\right], \\ k_{11}\left(1 + \frac{A_{f}^{2}}{A_{2}^{2}}\right)[\dot{x}(t)]^{2}, & \text{if } \dot{x}(t) \ge 0, \left[\left(\frac{s_{a}}{2} - s_{1}\right) + \Delta s_{1} + \Delta s_{2}\right] \le x(t) < \left[\left(\frac{s_{a}}{2} - s_{1}\right) + 2\Delta s_{2}\right], \\ k_{11}\left(1 + \frac{A_{f}^{2}}{A_{1}^{2}}\right)[\dot{x}(t)]^{2}, & \text{if } \dot{x}(t) \ge 0, \left[\left(\frac{s_{a}}{2} - s_{1}\right) + 2\Delta s_{2}\right] \le x(t) < \left[\left(\frac{s_{a}}{2} - s_{1}\right) + \Delta s_{1} + 2\Delta s_{2}\right], \\ k_{11}\left(1 + \frac{A_{f}^{2}}{A_{0}^{2}}\right)[\dot{x}(t)]^{2}, & \
$$

where A_0 – A_5 can be calculated using Eq. [\(27\)](#page-5-4). In addition, according to Fig. [3,](#page-5-0) we have

$$
s = s_0 + x(t), \dot{s} = \dot{x}(t) \tag{43}
$$

Thus, the above simplified parametric damper model can be easily coupled with the pantograph dynamics model and used for pantograph dynamics simulations.

2.3.4 Damper model validation

Fig. 4 Bench testing of a high-speed rail pantograph hydraulic damper

Both computer simulation and experimental research (Fig. [4\)](#page-7-0) were performed to verify the proposed simplified parametric pantograph damper model, and the results are shown in Fig. [5.](#page-8-0)

Figure [5a](#page-8-0) compares the tested nominal-speed force vs. displacement $(F_d - x(t))$ characteristics with the simulated *F***d**−*x*(*t*) characteristics of a high-speed rail pantograph hydraulic damper (Type: J6H36-02-00). Figure [5a](#page-8-0) demonstrates that the test result is consistent with the simulation result, except for small biases in lower-level damping forces, and the biases are notably small and tolerable. In addition, the tested damping force sometimes appears less stable than the simulated damping force, which is common in practical product tests.

In section "a–b", the pantograph begins to be lowered, so the damper begins to extend. Because all orifices in the rod are available to charge the fluids, the damping force slowly increases although the excitation speed improves, so this is good for fast descending of the pantograph.

amplitudes

However, in section "b–c", the orifices in the rod begin to be sequentially shielded, so the damping forces rapidly increase, and the descending speed of the pantograph quickly decreases. In section "c–d", although only the constant orifice in the inner tube works, the pantograph speed is approaching zero, so the damping force quickly descends to zero, and the pantograph is stopped and rests on the compartment roof.

In section "d–e–a", the pantograph is raised, so the damper is compressed. Because the compression shim-stack valve in the foot valve assembly plays a dominate role and acts as a relief valve in this process, the damper supplies a low-level and approximately constant damping force to the pantograph.

Fig. 6 The MATLAB/Simulink model for pantograph–catenary dynamics simulation

Figure [5b](#page-8-0) continues to demonstrate the numerical simulation results of the F_d −*x*(*t*) characteristics of the damper at different excitation speed amplitudes.

Thus, the proposed new simplified parametric model is validated by experimental results; the concise model accurately captures the nonlinear displacementdependent damping characteristics of the pantograph hydraulic damper, and it also appears more complete than the conventional single-parameter damper model.

3 Effect of the damper characteristics on the pantograph dynamics

3.1 The simulation model

A detailed MATALB/Simulink model was developed using the deduced full mathematical model of the pantograph–catenary system in Sect. [2,](#page-2-0) with which the proposed new simplified parametric pantograph damper model was coupled. The Simulink model is

shown in Fig. [6,](#page-9-1) and the parameter values used for the dynamics simulation are summarized in "Appendix".

Before simulation, the vehicle speed and simulation time should be set, for instance 150 km/h and 10 s; the initial heights of the collector from the base frame in the raising, operating and lowering processes are, respectively, set to be 0.27 m, 1.719 m and 1.719 m, and that of the joint *E* from the base frame in the raising, operating and lowering processes are, respectively, set to be 0.17 m, 1.629 m and 1.629 m.

The ODE 3 solver with a time step of 0.001 s and a relative accuracy of 0.1% was employed in the simulation, and the relative accuracy of the parameter matrix is higher than 0.4%. In a computer with an AMD Ryzen 5 2600X processor, the machine time are, respectively, 4.17 s, 11.46 s and 3.49 s when simulating a 5 s raising process, a 20 s operating process and a 5 s lowering process.

In the following simulation, three cases of damper characteristics were used. Case 1 has high-level damping characteristics with $C_0 = 15.57 \text{ kN s/m}$, maximum damping performance at section "b–c–d", which can be calculated by the second item in Eq. [\(41\)](#page-6-2),

and F_{const} = 927.7 N. Case 2 has medium-level damping characteristics with $C_0 = 9.50$ kN s/m, medium damping performance at section "b–c–d", and $F_{\text{const}} = 480$ N. Case 3 has low-level damping characteristics with $C_0 = 4.00$ kN s/m, minimum damping performance at section "b–c–d", and F_{const} = 31 N.

3.2 Raising performance

When the pantograph is raised (the vehicle is stationary), the damper is compressed and works in sec-tion "d–e–a" as shown in Fig. [5a](#page-8-0), the F_{const} in Eq. [\(41\)](#page-6-2) represents the level of damping in section "d–e– a".

Fig. 8 Maximum contact force and raising time during the raising process of the pantograph

Figure [7](#page-10-0) demonstrates the instantaneous current collector height and contact force of the pantograph when it is raised. Figure [7a](#page-10-0) shows that the pantograph with a small F_{const} (Case 3) is quickly raised, but the collector fluctuates with large amplitudes, and for a long time after its first impact with the catenary, the contact (impact) forces during fluctuation are large, as shown in Fig. [7b](#page-10-0). The pantograph with a high-level *F*_{const} (Case 1) is quickly stabilized (Fig. [7a](#page-10-0)), although it is raised for a relatively longer time, and the contact forces are small and quickly stabilized (Fig. [7b](#page-10-0)). The performance of the pantograph with a mediumlevel F_{const} (Case 2) is between that of Cases 3 and 1.

Figure [8](#page-11-0) summarizes the concrete indices of the pantograph when it is raised and shows that Case 3 has the highest maximum contact force and longest raising time of the pantograph, Case 1 has the lowest maximum contact force and shortest raising time of the pantograph, and the indices in Case 2 are between those of Cases 3 and 1.

Thus, from the viewpoint of raising performance, F_{const} in the pantograph damper model Eq. [\(41\)](#page-6-2) should not be designed to be too small or zero; otherwise, severe impacts between the pan-head and the catenary and a longer stabilization time of the pantograph

will be induced; in other words, F_{const} has an optimal value.

3.3 Operating performance

When the pantograph is operating, i.e., the pantograph is holding and moving on the catenary, the pantograph and hydraulic damper experience both high-frequency-low-amplitude vibrations and low-frequency-big-amplitude discrete disturbances. The hydraulic damper works in sections "a–b" and "d– e–a" (Fig. [5a](#page-8-0)) during the operating process, so both parameters C_0 and F_{const} in Eq. [\(41\)](#page-6-2) are crucial to the contact quality of the pan-head and catenary.

3.3.1 Pulse responses of the pantograph

The pulse responses of pantograph reflect the stabilization ability of the pantograph against disturbances. When the pantograph is subject to a positive force pulse input, the response of contact force F_c and its power spectrum density (PSD) are demonstrated in Fig. [9.](#page-12-0) Figure [9a](#page-12-0) shows that the pantograph with large C_0 and F_{const} (Case 1) is more easily stabilized in contact force than that with smaller

*C*⁰ and *F*const (Cases 2 and 3). Figure [9b](#page-12-0) also shows that the pantograph with large C_0 and F_{const} has weaker energies at the main frequencies of 2.6 Hz and 5.2 Hz than the pantograph with smaller C_0 and F_{const} .

Figure [10](#page-13-0) demonstrates the displacement pulse response of the pantograph collector height *y*^h and its PSD when the pantograph is holding with the catenary, and indicates that the pantograph with large C_0 and *F*_{const} (Case 1) is more easily stabilized in collector height and has weaker energies at the main frequencies of 1 Hz and 2.6 Hz than that with smaller C_0 and F_{const} (Cases 2 and 3).

3.3.2 Dynamic contact performance of the pantograph and catenary

Figure [11](#page-14-0) demonstrates the instantaneous current collector height *y*^h and its PSD when the pantograph moves between the fifth and the seventh spans of the catenary at a speed of 200 km/h. The panto**Fig. 10** Displacement pulse response of collector height *y*^h (**a**) and PSD of *y*^h (**b**) when the pantograph is holding with the catenary

graph with large C_0 and F_{const} (Case 1) has lower vibration amplitudes in collector height (Fig. [11a](#page-14-0)) and weaker energy at the main frequency of 2.6 Hz (Fig. [11b](#page-14-0)) than that with smaller C_0 and F_{const} (Cases 2 and 3). However, the energy intensities at the main frequencies of 0.85 Hz and 1.77 Hz do not show remarkable differences among the three cases.

Figure [12](#page-15-0) demonstrates the instantaneous contact force F_c and its PSD when the pantograph moves between the fifth and the seventh spans of the catenary at a speed of 200 km/h. Figure [12](#page-15-0) shows that the

pantograph with large C_0 and F_{const} (Case 1) has lowerlevel fluctuating amplitudes in contact force (Fig. [12a](#page-15-0)) and weaker energy at the main frequency of 2.6 Hz (Fig. [12b](#page-15-0)) than that with smaller C_0 and F_{const} (Cases 2 and 3). The differences in energy intensity at other main frequencies are not obvious among the three cases.

Figure [13](#page-16-0) summarizes and compares the contact force distributions of the pantograph when the pantograph has different levels of C_0 and F_{const} . When $60 \text{ N} \leq F_c \leq 80 \text{ N}$, the contact force is considered in the normal [\[27\]](#page-25-14) contact zone, as shown **(a)**

Pantograph collector height $y_{\sf h}^{}$ [m]

 1.75

1.74

1.73

1.72

 1.71

 1.7

1.69

320

 \times 10⁻⁴ 3.5

3

2.5

 $\overline{2}$

 1.5

 $\mathbf{1}$

 0.5

 $\bf{0}$

ັດ

 $\overline{5}$

(b)

PSD of y_h [m²/Hz]

in Figs. [9a](#page-12-0) and [12a](#page-15-0). When F_c > 80 N or F_c < 60 N, the contact force is considered higher or lower than the normal value. If $F_c > 90$ N, the contact force is considered too high and may damage the pan-head and catenary; if F_c < 50 N, the contact force is considered too low and the pan-head is about to lose contact with the catenary.

Figure [13](#page-16-0) shows that the percentage of normal contact forces of the pantograph with large C_0 and F_{const} (Case 1) is over 66.5% (Fig. [13a](#page-16-0)) and that of extreme contact forces is below 2.5% (Fig. [13b](#page-16-0)). However, the percentage of normal contact forces of the pantograph with small C_0 and F_{const} (Case 3) is only 39.3% (Fig. [13a](#page-16-0)) and that of extreme contact forces exceeds 21% (Fig. [13b](#page-16-0)). The percentages of Case 2 are between those of Cases 3 and 1.

 10

Frequency [Hz]

Thus, from the viewpoint of contact quality, large*C*⁰ and F_{const} in the pantograph damper model (Eq. [41\)](#page-6-2) can increase the percentage of normal contact forces and reduce that of extreme contact forces. In other words, it can improve the pantograph–catenary contact quality. In engineering, C_0 and F_{const} should not be designed to be too small or zero.

15

Fig. 12 Instantaneous contact force F_c (a) and **PSD** of F_c (**b**) when the pantograph moves between the fifth to the seventh spans of the catenary at a speed of 200 km/h

Figure [14](#page-17-0) compares the instantaneous contact force *F*^c and its PSD when the pantograph operates at different vehicle speeds and with a medium-level damper, the medium-level damper is more suitable for a nominal vehicle speed range between 120 to 180 km/h. Figure [14](#page-17-0) demonstrates that the damper has the best damping performance in obtaining better pantograph– catenary contact quality at the speed of 160 km/h, but the damping performance deteriorates obviously when the pantograph operates beyond the nominalspeed range.

The statistic result of contact forces in Fig. [15](#page-18-0) also verifies that a maximum percentage of normal [\[27\]](#page-25-14) contact forces and a minimum percentage of abnormal contact forces of the pantograph and catenary are obtained when the damper operates at the vehicle speed of 160 km/h.

3.4 Lowering performance

When the pantograph is lowered (the vehicle can be stationary or moving), the damper is extended and works in section "a–b–c–d" as shown in Fig. [5a](#page-8-0). If we divide section "a–b–c–d" into "a–b" and "b–c– d", *C*⁰ represents the damping performance in sec-

tion "a–b", and the second item in Eq. [\(41\)](#page-6-2) represents the damping performance in section "b–c– d".

Figure [16a](#page-19-0) and b shows the instantaneous height *y*^e of joint *E* and velocity \dot{y}_e of joint *E* when the pantograph is lowered. As an example (Case 1), Fig. [16b](#page-19-0) shows the lowering process of the pantograph; sections "a–b" and "b-c-d" correspond to sections "a–b" and "b–c–d" in Fig. [5a](#page-8-0), respectively. In section "a– b", the pantograph descends against a relatively small damping of C_0 , so the pantograph speed increases; however, in section "b–c–d", because the pantograph is subject to a very large nonlinear damping, the pantograph speed is drastically reduced in section "b–c" and decreases to zero in section "c–d" when the pantograph impacts the base frame. Figure [16b](#page-19-0) also illustrates the lowering times t_1 in section "ab" and t_2 in section "b–c–d"; the sum of t_1 and t_2 is equal to the total lowering time of the pantograph.

Figure [16b](#page-19-0) indicates that speed of the pantograph with high-level damping (Case 1) is quickly reduced but requires a longer time to reach zero, whereas the speed of the pantograph with low-level damping (Case 3) is slowly reduced but quickly reaches zero. However, because of the considerable impact of the pantograph and base frame in Case 3, the final speeds fluctuate drastically. The final impact intensity is also observed in Fig. [16c](#page-19-0), where the pantograph with low-level damping (Case 3) has the largest velocity impact energy at the main frequency of 2.6 Hz.

Figure [17](#page-20-0) demonstrates the instantaneous acceleration \ddot{y}_e of joint *E* and PSD of \ddot{y}_e when the pantograph is lowered. Figure [17a](#page-20-0) shows that the pantograph with a high-level damping (Case 1) has the largest maximum acceleration in the speed reduction process, i.e., section "b–c" of Fig. [16b](#page-19-0), and the smallest maximum impact acceleration in the final process, i.e., section "c–d" of Fig. [16b](#page-19-0). Figure [17a](#page-20-0) also shows that the pantograph with low-level damping (Case 3) has the largest maximum impact acceleration in the final process. In the frequency domain, Fig. [17b](#page-20-0) obviously shows that the pantograph in Case 3 has the largest acceleration impact energies at the main frequencies of 9.2 Hz and 13.8 Hz.

Figure [18](#page-21-0) demonstrates the instantaneous vertical momentum and PSD of the vertical momentum of the pantograph when the pantograph is lowered. In the main section of speed reduction "b–c", the pantograph with a high-level damping (Case 1) has the largest vertical momentum (Fig. [18a](#page-21-0)) and vertical momen**Fig. 14** Instantaneous contact force F_c (a) and **PSD** of F_c (**b**) when the pantograph operates at different vehicle speeds (Damper: $C_0 = 9.50$ kN s/m, medium damping performance at section "b–c–d", and $F_{\text{const}} = 480 \text{ N}$

tum energies (Fig. [18b](#page-21-0)) at the main frequencies of 0.2 Hz and 1.2 Hz. However, in the final impact process, the pantograph with low-level damping (Case 3) has the largest vertical momentum (Fig. [18a](#page-21-0)) and vertical momentum energies (Fig. [18b](#page-21-0)) at the main frequency of 4.2 Hz.

Figure [19](#page-22-0) summarizes the lowering time in terms of t_1 and t_2 , maximum acceleration and maximum impact acceleration of the pantograph during the lowering process. Figure [19a](#page-22-0) indicates that the differences in lowering time t_1 in the first stage "a–b"

are not obvious among the three cases, so the damping coefficient C_0 has no obvious effect on the lowering time of the pantograph. Figure [19a](#page-22-0) also indicates that the higher level of damping in section "b– c–d" of the damper corresponds to a longer total lowering time of the pantograph. In other words, the damping performance in section "b–c–d" (Fig. [5a](#page-8-0) and the second item in Eq. [41\)](#page-6-2) of the damper has an obvious effect on the lowering time of the pantograph.

Figure [19b](#page-22-0), c shows that the higher level of damping in section "b–c–d" of the damper corresponds to the larger maximum acceleration and smaller maximum impact acceleration of the pantograph. In other words, the speed of the pantograph with high-level damping (Case 1) is quickly reduced, and the pantograph is more softly dropped on the base frame, but a longer total lowering time (Fig. [19a](#page-22-0)) is induced by the high-level damping.

Therefore, from the viewpoint of lowering performance, the damping coefficient C_0 in section "a–b" of the damper has no obvious effect on the lowering time of the pantograph, whereas the nonlinear damping characteristics in section "b–c–d" of the damper, which are described by the second item in Eq. [\(41\)](#page-6-2), have dominating effects on the total lowering time, maximum acceleration and maximum impact acceleration of the pantograph. Thus, within the constraint of the total lowering time, increasing the damping characteristics in section "b–c–d" will obviously improve the lowering performance of the pantograph.

4 Concluding remarks

- (1) A new simplified parametric model, which is more suitable for pantograph–catenary dynamics simulation, was proposed to describe the nonlinear displacement-dependent damping characteristics of a pantograph hydraulic damper and validated by experimental results. A full mathematical model of the pantograph–catenary system, which incorporated the new damper model, was established to simulate the effect of the damping characteristics on the pantograph dynamics, which includes the raising, operating and lowering performance of the pantograph.
- (2) The simulation results show that large values of F_{const} and C_0 in the pantograph damper model have three benefits: increased response quality of the pantograph when the pantograph is raised; avoidance of excessive impact between the panhead and the catenary; and improved pantograph– catenary contact quality by increasing the percentage of normal contact forces and reducing the percentage of extreme contact forces. A large C_0 value has no obvious effect on the lower-

Fig. 16 Instantaneous height y_e (a) and velocity \dot{y}_e (**b**) of joint E and PSD of \dot{y}_e (**c**) when the pantograph is lowered

ing time of the pantograph; thus, in engineering design, C_0 and F_{const} should not be too small or zero.

- (3) The nonlinear damping characteristics described by the second item in the new damper model have dominating effects on the total lowering time, maximum acceleration and maximum impact acceleration of the pantograph. Thus, within the constraint of total lowering time, increasing the second item damping characteristics of the damper will improve the lowering performance of the pantograph and reduce excessive impact between the pantograph and its base frame.
- (4) A set of optimal damper parameters would be beneficial to the pantograph–catenary system dynamics; however, damping performance of the new damper model would vary with the vehicle speeds, when operating beyond the nominal-speed range of the vehicle, the damping performance would deteriorate obviously.
- (5) The proposed concise pantograph hydraulic damper model appears to be more adaptive to working conditions of the pantograph, and more complete and accurate than the previous singleparameter linear model, it is therefore more useful in pantograph–catenary dynamics simulations

Fig. 18 Instantaneous vertical momentum (**a**) and PSD of the vertical momentum (**b**) of the pantograph when the pantograph is lowered

and further parameter optimizations. The obtained simulation results are also useful and instructive for optimal specification of pantograph hydraulic dampers. However, this work was performed by neglecting the performance and time delay of the pneumatic actuating system, so it will be interesting to incorporate the pneumatic system dynamics in the next study.

 (c) 17 8 $\overline{7}$ Maximum impact acceleration [g] \sim \sim \sim \sim \sim \sim Maximum acceleration [g]
4
4 $\overline{1}$ 13 $\mathbf 0$ Case 1Case 2 Case 3 Case 1 Case 2 Case 3

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Compliance with ethical standards

Conflict of interest The authors declared no potential conflicts of interest with respect to this research, authorship, and/or publication of this article.

Appendix

 (b)

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