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### Order and chaos near equilibrium points in the potential of rotating highly irregular-shaped celestial bodies

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Abstract The order and chaos of the motion near equilibrium points in the potential of a rotating highly irregular-shaped celestial body are investigated from point of view of the dynamical system theory. The positions of the non-degenerate equilibrium points vary continuously when the parameter changes. The topological structures in the vicinity of equilibrium points are classified into several different cases. Bifurcations at equilibrium points and the topological transfers between different cases for equilibrium points are also discussed. The conclusions can be applied to all kinds of rotating celestial bodies, simple-shaped or highly irregular-shaped, including asteroids, comets, planets, and satellites of planets to help one to understand the dynamical behaviors around them. Applications to asteroids 216 Kleopatra, 2063 Bacchus, and

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Technology and Engineering Center for Space Utilization, Chinese Academy of Sciences, Beijing 100094, China 25143 Itokawa are significant and interesting: Eigenvalues affiliated to the equilibrium points for the asteroid 216 Kleopatra move and always belong to the same topological cases, while eigenvalues affiliated to two different equilibrium points for the asteroid 2063 Bacchus and 25143 Itokawa move through the resonant cases of equilibrium points, and the collision of eigenvalues in the complex plane occurs. Poincaré sections in the potential of the asteroid 216 Kleopatra show the chaos behaviors of the orbits in large scale.

**Keywords** Irregular-shaped celestial bodies · Asteroid · Bifurcations · Chaos · Equilibrium points

#### **1** Introduction

To the author's opinion, order and chaos are the pair of important and basic behaviors of the dynamical systems of natural and social worlds. Because of some space missions to asteroids and comets, people have an increasing interest of stability, bifurcations, chaos, and resonance of motion in the potential of non-sphericalshaped celestial body. Dynamical behavior near some simple-shaped bodies or in some special potential fields has been discussed; these simple-shaped bodies and special potential fields include a logarithmic gravity field [1], a straight segment [2–8], a circular ring [9– 11], a rotating mass dipole [12], a homogeneous annulus disk [13–15], a triangular plate and a square plate [16], a homogeneous cube [17–20], and a dumbbell-shaped body [21].

Considering highly irregular-shaped minor bodies in the solar systems, objects which have been analyzed include Phobos [22]; asteroids 4 Vesta [23], 216 Kleopatra [24–27], 433 Eros [28–30], 1580 Betulia [30], 1620 Geographos [27,31], 4179 Toutatis [30,32], 4769 Castalia [32–35], 6489 Golevka [31,36], 25143 Itokawa [37,38]; as well as comets 1/P Halley [36] and 67/P CG [30].

The existence, number, and stability of equilibrium points are studied for some simple-shaped bodies in detail. Elipe and Riaguas [1] found four equilibrium points and discussed their linear stability in the gravity field of a logarithm and a massive finite segment. Mondelo et al. [23] found four equilibrium points and gave their coordinates and stability near asteroid 4 Vesta, two of them are stable, while the other two unstable. Yu and Baoyin [24] found four equilibrium points and gave their coordinates, eigenvalues and stability near asteroid 216 Kleopatra. Scheeres [30] found six equilibrium points near asteroid 1580 Betulia as well as four equilibrium points near comet 67P/CG. Jiang et al. [31] discussed motions near equilibrium points for a general rotating asteroid.

Investigation of stability of motion in the vicinity of bodies needs to consider the Jacobian integral and zero-velocity surfaces, which can divide the region into the forbidden region and the allowable region for the particle [25,27,30,33]. Besides, stability of equilibrium points determines the motion stabilization near equilibrium points [5,31], while asymptotically stable manifold, the asymptotically unstable manifold, and the central manifold determine the stability of orbits [31,33]; orbits can be local or large; local orbits [31] are in the vicinity of equilibrium points, while large orbits [25,27] are around the whole irregular body.

Periodic orbits in the potential of a rotating body exist and sometimes look sophisticated [2,16,25,30, 32,33], which can be classified into different periodic orbit families [25,31,33]. Broucke and Elipe [10] presented 10 families of periodic orbits as examples near a massive ring, and the classification method is the different levels of symmetry with directly geometrical looks. Riaguas et al. [2] found several families of periodic orbits near a massive straight segment with different stabilities. Jiang et al. [31] classified periodic orbits near equilibrium points into several families on the basis of topological characteristics.

Bifurcations of motion emerge with parameter variations. Riaguas et al. [2] discovered bifurcations when periodic orbits were considered with parameter variations in the gravity field of a massive straight segment. Galán et al. [39] analyzed bifurcations of the figure-8 solution of the three-body problem. Elipe and Lara [5] discussed bifurcations at the 1:1 resonance in the gravity field of a massive straight segment and found motion near resonance with parameter variations can lead to bifurcations. Resonances have several types: The integer ratio of the orbital angular velocity to the rotational angular velocity of the asteroid can lead to resonances [25,33], in which resonances caused by the 1:1 ratio of rotational period mean equilibrium points [22,27]; moreover, the integer ratio of the orbital period to the rotational period of the asteroid can also lead to resonances [28]. Jiang et al. [36] discovered four kinds of bifurcations for the periodic orbits in the potential field of highly irregular-shaped celestial bodies, including tangent bifurcations, period-doubling bifurcations, Neimark-Sacker bifurcations, and the real saddle bifurcations.

Another important dynamical behavior is the chaos [5,7,18]. Chaos in the rotation and revolution of a massive line segment and a massive point has been discovered by Lindner et al. [7], which includes stable synchronous orbit, generic chaotic orbits, sequences of unstable periodic orbits, and spin-stabilized orbits. Poincaré surface of sections can also be calculated to analyze the chaos behaviors in the potential of a body [8, 10, 16, 18]. Broucke and Elipe [10] calculated the Poincaré surface of sections in the potential of a solid circular ring and found out several visible islands, corresponding to tori which surrounds stable periodic orbits. Blesa [16] ploted Poincaré surface of sections in the potential of a triangular and square plate, respectively. Najid et al. [8] calculated the Poincaré surface of sections to give an overview of the structure of the dynamical behavior of the test particle in the potential of a massive inhomogeneous straight segment. Besides, Liu et al. [18] used Poincaré surface of sections to find periodic orbits around a homogeneous cube.

The intent of this paper was to provide a detailed look at the order and chaos of the motion near equilibrium points in the potential of a rotating highly irregular-shaped celestial body, with arbitrary shape. In other words, the conclusion is correct for all kinds of simple-shaped or highly irregular-shaped bodies. Section 2 presents the motion equation in the potential of a rotating body and the linearized equation of motion relative to the equilibrium point. Section 3 studies the order of the motion in the neighborhood of the equilibrium point acting parameter variation. A theorem is presented and proved to describe the existence and continuous of the non-degenerate equilibrium point in the presence of persistently acting parameter variation. The structural stability of non-degenerate and non-resonant equilibrium points is also discussed. Section 4 analyzed the topological structure in the vicinity of equilibrium points. The topological structure in the vicinity of equilibrium points has six ordinary cases, three resonant cases, three degenerate real saddle cases, one degenerate equilibrium and resonant case, as well as one degenerate equilibrium and degenerate real saddle case. Besides, structure of submanifolds, stability, number of periodic orbit families as well as the phase diagram of motion near equilibrium points are presented in Sect. 4. Section 5 gives a minimum estimate for the number of periodic orbits on a fixed energy hypersurface. Section 6 discussed the chaos of the motion in the neighborhood of the equilibrium point acting parameter variation. These conclusions can be applied to the preliminary analysis of the dynamical behaviors around the rotating celestial bodies, spherical or non-spherical-shaped, including asteroids, comets, planets, and satellites of planets.

Asteroids 216 Kleopatra, 2063 Bacchus, and 25143 Itokawa are selected for applications because of the highly irregular shape of these three bodies. Equilibrium points of asteroids 216 Kleopatra, 2063 Bacchus, and 25143 Itokawa exist and move continuously. Eigenvalues affiliated to the equilibrium points for the asteroid 216 Kleopatra move and always belong to the same topological cases when the parameter of the rotational angular velocity was increasing between  $[0.5\omega, 2.0\omega]$ . The YORP effect makes asteroids' rotation period vary, and Asteroid 54509 YORP (2000 PH5)'s rotation speed increased in the past 600,000 years [39]. If the rotation period becomes double or a half of the initial value, what will happen to the equilibrium points? From the parameters of equilibrium points with the rotation speed's interval  $[0.5\omega, 2.0\omega]$ , one can see the changes in location and eigenvalues of equilibrium points. Besides, when the parameter varies out of the interval, locations of equilibrium points for 216 Kleopatra enter the body of the asteroid. So we choose the interval  $[0.5\omega, 2.0\omega]$ . Eigenvalues affiliated to the equilibrium points E3 and E4 for the asteroids 2063 Bacchus and 25143 Itokawa will collide and move through the resonant cases with the same region of rotational angular velocity : $[0.5\omega, 2.0\omega]$ . Poincaré sections in the potential of the asteroid 216 Kleopatra are presented to show the chaos behaviors in the large, yields the chaos occurs not only locally, but also largely.

#### 2 Equations of motion

The body-fixed frame is established with the origin located at the body's mass center, the z-axis coincides with the rotation axis, and the x-axis and y-axis coincide with the other two principal axes of the body. The dynamical model used across in this paper is the following equation [36], which depends on parameters

$$\ddot{\mathbf{r}} + 2\boldsymbol{\omega} \left( \boldsymbol{\mu}_{\omega} \right) \times \dot{\mathbf{r}} + \dot{\boldsymbol{\omega}} \left( \boldsymbol{\mu}_{\omega} \right) \times \mathbf{r} + \frac{\partial V \left( \boldsymbol{\mu}, \mathbf{r} \right)}{\partial \mathbf{r}} = 0, (1)$$

where  $\mathbf{r}$  is the body-fixed vector from the body center of mass to the particle,  $\omega$  is the rotation angular velocity vector of the body relative to the inertial space,  $U(\mathbf{r})$  is the gravitational potential,  $V(\boldsymbol{\mu}, \mathbf{r}) =$  $-\frac{1}{2} \left( \boldsymbol{\omega} \left( \boldsymbol{\mu}_{\omega} \right) \times \mathbf{r} \right) \left( \boldsymbol{\omega} \left( \boldsymbol{\mu}_{\omega} \right) \times \mathbf{r} \right) + U \left( \boldsymbol{\mu}_{U}, \mathbf{r} \right), \ \boldsymbol{\mu}_{\omega} =$  $\boldsymbol{\mu}_{\omega}(t)$  is the parameter for  $\boldsymbol{\omega}, \, \boldsymbol{\mu}_{U} = \boldsymbol{\mu}_{U}(t)$  is the parameter for  $U(\mathbf{r}), \boldsymbol{\mu} = \boldsymbol{\mu}(t) = \left[\boldsymbol{\mu}_{\omega}(t), \boldsymbol{\mu}_{U}(t)\right], \boldsymbol{\mu}$ is the parameter related to the time t. The dimension of  $\boldsymbol{\mu}_{\omega} = \boldsymbol{\mu}_{\omega}(t)$  or  $\boldsymbol{\mu}_{U} = \boldsymbol{\mu}_{U}(t)$  is arbitrary, and it can be 0, 1, 2, etc. The physical meaning of the parameter  $\mu_{\omega}$  represents the change in the rotation rate of the body, while the physical meaning of the parameter  $\mu_U$ represents the change in the shape and structure of the body. There are several phenomena that can make the parameter  $\mu$  vary, including the YORP effect [40], the generating of the dust tails from the cometary nucleus [41], the surface grain motion [42], and the disintegration of asteroids [43].

n of asteroius [+3]. Denote  $\mathbf{F}(\boldsymbol{\mu}, \mathbf{r}) = \frac{\partial V(\boldsymbol{\mu}, \mathbf{r})}{\partial \mathbf{r}}$ 

The Jacobian integral [33,44] is

$$\frac{1}{2}\dot{\mathbf{r}}\cdot\dot{\mathbf{r}}+V\left(\mathbf{r}\right)=H,\tag{2}$$

The equilibrium points satisfy the following condition

$$\frac{\partial V(\boldsymbol{\mu}, \mathbf{r})}{\partial x} = \frac{\partial V(\boldsymbol{\mu}, \mathbf{r})}{\partial y} = \frac{\partial V(\boldsymbol{\mu}, \mathbf{r})}{\partial z} = 0$$
(3)

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where (x, y, z) is the component of **r** in the body-fixed coordinate system. Let  $(x_L, y_L, z_L)^T$  denote the coordinate of an equilibrium point, and the effective potential V(x, y, z) can be expanded by Taylor series at the equilibrium point  $(x_L, y_L, z_L)^T$ .

The characteristic equation [31] of the equilibrium point is

$$\lambda^{6} + (V_{xx} + V_{yy} + V_{zz} + 4\omega^{2})\lambda^{4} + (V_{xx}V_{yy} + V_{yy}V_{zz} + V_{zz}V_{xx} - V_{xy}^{2} - V_{yz}^{2} - V_{xz}^{2} + 4\omega^{2}V_{zz})\lambda^{2} + (V_{xx}V_{yy}V_{zz} + 2V_{xy}V_{yz}V_{xz} - V_{xx}V_{yz}^{2} - V_{yy}V_{xz}^{2} - V_{zz}V_{xy}^{2}) = 0, \qquad (4)$$

where  $\lambda$  denotes the eigenvalues of the equilibrium point. Denote the Jacobi constant at the equilibrium point as H(L), and the eigenvector of the eigenvalue  $\lambda_j$  as  $\mathbf{u}_j$ .

# **3** Order in the neighborhood of the equilibrium point with variable parameters

In this section, we discuss the order of the motion in the neighborhood of the equilibrium point around a rotating body with variable parameters, including the existence and continuous of equilibrium points acting parameter variation as well as the structural stability of non-degenerate and non-resonant equilibrium points.

# 3.1 Existence and continuous of equilibrium points when parameter changes

The Hessian matrix of the effective potential at the equilibrium point  $\mathbf{r} = \boldsymbol{\tau} (\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  is  $\nabla^2 V (\boldsymbol{\mu}_0, \boldsymbol{\tau}_0) = \frac{\partial F(\boldsymbol{\mu}, \boldsymbol{\tau})}{\partial \boldsymbol{\tau}} \Big|_{(\boldsymbol{\mu}_0, \boldsymbol{\tau}_0)}$ . The non-degenerate equilibrium point is the equilibrium point with the Hessian matrix of the effective potential that has full rank.

**Definition 1** Assume that when  $\mu = \mu_0$ , the point  $\mathbf{r} = \boldsymbol{\tau} (\mu_0) = \boldsymbol{\tau}_0$  is an equilibrium point of the motion for the particle in the potential field of a rotating body. If there exists an open neighborhood of  $\mu_0$ , which can be denoted as  $G_N(\mu_0)$ , where N in  $G_N(\mu_0)$  means neighborhood; and there exists a function  $\boldsymbol{\tau} = \boldsymbol{\tau} (\boldsymbol{\mu})$ , which satisfies  $\boldsymbol{\tau} (\mu_0) = \boldsymbol{\tau}_0$ ; such that  $\forall \boldsymbol{\mu} \in G_N(\mu_0)$ , and  $\boldsymbol{\tau} = \boldsymbol{\tau} (\boldsymbol{\mu})$  is an equilibrium point. Then, the equilibrium point  $\mathbf{r} = \boldsymbol{\tau} (\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  is said to be *continuous in the presence of persistently acting parameter variation*.

**Theorem 1** *The non-degenerate equilibrium point is continuous in the presence of persistently acting para-meter variation.* 

*Proof* The equilibrium point  $\tau = \tau$  ( $\mu$ ) is the implicit function expressed by  $\mathbf{F}(\mu, \tau) = 0$ . Consider a nondegenerate equilibrium point  $\mathbf{r} = \tau$  ( $\mu_0$ ) =  $\tau_0$ , it satisfies  $\mathbf{F}(\mu_0, \tau_0) = 0$ , and the matrix  $\nabla^2 V(\mu_0, \tau_0) = \frac{\partial F(\mu, \tau)}{\partial \tau} |_{(\mu_0, \tau_0)}$  has full rank. By applying the implicit function theorem, there is a continuous function  $\mathbf{r} = \tau$  ( $\mu$ ) such that  $\tau$  ( $\mu_0$ ) =  $\tau_0$ , and  $\mathbf{F}(\mu, \tau (\mu)) = 0$ for any  $\mu \in G_N(\mu_0)$ , where  $G_N(\mu_0)$  is a sufficiently small open neighborhood of  $\mu_0$ .

From the definition of the effective potential, one can see that the effective potential is a continuous function about the parameter  $\mu$ , which means if the parameter  $\mu$ has a sufficiently small change, the effective potential has a sufficiently small change. From Theorem 1, it is shown that if the equilibrium point is non-degenerate, the equilibrium point exists with a sufficiently small deviation of the effective potential. Besides, the effective potential V at the position  $\mathbf{r}$  relative to the bodyfixed frame relates to the gravitational potential U and the rotational angular velocity vector of the body relative to the inertial space  $\omega$ . In other words, if the equilibrium point is non-degenerate, the equilibrium point exists with a sufficiently small deviation of the gravitational field of the body and the rotation angular velocity. This leads to Remarks 1 and 2.

*Remark 1* If the equilibrium point is non-degenerate, the equilibrium point exists with a sufficiently small deviation of the effective potential.

*Remark 2* If the equilibrium point is non-degenerate, the equilibrium point exists with a sufficiently small deviation of the gravitational field of the body and the rotation angular velocity.

Using the Theorem 1, one can know that if the parameter  $\mu$  is continuously changing in a sufficiently small open neighborhood, the implicit function which is defined by the equation  $\mathbf{F}(\mu, \tau(\mu)) = 0$  is a continuous function, then the equilibrium point  $\tau = \tau(\mu)$  is continuously changing. This leads to Remark 3.

*Remark 3* If the parameter  $\mu$  is continuously changing in a sufficiently small open neighborhood, then the equilibrium point  $\tau = \tau$  ( $\mu$ ) is continuously changing.

# 3.2 Structural stability of non-degenerate and non-resonant equilibrium points

In this section, we discuss the stability of the equilibrium point acting parameter variation. If a small body or a spacecraft is placed at the equilibrium point or near the equilibrium point, the motion of the small body or the spacecraft is relating to the existence, continuous, and stability of the equilibrium point.

The non-resonant equilibrium point is the equilibrium point with the eigenvalues that are linearly independent, while the resonant equilibrium point is corresponding to the eigenvalues that are linearly dependent. The non-degenerate and non-resonant equilibrium points include linear stable equilibrium points and non-resonant unstable equilibrium points.

**Theorem 2** If the non-degenerate equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau}(\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  in the gravitational field of a rotating body is linear stable, then there is a sufficiently small open neighborhood of  $\boldsymbol{\mu}_0$ , which can be denoted as  $G_N(\boldsymbol{\mu}_0)$ , such that for any  $\boldsymbol{\mu} \in G_N(\boldsymbol{\mu}_0)$ , the non-degenerate equilibrium point exists and is linear stable. In other words, there is a function  $\mathbf{r} = \boldsymbol{\tau}(\boldsymbol{\mu})$  such that  $\boldsymbol{\tau}(\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$ ,  $\mathbf{F}(\boldsymbol{\mu}, \mathbf{r}) = \frac{\partial V(\boldsymbol{\mu}, \mathbf{r})}{\partial \mathbf{r}} = 0$  is established for any  $\boldsymbol{\mu} \in G_N(\boldsymbol{\mu}_0)$ , and  $\mathbf{r} = \boldsymbol{\tau}(\boldsymbol{\mu})$  is the non-degenerate equilibrium point of Eq. (1); in addition, the equilibrium point  $\mathbf{r} = \boldsymbol{\tau}(\boldsymbol{\mu})$  is linear stable.

Furthermore, if the non-degenerate equilibrium point is non-resonant, the conclusion about the structural stability is similar with Theorem 2, which is

**Theorem 3** If the non-degenerate equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau} (\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  in the gravitational field of a rotating body is non-resonant, then there is a sufficiently small open neighborhood of  $\mu_0$ , which can be denoted as  $G_N(\mu_0)$ , such that for any  $\mu \in G_N(\mu_0)$ , the nondegenerate equilibrium point exists and the stability of the equilibrium point is invariant. That means, the manifolds near the equilibrium point  $\mathbf{r} = \boldsymbol{\tau}(\boldsymbol{\mu})$  are diffeomorphic with the manifolds near the equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau}(\boldsymbol{\mu}_0)$ . In other words, there is a function  $\mathbf{r} = \boldsymbol{\tau}(\boldsymbol{\mu})$  such that  $\boldsymbol{\tau}(\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$ ,  $\mathbf{F}(\boldsymbol{\mu}, \mathbf{r}) =$  $\frac{\partial V(\boldsymbol{\mu},\mathbf{r})}{\partial \mathbf{r}} = 0$  is established for any  $\boldsymbol{\mu} \in G_N(\boldsymbol{\mu}_0)$ , and  $\mathbf{r} = \boldsymbol{\tau}(\boldsymbol{\mu})$  is the non-degenerate equilibrium point of Eq. (1); in addition, the manifolds near the equilibrium point  $\mathbf{r} = \boldsymbol{\tau} (\boldsymbol{\mu})$  are diffeomorphic with the manifolds *near the equilibrium point*  $\mathbf{r}_0 = \boldsymbol{\tau} (\boldsymbol{\mu}_0)$ .

Considering Theorem 2 can be obtained from Theorem 3, the Proof for Theorem 2 is omitted, and the proof for Theorem 3 is presented as follows:

*Proof for Theorem 3* The non-degenerate and nonresonant equilibrium points  $\tau = \tau (\mu)$  are the implicit function expressed by  $\mathbf{F}(\boldsymbol{\mu}, \boldsymbol{\tau}) = 0$ . The equilibrium point satisfies  $\mathbf{F}(\boldsymbol{\mu}_0, \boldsymbol{\tau}_0) = 0$ ; besides, the matrix  $\nabla^2 V(\boldsymbol{\mu}_0, \boldsymbol{\tau}_0) = \frac{\partial \mathbf{F}(\boldsymbol{\mu}, \boldsymbol{\tau})}{\partial \boldsymbol{\tau}} |_{(\boldsymbol{\mu}_0, \boldsymbol{\tau}_0)}$  has full rank. The eigenvalues can be expressed as the implicit function defined by the characteristic equation  $P(\lambda) = 0$ , where  $\lambda$  is an eigenvalue. Apply the implicit function theorem to the implicit function defined by the characteristic equation  $P(\lambda) = 0$ . If one eigenvalue  $\lambda_i(\mu_0)$  has nonzero real part, then for any  $\mu \in G_N(\mu_0)$ , where  $G_N(\mu_0)$  is a sufficiently small open neighborhood of  $\mu_0$ , the eigenvalue  $\lambda_i$  ( $\mu$ ) also has nonzero real part. The sufficiently small open neighborhood  $G_N(\mu_0)$ exists because the determinant  $|\nabla^2 V(\mathbf{\mu}_0, \boldsymbol{\tau}_0)| \neq$ 0, while  $|\nabla^2 V(\mu_0, \tau_0)| \neq 0$  because the matrix  $\nabla^2 V(\mu_0, \tau_0) = \frac{\partial \mathbf{F}(\mu, \tau)}{\partial \tau} |_{(\mu_0, \tau_0)}$  has full rank. Moreover, for any  $\mu \in G_N(\mu_0)$ , where  $G_N(\mu_0)$  is a sufficiently small open neighborhood of  $\mu_0$ , the pure imaginary eigenvalue  $\lambda_i$  ( $\mu_0$ ) becomes to  $\lambda_i$  ( $\mu$ ), and  $\lambda_i$  ( $\mu$ ) is also a pure imaginary eigenvalue. Using the inverse function theorem [45], we have conclude that the manifolds near the equilibrium point  $\mathbf{r} = \tau (\mu)$  are diffeomorphic with the manifolds near the equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau} \; (\boldsymbol{\mu}_0).$ П

# 3.3 Number of periodic orbits on a fixed energy hypersurface

On the manifold  $H = h (h = H (L) + \varepsilon^2)$ , which is a fixed five-dimensional energy hypersurface, how many periodic orbits exist at least?

Denote the Hessian matrix of the Jacobian integral at the equilibrium point L as

$$H_{\mathbf{rr}} = \begin{pmatrix} H_{xx} & H_{xy} & H_{xz} \\ H_{xy} & H_{yy} & H_{yz} \\ H_{xz} & H_{yz} & H_{zz} \end{pmatrix},$$
(5)

where  $H_{uv} = \left(\frac{\partial^2 H}{\partial u \partial v}\right)_{L}$ , in which (u, v = x, y, z).

From Eq. (3), one can obtain that the Hessian matrix of the Jacobian integral equals to the Hessian matrix of the effective potential; then:

$$H_{\mathbf{rr}} = \begin{pmatrix} H_{xx} \ H_{xy} \ H_{xz} \\ H_{xy} \ H_{yy} \ H_{yz} \\ H_{xz} \ H_{yz} \ H_{zz} \end{pmatrix} = V_{\mathbf{rr}} \stackrel{\Delta}{=} \begin{pmatrix} V_{xx} \ V_{xy} \ V_{xz} \\ V_{xy} \ V_{yy} \ V_{yz} \\ V_{xz} \ V_{yz} \ V_{zz} \end{pmatrix}$$
(6)

If the Hessian matrix of the Jacobian integral for an equilibrium point in the potential field of a rotating body is positive definite, there are at least three periodic orbits on the energy hypersurface  $h = H(L) + \varepsilon^2$ [31,46,47]. However, the positive definite condition [31] for the Hessian matrix of the Jacobian integral or effective potential is only a sufficient condition for the stability of the equilibrium points in the potential field of a rotating body, not a sufficient and necessary condition. Consider the Hessian matrix  $H_{\mathbf{rr}} = V_{\mathbf{rr}}$  restricted to  $E^c(L)$  (where  $T_L \mathbf{S} \cong E^e(L) \oplus$  $E^{s}(L) \oplus E^{c}(L) \oplus E^{u}(L)$  and dim  $E^{c}(L) \neq 0$ , which can be not positive definite, there are at least  $\frac{1}{2} \left[ \dim E^{c}(L) - \dim E^{r}(L) \right] + \operatorname{sgn} \left[ \dim E^{r}(L) \right] \operatorname{fam-}$ ilies of periodic orbits near the equilibrium point, where sgn ( $\alpha$ ) =  $\begin{cases}
1, & \alpha > 0 \\
0, & \alpha = 0
\end{cases}$ ; this leads to at least  $\frac{1}{2} \left[ \dim E^{c}(L) - \dim E^{r}(L) \right] + \operatorname{sgn} \left[ \dim E^{r}(L) \right] \operatorname{peri-}$ odic orbits on the energy hypersurface  $h = H(L) + \varepsilon^2$ .

### 4 Topological structure in the vicinity of equilibrium points

Denote *M* as the topological space generated by (x, y, z) which has a metric. Let the tangent space of the equilibrium point  $L \in M$  be  $T_L M$ , and the sufficiently small open neighborhood of the equilibrium point on the smooth manifold *M* be  $\Xi$ . Define the tangent bundle as

$$TM = \bigcup_{p \in M} T_p M = \left\{ (p,q) \, \big| \, p \in M, q \in T_p M \right\}$$

and

$$T \Xi = \bigcup_{p \in \Xi} T_p \Xi = \left\{ (p, q) \, \big| \, p \in \Xi, q \in T_p \Xi \right\},\$$

which satisfy dim  $TM = \dim T\Xi = 6$ ; Let  $(\mathbf{S}, \Omega)$  be the six-dimensional symplectic manifold near the equilibrium point, where  $\Omega$  is a non-degenerate skew-symmetric bilinear quadratic form.

Denote  $C_{\lambda} = \{\lambda \in \mathbb{C} \mid \lambda \text{ is the root of Eq. } (4)\}$ . Let the Jacobian constant at the equilibrium point be H(L), the eigenvector of the eigenvalue  $\lambda_i$  be  $\mathbf{u}_i$ . Denote

 $E^{s}(L) = \operatorname{span} \left\{ \mathbf{u}_{j} | Re\lambda_{j} < 0 \right\},$   $E^{c}(L) = \operatorname{span} \left\{ \mathbf{u}_{j} | Re\lambda_{j} = 0 \right\},$   $E^{u}(L) = \operatorname{span} \left\{ \mathbf{u}_{j} | Re\lambda_{j} > 0 \right\}.$  $E^{e}(L) = \operatorname{span} \left\{ \mathbf{u}_{j} | \lambda_{j} = 0 \right\}$ 

On the manifold  $H = h (h = H (L) + \varepsilon^2)$ , the asymptotically stable manifold  $W^s$  (**S**), the asymptotically unstable manifold  $W^u$  (**S**), the central manifold  $W^c$  (**S**), and the degenerate equilibrium manifold  $W^e$  (**S**) near the equilibrium point are tangent to the asymptotically stable subspace  $E^s$  (*L*), the asymptotically unstable subspace  $E^u$  (*L*), the central subspace  $E^c$  (*L*) and the degenerate equilibrium subspace  $E^e$  (*L*) at the equilibrium point, respectively; where  $\varepsilon^2$ is sufficiently small that there is no other equilibrium point  $\tilde{L}$  in the sufficiently small open neighborhood on the manifold (**S**,  $\Omega$ ) with the Jacobian constant  $H(\tilde{L})$ that satisfies  $H(L) \leq H(\tilde{L}) \leq h$ .

Denote

$$\begin{cases} \bar{E}^{s}(L) = \operatorname{span} \left\{ \mathbf{u}_{j} \mid \lambda_{j} \in C_{\lambda}, \operatorname{Re}\lambda_{j} < 0, \operatorname{Im}\lambda_{j} = 0 \right\} \\ \tilde{E}^{s}(L) = \operatorname{span} \left\{ \mathbf{u}_{j} \mid \lambda_{j} \in C_{\lambda}, \operatorname{Re}\lambda_{j} < 0, \operatorname{Im}\lambda_{j} \neq 0 \right\} \end{cases}$$

and

$$\begin{bmatrix} E^{u}(L) = \operatorname{span} \left\{ \mathbf{u}_{j} \mid \lambda_{j} \in C_{\lambda}, \operatorname{Re}\lambda_{j} > 0, \operatorname{Im}\lambda_{j} = 0 \right\} \\ \tilde{E}^{u}(L) = \operatorname{span} \left\{ \mathbf{u}_{j} \mid \lambda_{j} \in C_{\lambda}, \operatorname{Re}\lambda_{j} > 0, \operatorname{Im}\lambda_{j} \neq 0 \right\} \end{bmatrix}$$

The asymptotically stable manifolds  $\overline{W}^{s}(\mathbf{S})$  and  $\widetilde{W}^{s}(\mathbf{S})$  are tangent to the asymptotically stable subspace  $\overline{E}^{s}(L)$  and  $\widetilde{E}^{s}(L)$  at the equilibrium point, respectively. The asymptotically unstable manifolds  $\overline{W}^{u}(\mathbf{S})$  and  $\widetilde{W}^{u}(\mathbf{S})$  are tangent to the asymptotically unstable subspace  $\overline{E}^{u}(L)$  and  $\widetilde{E}^{u}(L)$  at the equilibrium point, respectively.

Denote  $W^r(\mathbf{S})$  as the resonant manifold, which is tangent to the resonant subspace  $E^r(L) = \text{span}$  $\{\mathbf{u}_j | \exists \lambda_k, \text{s.t.Re}\lambda_j = \text{Re}\lambda_k = 0, \text{Im}\lambda_j = \text{Im}\lambda_k, j \neq k\},$ it is clear that  $E^r(L) \subseteq E^c(L)$  and  $W^r(\mathbf{S}) \subseteq W^c(\mathbf{S})$ . Denote  $W^f(\mathbf{S})$  as the uniform manifold, which is tangent to the uniform subspace  $E^f(L) = \text{span} \{\mathbf{u}_j | \lambda_j \in C_\lambda, \exists \lambda_k, \text{s.t.Re}\lambda_j = \text{Re}\lambda_k \neq 0,$  $\text{Im}\lambda_j = \text{Im}\lambda_k = 0, j \neq k\}$ . When dim  $E^f(L) \neq 0$ , the manifolds and subspaces of  $\lambda_j$  and  $\lambda_k$  are uniform, and their phase diagrams are coincident. Denote  $E^l(L) = \text{span} \{\mathbf{u}_j | \text{Re}\lambda_j = 0, \text{Im}\lambda_j \neq 0,$ 

Denote  $E^{i}(L) = \operatorname{span} \{\mathbf{u}_{j} | \operatorname{Re}\lambda_{j} = 0, \operatorname{Im}\lambda_{j} \neq 0,$ s.t. $\forall \lambda_{k} \in C_{\lambda}, \lambda_{k} \neq \lambda_{j} \}$  as the linear stable space, where *J* is the number of  $\lambda_{j}$  which satisfies  $\begin{cases} \operatorname{Re}\lambda_{j} = 0 \\ \operatorname{Im}\lambda_{j} \neq 0 \end{cases}$  *Z* is the set of integer. Denote  $W^l$  (**S**) as the linear stable manifold, which is tangent to the linear stable subspace, it yields  $E^l$  (*L*)  $\subseteq E^c$  (*L*) and  $W^l$  (**S**)  $\subseteq W^c$  (**S**).

Denote  $\simeq$  as the topological homeomorphism,  $\cong$  as the diffeomorphism, and  $\oplus$  as the direct sum. Denote  $T_L \mathbf{S}$  as the tangent space of the manifold  $(\mathbf{S}, \Omega)$ . Then,  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^s (\mathbf{S}) \oplus W^c (\mathbf{S}) \oplus W^u (\mathbf{S})$  and  $T_L \mathbf{S} \cong$  $E^s (L) \oplus E^c (L) \oplus E^u (L)$ , the topological structure of the submanifolds fixes the phase diagram of the motion around equilibrium points [31].

Based on the conclusion above, one can get the following theorem. It is about the topological classification of the equilibrium points in the potential field of a rotating body.

**Theorem 4** Consider the topological structure of the manifolds in the vicinity of the equilibrium points in the potential field of a rotating body. There exist six ordinary cases, three resonant cases, three degenerate real saddle cases, seven degenerate equilibrium cases, one degenerate equilibrium and resonant case, as well as one degenerate equilibrium and degenerate real saddle case. Classifications and properties of these cases are shown as follows and in Fig. 1:

#### I. Ordinary Cases:

Case O1: The eigenvalues are in the form of  $\pm i\beta_j (\beta_j \in \mathbf{R}, \beta_j > 0; j = 1, 2, 3 | \forall k \neq j, k = 1, 2, 3, \text{s.t.} \beta_k \neq \beta_j)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong W^c(\mathbf{S})$ , and dim  $W^r(\mathbf{S}) = 0$ .

Case O2: The forms of the eigenvalues are  $\pm \alpha_j (\alpha_j \in \mathbb{R}, \alpha_j > 0, j = 1)$  and  $\pm i\beta_j (\beta_j \in \mathbb{R}, \beta_j > 0; j = 1, 2 |\beta_1 \neq \beta_2)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong \overline{W}^s (\mathbf{S}) \oplus W^c (\mathbf{S}) \oplus \overline{W}^u (\mathbf{S})$ , dim  $W^c (\mathbf{S}) = 4$ , dim  $\overline{W}^s (\mathbf{S}) = \dim \overline{W}^u (\mathbf{S}) = 1$ , and dim  $W^r (\mathbf{S}) = 0$ .

Case O3: The forms of the eigenvalues are  $\pm \alpha_j (\alpha_j \in \mathbb{R}, \alpha_j > 0; j = 1, 2 | \alpha_1 \neq \alpha_2)$  and  $\pm i\beta_j (\beta_j \in \mathbb{R}, \beta_j > 0, j = 1)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong \overline{W^s}(\mathbf{S}) \oplus W^c(\mathbf{S}) \oplus \overline{W^u}(\mathbf{S})$ , dim  $W^r(\mathbf{S}) = 0$ , and dim  $\overline{W^s}(\mathbf{S}) = \dim W^c(\mathbf{S}) = \dim \overline{W^u}(\mathbf{S}) = 2$ .

Case O4: The forms of the eigenvalues are  $\pm i\beta_j (\beta_j \in \mathbf{R}, \beta_j > 0, j = 1)$  and  $\pm \sigma \pm i\tau$  $(\sigma, \tau \in \mathbf{R}; \sigma, \tau > 0)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong \tilde{W}^s(\mathbf{S}) \oplus W^c(\mathbf{S}) \oplus \tilde{W}^u(\mathbf{S})$ ,dim  $W^r(\mathbf{S}) = 0$ , and dim  $\tilde{W}^s(\mathbf{S}) = \dim W^c(\mathbf{S}) = \dim \tilde{W}^u(\mathbf{S}) = 2$ . Case O5: The forms of the eigenvalues are  $\pm \alpha_j (\alpha_j \in \mathbb{R}, \alpha_j > 0, j = 1)$  and  $\pm \sigma \pm i\tau (\sigma, \tau \in \mathbb{R}; \sigma, \tau > 0)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong \overline{W}^s(\mathbf{S}) \oplus \overline{W}^u(\mathbf{S}) \oplus \widetilde{W}^s(\mathbf{S}) \oplus \widetilde{W}^u(\mathbf{S})$ , and dim  $\overline{W}^s(\mathbf{S}) = \dim \overline{W}^u(\mathbf{S}) = 1$  dim  $\widetilde{W}^s(\mathbf{S}) = \dim \widetilde{W}^u(\mathbf{S}) = 2$ . Case O6: The forms of the eigenvalues are

case 60. The forms of the eigenvalues are  $\pm \alpha_j (\alpha_j \in \mathbf{R}, \alpha_j > 0, j = 1, 2, 3 | \forall k \neq j, k = 1, 2, 3, \text{s.t. } \alpha_k \neq \alpha_j)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong \bar{W}^s(\mathbf{S}) \oplus \bar{W}^u(\mathbf{S})$ , and dim  $\bar{W}^s(\mathbf{S}) = \dim \bar{W}^u(\mathbf{S}) = 3$ .

II. Resonant Cases:

Case R1: The forms of the eigenvalues are  $\pm i\beta_j$  $(\beta_j \in \mathbb{R}, \beta_1 = \beta_2 = \beta_3 > 0; j = 1, 2, 3)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \simeq$  $W^c(\mathbf{S}) \simeq W^r(\mathbf{S})$ , and dim  $W^r(\mathbf{S}) = \dim W^c(\mathbf{S}) =$ 6.

Case R2: The forms of the eigenvalues are  $\pm i\beta_j (\beta_j \in \mathbf{R}, \beta_j > 0, \beta_1 = \beta_2 \neq \beta_3; j = 1, 2, 3)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^c(\mathbf{S})$ , and dim  $W^r(\mathbf{S}) = 4$ . Case R3: The forms of the eigenvalues are  $\pm \alpha_j$  $(\alpha_j \in \mathbf{R}, \alpha_j > 0, j = 1), \pm i\beta_j (\beta_j \in \mathbf{R}, \beta_1 = \beta_2 > 0; j = 1, 2)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^s(\mathbf{S}) \oplus W^c(\mathbf{S}) \oplus \overline{W^u}(\mathbf{S})$ , dim  $W^r(\mathbf{S}) = \dim W^c(\mathbf{S}) = 4$ , and dim  $\overline{W^s}(\mathbf{S}) = \dim \overline{W^u}(\mathbf{S}) = 1$ .

III. Degenerate Real Saddle Cases

Case DRS1: The forms of the eigenvalues are  $\pm \alpha_j (\alpha_j \in \mathbb{R}, \alpha_j > 0, j = 1, 2, 3 | \alpha_1 = \alpha_2 \neq \alpha_3)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong \bar{W}^s(\mathbf{S}) \oplus \bar{W}^u(\mathbf{S})$ , and dim  $\bar{W}^s(\mathbf{S}) = \dim \bar{W}^u(\mathbf{S}) = 3$ .

Case DRS2: The forms of the eigenvalues are  $\pm \alpha_j (\alpha_j \in \mathbb{R}, \alpha_j > 0, j = 1, 2, 3 | \alpha_1 = \alpha_2 = \alpha_3)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong \bar{W}^s(\mathbf{S}) \oplus \bar{W}^u(\mathbf{S})$ , and dim  $\bar{W}^s(\mathbf{S}) = \dim \bar{W}^u(\mathbf{S}) = 3$ .

Case DRS3: The forms of the eigenvalues are  $\pm i\beta_j (\beta_j \in \mathbb{R}, \beta_j > 0, j = 1)$  and  $\pm \alpha_j (\alpha_j \in \mathbb{R}, \alpha_j > 0, j = 1, 2 |\alpha_1 = \alpha_2)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong \overline{W}^s(\mathbf{S}) \oplus W^c(\mathbf{S}) \oplus \overline{W}^u(\mathbf{S})$ , and dim  $\overline{W}^s(\mathbf{S}) = \dim \overline{W}^u(\mathbf{S}) = 2$ .

#### IV. Degenerate Equilibrium Cases

Case DE1: The forms of the eigenvalues are  $\gamma_j (\gamma_j = 0; j = 1, 2)$  and  $\pm \sigma \pm i\tau (\sigma, \tau \in \mathbf{R}; \sigma, \tau > 0)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^e(\mathbf{S}) \oplus \tilde{W}^s(\mathbf{S}) \oplus \tilde{W}^u(\mathbf{S})$ , and dim  $W^e(\mathbf{S}) = \dim \tilde{W}^s(\mathbf{S}) = \dim \tilde{W}^u(\mathbf{S}) = 2$ .

Case DE2: The forms of the eigenvalues are  $\gamma_j (\gamma_j = 0; j = 1, 2)$  and  $\pm i\beta_j (\beta_j \in \mathbf{R}, \beta_j > 0; j = 1, 2 | \beta_1 \neq \beta_2)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^e(\mathbf{S}) \oplus W^c(\mathbf{S})$ , dim  $W^e(\mathbf{S}) = 2$ , dim  $W^c(\mathbf{S}) = 4$ , and dim  $W^r(\mathbf{S}) = 0$ .

Case DE3: The forms of the eigenvalues are  $\gamma_j (\gamma_j = 0; j = 1, 2)$  and  $\pm \alpha_j (\alpha_j \in \mathbf{R}, \alpha_j > 0, j = 1, 2 |\alpha_1 \neq \alpha_2)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong W^e(\mathbf{S}) \oplus \overline{W^s}(\mathbf{S}) \oplus \overline{W^u}(\mathbf{S})$ , dim  $W^s(\mathbf{S}) = \dim \overline{W^s}(\mathbf{S}) = \dim \overline{W^u}(\mathbf{S}) = 2$ .

Case DE4: The forms of the eigenvalues are  $\gamma_j (\gamma_j = 0; j = 1, 2), \pm i\beta_1 (\beta_1 \in \mathbb{R}, \beta_1 > 0)$  and  $\pm \alpha_1 (\alpha_1 \in \mathbb{R}, \alpha_1 > 0)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^e (\mathbf{S}) \oplus \overline{W}^s (\mathbf{S}) \oplus W^c (\mathbf{S}) \oplus \overline{W}^u (\mathbf{S})$ , dim  $W^e (\mathbf{S}) = \dim W^c (\mathbf{S}) = 2$ , dim  $W^s (\mathbf{S}) = \dim W^u (\mathbf{S}) = 1$ , and dim  $W^r (\mathbf{S}) = 0$ .

Case DE5: The forms of the eigenvalues are  $\gamma_j (\gamma_j = 0; j = 1, 2, 3, 4)$  and  $\pm i\beta_1 (\beta_1 \in \mathbb{R}, \beta_1 > 0)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^e (\mathbf{S}) \oplus W^c (\mathbf{S})$ , dim  $W^c (\mathbf{S}) = 4$ , dim  $W^e (\mathbf{S}) = 2$ , and dim  $W^r (\mathbf{S}) = 0$ .

Case DE6: The forms of the eigenvalues are  $\gamma_j (\gamma_j = 0; j = 1, 2, 3, 4)$  and  $\pm \alpha_1 (\alpha_1 \in \mathbb{R}, \alpha_1 > 0)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^e(\mathbf{S}) \oplus \overline{W}^s(\mathbf{S}) \oplus \overline{W}^u(\mathbf{S})$ , dim  $W^e(\mathbf{S})=4$ , dim  $\overline{W}^s(\mathbf{S})=\dim \overline{W}^u(\mathbf{S})=1$ .

Case DE7: The forms of the eigenvalues are  $\gamma_j (\gamma_j = 0; j = 1, 2, 3, 4, 5, 6)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong W^e(\mathbf{S})$ , dim  $W^e(\mathbf{S}) = 6$ .

#### V. Degenerate Equilibrium and Resonant Case

Case DER1: The forms of the eigenvalues are  $\gamma_j (\gamma_j = 0; j = 1, 2)$  and  $\pm i\beta_j (\beta_j \in \mathbf{R}, \beta_1 = \beta_2 > 0; j = 1, 2)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^e(\mathbf{S}) \oplus W^c(\mathbf{S}) \cong W^e(\mathbf{S}) \oplus W^r(\mathbf{S})$ , dim  $W^e(\mathbf{S}) = 2$ , dim  $W^c(\mathbf{S}) = \dim W^r(\mathbf{S}) = 4$ .

VI. Degenerate Equilibrium and Degenerate Real Saddle Case

> Case DEDRS1: The forms of the eigenvalues are  $\gamma_j (\gamma_j = 0; j = 1, 2)$  and  $\pm \alpha_j (\alpha_j \in \mathbf{R}, \alpha_j > 0, j = 1, 2 |\alpha_1 = \alpha_2)$ ; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T\Xi \cong$  $W^e(\mathbf{S}) \oplus \overline{W}^s(\mathbf{S}) \oplus \overline{W}^u(\mathbf{S})$ , dim  $W^e(\mathbf{S}) = 2$ , dim  $\overline{W}^s(\mathbf{S}) = \dim \overline{W}^u(\mathbf{S}) = 2$ .

*Proof* The ordinary cases satisfy three conditions, i.e., non-resonant, non-degenerate real saddle, and non-degenerate equilibrium. There are six ordinary cases; for these cases, eigenvalues are different and nonzero.

Resonant cases have at least two purely imaginary eigenvalues coincident, degenerate equilibrium cases have at least two eigenvalues equal to zero, and degenerate real saddle cases have at least two real eigenvalues coincident. So purely resonant equilibrium points have three cases, purely degenerate equilibrium points have seven cases, and purely degenerate real saddle equilibrium points have three cases.

For the mixed cases, there exists one degenerate equilibrium and resonant case, as well as one degenerate equilibrium and degenerate real saddle case. There is no resonant and degenerate real saddle case because the resonant case and the degenerate real saddle case need at least four eigenvalues on the imaginary axis, respectively. This implies that the resonant and degenerate real saddle cases need at least eight eigenvalues, but there are only six eigenvalues.

Here, we proof the conclusion for case 2 about the structure of the submanifold, the proof for other cases is similar to that for case 2. In the case 2, the forms of the eigenvalues are  $\pm \alpha_i$  ( $\alpha_i \in \mathbb{R}, \alpha_i > 0, j = 1$ ) and  $\pm i\beta_i (\beta_i \in \mathbb{R}, \beta_i > 0; j = 1, 2 | \beta_1 \neq \beta_2)$ ; the nonzero real eigenvalues  $\alpha_i$  correspond to the asymptotically unstable manifold  $\overline{W}^{u}(\mathbf{S})$ , while the nonzero real eigenvalues  $-\alpha_i$  correspond to the asymptotically stable manifold  $W^{s}(\mathbf{S})$ , and the purely imaginary eigenvalues  $\pm i\beta_i$  correspond to the central manifold  $W^c$  (S). The dimension of  $\overline{W}^{u}(\mathbf{S})$ ,  $\overline{W}^{s}(\mathbf{S})$ , and  $W^{c}(\mathbf{S})$  is 1, 1, and 4, respectively. There is no resonant manifold in this case. So the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^s(\mathbf{S}) \oplus W^c(\mathbf{S}) \oplus W^u(\mathbf{S}),$ dim  $W^c(\mathbf{S}) = 4$ , dim  $\overline{W}^s(\mathbf{S}) = \dim \overline{W}^u(\mathbf{S}) = 1$ , and  $\dim W^r(\mathbf{S}) = 0.$ 

The classification of the equilibrium points is based on the stability and meticulous dynamical behaviors around equilibrium points. Figure 1 shows the topological classification of six eigenvalues on the complex plane, while Fig. 1 shows classifications and properties of the equilibrium points. Using the conclusion in Sect. 3.3, one can know the number of periodic orbit families around equilibrium points. For example, if the equilibrium point belongs to case 1, dim  $E^r(L) = 0$ , dim  $E^c(L) = 6$ , the estimate formula  $\frac{1}{2} \left[ \dim E^c(L) - \dim E^r(L) \right] + sgn \left[ \dim E^r(L) \right] = 3$ , so there are at least three families of periodic orbits for the equilibrium point.

If the equilibrium point belongs to case R2, dim  $E^{r}(L) = 4$ , dim  $E^{c}(L) = 6$ , the formula equal 2, so there are at least two families of periodic orbits for the equilibrium point. If the equilibrium point belongs to case R3, dim  $E^{r}(L) = 4$ , dim  $E^{c}(L) = 4$ , then there is at least one family of periodic orbits for the equilibrium point.

Phase diagram on the asymptotically stable manifold  $\overline{W}^{s}(\mathbf{S})$  is the motion approach to the equilibrium point as a sink, while on the asymptotically stable manifold  $W^{s}(\mathbf{S})$  is the motion approach to the equilibrium point as a spiral sink. Phase diagram on the asymptotically unstable manifold  $\overline{W}^{u}(\mathbf{S})$  is the motion leave the equilibrium point as a source, while on the asymptotically unstable manifold  $\tilde{W}^{u}(\mathbf{S})$  is the motion leave the equilibrium point as a spiral source. Phase diagram on the central manifold  $W^{c}(\mathbf{S})$  is the motion around the equilibrium point as a center, and on the resonant manifold,  $W^r$  (S) is the resonant motion around the equilibrium point. Moreover, phase diagram on the manifold  $\bar{W}^{s}(\mathbf{S}) \oplus \bar{W}^{u}(\mathbf{S})$  is the motion around the equilibrium point as a saddle, while on the manifold  $\tilde{W}^{s}(\mathbf{S}) \oplus \tilde{W}^{u}(\mathbf{S})$  is the motion around the equilibrium point as a spiral saddle. 

# 5 Chaos in the neighborhood of the equilibrium point when parameter changes

To our opinion, chaos is a sophisticated phenomenon, very tricky and not easy to define or identify. For a dynamical system, the scenarios of the transition to chaos caused by bifurcation sequences with the parameter moving along a relevant direction and a set of bifurcations resulting in the appearance of a chaotic attractor can be observed [48]. In this section, we will show chaotic motion in the neighborhood of the equilibrium point when some system parameter changes, which includes the sensitive to initial conditions of resonant equilibrium points, the movement of eigenvalues around the resonant case, bifurcation of the motion near equilibrium points as well as the appearing and disappearing of the periodic orbit families in the vicinity of equilibrium points. When the parameters are valued such that the topological cases of equilibrium points are near the resonant cases, a slight change in initial conditions may cause a great change in the final status and the stability of equilibrium points. Periodic orbits in the vicinity of resonant equilibrium points are dense. Besides, near the resonant equilibrium point, the system Eq. (1) with parametric variation is sensitive to initial conditions and topologically mixing. Thus, in the vicinity of resonant equilibrium points, the dynamical system is chaotic.

## 5.1 Sensitive to initial conditions of resonant equilibrium points and bifurcations

Theorem 3 says that if the non-degenerate equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau} (\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  in the gravitational field of a rotating body is non-resonant, then the stability in a sufficiently small open neighborhood of  $\boldsymbol{\mu}_0$  is the same as the stability at the point  $\boldsymbol{\mu}_0$ . The conclusion about the non-degenerate resonant equilibrium point is opposite to the conclusion about the non-degenerate and non-resonant equilibrium points. On the basis of Theorem 4, the non-degenerate resonant equilibrium point is a Hopf branching point.

**Corollary 1** Suppose the non-degenerate equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau}(\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  in the gravitational field of a rotating body is a resonant equilibrium point. Denote  $(\mathbf{S}, \Omega) \simeq T\Xi \simeq W^c(\mathbf{S}) \oplus Q^c(\mathbf{S})$ , where  $Q^c(\mathbf{S}) = (\mathbf{S}, \Omega)/W^c(\mathbf{S})$  is the quotient manifold of the central manifold  $W^c(\mathbf{S})$ , and  $W^r(\mathbf{S}) \subseteq W^c(\mathbf{S})$ . Then, the equilibrium point is a Hopf branching point.

*Proof* According to Theorem 1, one can know that the non-degenerate equilibrium point is continuous in the presence of persistently acting parameter variation. Then, the non-degenerate equilibrium point exists and continues when the parameter changes. Consider the three resonant cases as well as the only one degenerate equilibrium and resonant case, near the equilibrium point, different kinds of purely imaginary eigenvalues appear, which leads to the appearance of new periodic orbits and tori. Thus, the equilibrium point is a Hopf branching point.

Fig. 1 Topological classification of six
eigenvalues on the complex plane. a Ordinary cases.
b Resonant cases.
c Degenerate real saddle cases. d Degenerate
equilibrium cases.
e Degenerate equilibrium and resonant case. f
Degenerate real saddle case



*Remark* 4 Consider an equilibrium point  $\tau$  ( $\mu_0$ ), if: for any sufficiently small open neighborhood  $G_N(\mu_0)$  of  $\mu_0$ .  $\exists \mu_1, \mu_2 \in G_N(\mu_0)$ , such that the equilibrium point  $\tau(\mu_1)$  is linear stable restricted to the central manifold  $W^{c}(\mathbf{S})$ , and the equilibrium point  $\boldsymbol{\tau}(\boldsymbol{\mu}_{2})$ is non-resonant and unstable restricted to the central manifold  $W^{c}(\mathbf{S})$ . In other words, there is a function  $\mathbf{r} = \boldsymbol{\tau}(\boldsymbol{\mu})$  such that  $\boldsymbol{\tau}(\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$ ,  $\mathbf{F}(\boldsymbol{\mu}, \boldsymbol{\tau}) = 0$  for any  $\mu \in G_N(\mu_0)$ , and  $\tau(\mu)$  is an equilibrium point for any  $\mu \in G_N(\mu_0)$ ; besides,  $\tau(\mu_1)$  is linear stable, while  $\tau(\mu_2)$  is non-resonant and unstable restricted to the central manifold  $W^{c}(\mathbf{S})$ . Then, the equilibrium point  $\tau$  ( $\mu_0$ ) is a Hopf branching point.

Thus, we know that the topological structure and dynamical behavior near resonant equilibrium points are sensitive to initial conditions. For resonant equilibrium points, the resonant cases can change to other cases when eigenvalues moves. Figure 2 shows the movement of eigenvalues around the resonant case R2 which leads to Case O1  $\rightarrow$  Case R2  $\rightarrow$ Case O4 and Case O4  $\rightarrow$  Case R2  $\rightarrow$  Case O1, while Fig. 3 shows the movement of eigenvalues around the degenerate real saddle case DRS3 which leads to Case O4  $\,\rightarrow\,$  Case DRS3  $\,\rightarrow\,$  Case O3 and Case O3  $\rightarrow$  Case DRS3  $\rightarrow$  Case O4. Figure 4 shows the movement of eigenvalues which leads to Case DE7  $\rightarrow$  Case O4 and Case O4  $\rightarrow$  Case DE7.

Case O1

Figure 5 shows the movement of eigenvalues which leads to Case O2  $\rightarrow$  Case DE4  $\rightarrow$  Case O3 and Case O3  $\rightarrow$  Case DE4  $\rightarrow$  Case O2. Figure 6 shows the movement of eigenvalues which leads to Case O1  $\rightarrow$ Case O2.

#### 5.2 Hopf bifurcations

Before discussing the Hopf bifurcation of the motion near the non-degenerate equilibrium points, a lemma about the eigenvalues is given.

**Lemma 1** For a non-degenerate equilibrium point, the pure imaginary simple eigenvalues will not leave the imaginary axis while the parameter  $\mu$  has a sufficiently small change. In other words, if a pair of pure imagi*nary*  $\pm i\beta_1$  ( $\beta_1 \in R^+$ ) (which are calculated when the parameter equals to  $\mu_0$ ) satisfies, there is not an imaginary eigenvalue  $i\beta_2$  ( $\beta_2 \in R^+$ ) satisfy  $\beta_1 = \beta_2$ , then the pure imaginary  $\pm i\beta_1$  ( $\beta_1 \in R^+$ ) will stay on the imaginary axis for any  $\mu \in G_N(\mu_0)$ , where  $G_N(\mu_0)$ is a sufficiently small open neighborhood of  $\mu_0$ .

*Proof* Through the fundamental theorem of algebra, we know that every single-variable, degree n polynomial with complex coefficients has, counted with multiplicity, exactly n roots. Consider the pair of pure imaginary  $\lambda_{1,2}(\mu_0) = \pm i\beta_1 (\beta_1 \in \mathbb{R}^+)$  that corresponds

Fig. 2 Movement of (a) eigenvalues around the resonant case R2 which leads to a Case O1  $\rightarrow$ Case R2  $\rightarrow$  Case O4. **b** Case O4  $\rightarrow$  Case R2  $\rightarrow$ 2 Case 01 Case R2 Case 04 **(b)** Case 04 Case R2 Case 01

Fig. 3 Movement of eigenvalues around the degenerate real saddle case DRS3 which leads to a Case O4  $\rightarrow$ Case DRS3  $\rightarrow$  Case O3. b Case DRS3  $\rightarrow$  Case O4

(a)

▲

Case 04

Case 03

**(b)** 



Fig. 4 Movement of eigenvalues which leads to a Case DE7  $\rightarrow$  Case O4. b Case O4  $\rightarrow$  Case DE7



to the parameter  $\mu_0$ . Suppose that for any sufficiently small open neighborhood of  $\mu_0$ , which is denoted as  $G_N(\mu_0)$ , there is a parameter  $\mu_1$ , such that the eigenvalues  $\lambda_{1,2}(\mu_1)$  are not on the imaginary axis. This result illustrates that the sextic characteristic equation has eight roots, which is contradictory to the fundamental theorem of algebra. Lemma 1 means that pure imaginary eigenvalues of the non-degenerate equilibrium point will not leave the imaginary axis before knocked together. In like manner, real eigenvalues of the non-degenerate equilibrium point will not leave the real axis before knocked together.



**Fig. 6** Movement of eigenvalues  $+\beta i \rightarrow \infty \rightarrow +\alpha \ (\alpha, \beta \in R)$  which leads to Case O1  $\rightarrow$  Case O2

Case 02

Case 01

Consider the manifold H = h near the equilibrium point, where  $h = H(L) + \varepsilon^2$ , and  $\varepsilon^2$  is small enough so that there does not exist another equilibrium point  $\tilde{L}$  in the small enough open neighborhood on the manifold (**S**,  $\Omega$ ) with the Jacobi constant  $H(\tilde{L})$  satisfies  $H(L) \leq H(\tilde{L}) \leq h$ . The period of the periodic orbit on the manifold H = h is  $T(h, \omega) = T(H(L) + \varepsilon^2, \omega)$ , it is the function of h and satisfies  $\lim_{\varepsilon \to 0} T(h, \omega) \triangleq$  $T(H(L), \omega) = \frac{2\pi}{\omega}$ , where  $\pm i\omega (\omega > 0)$  are eigenvalues at the equilibrium point. A pair of pure imaginary eigenvalues generates one family of periodic orbits.

**Definition 2** The *characteristic period* for the families of periodic orbits near the non-degenerate equilibrium point is  $T(H(L)) = \lim_{\varepsilon \to 0} T(h) = \frac{2\pi}{\omega}$ .

values are  $\pm i\beta_i$  ( $\beta_i \in \mathbb{R}$ ,  $\beta_1 = \beta_2 = \beta_3 > 0$ ; j = 1, 2, 3); then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \simeq$  $W^{c}(\mathbf{S}) \simeq W^{r}(\mathbf{S})$ , and dim  $W^{r}(\mathbf{S}) = \dim W^{c}(\mathbf{S}) = 6$ . The second class of Hopf bifurcation is the equilibrium points belong to case R2, the forms of the eigenvalues are  $\pm i\beta_i (\beta_i \in \mathbf{R}, \beta_i > 0, \beta_1 = \beta_2 \neq \beta_3;$ j = 1, 2, 3; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^c(\mathbf{S})$ , and dim  $W^r(\mathbf{S}) = 4$ . The third class of Hopf bifurcation is the equilibrium points belong to case R3, the forms of the eigenvalues are  $\pm \alpha_i (\alpha_i \in \mathbf{R}, \alpha_i > 0, j = 1), \pm i\beta_i (\beta_i \in \mathbf{R}, \beta_1 = \beta_2)$ > 0; j = 1, 2; then, the structure of the submanifold is  $(\mathbf{S}, \Omega) \simeq T \Xi \cong W^s(\mathbf{S}) \oplus W^c(\mathbf{S}) \oplus W^u(\mathbf{S})$ , dim  $W^r(\mathbf{S}) = \dim W^c(\mathbf{S}) = 4$ , and dim  $W^s(\mathbf{S}) =$ dim  $W^{u}(\mathbf{S}) = 1$ . Theorems 5–7 describe the Hopf bifurcation of the motion near the equilibrium points.

**Theorem 5** If an equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau} (\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  belongs to case R1, then:

(a) There is a sufficiently small open neighborhood of μ<sub>0</sub>, which is denoted as G<sub>N</sub> (μ<sub>0</sub>), such that for any μ<sub>1</sub> ∈ G<sub>N</sub> (μ<sub>0</sub>), the equilibrium point τ (μ<sub>1</sub>) does not belong to cases O2, O3, O5, and O6 among ordinary cases;

(b) For any sufficiently small open neighborhood of μ<sub>0</sub>, which is denoted as G<sub>N</sub> (μ<sub>0</sub>), there is μ<sub>1</sub> ∈ G<sub>N</sub> (μ<sub>0</sub>), such that the equilibrium point τ (μ<sub>1</sub>) belongs to one of the cases O1, O4, or R2.

**Theorem 6** If an equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau} (\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  belongs to case R2, then

- (a) There is a sufficiently small open neighborhood of μ<sub>0</sub>, which is denoted as G<sub>N</sub> (μ<sub>0</sub>), such that for any μ<sub>1</sub> ∈ G<sub>N</sub> (μ<sub>0</sub>), the equilibrium point τ (μ<sub>1</sub>) does not belong to cases O2, O3, O5, and O6 among ordinary cases;
- (b) For any sufficiently small open neighborhood of μ<sub>0</sub>, which is denoted as G<sub>N</sub> (μ<sub>0</sub>), there is μ<sub>1</sub> ∈ G<sub>N</sub> (μ<sub>0</sub>), such that the equilibrium point τ (μ<sub>1</sub>) belongs to one of the cases O1, O4, or R1.

**Theorem 7** If an equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau} (\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  belongs to case R3, then

- (a) There is a sufficiently small open neighborhood of  $\mu_0$ , which is denoted as  $G_N(\mu_0)$ , such that for any  $\mu_1 \in G_N(\mu_0)$ , the equilibrium point  $\tau(\mu_1)$  does not belong to cases O1, O3, O4, O6 among ordinary cases;
- (b) For any sufficiently small open neighborhood of μ<sub>0</sub>, which is denoted as G<sub>N</sub> (μ<sub>0</sub>), there is μ<sub>1</sub> ∈ G<sub>N</sub> (μ<sub>0</sub>), such that the equilibrium point τ (μ<sub>1</sub>) belongs to cases O2 or O5.

*Proof for Theorem 5* From Lemma 1, one can know that for a non-degenerate equilibrium point, the pure imaginary eigenvalues will not leave the imaginary axis before knocked together, and if the imaginary eigenvalues leave the imaginary axis, there are four new complex eigenvalues appear, which are in the form of  $\pm \sigma \pm i\tau$  ( $\sigma, \tau \in \mathbb{R}; \sigma, \tau > 0$ ), and four pure imaginary eigenvalues disappear, which are in the form of  $\pm i\beta_1, \pm i\beta_2$  ( $\beta_1, \beta_2 \in \mathbb{R}^+; \beta_1 = \beta_2$ ). So if there is a sufficiently small open neighborhood of  $\mu_0$ , which is denoted as  $G_N(\mu_0)$ , such that there is a value  $\mu_1 \in G_N(\mu_0)$ , the equilibrium point  $\tau(\mu_1)$  belongs to case O2. There are only two new complex eigenvalues appear; it contradicts the conclusion of appearing four new complex eigenvalues. In other words, there is a sufficiently small open neighborhood  $G_N(\mu_0)$ , such that for any  $\mu_1 \in G_N(\mu_0)$ , the equilibrium point  $\tau(\mu_1)$ does not belong to case O2. In like manner, the equilibrium point  $\tau$  ( $\mu_1$ ) does not belong to case R3. If there is a sufficiently small open neighborhood  $G_N(\mu_0)$ , such that there is a value  $\mu_1 \in G_N(\mu_0)$ , the equilibrium point  $\tau(\mu_1)$  belongs to case O5. Then, the two real eigenvalues for case O5 will not leave the real axis before equal to zero, and for the non-degenerate equilibrium point, eigenvalues do not equal to zero. This leads to the excluding of case O5. Consider case O3. The four real eigenvalues for case O3 will not leave the real axis before knocked together and become to a pair of double eigenvalues, which are in the form of  $\pm \alpha_1, \pm \alpha_2 (\alpha_1, \alpha_2 \in R^+; \alpha_1 = \alpha_2)$ . This leads to the excluding of case O3. The above discussion proves the Theorem 5.

The proof for Theorems 6 and 7 is similar with the proof for Theorem 5.

**Corollary 2** Suppose the equilibrium point  $\tau$  ( $\mu_1$ ) satisfies  $\mu_1 \in G_N(\mu_0)$ , where  $G_N(\mu_0)$  is a sufficiently small open neighborhood of  $\mu_0$ , then we have

- (a) If the equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau} (\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  belongs to case R1, which means the motion of the spacecraft relative to the equilibrium point is on a 1:1:1 resonant manifold, and there is only one family of periodic orbits; then, the equilibrium point  $\boldsymbol{\tau} (\boldsymbol{\mu}_1)$ belongs to one of the following cases: (1) It is a non-resonant equilibrium point, and there is only one family of periodic orbits; (2) It is a 1:1 resonant equilibrium point, and there are two families of periodic orbits; (3) It is a non-resonant equilibrium point, and there are three families of periodic orbits.
- (b) If the equilibrium point r<sub>0</sub> = τ (μ<sub>0</sub>) = τ<sub>0</sub> belongs to case R2, which means the motion of the spacecraft relative to the equilibrium point is on a 1:1 resonant manifold and there are two families of periodic orbits, then the equilibrium point τ (μ<sub>1</sub>) belongs to one of the following cases: (1) It is a non-resonant equilibrium point, and there is only one family of periodic orbits; (2) it is a 1:1:1 resonant equilibrium point, and there is only one family of periodic orbits; (3) it is a non-resonant equilibrium point, and there are three families of periodic orbits.
- (c) If the equilibrium point  $\mathbf{r}_0 = \boldsymbol{\tau} (\boldsymbol{\mu}_0) = \boldsymbol{\tau}_0$  belongs to case R3, which means the motion of the spacecraft relative to the equilibrium point is on a 1:1 resonant manifold and there is only one family of periodic orbits, then the equilibrium point  $\boldsymbol{\tau} (\boldsymbol{\mu}_1)$ belongs to one of the following cases: (1) It is a

non-resonant equilibrium point, and there are no periodic orbits; (2) it is a non-resonant equilibrium point, and there are two families of periodic orbits.

From Corollary 2, one can know that the resonant equilibrium point is a Hopf bifurcation.

## 5.3 The appearing and disappearing of the periodic orbits and tori

For the three resonant cases R1, R2, and R3, or the seven degenerate equilibrium cases, or the degenerate equilibrium and resonant case, or the degenerate equilibrium and degenerate real saddle case of equilibrium points, sudden change in periodic orbit families or torus families occurs, and the topological structure of submanifold as well as the form of phase diagram is sensitivity to initial values. This leads to the chaos near the equilibrium points. Besides, chaotic motion is not only in the vicinity of equilibrium points, but also extended in the large.

Consider the periodic orbits and tori near the equilibrium point  $\tau$  ( $\mu_1$ ), the appearance and disappearance of the periodic orbits and tori can be found around some kinds of equilibrium points when the parameter  $\mu_1 \in G_N(\mu_0)$ , where  $G_N(\mu_0)$  is a sufficiently small open neighborhood of  $\mu_0$ , and the equilibrium point  $\mathbf{r}_0 = \tau$  ( $\mu_0$ ) =  $\tau_0$  belongs to one of the three resonant cases R1, R2 and R3, or one of the seven degenerate equilibrium cases, or the degenerate equilibrium and resonant case, or the degenerate equilibrium and degenerate real saddle case.

#### 6 Applications to asteroids

In this section, the theory developed in the previous sections is applied to asteroids 216 Kleopatra, 2063 Bacchus, and 25143 Itokawa to show the dynamical behavior near a rotating highly irregular-shaped celestial body, including order motion of eigenvalues for the equilibrium points, collision of eigenvalues, and chaos near resonant equilibrium points. The physical models of these three asteroids were computed by the radar observation model of Neese [49]. The computational method is the polyhedron method [22, 34]. Using this method, the gravitational potential [34] of the asteroid can be calculated by

$$U = \frac{1}{2}G\sigma \sum_{e \in edges} \mathbf{r}_e \cdot \mathbf{E}_e \cdot \mathbf{r}_e \cdot L_e$$
  
-  $\frac{1}{2}G\sigma \sum_{f \in faces} \mathbf{r}_f \cdot \mathbf{F}_f \cdot \mathbf{r}_f \cdot \omega_f$ ,  
Besides [34],  
 $\nabla U = -G\sigma \sum_{e \in edges} \mathbf{E}_e \cdot \mathbf{r}_e \cdot L_e$   
+  $G\sigma \sum_{f \in faces} \mathbf{F}_f \cdot \mathbf{r}_f \cdot \omega_f$ ,  
 $\nabla (\nabla U) = G\sigma \sum_{e \in edges} \mathbf{E}_e \cdot L_e - G\sigma \sum_{f \in faces} \mathbf{F}_f \cdot \omega_f$ ,

where  $G = 6.67 \times 10^{-11} \,\text{m}^3 \,\text{kg}^{-1} \text{s}^{-2}$  is the gravitational constant;  $\sigma$  is the density of the body;  $L_e$  is the factor of integration;  $\omega_f$  is the signed solid angle; all the vectors are body-fixed vectors;  $\mathbf{r}_e$  is a vector from the field point to some fixed point on the edge e of face f;  $\mathbf{r}_{f}$ is a vector from the field point to any point in the face plane;  $\mathbf{E}_e$  and  $\mathbf{F}_f$  are face- and edge-normal vectors, respectively;  $\mathbf{E}_e$  and  $\mathbf{F}_f$  are the geometric parameters of edges and faces, respectively. In this section, locations of equilibrium points are expressed in the bodyfixed frame. The equilibrium points E1-4 of asteroids 216 Kleopatra, 2063 Bacchus, and 25143 Itokawa are defined by the initial positions which are showed in Table 1. The method to calculate the equilibrium points is: (a) use numerical differentiation to calculate  $\nabla V = \left(\frac{\partial V(x,y,z)}{\partial x}, \frac{\partial V(x,y,z)}{\partial y}, \frac{\partial V(x,y,z)}{\partial z}\right)$ ; (b) find a point  $(x_0, y_0, z_0)$  and calculate  $\nabla V (x_0, y_0, z_0)$ ; c) use the Newton method (quasi-Newton method or the method of direction of steepest descent) to find another point  $(x_1, y_1, z_1)$ , which satisfies  $|\nabla V(x_1, y_1, z_1)| <$  $|\nabla V(x_0, y_0, z_0)|$ ; (d) go several steps in (c) when  $|\nabla V(x_1, y_1, z_1)| < \varepsilon$ , where  $\varepsilon$  is a sufficiently small positive number; for example,  $\varepsilon = 0.0001$ .

#### 6.1 Orderly movement of equilibrium points and eigenvalues

In this section, the theory developed in the previous sections is applied to the asteroid 216 Kleopatra [51,52] to discuss orderly movement of equilibrium points and eigenvalues acting parameter variation. The estimated bulk density of the asteroid 216 Kleopatra is  $3.6 \text{ g cm}^{-3}$  [53], its rotational period is 5.385 h, and it reveals a dumbbell-shaped object with overall dimensions of  $217 \times 94 \times 81 \text{km}$  [51,52]. There are two

Equilibrium points	<i>x</i> (km)	y (km)	<i>z</i> (km)
216 Kleopatra			
E1	142.852	2.44129	1.18154
E2	-1.16383	100.740	-0.545312
E3	-144.684	5.18829	-0.272463
E4	2.22985	-102.102	0.271694
2063 Bacchus			
E1	1.14738	0.0227972	-0.000861348
E2	0.0314276	1.07239	0.000711379
E3	-1.14129	0.00806235	-0.00141486
E4	0.0203102	-1.07409	0.000849894
25143 Itokawa			
E1	0.554478	-0.00433107	-0.000061
E2	-0.0120059	0.523829	-0.000201
E3	-0.555624	-0.0103141	-0.000274
E4	-0.0158721	-0.523204	0.000246

moonlets near 216 Kleopatra, which are Alexhelios (S/2008 (216) (1) and Cleoselene (S/2008 (216) (2) [53].

To study the movement of the equilibrium points and eigenvalues, considering  $\mu_{\omega}$  and  $\mu_{U}$  are the parameters for  $\boldsymbol{\omega}$  and  $U(\mathbf{r})$ , respectively.  $\boldsymbol{\mu} = [\boldsymbol{\mu}_{\omega}, \boldsymbol{\mu}_{U}]$ . Let  $\mu_{\omega}$  varies, and  $\mu_{U}$  keeps invariant, which means that  $\boldsymbol{\omega}$  varies and  $U(\mathbf{r})$  is invariant. Besides,  $\boldsymbol{\mu}$  and  $V(\mu, \mathbf{r})$  vary. Consider a rotating homogeneous cube as an example of simple-shaped body. For the cube, denote  $G_g$  as the gravitational constant,  $\rho$  as the density, 2a as the edge length; Liu et al. [17, 18] found eight equilibrium points in the potential of a cube with the initial value  $G_g = 1, \rho = 1, a = 1$ , and  $\omega = 1$ . In this paper, let  $G_{g} = 1, \rho = 1, a = 1$ , and  $\omega$  varies in the interval [0.5, 2.0] with the step size 0.05. Figure 7 shows the motion of equilibrium points for a rotating homogeneous cube while the rotational angular velocity changes between [0.5, 2.0] with the step size 0.05. The parameter vector  $\mu$  follows the same variation. The unique change parameter is rotation rate  $\omega$ , which comes from the change in  $\mu_{\omega}$  and leads to the change in  $\mu$  and  $V(\mu, \mathbf{r})$ . Then, the movement of equilibrium points can be seen clearly, and the velocity of the movement of equilibrium points leave from the cube faster when the rotational angular velocity of the cube increases. This provides an example for Theorem 1, which is "the non-degenerate equilibrium point is continuous in the presence of persistently acting parameter variation."



**Fig. 7** Motion of equilibrium points for the cube while the rotational angular velocity changes between [0.5, 2.0]

Figure 8 shows the motion of equilibrium points for the asteroid 216 Kleopatra, while the rotational angular velocity changes between  $[0.5\omega, 2.0\omega]$  with the step size  $0.15\omega$ . The parameter vector  $\mu$  also follows the same variation. Then, the movement of equilibrium points can be seen clearly, and the velocity of the movement of equilibrium points leave from the asteroid 216 Kleopatra faster when the rotational angular velocity of the asteroid increases. Figure 9 shows the motion of

Table 1Initial positions[31,50] of the equilibriumpoints for the asteroids(rotational angular velocity: $1.0\omega$ )



Fig. 8 Motion of equilibrium points for the asteroid 216 Kleopatra while the rotational angular velocity changes between  $[0.5\omega, 2.0\omega]$ 

eigenvalues affiliated to the equilibrium point E3 for the asteroid 216 Kleopatra while the rotational angular velocity increases between  $[0.5\omega, 2.0\omega]$ , the axes labels 'x' and 'y' represent the real part and imaginary part of the eignevalues, respectively. When the rotational angular velocity of the asteroid 216 Kleopatra increases, eigenvalues leave from the origin point. This is a part of the movement of eigenvalues shown in Fig. 4a.

# 6.2 Collision of eigenvalues, chaos near resonant equilibrium points and chaos in the large

In this section, the theory developed in the previous sections is applied to the asteroid 2063 Bacchus [54,55] and 25143 Itokawa [56,57] to discuss the collision of eigenvalues, chaos near resonant equilibrium points as well as chaos of orbits in the large around asteroid 216 Kleopatra. The unique change parameter is also the



Fig. 9 Motion of eigenvalues affiliated to the equilibrium point E3 for the asteroid 216 Kleopatra while the rotational angular velocity changes between  $[0.5\omega, 2.0\omega]$  corresponding to the movement of eigenvalues shown in Fig. 5a

rotation rate  $\omega$ , which comes from the change in  $\mu_{\omega}$  and leads to the change in  $\mu$  and  $V(\mu, \mathbf{r})$ . The rotational period of the asteroid 2063 Bacchus is 14.904 h [54], and the three-axes lengths are  $1.11 \times 0.53 \times 0.50$ km [55], the density is about 2.0g cm<sup>-3</sup>, and the total mass is  $3.3 \times 10^{12}$  kg [54,55]. The total mass estimate of the asteroid 25143 Itokawa is  $4.5^{+2.0}_{-1.8} \times 10^{10}$  kg [56] with the density  $1.95 \pm 0.14$  g cm<sup>-3</sup> [58,59], its rotational period is 12.132 h, and the three-axes lengths are  $535 \times$ 294 × 209 m [60,61].

Figure 10 shows the motion of equilibrium points for the asteroid 2063 Bacchus, while the rotational angular velocity changes between  $[0.5\omega, 2.0\omega]$  with the step size  $0.075\omega$ . The velocity of the movement of equilibrium points also leave from the asteroid 2063 Bacchus faster when the rotational angular velocity of the asteroid is increases.

Figure 11 shows the motion of eigenvalues affiliated to the equilibrium point E3 for the asteroid 2063 Bacchus, while the rotational angular velocity changes between  $[0.5\omega, 2.0\omega]$ . The axes labels "x" and "y" represent the real part and imaginary part of the eignevalues, respectively. In Fig. 11, collision of eigenvalues occurs, which corresponds to the movement of eigenvalues that leads to Case O1  $\rightarrow$  Case R2  $\rightarrow$  Case O4 and is shown in Fig. 2a in Sect. 6. When the values of  $\omega$  equal to 1.56, the purely eigenvalues collide. After collision, the eignevalues will leave the imaginary axis. Eigenvalues before and most close to collision are colored into green, while eigenvalues after and most close



Fig. 10 Motion of equilibrium points for the asteroid 2063 Bacchus while the rotational angular velocity changes between  $[0.25\omega, 2.0\omega]$ 

to collision are colored into red, others are blue. The second figure in Fig. 12 is amplified near the position where eigenvalues collide each other.

Figure 12 shows the motion of equilibrium points for the asteroid 25143 Itokawa while the rotational angular velocity changes between  $[0.5\omega, 2.0\omega]$  with the step size  $0.075\omega$ . The velocity of the movement of equilibrium points also leave from the asteroid 25143 Itokawa faster when the rotational angular velocity of the asteroid increases.

Figure 13 shows the motion of eigenvalues affiliated to the equilibrium point E3 for the asteroid 25143 Itokawa while the rotational angular velocity changes between  $[0.5\omega, 2.0\omega]$ . The axes labels "x" and "y" represent the real part and imaginary part of the eignevalues, respectively. In Fig. 13, collision of eigenvalues occurs, corresponds to the movement of eigenvalues that leads to Case O1  $\rightarrow$  Case R2  $\rightarrow$  Case O4, and is shown in Fig. 2a in Sect. 6. Eigenvalues before and most close to collision are colored in green, while eigenvalues after and most close to collision are colored in red, others are blue. The second figure in



Fig. 11 Motion of eigenvalues affiliated to the equilibrium point E3 for the asteroid 2063 Bacchus while the rotational angular velocity changes between  $[0.25\omega, 2.0\omega]$ , which corresponds to the movement of eigenvalues that leads to Case O1  $\rightarrow$  Case R2  $\rightarrow$  Case O4 and is shown in Fig. 2a. *Green points* are eigenvalues before collision, while *red points* are eigenvalues after collision, other eigenvalues are *blue*. (Color figure online)

Fig. 13 is amplified near the position with eigenvalues collided. One can see that the motion of eigenvalues affiliated to the equilibrium point E3 for the asteroid 25143 Itokawa while the rotational angular velocity changes between  $[0.5\omega, 2.0\omega]$  as shown in Fig. 13b.

Not only motion near resonant equilibrium points may lead to chaos, but also orbits in the large can represent chaos behaviors. Two Poincaré surfaces of sections are calculated in the potential of the asteroid 216 Kleopatra to show the chaos behaviors in the large. The dynamical system is integrated by the RK7(8) method, and the parameters  $\omega$ ,  $\mu$ ,  $\mu_{\omega}$ , and  $\mu_U$  are invariant. The orbit is close to the equatorial plane, i.e., the xy plane. The Poincaré surface of sections is defined by x = 0and  $\dot{x} = 0$  in Fig. 14. Figure 14a shows the Poincaré surface of sections (x = 0) of orbits in the potential of the asteroid 216 Kleopatra:  $y - v_y$ , where  $v_y = \dot{y}$ , while Fig. 14b shows Poincaré surface of sections ( $\dot{x} = 0$ ). The isolated points in Fig. 14 represent chaotic orbits. Besides, in Fig. 14, one can see that among these points in the Poincaré surface of sections, there exist areas with no points; in other words, there is no any orbits reach these areas. From these two Poincaré surfaces



Fig. 12 Motion of equilibrium points for the asteroid 25143 Itokawa while the rotational angular velocity changes between  $[0.5\omega, 2.0\omega]$ 

of sections, it can be seen that the chaotic motion is complicated and unordered.

#### 7 Conclusions

This paper is a first step toward the order and chaos of the motion near equilibrium points in the potential of a rotating highly irregular-shaped celestial body. It is discovered that the non-degenerate equilibrium point is continuous in the presence of persistent system parameter variation. Two theorems about the structural stability of non-degenerate and non-resonant equilibrium points are presented and proved. It is found that the topological structure in the vicinity of equilibrium points has six ordinary cases, three resonant cases, three degenerate real saddle cases, one degenerate equilibrium and resonant case, as well as one



Fig. 13 Motion of eigenvalues affiliated to the equilibrium point E3 for the asteroid 25143 Itokawa while the rotational angular velocity changes between  $[0.5\omega, 2.0\omega]$ , which corresponds to the movement of eigenvalues that leads to case  $O1 \rightarrow R2 \rightarrow O4$  and is shown in Fig. 2a. *Green points* are eigenvalues before collision, while *red points* are eigenvalues after collision, other eigenvalues are *blue*. The second figure is amplified near the position with eigenvalues collided. (Color figure online)

degenerate equilibrium and degenerate real saddle case.

It is found that resonant equilibrium points are sensitive to initial conditions, and non-degenerate resonant equilibrium points are Hopf branching points. Near resonant equilibrium points, one can find new periodic orbits and tori appear, or old periodic orbits and tori



**Fig. 14** a Poincaré surface of sections (x = 0) of orbits in the potential of the asteroid 216 Kleopatra:  $y - v_y$ , where  $v_y = \dot{y}$ . b Poincaré surface of sections ( $\dot{x} = 0$ ) of orbits in the potential of the asteroid 216 Kleopatra:  $y - v_y$ , where  $v_y = \dot{y}$ 

disappear. The possible topological transfer between different cases for equilibrium points is systematically given in a figure; besides, eigenvalues can move to the point at infinity.

The theory developed here is suitable for all kinds of rotating celestial bodies, simple-shaped or highly irregular-shaped, including asteroids, comets, planets, and satellites of planets. Three highly irregularshaped asteroids are selected for applications: asteroid 216 Kleopatra, 2063 Bacchus, and 25143 Itokawa. When parameter of the rotational angular velocity changes in some range, the equilibrium points of asteroids 216 Kleopatra and 25143 Itokawa move continuous; eigenvalues affiliated to the equilibrium point E3 for the asteroid 2063 Bacchus and 25143 Itokawa move though the resonant cases, which leads to Case O1  $\rightarrow$  Case R2  $\rightarrow$  Case O4, collision of eigenvalues occurs. The Poincaré sections are calculated in the potential of the asteroid 216 Kleopatra to show the chaos behaviors of large-scale orbits, which means that chaos occurs not only locally, but also largely.

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