**ORIGINAL PAPER**



# **Failure behavior and mechanism of a slope under the action of earthquake after rainfall**

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#### **Abstract**

Earthquake and rainfall may be coupled, which will lead to landslides easily, but the studies about this problem are at the initial stage. In this paper, the failure behavior and mechanism of a slope under the action of earthquake after rainfall were investigated with a shaking table test, based on similarity law. The experimental results showed that the failure process of the slope during earthquake after rainfall undergoes four characteristic stages. There exist velocity zoning in the slope during failure. The moisture content of soil in the slope has diferent growth rates at diferent positions during rainfall. Transient and cumulative pore water pressure occurs in the slope during earthquake after rainfall. However, due to the short period of earthquake action, the soil moisture content is not very sensitive to this action, but the pore water pressure changes sharply during earthquake. Under the combined action of rainfall and earthquake, there is a multi-physical feld efect in the slope. Rainfall weakens the strength of the soil, mainly causes local instability of the slope. More extensive damage of the slope is caused under the combined action of seismic inertia force.

**Keywords** Failure behavior · Failure mechanism · Earthquake-rainfall coupling · Shaking table test

# **1 Introduction**

Slope is widely distributed in the world, and its stability has been extensively concerned (Lin et al. [2006;](#page-16-0) Chen et al. [2013](#page-16-1); Taylor, [1937;](#page-17-0) Yang et al. [2016](#page-17-1), [2019](#page-17-2); Srilatha et al. [2013;](#page-17-3) Chang et al., [2021\)](#page-16-2). Rainfall and earthquakes are the main two common triggering factors that induce landslides (Wang et al. [2019;](#page-17-4) Chang et al., [2021;](#page-16-2) Song et al., [2021\)](#page-17-5). The failure process, failure mechanism and related dynamic responses of slope induced by rainfall and earthquake are the focuses of research (Wang and Sassa [2003;](#page-17-6) Tohari et al. [2007](#page-17-7); Lin et al. [2009](#page-17-8); Lu et al., [2012a](#page-17-9), [2012b;](#page-17-10) Chang et al. [2021\)](#page-16-2).

Existent studies have shown that shallow soil landslides are more common in rainfallinduced landslides (Zhang et al. [2011;](#page-17-11) Lu et al., [2012a](#page-17-9), [2012b\)](#page-17-10), and most of the deep landslides induced are accumulation landslides infuenced by bedrock interface. Some scholars

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have studied various failure characteristics of slope in rainfall through laboratory tests (Wang and Sassa [2003;](#page-17-6) Tohari et al. [2007](#page-17-7); Lin et al. [2009](#page-17-8); Wu et al. [2017\)](#page-17-12). The results show that the time of the initial landslide mainly depends on the degree of saturation of the soil near the toe of the slope. If the soil near the toe of the slope is close to saturation, no matter whether the other parts are saturated or not, the soil slope will always be in failure (Tohari et al. [2007](#page-17-7)). In addition, the moisture content in the failure area is close to saturation, but most of the soil in the unstable slope is still in an unsaturated state (Wang and Sassa [2003](#page-17-6)). Furthermore, high-intensity rainfall is easy to cause fowslide failure and erosion of the slope, while the rainfall with low intensity and long duration is easy to increase the pore water pressure of the deep soil of the slope, and the scale of the landslide is also larger (Tohari et al. [2007](#page-17-7)). Previous studies have shown that high pore water pressure is produced in the process of slope failure (Wang and Sassa [2003;](#page-17-6) Moriwaki et al. [2004](#page-17-13)).

The failure process and characteristics of slope under earthquake can make people intuitively study the characteristics and possible harm of slope failure and provide a basis for slope stability analysis. Laboratory tests showed that under the action of strong earthquake, the main deformation mode of clay slope is deep rotation / translation slip, and the deformation is mainly concentrated along one or more shear planes (Wartman et al. [2005;](#page-17-14) Yang et al. [2018](#page-17-15)). The soil moisture content has a great infuence on the earthquake-induced slope failure mode (Yang et al. [2018](#page-17-15), [2019\)](#page-17-2). The slope with low moisture content mainly shows the shock crack-collapse (dispersion) failure mode, while the slope with high moisture content mainly shows the shock crack-creep failure behavior (Yang et al. [2018\)](#page-17-15). It is also found that the characteristics of the bedrock interface below the slope have an obvious infuence on the instability mode of the slope (Yang et al. [2018\)](#page-17-15). The results reported by Du and Lu ([2011\)](#page-16-3) have shown that if the slope contains a certain composition of liquefed soil, this part of the soil may produce liquefaction under the action of earthquake, which may lead to slope failure. It is believed that earthquakes have two efects on slopes, i.e., the action of seismic inertia force and the increase and accumulation of excess pore water pressure induced by earthquake (Yang et al. [2018](#page-17-15)). For slopes with diferent soil properties, these two efects are diferent.

In some cases, earthquakes and rainfall may interact with each other and produce coupling efects, which will easily lead to landslides. For instance, a large earthquake with a moment M7.6 struck Padang Province, West Sumatra, Indonesia, in 2009, triggering landslides in Tandikat, Padang Pariaman Regency. The landslides occurred during rainfall, and originated on mountains mantled with loose pumice (Faris and Wang [2014](#page-16-4)). In 2013, a M6.6 earthquake occurred in Minzhang after 20 days of consecutive rainfall, Gansu Province, which led to landslides (Wang et al. [2021](#page-17-16)). At this condition, there will have some new changes in the failure characteristics and mechanism of slope. This problem has attracted interests of some researchers (Chang et al. [2007](#page-16-5); Faris and Wang [2014](#page-16-4); Wang et al. [2017;](#page-17-17) Chen et al. [2020;](#page-16-6) Martino et al. [2020](#page-17-18)). Chang et al. ([2007\)](#page-16-5) investigated the efect of earthquake after rainfall on the landslides using logistic regression. The initiation mechanism of an earthquake-induced landslide during rainfall has been investigated based on the variation of pore water pressure (Faris and Wang [2014\)](#page-16-4). Large-scale shaking table tests have been performed to study the failure mechanism and dynamic response of loess slope under the coupling action of rainfall and earthquakes (Wang et al. [2017\)](#page-17-17). The infuence of duration of time between rainfall and earthquake on the failure mode and dynamic characteristics of low-angle loess slope has been investigated (Chen et al. [2020](#page-16-6)). Martino et al. ([2020\)](#page-17-18) analyzed the mechanism of landslides induced by the Morisse earthquake in Italy  $(M_w 5.1)$ , and their results showed that rainfall increases soil saturation, and then landslides occur under the action of seismic forces. So far, the failure mechanism of a slope

under the action of earthquake after rainfall (coupling efect between them) has not been revealed clearly due to limited investigation.

At present, the failure of the homogeneous loess slope has been studied by some researchers. However, there is a great diference in characteristics between loess and non-loess soil. Non-loess slopes account for most of the world. For the study on dynamic responses of non-loess slopes under earthquake after rainfall, it is still at the initial stage, and the failure mode and failure mechanism of the slope need to be further investigated. In this study, the gravel soil slope with bedrock was selected as the target slope.

The aim of this paper is to explore the failure behavior and failure mechanism of gravel soil slope with bedrock under the action of earthquake after rainfall (see Fig. [2](#page-3-0)). The failure process and behavior of slope would be investigated in detail. The physical mechanism of slope failure would be analyzed. The typical slope in the rich rainfall area located in the seismic zone is selected as the geological prototype. The model slope was constructed using similar materials prepared according to the law of similarity. The acceleration sensors, pore water pressure sensors, moisture content sensors and particle image velocimetry (PIV) were adopted to measure the dynamic response of the slope in the test to investigate the failure mechanism of slope. A HD camera was used to record the failure process during the test. Through analyzing the physical process of slope failure recorded by PIV and HD camera, the failure mode and failure characteristic of slope can be obtained.

#### **2 Experimental details**

#### **2.1 Geological prototype**

The eastern Himalayan tectonic junction in southeastern Tibet is one of the fastest uplifting areas of the Qinghai-Tibet Plateau. The complex geological environment determines the complexity of engineering geological problems in this area and gives birth to more landslides. The study area as a whole is located on the west side of the eastern Himalayan tectonic knot, and the canyon area in the frst-order watershed between the Gangdise Mountains in the northwest and the Himalayas in the south, which belongs to the Lhasa block structurally and is located in the Dongjiu-Milin ductile shear zone. According to the diferent fault properties of diferent sections, it can be further subdivided into Lulang-Dongjiu left strike-slip shear zone, Dongjiu-Pailong ductile thrust shear zone and Tongdeng-Tongmai left strike-slip fault. The main exposed bedrock are Nianqintangula group gneiss, Yarlung Zangbo ophiolitic complex and a small amount of Neogene biotite monzonitic granite (Fig. [1](#page-3-1)). Quaternary loose deposits are mainly distributed on the surface of slopes and river valleys, and most of them are slope deposits, alluvial deposits and glacial deposits. Geomorphologically, the study area belongs to alpine canyon landform, and the whole is a "V" shape.

The main research area in this work is located in Lulang-Dongjiu section. The bedrock in this area is mainly biotite plagioclase gneiss and Yarlung Zangbo ophiolitic complex. Gneisses are developed in the rock mass, and the slopes on both sides of the valleys are 25°–35°. The high and steep quaternary loose deposits facing rivers and highways have formed high and steep slopes due to river cutting slopes and artifcial excavation, and the material composition is block gravel soil with poor stability (Fig. [2\)](#page-3-0). Soil landslides are mainly developed in this section. Earthquakes occur



<span id="page-3-1"></span>**Fig. 1 a** Location of study area, **b** geological structure of the eastern Himalayan tectonic junction, **c** the slope in prototype



<span id="page-3-0"></span>**Fig. 2** Geological profle of a slope in prototype

Parameter	Value	Parameter	Value
Unit weight $\gamma$ (kN/m <sup>3</sup> )	$14.2 - 16.9$	Compressive modulus $E_{s1,2}(\text{MPa})$	$68 - 85$
Natural moisture content $w(\%)$	$5.2 - 12.6$	Poisson's ratio $\nu$	$0.27 - 0.28$
Cohesion $c$ (kPa)	$21.3 - 37.8$	Hydraulic conductivity $k$ (cm/s)	$2.7 - 3.3 \times 10^{-4}$
Internal friction angle $\varphi$ <sup>(°)</sup>	$26 - 32$	Liquid limit $I_1$ (%)	$25.1 - 28.3$
		Plastic limit $I_n(\%)$	$17.3 - 18.1$

<span id="page-4-0"></span>**Table 1** Physical and mechanical properties of soil from the prototype



<span id="page-4-1"></span>**Fig. 3** Cohesion and internal friction angle of soil in prototype versus moisture content

frequently in this area, and the annual rainfall is abundant. The probability of landslide induced by rainfall and earthquake is very high.

In order to obtain the physical and mechanical properties of the soil in the prototype, the original soil sampler was used to take the soil sample from the feld slope. The sample soil was put in a sealed plastic bag to ensure that the soil moisture is not lost in the process of transportation. Then, we bring it back to the laboratory for geotechnical tests with various parameters. Sieving test showed that the composition of soil in the prototype includes 13.3% of crushed stone, 82.6% of clay and 4.1% of silt. Laboratory tests including direct shear test and triaxial test were conducted using disturbed specimen. The physical and mechanical parameters of soil are listed in Table [1](#page-4-0). It is indicated from Table [1](#page-4-0) that the soil has certain cohesion and larger internal friction angle. The unit weight of soil in prototype listed in Table [1](#page-4-0) indicates that the soil is at the relatively loose state.

The rainfall will signifcantly afect the shear strength of the soil. The relationship between the shear strength parameter and the moisture content were investigated, as plotted in Fig. [3](#page-4-1). The results shown in the fgure indicate that the cohesion and internal friction angle of soil decrease progressively with the moisture content, which means that the rainfall will lead to the decrease in shear strength of soil.

#### **2.2 Test apparatus**

An electromagnetic shaking table with a loading platform of 1.2  $m \times 1.2$  m was used to exert earthquake load on the slope, which can simulate single-degree-of-freedom vibration of diferent waveforms. The shaking table has a payload of 1t and a displacement amplitude of  $\pm 51$  mm. It can generate acceleration of 0.05–98 g with a frequency range of 2–3000 Hz. A test box with an adjustable bottom plate was designed to simulate the slope with bedrock interface below it, as shown in Fig. [4.](#page-5-0) The box is 1.8 m long, 0.32 m wide and 1.2 m high. The side wall of the box is transparent in order to record the process of slope failure with a high-precision camera.

## **2.3 Model slope in test**

In the test, the model scale of slope height is set to  $c_H = H_P/H_m = 266$  (where  $H_P$  is the height of the prototype, and  $H_m$  is the height of the model slope), which is the geometrical scale of similarity. The model scales of unit weight of soil and gravitational acceleration are set to  $c_{\gamma} = 1$  and  $c_{\varrho} = 1$ , respectively. The slope height *H*, unit weight  $\gamma$  and gravity acceleration *g* were selected as the fundamental parameters. Therefore, the similarity scales of other physical variables can be deduced according to similarity law, and the corresponding model law is listed in Table [2.](#page-6-0)

The model slope in this study has a height of 0.6 m, a length of 0.9 m, and a width of 0.32 m. The angles of bedrock interface below the overlying soil layer are set to  $\theta_1 = 14°$  and  $\theta_2 = 52°$ , respectively. The slope angle in the test is 44°. Through direct shear test, the physical and mechanical properties of model materials were determined. The composition of the model materials consists of sand, barite powder, slag powder



<span id="page-5-0"></span>**Fig. 4** Schematic of experimental setup



#### <span id="page-6-0"></span>**Table 2** Model law in the test

and clay. The physical and mechanical parameters of the model materials at the initial stage are listed in Table [3.](#page-6-1) Several physical variables did not exactly match the similarity law, and other parameters had met it.

The method of layer construction was used to construct the slope model, and each layer was 10 cm. During the construction, the soil was rolled and compacted with a constant external force in order to keep the same porosity within the slope (Yang et al. [2018](#page-17-15)). White sand exhibiting a vertical banding distribution was set along the inner side of glass wall (Yang et al. [2018](#page-17-15)), so that the deformation of the slope could be observed.

#### **2.4 Rainfall device**

A rainfall simulator was self-designed. The simulator, which can spray water at intensities of 5–60 mm/h, possesses four nozzles at a height of 1.0 m above the bottom of the box. In the test, a constant intensity of 24 mm/h was implemented.

Parameter	Value	Parameter	Value	
Unit weight, $\gamma$ (kN/m <sup>3</sup> )	15.5	Compressive modulus, $E_{s1}$ , (MPa)		
Cohesion, $c$ (kPa)	1.3	Poisson's ratio, $\nu$	0.27	
Internal friction angle, $\varphi$ <sup>(°)</sup>	28	Hydraulic conductivity, $k$ (cm/s)	$1.3 \times 10^{-4}$	

<span id="page-6-1"></span>**Table 3** Physical and mechanical properties of model materials at the initial stage

#### **2.5 Instrumentation**

A particle image velocimetry (PIV) was employed to measure the motion of soil particles within the slope. The size of the area tested was  $600 \text{ mm} \times 400 \text{ mm}$ , and the range of speed measurement is  $0-2$  m/s. The high-speed camera had a resolution of  $2048 \times 2048$ pixels with a sampling rate of 750 fps. A EC-5 moisture sensor (Decagon Company, United States) was used to monitor the moisture content of the soil. A charge-type pore water pressure sensor (Tester Company, China) with an accuracy of 10 Pa, and a measuring range of 0–10 kPa was used to monitor the pore water pressure. The cameras with a normal sampling rate of 25 fps used to record the experimental phenomena were also arranged. Figure [4](#page-5-0) shows the measurement arrangement. A high-speed data acquisition device (USB-6255, National Instruments USA) was employed to collect the data.

#### **2.6 Test program**

In order to investigate the slope failure behavior under the action of earthquake after rainfall, three groups of tests were carried out, namely rainfall of 188 min, 310 min, and 464 min. The acceleration time history was selected from the Comprehensive observation and Research Station of Alpine Environment in Southeast Tibet, Lulang Town, Linzhi City (Southeast Tibet Station for short). The typical time series and Fourier spectrum of acceleration recorded in an earthquake are shown in Fig. [5](#page-7-0). It is indicated from the figure that  $PGA = 0.45$  g, and predominant frequency is at 6–12 Hz. In the test, on the basis of this, a series of loading waveforms are obtained by amplifying and reducing the vibration amplitude. When rainfall stopped, the earthquake wave was immediately exerted on the slope. The duration of the input waves was 20 s, with the amplitude of the loading waves increased at intervals of 0.1 g individually until the critical value at which the slope became unstable was arrived at.



<span id="page-7-0"></span>**Fig. 5** Time history of acceleration and Fourier amplitude spectrum (PGA= $0.45$  g)

# **3 Failure process and behavior of slope**

### **3.1 Failure process during rainfall**

In this study, rainfall was acted on the slope frstly. Taking the rainfall duration of 310 min as an example, the typical results are plotted in Fig. [6](#page-8-0). The failure process of the slope can be divided into three stages: failure initiation stage, failure expansion stage and foot failure stage. The frst can be defned as failure initiation stage (see Fig. [6b](#page-8-0)). After 3 h and 34 min of rainfall, the soil is fuidized at the toe of the slope. The reason for this is that rain water gradually converges at the foot of the slope after it permeates into the slope, and the soil moisture content at the foot of the slope increases and the soil is softened progressively. The soil-fuidization phenomenon occurs under the combined action of rainfall erosion and internal seepage, which is the frst stage, i.e., failure initiation stage. Then, it goes into the second stage, i.e., failure expansion stage. As the rain continues, the area of soil fuidization expands continuously (see Fig. [6](#page-8-0)c). This is the typically failure expansion stage. The third is foot failure stage. At this stage, after 5 h and 10 min of rainfall, the fuidization area of soil at the foot of the slope expands further, with a block-sliding failure occurring at the toe of the slope, as shown in Fig. [6](#page-8-0)d.

### **3.2 Failure process during earthquake after rainfall**

When the rainfall stops, the earthquake load is exerted on the slope. The failure process of the slope during earthquake after rainfall is shown in Fig. [7.](#page-9-0) According to the tested results, the failure process can also be divided into four characteristic stages. When the Wenchuan earthquake wave with a peak acceleration of 0.577 g was exerted on the slope, there was no displacement in any part of the slope. In this case, it is defned as no deformation stage. However, when the peak acceleration of the Wenchuan earthquake wave was increased to 0.866 g, there was a small vertical displacement at the top of the slope. Moreover, there exhibits a slip surface along the interface between the soil and bedrock at the upper part of the slope, with the slope sliding slightly along the bedrock, as shown in Fig. [7](#page-9-0)c. This is called slight slip stage. When the input peak acceleration of the Wenchuan earthquake wave reached 1.010 g, the vertical displacement of the top of the slope increased further, with part of the soil from the middle of the slope to the toe of the slope deforming convexly, as



<span id="page-8-0"></span>**Fig. 6** Failure process of slope during rainfall. **a** Original state, **b** failure initiation stage, **c** failure expansion stage and **d** foot failure stage





 $(b)$ 





 $(e)$ 



<span id="page-9-0"></span>**Fig. 7** Failure process of slope during earthquake after rainfall **a** original state, **b** no deformation stage (0.577 g), **c** slight slip stage (0.866 g), **d** slip initiation stage (1.010 g), **e** slope failure stage (1.443 g), **f** velocity vector of the soil particles at the time of failure (1.443 g) and **g** contour of velocity

can be seen from the deformation of the white line marked upon the slope. The upper soil of the slope slipped along the bedrock, whereas the lower soil slipped within the slope, as shown in Fig. [7d](#page-9-0). This is called slip initiation stage. When the input peak acceleration of the Wenchuan earthquake wave was increased to  $1.443$  g, the slope deformation was enlarged further, with the vertical displacement at the top of the slope growing to 5 cm, and the convexity of the soil in the lower part of the slope becoming more acutely obvious, as shown in Fig. [7e](#page-9-0), which is defned as slope failure stage.

During the failure process, the PIV system was used to record the motion of soil particle, and then the velocity of soil particle in the slope can be measured. From the velocity vector of the soil particles in the slope at the time of failure (Fig. [7](#page-9-0)f) and the velocity contour (Fig. [7g](#page-9-0)), it was found that there was a great diference of the velocity at diferent parts during the process of slope failure. The motion velocity is able to be divided into two obvious regions, namely the high-speed area located in the middle and upper part of the slope and the low-speed one in the middle and lower part. The velocity of soil particles on the lower side of the slope was close to zero, while the one at the top of the slope was the highest, reaching 2.69 m/s. If the earthquake continues, shear slip surfaces might potentially occur at the boundary between the two motion areas.

#### **4 Failure mechanism of slope**

#### **4.1 Variation of moisture content**

The moisture content of soil in slope is an essential parameter, which has a great infuence on the strength of the soil. The variation in moisture volume content of the slope during 310 min of rainfall is plotted in Fig. [8](#page-10-0). It can be seen from the figure that the moisture content of soil in the slope has diferent growth rates and stable values in diferent positions of the slope. The moisture content of the soil near the foot of the slope increases faster than that of the rest of the slope. Therefore, the strength of the soil in this region should decrease frst and the failure initiation happened also frst here. As shown in Fig. [8](#page-10-0), the moisture content of the soil near the bedrock interface on the lower side of the slope was the highest, indicating that water converges at this location easily. Thus, the failure of slope occurred at the foot of the slope (Fig. [6\)](#page-8-0), whereas the soil moisture content was the lowest

<span id="page-10-0"></span>



<span id="page-11-0"></span>

<span id="page-11-1"></span>near the upper part of the slope during the rainfall, indicating that rain water dissipates easily at that location. Therefore, the strength of soil at that area varies slowly and has a relatively high stability. During the earthquake, the variation of moisture content of the soil can nearly be neglected due to the very short period of vibration, so it is not analyzed here.

#### **4.2 Variation of pore water pressure**

#### **4.2.1 Pore water pressure during rainfall**

Pore water pressure refects the stress state of soil. The higher pore water pressure corresponds to the lower efective stress of the soil. Therefore, the high pore water pressure may lead to slope failure under some conditions. The variation of pore water pressure within the slope during 310 min of rainfall is plotted in Figs. [9](#page-11-0) and [10](#page-11-1). It can be seen that during rainfall, the change of pore water pressure is consistent with the variation of moisture content. The pore water pressure within the middle and lower part of the slope, especially at the bottom of the slope, increased rapidly during rainfall, whereas that in the middle and upper parts of the slope, especially at the top of the slope, was basically unchanged. Therefore,

the efective stress of the soil near the foot of the slope decreases the most, compared with other location. Thus, the failure of slope frst happened at the foot of the slope.

#### **4.2.2 Pore water pressure during earthquake after rainfall**

During earthquake, soil is subjected to periodic compression deformation, so the pore water pressure will also demonstrate periodic change. Figure [11](#page-12-0) shows the variation of pore water pressure during seismic loading after rainfall. It shows from the fgure that the peak value of pore water pressure increases with the intensity of seismic load, which indicates that the instantaneous strength of soil will decrease correspondingly. Therefore, the deformation of slope will increase with the earthquake load, as shown in Fig. [7.](#page-9-0)

For the same intensity of earthquake load, the pore water pressure at diferent locations within the slope undergoes diferent changes. There are two responses of pore water pressure during the action of earthquake: transient and cumulative pore water pressure. Figures [11](#page-12-0) and [12](#page-13-0) shows the variation of pore pressure during earthquake. The results indicate that the pore water pressure at the lower part of the slope near the bedrock interface (e.g., P1, P2) experienced transient change similar to the seismic waveform, which means that the peak value of pore water pressure changes almost synchronously with that of seismic load, and this is called the transient pore pressure response. From the pore water pressure responses of P1 and P2 (Fig. [12](#page-13-0)a, b), it can be seen that even after many times of vibration, the pore water pressure returned to its original level fnally, indicating that there was almost no cumulative efect of pore water pressure. Figure [7e](#page-9-0) shows that there are nearly no deformation of soil at points P1 and P2, which is consistent with the variation in Fig. [12a](#page-13-0), b. However, the pore water pressure (e.g., P3, P4) near the bedrock interface at the middle and upper parts of the slope presents not only transient response (Fig. [12](#page-13-0)a, b), but also shows cumulative response. When earthquake ceased, the pore water pressure was obviously higher than that prior to vibration, indicating that the soil has irrecoverable plastic deformation, which is also consistent with the fact that there are obvious displacements at P3 and P4 (Fig. [7e](#page-9-0)). For the soil at the upper part of the slope (e.g., at P5), there was nearly no change in pore water pressure during only rainfall (Fig. [9](#page-11-0)). The soil moisture content was also low (see Fig. [8](#page-10-0)), so the amplitude of transient pore pressure change was small during earthquake, and the cumulative pore pressure was not large, although the soil obviously



<span id="page-12-0"></span>

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<span id="page-13-0"></span>**Fig. 12** Variation of pore water pressure at diferent points in slope during earthquake **a** at P1 point, **b** at P2 point, **c** at P3 point, **d** at P4 point, **e** at P5 point, **f** at P6 point, **g** at P7 point

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displayed some plastic deformation during earthquake (see Fig. [12e](#page-13-0)). Near the middle and upper surfaces of the slope, the transient pore water pressure was small due to the low moisture content, and cumulative pore water pressure was basically nonexistent (e.g., at measuring point P6).

With respect to point P7 located within the middle and lower surface of the slope, the soil moisture content is in the middle level, whereas the transient pore water pressure also changed slightly. In addition, cumulative pore water pressure changed most obviously during vibration; however, this pressure dissipated quickly. The reason is that the soil at this location experienced plastic deformation, but the soil is relatively loose and the pores are large, so the rainwater moves outward quickly.

The above analysis indicates that the pore water pressure during earthquake is mainly controlled by soil deformation, and the infuence of the pore water pressure on the deformation of soil is slight, compared with that of earthquake. Thus, it is indicated that the earthquake load is the key factor that leads to slope failure, and the pore water pressure induced by earthquake in the slope may not be the primary factor in some cases of combination of rainfall and earthquake.

### **5 Efect of rainfall duration on critical acceleration**

In this section, the infuence of rainfall duration on the critical acceleration of slope instability was discussed, and three groups of test results corresponding to 188 min, 310 min and 464 min of rainfall were analyzed. Figure [13](#page-14-0) shows the relationship between the critical acceleration and rainfall duration. It can be seen from the fgure that the critical acceleration corresponding to slope failure decreases almost linearly with the increasing rainfall duration, which means that the longer duration of the rainfall will greatly increase the risk of slope instability. It is also consistent with the conclusion of the variation of moisture content with time (Fig. [8](#page-10-0)).

### **6 Discussion**

Rainfall will directly lead to the increase in soil moisture content and pore water pressure in the slope. However, the growth rate of moisture content is diferent in diferent positions of the slope, which has the characteristic of spatial distribution. Under the action of gravity,

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rain water seeps downward and fnally converges at the foot of the slope and fows out from the toe of slope, so the moisture content of the soil near the foot of the slope increases the fastest and is the frst to reach saturation. With the continuous rainfall, the soil saturation area extends upward from the foot of the slope to the middle and top of the slope. Whether the soil in the middle and upper part of the slope can reach saturation depends on a variety of factors, including rainfall intensity, rainfall duration, soil permeability and so on. The change of pore water pressure is directly afected by soil moisture content, so it also has the characteristics of spatial distribution. Where the water content increases rapidly, the pore water pressure also increases quickly. However, under the action of earthquake, due to the short period of earthquake action, the soil moisture content is not very sensitive to this action. However, the pore water pressure changes sharply during the earthquake. The peak value of pore water pressure changes almost synchronously with that of seismic load, and this is called the transient pore pressure response. On the whole, there are two responses for the pore water pressure: transient response and cumulative response. The cumulative response characteristics of pore water pressure appear in the place where obvious plastic deformation of soil occurs in the slope. When the slope soil has only elastic deformation but no obvious plastic deformation, the pore water pressure is mainly transient response.

In addition, the strength of soil is also afected by moisture content. Where the moisture content increases quickly, the soil strength also decreases rapidly. Rain water is also easy to converge at the interface between the bedrock and covering layer, so the strength of the soil at that place decreases much faster. Therefore, the slope is easy to form a sliding surface at the bedrock interface.

Under the combined action of rainfall and earthquake, there is a multi-physical feld efect in the slope, i.e., softening efect of soil induced by rain water, increasing efect of pore water pressure and dynamic efect induced by earthquake. Rainfall weakens the strength of the soil, mainly causes local instability of the slope. More extensive damage of the slope is caused under the combined action of seismic inertia force.

### **7 Conclusions**

The failure behavior and mechanism of a slope under the action of earthquake after rainfall have been investigated with a shaking table test. Based on the results of test, the following conclusions can be drawn:

- (1) The failure process of the slope under the action of rainfall can be divided into three stages: failure initiation stage, failure expansion stage and foot failure stage. The failure process of the slope during earthquake after rainfall has four characteristic stages: no deformation, slight slip, slip initiation and slope failure stages. In this study, the rainfall mainly leads to local failure of slope, but the earthquake after rainfall induces whole failure. The velocity of soil particles during the failure of the slope may have diferent values at diferent position. In this study, it can mainly be divided into two areas, namely the high-speed area and the low-speed one. The sliding surface mainly occurs at the interface between the two areas.
- (2) Rainfall will directly lead to the increase of soil moisture content in the slope. However, the growth rate of moisture content is diferent in diferent positions of the slope, which has the characteristic of spatial distribution. Under the action of gravity, rain water seeps downward and fnally converges at the foot of the slope and fows out from

the toe of slope, so the moisture content of the soil near the foot of the slope increases the fastest and is the frst to reach saturation. Whether the soil in the middle and upper part of the slope can reach saturation depends on a variety of factors, including rainfall intensity, rainfall duration, soil permeability and so on.

- (3) The change of pore water pressure is directly afected by soil moisture content, so it also has the characteristics of spatial distribution. Where the water content increases rapidly, the pore water pressure also increases quickly. However, under the action of earthquake, due to the short period of earthquake action, the soil moisture content is not very sensitive to this action. The pore water pressure changes sharply during the earthquake. The peak value of pore water pressure changes almost synchronously with that of seismic load, and this is called the transient pore pressure response. On the whole, there are two responses for the pore water pressure: transient response and cumulative response. The cumulative response characteristics of pore water pressure appear in the place where obvious plastic deformation of soil occurs in the slope. When the slope soil has only elastic deformation but no obvious plastic deformation, the pore water pressure is mainly transient response.
- (4) Under the combined action of rainfall and earthquake, there is a multi-physical feld efect in the slope, i.e., softening efect of soil induced by rain water, increasing efect of pore water pressure and dynamic efect induced by earthquake. Rainfall weakens the strength of the soil, mainly causes local instability of the slope. More extensive damage of the slope is caused under the combined action of seismic inertia force.

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# **Declarations**

**Confict of interest** The authors declare that they have no confict of interest.

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