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Producing forest fre susceptibility map via multi‑criteria decision analysis and frequency ratio methods

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Abstract

Located in the Mediterranean basin, one of the world's leading places in terms of forest fres, Turkey is one of the countries where forest fres are experienced very often due to both natural and socio-economic conditions. The objective of this study is to conduct a forest fre susceptibility analysis within the boundaries of Karabük Forestry Directorate. This analysis was conducted considering the factors afecting the forest fre risk (elevation, slope, aspect, distance to road lines, distance to settlement, land surface temperature and stand type). The factors used in the study were analyzed using geographic information systems (GIS) techniques and analytic hierarchy process method and frequency ratio method. The forest fre susceptibility map produced was classifed in 5 categories including very low, low, moderate, high and very fre susceptibility. In order to see how much the forest fre susceptibility map produced corresponds to reality, the forest fre susceptibility maps and the forest fre inventory map were highly compared, and a 73.92% correspondence was detected according to the multi-criteria decision analysis method, while a 76.42% correspondence was detected in the frequency ratio method. As a result, it was concluded that high- and very high-sensitive areas were dominant in the study area, and the site had a high forest fre potential. Ultimately, this study indicated that GIS could be used as a tool to help make efective decisions during forest fre planning.

Keywords Multi-criteria decision analysis · Frequency ratio method · GIS · Forest fre susceptibility map · Karabük

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1 Introduction

Forests are natural resources that should be protected very well due to their contributions to sustainability of the ecological balance and to the economy. However, fres caused by natural and human reasons are the most extreme disaster for these areas. Forest fres are global phenomenon that are caused by natural or human reasons, sometimes lead to loss of life and property (Bento Gonçalves et al. [2012](#page-14-0)). Forest fres pose a major natural risk for Turkey, a Mediterranean country. Forest fres have increased in recent years as a result of climate change, global warming, socio-economic change and inappropriate forest planning and have negative effects on soil fertility and structure (Vieira et al. [2011](#page-15-0)). Forest fires that have occurred in the last 60 years in Turkey destroyed 1.5 million hectares of forest lands.

Forest fre susceptibility studies should be carried out to reduce damages of forest fres, since it is impossible to prevent occurrence of forest fres completely. Forest fre susceptibility maps zone forest areas relatively in terms of occurrence of forest fres at a certain geographical location. The main objective of forest fre susceptibility analysis is to reduce the impacts of forest fres by identifying the hazardous and risky areas. In recent years, many studies have been conducted both in the world and Turkey to identify model location of forest fres, to monitor fre formation, to organize fre extinction operations, and to determine damages caused by fre. These studies are mostly conducted with the support of geographic information systems (GIS) (Şahin and Gümüşay [2007\)](#page-15-1). Thus, all data can be analyzed in a systematic and practical way (Fox and Stuart [1994;](#page-15-2) Bahadır [2010\)](#page-14-1).

A forest fre occurs when many geographical parameters such as elevation, slope, aspect, distance to road and settlement come together under appropriate conditions. GIS provides great convenience in evaluation of a large number of geographic parameters with variable values and in analysis of the events and phenomena emerging under control of these geographic parameters (Karabulut et al. [2013\)](#page-15-3).

In this study, Merkez and Ovacık districts of Karabük province located in the Western Black Sea Region were selected as the study area. The objective of the study is to produce forest fre susceptibility map for the study area using multi-criteria decision analysis and frequency ratio methods. The factors such as elevation, slope, aspect, distance to road lines, distance to settlement, land surface temperature and stand type were used to produce forest fre susceptibility map of the study area. The forest fre susceptibility map produced as a result of the study was categorized in fve susceptibility classes, and these data were examined by superposing the same with the forest fre inventory map of the region for the years 2012-2016 and comparing the truth values obtained for each susceptibility range.

2 Study area

Karabük province, which is located in the Western Black Sea Section of the Black Sea Region and has a surface area of 4.109 km^2 , is located between 40 \degree 57' and 41 \degree 34' north latitudes and 32° 04′ and 33° 06′ east longitudes. It is adjacent to Bartın province (80 km) in the north, to Kastamonu province (112 km) in the northeast and east, to Çankırı province (193 km) in the southeast, to Bolu province (134 km) in the southwest and to Zonguldak province (102 km) in the west. (URL-1).

Karabük province consists of 6 districts including Merkez, Safranbolu, Yenice, Eskipazar, Efani and Ovacık. This study was conducted within the boundaries of Karabük

Forestry Directorate including Merkez and Ovacık districts of Karabük province. The site location map of the boundaries of the study area is presented in Fig. [1](#page-2-0). Forests are extremely widespread in Karabük, where mountains occupy a wide area. 65% of the surface area of the province is covered by forest, 22% by agricultural area, and the rest is covered with pasture, settlement and other areas.

3 Data layers used in forest fre susceptibility analysis

Selecting the factors appropriately is one of the most important points in production of the forest fre susceptibility map. The factors such as elevation, slope, aspect, distance to road lines, distance to settlement, land surface temperature and stand type were utilized to produce forest fre susceptibility map as part of the study. The existing forest fre inventory map was utilized with the aim of determining accuracy of the forest fre susceptible areas specifed as a result from the analysis.

3.1 Forest fre inventory map

Forest fre inventory maps indicate the current forest fre starting points on the land. Identifying the location of forest fres and their impact areas correctly is of great importance in production of forest fre inventory maps. Forest fre susceptibility maps are of ultimate importance as they serve as the basis for hazard and risk modeling. The objective of forest fre inventory maps is to show distribution of forest fres (Hacisalihoglu [2018\)](#page-15-4).

Fig. 1 Location map of the study area

Forest fre inventory map of the study area was generated by transfer into the digital medium, with the help of ArcGIS 10 software, of geographic information and feature information of 123 forest fres included in fre registry forms (2012–2016) of Zonguldak Regional Directorate of Forestry, Karabük Forestry Directorate (Fig. [2](#page-3-0)).

3.2 Elevation

One of the parameters frequently used in forest fre susceptibility studies is elevation. As the temperature decreases in high areas, the risk of fre outbreak is lower (Gai et al. [2011](#page-15-5)). On the other hand, the fact that the humidity decreases as the elevation increases leads to an increase in distribution risk of a possible fre (Özelkan [2008](#page-15-6)). Continuous data in which elevation values expressed by surfaces are required so that the elevation data can be used in GIS analyzes. These continuous data are known as Digital Elevation Model (DEM). DEM data were obtained from 1/25,000-scale digital topographic map sheet of the study area using "Create TIN" command menu in ArcGIS 10 software. In the study, the elevation values obtained from DEM data were defned being divided into 8 classes with 250-m intervals. The elevation map produced is presented in Fig. [3](#page-4-0)a.

3.3 Slope

In forest fres, slope is one of the most important factors to be considered in forest fre susceptibility studies, as it afects both the rate and direction of fre. Normally, highly sloping areas do not pose a high risk of fre; however, in places with high slope, the rate of progress and spread of fre is high as well. Because sudden slope changes dry surface fuel and

Fig. 2 Forest fre inventory map of the study area

Fig. 3 Forest fre susceptibility parameters used in this study **a** elevation, **b** slope, **c** aspect, **d** distance to road, **e** distance to settlement, **f** land surface temperature, **g** stand type

increase spread of fre by providing a rapid surface fow (Jaiswal et al. [2002;](#page-15-7) Vadrevu et al. [2010;](#page-15-8) Gai et al. [2011\)](#page-15-5).

In this study, an elevation map produced from 1/25,000-scale digital topographic map sheet of the study area was used to obtain the slope data. On the slope map produced, the lowest slope degree was 0, and the highest slope degree was 39 (Fig. [3](#page-4-0)b). The slope map was divided into 4 classes with 10° intervals to be used in susceptibility analyzes. 0° -20° slope class of the study area makes 89.59% of the total area, and around 91.87% of the existing forest fres occurred between these slope classes.

3.4 Aspect

Aspect is one of the basic topographic factors that should be considered in forest fre susceptibility analyzes, due to its efect on sunshine duration and humidity (Karabulut et al. [2013\)](#page-15-3). The signifcance of the aspect in forest fre susceptibility lies in the fact that it gives relationship of terrain with sunlight and wind and thus greatly infuences fre ignition and spread (Jaiswal et al. [2002\)](#page-15-7).

In the Northern Hemisphere where our country is located, fre risk is high on the southward slopes, as the sunshine duration here is more dominant than the north (Özelkan [2008\)](#page-15-6). East facing aspects receive more direct sunlight than the west aspects (Anderson [1982;](#page-14-2) Bennie et al. [2008\)](#page-14-3). The north-facing aspect has lower temperatures due to less sunlight, so there is less risk of forest fre (Shakesby et al. [2003\)](#page-15-9).

In calculation of the data of slope orientation change (aspect), the digital terrain model (DTM) that was formed for the study area was utilized. On the aspect map produced as part of this study (Fig. [3c](#page-4-0)), -1° section covers plain areas (sea, lake, etc.), while 0° –360° range covers 9 geographic directions at 22.5° intervals.

3.5 Distance to road

Forest fres can be caused by nature as well as human. Because of human, vehicle movements and activities on them, roads provide a wide ground for outbreak of human-induced fres. In general, parts of forests that are close to road pose a risk high in terms of fre (Karabulut et al. [2013](#page-15-3)). Bufer zones were formed at 500-m intervals on the road network of the study area in order to research the efect of road factor on forest fre susceptibility (Fig. [3](#page-4-0)d).

3.6 Distance to settlement

Parts that are close to settlement, just like roads, pose a high risk for outbreak of fres as a result of human activities, accidents or negligence (Jaiswal et al. [2002](#page-15-7); Erten et al. [2005;](#page-15-10) Joaquim et al. [2007\)](#page-15-11). On the other hand, today, the fact that human activities spread over large areas leads to an increase in fre risk in such areas that are away from settlements (Karabulut et al. [2013](#page-15-3)).

Bufer zones were formed at 500-m intervals from the settlements of the study area in order to research the effect of settlement factor on forest fire susceptibility (Fig. [3e](#page-4-0)).

3.7 Land surface temperature

Land surface temperature (LST) is one of the factors to be considered in forest fre susceptibility analysis. LST is a parameter that plays an important role in many ecological modeling such as digital weather forecast, drought index, climatic diversity, determination of energy and water cycle in the atmosphere (Şahin et al. [2011\)](#page-15-12). In this study, land surface temperature map was obtained using the mono window algorithm method developed by Qin et al. [\(2001\)](#page-15-13). In order to produce LST map (Fig. [3f](#page-4-0)) were benefted two Landsat 8 and one Landsat 5 data acquired on diferent dates and the meteorological data (temperature and humidity) obtained on the same date with Landsat images from the Directorate of Meteorology. Meteorological and satellite data acquisition dates are given in Table [1](#page-6-0).

3.8 Stand type

Vegetation is one of the most important factors that determines the starting point of forest fres and the fre behavior (Erten et al. [2005](#page-15-10); Van de Water and Saford [2011\)](#page-15-14). According to water demands of plants, the response of each plant to fre is diferent. In other words, those plants with low water demand are more susceptible to fre than those with high water demand (Karabulut et al. [2013](#page-15-3)).

The stand type map of the study area was obtained from Zonguldak Regional Directorate of Forestry. The stand type map of the study area was categorized in 9 classes including Productive Coniferous young stand (PCys), Productive Coniferous old stand (PCos), Productive Coniferous Leafy stand (PCls), Cavernous closed Coniferous stand (CcCs), Productive Diferent Old stand (PDOs), Productive Leafy Coniferous stand (PLcs), Productive Leafy stand (PLs), Cavernous closed Leafy stand (CcLs), Open Areas or areas without tree (OA) (Fig. [3](#page-4-0)g).

4 Methods used in the study

Models based on GIS techniques that were developed to examine and analyze the relationships between diferent numbers of variables provide great advantages in analyzing and guiding characteristics of ecological problems (Küçükönder and Karabulut [2007;](#page-15-15) Özşahin and Kaymaz [2013\)](#page-15-16). Multi-criteria decision analysis and frequency ratio methods were used to create forest fre susceptibility maps of the study area.

4.1 Multi‑criteria decision analysis

Multi-criteria decision analysis is the process of benefting from a set of alternative resolutions in solution of decision problems and incompatible data and opposite criteria having these criteria (Malczewski [1999](#page-15-17)). Analytic hierarchy process (AHP) and weighted linear combination (WLC) methods are the leading methods that are preferred most in solution of multi-criteria decision analysis (Ayalew et al. [2004;](#page-14-4) Zhao et al. [2008;](#page-16-0) Malczewski et al. [2003](#page-15-18)). WLC method developed in compliance with AHP was used within the scope of this study. AHP is a method that is not only used in ranking of alternatives according to signifcance level, but also in analysis and resolution of complex decision-making problems. While performing the analysis operations with this method, people work in a hierarchic structure including all criteria, functions and objects (Yager and Kelman [1999](#page-16-1)).

AHP begins with paired comparison of factors. AHP Rating Scale developed by Saaty is utilized for the numerical values required to perform paired comparison (Table [2\)](#page-7-0). In the phase of the comparative decision making and formation of preference matrix, the second step of the AHP, the parameters identified in the first stage are compared to each other. The next process in obtaining the comparison matrix is determination of the criteria weights from the summarized relative signifcances of preferences created for each matrix elements (Boroushaki and Malczewski [2008\)](#page-14-5).

The fact that the comparison matrix enables versatile evaluation allows to determine the criteria with relative signifcance as well as to determine consistency levels in development of proportions (Saaty [1977\)](#page-15-19). The consistency ratio defnes the probability of randomly created matrix grading. The maximum consistency ratio recommended by Saaty is 0.10. If a value above this ratio is obtained, it is necessary to re-establish the problem more accurately and to review the process (Drobne and Lisec [2009\)](#page-14-6). The consistency ratio is calculated as follows;

$$
CR = \frac{CI}{RI}
$$
 (1)

RI is the random index value, while CI is the consistency index value that provides the consistency separation criteria. CI value is obtained as follows;

$$
CI = \frac{(\lambda - n)}{(n - 1)}
$$
 (2)

here *λ* refers to consistency vector average, while *n* is the criteria number.

Importance degree	Definition				
	Equal degree important				
3	First criteria is a little more important than second				
5	First criteria is important than second				
	First criteria is more important than second				
9	First according to criterion second, it has the strongest pos- sible prescription or is preferred				
2,4,6,8	Intermediate values are used when compromise is necessary.				

Table 2 AHP evaluation scale

The next stage after obtaining AHP and paired comparison matrix elements and fnding factor weights is to combine the criteria with each other and produce the result map. At this stage, the weighted linear combination (WLC) method is used. The purpose of using this method is to normalize the feature values of each factor and to create a conformity index by collecting each normalized criterion. In case of equality, *m* refers to the decision option and n refers to the criteria number (Voogd [1983\)](#page-15-20).

Fig. 4 Frequency values of factors used in forest fre susceptibility map production **a** elevation, **b** slope, **c** aspect, **d** distance to road, **e** distance to settlement, **f** land surface temperature, **g** stand type

Fig. 5 Maps obtained after normalization of factors used in the production of susceptibility maps **a** elevation, **b** slope, **c** aspect, **d** distance to road, **e** distance to settlement, **f** land surface temperature, **g** stand type

$$
e_i = \sum_{j=1}^{n} w_j * r_{ij}, (l = 1, ..., m)
$$
 (3)

The frst step in development of multi-criteria decision analysis in the digital GIS database is to standardize features of factors/criteria. Each criterion in available data has its own feature values, and is diferent from and incompatible with other criterion values. For this reason, it is necessary to perform normalization processes before the processes of collecting decision rules (AHP and WLC) and factors (Kavzoglu et al. [2010\)](#page-15-21). Figure [4](#page-8-0) presents the frequency values of each factor used in the study, which were created using the forest fre inventory map. In this way, it is seen which factor is how much sensitive to any forest fre situation in which range. In the light of obtained values, eigenvalues of the factors were normalized by scoring in the range of 0-255 (Fig. [5\)](#page-9-0).

Following normalization of factors, paired comparison method was applied with the aim of determining relative signifcance of each factor to each other. The comparison values used in the method were chosen by decision-makers. Also, literature and expert opinions were utilized to determine these values within the scope of this study. The weight of each criterion was calculated and indicated after the comparison matrix (Table [3](#page-10-0)). In the study area, the criterion with the highest weight was slope. Slope was followed by elevation, aspect, land surface temperature, stand type, distance to road lines and distance to settlement, respectively.

The consistency ratio was calculated to determine whether or not the values in the created comparison matrix and the calculated weights were consistent. The consistency ratio was found to be 0.03. This rate was below 0.10, which was accepted as the highest value (Saaty [1977\)](#page-15-19). Thus, it was not necessary to repeat the paired comparison method. Following the results obtained, all criteria were collected using WLC method, and the forest fre susceptibility map was created.

4.2 Frequency ratio method

The frequency ratio method has a probability model that is understandable and easy to apply, and it is widely used in the literature thanks to this feature. The frequency ratio was defned as the ratio of the occurrence probability to the non-occurrence probability of an event (Lee et al. [2004](#page-15-22); Lee and Evangelista [2005;](#page-15-23) Erener et al. [2010\)](#page-15-24). The frequency ratio method was used to research the correlation between locations of the past forest fres and each factors afecting the subject forest fre. For this reason, factors afecting each forest

Parameters		Slope Elevation Aspect Land		surface tem- perature	Stand type	Dis- tance to road	Distance to settle- ment	Parameter weights
Slope	1	2	2	3	6	2	2	0.27
Elevation	1/2	1	1	3	5			0.16
Aspect	1/2	1	1	3	5	$\overline{2}$	2	0.20
Land surface temperature	1/3	1/3	1/3	1	3	1/2	1/2	0.07
Stand type	1/6	1/5	1/5	1/3		1/4	1/4	0.04
Distance to road	1/2	1	1/2	$\overline{2}$	$\overline{4}$			0.13
Distance to set- tlement	1/2	1	1/2	\overline{c}	$\overline{4}$		1	0.13

Table 3 The pair-wise comparison matrix and parameter weights

fre were categorized, and the frequency ratio value in each category of each factor was calculated using GIS functions. Formula No. 4 was used to calculate the frequency ratio.

$$
FR = \frac{PLO}{PIF}
$$
 (4)

here PLO is the percentage of forest fre presence occurring within each sub-category of a parameter afecting the forest fre; the PIF is the percentage of each category of a parameter afecting the forest fre. In the frequency ratio calculation table, PLO was calculated as A/B and PIF as C/D. In these correlations, B refers to the total forest fre cell number in the study area, while D refers to the total cell number in the study area (Erener and Lacasse [2007\)](#page-14-7). If the calculated frequency ratio values are above 1, the risk of forest fre is higher; on the contrary, the lower the value is than 1, the lower this relationship will be. Based on this approach, a probability schedule was prepared using the existing forest fre data and related parameter relationships to calculate the frequency ratio values (Table [4](#page-12-0)).

The frequency ratio calculated for each category was assigned to the relevant layer in ArcGIS 9.3.1; then the forest fre susceptibility map was created by overlapping all layers.

5 Discussion

In this study, two diferent methods were used to produce forest fre susceptibility maps, and forest fre inventory map was utilized to test the accuracy of these methods. The forest fre susceptibility maps created were reclassifed to make it easier to interpret them, and as a result, fve forest fre susceptibility classes were created from very low susceptibility level to very high susceptibility level. Areal and percentage distributions of susceptibility classes in the study area were determined as a result of analyzes, and it was determined how much each group was efective in which area.

As a result of the analyzes made on the forest fre susceptibility map created via multicriteria decision analysis method, very low forest fre susceptibility was found to be 0.62%, low susceptibility 4.87%, moderate susceptibility 39.95%, high susceptibility 50.91% and very high susceptibility 3.65% (Fig. [6\)](#page-13-0).

In order to determine the accuracy of the forest fre susceptibility map, the forest fre susceptibility map was overlapped with the forest fre inventory map of the study area, and distributions of the existing forest fre areas by their susceptibility classes were determined. Accordingly, very high susceptibility was determined to be 8.50%, high susceptibility 65.42%, moderate susceptibility 22.83%, low susceptibility 3.25% and very low forest fre susceptibility 0.00%. As a result, 73.92% of the existing forest fre values were found to occur in the high and very high susceptibility areas of the forest fre susceptibility map.

As a result of the analyzes made on the forest fre susceptibility map created via the frequency ratio method, very low was 1.86%, low was 5.01%, medium was 20.39%, high was 46.20% and very high was 26.54% (Fig. [7](#page-14-8)).

In order to determine the accuracy of the forest fre susceptibility map, the forest fre susceptibility map was overlapped with the forest fre inventory map of the study area, and distributions of the existing forest fre areas by their susceptibility classes were determined. Accordingly, very high susceptibility was determined to be 29.27%, high susceptibility 47.15%, moderate susceptibility 21.14%, low susceptibility 2.44% and very low forest fre susceptibility 0.00%. As a result, 76.42% of the existing forest fre values were found to occur in the high and very high susceptibility areas of the forest fre susceptibility map.

Table 4 (continued)

Fig. 6 Forest fre susceptibility map generated by the multi-criteria decision method

6 Conclusions

In this study, it was aimed to determine conformity of AHP method and the frequency ratio methods in order to identify the risky forest fres areas with high accuracy. The region located within the boundaries of Karabük Forestry Directorate, where forest fres occur very frequently, was selected as the study area. In line with the results obtained in the study,

Fig. 7 Forest fre susceptibility map generated by the frequency ratio method

it could be said that the multi-criteria decision analysis and frequency ratio methods were very powerful methods in preparation of risk maps (73.92% and 76.42%, respectively).

Knowing the main criteria that afect formation of the forest fre susceptibility map and the map with very risky and risky areas would make a signifcant contribution to prevent or provide early response for possible fres in Karabük province and its surroundings.

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