#### **ORIGINAL PAPER**



# Seismic hazard analysis and local site effect of the 2017  $M_w$ **7.3 Sarpol‑e Zahab, Iran, earthquake**

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### **Abstract**

A strong earthquake occurred on November 12, 2017, in Sarpol-e Zahab city, western Iran, with the moment magnitude  $(M_w)$  of 7.3 and a focal depth of 18 km. The maximum horizontal peak ground acceleration of 0.69 g was recorded at the Sarpol-e Zahab station. Signifcant damages were observed in frame and masonry buildings, while the damage distribution was non-uniform throughout this small city. The preliminary site reconnaissance revealed that numerous engineering structures collapsed or considerably damaged in some regions, contrary with those non-structural masonry buildings in other regions which remained intact during earthquake. This paper represents a preliminary reconnaissance report prepared through the site visit done by the authors, a few days following the earthquake occurrence. Then, the data recorded by the strong ground motion stations in the afected city and the surrounding regions together with the geotechnical data gathered from the available boreholes in Sarpol-e Zahab are incorporated for probabilistic seismic hazard and local site efect analyses. The observed response spectra at two stations and distance-dependency of ground acceleration are compared with those predicted by some attenuation models. The results of probabilistic seismic hazard analysis in the return periods of 475 and 2475 years are compared with the observed ground response and the design spectra recommended by the Iranian seismic code (for site classes Types I and II). Several geotechnical boreholes from the previous works in the afected area were analyzed through the equivalent-linear site response approach in order to obtain the seismic response at the soil surface. The results are then compared with the code design spectra for the site classes of Types III and IV. It is demonstrated that the calculated response spectra are generally larger than those recommended by the Iranian seismic code, especially for the 4–7 stories buildings.

**Keywords** Sarpol-e Zahab earthquake · Seismic hazard · Site efect · Uniform hazard spectra · ground motion prediction equations

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# **1 Introduction**

On Sunday, November 12, 2017, at 21:48:16 local time, a strong earthquake occurred in the border region between Iran and Iraq in Kermanshah province in the vicinity of Sarpole Zahab city. The epicenter coordinates of the earthquake with moment magnitude  $(M_w)$ of 7.3 and a focal depth of 18.1 km were reported at 34.77 N and 45.76 E by the Iranian Seismological Center (IRSC, available at [https://irsc.ut.ac.ir/\)](https://irsc.ut.ac.ir/). The locations of earthquake epicenter and the recording stations are shown in Fig. [1](#page-1-0). This earthquake was the largest seismic event after the M7.4, 1909 AD Silakhor earthquake near the Borujerd city in the Zagros region (Farzanegan et al. [2017\)](#page-21-0). The Sarpol-e Zahab earthquake triggered several landslides and extensive building damages resulting more than 600 deaths and 7000 homeless. The earthquake was apparently felt by people living in the cities located at the distances of several hundred kilometers such as Tabriz and Arak. Compared with the other cities around the epicenter, majority of the structural damages occurred in Sarpol-e Zahab and its neighbor villages. The maximum horizontal peak ground acceleration (PGA) of



<span id="page-1-0"></span>**Fig. 1** Map of the epicenter and the recording stations of the Sarpol-e Zahab earthquake (Farzanegan et al., [2017\)](#page-21-0)

0.69 g was recorded at the Sarpol-e Zahab station. However, the damage pattern was not uniform in diferent parts of the city.

This paper presents results of feld observation and also various analyses in four general parts: First, a summary of the reconnaissance report is provided to describe the types of damages in Sarpol-e Zahab during the earthquake. Second, the corrected earthquake records are presented in order to be utilized in the analyses. Third, results of the probabilistic seismic hazard analysis (PSHA) for a reference site are presented to characterize the earthquake ground shaking and to compare the results with the observed ground motions and the standard design spectra of Iran (standard 2800, [2014,](#page-21-1) hereafter, BHRC14). Finally, results of the local site efect analysis are presented for a selected site of Sarpol-e Zahab by the available geotechnical and geophysical data.

# **2 Preliminary reconnaissance report**

Widespread damages were observed throughout the west of the Kermanshah province including Sarpol-e Zahab, Eslamabad-e Gharb, Kerend-e Gharb, Ezgeleh, and Salas-e Babajani cities during the Sarpol-e Zahab earthquake in the Kermanshah province, Iran. The maximum value of peak ground acceleration (PGA) was recorded at the Sarpol-e Zahab station at an epicentral distance of 35 km. The peak horizontal and vertical accelerations at this station were 0.69 g and 0.33 g, respectively. Signifcant damages of non-structural elements of building mainly the infll walls occurred in the Maskan-Mehr residential complex which has built in 2012 with 576 units. Buildings collapse in the regions around the Maskan-Mehr buildings was clearly more than the other sides of the city. The geotechnical and structural damages observed throughout the Sarpol-e Zahab city are summarized as below.

### **2.1 Geotechnical aspects**

Geotechnical damages, mainly ground displacement, were observed throughout the city especially in the alluvial areas near the river. Evidences of embankment failure occurred in the neighborhood of Maskan-Mehr as shown in Fig. [2](#page-3-0)a. Figure [2](#page-3-0)b shows the catastrophic collapse of a steel structure in the Fouladi neighborhood which led to 38 deaths. This structure was located near the edge of slope which may be visible in Fig. [2](#page-3-0)b. The slope was constructed by loose fll materials. The feld surveys indicate that there are several construction problems in joints and welds of the structure which caused collapse of the structure; however, the structure probably was designed improperly.

# **2.2 Structural aspects**

Figure [3](#page-4-0) illustrates some damaged structures superimposed on the damage density map of the Sarpol-e Zahab city. The map was produced by the UNITAR-UNOSAT analysis. The damage density map indicates that the neighborhood of Maskan-Mehr and the area around the Post Office are amongst the most affected zones. The damages suffered by various newly constructed structures were observed by the authors as shown in Fig. [3](#page-4-0). Our feld investigation generally confrms the UNITAR–UNOSAT analysis for the



**Fig. 2** The geotechnical aspect of the Sarpol-e Zahab earthquake **a** failure of soft soil embankment **b** collapse of the structure constructed on the weak ground

<span id="page-3-0"></span>potentially damaged structures. The observations indicate that most of the structures (concrete or steel) have been damaged in the beam-column connections. Moreover, the insufficient shear capacity of columns has played a major role in the structural failures. The 7-story reinforced concrete (RC) buildings of the Maskan-Mehr residential complex are shown in the upper left corner of Fig. [3.](#page-4-0) It is seen that the brick masonry infll walls were completely destroyed, possibly due to lack of lateral restraint in the walls, while the damages in the structural frame were negligible under earthquake. However, the column shear failure was observed in a few cases. This issue reduced the human death due to earthquake in Maskan-Mehr residential complex. A RC-building of Fouladi and Taze Abad neighborhoods is shown in the center left and bottom right corner of Fig. [3](#page-4-0), respectively. The RC apartment complex collapsed, possibly due to "short column"



<span id="page-4-0"></span>**Fig. 3** The damaged structures and related density map in Sarpol-e Zahab (after UNITAR-UNOSAT)

behavior. Moreover, the stirrup and lap splices of longitudinal rebars were insufficient in the columns.

### **3 Strong motion records correction**

The Sarpol-e Zahab earthquake was recorded by 109 free-feld strong motion stations (including SSA-2 and Guralp CMG5TD instruments) as shown in Fig. [1.](#page-1-0) Due to lower threshold level of CMG-5TD accelerometers, this earthquake is recorded at long distances such as the Bojnourd University station at distance of about 1000 km or the stations in Tehran city with epicentral distances of more than 500 km.

The acceleration time series were provided in raw format by the BHRC (Building and Housing Research Center). Therefore, they must be baseline corrected and proper fltered to eliminate high- and low-frequency noises (Zafarani and Soghrat [2017](#page-21-2)). Although a classic technique based on the signal-to-noise ratio is usually used to correct the records, in this study, the multi-resolution wavelet analysis was employed to eliminate undesirable noise from the recorded signals (proposed by Ansari et al. [2010](#page-20-0)). After the correcting procedure, 50 records have been recognized as poor quality records and excluded from the analysis. The maximum recorded PGA is about 0.69 g and 0.33 g for horizontal and vertical components, respectively, in the Sarpol-e Zahab station. The distribution of PGAs of remaining records (59 records) in horizontal (PGA\_H) and vertical (PGA\_V) components is illustrated in Fig. [4](#page-5-0) to show the correlation between the two components. The distribution of PGA versus distance for the horizontal and vertical components is also shown in the fgure. Moreover, the epicentral distance of these records and the average shear wave velocity between 0 and 30-m depth  $(V_{s30})$  in each station are plotted in Figs. [5](#page-6-0) and [6,](#page-6-1) respectively.



<span id="page-5-0"></span>



<span id="page-6-0"></span>**Fig. 5** The distance between the recorded stations and epicenter of earthquake



<span id="page-6-1"></span>**Fig. 6** The  $V_{s30}$  distribution in the recorded stations

The observed spectral acceleration (SA) in two stations [including the Sarpol-e Zahab (SPZ) and Kerend (KRD) stations] was compared with the values predicted by fve ground motion prediction equations (GMPEs) in Fig. [7](#page-7-0). We believe that the GMPEs developed for active shallow crustal tectonic regimes can be applicable to the shallow zones. Therefore, we used the GMPEs developed based on the local, regional, and global data. It is tried to select the latest GMPEs such as Boore et al. [\(2014\)](#page-20-1), Campbell and Bozorgnia [\(2014\)](#page-20-2), Abrahamson et al. [\(2014\)](#page-20-3), Chiou and Youngs ([2014](#page-21-3)), and etc. Moreover, two local GMPEs which are developed based on Iranian database have been used. In addition, the criteria that proposed by Bommer et al. [\(2010\)](#page-20-4) have been considered in

<span id="page-7-0"></span>**Fig. 7** Comparison of observed and predicted spectral accelerations in SPZ and KRD stations. *Obs* observed data, *Zea18* Zafarani et al. [\(2018](#page-22-0)), *BSSA14* Boore et al. ([2014\)](#page-20-1), *CB14* Campbell and Bozorgnia [\(2014](#page-20-2)), *ASK14* Abrahamson et al. ([2014\)](#page-20-3), *ZS12* Zafarani and Soghrat ([2012\)](#page-21-4)



selection of GMPEs. The models proposed by Zafarani et al.([2018](#page-22-0)), Boore et al.[\(2014\)](#page-20-1), Campbell and Bozorgnia [\(2014\)](#page-20-2), Abrahamson et al. ([2014](#page-20-3)), and Zafarani and Soghrat  $(2012)$  $(2012)$  have been chosen for the comparison purpose. Figure [7](#page-7-0) shows that the model proposed by Boore et al. ([2014](#page-20-1)) is compatible with the observed values in the Sarpol-e Zahab station for periods beyond 0.4 s. The model proposed by Zafarani et al. ([2018](#page-22-0)) can predict properly in periods more than 0.7 s in the Sarpol-e Zahab station. It is noted that the observed values in the Kerend station are considerably diferent from the values predicted by the GMPE models. The geometric average of horizontal components has



<span id="page-8-0"></span>**Fig. 8** Comparison of the observed values and predictions of the GMPEs for the geometric average of horizontal components. *Obs* Observed data, *Zea18* Zafarani et al. ([2018\)](#page-22-0), *BSSA14* Boore et al. ([2014\)](#page-20-1), *CB14* Campbell and Bozorgnia [\(2014](#page-20-2)), *ASK14* Abrahamson et al. [\(2014](#page-20-3)), *ZS12* Zafarani and Soghrat [\(2012](#page-21-4))

been used in this study. In addition, the  $V_{s30}$  is considered 619 and 800 m/s for SPZ and KRD stations, respectively, and GMPEs are adjusted for these diferent values.

Figure [8](#page-8-0) represents the distance dependency of observed PGAs and SAs at periods of 0.3, 0.5, and 1 s. Figure [8](#page-8-0)a–d is based on the moment magnitude of 7.3 and site class II ( $V_{s30}$ =500 m/s). It seems that for short periods, the model proposed by Zafarani et al. ([2018](#page-22-0)) works better than the other models. Figure [8](#page-8-0) shows that for long periods, the models proposed by Boore et al. ([2014](#page-20-1)) and Campbell and Bozorgnia ([2014](#page-20-2)) have done a better job for prediction of observed data. There are diferent quantitatively monitoring to understand the agreement between observed and predicted data. However, in some cases, determination of suitability for the GMPEs has been investigated using a direct comparison between median GMPEs and the observed data (Zafarani and Farhadi [2017\)](#page-21-5). In this study, we used direct comparison between median GMPEs and the observed data.

### **4 Seismic hazard analysis**

First step of a probabilistic seismic hazard analysis (PSHA) includes gathering an earthquake catalog for the given region. Previously, several studies (e.g. Ambraseys and Melville, [\(2005](#page-20-5)) Berberian, [\(1994](#page-20-6)) Mirzaei et al.[\(1998](#page-21-6)) Shahvar et al.[\(2013](#page-21-7)) Zare et al.[\(2014](#page-22-1)) Khodaverdian et al., [\(2016](#page-21-8)) have been carried out to compile the uniform earthquake catalog of Iran and its surroundings. In the current research, an extension of the catalog provided by Khodaverdian et al. ([2016\)](#page-21-8) along with the events presented by Zare et al. [\(2014](#page-22-1)) was prepared using the data extracted from the IRSC. Since the IRSC reports the modifed Nuttli magnitude scale  $(M_N)$  for each event, and in order to provide a uniform catalog,  $M_N$ values of each event were converted to moment magnitude  $(M_w)$  using the following relationship proposed by Shahvar et al.  $(2013)$  $(2013)$ :

$$
M_{\rm w} = 0.834M_{\rm N} + 0.932\tag{1}
$$

The historical earthquakes were collected from different studies such as Zare et al. [\(2014](#page-22-1)), Khodaverdian et al. [\(2016](#page-21-8)), and Onur et al. ([2017\)](#page-21-9). Zare et al. ([2014\)](#page-22-1) and Khodaverdian et al. ([2016\)](#page-21-8) used a large number of previous researches to identify the historical events as well as the instrumental ones. Onur et al. ([2017\)](#page-21-9) gathered a comprehensive earthquake catalog for Iraq and surroundings. The area studied in the present work was located in the neighborhood of eastern part of Iraq. Hence, the catalog collected by Onur et al. [\(2017](#page-21-9)) was considered to achieve a complete catalog for the area of interest. The fnal catalog is shown in Fig. [9.](#page-10-0)

For the study region, the fnal catalog contains 3480 instrumental earthquakes (which occurred from 1903 to 2017) and 22 historical earthquakes that occurred from A.D. 859 to A.D. 1880. To separate main shocks from aftershocks and foreshocks, and eliminate any duplicate events, declustering of the events noted above was performed using the win-dowing algorithm proposed by Gardner and Knopoff [\(1974](#page-21-10)); however, alternative window parameter settings proposed more recently by Gruenthal (implemented in the Wiemer's ZMAP package, 2001) were applied. The parameter settings proposed by Gruenthal identify more events as being dependent for lower magnitudes  $(**M6.5**)$ . The Gruenthal and Gardner and knopoff relationships give approximately similar time and distance windows for large events. Finally, 432 clusters of earthquakes were determined and 993 events remained in the declustered catalog (see Fig. [9\)](#page-10-0).

#### **4.1 Seismicity parameters of source zones**

By comparing trends of all faults located in the area of interest with spatial distribution of the regional catalog, considering also other important features such as focal mechanism, tectonic regime, and depth of seismic events (Danciu et al., [2018](#page-21-11)), nine area source zones were determined that are shown in Fig. [10.](#page-11-0) Each area source represents only a single geological unit or tectonic feature and all of them are active regions in shallow crust (seismicity depth  $<$  40 km). These source zones can be divided into two main categories comprising area type zones along the main trend of the Zagros faults (i.e. source zones 1–6) and background-type zones (i.e. source zones 7–9). Based on the Iranian seismotectonic provinces proposed by Mirzaei et al. ([1998\)](#page-21-6), it can be said that the source zones 1–7 belong to the Zagros Province. Furthermore, major part of the source zone 8 is located in the Central-East Iran Province. Southwestern part of the study area is approximately situated in Iraq



<span id="page-10-0"></span>**Fig. 9** Epicentral distribution of seismicity in the area of interest, compilation from diferent sources, **a** original catalog **b** declustered



<span id="page-11-0"></span>**Fig. 10** The seismogenic source model considered in this study

and out of the seismotectonic provinces mentioned above. As seen in Fig. [10,](#page-11-0) the area zone 4 covers Sarpol-e Zahab city and its proximity.

Completeness magnitude  $(M_c)$  should be determined before estimating the seismicity parameters of each source zones. Then, completeness magnitudes and their corresponding time periods were selected from the values proposed by Khodaverdian et al. [\(2016](#page-21-8)). It should be noted that  $M<sub>c</sub>$  was considered equal to 5.0, 4.9, and 3.3 for the time periods of 1900–1964, 1964–1998, and 1998–2017, respectively. Moreover, for diferent time periods, the completeness magnitude associated with their uncertainties in magnitude (Table [1](#page-11-1)) was selected based on Khodaverdian et al., [\(2016](#page-21-8)).

<span id="page-11-1"></span>

Zone number	$m_{\min}$	$\lambda_{\min}$ (year <sup>-1</sup> )	$m_{\text{max}}$	Uncertainty of $\beta$ $m_{\text{max}}$		Uncertainty of $\beta$
$\mathbf{1}$	4.5	0.44817	7.5	0.52	2.16	0.13
2	4.5	0.53254	7.5	0.51	1.88	0.17
3	4.5	0.31576	7.5	0.51	1.41	0.21
$\overline{4}$	4.5	0.23299	7.5	0.51	1.47	0.29
5	4.5	0.87429	7.5	0.51	1.42	0.13
6	4.5	0.32381	7.5	0.52	1.84	0.15
7	4.5	0.67018	7.5	0.51	1.20	0.14
8	4.5	0.16003	7.9	0.77	2.31	0.20
9	4.5	0.21625	6.5	0.50	1.50	0.26

<span id="page-12-1"></span>**Table 2** Seismicity parameters of all areal sources

<span id="page-12-2"></span>**Table 3** Diferent GMPEs and their corresponding characteristics

<b>GMPE</b>	Assumed Site condition	Distance metric	Magnitude range
Zafarani and Soghrat (2012)	Rock ( $V_{S30} > 300$ m/s)	1 km $\leq R_{\text{eni}} \leq 200$ km	$4.4 - 7.5$
Zafarani et al. (2018)	Rock $(V_{s30} > 800$ m/s)	$R_{\text{IR}}$ $\leq$ 200 km	$4.0 - 7.3$
Kale et al. (2015)	$V_{S30} = 760$ m/s	$R_{\text{IR}}$ $\leq$ 200 km	$4.0 - 8.0$
Boore et al. (2014)	$V_{s30} = 760$ m/s	0 km $\leq$ $R_{\text{IR}}$ $\leq$ 400 km	$3.0 - 8.5$
Idriss $(2008)$	$V_{s30} = 760$ m/s	$R_{\rm run} \leq 200$ km	$5.0 - 8.5$
Zhao et al. $(2006)$	$V_{S30}$ > 600 m/s	$0.4 \text{ km} \le R_{\text{run}} \le 300 \text{ km}$	$5.0 - 9.0$
Kanno et al. (2006)	$V_{S30} = 750$ m/s	1 km $\leq R_{\text{run}} \leq 400$ km	$5.5 - 8.0$

Assuming the Poissonian distribution for sequence of earthquake events in the region, and based on the maximum likelihood method proposed by Kijko and Sellevoll  $(1989, 1992)$  $(1989, 1992)$  $(1989, 1992)$  $(1989, 1992)$  and Kijko  $(2004)$  $(2004)$ , the MATLAB code HA2 (Kijko [2010\)](#page-21-15) was employed to estimate the seismicity parameters for each source zone. The double-truncated Gutenberg–Richter recurrence model was applied as the frequency–magnitude distribution for each zone as follows.

<span id="page-12-0"></span>
$$
\lambda(m) = \lambda_{\min} \frac{e^{-\beta(m - m_{\min})} - e^{-\beta(m_{\max} - m_{\min})}}{1 - e^{-\beta(m_{\max} - m_{\min})}}
$$
(2)

where  $\lambda$  denotes the seismicity rate for events with magnitude (m)  $\geq$  minimum magnitude ( $m_{\text{min}}$ ). Other parameters given in Eq. [\(2\)](#page-12-0) include seismic activity rate corresponding to  $m_{\text{min}}$  ( $\lambda_{\text{min}}$ ), maximum magnitude ( $m_{\text{max}}$ ), and regression coefficient ( $\beta$ =bLn10). The lower threshold magnitude,  $m_{\text{min}}$ , has been chosen on the basis of the minimum magnitude that is capable of causing signifcant damage and below which there is no engineering interest.  $m_{\text{min}}$  is usually selected to be between M4.0 and M5.0 (Bommer and Crowley [2017](#page-20-7)). Table [2](#page-12-1) indicates the seismicity parameters of all nine areal sources computed using the HA2 code.

#### **4.2 Seismic hazard calculation**

In order to compute ground motion intensity, seven GMPEs were selected (Zafarani and Farhadi [2017](#page-21-5)). Diferent characteristics of the selected GMPEs such as their applicable ranges of distance and magnitude are presented in Table [3](#page-12-2). Moreover, Table [3](#page-12-2) depicts specifc site conditions employed for each GMPE to estimate the desired ground motion intensity. Considering the applicable period ranges of all implemented GMPEs, periods 0.01–3.0 s were selected to calculate the pseudo-spectral accelerations (PSA).

To perform the PSHA, the CRISIS2012 software (Ordaz et al. [2012\)](#page-21-19) was used. Uniform hazard spectra (UHS) computed for 10% and 2% probability of exceedance in 50 years (i.e., the return periods of 475 and 2475 years, respectively) are shown in Fig. [11](#page-13-0). Furthermore, Fig. [11](#page-13-0) indicates a comparison between the UHS computed in the current study, standard design spectra for the site classes of I and II proposed by the Iranian seismic building code (BHRC14) for design with 10% probability of exceedance in 50 years, and the PSAs of east–west (H1) and north–south (H2) horizontal components of a recorded ground motion at the Sarpol-e Zahab station during the 2017 Sarpol-e Zahab earthquake ( $M_w = 7.3$ ), Iran. The site was classifed as I and II if the time-averaged shear wave velocity in the top 30 m of site is greater than 750 m/s, and ranged from 375 to 750 m/s, respectively. Figure [11](#page-13-0) demonstrates that the 2475-year UHS (derived from the site conditions cited in Table [3](#page-12-2)) approximately mimics the general trend of the recorded SAs at all periods; however, they coincide better together at short periods of 0.01–0.4 s. Note that the recording station in the city was located on a rock site condition with  $V_{s30} = 619$  m/s, close to the site condition values assumed in the UHS calculations (see Table [3\)](#page-12-2). On the contrary, it should be highlighted that the BHRC14 standard design spectrum for site class of I matches the recorded SAs at the Sarpol-e Zahab station for the longer periods in the range of 0.4–3 s. The hazard



<span id="page-13-0"></span>**Fig. 11** Comparison between the computed uniform hazard, standard design (for diferent site classes), and the Sarpol-e Zahab recorded spectra



<span id="page-14-0"></span>**Fig. 12** The hazard map for Sarpol-e Zahab at return period of a 475 (**a**–**d**) and 2475 years (**e**–**h**) for the pseudo-spectral acceleration at oscillator periods of (**a**, **e**) 0.01 s (**b**, **f**) 0.20 s (**c**, **g**) 0.50 s (**d**, **h**) 1.00 s

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map for the study region is also presented at four periods 0.01, 0.20, 0.50, and 1.00 s, for two return periods of 475 (Fig.  $12a-d$ ) and 2475 (Fig.  $12e-g$ ) years.

# **5 Local site efect**

The earthquake site efects can signifcantly vary the seismic damages in the afected areas despite the closely equal source-to-site distances. The seismic response of a site depends on geotechnical, geometrical, and ground-motion parameters (e.g., Jafarian et al. [2018](#page-21-20), [2019\)](#page-21-1). Review of the available site conditions indicates that the Sarpol-e Zahab city has been built on highly variable geotechnical condition involving the deposits with various depths and properties. As shown in Fig. [3](#page-4-0), the north of the city is surrounded by the Zagros Mountains, while the other side of the city (i.e., the Maskan-Mehr buildings) has been built near a river containing alluvium materials. Therefore, it seems that the damage pattern observed due to earthquake (see Fig. [3\)](#page-4-0) is related to diferent site conditions, as discussed in the following sections.

### **6 Geological and geotechnical conditions**

The geological map of the Sarpol-e Zahab region is shown in Fig. [13](#page-15-0) which demonstrates that the city was constructed on two types of materials. The northern border of the city was formed by Asmari limestone where a rock outcrop can be observed. The eastern, western, and southern borders were located on a consolidated alluvium. The thickness of alluvial deposit varies from a few meters to several ten meters. The



<span id="page-15-0"></span>**Fig. 13** Geological map of Sarpol-e Zahab ( adapted from Iran National Cartographic Center, [1929](#page-21-21))

thickness of alluvium increases across a river that passes from the southwest of Sarpol-e Zahab towards the north of this city.

To determine the ground-motion amplifcation, one must frst estimate the geotechnical properties of the site. The geotechnical and geophysical data are not completely available for the all parts of the city. Nevertheless, seven geotechnical boreholes (BH) and one geophysical down-hole (DH) data were collected for Maskan-Mehr, the main site under consideration herein. Figure [14](#page-16-0) shows the location of these boreholes along with the DH profile on the map. As seen in Fig. [14](#page-16-0), the down-hole test was carried out about 500 m far away from the Maskan-Mehr buildings in the Fouladi neighborhood. Accordingly, the results of the down-hole test were also used for site response analyses of the Maskan-Mehr site. Figure [14](#page-16-0) also illustrates location of the Sarpol-e Zahab recording station (SPZ).

Figure [15](#page-17-0) illustrates the shear wave velocity  $(V_s)$  profile along with the characterization of the soil layers. The shear wave velocity was measured up to the depth of 30 m by a down-hole test at the DH point. The value is about 360 m/s at the depth of 30 m, while shear wave velocity in the top 30 m of the soil column  $(V_{s30})$  at the SPZ station was measured to be equal to 619 m/s. A linear regression model was developed to estimate shear wave velocity, and then, an extrapolation was simply used to roughly estimate the depth of bedrock with  $V_s = 619$  m/s as shown in Fig. [15](#page-17-0). Accordingly, the depth of bedrock was estimated 46 m in the Maskan-Mehr site. The geotechnical boreholes indicate that the site of Maskan-Mehr involves a 10 m clay layer overlying a deep gravel layer. The average thickness of the clay layer was found by geotechnical data to be about 10 m. Some available correlations were used to determine the variations of shear modulus ratio ( $G/G_{\text{max}}$ ) and damping (*D*) curves versus shear strain ( $\gamma$ ). The curves of  $G - \gamma$ and  $D - \gamma$  are shown in Fig. [16](#page-17-1) for clay (PI=15) and gravel based on the models developed by Vucetic and Dobry([1991](#page-21-22)) and Kokusho ([1980](#page-21-23)), respectively.

<span id="page-16-0"></span>

**Fig. 14** Locations of geotechnical borehole site (BH), down-hole test site (DH), and the strong motion recording station (SPZ)



<span id="page-17-0"></span>**Fig. 15** The variations of shear wave velocity at the Maskan-Mehr site with depth

<span id="page-17-1"></span>

### **7 Site response analysis**

One-dimensional site response analysis was performed based on the geotechnical and geophysical data of the Maskan-Mehr and the Fouladi neighborhood sites. The frequencydomain equivalent linear approach was employed to analyze the site response using DEEP-SOIL v.6 software (Hashash et al. [2016](#page-21-24)). The required soil properties such as shear wave velocity, shear modulus ratio, and damping curves were estimated as shown in Figs. [15–](#page-17-0)[16](#page-17-1). The recorded earthquake accelerations (including both horizontal components) in the SPZ

station were used as the input motions for the analyses. The model depth was determined by the extrapolation shown in Fig. [15](#page-17-0) corresponding to the shear wave velocity of the recording station.

Figure [17](#page-18-0) illustrates the acceleration response spectra of the input motion together with the surface motion resulted from the site response analysis. The comparison made between the input and the surface response spectra shows that the soil layers have fltered high frequency components of the input motion. Moreover, the bandwidth of the ground surface motion is considerably larger than that of the input wave. The period bound of the ground surface motion is about  $T=0-1.5$  s for both the horizontal components, while it is about

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 $T=0$ –0.5 s for the input motion. Figure [17](#page-18-0) indicates that the predominant period is about  $T=0.5$  s and  $T=1$  s for surface response spectra of the east–west and north–south components, respectively. The predominant period of the input motion is about 0.4 s. The period of structures was estimated according to BHRC14 as follows:

$$
T_s = 0.05H^{0.9}
$$
 (3)

where  $T_s$  is structure period in second and H is structure height in meters.

The period of structures is shown in Fig. [17](#page-18-0) for the 4–7 stories buildings. The comparison between the surface response spectra and the range of structural periods indicates that the 4–7 stories buildings are potentially prone to response in resonance condition. As noted previously, the Maskan-Mehr buildings were 7-story RC building. Meanwhile, there are a few 4-story RC buildings that were completely destroyed under earthquake. Accordingly, it seems that the resonance phenomenon occurred in the site of Maskan-Mehr.

The design spectra of BHRC14 are plotted in Fig. [16](#page-17-1) for soil types III  $(175 < V_s < 375 \text{ m/s})$  and IV ( $V_s < 175 \text{ m/s}$ ), where  $V_s$  stands for the averaged shear wave velocity of the upper 30 m of site. Based on the downhole data (see Fig. [15\)](#page-17-0), the site of Maskan-Mehr is classified as type III ( $V_{s30} = 217$  m/s). The BHRC14 design spectrum shows that the maximum SA is about 0.83 g for the  $4-7$  stories buildings. Figure [17](#page-18-0)a indicates that the maximum SA values of the east–west component for the 4 and 7 story buildings are about 1.35 g and 1.04 g, respectively. As seen in Fig. [17](#page-18-0)b, the maximum SA values of the north–south component for the same buildings are about 0.83 g and 1.09 g, respectively. Accordingly, the 4 and 7 stories buildings of the Maskan-Mehr site probably suffered accelerations of about 1.63 and 1.31 times the standard design acceleration during the earthquake, respectively.

As shown in Fig. [17](#page-18-0), a 10-m-thick clay layer signifcantly afected the surface motion due to the proximity of the site fundamental period and earthquake motion predominant period. This phenomenon has been observed in previous earthquakes. For example, the 1985 Michoacan earthquake ( $M<sub>s</sub> = 8.1$ ) caused moderate and extensive damages in different areas of Mexico City. The structures located on the soft soil of the Lake Zone were suffered signifcant damage, while large parts of the city located on the dense soil experienced no damage (Kramer [1996](#page-21-25)). The previous studies (e.g., Seed et al. [1987](#page-21-26)) indicated that the natural period of the Mexico City clay was about 1.8–2 s. Accordingly, most buildings in the 5 to 20-story range sufered extensive damage due to the resonance phenomenon (Kramer [1996](#page-21-25)). This phenomenon was observed in Sarpol-e Zahab city where the buildings of Maskan-Mehr were located. The results of the downhole test indicate that the site of Maskan-Mehr is classifed as type III based on BHRC14. Accordingly, the maximum site efect was considered for the design of the structures using BHRC14, while the 10-m clay layer probably created 1.63 times the standard design acceleration during the earthquake (See Fig. [17b](#page-18-0)).

### **8 Conclusion**

This paper deals with the seismic hazard analysis and local site efect of Sarpol-e Zahab earthquake in Iran, occurred in November 2017 ( $M_w = 7.3$ ). The reconnaissance investigations have shown that most of the earthquake losses occurred in the areas surrounding the Maskan-Mehr residential complex with 576 units which was built in 2012. Various

damages such as geotechnical and structural damages were observed throughout the Sarpol-e Zahab city.

The Sarpol-e Zahab earthquake was recorded by 109 free-feld strong motion stations, whereas the maximum value of PGA was recorded at the Sarpol-e Zahab station at an epicentral distance of 35 km. The correction of strong motion records shows that the maximum PGA values of the recorded motion were about 0.69 g and 0.33 g for the horizontal and vertical components, respectively, in the Sarpol-e Zahab station with an epicentral distance of 35 km. The observed SA values in two stations were compared with predictions of five GMPEs.

The results of seismic hazard analysis indicated that the 2475-year UHS is in good agreement with both of the recorded SAs at approximately all periods; however, they coincide better together at the short periods of 0.01–0.4 s. On the contrary, the BHRC14 standard design spectrum for site class of I matches the recorded SAs at the Sarpol-e Zahab station for the long periods of 0.4–3 s. This means that the observed strong motions have return periods larger than 475 years and also, the Iranian seismic building code spectrum is not a uniform hazard spectrum and has diferent return periods at diferent oscillation periods.

One-dimensional equivalent linear analysis was used to evaluate the site response of the Maskan-Mehr site. The soil profle considered for the analyses changed the frequency content of motion, whereas it magnifed the low-frequency components of the motion. The results also indicate that the 4–7 stories building around this site probably sufered a resonance condition. The maximum SA values of the east–west and north–south components are about 1.35 g and 1.09 g for the 4 and 7 stories buildings, respectively. Accordingly, the 4 and 7 stories buildings of the Maskan-Mehr site probably sufered accelerations of about 1.63 and 1.31 times the standard design acceleration during the earthquake, respectively.

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