

Genetic Influences on Outcome Following Traumatic Brain Injury

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Abstract Several genes have been implicated as influencing the outcome following traumatic brain injury (TBI). Currently the most extensively studied gene has been APOE. APOE can influence overall and rehabilitation outcome, coma recovery, risk of post-traumatic seizures, as well as cognitive and behavioral functions following TBI. Pathologically, APOE is associated with increased amyloid deposition, amyloid angiopathy, larger intracranial hematomas and more severe contusional injury. The proposed mechanism by which APOE affects the clinicopathological consequences of TBI is multifactorial and includes amyloid deposition, disruption of cytoskeletal stability, cholinergic dysfunction, oxidative stress, neuroprotection and central nervous system plasticity in response to injury. Other putative genes have been less extensively studied and require replication of the clinical findings. The COMT and DRD2 genes may influence dopamine dependent cognitive processes such as executive/frontal lobe functions. Inflammation which is a prominent component in the pathophysiological cascade initiated by TBI, is in part mediated by the interleukin genes, while apoptosis that occurs as a consequence of TBI may be modulated by polymorphisms of the p53 gene. The ACE gene may affect TBI outcome via mecha-

nisms of cerebral blood flow and/or autoregulation and the CACNA1A gene may exert an influence via the calcium channel and its effect on delayed cerebral edema. Although several potential genes that may influence outcome following TBI have been identified, future investigations are needed to validate these genetic studies and identify new genes that might influence outcome following TBI.

Keywords Genetics · Traumatic brain injury APOE · Interleukin · Dopamine receptor · APOE promoter · Amyloid · Neuroprotection · Oxidative stress · COMT · Apoptosis · p53 · Angiotensin converting enzyme · Alzheimers disease · Acetylcholine · CACNA1A gene

Introduction

Several genes have been implicated as influencing the outcome following traumatic brain injury (TBI). Currently the most extensively studied gene is apolipoprotein E (APOE). However, several other genes have been investigated and/or speculated. These include APOE promoter, catechol-o-methyltransferase (COMT), dopamine D2 receptor (DRD2), interleukin, p53, and CACNA1A genes. The following paper will review the current state of knowledge regarding genetic influences on outcome following TBI and discuss possible mechanisms and methodological considerations.

Apolipoprotein E

The APOE gene, which encodes for a cholesterol carrier lipoprotein is polymorphic and exists in three

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common isoforms $\epsilon 2$, $\epsilon 3$, and $\epsilon 4$. APOE $\epsilon 4$ allele has been identified as susceptibility gene for late onset familial and sporadic Alzheimer's disease [1–3]. In the clinical literature, many studies support the hypothesis that APOE genotype influences the outcome from TBI [4–22]. Teasdale et al. [4] in a prospective evaluation of 89 patients sustaining TBI, observed that 17 (57%) of 30 patients with APOE $\epsilon 4$ had an unfavorable outcome at 6 months compared with 16 (27%) of 59 patients without APOE $\epsilon 4$. Unfavorable outcome was defined as dead, vegetative state, or severe disability using the Glasgow Outcome Scale (GOS). Also using the GOS, Chiang et al. [5] observed similar findings regarding overall outcome at 6 months following TBI. In this prospective investigation of 100 patients with TBI admitted to a neurosurgical unit, 52% of patients with the $\epsilon 4$ allele exhibited an unfavorable outcome compared with 21% of patients without the $\epsilon 4$ allele. Individuals with the $\epsilon 4$ allele also tended to experience longer hospital stays (39.2 days for + $\epsilon 4$ allele group and 27.9 days for the – $\epsilon 4$ allele group).

In addition to influencing overall outcome, APOE may also influence various clinical aspects of TBI. Friedman et al. [6] noted that individuals with the APOE $\epsilon 4$ allele were five times more likely to experience more than 7 days of unconsciousness following TBI. Furthermore, patients with posttraumatic unawareness who did not recover had a higher frequency of the $\epsilon 4$ allele compared with those who did recover [7]. It has also been observed that patients possessing the $\epsilon 4$ allele tend to experience larger intracranial hematomas following TBI [8] and are at increased risk of posttraumatic seizures [9].

APOE may also influence rehabilitation outcome following TBI. Friedman et al. [6] reported a strong association between the APOE $\epsilon 4$ allele and a poor clinical outcome. In this investigation, 1 of 27 (3%) of individuals with the APOE $\epsilon 4$ allele had a favorable outcome compared to 13 of 42 (31%) of those without the $\epsilon 4$ allele. A favorable outcome was defined by the absence of dysarthria or dysphasia, lack of behavioral abnormalities, no evidence of severe cognitive impairment, and the ability to live independently. Lichtman et al. [10] also noted that patients with the APOE $\epsilon 4$ allele experienced a poorer outcome than those without the $\epsilon 4$ allele following rehabilitation. This differential in recovery was noted in the total and motor Functional Independence Measures (FIM) scores but not in the cognitive FIM score. The lack of a difference noted in cognitive function may reflect the relative insensitivity of the cognitive portion of the FIM. In a 1 year follow up of 39 patients with TBI who completed a neuropsychological rehabilitation program,

the presence of the APOE $\epsilon 4$ allele was associated with poorer outcome [11]. Patients with the APOE $\epsilon 4$ allele showed deterioration in functioning compared to those without the APOE $\epsilon 4$ allele.

Several studies suggest that APOE may influence cognitive and/or behavioral function following TBI. Liberman et al. [12] noted that patients possessing the $\epsilon 4$ allele exhibited lower mean scores on 12 of 13 neuropsychological test measures compared to those without the $\epsilon 4$ allele following predominantly mild TBI. Two tests, the grooved pegboard and the paced auditory serial addition task, were statistically significant. Similarly, Sundstrom et al. [13] using within person comparisons also assessed cognitive function in a small cohort of individuals before and after mild TBI. In this study, patients with the $\epsilon 4$ allele exhibited significantly decreased performance on tests of divided attention, facial recognition and recall of actions. Crawford et al. [14] has presented evidence that APOE gene may influence memory function following TBI and observed that $\epsilon 4$ positive subjects had more impairments on memory testing than those patients that were $\epsilon 4$ negative. The $\epsilon 4$ allele had no effect on executive function. In contrast, in an analysis of 77 patients suffering from moderate to severe TBI, those with the $\epsilon 4$ allele in addition to demonstrating impaired learning and verbal memory, also exhibited impairments in frontal lobe functioning such as motor speed, fine motor coordination, visual scanning, attention, executive function and mental flexibility [16]. Koponen et al. [15] reported that the $\epsilon 4$ allele was more commonly associated with dementia and not psychiatric disorders following TBI. Individuals with the $\epsilon 4$ allele experienced a statistically significantly higher frequency of definite and subclinical dementia when assessed on an average 31 years following moderate and severe TBI. Carriers of the $\epsilon 4$ allele have also been observed to exhibit more behavioral disturbances than those without the $\epsilon 4$ allele [16].

APOE genotype may also influence neurocognitive outcome following sports related TBI. In an APOE genotype analysis of 30 active and retired boxers, high exposure boxers (i.e. those with greater than 12 professional bouts) who possessed the $\epsilon 4$ allele exhibited more neurological impairment than those high exposure boxers without the $\epsilon 4$ [17]. In addition, all of the boxers with severe CTBI possessed an APOE $\epsilon 4$ allele. The $\epsilon 4$ allele had no effect on neurological function in low exposure boxers. In agreement with the boxing study, older active professional football players possessing the APOE $\epsilon 4$ allele scored lower on cognitive tests than did older active players without the allele or younger active players of any genotype

[18]. Football players with the $\epsilon 4$ allele performed poorer in the areas of memory, attention, and reaction time. Both studies, although based on relatively small sample sizes, suggest that there may be an interaction between exposure to TBI and APOE genotype on neurological outcome in contact/collision sports.

Autopsy studies have provided information regarding the neuropathological features associated with the inheritance of the $\epsilon 4$ allele. Individuals possessing the $\epsilon 4$ allele exhibit more amyloid deposition in the brain following fatal TBI [19], are 8.75 times more likely to develop cerebral amyloid angiopathy [20], and experience more severe contusional injury [21]. There was also a trend towards more severe hypoxic injury among carriers of the $\epsilon 4$ allele following TBI but this did not reach statistical significance [21].

Although most studies indicate that the APOE genotype may influence outcome following TBI, there have been some negative studies failing to confirm this association. In a large prospective study involving 1094 subjects, ranging in age from 0 to 93 years, no association between APOE genotype and overall outcome was identified at 6 months following TBI [22]. In this investigation outcome was dichotomized as favorable and unfavorable using the GOS. Thirty-six percent of patients with the APOE $\epsilon 4$ allele had an unfavorable outcome compared with 33% of those individuals without the APOE $\epsilon 4$ allele. However, despite the negative findings among all ages, an interaction between age and APOE genotype on outcome was observed [22]. Younger TBI patients (15 years of age or less) possessing the APOE $\epsilon 4$ allele had an increased risk of an unfavorable outcome compared to younger individuals without the $\epsilon 4$ allele. Seventeen percent of younger patients with the allele had an unfavorable outcome compared to 6% of younger patients without the $\epsilon 4$ allele (odds ratio 3.06, 95% confidence ratio 1.22–7.65). No interaction between APOE genotype and injury severity on outcome was observed. Although the exact mechanism as to how APOE genotype might influence outcome in the young is unknown, a lack of an association between APOE genotype and posttraumatic brain diffuse brain swelling in young patients aged 2–19 years of age has been noted [23].

Other studies have also failed to demonstrate an association between overall outcome and APOE genotype. In a cohort of 110 African patients with traumatic cerebral contusions, 24% of 45 patients with the $\epsilon 4$ allele experienced an unfavorable outcome compared with 15.4% of 65 patients without the allele ($P = 0.34$) [24]. Of interest, the prevalence of the $\epsilon 2$ and $\epsilon 4$ alleles in this study cohort was

higher than that encountered in other populations [24]. Failure to document an association between APOE genotype and TBI outcome among black Africans may reflect a differential effect of the APOE gene according to race. The majority of studies documenting an effect of APOE genotype on TBI outcome have been performed in predominantly Caucasian and Caucasian derived populations [24]. It has been postulated that variable expression APOE $\epsilon 4$ and its effect on TBI outcome among black Africans and Caucasians may be related to either interpopulation differences in the sequence variation underlying the APOE isoforms; the presence of other modifier genes; and/or a gene-environment interaction [24].

In agreement with the abovementioned negative findings, a long term follow-up study of 396 patients assessed on average 18 years after a TBI, revealed a lack of an association between APOE genotype and neuropsychological function [25]. Similarly, Chamelian et al. [26] found no association between APOE genotype and cognitive and behavioral outcome 6 months following mild to moderate TBI. More recently, Isonemi et al. [27] observed that the $\epsilon 4$ allele was not associated with the development of hippocampal or ventricular atrophy following TBI and concluded that if APOE $\epsilon 4$ is associated with a poorer outcome following TBI, then it is not associated with the development of brain atrophy. In support of this hypothesis, APOE $\epsilon 4$ did result in cell loss following TBI in a mouse model but instead was associated with amyloid deposition [28].

Evidence of an interaction between APOE genotype and TBI influencing the risk of subsequent AD has also been conflicting. Early case control studies have observed a synergistic [29] and additive [30] interaction between possession of the $\epsilon 4$ allele and history of prior TBI on the risk of developing AD. Mayeaux et al. [29] noted the risk of AD in individuals with both the $\epsilon 4$ allele and history of TBI to be 10 times that of individuals lacking the $\epsilon 4$ allele without a history of TBI. Katzman et al. [30] reported the risk of AD was 13.5 times higher in those cases who possessed the $\epsilon 4$ allele and experienced previous TBI compared to those without those risk factors. In another case control study, history of TBI and possession of the APOE $\epsilon 4$ allele were independent risk factors for AD without any evidence of interaction or confounding [31]. A large prospective investigation of 6645 participants aged 55 years and older were free of dementia at baseline failed to demonstrate mild head trauma as a risk factor for AD and did not observe an interaction between TBI and

APOE genotype [32]. In another prospective study of World War II veterans, Plassman et al. [33] noted that moderate and severe but not mild TBI was associated with increased risk of AD and also observed a nonsignificant trend towards a stronger association between AD and TBI in men with more $\epsilon 4$ alleles. Although Guo et al. [34] noted a higher risk of AD among those with both TBI and the APOE $\epsilon 4$ allele, TBI increased the risk of AD more among those without the $\epsilon 4$ allele. Similarly, a retrospective autopsy study of 55 consecutive patients with residual closed TBI lesions, noted that severe TBI was associated with a higher risk of AD in those lacking the $\epsilon 4$ allele [35].

The variable results of the abovementioned studies assessing the influence of APOE genotype on outcome following TBI may be related to variations in study design and methodological limitations of genetic association studies. Potential selection biases can influence the homogeneity of the cases and relatively small sample sizes can lack the statistical power to identify true associations. In retrospective case control studies, the recall of a previous TBI (especially mild) may, either, be inaccurate or selective. In addition, retrospective documentation of the severity of the TBI can be difficult and is potentially influenced by the operational definition of TBI. Another confounding variable is the potential for selective mortality among $\epsilon 4$ patients that experience TBI compared to those without the $\epsilon 4$ allele. Accordingly, individuals who possess the $\epsilon 4$ allele and survive a TBI may not be a representative sample and their outcome may be influenced by other genetic and/or environmental factors. Since subjects may not be representative of well defined homogenous populations and are often referred heterogeneous cohorts to a particular health care facility, potentially there is considerable variation in the population substructure between studies which can influence the replication of results. Another important methodological consideration is the outcome measures employed by various studies. Some studies may assess neuropsychological function while others may utilize radiological measures (e.g. cerebral atrophy, size of intracranial hematomas), clinical features (e.g. post-traumatic seizures, duration of coma), or pathological findings (e.g. amyloid deposition).

Despite the methodological limitations of genetic association studies, there is a general consensus that APOE genotype can influence TBI outcome. Although the mechanism by which APOE influences the outcome following TBI is unknown there are several established and putative neurobiological functions of

APOE that may affect neurological homeostasis (Table 1). These functions are also directly or indirectly, related to the pathophysiology of AD. These include amyloid deposition, neurofibrillary tangle (NFT) formation, cholinergic transmission disruption, oxidative stress, and CNS regeneration and repair injury.

One mechanism of action is that APOE $\epsilon 4$ may be associated with increased amyloid deposition following TBI. APOE acts to promote and/or modulate $A\beta$ fibril formation [36] and the absence of APOE dramatically reduces $A\beta$ deposition [37] and limits neuritic degeneration associated with $A\beta$ deposition [38]. In post-mortem late-onset AD brains, a strong association between the presence of the $\epsilon 4$ allele and increased $A\beta$ deposits compared to patients homozygous for APOE $\epsilon 3$ has been noted [39]. Furthermore, isoform specific differences in APOE binding or oxidation of $A\beta$ peptide have also been observed [40]. It appears that APOE $\epsilon 3$ is much more effective in complexing with $A\beta$ peptide than APOE $\epsilon 4$. Clinically, the presence of APOE $\epsilon 4$ allele promotes amyloid deposition in individuals experiencing TBI [19].

APOE genotype may play a role in neuronal cytoskeletal stability and metabolism and influence NFT formation. Transgenic mice that over-express human APOE $\epsilon 4$ exhibited increased hyperphosphorylation of microtubule-associated protein tau in the brain that correlated with the expression of APOE $\epsilon 4$ [41]. In vitro studies indicate that there are isoform-specific interactions of APOE with microtubule-associated protein tau that may regulate intraneuronal tau metabolism and the formation of paired helical filaments and NFT. APOE $\epsilon 3$ binds more avidly to tau forming a biomolecular complex than does APOE $\epsilon 4$ [42], therefore suggesting that APOE $\epsilon 4$ is more likely to be associated with NFT formation.

APOE may also exert an influence on cholinergic integrity and function. Gordon et al. [43] noted that APOE deficient mice exhibited markedly lower brain choline acetyltransferase activity in the hippocampus and frontal cortex. It has also been suggested that

Table 1 Postulated and established neurobiological functions of APOE

Amyloid deposition
Neurofibrillary tangle formation
Cholinergic transmission
Antioxidant activity and mitochondrial damage
Neuronal repair and cholesterol transport/metabolism
Synaptic plasticity and memory function
Neuroprotection

APOE $\epsilon 4$ allele has a direct impact on cholinergic function in AD [44]. Alzheimer patients who possess the $\epsilon 4$ allele exhibited a more severe cholinergic deficit than AD patients without the $\epsilon 4$ allele [45].

Anti-oxidant activity of APOE may play a role in mediating the neuronal maintenance and repair following TBI [46]. APOE $\epsilon 4$ gene increases the susceptibility of CA1 neurons to trauma and oxidative stress through excitotoxic mechanisms [47]. Postmortem examination of AD brains assessing differing antioxidant activity of APOE isoforms indicates that $\epsilon 4$ has the lowest antioxidant activity and $\epsilon 2$ has the highest antioxidant activity [48]. In AD, it has been suggested that mitochondrial/oxidative damage may be more important for cognitive function in patients that carry the $\epsilon 4$ allele [49]. A more recent theory hypothesizes that APOE exerts an influence on mitochondrial metabolic activity [50]. It has been noted that there is a differential effect of APOE on mitochondrial metabolic dysfunction [49].

Chen et al. [51] reported that APOE deficient mice exhibited an impaired ability to recover from TBI and postulated that APOE may play an important role in neuronal repair. Although the mechanism has not been elucidated, one may speculate that lipid transport may play a role. In the hippocampus of AD patients, APOE mRNA levels are elevated and localize to astrocytes presumed to be involved with lipid uptake where neurons are degenerating or where synaptic modeling is taking place [52]. A differential effect of APOE $\epsilon 3$ and $\epsilon 4$ on neuronal growth has been observed in dorsal root ganglion neuron cultures. APOE $\epsilon 3$ increased neurite outgrowth, whereas APOE $\epsilon 4$ decreased neuronal outgrowth [53]. In response to CNS injury, dysfunctional regulation of phospholipid and cholesterol transport by APOE $\epsilon 4$ during compensatory sprouting and synaptic remodeling may occur [44]. In addition, APOE has also been reported to affect hippocampal plasticity isoform specifically and influence environmental stimulation of synaptogenesis and memory [54].

Another possible mechanism on how APOE genotype may influence outcome following TBI is via neuroprotection. In transgenic mice expressing human APOE, APOE $\epsilon 3$ may be more neuroprotective than $\epsilon 4$ following TBI [55]. In response to TBI, apoE is upregulated [56] and partially protects primary neuronal-glia cultures against glutamate excitotoxicity [57]. A novel 17 amino acid apoE-mimetic peptide derived from apoE residues 133–149 (the receptor binding region) may protect against CNS injury following perinatal hypoxic-ischemic injury [58] and TBI [59] in the rodent. Furthermore, this novel peptide has

enhanced bioactivity compared to the intact holoprotein and protects against glutamate excitotoxicity [60].

APOE promoter gene

APOE is also polymorphic in the transcriptional regulatory region, which can influence the expression of APOE [61]. There is a G/T allelic polymorphism at site –219 and an A/T allelic polymorphism at site –491 of the APOE promoter gene. A single T to G base substitution at nucleotide –219 resulted in a 169% increase in APOE promoter activity, whereas an A to T substitution at –491 site resulted in a 63% decrease in promoter activity [61]. These allelic variations in the promoter region have been postulated to influence the susceptibility to AD independent of the risk associated with APOE $\epsilon 4$, by influencing the expression of apoE levels [62]. The TT genotype of G-219T and the AA genotype of A-491T have been found to increase the risk of AD [62] and appear to affect the amount of A β deposition in the brains of patients with AD [63, 64]. Lendon et al. [65] assessed the genetic variation of the APOE promoter and 6 month outcome following TBI and noted that poorer recovery was more common among carriers of the TT polymorphism of G-219T compared to the GG and GT genotypes. No association was found with A-491T promoter polymorphisms and outcome [65].

COMT Gene

The catechol-o-methyltransferase (COMT) gene, which encodes for the enzyme that inactivates dopamine (DA) and norepinephrine (NE) exists in three isoforms: (COMT Val/Val, COMT Val/Met, and COMT Met/Met). These three functional polymorphisms differentially affect DA levels [66], which have an effect on DA associated cognitive functions [67–69]. Lipsky et al. [70] reported an association between COMT Val158Met genotype and executive functioning following TBI. Patients exhibiting the high enzyme activity polymorphism (Val/Val), and presumably lower cortical DA levels, performed worse on the Wisconsin Card Sorting Test (WCST) compared to patients with the low activity polymorphism (Met/Met) and presumably higher cortical DA levels. Similarly, Flashman et al. [71] in a study of 39 TBI patients and 27 healthy controls reported a significant effect of the Val allele being associated with poorer performance on

a Continuous Performance Test (CPT) of frontal lobe function.

Dopamine D2 receptor gene

Another functionally polymorphic gene that may modulate DA function and therefore influence cognitive function is the dopamine D2 receptor gene (DRD2). One polymorphism of the DRD2 gene is a C/T single nucleotide polymorphism (SNP) at the Taq1 site. This particular polymorphism results in three isoforms (C/C, C/T, and T/T). The T allele (also known as the Taq1 A allele) is in linkage disequilibrium with a functional allelic variant that is associated with a 40% reduction in the expression of D2 receptors in the caudate of humans [72]. Although it has been speculated that the T allele is a risk factor for AD, this association has not been substantiated [73].

McAllister et al. [74] assessed the memory and attention function of 39 patients with mild TBI and 27 controls according to the presence of the T allele. Both subjects and controls who possessed the T allele performed worse on the California Verbal Learning Test (CVLT) compared to those without the T allele. Although there were no differences between the T allele positive and T allele negative groups on the CPT, individuals with the T allele and TBI exhibited slower response latencies on the CPT measures of reaction time, vigilance and distractibility compared to controls with the T allele. There were no significant differences between controls with the T allele and TBI patients without the T allele and controls without the T allele. Although these investigators noted an interaction between patients with TBI and the T allele, more compelling evidence of the T allele influencing outcome following TBI would be a significant performance difference in TBI patients compared to TBI patients without the T allele. Further study is necessary to determine the effects of the T allele on cognitive function following TBI.

Interleukin genes

At the onset of TBI, an inflammatory cascade is initiated that is in part mediated by the interleukin-1 (IL-1) system. The IL-1 family consists of three peptides (IL-1 α , IL-1 β , and the IL-1 receptor antagonist). Cytokines IL-1 α and IL-1 β are proinflammatory and are encoded, respectively, by the IL-1A and IL-1B genes [75]. The IL-1 receptor antagonist (IL-1RA), which represents a naturally occurring inhibitor of

IL-1 α and IL-1 β , is encoded by the IL1RN gene [75]. A common polymorphism in the 5' regulatory region of the IL-1A gene, is a C to T transition at position -889 relative to the start site of transcription, which results in 2 alleles, the IL-1A (-889) allele 1 (IL-1A*1) and the IL-1A (-889) allele 2 (IL-1A*2) [76]. Several studies have reported an association between the IL-1A* 2 polymorphisms and risk of AD [77–80]. The IL-1B gene is also polymorphic. One polymorphism of the IL-1B gene is in exon 5 at position +3953 (IL-1B + 3935). This polymorphism is bi-allelic, (IL-1B allele 1 and IL-1B allele 2) and results from an introduction on a Taq1 restriction site [72] Homozygosity for the allele 2 is associated with a fourfold increase in IL-1 β production compared to the allele 1 homozygosity [81] Another polymorphism of the IL-1B gene is in the promoter region at position -511 (IL-1B-511) also resulting in IL-1B-511 allele 1 and IL-1B-511 allele 2 [82]. The IL-1RA gene has a penta-allelic polymorphic site in intron 2 containing variable number tandem repeats (VNTR) with the IL-1RA allele 2 being strongly associated with increased IL-1 β secretion in vivo [83]. Associations between the IL-1B + 3935, IL-1B-511 and IL-1RA polymorphisms and the risk of Alzheimer's disease have also been reported [78, 84].

A few studies have investigated the role of IL-1 polymorphisms and TBI outcome. Uzan et al. [85] observed an association between IL-1B gene polymorphism and outcome following TBI. In this investigation it was noted that 14 of 25 (56%) of patients with the IL-1B + 3935 allele 2 had an unfavorable outcome compared with eight of 44 (18.1%) without the IL-1B + 3935 allele 2. In addition, 20 out of 28 (71.4%) patients with the IL-1B-511 allele 2 had an unfavorable outcome compared with only 2% (2 of 41) patients without the IL-1B-511 allele 2 ($P = 0.005$). No association between the IL-1A gene (-889) and outcome following TBI has been observed [86]. Hadjigeorgiou et al. [87] assessed IL-1RN and IL-1B gene polymorphisms and cerebral hemorrhage following TBI. Carriers of the IL-1RN*2 were more likely to have hemorrhagic events after TBI (adjusted OR = 4.59) There was also a trend towards a lower GCS on admission among the carriers of IL-1RN*2. There were no associations between IL-1B polymorphisms and posttraumatic cerebral hemorrhagic events.

Interleukin-6 (IL-6) represents another cytokine that has been implicated in the pathophysiology of TBI. Winter et al. [88] have noted that raised levels of parenchymal IL-6 correlated with improve outcome following TBI and postulated that IL-6 is an endogenous neuroprotective cytokine that is produced in

response to severe TBI. Although IL-6 concentrations are elevated in patients with brain injury, no association between IL-6 polymorphism and outcome has been observed in a mixed cohort of 62 patients with acute brain injury that included TBI and various intracranial hemorrhages [89]. However, failure to find an association could be related to the heterogeneous population that was utilized.

p 53 gene

Apoptosis has become well recognized to occur during the pathophysiological cascade following TBI [90]. The p53 gene which has been implicated as a regulator of apoptosis [91] exhibits a common polymorphism that results in either proline or arginine at amino acid 72 [92]. This functional polymorphism causes a variable induction of apoptosis with the Arg/Arg genotype more effectively performing this task [93]. Martinez et al. [94] assessed the outcome of patients with severe TBI according to the Arg72Pro polymorphism. It was noted that among patients with a poor outcome following TBI, the frequency of Arg/Arg genotype was higher (69%) compared to only 31% of patients exhibiting the Arg/Pro and Pro/Pro genotypes and that the Arg/Arg was associated with a 2.9 fold risk of experiencing a bad outcome at the time of discharge from the intensive care unit. Therefore, it has been concluded that p53 polymorphism may influence the outcome following TBI.

Angiotensin converting enzyme (ACE)

Angiotensin converting enzyme (ACE) is a component of the rennin angiotensin system, which regulates vascular homeostasis. ACE converts angiotensin I to angiotensin II, a potent vasopressor [95]. The ACE gene, which resides on chromosome 17q23 exhibits an insertion/deletion (I/D) polymorphism in intron 16 resulting in three genotypes (II, ID and DD) that influence the levels of ACE [96] with circulating levels of plasma ACE being higher among DD individuals [97]. It has been suggested that I allele may be associated with an increased risk of AD [98, 99], whereas the D allele has been associated with cognitive decline in the population [100–102] and dementia in the elderly above the age of 74 years [103].

Ariza et al. [104] assessed the influence of ACE I/D polymorphism on cognitive performance following moderate and severe TBI. These investigators observed differences in the Grooved Pegboard and part

A of the Trail Making tests. Individuals that possessed the D allele performed worse on these tests of attention and processing speed than those harboring the I allele. The exact mechanism as to how ACE can influence TBI outcome is unknown. It has been postulated that it might involve cerebrovascular factors such as disturbances in cerebral blood flow and/or autoregulation of blood pressure influencing vasospasm and ischemic brain injury [104]. Another potential influence of ACE on TBI outcome may related to its ability to inhibit A β aggregation and the associated cytotoxicity [105].

CACNA1A gene

Indirect evidence has linked missense mutations in the CACNA1A calcium channel subunit gene to delayed cerebral edema and fatal coma following mild TBI [106]. Three patients that experienced delayed cerebral edema and coma following mild TBI exhibited a mutation in the CACNA1A gene characterized by a C to T substitution resulting in the substitution of serine for lysine at codon 218 (S218L). The possible influence of mutations of the CACNA1A gene is only speculative and to this date, larger clinical studies assessing outcome associated with genetic variations of the CACNA1A gene have not been conducted.

Concluding remarks

Several genes may influence outcome following TBI by a variety of potential mechanisms. The APOE and APOE promoter genes may influence outcome by influencing the brain's ability to repair and/or protect against injury and may involve mechanisms similar to those involved in the pathophysiology of AD. The COMT and DRD2 genes may modulate dopamine dependent cognitive processes such as executive/frontal lobe functions that are invariably affected by TBI. The interleukin genes in part mediate inflammation, which is a prominent component in the pathophysiological cascade initiated by TBI. Apoptosis that occurs as a consequence of TBI may be modulated by polymorphisms of the p53 gene. The ACE gene may affect TBI outcome via mechanisms of cerebral blood flow and/or autoregulation and the CACNA1A gene may exert an influence via the calcium channel and its effect on delayed cerebral edema.

Of interest, several genes that may influence outcome following TBI also have been implicated as possible susceptibility genes for risk of AD. These

include APOE [1–3], APOE promoter [62], DRD2 [73], IL-1 [77–80, 84] and ACE [98, 99] genes. Whether this overlap reflects common pathophysiological mechanisms that underlie both TBI and AD remains to be determined. Both TBI and AD share many common pathophysiological features [107, 108]. Although a full discussion of these similarities is beyond the scope of this review, an understanding the common mechanisms will advance our knowledge of the neuropathophysiology of TBI and AD in hopes of achieving more effective treatment and protection.

There are several inherent complexities in the investigation of genetic influences on outcome following TBI. Firstly, TBI is a heterogeneous disorder characterized by variable clinical (e.g. mild vs. severe) and pathological (e.g. contusions vs. diffuse axonal injury) presentations. Secondly, TBI represents an extremely complex pathophysiological cascade of events that includes inflammation, oxidative stress, amyloid deposition, cholinergic dysfunction, NFT formation, apoptosis, calcium dysregulation, and excitotoxicity. In addition there are host of diverse clinical (e.g. cognitive, behavioral, neurological, and social) outcomes following TBI that can be influenced by other genetic and/or environmental factors. Furthermore, there are several methodological considerations associated genetic association studies [109] that limit the ability to replicate findings among investigations [110]. These include confounding from population substructure, small sample sizes, misclassification of outcome, selection biases and allelic heterogeneity. Accordingly, any attempt to link a gene with a specific pathophysiological occurrence that exerts influence on a specific disease or clinical outcome can be problematic.

In conclusion, many genes are associated with the pathophysiology and outcome following TBI. Microarray analysis may identify genes that are upregulated and downregulated during the neurobiological cascade induced by TBI [111]. Future studies identifying potential genes that may modulate recovery or provide neuroprotection from TBI will need to assess outcome variables specific to clinicopathological domains representative of neurobiological properties and functions of the speculated genes.

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