Deep CNN for Brain Tumor Classifcation

Wadhah Ayadi¹ · Wajdi Elhamzi2,3 · Imen Charf3 · Mohamed Atri4

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Abstract

Brain tumor represents one of the most fatal cancers around the world. It is common cancer in adults and children. It has the lowest survival rate and various types depending on their location, texture, and shape. The wrong classifcation of the tumor brain will lead to bad consequences. Consequently, identifying the correct type and grade of tumor in the early stages has an important role to choose a precise treatment plan. Examining the magnetic resonance imaging (MRI) images of the patient's brain represents an efective technique to distinguish brain tumors. Due to the big amounts of data and the various brain tumor types, the manual technique becomes time-consuming and can lead to human errors. Therefore, an automated computer assisted diagnosis (CAD) system is required. The recent evolution in image classifcation techniques has shown great progress especially the deep convolution neural networks (CNNs) which have succeeded in this area. In this regard, we exploited CNN for the problem of brain tumor classifcation. We suggested a new model, which contains various layers in the aim to classify MRI brain tumor. The proposed model is experimentally evaluated on three datasets. Experimental results affirm that the suggested approach provides a convincing performance compared to existing methods.

Keywords Deep learning · MRI · Brain tumor · Classifcation · CNN

1 Introduction

The brain tumor is considered as the most common brain diseases. It is an uncontrolled and unnatural growth of brain cells [[1\]](#page-26-0). It represents one of the most lethal cancers and life-threatening. According to cancer statistics in the USA, it is about 23,000 patients was diagnosed with a brain tumor in 2015. After 2 years, based on statistics, this sort of tumor is considered as the leading cause of cancer mortality around the world both in children

 \boxtimes Wajdi Elhamzi Wajdi.Elhamzi@essths.rnu.tn

¹ Laboratory of Electronics and Microelectronics, University of Monastir, Monastir, Tunisia

² College of Computer Engineering and Sciences, Prince Sattam Bin Abdulaziz University, Al-Kharj, Saudi Arabia

³ Higher School of Sciences and Technology of Hammam Sousse, University of Sousse, Sousse, Tunisia

⁴ College of Computer Science, King Khalid University, Abha, Saudi Arabia

and in adults [[2\]](#page-26-1). In the aim to detect the tumor, the radiologist widely exploits medical imaging techniques [\[3](#page-26-2)]. Among the various available techniques, MRI is more favorited for brain tumors according to its harmless nature. In daily routine, the radiologist identifes the brain tumors manually. The tumor classifcation process needs an extremely time consuming and it is based on the skills and experience of the radiologist. With the increase of patient number, the amount of data to be daily analyzed is large which make the readings based on visual interpretation expensive and inaccurate. Furthermore, the classifcation of brain tumors to various pathological types is more challenging compared to binary classifcation. The related challenges are attributed to some factors such as the high variations with respect to shape, size, and intensity for the same tumor type [\[4\]](#page-26-3) also the similar appearances for varied pathological types [\[5\]](#page-26-4). A wrong diagnosis of a brain tumor can lead to a serious problem and decrease the chance of survival for the patient. In order to surpass the manual diagnosis drawbacks, there is a surge of interest in designing automated image processing systems [\[6](#page-26-5)–[8\]](#page-27-0). Many researchers have suggested several techniques to ameliorate the CAD system that can classify some tumors in brain MRI images. Traditional machine learning methods used in the classifcation process are usually based on diferent steps such as preprocessing, dimension reduction, feature extraction, feature selection, and classifcation. The feature extraction represents the crucial phase in an efective CAD system [[9](#page-27-1)]. It is a challenging task and requires prior knowledge about the problem domain since the classifcation accuracy based on the good features extracted. The traditional techniques for feature extraction can be sorted into three types: Spatial domain features, Wavelet and frequency features and Contextual and Hybrid features. The new CAD methods yield an improved performance due to the uses of deep learning (DL).

DL represents a subset of machine learning which does not require handcrafted features [[10](#page-27-2), [11](#page-27-3)]. It has been successfully proven to minimize the gap between human vision and computer vision in pattern recognition and can provide higher performance than traditional techniques [[12](#page-27-4)]. It surpassed state-of-the-art schemes in several felds as generating text [[13](#page-27-5)], face verifcation [\[14\]](#page-27-6), image description [[15](#page-27-7)], the game of Go [\[16\]](#page-27-8), and grand challenges [\[17\]](#page-27-9). The higher performance in several felds encouraged the exploitation of DL in the medical image for classifcation, detection, and segmentation [[18](#page-27-10)–[24](#page-27-11)]. According to [[25](#page-27-12)] and only in 2016, there are about 220 works based on deep learning at medical images are reported and this number will increase in the next years. Around 190 of them used CNN. DL permits the exploitation of a pre-trained CNN model for medical images especially for brain tumor classifcation, which was developed for other applications as AlexNet [[26](#page-27-13)], GoogLeNet [[4](#page-26-3)], ResNet-34 [\[5\]](#page-26-4).

CNNs have gained higher performance on huge, labeled datasets as ImageNet [[17](#page-27-9)] that contains more than one million images. However, it is hard to exploit such deep CNNs in the medical feld. First, the size of the medical datasets is generally small because such datasets need the availability of expert radiologists to manually examine and label the images, which is time-consuming, laborious, and costly. Second, training deep CNN is a complicated task for a small dataset because of over-ftting and convergence problems. Third, domain expertise is needed to repeatedly revise the model and adjust the learning parameters to provide better performance. Therefore, training deep CNN from scratch represents a challenging task that is tedious and demands much diligence and patience.

A new model for brain tumor classifcation based on CNN is discussed in this paper. It contains various layers as convolution, Rectifed Linear Unit (ReLu), and a pooling. Our new approach does not involve any segmentation in the pre-processing step, in contrast to some previous methods, which require prior segmentation of tumors. We validated our algorithm on three public datasets.

Our contribution in this work comprises of the following key points:

- A novel and robust model is presented for automated classifcation of brain tumors, which is effective in the extraction of important features on the MRI dataset.
- The model suggested exploiting 3×3 kernels for all convolutional layers with a small stride in the aim to learn the small texture of tumors in brain images, unlike other models, which use 11×11 or 9×9 as kernels size with higher strides.
- The novel model achieves good accuracy in brain tumor classifcation with few preprocessing compared to other techniques, which need a tumor segmentation before the classifcation step.
- Our model provides an acceptable classifcation accuracy compared to new methods, despite the few training data samples.

This paper is structured into eight sections. Some previous works are briefy given in Sect. [2](#page-2-0). A background is outlined in Sect. [3.](#page-5-0) The proposed model is discussed in Sect. [4](#page-6-0). The performance evaluation is outlined in Sect. [5.](#page-8-0) Section [6](#page-9-0) is provided to describes the dataset exploited. Section [7](#page-13-0) summarizes the results. Section [8](#page-25-0) discussion and Sect. [9](#page-26-6) concludes.

2 Related Work

Diferent methods had been suggested in the past years for classifcation and segmentation. These techniques used traditional machine learning [\[27](#page-27-14)[–29\]](#page-27-15) and recently exploited deep learning models [[30](#page-27-16)[–44\]](#page-28-0). We have looked into this section of the works exploited for brain tumor classifcation.

A new technique for MRI brain tumor classifcation which is proposed by Hemanth et al. [[45](#page-28-1)] used a modifed Neural Network. 540 MR brain images were exploited to test the suggested method. The dataset consists of four tumors class which are namely astrocytoma, meningioma, metastase, and glioma. The used images are of 256×256 size. Normalization is performed as a preprocessing step. Eight features are acquired based on the frst-order histogram and GLCM. The suggested method provides promising results that can reach 95% as sensitivity, 98% as specifcity, and 98% as accuracy.

Other work is proposed by Lin et al. [\[46\]](#page-28-2) to classify meningioma tumor in a diferent grade. Grade-I contains the non-cancerous, which are slow-growing tumors. Grade-II contains cancerous and noncancerous tumors. The grade-III contains cancerous tumors, which can grow quickly. Diferent features are exploited as contextual and radiological features. No segmentation or preprocessing process is performed. In the classifcation step, the authors used multiple logistic regression. The proposed scheme is tested using 120 patients MRI images, were 90 with Grade I and 30 with Grade II or III. They exploited several sequences as FLAIR T1 and T2. DWI transformation is utilized to extract features. The results are acceptable with the exploited dataset. However, this kind of method requires many large datasets to ensure its validity.

Other work aimed to classify multi-grade brain tumors is presented in [\[47\]](#page-28-3). The proposed method is based on a pre-trained CNN model and segmented images. The model is tested based on three datasets. Various techniques for data augmentation are exploited in order to enhance accuracy. The technique is experimentally evaluated on the original and the augmented dataset. The provided results are convincing compared to previous works.

In order to help the radiologists in MRI classifcation, Sachdeva et al. [\[48\]](#page-28-4) have suggested a semi-automatic classifcation scheme that contains varied steps. To detect tumor areas, a content based active contour system that allows the radiologist to manually indicate the region of interest (ROI), which is saved as a segmented ROI (SROI) is applied in the frst step. Then, 71 texture and intensity features are extracted using the SROI. Optimal feature selection is performed with the application of the Genetic Algorithm (GA). The last phase classifes the chosen features using two classifer SVM and ANN. The suggested scheme is tested on diferent datasets. The frst dataset contains 428 MR images and the second includes 260. The frst set of images contains six tumors categories as Glioblastoma Multiforme (GBM), Meningioma (MEN), Astrocytoma (AS), childhood tumor-Medulloblastoma (MED), and secondary tumor-Metastatic (MET). The second dataset contains only three tumor categories, which are AS, MEN, and Low-Grade Glioma. The suggested GA-SVM aims to fnd a preliminary probability for the tumor category, while GA-ANN aims to confrm the accuracy. The performance calculated on the frst group of images shows that GA based approach enhanced SVM accuracy to 91.7% while ANN accuracy to 94.9%. SVM accuracy has raised to 89% and 94.1% for ANN in the second group of images. The results demonstrate that the classifer GA-ANN ofered the highest results compared to GA-SVM. Besides, the GA-SVM yields the speed while GA-ANN yields the accuracy. According to results, the suggested scheme has acceptable performance and can assist radiologists in taking a better decision to classify brain tumors.

Cheng et al. $[27]$ $[27]$ $[27]$ are the first authors exploited the famous dataset $[49]$ $[49]$ $[49]$. The suggested system takes advantage of the manually delineated tumor border for feature extraction. They utilized the augmented tumor region as a region of interest (ROI), which was spliced into subregions based on the adaptive spatial division method. The feature was extracted in three manners, which are the gray-level co-occurrence matrix (GLCM), intensity histogram, and the bag of words (BoW). SVM achieved the highest accuracy. The experiments followed a standard fve-fold cross-validation procedure. Accuracy, sensitivity, and specificity are the performance measures calculated. The highest accuracy is about 91.28%.

Ismael et al. [\[28\]](#page-27-17) used Gabor flter and discrete wavelet transform (DWT) in the aim to extract statistical features for the classifcation. This algorithm exploits the tumor segmented as input and the multi-layer perceptron (MLP) as a classifer. A random division of database images into 70% and 30% was done to form the training set and validation set, respectively. The accuracy achieved is about 91.9%.

Diferent preprocessing manners are investigated by Tahir et al. [\[29\]](#page-27-15) to ameliorate the classifcation result. They grouped these techniques into three groups: noise removal, edge detection, and contrast enhancement. The possible combinations are applied to diferent image sets. The authors afrm that the combination of various preprocessing techniques is more benefcial than applying a single technique. The SVM classifer was exploited and reported 86% as the highest accuracy on Figshare dataset.

According to the results, the available tumor detection systems are not providing satisfactory output. For this reason, there is a big need to get robust automated CAD systems. The conventional machine learning requires domain-specifc expertise and experience. It needs efforts for manual extraction of features, which can decrease the efficiency of the system. The deep learning-based techniques surpass these drawbacks due to automatic feature extraction, which are robust for classifcation purposes based on the convolutional layers.

In the aim to ameliorate the classifcation accuracy in this dataset, Paul et al. [[33](#page-27-18)] applied three diferent classifers: CNN, fully connected neural network, and random forest.

CNN provides the highest accuracy rate, which attained 90.26%. The proposed model contains various layers as convolutional, MaxPool, and fully connected.

In this regard, Afshar et al. [[34](#page-28-6)] propose a modifed CNN architecture called capsule network (CapsNet) for the brain tumor classifcation. The proposed CapsNet exploits the spatial relationship between the tumor and its surrounding tissues. 86.56% present the highest accuracy provided based on segmented tumors and 72.13% based on raw brain images.

Other work used the deep belief network (DBN) in the aim to discriminate between healthy controls and patients with schizophrenia presented by 83 and 143 patients respectively from Radiopaedia dataset [\[50](#page-28-7)]. The proposed DBN provides 73.6% as accuracy compared to SVM which provides 68.1%.

Zhou et al. [\[35\]](#page-28-8) proposed a holistic brain tumor classifcation technique. The features are extracted from the axial view using an auto-encoder and classifed based on the Long Short Term Memory (LSTM). The proposed technique is tested on selected slices (989, axial only) and it reported 92.13% as the best accuracy.

Similarly, Pashaei et al. [[36](#page-28-9)] developed a new architecture for the brain tumors classifcation. The proposed model contains fve layers to extract features. A kernel Extreme Learning Machines (KELM) is used to classify images based on these extracted features. The accuracy achieved is about 93.68%, which exceeds Support Vector Machine, Radial Base Function, and some other classifers.

Abiwinanda et al. [\[37\]](#page-28-10) investigated the application of CNN for this data set and designed seven various neural networks. The second model provided the highest performance, which contains two convolutional and one fully connected layers. This simple model and without any previous segmentation achieve 98.51% as training accuracy and 84.19% as test accuracy.

Another reported use of CNN on this dataset is by Ghassemi et al. [[38](#page-28-11)] where they proposed a new model for multi-class brain tumors classifcation. The model is pre-trained frstly as a discriminator in a generative adversarial network (GAN) in order to extract important features. Then, the last fully connected layer was replaced with a SoftMax classifer in the aim to diferentiate three tumors. The proposed model contains six layers. It was used with various data augmentation techniques. It achieved 93.01% and 95.6% as accuracy on introduced and random split respectively.

Recently, various new architectures have been suggested in the goal to generalize the CNN to the graph domain, especially in the medical image classifcation [\[51\]](#page-28-12).

Diferent authors choose the graph CNN (GCNN) as a solution for tumor classifcation [[51](#page-28-12)[–53\]](#page-28-13). In [\[52\]](#page-28-14), Song et al. exploit a GCNN model in order to classify Alzheimer's disease (AD) into four categories. The proposed network contained eleven layers: nine convolutional and two FC. The ReLU activation is exploited after each layer. A Softmax is employed as the fnal layer in order to compute the class probabilities. The proposed scheme is tested based on the Alzheimer's Disease Neuroimaging Initiative (ADNI) dataset. The original dataset contains 12 images per class. Due to the few data volume, various data augmentation is applied. The dataset is increased from 12 to 132 images per class. In the aim to generate a robust assessment, the author exploits a 10-fold CV. The average accuracy provided is about 65% for the SVM classifer and 89% based on GCNN.

Another work for AD classifcation is proposed by Guo et al. [[53](#page-28-13)]. The author exploits GCNN in the goal to classify AD into 2 and 3 classes. The proposed model is tested based on the ADNI dataset. For the 2 class classifcation, the proposed GCNN attain 93% compared to the known ResNet architecture and SVM classifer where they reached 95% and 69% respectively. In the classifcation of the 3 classes scenario, the proposed GCNN attain 77%, and 65% and 57% for ResNet and SVM respectively.

A modifed GCNN architecture is used in [[51](#page-28-12)] for the early detection of AD. 160 images of patients from the ADNI dataset are used to test the suggested scheme. 5-fold CV is exploited to calculate the performance of the suggested model. The accuracy results surpass 90%.

Despite the various proposed schemes for the classifcation of brain tumors, these techniques sufer from several limitations that can be summarized as follows. The accuracy provided by the state-of-the-art schemes is inadequate considering the importance of MRI classifcation in the medical area. Several methods used the manually delineated tumor regions for the classifcation that prevented them from being fully automated. The algorithms utilized CNN and its variants could not provide an infuential improvement in performance. Hence, performance evaluation based on various metrics other than accuracy becomes signifcant. Besides, the CNN models provide generally poor performance with small data sets, which is the case for the medical image database.

A new scheme is suggested to exceed these drawbacks. The suggested system provides the highest classifcation performance, compared to previous works, using three open datasets. Despite the use of a smaller number of training samples, the proposed method provides acceptable results.

3 Background

In the last years, DL has shown promising performance in several domains. DL models have the ability to learn automatically multiple levels of information from a large set of data. They have a huge advantage compared to traditional machine learning that needs a lot of efort for tuning the features and expert knowledge. Several architectures are proposed for DL. CNN represents the most exploited in image processing feld due to its ability to recognize patterns in images [[54](#page-28-15)].

CNN model can contain several types of layers. The frequently used are convolutional, pooling, and fully connected layers. The convolutional layer represents the main layer in a CNN scheme. It is used for feature extraction as edges and colors of the image. The pooling layer is exploited to decrease the dimensionality of extracted features, which leads to minimizing the complexity and the computational time. The fully connected layer represents the last step in CNN model, which aims to achieve linearity in the networks.

An optimizer algorithm is exploited by each CNN model in the training phase with the aim to update the weights. The model utilizes the classifcation loss as input and back propagate the error into the network to update the flters and the weights. At the fnal step, a SoftMax activation function is exploited in order to normalize the output sum. According to literature, a deeper CNN model can solve more complex tasks and improve accuracy.

In the medical image area, especially for brain tumor classifcation, several works have been suggested. In the last years, researchers have proposed multi-class brain tumor classification $[33–38]$ $[33–38]$ since the binary classification $[55]$ is insufficient for the doctor to choose the suitable treatment for the patient.

Despite the diferent techniques proposed in the literature, the brain tumor classifcation method still has such limitations that need to be considered. The binary classifcation leaves various ambiguities for the doctors and it is not enough to decide the good treatment. For a clear understanding of the doctor, the multi-classifcation is needed. Furthermore, the several datasets used, represent an obstacle for researchers to achieve precise comparison.

Fig. 1 The suggested approach

To overcome these limitations, we suggested a new deep CNN scheme for multi-class brain tumor classifcation using three publicly datasets.

A new scheme was proposed in the aim to generate an accurate classifcation system. The suggested approach is illustrated in Fig. [1](#page-6-1). Our technique comprises various steps. The input images are obtained from the dataset. Normalization and contrast enhancer are exploited to ameliorate image quality. A new CNN scheme is suggested to extract the important feature. The test image is classifed in one of input classes based on Softmax activation function in the last step.

4 Proposed Model

Recently, CNN is widely exploited in all types of medical image processing applications particularly in MRI brain tumor classifcation and segmentation. In this work, a new CNN model is suggested for brain tumor multi-class classifcation.

The general architecture of the proposed sequential model is outlined in Fig. [2](#page-6-2) and details are described in Table [1](#page-7-0). It is consisted of several layers each having its own functionality. Image with 256×256 size represents the input model. Ten convolutional layers are exploited to extract the important feature. Max-pooling layer after every two convolutional layers is employed to reduce the data size. Each convolutional layer use 3×3 filters while 2×2 are applied in pooling layers. A non-linearity layer is added to ameliorate the ftting ability of CNN. Furthermore, a batch Normalization is used after

Fig. 2 The proposed architecture

each convolution layer to get the best-optimized results and speed up the network convergence. Fully connected layers with 64 neurons is employed. The output layer exploits softmax classifer. We will briefy introduce these layers in this section.

4.1 Convolution Layer

This layer represents the most important part and the core component in the CNN model, which is also the origin of the name "Convolution Neural Network". It is exploited as the frst layer, in the aim to extract diferent features from input data. The frst convolutional layer aims to extract the low-level features, while the complex features can be extracted based on more convolutional layers [[56](#page-28-17), [57\]](#page-28-18). The convolution layer is calculated as flow (Eq. [1](#page-7-1)):

$$
\hat{F}_j = \sum_i F_i \otimes K_{i,j} + b_j \tag{1}
$$

where F_i represent the *i*-th input feature map, \hat{F}_j is the *j*-th output feature map, $K_{i,j}$ represents the convolutional kernel, b_j is the bias, and \otimes denotes the 2-D convolution operation. Both $K_{i,j}$ and b_j are learnable parameters in CNNs.

4.2 Non‑linearity Layer

The second layer of the model represents the non-linearity layer. The nonlinear factor is added to ameliorate the ftting ability of CNN. It is achieved by using activation functions as Sigmoid, ReLU, leaky ReLU, ELU, etc. The ReLU function is the most used due to its simplicity and easy to applicate since it does not need much calculation [\[58\]](#page-28-19). It is expressed as follows $(Eq, 2)$ $(Eq, 2)$ $(Eq, 2)$, where *x* is the input value.

$$
ReLU(x) = \begin{cases} x, & \text{if } x > 0, \\ 0, & \text{otherwise} \end{cases}
$$
 (2)

4.3 Batch Normalization Layer

The suggested model used the batch normalization layer after each convolution layer. For getting the best-optimized results, this layer is utilized. It is exploited also to speed up the network convergence and to train the data perfectly.

4.4 Pooling Layer

The features obtained from the convolutional layer are still very large. If directly used, the training phase will be prone to overftting and very time-consuming. To deal with this problem, this layer adopts a downsampling manner to compress the image and minimize the parameters. Several forms of subsampling are exploited in literature as mean pooling, maxpooling. In the proposed model, the dimension of feature maps is reduced by performing maxpooling operation since it is very easy to apply and it is achieving the highest results [[59](#page-28-20)].

4.5 Fully Connected Layer (FC)

The FC layer is adopted at the end of the network. Since the features must be one-dimensional (1D) data before training with the classifer, the purpose of this layer is to fatten the output of the previous layer. When it is utilized as the last layer, the output is fxed as the number of classes used [[60](#page-29-0)[–62\]](#page-29-1).

5 Performance Metrics

Following the same performance metrics in the previous references, the efficiency of images classifcation into three classes is measured based on Accuracy, Specifcity, Sensitivity, Precision, and F1 Score. The formula for calculating these performance measures are written as below in Eqs. [3](#page-8-2)[–7](#page-9-1) respectively:

$$
Accuracy = \frac{TP + TN}{(TP + TN + FP + FN)}
$$
\n(3)

$$
Specificity = \frac{TN}{TN + FP}
$$
 (4)

Sensitivity (Recall) =
$$
\frac{TP}{TP + FN}
$$
 (5)

$$
Precision = \frac{TP}{TP + FP}
$$
 (6)

$$
F1 \, Score = 2 * \frac{\text{Recall*Precision}}{\text{Recall} + \text{Precision}} \tag{7}
$$

where TP identifes the True Positive, TN identifes the True Negative, FP identifes the False Positive and FN identifes the False Negative. These parameters are estimated from the confusion matrix, which provides the details about the false and successful classifcation of images from all categories.

6 Image Data

6.1 Figshare Dataset

We exploited the publicly available brain tumor dataset Figshare [[49](#page-28-5)], to evaluate our proposed model. It was collected from Nanfang Hospital, Guangzhou, China, and General Hospital, Tianjin Medical University, China, from 2005 to 2010. The dataset contains 233 patients sufering from brain tumors, wherein three classes of tumors are apparent, namely, meningioma, pituitary, and glioma tumors. They represent about 15%, 15%, and 45%, respectively, among all tumors [\[63\]](#page-29-2). The images have a size of 512×512 pixels. The dataset comprises 3064 slices, including 708 meningioma, 1426 gliomas, and 930 pituitary tumors [[64](#page-29-3)]. Table [2](#page-9-2) explains the details of the images data exploited in the experiments.

For every patient, three experienced radiologists initially examined independently the MRI image to obtain the pathology type. This data set is complicated, and some tumors are similar in color, shape, position… Some examples of MRI images are given in Fig. [3](#page-10-0). Figure [3](#page-10-0)a, b present two patients having the same category of tumors, where they exhibit diferent appearances. Conversely, a visual similarity is shown in Fig. [3](#page-10-0)c, d, where are

| Tumor category | Number of patients | Number of slices | View | Number of slices |
|----------------|-----------------------|------------------|------------|---------------------|
| Meningioma | 82 | 708 | Transverse | 209 |
| | | | Sagittal | 231 |
| | | | Coronal | 268 |
| Pituitary | 62 | 930 | Transverse | 291 |
| | | | Sagittal | 320 |
| | | | Coronal | 319 |
| Glioma | 89 | 1426 | Transverse | 494 |
| | | | Sagittal | 495 |
| | | | Coronal | 437 |

Table 2 Summary of the image dataset

Fig. 3 Some examples of brain tumors (indicated by the yellow arrows). **a** and **b** presents a Gliomas in different subjects. **c** Present a meningioma and **d** present a pituitary tumor from diferent subjects [[3\]](#page-26-2)

containing diferent pathological categories. In this study, two images include the same tumor category are considered as similar; else, they are considered dissimilar.

6.2 Radiopaedia Dataset

Radiopaedia [[65](#page-29-4)] represents the second dataset exploited in this paper. It contains 121 MR images correspond to four diferent grades as shown in Table [3](#page-10-1). This dataset sufers from a few numbers of images in each grade. Whereas, big data with various examples represent a key for efective deployment of various deep learning models [[66](#page-29-5)]. This dataset lacks a satisfactory volume of data to use deep learning models and earn good accuracy. In order to attain higher performance, we augmented the original data by using four various augmentation methods which are detailed in Table [4.](#page-11-0) For geometric transformations, we exploit rotation and fipping. Gaussian blur and sharpening are applied to reduce the noise. About 17 parameters and four augmentation techniques are exploited, which can generate 17 other samples for each image of the dataset. The augmented dataset is detailed in Table [3](#page-10-1). The performances are evaluated based on a five-fold CV.

6.3 REMBRANDT Dataset

The last dataset used in this paper is the Repository of Molecular Brain Neoplasia Data (REMBRANDT), which was exploited in various previous works [[67](#page-29-6)]. It contains 130 brain tumor patients with an average survival rate of 47 months. The dataset consists of various brain tumor types, which are astrocytoma (AST), oligodendroglioma (OLI),

glioblastoma multiforme (GBM), and other patients with unknown tumor. The AST type includes 47 patients divided into 2 grades: grade 2 and grade 3 which contain respectively 30 and 17 patients. The OLI type includes 21 patients divided into 2 grades: grade 2 and grade 3 which contain respectively 14 and 7 patients. The GBM type consists of 40 patients with grade 4. The data set is summarized in Table [5.](#page-11-1) In order to augmented data, we exploit 4 data augmentation techniques, which are Flip LEFT_RIGHT, Flip TOP_BOT-TOM, Gaussian Blur (2.0), and Sharpen (2.0).

This dataset consists of various MRI protocols as FLAIR, T1W, T2W, and difusionweighted imaging (DWI). The data set slices were manually labeled by experts. In this paper, the slice is considered a negative sample if the tumor lesion was not visible. If the tumor lesion is visible, the slice is labeled as a positive sample. Based on this dataset, fve sub-datasets can be designed, which are detailed below.

6.3.1 Two‑Class Data (C2)

The main objective of this dataset is to classify the brain MRI into tumorous and normal classes. The dataset was divided into these two categories. Each slice from the dataset is included in the tumor class if the tumor is visible, while, it is included in the normal class. In this paper, we exploit 1041 and 1091 MRI slice as normal and tumorous samples, respectively. The original data set include 2132 MRIs, which are increased to 10,660 using the data augmentation technique.

6.3.2 Three‑Class Data (C3)

The main objective of this dataset is to discriminate the brain MRI into three classes: normal, LGG, and HGG. As mentioned in the previous dataset, the normal class contains 1041 images. The LGG dataset included AST grade 2 and OLI grade 2, while the HGG dataset included grade 3 of AST and OLI and the GBM samples. In general, C3 includes 1041 samples in a normal class, 484 samples in LGG class, and 631 samples in HGG class. C3 details are shown in Table [6](#page-12-0).

6.3.3 Four‑Class Data (C4)

The main objective of this dataset is to classify the brain MRI into four classes, namely normal, AST, OLI, and GBM. The AST class includes AST grade 2 and grade 3. OLI class also includes OLI grade 2 and grade 3. These classes, normal, AST, OLI, and GBM contain 1041, 557, 219, and 339 samples, respectively. The C4 data set is summarized in Table [7](#page-12-1).

6.3.4 Five‑Class Data (C5)

This dataset is designed to classify brain MRI into diferent classes, which are AST (grade 2), AST (grade 3), OLI (grade 2), OLI (grade 3), and GBM (grade 4). These classes contain respectively 356, 201, 128, 91, and 339 samples. The dataset details are shown in Table [8](#page-13-1).

6.3.5 Six‑Class Data (C6)

The last proposed dataset aims to discriminate brain MRI into six classes, which are normal, AST (grade 2), AST (grade 3), OLI (grade 2), OLI (grade 3), and GBM (grade 4). This dataset includes 1041, 356, 201, 128, 91, and 339 samples for these classes, respectively. The C6 data set is summarized in the next table (Table [9\)](#page-13-2).

7 Experimental Results

The suggested model was trained using a desktop computer equipped with Intel Xeon Processor E5-2620 v4 and 64 GB RAM. It was implemented on Python 2.7, using Keras library and Tensor Flow. In this work, we have proposed a new model for brain tumor classifcation. It is tested on three datasets.

Our model consists of a 10-convolution layer in order to extract features from MRI images followed by 10 batch normalization layers in order to speed up the learning. In the aim to add more nonlinearity to the network and reduce the size of the layers, fve max-pooling layers are exploited. To make Convolution output suitable for FC layers, the feature is reshaped to one dimension. An FC layer with 64 flters is exploited. The SoftMax classifer layer is used for classifcation [[68](#page-29-7)]. It has three or four output neurons as the number of classes. All convolution layers in this model employ flters of size 3×3 . The ReLu activation function is used, as it is already the standard activation function in the image classification models. The size of the max pool kernel is 2×2 .

7.1 Hyper‑parameters

The Figshare dataset images are in the size of 512×512 . Because of computational cost reasons, this size is reduced to 256×256 . To enhance image quality, we exploit intensity normalization and contrast enhancer as a preprocessing step. We use the 5-fold proposed by the dataset in the aim to make valid and comparable results. The method is evaluated using 70% from train fold as training and 30% as validation. Each run is repeated ten times and calculated the average as a result in order to improve the soundness of the outcome. Various tests are important before the fnal model evaluation in order to assure the hyperparameters value choices. Based on Tables [10](#page-14-0) and [11,](#page-14-1) the best optimizer is Adagrad with a 0.003 learning rate. The number of epochs is 20 with 16 as batch size.

The performance of our suggested model is evaluated based on fve-fold cross-validation. The results are presented in the next tables. Figure [4](#page-15-0) shows the training-validation process of the network. In these fgures, the red and blue lines illustrate respectively the training and validation process.

The beneft of the suggested scheme is to speed the convergence and reduce the overftting, which is very clear from the accuracy and the loss history in Fig. [4](#page-15-0). As outlined in these fgures, our suggested CNN model reached very fast its maximum performance and there is a consistency between the training-validation accuracy and loss.

Fig. 4 Accuracy and loss history of the proposed model based on fvefold cross-validation. **a**–**e** represent each set Accuracy and Loss history

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7.2 Complexity Analysis

Computational complexity represents one of the important criteria for measuring the quality of the CNN model. We know that the time complexity of the CNN model depends on several parameters as the number of layers, the training phase In Table [1,](#page-7-0) we list the diferent layers used in the proposed model. We use 10 convolution layers, which is a medium size for a CNN model. The complexity of the CNN model will be increased also with the increase of input size. Therefore, size reduction can be used to minimize the complexity of time. We start data preprocessing by reducing the input image size for all data used. The pooling layer can be another important technique to reduce computational complexity of the model. In this regard, our model exploits 5 layers of pooling. The main goal behind these operations is to reduce the computational complexity that can be helpful to generate a low power, and less complexity model. According to the results presented in Table [12](#page-16-0) and to balance between accuracy and used time per epochs, we use 256×256 as input size and 3×3 as filter size. The number of parameters used is about 3.3 M. Therefore, we can say that the complexity of our scheme is acceptable.

7.3 Experimental Results for Figshare Dataset

A summary of predictions provided by the proposed model can be presented as a confusion matrix where each row illustrates the actual class and each column illustrates the predicted class [[69](#page-29-8)]. Table [13](#page-16-1) shows the confusion matrix. From this table, we can note that the proposed technique classifes 2903 cases correctly and 161 cases incorrectly. It yields

Table

acceptable results, which attain 94.74% as the whole accuracy. We can note also that the meningioma tumor class gains the highest misclassifcations rate. The misclassifcation is due to the fewer images number furnished for this class in the original dataset and no augmentation technique was used.

Generally, many previous works utilized accuracy to evaluate model performance. In this study, we have exploited other measures as sensitivity, specifcity, precision, and f1-score, in order to have a clear indicator of the generalization of the model. Table [14](#page-16-2) summarizes the classifcation performance of each tumor type and the average of best performed proposed CNN model.

From Table [14,](#page-16-2) we note that the proposed model provides 95.23%, 95.43%, and 98.43% as accuracy for Meningioma, Glioma, and Pituitary tumor, respectively. The sensitivity is another factor of classifcation performance, which attains respectively 89.68%, 94.46%, and 99.03%. For all the classes, the specifcity values are high which means that the model correctly recognizing the samples without a specifc disease.

The performances of our model are compared with new classifcation methods that exploit the same dataset. As shown in Table [15](#page-17-0), our model surpasses the newest methods based on classifcation accuracy. The table contains only accuracy as a performance metric since it is the common metric that is exploited in all the related works. Previous works [[27](#page-27-14), [29](#page-27-15)] exploited the traditional machine learning technique which needs manual feature extraction that is a tedious task and time consuming. Other models based on CNN have exploited shallower networks that caused a limitation to provide high accuracy [\[33,](#page-27-18) [34,](#page-28-6) [37](#page-28-10)]. In this study, the choice of architecture and the hyper-parameters for the brain tumor classifcation has proven to process the MRI images efectively and attain higher accuracy results.

The MRI images classifcation of brain tumor represent a challenging problem owing to the variety in intensities, size, orientation, and shape. In addition to images contrast and the noise perturbations. Furthermore, the medical datasets exploited are often limited in size and hard to access. Various inferences are made through perfor-mance analysis. From the confusion matrix in Table [13](#page-16-1), we noticed that most of the

| Author | | Manual segmentation Number of images used | Best accuracy |
|-------------------------------|---------------|---|--|
| Guo et al. $[53]$ | No | 327 | 2 classes: 93% 3 classes: 77% |
| Abiwinanda et al. [37] | No. | 2100 (700 from each tumor type) | 84.19 |
| Paul et al. $\left[33\right]$ | No. | 989 (axial only) | 84.52 |
| Paul et al. [33] | No | 989 (axial only) | 90.26 |
| Tahir et al. [29] | No. | 3064 | 86 |
| Afshar et al. [34] | Both | 3064 | 86.56 using segmentation 72.13 using raw images |
| Afshar et al. [70] | Bounding box | 3064 | 90.89 |
| Cheng et al. $[27]$ | Yes | 3064 | 91.28 |
| Ismael et al. $[28]$ | Yes | 3064 | 91.9 |
| Zhou et al. $[35]$ | No. | 989 (axial only) | 92.13 |
| Ghassemi et al. [38] | No. | 3064 | 93.01 |
| Pashaei et al. [36] | Not mentioned | 3064 | 93.68 |
| Proposed | No | 3064 | 94.74 |

Table 15 Comparison with previous works

misclassifcations pertain to the class meningioma. This is due to the fewer samples in the dataset from this class and we don't use any technique for class-specifc data augmentation to balance the dataset. The handling of smaller amounts of training data represent the other part that our work concentrated on. According to Fig. [4,](#page-15-0) we notice that the training loss decreases, and the validation loss increases during the frst iterations. This indicates that the phenomenon of overftting took place, which leads to lower classifcation accuracy. This overftting could be avoided by data augmentation. This aspect offers a scope for future works.

7.4 Experimental Results for Radiopaedia Dataset

7.4.1 Experimental Results Before Data Augmentation

The confusion matrix for the second datasets is given in Table [16](#page-18-0). It can be shown that the accuracy attains 95.61%, 92.98%, 93.85%, and 98.24% respectively for grade I, II, III, and IV. The overall accuracy (OA) can reach about 90.35%. The results gained from the original dataset are not convincing enough and it cannot be trusted in the clinical environment due to the height error rate. The poor OA indicates the confused of some grades, which not acceptable.

7.4.2 Experimental Results After Data Augmentation

Due to the poor results obtained by the original dataset, we use various data augmentation to enhance the performance provided by the proposed model. The confusion matrix for the new dataset is presented in Table [17.](#page-18-1)

Based on the calculated performances, the OA is increased from 90.35 to 93.71%, which is much better than the OA gained without data augmentation. Similarly, accuracies for grades I, II, III, and IV are increased respectively from 95.61 to 96.32%, 92.98 to 95.31%, 93.85 to 96.18%, and 98.24 to 99.61%.

Various other performances can be calculated in order to have a clear indicator of the generalization of the model. Tables [16](#page-18-0) and [17](#page-18-1) summarizes the classifcation performance of each tumor grade.

The suggested model provides 90.79%, 95.83%, 90.84%, and 98.22% as sensitivity for Grade I, Grade II, Grade III, and Grade IV, respectively. For all the grades, the specifcity values are high which indicates that the proposed model correctly recognizing the samples without a specifc group. The F1-score is another factor of classifcation performance, which attains respectively 96.38%, 89.3%, 91.05%, and 99.1%.

According to the experimental results, it is evident that the use of data augmentation techniques enhances the classifcation performance.

7.4.3 Comparison with Previous Methods

A comparison with previous techniques is detailed in Table [18.](#page-20-0) Sachdeva et al. [\[48\]](#page-28-4) used 428 MRI images in order to classify 5 classes. Various intensity and texture features are extracted from each image. The OA is about 94%. Pinaya et al. [[50](#page-28-7)] classifed MRI into Healthy control and Schizophrenia based on deep belief network and SVM. 231 images are exploited in this work. The OA attained is about 73.6%.

Recently, Sajjad et al. [[47](#page-28-3)] propose a new deep CNN in order to classify 4 grades of brain tumors. The original dataset contains 121 images. The OA attains 87.38%. Due to the poor result and the reduced dataset used for Deep CNN model, the author uses data augmentation to provide several examples for the dataset. The new dataset contains 3630 images and the OA is increased to 90.67%.

In our work, two scenarios are realized, before and after data augmentation. The original data contain 121 images as used by Sajjad et al. [\[47\]](#page-28-3). It includes 4 grades: Grade I (Menigiomas), Grade II (Gliomas), Grade III (Gliomas), and Grade IV (Glioblastmoas). Each grade includes 36, 32 25, and 28 images, respectively. The OA attained is 90.35%. Due to the poor result, we use several techniques for data augmentation, which are detailed in Table [4](#page-11-0). The data set is augmented from 121 to 2178 images. The OA attained is 93.71%.

7.5 Experimental Results for REMBRANDT Dataset

7.5.1 Experimental Results Before Data Augmentation

The image size is reduced to 128×128 with the aim to reduce the time complexity. The confusion matrix for the third datasets is given in Tables [19,](#page-22-0) [20](#page-22-1), [21](#page-22-2), [22](#page-22-3) and [23.](#page-22-4) From Table [19,](#page-22-0) it can be shown that the proposed model gained excellent accuracy, which attains 100%. This is due to the simplicity of this kind of classifcation and the accepted data volume exploited. According to Table [20](#page-22-1), the normal class attains 100% accuracy, while LGG and HGG attain both 95%. The overall accuracy (OA) can reach about 95%. In C4 and based on Table [21,](#page-22-2) the normal class attains 98.6% accuracy. The accuracy for AST $(G2+G3)$ can reach about 95.34%, and 99.06% and 95.81% for OLI $(G2+G3)$ and GBM respectively. The OA is about 94.41%. Based on Table [22](#page-22-3), the C5 accuracy attains 90.43% for AST (G2), 98.26% for AST (G3), 98.26% for OLI (G2), 96.52% for OLI (G2), and 88.69% for GBM. The OA reaches about 86.08%. According to Table [23,](#page-22-4) the last dataset proposed (C6) provide 97.67% as accuracy for normal class, 95.34% for AST (G2), 98.13% for AST (G3), 99.06% for OLI (G2), 99.06% for OLI (G3) and 94.88% for GBM. The OA attains 92.09%. The poor OA obtained indicates the confusion of some classes, which not acceptable in the clinical environment. We can surpass this issue using some data augmentation techniques.

| 100 100 100 100 Normal 100 100 100 With tumor 100 Table 20 Confusion matrix for Class Sensitivity Specificity Precision Accuracy C ₃ | C ₂ | Table 19 Confusion matrix for | Class | Accuracy | Sensitivity Specificity Precision F1-score | |
|--|----------------|--------------------------------------|-------|----------|--|-----------------------|
| | | | | | | 100 |
| | | | | | | 100 |
| | | | | | | F ₁ -score |
| 100 100 100 100 Normal | | | | | | 100 |
| 95 LGG 100 93.07 84.7 | | | | | | 91.71 |
| HGG 95 100 100 70 | | | | | | 82.35 |

Table 21 Confusion matrix for C4

OLI (G3) 99.06 80 100 100 88.88 GBM 94.88 82.85 97.22 85.29 84.05

7.5.2 Experimental Results After Data Augmentation

Various data augmentation techniques are exploited in the aim to enhance the performance provided by the proposed model. The confusion matrix for the new dataset is presented in Tables [24,](#page-23-0) [25,](#page-23-1) [26](#page-23-2), [27](#page-23-3) and [28](#page-23-4).

Table 26 Confusion matrix for C4

According to the calculated performances, all the performances are increased which indicates that the suggested model works well with the augmented data. The OA for the augmented C2 stays fxed at 100%. The OA for the augmented C3 is increased from 95 to 97.22%. Similarly, OA for the augmented C4, C5 and C6, are increased respectively from 94.41 to 97.02%, 86.08% to 88.86%, and 92.09 to 95.72%. Several other performances can be calculated which are summarized in the previous tables in the aim to have a clear

indicator of the generalization of the model. Based on the experimental results, data augmentation techniques signifcantly ameliorate the classifcation performance.

7.5.3 Comparison with Previous Methods

A comparison with previous techniques used in the same dataset is detailed in Table [29](#page-24-0). Anaraki et al. [\[71\]](#page-29-10) evolved two CNN strategies based on GA. The frst model exploits 7 layers in the aim to classify brain MRIs into three grades. The second model uses 8 layers to distinguish between 3 brain tumor classes. These two models can achieve 90.9% and 94.2% accuracy, respectively. The proposed approach is computationally expensive due to the complexity of parameter selection based on the GA method.

In a similar study, Yang et al. [\[4](#page-26-3)] exploit two well-known CNN models, which are AlexNet and GoogLeNet with transfer learning (TL) for glioma classifcation. The TL technique outperformed the "learning from scratch" technique for both models. The height accuracy provided is about 93%.

Recently, Tandel et al. [[72](#page-29-11)] proposed a new technique based on the AlexNet model with the aim to classify 5 MRI brain tumor dataset. The used datasets include two, three, four, fve, and six classes, respectively. The suggested technique outperforms several other machine learning techniques like SVM, Decision Tree, K-nearest neighbor, etc. The accuracy provided in about 100%, 95.97%, 96.65%, 87.14%, and 93.74% for the 5 used datasets, respectively.

In our work, we exploit two scenarios, before and after data augmentation. For the original dataset, the OA attained is about 100%, 95%, 94.41%, 86.08%, and 92.09% for the 5 proposed datasets, respectively. Due to the poor result, we use several techniques for data augmentation, which are mentioned above. The new OA achieved is about 100%, 97.22%, 97.02%, 88.86%, and 95.72% respectively

8 Discussion

In this paper, we have suggested a new deep CNN model for MRI brain tumor classifcation. Our model exploits various layers with diferent sizes and Softmax classifer. The experimental study of the proposed technique is carried out based on three public datasets, as discussed earlier.

The frst brain tumor dataset is Figshare, which is a free and available dataset. It contains 3064 images correspond to meningioma, glioma, and pituitary tumors. The second exploited dataset is Radiopaedia, which is used as an extra validation to affirm that our suggested model can also classify the tumor grade with acceptable results. The original data contain 121 MRI images correspond to 4 grades. Due to the small data volume, we use several data augmentation techniques in order to increase the data set size which can enhance the model accuracy. We have employed rotation in diferent angles, Flipping, Gaussian Blur, and Sharpen with several parameters. The new dataset contains 2178 images. We adapted the proposed model for this dataset by modifying the last FC layer to have 4 neurons, which correspond to the number of grades. The classifcation results are analyzed, and various evaluation metrics are calculated as accuracy, sensitivity, specifcity, precision, and F1-score.

In general, only the accuracy is exploited in the majority of the previous works to evaluate the proposed scheme. However, the use of the accuracy only for comparison can be misleading since it ignores the sensitivity to imbalanced data. In this case, some class performances can become better than others.

In this work, we use various measures in the aim to gain a clear indicator of the generalization of the proposed model. As shown in Table [14](#page-16-2), the suggested Deep CNN provides good accuracy for the frst data set, which reaches 95.23% for Meningioma, 95.43% for Glioma, and 98.43% for Pituitary tumor. The OA is 94.74%. Furthermore, the obtained results are compared with some new previous works. As detailed in Table [15](#page-17-0), it is clear that our model achieved higher accuracy and outperformed the various previous works.

The second dataset is tested based on two scenarios: before and after augmentation. From Table [16,](#page-18-0) the original dataset attains 95.61%, 92.98%, 93.85%, and 98.24% as accuracy for grade I, grade II, grade III, and grade VI, respectively. The OA gained is about 90.35%. From Table [17,](#page-18-1) the augmented dataset achieves 96.32%, 95.31%, 96.18%, and 99.61% as accuracy for the 4 grades, respectively. The OA is about 93.71%. A comparison with some previous works is detailed in Table [18](#page-20-0). It is clear that the proposed model achieved higher accuracy and outperformed the various previous works.

In the last dataset, we exploit two scenarios: before and after augmentation for the 5 sub-datasets. From the experiment results obtained, the OA gained before data augmentation is about 100%, 95%, 94.41%, 86.08%, and 92%, respectively. The augmented datasets achieve 100%, 97.22%, 97.02%, 88.86% and 95.72 as OA respectively. A comparison with some previous works that exploit the same datasets is detailed in Table [29.](#page-24-0) It is clear that

our model gained the highest accuracy and outperformed all the previous works. Despite the variety of grade, classes, position, and intensity for the used MRI, the suggested model generates promising results.

Based on the obtained results, the proposed model gained the highest accuracy in MRI brain tumor classifcation using the three datasets. It can generate promising results either for a small dataset. However, the MRI brain images classifcation represents a challenging problem owing to the variety in intensities, size, orientation, and shape. In addition to image contrast and the noise perturbations. Furthermore, the medical datasets exploited are often limited in size and hard to access. Several previous works [\[27,](#page-27-14) [29,](#page-27-15) [48](#page-28-4)] used the traditional machine learning technique, which needs manual feature extraction that is a tedious task and time consuming. Some other works that used CNN [[33](#page-27-18), [37](#page-28-10)] have exploited shallow networks with a few MRI data, which lead to lower accuracy value. In this study, the choice of architecture and the hyper-parameters for the brain tumor classifcation has proven to process the MRI images efectively and attain higher accuracy results.

In our opinion, the suggested scheme is efective to classify MRI brain tumors as type or grade, which help doctors to take the precise decision in a short time. We believe also that our model can be exploited to classify other tumor types as breast cancer, lung cancer, liver cancer… and other medical image types as ultrasound, X-ray.

9 Conclusion and Future Work

Our paper presents an innovative model for multi brain tumors classifcation based on CNN. It is an automatic system, which requires a minimum of pre-processing. The model was tested on three brain tumor datasets. Various performance metrics were studied to evaluated model accuracy and ascertain the robustness of the system. The suggested model recorded the best classifcation accuracies compared to previous related works on the same dataset. The experiment results show the efectiveness of this model despite the smaller amount of training data. The suggested approach can be used for other MRI classifcation since it requires minimum preprocessing and does not use handcrafted features. As future work, we attend to exploit images from diferent modalities as T1, T2, and Flair, which aim to augment the dataset size and added robustness to our scheme.

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