CLINICAL STUDY

Outcome of three‑fraction gamma knife radiosurgery for brain metastases according to fractionation scheme: preliminary results

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Received: 19 June 2019 / Accepted: 17 August 2019 / Published online: 24 August 2019 © Springer Science+Business Media, LLC, part of Springer Nature 2019

Abstract

Purpose The optimal interfraction intervals for fractionated radiosurgery has yet to be established. We investigated the outcome of fractionated gamma knife radiosurgery (FGKRS) for large brain metastases (BMs) according to diferent interfraction intervals.

Methods Between September 2016 and May 2018, a total of 45 patients who underwent FGKRS for BMs were enrolled in this study. They were divided into two groups (standard fractionation over 3 consecutive days with a 24-h interfraction interval versus prolonged fractionation over 4 or 5 days with an interfraction interval of at least 48-h). BMs with \geq 2 cm in maximum diameter or \geq 5 cm³ in volume were included in analysis.

Results Among 52 BMs treated with 3-fraction GKRS, 25 (48.1%) were treated with standard fractionation scheme, and 27 (51.9%) with prolonged fractionation scheme. The median follow-up period was 10.5 months (range 5–25). Local tumor control rates of the standard group were 88.9% at 6 months and 77.8% at 12 months, whereas those of the prolonged group were 100% at 6 and 12 months ($p=0.023$, log-rank test). In multivariate analysis, fractionation scheme (hazard ratio [HR] 0.294, 95% CI 0.099–0.873; $p=0.027$) and tumor volume (HR 0.200, 95% CI 0.051–0.781; $p=0.021$) were revealed as the only signifcant factors afecting the local tumor control after 3-fraction GKRS.

Conclusions Our preliminary tumor control results suggest a promising role of 3-fraction GKRS with an interfraction interval of at least 48-h. This fractionation regimen could be an efective and safe treatment option in the management of large BMs.

Keywords Three-fraction gamma knife radiosurgery · Brain metastases · Fractionation schedule · Interfraction interval

Introduction

Brain metastases (BMs) are the most common intracranial malignant tumors, accounting for an estimated 20% to 40% of patients with cancer $[1]$ $[1]$ $[1]$. The treatment options available for BMs include surgical resection, whole brain radiation therapy (WBRT), stereotactic radiosurgery, such as Gamma Knife radiosurgery (GKRS), systemic chemotherapy, or some combination of these modalities [\[2](#page-8-1), [3](#page-8-2)]. Of them, GKRS has increasingly become the essential treatment modality for BMs, thereby affording excellent local tumor control and prolonging survival time [[2,](#page-8-1) [4](#page-8-3)–[6\]](#page-8-4). It can also reduce neurocognitive toxicities associated with WBRT $[2, 6-8]$ $[2, 6-8]$ $[2, 6-8]$ $[2, 6-8]$. However, local recurrence after GKRS is not uncommon, especially for large BMs and occasionally warrants additional GKRS, increasing the risk of radiation injury to the normal tissue. Although surgical resection has been the standard treatment for large BMs, it is sometimes limited due to location in eloquent structures, poor performance status, and the extent of the systemic disease. In this respect, fractionated GKRS (FGKRS) has been emerging as a safe and efective regimen for large BMs as well as benign tumors abutting the critical neurovascular structures [\[2](#page-8-1), [6,](#page-8-4) [8](#page-8-5)[–11](#page-8-6)]. The rationale for using multifraction SRS is a potential radiobiological advantage that can result in a decreased incidence of radionecrosis [[12,](#page-8-7) [13](#page-8-8)].

The optimal fractionation scheme for BMs is not well defned and remains controversial. In this preliminary study, we retrospectively assessed and analyzed the impact of fractioanted radiosurgery using gamma knife with diferent fractionation schedules on local tumor control in patients harboring large BMs. We present a single institution retrospective

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series documenting the impact of FGKRS with respect to diferent fractionation schedules.

Materials and methods

Patient population

After institutional review board approval (SMC 2019-01- 135) was obtained, we performed a retrospective analysis of 1690 brain metastasis patients who underwent Gamma Knife radiosurgery at Samsung Medical Center between September 2016 to May 2018 and identifed 218 patients treated with FGKRS for BMs. FGKRS has been usually recommended for large BMs in order to improve the treatment efficacy and reduce radiation-induced toxicity. The number of fractions (ranging from 2 to 5) or dose per fraction (ranging from 5 to 10 Gy) were chosen based on the patient's clinical condition, characteristics of brain lesions (i.e., tumor volume, number of metastatic lesions, pathology, etc.), and preference of the patients and treating neurosurgeons. Because the purpose of this study was to evaluate the impact of interfraction interval on the outcome, it was necessary to minimize the infuence of other confounding variables, such as diferent number of fractions or wide range of dose. Therefore, the analysis was limited to 3-fraction GKRS with 8–10 Gy per fraction, and 65 patients were found. Among them, 20 patients were excluded from the analysis and could be divided into two groups. One group of the patients with poor performance status at the time of GKRS was lost to follow-up, because they expired early due to systemic causes and therefore, follow-up imaging could not be performed. The other excluded group included those who underwent GKRS following either surgical resection or Ommaya reservoir placement for large cystic lesions. As a result, a total of 45 patients (25 females and 20 males) were enrolled in the fnal study cohort. The median age at the time of diagnosis of BMs was 59 years (range 22–80 years). The eligibility criteria included (1) adults aged \geq 18 year with 1

to 5 brain metastatic lesions; (2) no previous history of surgical resection; (3) a maximal diameter \geq 2 cm or the largest tumor volume \geq 5cm³; (4) 3-fraction GKRS with a total dose of 24–30 Gy; (5) no previous history of WBRT; and (6) no radiological evidence of leptomeningeal seeding at the time of FGKRS. The patients were divided into two groups according to fractionation scheme: standard fractionation over 3 consecutive days with a 24-h interfraction interval and prolonged fractionation over 4 or 5 days with an interfraction interval of at least 48-h (Fig. [1\)](#page-1-0).

Evaluation of tumor response using tumor volumetry

For each patient, clinical follow-up data were obtained during office evaluations of the treated patients after radiosurgery. Gadolinium-enhanced MRI of the brain was performed using a 3T MR scanner (Intera Achieva, Philips Medical Systems, Best, Netherlands) at the time of FGKRS and at 3-month intervals thereafter, and the images were exported to Leksell GammaPlan software (version 11.0.3, Elekta Instrument AB, Stockholm, Sweden) for assessment of the changes in tumor volume. Based on follow-up MR images, volumetric statistics included the volume reduction (%∆V) from baseline to last follow-up and the volume rate of change represented as percent rate of change (PRC), in percent/month at 6 months and 12 months [[14\]](#page-8-9). Tumor responses after GKRS were classifed into 2 diferent categories: tumor control (a decrease of>15% volume or follow-up volume $\pm 15\%$ of the initial volume) or tumor progression (an increase of $>15\%$ volume) [\[14–](#page-8-9)[17\]](#page-9-0). Follow-up MR scans included a contrast-enhanced cerebral blood volume (rCBV) map in order to diferentiate radiation necrosis from tumor progression. Increased rCBV suggests tumor progression, whereas localized decreased rCBV suggests radiation necrosis. The radiation necrosis was not counted as an event in the response analysis. All radiographic data were reviewed by a dedicated neuroradiologist.

Fig. 1 The schematic illustration of treatment protocol of 3-fraction GKRS with diferent interfraction intervals

Evaluation of radiation‑induced complications

Radiation-induced complications were defned as newly developed or aggravated neurological deficits after GKRS unless tumor progression occurred. The Radiation Therapy Oncology Group (RTOG) CNS toxicity criteria was used to assess radiation-induced complications after FGKRS of diference schemes: Grade 1, mild neurologic symptoms (no medication required); Grade 2, moderate neurologic symptoms (outpatient medication required); Grade 3, severe neurologic symptoms; Grade 4, life-threatening neurologic symptoms (e.g., uncontrolled seizures, paralysis, or coma); includes clinically or radiographically suspected radionecrosis and histologically proven radionecrosis at the time of an operation [[18\]](#page-9-1). Severe complications were considered to have an RTOG CNS toxicity Grade ≥ 3 .

Radiosurgical technique

The GKRS procedure was performed using a Leksell Gamma Knife® Icon™ (Elekta Instrument AB, Stockholm, Sweden), and the Leksell GammaPlan was used for treatment planning. Forty patients (88.9%) underwent frameless GKRS using an individually customized mask, while 5 patients (11.1%) underwent GKRS using the Leksell stereotactic frame. In order to prevent acute brain swelling, all patients received 5 mg dexamethasone intravenously immediately before the procedure and thereafter completed a 7-day course of dexamethasone following the procedure. Most of the patients were immobilized using a thermoplastic mask system, which consisted of customized 3-point Efficast® thermoplastic masks (Orft Industries, Wijnegem, Belgium) and cradles (Moldcare®; Alcare Co, Tokyo, Japan). A high-resolution MRI scan was performed the day before the frst fraction of GKRS; postcontrast T1-weighted axial images with a slice thickness of 1.0 mm and T2-weighted FLAIR images with a slice thickness of 2.0 mm were obtained for treatment planning. A planning cone beam computed tomography (CBCT) was subsequently performed and co-registered with MR images for target localization and verification. Gamma Knife® Icon™ tracks patient movement during treatment using a CBCT, a thermoplastic mask system, and an infrared-based high-defnition motion management (HDMM) camera. For those who underwent FGKRS with rigid fxation, on the early morning of the frst day of FGKRS, the head frame was placed by the treating neurosurgeon after application of a local anesthetic solution, and it was retained until the last day of the irradiation. Following frame placement, a stereotactic brain MRI scan of the same protocol was performed. Dose planning was performed and approved by the treating neurosurgeons and medical physicist.

Statistical analyses

The primary end point of this study was the local tumor control rate after FGKRS. Before analyses, summary statistics were displayed as means, medians, and ranges for continuous variables and as frequencies and proportions for categorical variables. Categorical variables using the χ^2 test or Fisher exact test and continuous variables using the Mann–Whitney *U*-test were compared to compare proportions and means, respectively. Univariate and multivariate analyses using the Cox proportional hazard model were performed to determine pre-GKRS clinical factors favoring the local tumor control. The local progressionfree survival was estimated by the Kaplan–Meier method and log-rank test. p values < 0.05 were considered statistically signifcant. All statistical analyses were performed using SPSS (version 25.0, IBM Co., Armonk, New York, USA).

Results

Patient demographics

The clinical characteristics of the 45 patients are listed in Table [1.](#page-3-0) At their initial presentation, 23 patients (51.1%) were treated with the 3-fraction GKRS of standard fractionation scheme, and 22 patients (48.9%) received the 3-fraction GKRS of prolonged fractionation scheme. The median duration of follow-up was 10.5 months (range 5–28 months). Lung cancer $(n=28, 62.2\%)$ was the most common primary tumor followed by breast cancer $(n=9, 20.0\%)$. No significant diferences were observed between the two treatment groups with respect to Karnofsky Performance Scale score, RTOG recursive partitioning analysis (RPA) class, primary tumor status, number of BMs, and timing of BMs. There was a signifcant diference between the two groups in terms of presence of extracranial metastases $(p=0.018)$.

Treatment characteristics

Table [2](#page-3-1) summarizes the GKRS treatment characteristics of two diferent fractionation schemes. Among a total of 68 lesions in 45 patients treated with 3-fraction GKRS, only 52 metastatic lesions with≥2 cm in maximum diameter or \geq 5 cm³ in volume were included in analysis. The median volume reduction for BMs in the standard scheme group was 55.5% (range − 25.8–100%), whereas it was 81.3% (range 39.1–100%) in the prolonged scheme group ($p < 0.01$) (Fig. [2b](#page-4-0)). Tumor volume changes for each metastatic lesion in both groups are shown in Fig. [2c](#page-4-0).

Table 1 Summary of characteristics in 45 patients with brain metastases

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KPS Karnofsky performance scale, *RTOG* radiation therapy oncology group, *RPA* recursive partitioning analysis

* Statistically signifcant

 \overline{a} \overline{a}

Table 2 Summary of GKRS parameters and treatment outcomes

Gy Gray, *PRC* percent rate of change, *CI* conformity index, *PIV* prescription isodose volume, *TV* target volume, *PCI* Paddick conformity index, *TTV* treated target volume, *GTV* gross target volume

* Statistically signifcant

† Δ%/month

Fig. 2 Box plot showing the target tumor volumes in the standard and prolonged fractionation schedule groups (**a**). Box plot showing the signifcant diference in volume reduction (from baseline to last

follow-up) between the two treatment groups (**b**). Tumor response to 3-fraction GKRS as assessed by relative change in volume between pretreatment and last follow-up MRI (**c**)

Local tumor control after 3‑fraction GKRS with diferent interfraction intervals

The local tumor control rates of the standard scheme group were 88.9% at 6 months and 77.8% at 12 months, whereas those of the prolonged scheme group at 6 and 12 months were 100% ($p = 0.023$ $p = 0.023$, log-rank test) (Fig. 3). In multivariate analysis, fractionation scheme (hazard ratio [HR] 0.294, 95% CI 0.099–0.873; $p = 0.027$) and tumor volume (HR 0.200, 95% CI 0.051–0.781; $p = 0.021$) were revealed as the only signifcant factors afecting the local tumor control after 3-fraction GKRS (Table [3\)](#page-6-0).

CNS toxicity

Overall, radiation-induced complications according to RTOG CNS toxicity criteria occurred in in 8 patients (17.8%) after 3-fraction GKRS. The median tumor volume of the 8 treated lesions with radiation necrosis was 18.1 cm³ (range $13.3-37.9 \text{ cm}^3$). No significant difference was found between the two treatment groups $(p=0.136)$ (Table [2](#page-3-1)). Among 6 patients in the standard scheme group, 2 patients (treated with 9 Gy \times 3 and 10 Gy \times 3, respectively) and 2 patients (treated with 9 Gy \times 3 and 10 Gy \times 3, respectively) developed RTOG grade 3 and 4 toxicities after treatment,

respectively, eventually undergoing surgical resection for symptom relief. In the same group, 1 patient (treated with 9 Gy \times 3) with RTOG grade 1 toxicity was managed with observation alone, whereas the other patient with grade 2 toxicity was tolerable with oral pain medication. In the prolonged scheme group, 1 patient (treated with $10 \text{ Gy} \times 3$) with RTOG grade 2 toxicity improved with steroid administration alone, whereas the other patient (treated with $8 \text{ Gy} \times 3$) with grade 4 toxicity underwent surgical resection. No RTOG CNS grade 5 toxicity after FGKRS was observed in both groups.

Discussion

The emerging role of FGKRS in the treatment for large BMs

Large BMs located in eloquent areas and patients with poor performance status are deemed appropriate for FGKRS, if surgical resection is not indicated [[9\]](#page-8-10). Similar rates of local tumor control and lower risk of radiation-induced neurotoxicity after fractionated SRS have been previously reported in several studies $[19-23]$ $[19-23]$. It has been proposed that fractionated SRS with 2 to 5 fractions could be considered as an alternative approach for large-volume targets to improve the therapeutic ratio by allowing greater total doses to be delivered safely with minimal normal tissue toxicity [[24\]](#page-9-4). A recent report concluded that multifraction SRS at a dose of 27 Gy in 3 consecutive fractions was an efective treatment modality for BMs larger than 2 cm in diameter and was associated with improved local control and reduced risk of radiation necrosis as compared with single-fraction SRS [[12\]](#page-8-7).

Radiobiology of fractionated SRS

The optimum radiotherapeutic strategy is defned as the treatment scheme that maximizes tumor biologically efective dose (BED) while keeping normal tissue BED constant [[25\]](#page-9-5). However, the linear–quadratic (LQ) model and BED have been suggested to be incorrect when used for hypofractionation $[26]$ $[26]$ $[26]$. The LQ model overestimates the effect of high fractional doses of radiation, and BED is particularly incorrect when used for tumor responses in vivo, since it does not take reoxygenation into account [\[26–](#page-9-6)[28](#page-9-7)]. Given that the biological efects of SRS are attributable to irreversible cellular damage and vascular occlusion, it has been suggested that SRS kills tumor cells not only through directly damaging DNA but also by causing vascular damage and increasing tumor hypoxia, thereby inducing indirect/

Table 3 Results of univariate and multivariate analyses of pre-GKRS factors associated with local tumor control

KPS Karnofsky performance scale, *RTOG* radiation therapy oncology group, *RPA* recursive partitioning analysis, *BM* brain metastasis

* Statistically signifcant

secondary tumor cell death [\[29,](#page-9-8) [30](#page-9-9)]. Nevertheless, SRS has the potential to damage normal tissues nearby target volumes. In contrast, fractionated SRS does not damage surrounding tissues to the same extent as it better enables cellular reoxygenation and target volume redistribution, thus better preserving normal tissues than single-fraction SRS. Fractionated administration of radiation dose potentially minimizes toxicity to late-responding healthy tissues, with a low α/β ratio compared to a single acute dose of radiation for a given level of tumor damage, according to the LQ model of cellular survival [\[31](#page-9-10)]. Given that during fractionation surviving hypoxic tumor cells reoxygenate and become more sensitive to subsequent irradiation, reoxygenation between dose fractions is a pivotal phenomenon that should be fully utilized in fractionated SRS [[26](#page-9-6)]. In in vivo tumor cells, rapid reoxygenation of hypoxic tumor cells may counterbalance the sublethal damage repair during fractionated radiation therapy [[26](#page-9-6)]. In previous laboratory studies using three diferent murine tumors, reoxygenation was not complete

within 24 h after irradiation, and with significantly lower hypoxic fractions at 24 h after irradiation, reoxygenation seemed to proceed further until 72 h after irradiation [[26,](#page-9-6) [32](#page-9-11)]. In other words, longer interfraction intervals other than a 24-h interval may allow more reoxygenation to occur. In line with this fnding regarding the importance of reoxygenation during fractionation, our results showed that FGKRS with interfraction intervals of at least 48 h was associated with a more favorable outcome.

GKRS with diferent fractionation schedules for BMs

The optimal FGKRS schedule for BMs has not yet been established. A few studies reported on the outcomes of FGKRS for BMs using several fractionated schemes with interfraction intervals of 1 to 4-weeks. Higuchi et al. reported 6- and 12-month local control rates of 89.8% and 75.9%, respectively, with 30 Gy in 3 fractions with a 2-week interfraction interval [\[33\]](#page-9-12). In line with the results

	No. of pts	Tumor vol $(cm3)$	Peripheral dose, mean (range) (Gy) / procedure	No. of proce- dures	Inter- procedure interval	1-year local control $(\%)$	Median survival time (mos)	Radiation necrosis $(\%)$
Higuchi et al. $\left[33\right]$	43	$16.2(10.8-35.5)10$		3	2 weeks	75.9	8.8	NA
Yomo and Hayashi $\lceil 35 \rceil$	58	$16.4(10.0-56.1)10-15$		\overline{c}	$3-4$ weeks	64	11.8	NA
Dohm et al. $[40]$	33	$11.7(0.8 - 60.9)10 - 21$		2	4 weeks	87	$60.0(12 \text{ mos})$	10.2
Hasegawa et al. [2]	56	$21.0(10.0-76.0)10-13$		2	$1-4$ weeks	80	7.0	NA
Angelov et al. [41]	54	$10.5(2.4-31.3)$ 12-18		2	5 weeks	88 (6 mos)	10.8	3.17
Yamamoto et al. [42]	78	$21.5(10.2 - 70.0)10$		3	2 weeks	90.8	8.3	5.1
Present study	45	$14.2(5.7–62.6)$ 8, 9, 10		3	24 h, 48 h	77.8, 100	NA	26.1, 9.1

Table 4 Summary of the studies on multisession GKRS for patients with large brain metastases

of their pilot study, Yomo et al. showed an 85% and 64% local control rate at 6 and 12 months, respectively, after the delivery of 20–30 Gy in 2 fractions with an interfraction interval of 3–4 weeks [[34,](#page-9-13) [35\]](#page-9-14). However, given that from a biologic point of view, the additional advantage of dose fractionation over a short treatment time has been suggested for malignant tumors, Aoyama et al. reported a local PFS rate of 81% at 1 year in the treatment of BMs with 35 Gy 4 fractions over a 4–6 days [\[7](#page-8-11), [36\]](#page-9-15). Similarly, Kim et al. performed FGKRS with three consecutive days for large BMs and reported a 1-year local control rate of 90% [[19](#page-9-2)]. In the present study, a 1-year local PFS of FGKRS with a 24-h interfraction interval for large BMs was 80.8%, whereas that of FGKRS with prolonged schedule including at least a 48-h interval was 100%. The latter fractionation schedule seemed to be tolerable and efective for large BMs. This may be attributable to 48- to 72-h interfraction intervals that permit more efficient reoxygenation of hypoxic tumor cells and redistribution of the cell cycle to a more sensitive phase. In addition, considering that 2 or 3 procedures with interfraction intervals of 2- or 3-weeks may be burdensome from the patient's perspective, shorter interfraction intervals over the shorter treatment period, as described in this study, may be suitable for FGKRS in the treatment of large BMs, with similar tumor control rates and comparable toxicity rates. In addition, given that the pin-based, rigid head frame system is devoid of reproducibility once it was removed, Gamma Knife® Icon™ was developed to facilitate fractionated radiosurgery as well as frameless fxation, enabling onboard verifcation of patient position and correction using a quality CBCT system [[37\]](#page-9-16).

Radiation‑related complications after FGKRS

The most common late-delayed radiation efect of SRS is the development of radiation-induced necrosis at a rate of $2-15\%$ [\[7,](#page-8-11) [23](#page-9-3), [38\]](#page-9-17). The risk of radiation-induced necrosis generally increases with higher doses, prior history of radiation therapy, and larger target volumes. Minniti et al. published a retrospective study that compared single-fraction SRS to a median dose of 18 Gy with multifraction SRS to a total dose of 27 Gy in 3 fractions in the patients with large BMs; the incidence of radionecrosis after multifraction SRS was 14.4% compared with 27.7% after single-session SRS [[12\]](#page-8-7). In this study, 6 (26.1%) and 2 (9.1%) in the standard and prolonged groups manifested neurological symptoms, respectively, although no signifcant diference was observed between two diferent fractionation schemes (Table [4](#page-7-0)).

Study limitations

There are several limitations to this preliminary study. First, the current study was retrospective in design, based on a single institutional experience. Unknown bias may be inherent in patient selection for FGKRS in the treatment of large BMs. Second, our sample size was relatively small and approximately one-third of 65 patients who underwent 3-fraction GKRS were excluded due to a variety of reasons. Such a small cohort and relatively large number of cases excluded in analysis may limit the generalizability and statistical power. Third, FGKRS was applied using 3 diferent dose regimens with a total dose of 24, 27, and 30 Gy. The distribution of dose was not statistically diferent in the standard and prolonged interval groups, and it is not likely to be a major factor of diferent outcomes in this study. However, the combined efect of dose per fraction and interfraction interval might affect the efficacy and toxicity of treatment. Therefore, optimal fractionation schedule should be always considered with dose per fraction or total dose, and optimal dose needs to be defned according to each specifc fractionation schedule in future studies. Fourth, a multiparametric study is encouraged to improve diagnostic accuracy for assessment of tumor response, because rCBV analysis for posttreatment evaluation may encompass treatment-related infammation, leading to underestimation of viable tumor angiogenesis [\[39\]](#page-9-18). Lastly, the relatively short follow-up period could have underestimated the risk of delayed radiation-induced neurotoxicity and tumor progression. A longer

follow-up study of a larger cohort is necessary to consolidate the efficacy and safety of FGKRS with an interfraction interval of at least 48-h. Furthermore, prospective confrmation of the preliminary results from the study is warranted.

Conclusion

In summary, this study represents a single institution's preliminary experience with 3-fraction GKRS of standard and prolonged interfraction intervals, although it is limited by relatively short follow-up and by the absence of prospective assessment of patient outcomes. Our preliminary tumor control results suggest a promising role of 3-fraction GKRS with an interfraction interval of at least 48-h. This fractionation regimen could be an efective and safe treatment option in the management of large BMs, while decreasing the risk of radiation-related complications. A randomized controlled study is necessary to determine the efficacy and safety of the fractionation regimen in this study.

Compliance with ethical standards

Conflict of interest The authors report no confict of interest concerning the materials or methods used in this study or the fndings specifed in this paper.

Ethical approval All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. This article does not contain any studies with animals performed by any of the authors.

Informed consent This study was approved by our institutional review board as minimal risk, thus negating need for written consent from each patient.

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