#### **CLINICAL STUDY**



# Longitudinal cognitive assessment in patients with primary CNS lymphoma treated with induction chemotherapy followed by reduced-dose whole-brain radiotherapy or autologous stem cell transplantation

Denise D. Correa<sup>1,2</sup> • Erica Braun<sup>1</sup> • Maria Kryza-Lacombe<sup>1,6</sup> • Ka-Wai Ho<sup>1</sup> • Anne S. Reiner<sup>3</sup> • Katherine S. Panageas<sup>3</sup> • Joachim Yahalom<sup>4</sup> • Craig S. Sauter<sup>5</sup> • Lauren E. Abrey<sup>1,7</sup> • Lisa M. DeAngelis<sup>1,2</sup> • Antonio Omuro<sup>1,8</sup>

Received: 20 June 2019 / Accepted: 31 July 2019 / Published online: 3 August 2019 © Springer Science+Business Media, LLC, part of Springer Nature 2019

#### **Abstract**

**Introduction** The standard treatment for primary central nervous system lymphoma (PCNSL) involves induction methotrexate-based chemotherapy with or without consolidation whole brain radiotherapy (WBRT). As WBRT carries a substantial risk for cognitive impairment, alternative consolidation treatments have been used to reduce neurotoxicity, including reduced-dose WBRT (rdWBRT) or high-dose chemotherapy with autologous stem cell transplant (HDC-ASCT). In this study, we characterized cognitive functions in PCNSL patients achieving long-term remission following rdWBRT or HDC-ASCT. **Methods** PCNSL patients completed cognitive evaluations at diagnosis, post-induction chemotherapy, and yearly up to 5 years following rdWBRT or HDC-ASCT. Quality of life (QoL), white matter (WM) disease, and cortical atrophy (CA) on MRI were assessed at similar intervals.

Results Performance was impaired on most cognitive tests at diagnosis. Linear mixed model analyses in each group showed statistically significant improvement from baseline up to year 3 in attention/executive functions, graphomotor speed, and memory; however, there was a decline in attention/executive functions and memory after year 3 in both groups. WM abnormalities increased over time in both groups, but more patients treated with rdWBRT developed CA and WM changes. There were no significant longitudinal group differences in cognitive performance or QoL.

**Conclusions** Results indicated improvement in cognitive function up to 3 years post-treatment, but a decline at later time points and an increase in brain structure abnormalities in both groups. The findings suggest that rdWBRT and HDC-ASCT may be associated with delayed neurotoxicity in progression-free patients and underscore the need for long-term follow-up to characterize cognitive dysfunction in PCNSL patients.

Keywords Cognitive · Primary CNS lymphoma · Chemotherapy · Radiotherapy · Autologous stem cell transplant

- □ Denise D. Correa corread@mskcc.org
- Department of Neurology, Memorial Sloan Kettering Cancer Center, 1275 York Avenue, New York, NY 10065, USA
- Department of Neurology, Weill Cornell Medical College, New York, NY, USA
- Department of Epidemiology & Biostatistics, Memorial Sloan Kettering Cancer Center, New York, NY, USA
- Department of Radiation Oncology, Memorial Sloan Kettering Cancer Center, New York, NY, USA

- Department of Medicine, Memorial Sloan Kettering Cancer Center, New York, NY, USA
- Present Address: San Diego Joint Doctoral Program in Clinical Psychology, San Diego State University/University of California, San Diego, CA, USA
- Present Address: Novartis Oncology, Basel, Switzerland
- Present Address: Yale Cancer Center and Department of Neurology, Yale School of Medicine, New Haven, CT, USA

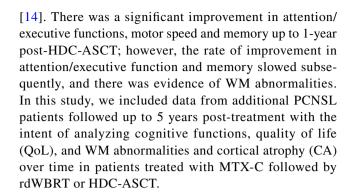


#### Introduction

Treatment of primary central nervous system lymphoma (PCNSL) typically consists of induction methotrexatebased chemotherapy (MTX-C) with or without consolidation whole brain radiotherapy (WBRT). Although these treatments prolong survival [1, 2], there is a risk of neurotoxicity that increases with advanced age at treatment, and in patients with prolonged disease-free survival [3]. MTX-C and WBRT may each cause CNS damage, but there is synergistic toxicity when these modalities are combined [4]. The adverse effects of chemotherapy and radiotherapy have been described to involve demyelination, inflammation, microvascular injury, depletion of oligodendrocytes [5, 6], microglial inflammation and disruption of hippocampal neurogenesis [7–9]. Modified treatments including MTX-C alone [2, 10–13], or followed by reduced-dose WBRT (rdWBRT) or by high-dose chemotherapy with autologous stem cell transplantation (HDC-ASCT) [1, 14–16] have been used in part as an attempt to reduce neurotoxicity in PCNSL patients.

PCNSL patients often experience cognitive difficulties that interfere with their ability to function at pre-diagnosis levels despite adequate disease control. However, cognitive outcomes have been included in a limited number of clinical trials. Literature reviews [17, 18] indicated that WBRT was associated with cognitive impairment in most PCNSL patients, but the retrospective designs limited the ability to determine the specific contributions of tumor and treatment. Prospective studies involving patients treated with MTX-C alone, reported cognitive impairment at diagnosis and no significant decline over time; however, several studies had methodological problems such as variable follow-up intervals, inclusion of patients with tumor progression, and the use of mental status screening tools with limited sensitivity in this population [17, 18]. The results of recent randomized prospective trials in patients treated with regimens including MTX-C followed by consolidation with WBRT or HDC-ASCT [15, 16] reported improvement in cognitive functions over a period of either 2 or 3 years in patients treated with HDC-ASCT, but a decline in patients treated with WBRT.

Our group assessed cognitive functions prospectively in 12 PCNSL patients treated with MTX-C followed by rdW-BRT and high-dose cytarabine (ARA-C) [1], and reported a significant improvement in attention/executive functions and memory after induction MTX-C. Cognitive performance was relatively stable up to 4 years post-treatment; however, there was a significant decline in motor speed and an increase in white matter (WM) abnormalities. We assessed cognitive functions prospectively over 2 years in 15 patients treated with MTX-C followed by HDC-ASCT



## **Patients and methods**

Immunocompetent patients with newly diagnosed histologically-confirmed B-cell PCNSL treated with induction chemotherapy including rituximab, MTX, procarbazine and vincristine (R-MPV) followed by consolidation with rdW-BRT+ARA-C or HDC-ASCT as part of two prospective phase II clinical trials at Memorial Sloan Kettering Cancer Center (MSKCC) assessing the efficacy and safety of these treatment regimens [1, 14], with no evidence of disease progression during the entire follow up period, completed serial cognitive evaluations up to 5 years post-treatment.

# R-MPV followed by rdWBRT + ARA-C

Induction chemotherapy consisted of five to seven cycles of R-MPV. Patients with a complete response post-R-MPV received consolidation rdWBRT (23.4 Gy, 1.8 Gy per fraction X 13 daily) followed by two cycles of ARA-C. Fourteen patients completed cognitive assessments at diagnosis, post-R-MPV and prior to rdWBRT, and at yearly intervals up to 5 years after rdWBRT and ARA-C. Four patients were not available at the 5-year follow-up for the following reasons: one had disease progression, two declined cognitive testing, and one was no longer followed at MSKCC; these patients were included in the analysis for all prior timepoints.

## R-MPV followed by HDC-ASCT

Induction chemotherapy consisted of five to seven cycles of R-MPV. Patients with a complete or partial response post-R-MPV proceeded with HDC including thiotepa, busulfan, and cyclophosphamide followed by an ASCT. Fifteen patients completed cognitive assessments at diagnosis, post-R-MPV and prior to HDC-ASCT, and at yearly intervals up to 5 years post-transplant. Two patients did not complete cognitive follow ups at years 4 and 5 for the following reasons: one declined cognitive testing and the other was no longer followed at MSKCC; these patients were included in the analysis for all prior timepoints.



#### Measures

### Cognitive assessment

Patients completed standardized neuropsychological tests and self-report mood and quality of life (QoL) scales, as per published guidelines for the assessment of PCNSL patients [17]. Raw cognitive test scores were compared with published normative values according to age and education, and converted into z-scores to characterize the presence and severity of cognitive difficulties. Cognitive impairment was defined as a z-score  $\leq -1.5$  standard deviations from the normative values [17].

## **Cognitive test battery**

Attention/Executive Functions Trail Making Test Parts A and B (TMTA, TMTB) [19]; Brief Test of Attention (BTA) [20], Controlled Oral Word Association Test (COWAT) [21].

*Verbal Memory* Hopkins Verbal Learning Test- Revised (HVLT-R) [22]: HVLT-R-Total Learning, HVLT-R-Delayed Recall, HVLT-R-Discrimination Index.

*Graphomotor Speed* Grooved Pegboard Test (GPT, Dominant and Non-Dominant hand) [23].

### Mood and QoL questionnaires

Beck Depression Inventory (BDI) [24]
Functional Assessment of Cancer Therapy-Brain (FACT-BR) [25]

## **Neuroimaging**

Brain magnetic resonance imaging (MRI) scans, performed within approximately ± three months of the cognitive evaluation, were rated for the presence of periventricular WM abnormalities and CA. WM ratings were performed on fluidattenuated inversion recovery sequences for most patients, and if not available, T2-weighted sequences were used. WM ratings were performed using a modified Fazekas scale [26] and included: no WM disease (Grade 0); minimal patchy WM foci (Grade 1); start of confluence of WM disease (Grade 2); large confluent areas (Grade 3). Tumor and surrounding edema were excluded from these measurements. CA ratings were performed on T1-weighted sequences using a global CA scale [27] and included: normal volume/no ventricular enlargement (Grade 0); opening of sulci/mild ventricular enlargement (Grade 1); volume loss of gyri/moderate ventricular enlargement (Grade 2); 'knife blade' atrophy/ severe ventricular enlargement (Grade 3).

## Statistical analyses

Demographics were summarized using descriptive statistics and compared across treatment regimens using the Chisquared test or Fisher's Exact test for categorical variables, and the Wilcoxon two-sample test or the two-sample t-test for continuous variables, as appropriate. Cognitive tests scores were summarized using descriptive statistics. Linear mixed models (LMMs) adjusting for age were used to assess longitudinal trajectories of test scores for each group separately, and then groups were combined in additional models to test for interactions with treatment regimen. Exact followup assessment times from baseline were calculated, and both linear and quadratic terms were estimated by the LMMs. Wilcoxon two-sample tests were used to compare scores at specific time points by treatment groups. McNemar's test was used to test for significant changes in WM and CA ratings between assessments for each regimen, and the Homogeneity of Stratum Effects (HSE; https://www.ncbi.nlm.nih. gov/pubmed/24697196) test was used to compare change in MRI ratings from baseline to each time point between treatment groups. Associations between binary WM and CA ratings and cognitive test scores were assessed using the Wilcoxon two-sample test. All analyses and graphics were performed using SAS v9.4 (Cary, NC) and R v3.5.2. All tests were two-sided with an alpha level of 0.05 for statistical significance. We did not adjust for multiple comparisons given the small sample size.

#### Results

Table 1 presents demographic, disease and treatment history per treatment group. There were no statistically significant differences between groups in age, education, estimated IQ, or disease characteristics.

## **Cognitive functions**

At baseline and prior to R-MPV, mean z-scores were in the impaired range (z-score  $\leq -1.5$ ) on most tests of attention/executive functions, memory, and motor speed in all patients (Fig. 1).

In patients treated with consolidation rdWBRT+ARA-C the results of LMMs showed significant positive linear time components indicating continuous improvement from baseline up to year 3 on the TMTA (p=0.004), TMTB (p=0.03), BTA (p=0.001), COWAT (p=0.01), HVLT-R-Discrimination Index (p=0.01), and GPT dominant (p=0.003) and non-dominant (p=0.01) hands. However, a statistically significant quadratic time component was observed suggesting



Table 1 Demographic, disease and treatment history

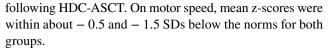
	Treatment group	
	rdWBRT (n=14)	HDC-ASCT (n=15)
Sex (M/F)	10/4	6/9
Handedness (R/L)	12/2	14/1
Age at baseline (years)		
Mean (SD)	59 (7.2)	52 (13.2)
Median (range)	58 (49–76)	53 (24–68)
Mean education (years)	14 (4.8)	16 (3.2)
Mean Est. VIQ	108 (11.9)	110 (6.1)
Tumor location		
Frontal	3	4
Frontal/temporal/parietal	2	3
Temporal/parietal	3	1
Cortical/subcortical	6	7
Predominant tumor side		
Left	2	1
Right	8	4
Bilateral	4	10
Biopsy/resection	11/3	12/3
WBRT dose (cGy)	2340	N/A

rdWBRT reduced-dose whole brain radiotherapy, HDC-ASCT highdose chemotherapy and autologous stem cell transplant, Est.VIQ estimated verbal IQ (NAART or Barona Index)

a decline after year 3 on the TMTA (p = 0.004), HVLT-R-Learning (p = 0.03) and HVLT-R-Delayed Recall (p = 0.01) (Fig. 1).

In patients treated with HDC-ASCT the results of LMMs showed significant positive linear time components indicating continuous improvement from baseline up to year 3 on the TMTA (p=0.002), TMTB (p=0.02), COWAT (p=0.003), HVLT-R-Learning (p=0.004), HVLT-R-Delayed Recall (p<0.0001), and GPT dominant (p=0.02) and non-dominant (p=0.04) hands. However, a statistically significant quadratic time component was observed after year 3 suggesting a slowed rate of improvement on the TMTA (p=0.008) and TMTB (p=0.047), and a decline on the COWAT (p=0.008), BTA (p=0.008), HVLT-R-Learning (p=0.001), HVLT-R-Delayed Recall (p=0.007) and GPT non-dominant hand (p=0.0007) (Fig. 1).

Group Comparisons The results of LMM analysis adjusting for age showed no statistically significant longitudinal differences between the two groups on any of the cognitive tests. On tests of attention/executive functions, mean z-scores remained within about 0 and -1 SDs from normative values following R-MPV through year 5 for both groups. On tests of memory (HVLT-R-Learning, HVLT-R-Delayed Recall), mean z-scores were within about -1 and -1.7 SDs from the norms following consolidation with rdWBRT+ARA-C, and within about -0.5 and -1.5 SDs



The 6 patients who did not complete either the year 5 follow-up in the rdWBRT group or the year 4/5 follow-ups in the HDC-ASCT group were not statistically significantly different from the remaining participants on age, education, or baseline cognitive performance, except for worse performance on the HVLT-R Total Learning (p=0.04).

## Self-reported mood and QoL

The results of LMMs analysis showed a statistically significant improvement in the FACT-BR scores (i.e., better QoL) from baseline over time in patients treated with rdWBRT + ARA-C (p = 0.0005) and with HDC-ASCT (p < 0.0001), with a sharp improvement from baseline to year 1. BDI scores declined significantly (i.e., fewer symptoms of depression) from baseline over time in patients treated with rdWBRT+ARA-C (p=0.01) and with HDC-ASCT (p < 0.0001); mean scores were not indicative of depression at any time point. A statistically significant quadratic time component was observed suggesting that the rate of improvement for the FACT-BR (p < 0.0001) and the rate of decline for the BDI (p=0.001) slowed after year 2 in patients treated with HDC-ASCT. The results of LMMs analysis adjusting for age showed no statistically significant longitudinal differences in the FACT-BR or BDI between the two groups (Fig. 2).

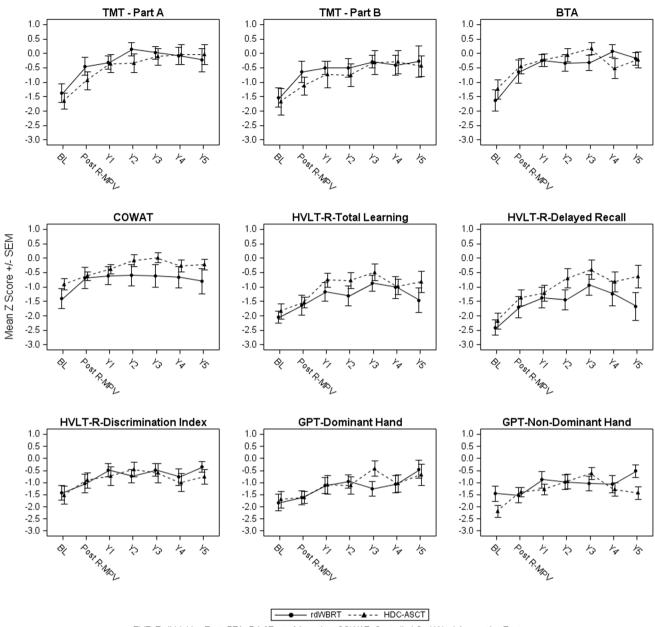
### **Neuroimaging**

In patients treated with rdWBRT analysis of WM ratings showed statistically significant worsening from baseline to years 3 and 4, with 70% of patients with grades 0/1 at baseline changing to grade 2/3 by years 3 and 4 (McNemar's test p-values 0.03 and 0.03, respectively for years 3 and 4). Analysis of CA ratings showed statistically significant worsening from post-R-MPV to years 3 and 4, with 56% of patients with grades 0/1 post-R-MPV changing to grade 2 (no ratings > 2) by years 3 and 4 (McNemar's test p-values 0.03 and 0.03, respectively for years 3 and 4) (Tables 2, 3).

In patients treated with HDC-ASCT analysis of WM ratings showed statistically significant worsening from baseline to years 1 through 5, with 40% of patients with grades 0/1 at baseline changing to grade 2/3 by year 5 (McNemar's test p-values 0.03, 0.03, 0.03, 0.03, and 0.046, respectively for years 1, 2, 3, 4 and 5). Analysis of CA ratings showed no statistically significant longitudinal changes (no ratings > 2) (Tables 2, 3).

*Group comparisons* There were no statistically significant longitudinal differences between the two groups in WM or CA ratings.





TMT=Trail Making Test; BTA=Brief Test of Attention; COWAT=Controlled Oral Word Assocation Test;
HVLT-R=Hopkins Verbal Learning Test-Revised; GPT=Grooved Pegboard Test;
BL=baseline; Post R-MPV=post induction chemotherapy; Y1=1 year; Y2=2 years; Y3=3 years; Y4=4 years; Y5=5 years

Fig. 1 Cognitive Test Z-Scores: Attention/Executive Functions (TMT-Part A; TMT-Part B; BTA; COWAT), Verbal Memory (HVLT-R-Total learning; HVLT-R-Delayed Recall; HVLT-R-Discrimination Index), Graphomotor Speed (GPT-Dominant and Non-Dominant Hand)

Associations Overall, for patients at years 3, 4, and 5, mean cognitive test z-scores were lower for those with WM grades 2/3 and CA grades 2 compared to those with grades 0/1. At year 3 and 4, CA ratings were significantly associated with performance on the GPT dominant (p=0.03 and p=0.009, respectively) and non-dominant (p=0.02 and p=0.0095, respectively) hands, such that patients with CA grades 2 had worse performance compared to patients with grades 0/1. At year 5, WM ratings

were significantly associated with performance on the BTA ( $p\!=\!0.02$ ) and approached significance for the GPT dominant hand ( $p\!=\!0.06$ ), with patients with grades 2/3 having worse performance compared to patients with grades 0/1. For the TMTB, associations with CA ratings approached significance at years 3, 4 and 5 ( $p\!=\!0.06$ ,  $p\!=\!0.09$ ,  $p\!=\!0.06$ , respectively) and associations with WM ratings approached significance at year 4 ( $p\!=\!0.06$ ), with patients with CA or WM grades 2/3 having worse



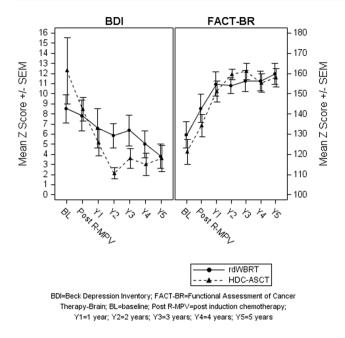


Fig. 2 Mood (BDI) and quality of life (FACT-BR)

performance compared to patients with grades 0/1. Overall, for patients at years 3, 4, and 5, mean BDI scores were higher and FACT-BR scores were lower for patients with WM grades 2/3 and CA grades 2 compared to patients with grades 0/1, and there were no statistically significant associations.

# Discussion

This study assessed cognitive functions longitudinally in PCNSL patients treated with R-MPV followed by consolidation with rdWBRT+ARA-C or HDC-ASCT that were progression-free up to 5 years post-treatment. Most patients had cognitive impairment at diagnosis likely related to disease burden, and possibly to the adverse effects of antiepileptic and corticosteroid medications [28, 29]. There were no significant longitudinal group differences in cognitive functions over time. Initially, there was a significant improvement in attention/executive function, memory and motor speed following R-MPV in both groups, likely related to tumor response to treatment. However, given the impaired scores at baseline, regression to the mean may have also influenced the improvement observed after R-MPV. These findings of cognitive improvement post-induction chemotherapy are consistent with our prior results in a subset of patients treated with these regimens [1, 14], and with reports from other groups [15–18].

Longitudinal follow-up showed improvement in most cognitive domains from baseline up to year 3 for both groups, which is overall consistent with some of our prior

**Fable 2** White matter (WM) abnormalities

MRI	BL		Post-R-MPV	PV	Y1		Y2		Y3		Y4		Y5	
grade	rd-WBR7	d-WBRT HDC- ASCT	rd-WBRT HDC-	HDC- ASCT	rd-WBRT	d-WBRT HDC- ASCT	rd-WBRJ	d-WBRT HDC- ASCT	rd-WBR	rd-WBRT HDC- ASCT	rd-WBRT HDC-	HDC- ASCT	rd-WBRI	d-WBRT HDC-ASCT
	n = 12	n = 15	n = 12	n = 15	n = 13	n = 15	n = 13	n = 15	n = 13	n = 14	n = 13	n = 13	n = 10	n=13
Grade 0/1	10	12	6	10	9	7	9	7	4	7	4	5	3	9
Grade 2/3	2	3	3	S	7	8	7	8	6	7	6	8	7	7

BL baseline, rdWBRT reduced-dose whole brain radiotherapy, HDC-ASCT high-dose chemotherapy and autologous stem cell transplant, Y1 1 year, Y2 2 years, Y3 3 years, Y4 4 years, Y5 5 years Grade 0/1 no/minimal WM disease, Grade 2/3 start of confluence/large confluent areas

 Fable 3
 Cortical atrophy

MRI	BL		Post-R-MPV	IPV	Y1		Y2		Y3		Y4		Y5	
grade	rd-WBRT	rd-WBRT HDC- ASCT	rd-WBR	rd-WBRT HDC-	rd-WBRT HDC-	HDC- ASCT	rd-WBRT	rd-WBRT HDC- ASCT	rd-WBRT	rd-WBRT HDC- ASCT	rd-WBRT HDC-	HDC- ASCT	rd-WBRT	d-WBRT HDC-ASCT
	n=12 $n=15$	n = 15	n = 12 $n = 15$	n = 15	n = 13	n = 15	n = 13	n = 15	n = 13 $n = 14$	n = 14	n = 13	n = 13	n = 10	n = 13
Grade 0/1 12	12	15	12	14	12	11	10	12	10	11	10	10	10	10
Grade 2/3	0	0	0	1	1	4	3	3	3	3	3	3	0	3

BL baseline, rdWBRT reduced-dose whole brain radiotherapy, HDC-ASCT high-dose chemotherapy and autologous stem cell transplant, Y1 1 year, Y2 2 years, Y3 3 years, Y4 4 years, Y5 5 years, Grade 0/1 no/mild ventricular enlargement, Grade 2/3 moderate/severe ventricular enlargement results [1, 14]. However, there was a significant decline in attention/executive functions and memory after year 3 in both groups, suggesting that these regimens may be associated with late delayed neurotoxicity. Moreover, mean test z-scores remained below expected normative values during the follow up period on most cognitive domains suggesting a relatively diffuse pattern of deficits. Patients treated with rdWBRT+ARA-C had lower scores in learning and delayed recall over time than patients treated with HDC-ASCT, particularly after year 3, although the comparisons did not reach statistical significance, possibly due to reduced power and interpatient variability. This observation is consistent with some studies suggesting increased sensitivity of hippocampal-mediated functions to radiotherapy [30, 31], although several studies have reported primarily frontal-subcortical dysfunction [32, 33]. Similar to the cognitive findings, there was significant improvement in self-reported mood and QoL over time in both groups, with no significant longitudinal group differences.

The results of the second randomization of the International Extranodal Lymphoma Study Group-32 (IELSG32) phase 2 trial [15] reported improvement in cognitive functions over 2 years in patients treated with induction chemoimmunotherapy followed by consolidation HDC-ASCT (N=27), but a progressive decline in attention/executive functions in patients treated with consolidation WBRT (N = 30; 3600 cGy). Similarly, in the randomized phase 2 PRECIS Study [16] there was evidence of stable or improved cognitive functions up to 3 years post-treatment in more than one half of patients treated with HDC-ASCT, but executive function declined in most patients treated with WBRT (4000 cGy). Our study including a 5-year follow-up suggests that patients treated with HDC-ASCT may also experience cognitive decline, and underscores the importance of performing longitudinal follow ups in this population. Our findings also suggest that regimens including rdWBRT (2340 cGy) may be associated with less severe delayed neurotoxicity than described after full-dose WBRT [5, 32, 33], consistent with the suggestion that radiation dose may be a major factor influencing the risk of neurotoxicity [15].

In this study, there was a significant increase in WM abnormalities over time in both treatment groups. Group comparisons showed no significant longitudinal differences between treatment groups in WM or CA ratings, although a larger number of patients treated with rdWBRT had an increase in WM and CA by year 3. These findings are consistent with previously reported structural brain abnormalities following chemotherapy + WBRT for PCNSL [2, 34] including WM disease and CA [34, 35], albeit these changes seemed less pronounced than after full-dose WBRT [32, 33]. WM abnormalities have also been reported in PCNSL patients treated with HDC alone [32, 36], and alterations in WM integrity and gray matter volume have been described



in patients with non-CNS cancers treated with chemotherapy with or without total body irradiation followed by SCT [37, 38]. Our results suggest that both HDC-ASCT and rdW-BRT may be associated with WM abnormalities in PCNSL patients achieving long-term remission, and that patients treated with rdWBRT may be at greater risk. Overall, patients with more WM abnormalities and CA regardless of treatment modality had worse cognitive performance than patients with no/minimal changes. CA was associated with worse graphomotor speed and executive functions, and WM was associated with worse attention/executive functions. Moderate associations between WM and CA changes and cognitive function have been described in some but not all PCNSL studies [32, 33, 35]. Future studies using quantitative imaging techniques such as diffusion tensor imaging may provide greater sensitivity to assess treatment-related changes in brain structure.

The relatively small sample size and attrition are limitations of this and other longitudinal cognitive studies in PCNSL patients [18], and may diminish the power to detect small group differences in cognitive performance. Importantly, comparisons between the two groups in this study should be viewed with caution as patients were not randomly assigned to the treatment regimens/clinical trials, and inherent differences in demographic and disease characteristics may have influenced the results. Moreover, we studied patients without disease progression and our results may not be representative of all patients who participated in the two clinical trials. In the HDC-ASCT clinical trial, a larger proportion of patients were progression-free and younger, whereas more patients in the rdWBRT trial progressed or died [1, 14], which may have introduced patient selection bias. Also, it is possible that the few patients who declined cognitive follow-up experienced either further cognitive worsening or improvement. Nevertheless, the current findings highlight the importance of conducting long-term longitudinal studies to determine the contribution of both disease and treatment modality to cognitive outcome and QoL in this population. Prospective clinical trials have begun to incorporate published guidelines for cognitive assessment in PCNSL patients [15] and the findings will continue to advance our understanding of neurotoxicity in PCNSL patients treated with various treatment regimens. Two ongoing multi-center randomized trials from the Alliance group investigating R-MPV with and without rdWBRT (Radiation Therapy Oncology Group 1114), and myeloablative versus non-myeloablative consolidation chemotherapy (Cancer and Leukemia Group B 51,101) in PCNSL include serial cognitive assessments and may allow for a competing risk analysis accounting for progression and death as competing risks, and the results will provide additional information about cognitive outcome in large patient cohorts.



**Acknowledgements** This study was supported in part by MSKCC Department of Neurology Research and Development Funds, and the National Cancer Institute P30 CA008748 core grant.

## **Compliance with ethical standards**

Conflict of interest Dr. Correa serves on the Editorial Board of Neuro-Oncology Practice. Ms. Reiner serves on the Editorial Board of Journal of the National Cancer Institute, Journal of the National Cancer Institute Cancer Spectrum. Dr. Panageas serves on the Editorial Board of Clinical Cancer Research, Journal National of the Cancer Institute, Journal of the National Cancer Institute Cancer Spectrum. She holds stock ownership in Johnson and Johnson, Pfizer, Viking Therapeutics, Catalyst Biotech. Dr. DeAngelis serves on the Editorial Board of Neurology, Journal of Neuro-Oncology, The Open Clinical Cancer Journal, CNS Oncology, Neuro-Oncology, and Neuro-Oncology Practice, and Scientific, and on the Advisory Board of Sapience Therapeutics. Dr. Omuro serves on the Editorial Board of Journal of Neuro-Oncology and Neuro-Oncology Practice, and has consulted for BTG. Dr. Sauter has served as a paid consultant on Advisory Boards for: Juno Therapeutics, Sanofi-Genzyme, Spectrum Pharmaceuticals, Novartis, Genmab, Precision Biosciences, Kite, a Gilead Company and GSK. He has received research funds for investigator-initiated trials from Juno Therapeutics and Sanofi-Genzyme. Dr. Abrey is a full-time employee and shareholder in Novartis. The following co-authors have no conflicts of interest: Ms. Braun, Ms. Kryza-Lacombe, Dr. Yahalom, and Dr. Ka-Wai Ho.

## References

- Morris PG, Correa DD, Yahalom J, Raizer JJ, Schiff D, Grant B, Grimm S, Lai RK, Reiner AS, Panageas K, Karimi S, Curry R, Shah G, Abrey LE, DeAngelis LM, Omuro A (2013) Rituximab, methotrexate, procarbazine, and vincristine followed by consolidation reduced-dose whole-brain radiotherapy and cytarabine in newly diagnosed primary CNS lymphoma: final results and long-term outcome. J Clin Oncol 31:3971–3979. https://doi. org/10.1200/JCO.2013.50.4910
- Thiel E, Korfel A, Martus P, Kanz L, Griesinger F, Rauch M, Roth A, Hertenstein B, von Toll T, Hundsberger T, Mergenthaler HG, Leithauser M, Birnbaum T, Fischer L, Jahnke K, Herrlinger U, Plasswilm L, Nagele T, Pietsch T, Bamberg M, Weller M (2010) High-dose methotrexate with or without whole brain radiotherapy for primary CNS lymphoma (G-PCNSL-SG-1): a phase 3, randomised, non-inferiority trial. Lancet Oncol 11:1036–1047. https://doi.org/10.1016/S1470-2045(10)70229-1
- DeAngelis LM, Seiferheld W, Schold SC, Fisher B, Schultz CJ, Radiation Therapy Oncology Group S (2002) Combination chemotherapy and radiotherapy for primary central nervous system lymphoma: Radiation Therapy Oncology Group Study 93–10. J Clin Oncol 20:4643–4648
- Behin A, DeLattre JY (2003) Neurologic sequelae of radiotherapy on the nervous system. In: Schiff D, Wen PY (eds) Cancer neurology in clinical practice. Humana Press, Totowa, pp 173–191
- DeAngelis LM, Posner JB (2009) Side effects of radiation therapy. In: DeAngelis LM, Posner JB (eds) Neurologic complications of cancer, 2nd edn. Oxford University Press, New York, pp 551–555
- Monje M, Thomason ME, Rigolo L, Wang Y, Waber DP, Sallan SE, Golby AJ (2013) Functional and structural differences in the hippocampus associated with memory deficits in adult survivors of acute lymphoblastic leukemia. Pediatr Blood Cancer 60:293– 300. https://doi.org/10.1002/pbc.24263

- Dietrich J, Han R, Yang Y, Mayer-Proschel M, Noble M (2006) CNS progenitor cells and oligodendrocytes are targets of chemotherapeutic agents in vitro and in vivo. J Biol 5:1–23. https://doi.org/10.1186/jbiol50
- Monje ML, Vogel H, Masek M, Ligon KL, Fisher PG, Palmer TD (2007) Impaired human hippocampal neurogenesis after treatment for central nervous system malignancies. Ann Neurol 62:515–520. https://doi.org/10.1002/ana.21214
- Gibson EM, Nagaraja S, Ocampo A, Tam LT, Wood LS, Pallegar PN, Greene JJ, Geraghty AC, Goldstein AK, Ni L, Woo PJ, Barres BA, Liddelow S, Vogel H, Monje M (2019) Methotrexate chemotherapy induces persistent tri-glial dysregulation that underlies chemotherapy-related cognitive impairment. Cell 176(43–55):e13. https://doi.org/10.1016/j.cell.2018.10.049
- Gerstner ER, Carson KA, Grossman SA, Batchelor TT (2008) Long-term outcome in PCNSL patients treated with high-dose methotrexate and deferred radiation. Neurology 70:401–402. https://doi.org/10.1212/01.wnl.0000300671.37279.0e
- Neuwelt EA, Guastadisegni PE, Varallyay P, Doolittle ND (2005)
   Imaging changes and cognitive outcome in primary CNS lymphoma after enhanced chemotherapy delivery. AJNR Am J Neuroradiol 26:258–265
- Illerhaus G, Marks R, Muller F, Ihorst G, Feuerhake F, Deckert M, Ostertag C, Finke J (2009) High-dose methotrexate combined with procarbazine and CCNU for primary CNS lymphoma in the elderly: results of a prospective pilot and phase II study. Ann Oncol 20:319–325. https://doi.org/10.1093/annonc/mdn628
- Juergens A, Pels H, Rogowski S, Fliessbach K, Glasmacher A, Engert A, Reiser M, Diehl V, Vogt-Schaden M, Egerer G, Schackert G, Reichmann H, Kroschinsky F, Bode U, Herrlinger U, Linnebank M, Deckert M, Fimmers R, Schmidt-Wolf IG, Schlegel U (2010) Long-term survival with favorable cognitive outcome after chemotherapy in primary central nervous system lymphoma. Ann Neurol 67:182–189. https://doi.org/10.1002/ana.21824
- Omuro A, Correa DD, DeAngelis LM, Moskowitz CH, Matasar MJ, Kaley TJ, Gavrilovic IT, Nolan C, Pentsova E, Grommes CC, Panageas KS, Baser RE, Faivre G, Abrey LE, Sauter CS (2015) R-MPV followed by high-dose chemotherapy with TBC and autologous stem-cell transplant for newly diagnosed primary CNS lymphoma. Blood 125:1403–1410. https://doi.org/10.1182/blood-2014-10-604561
- 15. Ferreri AJM, Cwynarski K, Pulczynski E, Fox CP, Schorb E, La Rosee P, Binder M, Fabbri A, Torri V, Minacapelli E, Falautano M, Ilariucci F, Ambrosetti A, Roth A, Hemmaway C, Johnson P, Linton KM, Pukrop T, Sonderskov Gorlov J, Balzarotti M, Hess G, Keller U, Stilgenbauer S, Panse J, Tucci A, Orsucci L, Pisani F, Levis A, Krause SW, Schmoll HJ, Hertenstein B, Rummel M, Smith J, Pfreundschuh M, Cabras G, Angrilli F, Ponzoni M, Deckert M, Politi LS, Finke J, Reni M, Cavalli F, Zucca E, Illerhaus G, International Extranodal Lymphoma Study G (2017) Whole-brain radiotherapy or autologous stem-cell transplantation as consolidation strategies after high-dose methotrexate-based chemoimmunotherapy in patients with primary CNS lymphoma: results of the second randomisation of the International Extranodal Lymphoma Study Group-32 phase 2 trial. Lancet Haematol 4:e510–e523. https://doi.org/10.1016/S2352-3026(17)30174-6
- 16. Houillier C, Taillandier L, Dureau S, Lamy T, Laadhari M, Chinot O, Molucon-Chabrot C, Soubeyran P, Gressin R, Choquet S, Damaj G, Thyss A, Abraham J, Delwail V, Gyan E, Sanhes L, Cornillon J, Garidi R, Delmer A, Tanguy ML, Al Jijakli A, Morel P, Bourquard P, Moles MP, Chauchet A, Gastinne T, Constans JM, Langer A, Martin A, Moisson P, Lacomblez L, Martin-Duverneuil N, Delgadillo D, Turbiez I, Feuvret L, Cassoux N, Touitou V, Ricard D, Hoang-Xuan K, Soussain C, Intergroupe G-A, Lymphoma LOCNfC (2019) Radiotherapy or autologous stem-cell transplantation for primary CNS lymphoma in patients

- 60 years of age and younger: results of the intergroup ANOCEF-GOELAMS Randomized Phase II PRECIS Study. J Clin Oncol 37(10):823–833. https://doi.org/10.1200/JCO.18.00306
- Correa DD, Maron L, Harder H, Klein M, Armstrong CL, Calabrese P, Bromberg JE, Abrey LE, Batchelor TT, Schiff D (2007)
  Cognitive functions in primary central nervous system lymphoma:
  literature review and assessment guidelines. Ann Oncol 18:1145
  1151. https://doi.org/10.1093/annonc/mdl464
- van der Meulen M, Dirven L, Habets EJJ, van den Bent MJ, Taphoorn MJB, Bromberg JEC (2018) Cognitive functioning and health-related quality of life in patients with newly diagnosed primary CNS lymphoma: a systematic review. Lancet Oncol 19:e407–e418. https://doi.org/10.1016/S1470-2045(18)30356-5
- Reitan RWD (1985) The Halstead-Rietan neuropsychological test battery. Neuropsycohological Press, Tucson
- Schretlen D, Bobholz JH, Brandt J (1996) Development and psychometric properties of the brief test of attention. Clin Neuropsychol 10:80–89
- Benton L, Hamsher K, Sivan A (1994) Controlled oral word association test. Multilingual aphasia examination. AJA Associates, Iowa City
- Bennedict RHB, Schretlen D, Groninger L, Brandt J (1998) Hopkins verbal learning test-revised: normative data and analysis of inter-form and test-retest reliability. Clin Neuropsychol 12:43–55
- Heaton RK, Miller SW, Taylor MJ, Grant I (2004) Revised comprehensive norms for an expanded Halstead-Reitan battery: demographically adjusted neuropsychological norms for African American and caucasian adults. Psychological Assessment Resources Inc., Luzt
- Beck AT, Ward CH, Mendelson M, Mock J, Erbaugh J (1961)
   An inventory for measuring depression. Arch Gen Psychiatry 4:561–571
- Cella D (1997) Functional assessment of chronic illness therapy (FACIT) measurement system. Research and education core. Evanston Northwestern Healthcare, Evanston
- Fazekas F, Chawluk JB, Alavi A, Hurtig HI, Zimmerman RA (1987) MR signal abnormalities at 1.5 T in Alzheimer's dementia and normal aging. AJR Am J Roentgenol 149:351–356. https:// doi.org/10.2214/ajr.149.2.351
- Pasquier F, Leys D, Weerts JG, Mounier-Vehier F, Barkhof F, Scheltens P (1996) Inter- and intraobserver reproducibility of cerebral atrophy assessment on MRI scans with hemispheric infarcts. Eur Neurol 36:268–272. https://doi.org/10.1159/000117270
- Young AH, Sahakian BJ, Robbins TW, Cowen PJ (1999) The effects of chronic administration of hydrocortisone on cognitive function in normal male volunteers. Psychopharmacology 145:260–266
- Aldenkamp AP (2001) Effects of antiepileptic drugs on cognition.
   Epilepsia 42(Suppl 1):46–49
- Gondi V, Hermann BP, Mehta MP, Tome WA (2013) Hippocampal dosimetry predicts neurocognitive function impairment after fractionated stereotactic radiotherapy for benign or low-grade adult brain tumors. Int J Radiat Oncol Biol Phys 85:348–354. https://doi.org/10.1016/j.ijrobp.2012.11.031
- Peiffer AM, Leyrer CM, Greene-Schloesser DM, Shing E, Kearns WT, Hinson WH, Tatter SB, Ip EH, Rapp SR, Robbins ME, Shaw EG, Chan MD (2013) Neuroanatomical target theory as a predictive model for radiation-induced cognitive decline. Neurology 80:747–753. https://doi.org/10.1212/WNL.0b013e318283bb0a
- Correa DD, Shi W, Abrey LE, Deangelis LM, Omuro AM, Deutsch MB, Thaler HT (2012) Cognitive functions in primary CNS lymphoma after single or combined modality regimens. Neuro Oncol 14:101–108. https://doi.org/10.1093/neuonc/nor186
- Doolittle ND, Korfel A, Lubow MA, Schorb E, Schlegel U, Rogowski S, Fu R, Dosa E, Illerhaus G, Kraemer DF, Muldoon LL, Calabrese P, Hedrick N, Tyson RM, Jahnke K, Maron LM,



- Butler RW, Neuwelt EA (2013) Long-term cognitive function, neuroimaging, and quality of life in primary CNS lymphoma. Neurology 81:84–92. https://doi.org/10.1212/WNL.0b013e318297eeba
- Omuro AM, Ben-Porat LS, Panageas KS, Kim AK, Correa DD, Yahalom J, Deangelis LM, Abrey LE (2005) Delayed neurotoxicity in primary central nervous system lymphoma. Arch Neurol 62:1595–1600. https://doi.org/10.1001/archneur.62.10.1595
- Harder H, Holtel H, Bromberg JE, Poortmans P, Haaxma-Reiche H, Kluin-Nelemans HC, Menten J, van den Bent MJ (2004) Cognitive status and quality of life after treatment for primary CNS lymphoma. Neurology 62:544–547
- Fliessbach K, Urbach H, Helmstaedter C, Pels H, Glasmacher A, Kraus JA, Klockgether T, Schmidt-Wolf I, Schlegel U (2003) Cognitive performance and magnetic resonance imaging findings after high-dose systemic and intraventricular chemotherapy for primary central nervous system lymphoma. Arch Neurol 60:563–568. https://doi.org/10.1001/archneur.60.4.563
- Correa DD, Wang Y, West JD, Peck KK, Root JC, Baser RE, Thaler HT, Shore TB, Jakubowski A, Saykin AJ, Relkin N (2016) Prospective assessment of white matter integrity in adult stem cell transplant recipients. Brain Imaging Behav 10:486–496. https://doi.org/10.1007/s11682-015-9423-3
- Correa DD, Root JC, Baser R, Moore D, Peck KK, Lis E, Shore TB, Thaler HT, Jakubowski A, Relkin N (2013) A prospective evaluation of changes in brain structure and cognitive functions in adult stem cell transplant recipients. Brain Imaging Behav 7:478–490. https://doi.org/10.1007/s11682-013-9221-8

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

