



Natural history, clinical course and predictors of interval time from initial diagnosis to development of subsequent NSCLC brain metastases

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Abstract

Purpose Non-small cell lung cancer (NSCLC) brain metastases are associated with substantial morbidity and mortality. During recent years, accompanying dramatic improvements in systemic disease control, NSCLC brain metastases have emerged as an increasingly relevant clinical problem. However, optimal surveillance practices remain poorly defined. This purpose of this study was to further characterize the natural history, clinical course and risk factors associated with earlier development of subsequent NSCLC brain metastases to better inform clinical practice and help guide survivorship care.

Methods We retrospectively reviewed all institutional NSCLC brain metastasis cases treated with radiotherapy between 1997 and 2015. Exclusion criteria included presence of brain metastases at initial NSCLC diagnosis and incomplete staging information. Interval time to brain metastases and subsequent survival were characterized using Kaplan–Meier and multivariate Cox regression analyses.

Results Among 105 patients within this cohort, median interval time to development of brain metastases was 16 months. Median interval times were 29, 19, 16 and 13 months for Stage I–IV patients, respectively ($P=0.016$). Additional independent predictors for earlier development of NSCLC brain metastases included non-adenocarcinomatous histopathology (HR 3.036, $P<0.001$), no prior surgical resection (HR 1.609, $P=0.036$) and no prior systemic therapy (HR 3.560, $P=0.004$). Median survival following intracranial progression was 16 months. Delayed development of brain metastases was associated with better prognosis (HR 0.970, $P<0.001$) but not survival following intracranial disease onset.

Conclusions Collectively, our results provide valuable insights into the natural history of NSCLC brain metastases. NSCLC stage, histology, prior surgical resection and prior systemic therapy emerged as independent predictors for interval time to brain metastases.

Keywords Lung cancer · NSCLC · Brain metastases · Neurooncology · Radiation oncology

Deborah R. Smith and Yandong Bian have contributed equally to this work.

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Introduction

Brain metastases remain a significant source of morbidity and mortality, cumulatively affecting 10–30% of adult cancer patients nationwide. Most commonly associated with lung cancer, breast cancer, melanoma, renal cell carcinoma

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and gastrointestinal cancers, brain metastases impact almost 200,000 United States individuals each year [1–5]. Without prompt treatment, potential consequences include not only distressing symptoms including headaches, nausea, vomiting, seizures, delirium and neuropsychiatric changes that may compromise quality of life but also permanent functional deficits, paralysis, coma and even death, emphasizing the importance of early detection of intracranial disease involvement. Lung cancer remains the most common nationwide source of brain metastases, accounting for almost half of all cases [1]. Current projections estimate that approximately 30–50% of lung cancer patients will ultimately develop brain metastases [6, 7], emphasizing the need for further research characterizing their natural history and clinical course to help inform clinical practice during the modern era.

During the past 15 years, accompanying landmark advancements surrounding both the diagnosis and treatment of lung cancer (including nationwide screening recommendations, improved diagnostic approaches using endobronchial ultrasound-guided transbronchial needle aspiration (EBUS-TBNA) and preoperative PET/CT scans for improved staging accuracy, potentially curative treatment options for medically inoperable patients using stereotactic body radiotherapy, novel targeted agents and the advent of immune checkpoint inhibitors), long-term survivorship has become increasingly common. But despite improved systemic disease control, consistent with the ‘sanctuary site’ hypothesis, NSCLC patients often develop brain metastases as isolated recurrences without concurrent systemic progression. Amidst these developments, NSCLC brain metastases have emerged as an increasingly important concern. Meanwhile, optimal surveillance practices for NSCLC brain metastases beyond initial staging MRIs remain poorly defined. Current nationwide guidelines provide no systematic recommendation for interval MRIs in the absence of neurologic symptoms or systemic progression, presumably reflecting the historically poor prognosis of NSCLC. However, early detection and prompt treatment of subsequent brain metastases could not only help prevent associated complications but even potentially improve survival among well-selected patients [8–11].

While population-based research estimates that the incidence rate for subsequent brain metastases among NSCLC patients is approximately 9% [12], further research is needed toward better understanding the natural history and clinical course of subsequent NSCLC brain metastases. Previously described risk factors associated with higher incidence proportions of subsequent NSCLC brain metastases have included younger age, female gender, tumor histology (specifically, adenocarcinomas) and initial stage [1, 5, 12]. While prophylactic cranial irradiation (PCI) remains highly controversial for NSCLC [13–15], prior retrospective studies have

also examined risk factors associated with interval development of brain metastases toward identifying potential populations who might warrant such treatment [16–21].

Given our limited understanding regarding interval development of NSCLC brain metastases during the modern era, the purpose of this study was to further characterize their natural history and clinical course, as well as risk factors associated with earlier versus delayed development of subsequent brain metastases among NSCLC patients, in order to better inform clinical practice and potentially guide future research regarding optimal surveillance practices. Here, we examined the clinical course of interval brain metastases, characterized accompanying survival trends and investigated both predictive factors and prognostic implications of interval time until development of NSCLC brain metastases using a retrospective institutional cohort with prolonged follow-up.

Methods

This study was Institutional Review Board-approved and conducted in accordance with ethical standards of the 1964 Helsinki Declaration and its later amendments. We retrospectively reviewed institutional charts from patients (aged ≥ 18) diagnosed with biopsy-proven NSCLC who ultimately developed subsequent brain metastases treated using either whole-brain radiotherapy (WBRT) or Gamma Knife stereotactic radiosurgery (GKRS) from 1997 to 2015. Exclusion criteria included incomplete chart information and presence of NSCLC brain metastases at initial cancer diagnosis (e.g., NSCLC patients diagnosed with brain metastases at the time of index diagnosis). We examined baseline demographic information and treatment-related variables including age, race, gender, Karnofsky Performance Status (KPS), anatomic location of primary tumor, histology, grade, molecular alterations (specifically EGFR, KRAS and ALK mutations), primary tumor stage, nodal involvement, extracranial metastases, clinical stage at diagnosis (Stage I, II, III and IV), surgical history of the primary tumor, receipt of systemic therapy, receipt of thoracic radiation and local control at onset of brain metastases. After initial diagnosis, subsequent brain MRIs were performed according to institutional practice at the time of systemic disease progression or the development of new clinical signs or symptoms concerning for intracranial disease. Interval time to development of brain metastases was defined as the time from initial pathologic diagnosis until date of radiographic evidence of intracranial disease. Overall survival was calculated based on the time from initial diagnosis until death, censoring as needed based on last follow-up date.

Baseline patient and treatment characteristics were evaluated using descriptive statistics. Comparisons between

groups were made using independent samples t-tests for continuous variables and contingency tables with Pearson's chi-squared test and two-sided Fisher's exact tests for categorical variables, respectively. Kaplan–Meier analyses were performed for overall survival and freedom from interval development of brain metastases. Differences between groups were evaluated using Mantel-Cox log-rank, Breslow and Tarone-Ware tests. Cox proportional hazards modeling was used for both interval time to brain metastases and overall survival to estimate hazard ratios with 95% confidence intervals. Univariate Cox regression was used to identify covariates for multivariate regression using threshold P -value < 0.2 , which was performed using backward conditional modeling. P -values < 0.05 were considered significant without adjustment for multiple comparisons.

Results

Within this cohort, median follow-up was approximately 10.6 years. Cumulatively, we examined 105 patients who developed subsequent NSCLC brain metastases following a median interval time of 16 months (95% CI 14.0–20.0). Approximately 13.3%, 13.3%, 37.1% and 36.2% were originally diagnosed with Stage I, II, III and IV NSCLC, respectively (Table 1). Patients were predominantly Caucasian individuals (61.0%) with lung adenocarcinomas (81.9%). Median age was 62. Among 72 patients who underwent molecular profiling, 22.2%, 16.7% and 6.9% of tumors were EGFR-, KRAS- and ALK-positive, respectively.

Both patient and treatment characteristics concerning interval development of NSCLC brain metastases are detailed in Table 2. Most patients had successfully maintained durable primary tumor control (72.1%) at the time of intracranial progression. Notably, almost 40% of patients who developed interval NSCLC brain metastases had no other distant metastases at that time. Patients who presented with Stage I and II disease experienced significantly higher incidences of subsequent brain metastases in the absence of other distant disease involvement (64.3%, $p = 0.001$). Following interval onset of NSCLC brain metastases, commonly administered treatments included whole-brain radiotherapy (WBRT) alone (27.5%), Gamma Knife radiosurgery (GKRS) alone (27.5%) and WBRT plus GKRS (16.5%). Regarding treatment modalities for interval brain metastases, while we detected no significant association between initial stage and number of brain metastases upon onset of intracranial disease involvement, treatment using surgical resection followed by WBRT was significantly more common among early-stage patients (35.7% for Stage I) and less common among individuals with advanced NSCLC (5.1% and 2.6% for Stage III and Stage IV, respectively; $P = 0.020$).

Notably, NSCLC stage was significantly associated with survival duration before interval development of brain metastases (Fig. 1a). Specifically, median interval times were 29 months (IQR 12–61), 19 months (IQR 9–47), 16 months (IQR 10–27) and 13 months (IQR 7–21) among Stage I–IV NSCLC patients, respectively ($P = 0.016$). Multivariate Cox regression (Table 3) confirmed that more advanced NSCLC stage predicted earlier onset of brain metastases (HR 1.602 for Stage II, HR 2.874 for Stage III and HR 3.501 for Stage IV versus Stage I; $P = 0.016$). Additional independent predictors for earlier development of NSCLC brain metastases included non-adenocarcinomatous histopathology (HR: 3.036, $P < 0.001$), no prior surgical resection (HR: 1.609, $p P 0.036$) and no prior systemic therapy (HR: 3.560, $P = 0.004$).

Median survival following interval onset of NSCLC brain metastases was approximately 16 months (95% CI 9.4–22.6 months). Notably, median survival following subsequent development of brain metastases was also associated with NSCLC stage (Fig. 1b), with survival durations of 31 months (IQR 23–NR), 26 months (IQR 12–NR), 11 months (IQR 4–43) and 8 months (IQR 4–35) among Stage I–IV patients, respectively ($P = 0.042$). Multivariate Cox regression revealed that delayed development of NSCLC brain metastases among patients who ultimately developed intracranial disease involvement predicted reduced risk of mortality (HR 0.970, 95% CI 0.957–0.984, $P < 0.001$) (Supplementary Table 1).

We subsequently examined prognostic factors for survival following interval development of brain metastases (Table 4). On univariate Cox regression, factors that were significantly associated with worsened survival following intracranial disease onset included disease-specific GPA score, older age, lower KPS, more advanced stage at initial diagnosis, concurrent presence of extracranial metastases, local failure at the time of intracranial disease onset, lack of initial surgical resection and non-adenocarcinomatous histology, but not interval time to development of brain metastases (Table 4). Multivariate Cox regression confirmed that lower lung cancer disease-specific GPA scores predicted better survival following onset of brain metastases (HR 0.531, 95% CI 0.390–0.724, $P < 0.001$), while concurrent extracranial metastases (HR 1.842, 95% CI 1.083–3.132, $P = 0.024$), local failure at onset of intracranial disease (HR 3.320, 95% CI 1.938–5.688, $P < 0.001$) and non-adenocarcinomatous histology (HR 3.031, 95% CI 1.643–5.595, $P < 0.001$) predicted increased risk of subsequent mortality.

Discussion

Particularly during recent years, accompanying dramatic improvements in systemic disease control with the advent of immune checkpoint inhibitors and novel molecularly

Table 1 Patient characteristics at NSCLC diagnosis

Patient characteristics	All patients	Stage I (13.3%)	Stage II (13.3%)	Stage III (37.1%)	Stage IV (36.2%)
Age at diagnosis					
Median (range)	62 (34–86)	59 (35–79)	63 (51–83)	63 (47–82)	62 (34–86)
< 60	43.8%	57.1%	50%	35.9%	44.7%
≥ 60	56.2%	42.9%	50%	64.1%	55.3%
Gender					
Female	47.6%	50%	50%	43.6%	50%
Male	52.4%	50%	50%	56.4%	50%
Ethnicity					
White	61.0%	50%	78.6%	69.2%	55.3%
Non-White	39.0%	50%	21.4%	30.8%	44.7%
Karnofsky Performance Status					
Median (range)	80 (20–100)	80 (50–100)	75 (70–90)	80 (20–100)	70 (20–90)
< 70	22.9%	14.3%	0.0%	30.8%	26.3%
≥ 70	77.1%	85.7%	100%	69.2%	73.7%
Lung cancer histology					
Adenocarcinoma	82.7%	78.6%	85.7%	87.2%	78.4%
Other	17.3%	21.4%	14.3%	12.8%	21.6%
Nodal involvement					
N0	24.8%	100%	33.3%	5.1%	16.2%
N1	13.9%	–	66.7%	10.3%	5.4%
N2	45.5%	–	–	66.7%	54.1%
N3	15.8%	–	–	17.9%	24.3%
Anatomic primary tumor location					
Upper lobe	61.0%	46.2%	76.9%	61.5%	60.0%
Middle lobe	5.0%	15.4%	7.7%	5.1%	0.0%
Lower lobe	27.0%	38.5%	15.4%	28.2%	25.7%
Multiple lobes or no consolidation	7.0%	0.0%	0.0%	5.1%	14.3%
Tumor grade					
Well-differentiated	6.5%	7.1%	8.3%	9.1%	3.0%
Mildly-differentiated	27.2%	35.7%	33.3%	24.2%	24.2%
Poorly-differentiated	66.3%	57.1%	58.3%	66.7%	72.7%
Molecular markers	72	10	8	28	26
EGFR					
EGFR	22.2%	30.0%	37.5%	21.4%	15.4%
KRAS					
KRAS	16.7%	10.0%	12.5%	17.9%	19.2%
ALK					
ALK	6.9%	10.0%	12.5%	0.0%	11.5%
WT					
WT	54.2%	50.0%	37.5%	60.7%	53.8%
Systemic therapy					
No	9.5%	28.6%	14.3%	5.1%	5.3%
Yes	90.5%	71.4%	85.7%	94.9%	94.7%
Surgery					
No	54.3%	7.1%	35.7%	53.8%	78.9%
Yes	45.7%	92.9%	64.3%	46.2%	21.1%
Thoracic RT					
No	49.5%	92.9%	50.0%	17.9%	65.8%
Yes	50.5%	7.1%	50.0%	82.1%	34.2%

targeted therapies, NSCLC brain metastases have emerged as an increasingly relevant clinical problem. Despite improving long-term survivorship rates associated with NSCLC,

brain metastases remain a tremendous clinical, financial and psychosocial burden. Potential consequences include not only progressive neurocognitive deterioration [22, 23]

Table 2 Patient characteristics regarding interval development of brain metastases

Patient characteristics	All patients	Stage I (n = 14)	Stage II (n = 14)	Stage III (n = 39)	Stage IV (n = 38)	P-value
Lung DS-GPA, median (range)	2 (0–4)	2.25 (0.5–4)	1.75 (0.5–3.5)	2 (0–3.5)	1.5 (0–3.5)	0.126
Intracranial disease burden						0.181
1 brain metastasis	43.1%	64.3%	64.3%	41.0%	31.6%	
2–3 brain metastases	17.4%	7.1%	21.4%	17.9%	18.4%	
> 3 brain metastases	39.4%	28.6%	14.3%	41.0%	50.0%	
Primary tumor status						0.231
Uncontrolled	27.9%	7.1%	21.4%	34.2%	31.6%	
Controlled	72.1%	92.9%	78.6%	65.8%	68.4%	
Extracranial metastases						0.001*
No	40.4%	64.3%	64.3%	43.6%	15.8%	
Yes	59.6%	35.7%	35.7%	56.4%	84.2%	
Treatment modality						0.020*
WBRT alone	27.5%	21.4%	14.3%	28.2%	36.8%	
WBRT + GKRS	16.5%	–	7.1%	23.1%	15.8%	
WBRT + Surgery	9.2%	35.7%*	14.3%	5.1%*	2.6%*	
WBRT + GKRS + Surgery	10.1%	14.3%	14.3%	12.8%	2.6%	
GKRS alone	27.5%	14.3%	28.6%	23.1%	36.8%	
Surgery + GKRS	9.2%	14.3%	21.4%	7.7%	5.3%	
Interval time to brain metastases (median)	16.0 months	28.0 months	18.0 months	15.0 months	12.0 months	0.017*

*Symbolizes values that are statistically significant on analysis

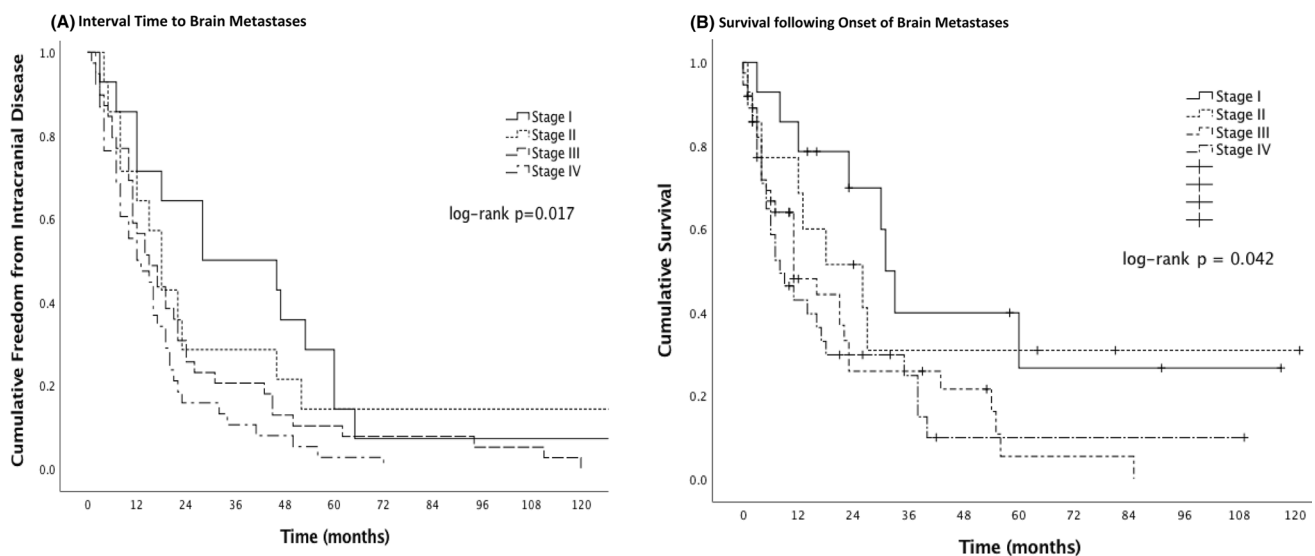


Fig. 1 Kaplan–Meier analyses for impacts of NSCLC stage on **a** interval time to NSCLC brain metastases and **b** survival following onset of brain metastases. **a** Median interval time to development of brain metastases was 16.0 months (95% CI 12.4–19.6 months) overall with median interval durations of approximately 28.0 months among Stage I patients, 18.0 months among Stage II patients, 15.0 months among Stage III patients and 12.0 months among Stage IV patients,

respectively (log-rank $P=0.017$). **b** Median survival following interval development of brain metastases was approximately 16.0 months (95% CI 9.4–22.6 months) overall, with median survivals of 31.0 months among patients who originally presented with Stage I disease, 26.0 months for Stage II disease, 11.0 months for Stage III disease and 8.0 months for Stage IV disease, respectively (log-rank $P=0.042$)

Table 3 Univariate and multivariate Cox regression for interval time to development of brain metastases

	Univariate analysis			Multivariate analysis		
	HR	95% CI	P-value	HR	95% CI	P-value
Age at initial diagnosis						
< 60	Reference	–	–	–	–	–
≥ 60	1.144	0.774–1.689	0.500	–	–	–
Gender						
Female	Reference	–	–	–	–	–
Male	1.352	0.915–1.999	0.130	–	–	0.513
Ethnicity						
White	Reference	–	–	–	–	–
Non-white	1.449	0.966–2.172	0.073	–	–	0.911
Karnofsky performance status						
≥ 70	Reference	–	–	–	–	–
< 70	1.310	0.826–2.078	0.251	–	–	–
Stage at diagnosis						
I	Reference	–	–	Reference	–	–
II	1.331	0.621–2.853	0.462	1.674	0.679–4.127	0.263
III	1.870	0.988–3.540	0.054	2.983	1.322–6.731	0.008
IV	2.553	1.335–4.879	0.005	3.546	1.525–8.245	0.003
Histology						
Adenocarcinoma	Reference	–	–	Reference	–	–
Other	3.195	1.867–5.466	<0.001	3.036	1.667–5.529	<0.001
Nodal involvement						
N0	Reference	–	–	–	–	–
N1	1.578	0.811–3.072	0.179	–	–	–
N2	1.748	1.054–2.897	0.030	–	–	–
N3	1.762	0.927–3.352	0.084	–	–	–
Primary tumor location						
Multiple lobes/no consolidation	Reference	–	–	–	–	–
Upper lobe	1.451	0.659–3.195	0.355	–	–	–
Middle lobe	0.577	0.166–2.007	0.388	–	–	–
Lower lobe	1.398	0.606–3.223	0.432	–	–	–
Tumor grade						
Well-differentiated	Reference	–	–	–	–	–
Mildly-differentiated	0.893	0.365–2.184	0.805	–	–	–
Poorly-differentiated	1.101	0.473–2.563	0.823	–	–	–
Molecular profile						
WT	Reference	–	–	–	–	–
EGFR	0.755	0.419–1.361	0.350	–	–	–
KRAS	1.370	0.708–2.653	0.350	–	–	–
ALK	0.800	0.313–2.043	0.640	–	–	–
Systemic therapy						
Yes	Reference	–	–	Reference	–	–
No	2.156	1.111–4.182	0.023	3.560	1.512–8.380	0.004
Surgery						
Yes	Reference	–	–	Reference	–	–
No	1.757	1.188–2.599	0.005	1.609	1.031–2.510	0.036
Radiation						
Yes	Reference	–	–	–	–	–
No	1.064	0.723–1.567	0.753	–	–	–

Table 4 Univariate and multivariate Cox regression for survival following interval development of brain metastases

	Univariate analysis			Multivariate analysis		
	HR	95% CI	P-value	HR	95% CI	P-value
Interval time to brain metastases	0.999	0.990–1.008	0.903	–	–	–
Stage at diagnosis			0.058			0.677
I	Reference	–	–	Reference	–	–
II	1.361	0.510–3.629	0.538	–	–	–
III	2.372	1.079–5.214	0.032	–	–	–
IV	2.651	1.192–5.896	0.017	–	–	0.709
Age at diagnosis						
< 60	Reference	–	–	Reference	–	–
≥ 60	2.192	1.345–3.573	0.002	1.629	0.949–2.798	0.077
Karnofsky performance status						
≥ 70	Reference	–	–	Reference	–	–
< 70	1.954	1.164–3.281	0.011	–	–	0.429
Gender						
Female	Reference	–	–	–	–	–
Male	0.939	0.592–1.490	0.790	–	–	–
Ethnicity						
White	Reference	–	–	–	–	–
Non-white	0.825	0.508–1.340	0.438	–	–	–
Molecular profile			0.396			
WT	Reference	–	–	–	–	–
EGFR	0.639	0.290–1.409	0.267	–	–	–
KRAS	1.157	0.498–2.686	0.735	–	–	–
ALK	0.398	0.094–1.680	0.210	–	–	–
Histology						
Adenocarcinoma	Reference	–	–	Reference	–	–
Other	2.185	1.231–3.880	0.008	3.031	1.643–5.595	<0.001
Systemic therapy						
No	Reference	–	–	–	–	–
Yes	1.086	0.497–2.372	0.836	–	–	–
Surgery						
No	2.080	1.291–3.350	0.003	Reference	–	–
Yes	Reference	–	–	–	–	0.153
Radiotherapy						
No	Reference	–	–	–	–	–
Yes	1.062	0.670–1.683	0.797	–	–	–
Primary tumor status						
Controlled	Reference	–	–	Reference	–	–
Uncontrolled	3.351	2.148–5.869	<0.001	3.320	1.938–5.688	<0.001
Extracranial metastases						
No	Reference	–	–	Reference	–	–
Yes	1.665	1.032–2.686	0.037	1.842	1.083–3.132	0.024
Intracranial disease burden			0.107			0.880
1 brain metastasis	Reference	–	–	Reference	–	–
2–3 brain metastases	1.543	0.823–2.895	0.176	–	–	0.675
>3 brain metastases	1.721	1.021–2.900	0.042	–	–	0.990
Lung DS-GPA, median (range)	0.454	0.333–0.619	<0.001	0.531	0.390–0.724	<0.001
Treatment modality			0.055			0.882
WBRT alone	Reference	–	–	Reference	–	–
WBRT + GKRS	0.626	0.308–1.270	0.194	–	–	0.469

Table 4 (continued)

	Univariate analysis			Multivariate analysis		
	HR	95% CI	P-value	HR	95% CI	P-value
WBRT + Surgery	0.358	0.157–0.817	0.015	–	–	0.678
WBRT + GKRS + Surgery	0.458	0.202–1.038	0.061	–	–	0.873
GKRS alone	0.632	0.341–1.170	0.144	–	–	0.296
Surgery + GKRS	0.255	0.087–0.745	0.013	–	–	0.525

but also heightened rates of cancer distress [24], diminished health-related quality of life [25, 26] and increased healthcare costs [27]. While prophylactic cranial irradiation has been proposed as a potential solution for NSCLC brain metastases, significant concerns remain given resulting neurocognitive toxicities and potential impacts on quality of life [28]. Conversely, routine intracranial surveillance imaging represents a potential alternative toward minimizing complications from NSCLC brain metastases, facilitating early detection and prompt treatment while avoiding potential neurocognitive decline associated with PCI.

Here, we retrospectively characterized the natural history and clinical course of subsequent NSCLC brain metastases during the modern era using a large institutional cohort with over 10 years of median follow-up, with the goals of helping inform both current practice and future research surrounding potential benefits and costs associated with intracranial surveillance imaging during routine follow-up care. We observed several noteworthy trends regarding the natural history of interval brain metastases. Notably, NSCLC patients originally diagnosed with early-stage disease who developed subsequent brain metastases most often presented with isolated brain metastases (64.3% for Stage I–II patients) in the absence of other distantly involved sites, a particularly encouraging trend given preliminary data supporting the benefits of potentially curative treatment among well-selected patients with oligometastatic disease [10, 29]. Encouragingly, patients originally diagnosed with early-stage NSCLC who developed subsequent brain metastases experienced prolonged survival even after intracranial disease onset, with median survivals of approximately 31 months (IQR 23–N.R.) and 26 months (IQR 12–NR) among Stage I and II patients, respectively. Regarding treatment patterns, we also observed a significantly greater frequency of surgery plus WBRT as a therapeutic modality among patients diagnosed with early-stage NSCLC (35.7% among Stage I patients) compared with locally-advanced and metastatic disease (5.1% and 2.6% among Stage III–IV patients, respectively), which could be explained by higher incidences of limited brain metastases in the setting of oligometastatic disease.

Across the entire cohort, median interval time from initial diagnosis until subsequent onset of brain metastases was 16 months (95% CI 14–20). While historic estimates have

previously ranged from 9 to 13 months [16, 18, 20, 30–32], few studies have reported on interval time to NSCLC brain metastases during the modern era. Notably, our findings are highly consistent with a large retrospective multiinstitutional study of approximately 2200 brain metastasis patients evaluating prognostic significance of molecular alterations and receipt of tyrosine kinase inhibitors, which similarly reported a median interval time to development of NSCLC brain metastases of approximately 16 months [33]. Multiple retrospective series from China have reported similar estimates during the modern era [34, 35]. Notably, interval time to development of brain metastases was also significantly associated with NSCLC stage at diagnosis, with median durations of 28, 18, 15 and 12 months among Stage I, II, III and IV NSCLC patients, respectively. To our knowledge, this represents the most comprehensive study characterizing the natural history and clinical course of interval NSCLC brain metastases across all stages of disease. Most prior studies have specifically examined predictors of interval brain metastases among locally advanced NSCLC patients toward identifying potential subsets that might benefit from PCI [16, 19–21, 32, 34–36]. Multiple predictive factors have been identified for interval development of NSCLC brain including younger age [16, 18–20, 35, 36], adenocarcinomatous/non-squamous histology [19, 21, 31, 32, 36–39], advanced stage [30, 35, 38], larger primary tumor size or higher T stage [16, 31, 35, 38], hilar node involvement [16, 21], induction chemotherapy [30, 36, 37] and pretreatment serum tumor markers [36, 39], with potential roles for both immunohistochemical markers and genetic profiling including EGFR, ALK and KRAS mutations [40].

Here, we specifically investigated predictive factors impacting interval time to brain metastases among NSCLC patients who ultimately developed intracranial progression, rather than risk factors for development of NSCLC brain metastases. Within this cohort, more advanced NSCLC stage independently predicted faster onset of interval brain metastases. Interestingly, non-adenocarcinomatous histology also predicted earlier onset of interval brain metastases. While this finding was initially surprising given that adenocarcinomas are associated with increased risk of developing NSCLC brain metastases, one potential explanation could be particularly aggressive biologic features among non-adenocarcinomatous NSCLCs that do produce brain metastases, which

could result in faster onset of brain metastases along with increased propensity toward intracranial spread. Both lack of surgical resection and lack of systemic therapy also emerged as independent predictors of earlier onset of NSCLC brain metastases, as although most Stage I–II patients underwent surgery and most Stage III–IV patients received systemic therapy, a minority of patients did not due to noncompliance or concurrent medical comorbidities. Interestingly, regarding the fact that surgical resection was associated with longer freedom from interval NSCLC brain metastases, optimal roles for surgical resection in the management of Stage III NSCLC remain controversial. While randomized controlled data has not demonstrated that surgical resection provides a significant survival benefit for Stage III patients with N2 disease [41, 42], current nationwide guidelines nevertheless emphasize the importance of offering surgical resection for resectable patients given potential opportunities for cure. While our findings do not address potential impacts of surgical resection toward prevention of brain metastases, these results do suggest a potential benefit toward delaying interval time to brain metastases among Stage III NSCLC patients who ultimately develop intracranial disease involvement. While a subset analysis of Stage III NSCLC patients within this cohort demonstrated only a nonsignificant trend toward delayed development of brain metastases with receipt of surgical resection (data not shown), this question warrants further research given potential clinical implications. Encouragingly, receipt of systemic therapy also independently predicted delayed onset of brain metastases, consistent with a growing wealth of evidence over the past decade supporting intracranial activity of tyrosine kinase inhibitors among patients with EGFR- and ALK-mutated NSCLC [43]. Notably, we did not detect a significant association between common lung cancer mutations (including EGFR, ALK and KRAS) and interval time to subsequent brain metastases. However, statistical power may have been limited by our sample size and only partial availability of mutational profiling. Notably, a large multiinstitutional retrospective analysis conducted by Sperduto and colleagues did find a significant association between both EGFR and ALK mutations and prolonged interval times to brain metastases [44].

Compared with historic survival estimates of only 3–6 months among NSCLC brain metastases patients, we observed a median survival of approximately 16 months (95% CI 9.4–22.6) following intracranial disease onset. Encouragingly, Sperduto and colleagues recently conducted a retrospective multiinstitutional review that reported a median survival of approximately 12 months among a modern cohort diagnosed from 2006 to 2014, compared with only 7 months within the original disease-specific GPA cohort [33, 45]. Meanwhile, overall prognostic significance of lung cancer brain metastases remains controversial. Previously, one population-based study identified

development of subsequent NSCLC brain metastases as a poor prognostic factor [12]. Multiple retrospective studies have also found the development of brain metastases to be associated with worsened survival [36] and increased risk of death [21] among locally-advanced NSCLC patients. However, a recent multiinstitutional study that examined causes of death among patients with NSCLC brain metastasis found that the majority (82%) ultimately died from nonneurologic causes [44]. Here, despite a small effect size, we found that longer freedom from interval development of brain metastases predicted lower risk of mortality (HR 0.970, 95% CI 0.957–0.984) among NSCLC patients affected by subsequent brain metastases. However, interval time to brain metastases was not associated with survival following intracranial disease onset.

While our results are interesting, several limitations must be considered. Most notably, potential conclusions remain limited given the retrospective nature of our study design, due to resulting selection biases likely impacting both follow-up practices and treatment administration. Moreover, although we hope that our results may prove useful toward informing future research evaluating implementation of intracranial surveillance imaging, we were unable to evaluate true risks of developing NSCLC brain metastases over time due to the fact that this experimental cohort included only NSCLC patients who developed interval brain metastases (rather than a larger cohort encompassing all patients diagnosed with NSCLC, including those who never developed intracranial disease), limiting potential conclusions surrounding implications for screening. Regarding patient selection, we also acknowledge additional selection biases within our cohort given that we identified individuals who developed subsequent NSCLC brain metastases based on their receipt of radiation therapy for intracranial disease (therefore omitting individuals who may have declined treatment, those who may not have received brain radiotherapy due to effective treatment response of brain metastases from systemic therapy and those who may have died before initiating radiotherapy). Moreover, because of limited availability of molecular profiling within our cohort and fairly low mutational incidences, we were unable to control for specific impacts of molecularly targeted agents on interval time to brain metastases among NSCLC patients with EGFR- and ALK-positive tumors. Notably, the advent of immune checkpoint inhibitors have resulted in dramatic practice shifts for NSCLC during recent years, and given our study period, we acknowledge our inability to examine impacts of immune checkpoint inhibitors on interval time to brain metastases as an important limitation. For these reasons, our results should be considered hypothesis generating.

Despite these limitations, our results provide important insights into the natural history and clinical course of subsequent NSCLC brain metastases during the modern era.

Given conflicting evidence regarding potential benefits of PCI for NSCLC and substantial concerns regarding long-term neurocognitive toxicity, routine intracranial surveillance imaging could represent an alternative solution, minimizing complications from brain metastases that may impact quality of life through prompt detection and minimally invasive treatment using stereotactic radiosurgery. We hope that these findings will not only help inform clinical practice and patient counseling for individuals with NSCLC, but also future research surrounding potential roles for intracranial surveillance imaging toward preventing symptomatic complications of NSCLC brain metastases that may compromise quality of life.

Compliance with ethical standards

Conflict of interest Dr. Wang reports personal fees and non-financial support from AbbVie, non-financial support from Merck, personal fees from AstraZeneca, personal fees from Doximity, personal fees and non-financial support from Novocure, personal fees and non-financial support from Elekta and personal fees from Wolters Kluwer, outside the submitted work.

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