CLINICAL STUDY

Radiomic features predict Ki-67 expression level and survival in lower grade gliomas

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Abstract To investigate the radiomic features associated with Ki-67 expression in lower grade gliomas and assess the prognostic values of these features. Patients with lower grade gliomas ($n=117$) were randomly assigned into the training $(n=78)$ and validation $(n=39)$ sets. A total of 431 radiological features were extracted from each patient. Differential radiological features between the low and high Ki-67 expression groups were screened by significance analysis of microarrays. Then, generalized linear analysis was performed to select features that could predict the Ki-67 expression level. Predictive efficiencies were further evaluated in the validation set. Cox regression analysis was performed to

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Xing Fan xingkongyaoxiang@163.com investigate the prognostic values of Ki-67 expression level and Ki-67-related radiological features. A group of nine radiological features were screened for prediction of Ki-67 expression status; these achieved accuracies of 83.3% and 88.6% (areas under the curves, 0.91 and 0.93) in the training and validation sets, respectively. Of these features, only spherical disproportion (SD) was found to be a prognostic factor. Patients in the high SD group exhibited worse outcomes in the whole cohort (overall survival, $p < 0.0001$; progression-free survival, *p*<0.0001). Ki-67 expression level and SD were independent prognostic factors in the multivariate Cox regression analysis. This study identified a radiomic signature for prediction of Ki-67 expression level as well as a prognostic radiological feature in patients with lower grade gliomas.

Keywords Ki-67 · Lower grade gliomas · Radiogenomics · Prediction · Survival

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Introduction

Lower grade gliomas (World Health Organization [WHO] grade II and III gliomas [\[1\]](#page-6-0)) are primary brain malignancies. These gliomas vary significantly in terms of clinical manifestation—some lower grade gliomas rapidly progress to glioblastomas, while others are sensitive to treatment and remain stable for several years [[2](#page-6-1)]. Molecular biomarkers are playing an increasing role in tumor diagnosis and guidance of customized treatment [\[3\]](#page-7-0).

Proliferative index is a potent biomarker, which can quantitatively assess tumor growth and thus help stratify prognosis in patients with gliomas [[4\]](#page-7-1). Ki-67, the most reliable marker of cell proliferation [[5\]](#page-7-2), recognizes a core antigen present in proliferating cells and absent in quiescent cells [\[6\]](#page-7-3). It has been employed in routine clinical investigations, with high Ki-67 expression levels indicating worse prognosis in patients with gliomas [[7\]](#page-7-4). The conventional method for detection of Ki-67 expression status is immunohistochemistry [[8\]](#page-7-5), which is an invasive test involving craniotomy.

Radiogenomics, a novel high throughput method of linking radiological features to genomic data, has the potential to non-invasively retrieve comprehensive intra-, inter-, and peritumoral information [\[9\]](#page-7-6). Radiogenomics is more efficient and effective than conventional imaging analysis [[10](#page-7-7)]. Several studies have focused on Ki-67-related radiological features in gliomas. Entropy, derived from apparent diffusion coefficient (ADC) maps of gliomas, was found to be associated with Ki-67 expression [\[11](#page-7-8)]. Additionally, in a small sample study $(n=21)$, Ki-67-related features were identified from among 86 radiological features extracted from conventional structural magnetic resonance (MR) images of glioblastomas [[12](#page-7-9)]. However, these studies evaluated relatively few radiological features and did not explore the prognostic significances of Ki-67-related features.

In our study, a number of radiological features were extracted from a large sample of patients, and a subset of features associated with Ki-67 expression level was screened. The efficiency of prediction was evaluated in a validation set, and the prognostic values of Ki-67 expression status and Ki-67-related features were further investigated. Radiological features reflect the biological behavior of brain tumors. Ki-67 is one of a key molecular biomarker that influence the proliferation of gliomas. We here hypothesized that the association is potentially exist of radiological features with Ki-67 expression level in gliomas.

Methods

Patients

This study included 117 patients with lower grade gliomas, who received treatment at Beijing Tiantan Hospital (Beijing, China) between January 2006 and December 2008 and met the following criteria: (a) histopathologically confirmed primary WHO grade II/III gliomas; (b) preoperative T2 MR images available; (c) Ki-67 expression status available; and (d) clinical characteristics and survival data available (Supplementary Fig. 1).

The patients were randomly assigned to the training $(n=78)$ and validation $(n=39)$ sets. The training set was used to establish a radiomic signature for prediction of Ki-67 expression status, and the independent validation set was used to assess the predictive efficiency of the signature. Data for this study were retrieved from the Chinese Glioma Genome Atlas (CGGA) [\[13](#page-7-10)]. This study was approved by the institutional review board, and all patients was notified that their tumor samples would be used for academic investigations, and the informed consent was obtained.

MRI data acquisition and tumor segmentation

Preoperative clinical structural images of the majority of patients $(n = 97)$ were acquired with a Magnetom Trio 3T (Siemens Healthcare GmbH, Erlangen, Germany) MR scanner. The acquisition parameters for T2-weighted images were as follows: echo time, 110 ms; repetition time, 5800 ms; flip angle, 150° ; matrix, 384×300 ; and voxel size, $0.6 \times 0.6 \times 5$ mm³. Other images were acquired on a Magnetom Verio 3T (Siemens, Erlangen, Germany, n=12) or HD 1.5T (GE Medical System, Waukesha, USA, n=8) scanner. Tumor volumes were segmented by two experienced neurosurgeons who were blinded to the clinical information of the patients using the MRIcron software ([http://www.](http://www.mccauslandcenter.sc.edu/mricro) [mccauslandcenter.sc.edu/mricro](http://www.mccauslandcenter.sc.edu/mricro)). Abnormal hyperintense signals on the T2-weighted images were identified as tumor volumes, and the cerebrospinal fluid signals should not be involved in. A senior neuroradiologist subsequently reevaluated the segmented lesions. In case of discrepancies>5% between the two neurosurgeons, the senior neuroradiologist made the final decision.

Textural features

In order to avoid of the bias from data heterogeneity, all MRI data collected in the current study were underwent imaging normalization (Z score transformation) before feature extraction with using an in-house MATLAB process. Quantitative radiological features were extracted as previously reported [[14\]](#page-7-11). and detailed descriptions of each feature was listed in the supplementary material of the previous study. A total of 431 radiological features were extracted, which could be divided into four groups. Features in group 1 ($n=14$; firstorder statistics-derived features) quantitatively described the signal intensity distribution of the image, while those in group 2 ($n=8$; shape- and size-based features) quantified the shape and size data of tumors. Features in group $3 (n=33;$ textural features), calculated from gray-level co-occurrence and run-length matrix, reflected intratumoral heterogeneity. Group 4 included 376 features (wavelet features) that were derived from the features of groups 1 and 3 through wavelet transformation. These 431 features are listed in Supplementary Table 1.

Immunohistochemistry

The Ki-67 expression level in the current study was routinely evaluated by experienced pathologists using typical tumor samples collected from the glioma patients. Immunostaining was performed in accordance with the manufacturer's protocol. Briefly, formalin-fixed, paraffin-embedded tissue sections were cut into 4-um sections, which were then dried, dewaxed in xylene, rinsed in graded ethanol, and rehydrated in double-distilled water. Immunostaining was performed with the Ki-67 protein antibody (Santa Cruz Biotechnology, Santa Cruz, CA), at a dilution of 1:100. Two pathologists blinded to the clinical data scored the degree of staining. In this study, low Ki-67 expression level was defined as \leq 10% positive staining, and high Ki-67 expression level was defined as $>10\%$ positive staining [[15](#page-7-12)].

Texture-based prediction of Ki-67 expression

The pipeline in the radiomic analysis include imaging acquisition, tumor segmentation, feature extraction, feature selection and model construction. For the purpose of feature selection, we only screened significantly differential radiological features between the low and high Ki-67 expression groups (false discovery rate [FDR]<0.05) using the significance analysis of microarrays (SAM) algorithm. In order to further develop a Ki-67-related radiological signature, a generalized linear model $(Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 +$ \ldots + $\beta_n X_n$) was established using the MATLAB (2014a) software. In this model, Y represented the estimated value of Ki-67 expression status (low and high expression levels were defined as 0 and 1, respectively); β_0 indicated the unknown constant; β_i (i = 1, 2, ..., n) represented the unknown coefficients; and X_i indicated the values of radiological features. A cutoff value was chosen in order to classify the estimated values into the low and high Ki-67 expression groups. Subsequently, a receiver operating characteristic (ROC) curve was delineated to evaluate the predictive efficiency, and the optimal cutoff value was determined when specificity and

sensitivity were maximal. This process was repeated in the training set, with the feature bearing the lowest predictive value being removed each time. A Ki-67 predictive model was constructed when the number of features was the lowest, and the AUC was greater than 0.90. The Ki-67 predictive model constructed from the training set was further evaluated in the validation set.

Statistical analysis

The SAM algorithm was applied using the "samr" package of the R software (version 3.3.2). Intergroup comparison of clinical characteristics was performed with T-statistics and Chi square test. Intergroup differences in survival were determined by log-rank and Kaplan–Meier analyses. Prognostic values of Ki-67 and Ki-67-associated radiological features were determined by Cox regression analysis. A *p* value<0.05 was considered statistically significant.

Results

Patient demographic characteristics

Magnetic resonance images were acquired of 117 patients with primary lower grade gliomas, including 78 with grade II (male, 44; age range 18–62 years) and 39 with grade III (male, 25; age range 18–71 years) gliomas. The enhancement exists in 80% grade III gliomas and no enhancement exists in grade II gliomas. These patients were allocated to the training $(n=78)$ and validation $(n=39)$ sets. There was no significant difference in age, sex, grade, Ki-67 expression level, or extent of resection between the two sets of patients $(p > 0.05)$. Table [1](#page-3-0) presents the detailed demographic and clinical characteristics of the patients.

Texture-based prediction of Ki-67 expression level

In the training set a total of 52 differential radiological features (FDR $<$ 0.05) related to Ki-67 expression level were screened by SAM analysis, including first-order statisticsderived features such as energy, maximum, minimum, and range; shape- and size-based features such as surface area, maximum 3D diameter, and spherical disproportion (SD); and textural features such as correlation and gray-level nonuniformity (Supplementary Table 2).

From among these 52 differential radiological features, a subset of nine features—including six wavelet features derived from first-order statistics (energy, range, and maximum); 1 second-order feature (SD); and 2 wavelet features derived from textural features (correlation and high graylevel emphasis)—was further selected by generalized linear analysis and evaluated in the training set for efficacy of

Table 1 Patient characteristics

	Total $(n=117)$	Training $(n=78)$	Valida- tion $(n=39)$	<i>p</i> value
Mean age (years)	39.7	38.9	41.2	$0.254^{\rm a}$
Sex, male/ female	69/48	46/32	23/16	1.000 ^b
Grade II/III	78/39	51/27	27/12	$0.677^{\rm b}$
Low/High Ki-67	69/48	44/34	25/14	0.425^{b}
Extent of resection (GTR/< GTR	48/69	32/46	16/23	1.000 ^b

GTR gross total resection

a Student's *t* test

^bChi square test

prediction of Ki-67 expression status. The distribution of estimated values could be significantly differentiated using these nine features (Fig. [1](#page-3-1)a). In ROC analysis, the area under the ROC curve (AUC) was 0.916, and at the optimal cutoff point $(Y=0.584)$, the sensitivity, specificity, and accuracy were 77.3, 91.2, and 83.3%, respectively (Fig. [1](#page-3-1)b).

In the validation set, the radiomic signature constituted by the nine features could also efficiently predict Ki-67 expression levels and significantly differentiate the distribution of estimated values of patients in the validation set (Fig. [1c](#page-3-1)). In ROC analysis, the AUC was 0.900, and the optimal cutoff value $(Y=0.672)$ exhibited a sensitivity, specificity, and accuracy of 72.0, 92.9, and 88.6%, respectively (Fig. [1d](#page-3-1)).

Supplementary Fig. 2 presents two representative cases of patients with lower grade gliomas. The first case was that of a 25-year-old female patient with low Ki-67 expression, who was assigned to the low Ki-67 group on the basis of the estimated value being low (−0.11). Case 2 was that of a

Fig. 1 Estimated values and prediction efficiencies of the training and validation sets. **a** and **c** Distribution of estimated values of patients. *Blue dots* indicate the target values of patients. *Green* and *red dots* represent the estimated values of the low and high Ki-67 expression groups, respectively. **b** and **d** Receiver operating characteristic curves for prediction of Ki-67 expression level. In the train-

ing set, the area under the curve (AUC) was 0.916, and at the optimal cutoff point $(Y=0.584)$, the sensitivity, specificity, and accuracy were 77.3, 91.2, and 83.3% respectively. In the validation set, the AUC was 0.900, and the optimal cutoff value $(Y=0.672)$ exhibited a sensitivity, specificity, and accuracy of 70.2, 92.9, and 88.6% respectively

39-year-old male patient with high Ki-67 expression, who was correctly assigned to the high Ki-67 group on the basis of the estimated value being high (0.96).

Relationship between Ki-67 expression level and survival

As shown in Fig. [2](#page-4-0)a and b, progression-free (PFS) and overall survival (OS) durations were significantly longer in patients with low Ki-67 expression than in patients with high Ki-67 expression in the whole cohort ($p = 0.0012$ and 0.0006, respectively).

Prognostic significance of Ki-67-related radiological features

In the training set, the results of univariate Cox regression analysis with the nine radiological features (Table [2\)](#page-5-0) revealed only SD to be a significant prognostic factor $(p=0.024)$. All subjects were divided into the low and high SD groups on the basis of the median SD value, and the prognostic value of SD was further investigated in the whole cohort. Patients in the high SD group exhibited poor prognosis (OS, *p*<0.0001; PFS, *p*<0.0001; Fig. [2](#page-4-0)c and d), indicating that SD level can help significantly differentiate the prognosis in patients with lower grade gliomas.

Fig. 2 Kaplan–Meier survival curves of different Ki-67 expression groups and patients stratified based on spherical disproportion (SD). Both Ki-67 expression level and the feature SD are significantly prog-

nostic factors in progression-free survival $(p=0.0012$ and $p < 0.0001$, respectively; **a** and **c**) and overall survival ($p = 0.0006$ and $p < 0.0001$, respectively; **b** and **d**) in the whole cohort

Features	Description	HR	95% CI	p value
Correlation 2	One of the wavelet features derived from correlation. Correlation measures grayscale value dependence of voxels (the joint probability occurrence of specified pixel pairs)	1.328	0.033-53.034	0.880
Energy_6 (Group1 derived)	Wavelet features derived from energy. Energy measures the sum of gray- scale values	1.000	$0.999 - 1.001$	0.764
Energy_8 (Group1 derived)		1.000	$0.999 - 1.001$	0.798
High Gray-Level Run Emphasis 3	One of the wavelet features derived from high gray-level run emphasis. High gray-level run emphasis measures the distribution of high grayscale values. This feature is high for the image with high grayscale values	1.000	$0.997 - 1.002$	0.946
Maximum 1	One of the wavelet features derived from maximum. Maximum describes the maximum grayscale value	1.000	$0.999 - 1.000$	0.765
Range_1	Wavelet features derived from range. Range describes the range of the	1.000	$0.999 - 1.000$	0.904
Range_5	grayscale values	1.000	$1.000 - 1.001$	0.311
Range ₈			$0.999 - 1.002$	0.717
Spherical Disproportion	Spherical disproportion measures how close the shape is to a sphere	3.467	1.180-10.191	0.024

Table 2 Magnetic resonance imaging texture features for predicting Ki-67 expression

HR hazard ratio, *CI* confidence interval

WHO World Health Organization

Multivariate Cox regression

The results of multivariate Cox regression analysis of SD, age, sex, WHO grade, extent of resection, and Ki-67 expression levels (Table [3](#page-5-1)) of the 117 patients revealed only SD and Ki-67 expression level to be independent prognostic factors of both PFS and OS (PFS: $p = 0.017$) and 0.049, respectively; OS: $p = 0.019$ and 0.019, respectively).

Discussion

In this study, Ki-67-related radiological features were analyzed using data retrieved from a large-scale genetic and imaging database. A set of radiological signatures that could predict Ki-67 expression levels was constructed by extraction and screening of radiological features using statistical models. In addition, a prognostic radiological feature was identified, which could non-invasively provide crucial prognostic information in patients with lower grade gliomas in the future.

Radiogenomics, an emerging field that focuses on the associations between imaging phenotypes and genomic features [[16](#page-7-13)], has extensive application [[9\]](#page-7-6). A pioneer quantitative radiogenomics work revealed a correlation between MRI features and cellular invasion in glioblastomas [[17](#page-7-14)]. In patients with recurrent glioblastomas, radiological signatures could be used as biomarkers to identify patients who could most benefit from antiangiogenic therapy [[18](#page-7-15)]. Radiogenomics could also help predict treatment-related molecular markers in gliomas—such as mutations in the isocitrate dehydrogenase 1 gene [\[19](#page-7-16)] and methylation of the O⁶-methylguanine methyltransferase gene promoter [[20\]](#page-7-17) which could be beneficial for customizing therapeutics in the era of personalized medicine.

Several studies on gliomas have described the associations between radiological features and Ki-67 expression level. Evaluation by diffusion tensor imaging has revealed a strong correlation between ADC ratio and Ki-67 expression level [[21\]](#page-7-18). The findings of MR spectroscopy have revealed choline/creatine and lactate/creatine ratios to be positively correlated with Ki-67 expression [[22\]](#page-7-19). These findings suggest that Ki-67 expression level is closely associated with MRI features. In the present study, nine radiological features

were screened by SAM and generalized linear analyses. The equation stemming from linear regression analysis could efficiently predict the Ki-67 expression status, which indicated the ability of the selected quantitative radiological features to reflect the Ki-67 expression status in lower grade gliomas.

The correlations between the selected quantitative radiological features and Ki-67 expression status can be interpreted on the basis of the biological behavior of tumors. While "range" represents the range of voxel intensity values, "maximum" refers to the maximum voxel intensity in the region of interest $[14]$. In the present study, these two features were significantly higher in the high Ki-67 expression group than in the low Ki-67 expression group. This might be attributable to the great variation in intratumoral gray values, because tumors with high Ki-67 expression levels corresponded with high proliferation rates and heterogeneity [\[11\]](#page-7-8). In addition, the index "high gray-level run emphasis" measures the distribution of high gray-level values, which are expected to be relatively high in regions of interest containing substantial areas with high-gray values [[23\]](#page-7-20). This index was also significantly higher in the high Ki-67 group than in the low Ki-67 group, indicating that tumors in the former group contained more regions with high gray-levels, which might also be attributable to high heterogeneity.

Studies on the prognostic value of Ki-67 expression level have been widely conducted in recent years. A meta-analysis of 51 studies, involving a total of 4307 patients, demonstrated that Ki-67 overexpression was predictive of poor PFS and OS in patients with gliomas, regardless of region, cutoff value, pathological type, or statistical analysis method [\[24](#page-7-21)]. These findings are consistent with the present findings indicating that Ki-67 expression level to be an independent prognostic factor in patients with lower grade gliomas.

Additionally, as the sole prognostic feature in this signature, we further evaluated the index SD. Higher SD values are indicative of a greater deviation of the tumor from the spherical shape [\[14](#page-7-11)]. In the present study, the SD value of the high Ki-67 expression group was significantly higher than that of the low Ki-67 expression group, which suggests that high proliferative status is associated with greater irregularities in tumor shape in lower grade gliomas. Additionally, in the subsequent survival analysis, SD values exhibited a potent ability to predict the prognosis of the included patients. A previous study on glioblastomas reported that patients with spherical tumors exhibited better prognosis than those with irregularly shaped tumors [[25\]](#page-7-22). The present study demonstrated a similar result in lower grade gliomas. Traditionally, clinical variables such as age, Karnofsky performance score, and extent of resection have been found to be predictive to the prognosis of glioma patients [\[1](#page-6-0), [2](#page-6-1)]. The inclusion of radiological features would provide additionally important information for a better prediction of the survival outcomes and potentially guide the patient-tailored treatment in clinical practice.

The SAM algorithm used in this study was originally designed to identify differential genes in bioinformatics, with the advantage of providing FDR for multiple testing [[26](#page-7-23)]. Generalized linear analysis is a liner regression method that can integrate various quantitative features into one analysis to produce a significantly reduced model with only a few significant predictors [\[27](#page-7-24)]. This method has been employed in studies of various medical fields. A previous study employed generalized linear analysis to integrate data from the infected host and the virus in order to identify predictors for liver damage [[28\]](#page-7-25). In the present study, unimportant features were excluded one by one, which enabled us to achieve data dimensional reduction simply and efficiently. This is the first instance that generalized linear analysis has been used for processing radiological features for prediction of Ki-67 expression.

This study has a few limitations. Our study was designed on the basis of retrospectively collected single-institution data. The findings of this study need to be further evaluated in a prospective study with multicenter data. In addition, correlations between observed imaging features and biological function were not investigated in the current study. Lastly, as the most suitable and available sequence for the lesion evaluation of lower-grade gliomas, only T2-weighted MR images were used in the radiomic analysis. Multi-model imaging data (such as arterial spin-labeling, perfusionweighted imaging, etc.) need to be integrated into our model in order to optimize the performance of the present model.

In conclusion, this study has demonstrated a correlation between radiomic features and Ki-67 phenotype in lower grade gliomas. By means of SAM and generalized linear analyses, we have established a radiomic signature that can efficiently and non-invasively predict Ki-67 expression levels. Furthermore, we found SD and Ki-67 expression level to be independent prognostic factors in patients with lower grade gliomas.

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