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# Quantum mechanical investigation of optoelectronic properties of gold nanoparticle attached titanium dioxide nanorods for device applications

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Received: 13 September 2017 /Accepted: 5 January 2018 /Published online: 24 January 2018 © Springer Science+Business Media B.V., part of Springer Nature 2018

Abstract This article reports gold nanoparticle (Au-NP) induced absorption enhancement in hydrothermally synthesized titanium dioxide nanorods  $(TiO<sub>2</sub>-NRs)$  with a possibility of the deposition of hybrid nanostructures on the transparent substrates. The localized surface plasmon resonance (LSPR) and hot electron transfer behaviour of Au-NPs attached to the  $TiO<sub>2</sub>-NRs$  has been correlated to their photocatalytic response. The photocurrent enhancement observed in amperometric studies has been explained on the basis of excess electron density in the conduction band of  $TiO<sub>2</sub>$  due to hot electron transfer from the attached Au-NPs (size in the range of 3 to 44 nm). The quantum mechanical calculation of the electron transmission probability from the resonant Au-NP to the conduction band of  $TiO<sub>2</sub>-NR$  has been presented with respect to the wavelength of the incident spectrum. Further, the role of Au-NP size dependent electron work function has been correlated to the electron transmission probability. This study provides a

Electronic supplementary material The online version of this article (<https://doi.org/10.1007/s11051-018-4127-4>) contains supplementary material, which is available to authorized users.

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quantum mechanical explanation to the better response of  $Au-NPs/TiO_2-NRs$  system for photocatalytic device applications.

Keywords Titanium dioxide nanorods · Gold nanoparticles. Photocatalysis. Plasmon . Hot electron . Quantum effects

#### Introduction

Titanium dioxide  $(TiO<sub>2</sub>)$ -based heterostructures make use of a narrow band gap semiconductor and noble metal nanostructures (Chen and Mao [2007;](#page-11-0) Liu et al. [2010](#page-12-0); Wang et al. [2010](#page-13-0)) to spread the light-response range to the visible region and improve the charge separation and transfer properties of micro-optical devices for photo-electro-catalysis (Lee et al. [2016](#page-12-0)). These novel heterostructures combine different electronic properties of the constituent materials and promote photo-catalytic efficiency as compared to the single-phase  $TiO<sub>2</sub>$  photo-catalyst (Baker and Kamat [2009](#page-11-0); Tada et al. [2006](#page-12-0); Zhang et al. [2008](#page-13-0)). The  $TiO<sub>2</sub>$  nanorods show better charge transport properties compared to the  $TiO<sub>2</sub>$  NP-based mesoporous layer (Kim et al. [2013a\)](#page-12-0). Noble metal nanoparticles (NPs) on the surface of  $TiO<sub>2</sub>$  nanorods (NRs) can improve the photo-catalytic properties in mainly two ways: (A) the deposited noble metal nanoparticles behaves as an electron trap and enhances electron-hole separation to improve the quantum yield (Lidia et al. [2007](#page-12-0); Paramasivam

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et al. [2008;](#page-12-0) Zhang et al. [2008\)](#page-13-0), (B) surface plasmon resonance (SPR) effect, which occurs due to the collective and coherent electronic oscillations on the noble metal nanoparticles through visible light irradiation. These effects can enhance the localized electric field in the vicinity of the metal nanoparticles, which helps in the interaction of the localized electric fields with a neighbouring semiconductor and allows the facile formation of electron-hole (e<sup>−</sup> -h<sup>+</sup> ) pairs in the near-surface region of the semiconductor/metal nanoparticle interface (Awazu et al. [2008](#page-11-0); Ingram and Linic [2011](#page-11-0); Thimsen et al. [2011;](#page-13-0) Xu et al. [2010\)](#page-13-0). Recently, the localized surface plasmon resonance (LSPR) effects of Au-NPs and other metal nanostructures have been used to boost the photo-electrochemical properties and attracted wide research attention due to their possible application in micro-optical (Feng et al. [2016](#page-11-0); Sun et al. [2015](#page-12-0); Zhang et al. [2016](#page-13-0)) and photocatalytic devices (Erwin et al. [2016;](#page-11-0) Huang et al. [2016](#page-11-0); Lee et al. [2016\)](#page-12-0). Multi-core flat fibre structure-based surface plasmon resonance sensor has been demonstrated by Rifat et al. ([2016](#page-12-0)), which indicated a possibility of integrated SPR sensor as a lab-on-a-chip for the real-time diagnostic purpose. Awazu and Linic have used the plasmon enhanced photo-catalytic mechanism by isolating Ag NPs using  $SiO<sub>2</sub>$  and poly (vinylpyrrolidone) (PVP) as shells, respectively (Awazu et al. [2008](#page-11-0); Ingram and Linic [2011\)](#page-11-0). Liu et al. [\(2011](#page-12-0)) proposed an effective plasmon coupled photo-catalyst for splitting  $H_2O$ molecules under visible light by integrating Au NPs with doped  $TiO<sub>2</sub>$  nanotubes. The literature indicates that the plasmon resonance wavelength and intensity strongly depends on the size and shape of NPs, inter-particle spacing, and dielectric property of the surrounding medium (El-Sayed [2001](#page-11-0); Feldstein et al. [1997](#page-11-0); Feng et al. [2011](#page-11-0); Lin et al. [2005](#page-12-0); Rycenga et al. [2011](#page-12-0)).

In recent research, noble metal NPs, such as Pt (Xu et al. [2007a](#page-13-0); Zhou et al. [2003\)](#page-13-0), Pd (Liang et al. [2009](#page-12-0); Qi et al. [2014](#page-12-0)), Ag (Fu et al. [2015;](#page-11-0) Liang et al. [2011](#page-12-0)) and Au (Chen et al. [2012](#page-11-0); Cherevko et al. [2012](#page-11-0)), exhibited good catalytic activity for electrooxidation and became the most common catalysts (Jin et al. [2016\)](#page-11-0). Meanwhile, many efforts have been made to improve the activity and stability of noble metal NPs, such as development of NPs with specific surface structure (Cui et al. [2011;](#page-11-0) Qi et al. [2014](#page-12-0); Xu et al. [2007b](#page-13-0)) and with different alloying contents (Dutta et al. [2011;](#page-11-0) Oliveira et al. [2011](#page-12-0); Wang et al. [2014](#page-13-0); Zhu et al. [2012\)](#page-13-0). In addition, photo-electrocatalysis has attracted much attention because of the outstanding LSPR properties, which improve the catalytic activity of noble metal NPs (Hutter and Fendler [2004\)](#page-11-0). The recombination of photo-generated hot electrons and holes reduces the effect of LSPR (Wang et al. [2012](#page-13-0); Wu et al. [2011\)](#page-13-0). This recombination can be reduced by combining noble metal NPs with semiconductors to transfer the excited hot electrons into the conduction band (CB) of the adjacent semiconductor, which is defined as plasmon-induced charge separation (Bian et al. [2013](#page-11-0); Tian and Tatsuma [2005](#page-13-0)) and has been proved to be beneficial for electro-oxidation (Murdoch et al. [2011;](#page-12-0) Tian and Tatsuma [2005](#page-13-0); Xu et al. [2011](#page-13-0)). Since light illumination enhances the electro-oxidation activity, a periodic nanostructure consisting of noble metallic catalysts to enhance the incident light harvesting may be a promising method (Kim et al. [2013b\)](#page-12-0). The vertically aligned titania nanorods  $(TiO<sub>2</sub>-NRs)$  can be more beneficial for plasmon induced photo-catalysis due to one-dimensional facile charge transfer possibility (Raval et al. [2016](#page-12-0)). However, there are few attempts towards explaining these aspects of the metal nanostructures to enhance the plasmon resonance energy to improve the photo-catalytic activities of semiconductor materials (Long et al. [2017;](#page-12-0) Yen et al. [2017\)](#page-13-0).

This article reports the hydrothermal synthesis of  $TiO<sub>2</sub>-NRs$  with and without gold nanoparticles on their surface. These samples have been characterized by Xray diffraction (XRD), field emission scanning electron microscopy (FE-SEM) and amperometric measurements. It is observed that the Au-NPs significantly improve the photo-catalytic response of  $TiO<sub>2</sub>$ -NRs, which has been explained through a quantum mechanical approach. Theoretical calculations have been done to explore the extinction efficiency of the Au-NPs on  $TiO<sub>2</sub>$ -NRs.

## Theoretical description

Band diagram and electron transfer

The charge carriers gain energy through LSPR under visible light irradiation at  $Au/TiO<sub>2</sub>$  interface and get transferred to the conduction band of  $TiO<sub>2</sub>$  from the gold NPs as shown in Fig. [1](#page-3-0). It can be understood from Fig. [1](#page-3-0) that the Au-NPs mainly act as a sensitizer, which absorb incident photons and generate the energetic hot electrons due to the process of the LSPR excitation. The highly energetic hot electrons get injected into the CB of the adjacent  $TiO<sub>2</sub>$ -NRs (Zhang et al. [2012](#page-13-0)). Resonant Au-NPs exhibit optically induced oscillating electrostatic potential under illumination with respect to the wavelength of incident light (van de Groep et al. [2016\)](#page-13-0). The excess electron density in the conduction band of  $TiO<sub>2</sub>-NR$  plays a role in the photo-response of the  $TiO<sub>2</sub>-NR/Au-$ NP system (Reineck et al. [2016](#page-12-0)). The transmission probability of generated hot electrons in Au-NPs through the potential barrier of  $TiO<sub>2</sub>$ -NR can be calculated using Eqs.  $(1-6)$  (Ghatak [2010\)](#page-11-0) under following assumptions: (1) Junction existing in between Au-NP and  $TiO<sub>2</sub>-NR$  material acts as a potential barrier, (2) Fermi energy levels of Au-NP and  $TiO<sub>2</sub>-NR$  remain aligned during photon interaction with potential barrier.

$$
\frac{\partial^2 \Psi_1(x)}{\partial x^2} + k_1^2 \Psi_1(x) = 0, x
$$
  
< 0 *Designated* as region 1 (Au-NP) (1)

$$
\frac{\partial^2 \Psi_2(x)}{\partial x^2} + k_2^2 \Psi_2(x) = 0, \ x > 0
$$
  
Designated as region 2 (TiO<sub>2</sub>-NR) (2)

where,

$$
k_1^2 = \frac{2mE_0}{\hbar^2}, k_2^2 = \frac{2m(E_0 - V)}{\hbar^2}, \text{m} = 9.1 \times 10^{-31} \text{kg}, \hbar
$$

$$
= \frac{h}{2\pi} = 1.054 \times 10^{-34} \text{Js}.
$$

The solutions of Eqs. (1) and (2) represent the wave functions of electron on the Au-NP and  $TiO<sub>2</sub>$ -NR side as given by:

$$
\Psi_1 = A e^{-jk_1 x} + B e^{jk_1 x} \tag{3}
$$

 $\Psi_2 = Ce^{-jk_2x}$  (4)

Under following boundary conditions:

- 1) The wave function is continuous at the interface, i.e.  $\Psi_1(0) = \Psi_2(0)$ , which implies that  $A + B = C$  from Eq. (3).
- 2) The first derivate of the wave function is continuous i.e.  $\frac{\partial}{\partial x} \Psi_1(0) = \frac{\partial}{\partial x} \Psi_2(0)$ , which implies that  $A-B = \frac{k_2}{k_1} C$  from Eq. (4).

From these expressions transmission (T) and reflection (R) probability of electrons from Au-NPs through the  $TiO<sub>2</sub>-NRs$  potential barrier can be calculated using following equations:

$$
T = \left| \frac{B}{A} \right|^2 = \left| \frac{k_1 - k_2}{k_1 + k_2} \right|^2 \tag{5}
$$

$$
R = \left| \frac{C}{A} \right| \frac{k_2}{k_1} = \frac{4k_1k_2}{|k_1 + k_2|^2} \tag{6}
$$

Extinction efficiency calculations

The diameter of the particle must be well below the wavelength of light. The scattering and absorption cross section are given by (Bohren and Huffman [2007](#page-11-0)):

$$
C_{scat} = \frac{1}{6\pi} \left(\frac{2\pi}{\lambda}\right)^4 |\alpha|^2 \tag{7}
$$

$$
C_{abs} = \frac{2\pi}{\lambda} Im[\alpha]
$$
 (8)

where,  $\alpha = 3V \left[ \frac{\varepsilon_p/\varepsilon_m - 1}{\varepsilon_q/\varepsilon_m + 2} \right]$  denotes polarizability of the particle. Here, V denotes particle volume (a function of particle radius),  $\varepsilon_p$  denotes the dielectric function of the particle, and  $\varepsilon_{\rm m}$  denotes the dielectric function of the embedding medium. This expression shows that the scattering cross section depends on the NP size, and as a result, more fraction of light is scattered towards the medium with a higher refractive index. This is clearly observed by enhanced absorption as shown in Fig. [2](#page-3-0), the Au-NPs attached  $TiO<sub>2</sub>-NR$ layer is higher as compared to bare  $TiO<sub>2</sub>$ -NRs. However, when the size of Au-NP increases beyond threshold limit, the phase retardation effect dominates (Westcott et al. [2002](#page-13-0)) and higher order multipole excitation modes (quadrupole, octupole) result in the decreased efficiency of the scattering

<span id="page-3-0"></span>

Fig. 1 Schematic diagram to represent physical processes in Au-NP/TiO<sub>2</sub>-NR-based device

process(Bing et al. [2010](#page-11-0)). The size of Au-NP could be optimized by controlling the thickness of the Au thin film during the deposition process and followed by temperature. The extinction efficiency ( $Q_{ext} = C_{scat}/S + C_{abs}/S$ , S being an effective area of scattering particle) of Au-NPs is calculated using Mie theory(Tripathi et al. [2013](#page-13-0)) and shown in Fig. [3](#page-4-0). The plasmon extinction evolves with the increase in the size of Au-NPs, which is in accordance with the existing literature (Huang and El-





<span id="page-4-0"></span>

Fig. 3 Extinction ( $Q_{ext}$ ) of Au nanoparticles on TiO<sub>2</sub>-NR

Sayed [2010;](#page-11-0) Jain et al. [2006\)](#page-11-0). The increase in particle size leads to an increased contribution from Mie scattering.

The incident light is lost through the sample surface by radiative and non-radaitive mechanisms. The scattering efficiency,  $Q_{sca}$  quantifies radiative loss and absorption efficiency,  $Q_{\text{abs}}$  quantifies non-radiative loss. These losses are included in the extinction efficiency,  $Q_{\text{ext}}$ , which effectively includes  $Q_{sea}$  and  $Q_{abs}$ , and can be calculated for spheres much smaller than the wavelength using the well-known Mie theory (Bohren and Huffman [2007](#page-11-0)).

#### Experimental section

#### Synthesis of materials

Fluorine-doped tin oxide (FTO) coated glass substrate (7 Ω/square, Sigma-Aldrich) substrates were used to grow TiO<sub>2</sub>-NRs. A stainless steel autoclave of 50 mL capacity with Teflon lining has been used for the hydrothermal synthesis of  $TiO<sub>2</sub>$  nanorods. In a typical synthesis step, 15 mL of 37% hydrochloric acid was added in the 15 mL of deionized water and sonicated for 5 min. Subsequently, 0.4 mL of titanium (IV) isopropoxide  $(Ti(OCH(CH<sub>3</sub>)<sub>2</sub>)<sub>4</sub>$ , 97%, Sigma-Aldrich) was added and further sonication did for 5 min. FTO glass substrates (1.5 cm  $\times$  1.5 cm) were placed inside the Teflon (melting point: 326.8 °C) liner with the active layer facing the wall for  $TiO<sub>2</sub>-NR$  deposition. The prepared precursor solution was then poured into an autoclave containing the substrates. The reaction time duration was set to 2 h and the process temperature was 230 °C. After completing the hydrothermal process, the autoclave was left to naturally cool down to room temperature. The substrates were taken out from the autoclave, rinsed thoroughly, and annealed at 300 °C in air prior to use. For depositing Au, a gold target (99.9%) (2-in. diameter, 3 mm thickness) has been used in RF/DC pulsed magnetron (10 kHz, duty cycle: 50%) sputtering system (Millman, Pune). Argon gas was injected with the constant flux of 50 sccm while maintaining working pressure at8  $\times$  10<sup>-2</sup> mbar during the entire coating process. The sputtering of Au is done at an applied voltage of 350 V. The process current is measured to be  $\sim$  40 mA for 1-min time duration and temperature was close to 450 °C. All the samples were annealed (1 h), in a vacuum, after film deposition. The samples cooled down freely in vacuum before their removal to room conditions (Raval et al. [2016](#page-12-0)).

#### Morphological, structural and optical characterization

The morphological, structural and optical properties of  $TiO<sub>2</sub>-NRs$  were examined by field emission scanning electron microscopy (FE-SEM, ULTRA55, Zeiss), energy dispersive spectrometer (EDS, Model: X-Max, Make: OXFORD), atomic force microscopy (Nanosurf Easycan 2), X-ray diffraction (XRD, X'Pert Pro, PANalytical) in the  $2\theta$  range of  $20-80^\circ$  and diffuse reflectance spectroscopy (UV 2600, Shimadzu) respectively.

## Electrochemical characterization

For the electrochemical measurements, a working electrode (TiO<sub>2</sub>-NR with and without Au-NPs in either case) and a platinized FTO as a counter electrode were placed in fixed positions in the testing cell with 0.5 M KOH electrolyte solution. A calibrated LED light source with an intensity of 20 mW/cm<sup>2</sup> with an optical chopper was placed between the light source and the photo-electrode for the photocurrent measurement. The photocurrent density was determined with the stable output signals from the test cell connected to the potentiostat (CHI-660D) without any applied bias (See Supplementary Information for more details).

#### Results and discussion

#### Structural analysis

Figure 4 shows the X-ray diffraction (XRD) spectrum of the hydrothermally grown  $TiO<sub>2</sub>-NRs$  on FTO glass substrates, where the diffraction peaks are identified as the rutile phase according to JCPDS 21-1276 card. Generally, rutile  $TiO<sub>2</sub>$  powder containing randomly oriented crystals show a higher (110) diffraction intensity as can be seen in the JCPDS reference of Fig. 4, which is similar to the reported data for the rod-shaped rutile  $TiO<sub>2</sub>$  nanoparticles (Park et al. [1999\)](#page-12-0). However, in the present case Fig. 4 the (101) diffraction intensity is highest, whereas the (110) peak intensity is comparatively low. This deviation in the intensity for the rutile  $TiO<sub>2</sub>-NRs$  has been confirmed by other researchers also (Kim et al. [2013a](#page-12-0)). An intense (101) peak along with the enhanced (002) peak for the  $TiO<sub>2</sub>-NR$  layer shows that the rutile crystal grows with (101) plane parallel to the FTO glass substrate and the nanorods are aligned with the (002) direction (Kim et al. [2013a](#page-12-0); Nayak et al. [2012](#page-12-0);



Fig. 4  $XRD$  pattern for TiO<sub>2</sub>-NR on FTO and Au-NPs. JCPDS 77-0452 corresponds to crystallographic planes of FTO. JCPDS  $21-1276$  corresponds to crystallographic planes of TiO<sub>2</sub>. JCPDS 04-0784 corresponds to crystallographic planes of Au

Zhang and Liu [1998\)](#page-13-0). The XRD pattern for the gold nanostructures is shown in Fig. 4, which illustrates prominent peaks corresponding to the (111), (200), (220) planes of face centered cubic (FCC) crystal structure of Au. This result is in agreement with the existing data for gold nanoparticles (JCPDS card number-04- 0784) (Zhao et al. [2014\)](#page-13-0). The peak intensity from (111) plane is higher than the other peaks, which confirms the presence of NPs. The preferred orientation in XRD pattern leads to spherical morphology as confirmed from FE-SEM image (Fig. [6](#page-7-0)) e.

Morphological and elemental analysis

The morphology of the bare  $TiO<sub>2</sub>-NRs$  and Au-NPs incorporated with  $TiO<sub>2</sub>-NRs$  has been investigated by FE-SEM and corresponding images are shown in Fig. [5](#page-6-0)a. The TiO<sub>2</sub> layer prepared by hydrothermal method with subsequent heat treatment at 230 °C for 2 h, exhibit nanometre size NRs morphology. FE-SEM images of Au-NP incorporated  $TiO<sub>2</sub>$ -NRs are shown in Fig. [5](#page-6-0)b. The  $TiO<sub>2</sub>-NR$  layer has porous morphology, wherein the pores are several hundred nanometres wide as observed in the FE-SEM images top-view of Fig. [5a](#page-6-0). The diameter of TiO<sub>2</sub>-NR is in the range of  $80 \pm 5$  nm Fig. [5a](#page-6-0). Figure [5b](#page-6-0) shows Au-NPs deposited over  $TiO<sub>2</sub>$ -NR layer. The inset of Fig. [5](#page-6-0)b shows the size distribution of the Au-NPs. Au-NPs of 15 nm size with a variation of  $\pm 10$  nm are observed. The cross-sectional image of combined  $TiO<sub>2</sub>-NRs$  and Au-NPs layer deposited on FTO coated glass substrate is shown in Fig. [5](#page-6-0)c. The thickness of FTO layer is estimated to be  $293 \pm 10$  nm, thickness of TiO<sub>2</sub> seed layer is  $700 \pm 10$  nm and thickness of TiO<sub>2</sub>-NRs layer is  $2241 \pm 10$  nm from Fig. [5c](#page-6-0). The EDS of the  $Au-NPs/TiO<sub>2</sub>-NRs$  confirms presence of Au, Ti, O as shown in Fig. S1 in Supplementary Information. The detailed profile elemental analysis was done cross-sectional through EDS for Au-NPs/  $TiO<sub>2</sub>-NRs$  as shown in Fig. [5d](#page-6-0). In Fig. [5](#page-6-0)d, the blue curve shows approximate concentration (in %) of oxygen with respect to the cross sectional thickness, green curve shows approximate concentration (%) of titanium and red curve shows approximate concentration (%) of gold. Region I shows a higher percentage of Au than  $TiO<sub>2</sub>$  and confirms that the Au-NPs are on the top of each NR as it is also seen in top view in Fig. [5b](#page-6-0). In Fig. [5](#page-6-0)d, region II shows a higher percentage of  $TiO<sub>2</sub>$  than Au over that thickness range. Au percentage decreases in region II to zero, which confirms that there is no direct contact

<span id="page-6-0"></span>

Fig. 5 FESEM and EDS characterization. a Top view of the TiO<sub>2</sub>-NRs. b Au-NPs on TiO2-NRs with size distribution. c Cross-sectional view of the TiO<sub>2</sub>-NRs. **d** Elemental cross-sectional profile distribution of Ti, Au, O in Au/TiO<sub>2</sub> sample

between FTO layer and Au-NPs. Region III shows titanium rich seed layer. Figure 5d clearly distinguishes different layer concentration over different thickness range. The size distribution of Au-NPs is shown in Fig. [6](#page-7-0) (FE-SEM image of Au-NP coated TiO<sub>2</sub>-NRs). The morphological characterization has been carried out by atomic force microscopy (AFM). The AFM has been performed in the tapping mode using a Nanosurf Easyscan2 system. The AFM images have been recorded at different positions with a scan area of  $500 \times$ 500 nm<sup>2</sup>. The Au NP/TiO<sub>2</sub> NR layer morphology is shown in Fig. [6](#page-7-0)a. Figure [6b](#page-7-0) shows height distribution of Au-NP decorated on hydrothermally grown  $TiO<sub>2</sub>$ -NR on FTO substrate. The AFM micrograph displayed a uniform distribution of a granular  $TiO<sub>2</sub>-NR$  structure which is consistent with a high-quality and pin-hole free, smooth and crack-free surface as reported by other researchers (Sciancalepore et al. [2008](#page-12-0)). The histogram of the layer represents the hills corresponding to its

average height (nm) distribution. A topographical analysis of the film is also shown in Fig. [6](#page-7-0)c–d in a 3D view. The 3D view of  $TiO<sub>2</sub>-NR$  and  $TiO<sub>2</sub> NR/Au-NP$  with a color scale bar shows vertically oriented  $TiO<sub>2</sub>$ -NRs and Au-NPs.

#### Optical analysis

### UV-vis analysis

In Fig. [2,](#page-3-0) the results of UV-Vis spectroscopic measurements are presented. The absorbance spectra are plotted with respect to the wavelength of incident radiation for a bare  $TiO<sub>2</sub>-NR$  sample and it is compared with  $TiO<sub>2</sub>-NR/$ Au-NP sample. It is seen clearly that  $TiO<sub>2</sub>-NR/Au-NP$ sample has much larger absorption in the visible range  $(> 400 \text{ nm})$  than the TiO<sub>2</sub>-NR sample. There are mainly four types of energy-transfer mechanisms in a plasmonic photocatalytic system (Linic et al. [2011](#page-12-0)) (1) transfer of a

<span id="page-7-0"></span>

Fig. 6 Atomic force microscopy of a TiO<sub>2</sub>-NR/Au-NP morphology. b Height distribution of TiO<sub>2</sub>-NR/Au-NP. A topographical 3D view of c TiO2-NR and d TiO2-NR/Au-NP. e FE-SEM image of Au-NPs/TiO2-NRs showing the distribution of Au-NPs

<span id="page-8-0"></span>hot electron, (2) plasmon induced heating, (3) electromagnetic enhancement through near-field, and (4) resonance in photon scattering. The energy associated with plasmonic heating is significantly lower than the bandgap of  $TiO<sub>2</sub>-NR$ thus it is not a leading mechanism to increase the carrier density in the CB of  $TiO<sub>2</sub>-NRs$  (Liu et al. [2011\)](#page-12-0). The resonant photon-scattering mechanism may not be prevailing mechanism in case of the  $Au-NP/TiO<sub>2</sub>-NRs$ , as it normally occurs for large plasmonic NPs (larger than ∼ 50 nm in diameter) (Burda et al. [2005;](#page-11-0) Evanoff and Chumanov [2005](#page-11-0)). Further, electromagnetic enhancement due to near-field is generally observed at wavelengths where the plasmon resonance and semiconductor absorption overlap so this is not a dominant mechanism of the charge transfer (Warren and Thimsen [2012\)](#page-13-0). In the present case, the hot electron are generated by the absorption of incident radiation (h $v > 0.9$  eV), which is explained in next section. Hot electron transfer mechanism is responsible for the absorption enhancement and is a major contributor to improve the charge concentration in the conduction band of  $TiO<sub>2</sub>$  which enhances the performance of the Au/TiO<sub>2</sub>-NRs-based devices under visible light illumination. The broadening of the absorption peak in the visible and near infra-red range is mainly due to the non-uniformity of Au nanoparticle size (Bian et al. [2013](#page-11-0)). The decrease in the absorbance of  $Au/TiO<sub>2</sub>-NRs$  in ultra-violet region is due to lower exposed surface of the  $TiO<sub>2</sub>$ -NRs as it is covered by Au-NPs. The reflection and transmission measurement of corresponding samples are shown in insert  $(A) \& (B)$  of Fig. [2](#page-3-0). The inset (A) of Fig. [2](#page-3-0) shows the reduced reflection of incident radiation due to Au-NPs over  $TiO<sub>2</sub>$ -NRs. The inset (B) of Fig. [2](#page-3-0) shows the effect of size of nanoparticles (on glass) on the transmittance of incident radiation. The arrow shows direction of decrease in the size of Au-NPs.

# Electrical analysis

# Quantum mechanical explanation of the electron transfer from Au-NP to  $TiO<sub>2</sub>$ -NRs

The potential step offered by the conduction band of  $TiO<sub>2</sub>-NRs$  at the Au-NP/TiO<sub>2</sub>-NR interface is shown in the Fig. 7a. The barrier height (V) is calculated from the difference of gold NP work function (ɸ, varies from 3.6



Fig. 7 a Excitation of electrons through the incident spectral photons with the mechanism of transfer from Au-NP to  $TiO<sub>2</sub>$ -NR and corresponding Schrodinger wave equations representing the movement of the electrons. b Electron transmission probability

with respect to Au-NP size and corresponding work function. c The electron transmission probability with respect to the wavelength of incident radiation assuming complete energy transfer from the corresponding photon to the electrons in Au-NP

to 5.1 eV (Zhang et al. [2015\)](#page-13-0) and  $TiO<sub>2</sub>$  electron affinity (4.5 eV) (Sarkar et al. [2012](#page-12-0)). The plasmonic oscillations are responsible for an increase in the energy of free electrons of the Au-NP as a function of wavelength of incident radiation. The energy of electron  $(E_0)$  is calculated in the range of 1.24 to 3.1 eV for the wavelength range of 400–1000 nm. Electron transmission probability with respect to Au-NP size and corresponding work function is shown in Fig. [7](#page-8-0)b. Due to increase in size of Au-NPs, the work function increases linearly in accordance with the equation:  $\phi$  (eV) = 0.0304  $x(nm)$  + 3.3786 fitted to the data available in reference (Zhang et al. [2015\)](#page-13-0). Figure [7](#page-8-0)b shows that electron transmission probability increases to small extent with increase in the NPs size till 35 nm. After 35 nm, the transmission probability decreases due to increase in barrier height. Figure [7c](#page-8-0) shows the transmission probability with respect to the wavelength of incident radiation assuming complete energy transfer from the corresponding photon to the electrons in Au-NPs. With increasing wavelength, the energy associated with the photon decreases thereby decreasing the energy of the electron in Au-NP with a decrease in corresponding transmission probability.

#### Amperometric current-time analysis

The amperometric (I-t) curves shown in Fig. 8a, for a configuration given in Fig. [1](#page-3-0) are recorded at a constant potential of 0 V with the light turning ON/OFF every 30 s. The nature of photocurrent growth and decay due to illumination has been studied with fixed light intensity throughout the experiment  $(20 \text{ mW/cm}^2)$ . The number of photons with respect to wavelength for incident light is shown in the Fig. 8b. The current increase immediately when the light is turned on and fall back when the light is turned off. During the measurement, light is switched ON after 30th s and remains ON till 60th s, so the photocurrent starts to grow from the dark value. After attaining the highest value, the light is switched OFF at 60th s and the photocurrent instantly starts to decay and almost reaches to the initial dark value. To understand the correlation between LSPR light absorption in  $TiO<sub>2</sub>-NR/Au-NP$  system and correspondingly generated photocurrent density, incident photon-to-current-conversion efficiency (IPCE) measurements have been performed using an equipment from Opto Solar GmbH. Clearly, the TiO<sub>2</sub>-NR/Au-NP system exhibited obvious photocurrent enhancement as compared to the bare  $TiO<sub>2</sub>-NR$  in the wavelength range of 400– 700 nm as shown in Fig. 8c. To analyse the behaviour of



Fig. 8 a I-t (current-time) curves of  $TiO<sub>2</sub>-NR$  and Au-NP/TiO<sub>2</sub>-NR samples from amperometric measurements. b Number of photons with respect to the wavelength of incident light. c IPCE of  $TiO_2$ -NR &  $TiO_2$ -NR/Au-NP

the photocurrent, the growth and decay portion of the experimental curve has been analysed separately as shown in Fig. [9](#page-10-0). Growth current shown in Fig. [9](#page-10-0) clearly indicates

<span id="page-10-0"></span>

Fig. 9 Current growth analysis for  $TiO<sub>2</sub>-NR$  and Au-NP/TiO<sub>2</sub>-NR

the power law relation between current and time which follows following expression (Islam et al. [2012\)](#page-11-0):

$$
I(t) = A \times |t - t_c|^{\alpha} \tag{9}
$$

The experimental data is fitted using Eq. (9) with an acceptable range of  $R^2$  from 0.7 to 0.9 as shown in Fig. 9. Here  $t_c$  value is 38 and t is in time (sec). The Values of  $\alpha$  are 0.24 and 0.21, and A is 3.14×10<sup>-7</sup> and 7.66×10<sup>-8</sup> for the TiO<sub>2</sub>-Au and TiO<sub>2</sub>-NR respectively. Under visible light illumination, the catalytic activity of  $TiO<sub>2</sub>-NRs$ is improved by the Au-NP plasmon-induced charge separation, which is indicated by a higher current as compared to the bare  $TiO<sub>2</sub>$  sample.

Clearly, the  $TiO<sub>2</sub>-NR$  produced little photocurrent density, <3  $\mu$ Acm<sup>-2</sup>, which is just above the background dark current Fig. 9. As expected, a significant photocurrent density enhancement has been observed on the Au-NP/TiO<sub>2</sub>-NR, with a photocurrent density of  $\sim 150$  µAcm<sup>-2</sup> under otherwise the same conditions, which is 50 times the one with the bare  $TiO<sub>2</sub>-NR$  and is much higher photocurrent density.

The much improved photocurrent density on the Au- $NP/TiO_2-NR$  relative to the  $TiO_2-NR$  is attributed to enhanced electron density in the conduction band of  $TiO<sub>2</sub>-NRs$ , which boosts the catalytic performance under visible light irradiation. The enhanced photocurrent density may be further attributed to the minimization of the thermodynamic free energy of the system, which leads to an electrostatic surface potential on a resonant

Au-NP, driven by an increase in the absorption Fig. [2](#page-3-0) as a result of electron injection (van de Groep et al. [2016\)](#page-13-0).

A high absorption does not always lead to high photo-catalytic efficiency. A more convincing proof of the plasmonic enhancement is the coincidence of the absorption peak of LSPR with the maximum wavelength region of apparent quantum efficiency according to available literature (Ohtani [2008\)](#page-12-0). In a recent article, Kowalska et al. showed a clear correlation between the absorption spectrum and the quantum efficiency of Au/ TiO2 samples (Kowalska et al. [2009](#page-12-0); Kowalska et al. [2010](#page-12-0)). The apparent quantum efficiency is the ratio of the number of consumed electrons to the number of incoming photons (not considering the losses of photons by reflection and transmission). For small noble metal nanoparticles (typically  $< 60$  nm), the Mie scattering is negligible compared to the absorption (Bian et al. [2013;](#page-11-0) Hodak et al. [2000](#page-11-0)). Generally, the photons penetrate a few nanometers to a few micrometers into the semiconductor photocatalyst, and thus not all are absorbed effectively. Besides, photons absorbed far below the surface of the semiconductor contribute little to the photoreaction since the generated electrons and holes need to have a long diffusion length and in  $TiO<sub>2</sub>$  they mostly recombine before reaching the surface. So this study demonstrated the enhancement of the absorption of visible light by Au nanoparticles and its correlation with the observed photocurrent density.

# **Conclusions**

In this work, LSPR and electron transfer behaviour of Au-NPs attached to  $TiO<sub>2</sub>$ -NRs have been studied for their optoelectronic device applications.  $TiO<sub>2</sub>-NRs$  with Au-NPs exhibited a significant photocurrent enhancement as compared to the bare  $TiO<sub>2</sub>$ -NRs. An increase in the photocurrent in amperometric studies has been explained on the basis of excess electron density in the CB of  $TiO<sub>2</sub>-NR$  due to hot electron transfer from the attached Au-NPs. The hybrid nanostructure's deposition on the transparent substrates demonstrated in this study is suitable for versatile applications.

Acknowledgements Authors acknowledge GERMI for its facilities used during research work. Authors acknowledge SRDC, PDPU for the facilities provided. Authors acknowledge Dr. Indrajit Mukhopadhyay and Dr. Manoj Kumar for the scientific discussions. Authors acknowledge Dr. Utpal S. Joshi for the AFM facility and Ms. Margi Jani for the generous help.

#### <span id="page-11-0"></span>Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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