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Factors influencing formation of highly dispersed $Bario₃$ nanospheres with uniform sizes in static hydrothermal synthesis

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Abstract Highly dispersed $BaTiO₃$ nanospheres with uniform sizes have important applications in micro/nanoscale functional devices. To achieve welldispersed spherical $BaTiO₃$ nanocrystals, we carried out as reported in this paper the systematic investigation on the factors that influence the formation of $BaTiO₃$ nanospheres by the static hydrothermal process, including the NaOH concentrations [NaOH], molar Ba/Ti ratios $(R_{Ba/Ti})$, hydrothermal temperatures, and durations, with an emphasis on understanding the related mechanisms. Barium nitrate and $TiO₂$ sols derived from tetrabutyl titanate were used as the starting materials. The as-synthesized $BaTiO₃$ samples were characterized by X-ray diffraction, scanning electron microscopy, energy-dispersive X-ray analysis, thermogravimetry, differential thermal analysis, and FT-IR spectra. The highly dispersed BaTiO₃ nanospheres (76 \pm 13 nm) were achieved under the optimum hydrothermal conditions at 200 $^{\circ}$ C for 10 h: [NaOH] = 2.0 mol L^{-1} and $R_{Ba/Ti} = 1.5$. Higher NaOH concentrations, higher Ba/Ti ratios, higher hydrothermal temperatures, and longer hydrothermal

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durations are favorable in forming $BaTiO₃$ nanospheres with larger fractions of tetragonal phase and higher yields; but too long hydrothermal durations resulted in abnormal growth and reduced the uniformity in particle sizes. The possible formation mechanisms for $BaTiO₃$ nanocrystals under the static hydrothermal conditions were investigated.

Keywords Barium titanate nanosphere - Static hydrothermal synthesis - Influencing factor - Formation mechanism

Introduction

Barium titanate ($BaTiO₃$) is one of typically important perovskite-structured materials, and has been widely used in ceramic condensers, high-density optical data storage, ultrasonic transducer, and piezoelectric devices (Koka and Sodano [2013;](#page-15-0) Masuda et al. [2008;](#page-15-0) Patel et al. [2012](#page-15-0); Sahonta et al. [2011;](#page-15-0) Szwarcman et al. 2011). BaTiO₃, consisting of BaO₁₂ cuboctahedra and $TiO₆$ octahedra, has three temperature-dependent phase transitions: (i) from rhombohedral ($R3m$) to orthorhombic ($Amm2$) at -90 °C, (ii) from orthorhombic to tetragonal (P4 mm) at 5° C, and (iii) from tetragonal to cubic $(Pm3\bar{m})$ at 120 °C (Moreira and Microwave [2008](#page-15-0)). The three lowtemperature phases (i.e., rhombohedral, orthorhombic, and tetragonal) are of a ferroelectric character,

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whereas the high-temperature phase (i.e., cubic) is para-electric (Bandura and Evaresto[v2012](#page-15-0); Hwang et al. 2002 ; Kim et al. 2014). Recently, BaTiO₃-based perovskite-structured materials have attracted increasing attention in multilayer ceramic capacitors (MLCC), because of their high relative permittivity (ε_r) and low dielectric loss (Haertling [1999](#page-15-0); Ouyang et al. [2010;](#page-15-0) Kimmel et al. [2013\)](#page-15-0). To achieve miniaturization of electronic devices, novel capacitors with smaller volumes are the requisites. In order to shrink large-capacitance MLCCs, the engineers have to decrease the thickness of the MLCCs dielectric layers (Masuda et al. 2003), and therefore the BaTiO₃-based materials with smaller particle sizes and well-defined spherical morphology are needed (Stawski et al. [2012](#page-15-0); Joung et al. [2011;](#page-15-0) Paniagua et al. [2014](#page-15-0)). When the thickness of the dielectric layers decreases to $0.5 \mu m$, the sizes of the $BaTiO₃$ powders used should be controlled to be less than 100 nm, and tetragonal BaTiO₃ nanocrystals are needed (Shiratori et al. [2007](#page-15-0); Xia et al. [1996](#page-15-0)). To achieve full control on the size, morphology, and phase of the BaTiO₃-based materials, scientists have to systematically understand effects of the factors that influence the morphologies, microstructures, and phases of $BaTiO₃$ nanocrystals (Xia et al. [1996](#page-15-0); Bai et al. [2012](#page-14-0); Hoshina et al. [2008](#page-15-0)).

Many processes have been developed to synthesize $BaTiO₃$ nanocrystals and their composites (Ko et al. [2013;](#page-15-0) Xie et al. [2010;](#page-15-0) Wang et al. [2006\)](#page-15-0). The conventional methods for the fabrication of $BaTiO₃$ powders involve similar solid-state reactions of carbonates and oxides (i.e., $BaCO₃$ and $TiO₂$) at enhanced temperatures, but the particle sizes of the as-obtained products are large (micrometer level), and their morphologies cannot be effectively controlled (Tian et al. [2008](#page-15-0)). For the synthesis of pure and uniformsized $BaTiO₃$ nanocrystals, the hydrothermal processes in various solvents at low temperatures are proven to be efficient, and the as-obtained nanocrystals are usually of a narrow particle-size distribution and well-controlled morphology (Xu and Gao [2002](#page-15-0); Zhan et al. [2012;](#page-16-0) Pramanik et al. [2006;](#page-15-0) Badheka et al. [2006](#page-14-0)). Low reaction temperatures in hydrothermal processes can avoid the problems (such as poor stoichiometry) encountered with the high-temperature processes (i.e., solid-state reactions) due to easy volatilization of some components (Riman et al. [2002](#page-15-0)). However, the factors influencing the hydrothermal synthesis of $BaTiO₃$ nanocrystals are complex; for example, the formation rates and the yields of $BaTiO₃$ nanocrystals are considerably influenced by hydroxyl concentrations and pH values (Slamovich and Aksay [1994;](#page-15-0) Shin et al. [2014\)](#page-15-0). Therefore, it is necessary to define empirically the fundamental effects of the parameters (i.e., reaction temperatures, pressures, and durations, precursor concentrations, and pH values) on the crystallization thermodynamics and kinetics of the growth of $BaTiO₃$ nanocrystals (Tian et al. [2008](#page-15-0); Tang and Sodano [2013](#page-15-0)). For the wet-chemical growth of $BaTiO₃$ nanocrystals, there are three possible mechanisms proposed: (i) Ost-wald ripening process (Zeng [2006\)](#page-16-0), (ii) oriented attachment (Zhou [2010\)](#page-16-0), and (iii) reversed crystalgrowth mechanisms (Qi et al. [2011\)](#page-15-0). Understanding the details for the hydrothermal growth mechanism is another essential requirement for the scale-up synthesis of $BaTiO₃$ nanocrystals with controlled sizes and morphologies. To the best of our knowledge, further simplification of the synthetic process, effective control over the microstructures and phases, and complete understanding of the influencing factors and the related formation mechanisms during the hydrothermal synthesis of $BaTiO₃$ nanocrystals are still to be realized and remain as challenges to overcome (Gajović et al. [2013;](#page-15-0) Zeng [2007](#page-16-0); Shiratori et al. [2007](#page-15-0)).

In this work, we systematically investigate the major factors that influence the formation of $BaTiO₃$ nanocrystals using $Ba(NO₃)₂$ and $TiO₂$ sols as the model starting precursors during the static hydrothermal process. The relevant major factors include NaOH concentrations [NaOH], molar Ba/Ti ratios $(R_{\text{Ba/Ti}})$, hydrothermal temperatures, and durations, with emphases on the understanding the process–microstructure–phase relationships and their related mechanisms.

Materials and methods

Materials and chemicals

Tetrabutyl titanate $(Ti(OC₄H₉)₄$, TNB, analytically pure), and barium nitrate $(Ba(NO₃)₂$, chemically pure) were purchased from Sinopharm Chemical Reagent Co. Ltd.. Sodium hydrate (NaOH, analytically pure), glacial acetic acid $(C_2H_4O_2,$ analytically pure),and isopropyl alcohol $(C_3H_8O,$ analytically pure) were purchased from Tianjing Kermel Cheimcal Reagent Co. Ltd.. Ethanol (analytically pure) was purchased from Anhui Ante Biochemical Co. Ltd.. All reagents were used as received without further purification. Distilled water was normally used in the experiments, unless specifically stated otherwise.

Synthesis of highly dispersed $BaTiO₃$ nanocrystals

The synthesis of the highly dispersed $BaTiO₃$ nanocrystals involved two steps: the preparation of $TiO₂$ sol and then the static hydrothermal treatment. For the preparation of $TiO₂$ sol, isopropyl alcohol (80 mL), and tetra-butyl titanate (80 mL) were first mixed to form a transparent solution, which was then dropwise added into the glacial acetic acid aqueous solution (30 mL of glacial acetic acid in 800 ml of distilled water) under vigorous magnetic stirring at room temperature. The above mixture obtained was kept under stirring for more than 3 days to form a translucent $TiO₂$ sol as a stock reactant for later use. For the synthesis of BaTiO₃ nanocrystals, a given amount of $Ba(NO₃)₂$ was first dissolved into 60 ml of the above $TiO₂$ sol according to the designed molar Ba/Ti ratio ($R_{Ba/Ti} = 0.7{\text -}2.0$); then NaOH solution (20 mL) with a given amount of NaOH solids was added to the Ba^{2+} –TiO₂ sol, and the NaOH concentration was kept in the range of 0.6–3.0 mol L^{-1} based on the total volume of 80 mL. With the addition of NaOH solutions, the above semitransparent Ba^{2+} $TiO₂$ sols turned to milky-white precipitates, forming $Ba(OH)$ ₂ due to the reaction of Ba^{2+} ions with $OH^$ ions. After 30-min stirring, the milky-white precipitates were transferred to a Teflon-lined autoclave (100 mL in volume), which was then heated in the range of $100-200$ °C for a duration of 10 min–100 h under a static hydrothermal condition. After the hydrothermal reaction, the autoclave was naturally cooled to room temperature. The lower part of the treated product appeared as white precipitates and the upper was in the form of transparent solutions. The white precipitates were collected by centrifugation, washed with de-ionized water, and ethanol several times, and then dried overnight at 60 \degree C.

Characterization and analysis

The phase compositions of the BaTiO₃ nanocrystals synthesized were determined by X-ray diffraction (XRD) performed on an XD-3 X-ray diffractometer (Beijing Purkinje General Instrument Co., Ltd., China) with Cu K α irradiation ($\lambda = 0.15406$ nm). The morphologies and microstructures of the BaTiO₃ samples were observed using a field-emission electron scanning microscope (FE-SEM, JEOL 7500F), and a field-emission transmission electron microscope (FE-TEM, Tecnai G2F20, accelerating voltage of 200 kV, Philips) with an attachment of energy dispersive analysis of x-rays (EDAX). TG analyses were performed using a thermogravimetric analyzer TA Q50 (TA Instruments) under air atmosphere. The $BaTiO₃$ samples were heated from room temperature to 1000 °C at a rate of 10 °C min⁻¹. Fourier transformed infrared (FT-IR) spectra were recorded in wavenumbers ranging from 4000 to 400 cm^{-1} using a Bruker-Equinox 55 spectrometer in a transmittance mode. The particle-size distribution of the $BaTiO₃$ samples was statistically analyzed based on the SEM observations. The morphologies and microstructures of the samples were observed using a field-emission electron scanning microscope (FE-SEM, JEOL 7500F), and a field-emission transmission electron microscope (FE-TEM, Tecnai $G²$ F20, accelerating voltage of 200 kV, Philips). Raman spectra were recorded in the $100-1000$ cm^{-1} wavenumber range using a Horiba Xplora Raman microscope (Horiba Scientific).

Results and discussion

Synthesis and characterization of typical highly dispersed $BariO₃$ nanospheres

The formation of $BaTiO₃$ nanoparticles experienced nucleation of TiO₂ sols and then deposition of Ba²⁺ on $TiO₂$ nucleus in an alkaline solution under static hydrothermal conditions. Figure [1](#page-3-0) shows the morphology and composition of the typical $BaTiO₃$ nanocrystals synthesized by hydrothermal treatment at 200 °C for 10 h with a [NaOH] of 2 mol L^{-1} and a molar Ba/Ti ratio $(R_{\text{Ba/Ti}})$ of 1.5. Figure [1a](#page-3-0), b shows typical SEM images of the as-synthesized BaTiO₃ sample. The low-magnified SEM image (Fig. [1](#page-3-0)a) indicates that the sample consists of uniform, spherical $BaTiO₃$ particles. The corresponding high-magnified SEM image shows that the spherical particles are well separated, and their sizes are less than 100 nm. The

Fig. 1 a, b SEM images, c size-distribution histograms, d XRD patterns, and e Raman spectra of typical BaTiO₃ nanoparticles synthesized by a static hydrothermal process at 200 °C for 10 h ([NaOH] = 2.0 mol L⁻¹, and $R_{Ba/Ti} = 1.5$)

statistical analysis of the particle-size distribution based on the SEM observations is shown in Fig. 1c, and the average size is 76 ± 13 nm.

The XRD spectrum of the as-synthesized sample is shown in Fig. 1d. There are seven obvious peaks at around 22.12, 31.52, 38.81, 45.17, 50.80, 56.10, and 65.76, corresponding to the (100), (110), (111), (200), (210), (211), and (220) reflections of the cubic $BaTiO₃$ phase, respectively, according to the literature (JCPDS card no. 31-0174) (Patel et al. [2012](#page-15-0); Joung et al. [2011;](#page-15-0) Hoshina et al. [2008](#page-15-0)). The cell parameter which was calculated using the Cell Unit program was estimated to be $a = 0.40133(6)$ nm, very close to the literature data ($a = 0.4031$ nm, JCPDS card no. 31-0174) (Zhan et al. [2012](#page-16-0)). Actually, the diffraction peak at around 45° can be

split into two possible peaks located at 45.1° and 45.4°, with an intensity ratio $(I_{45.1}/I_{45.4})$ of 4.3. According to the standard data (JCPDS card no. 05-0626), the tetragonal BaTiO₃ has (200) and (002) reflections at 44.9° and 45.4° , respectively (Xie et al. 2010); whereas the cubic BaTiO₃ (JCPDS card no. 31-0174) shows the (200) reflection at \sim 45.0° (Masuda et al. [2008](#page-15-0)). The amount of tetragonal phase can be estimated according to the ratio of $I_{45,4}/$ $(I_{45,4} + I_{45,1}),$ where $I_{45,4}$ and $I_{45,1}$ are the peak intensities of tetragonal phase and cubic phase at 2θ values of around 45.4° and 45.1° , respectively. The calculated results are listed in Table S1. Therefore, the sample synthesized under the present conditions may be a mixture of cubic (JCPDS card no. 31-0174) and tetragonal (JCPDS card no. $05-0626$) BaTiO₃ phases, and the amount of tetragonal phase is about 21.7 % according to the XRD data (Fig. [1](#page-3-0)d; Table S1).

Figure [1](#page-3-0)e shows the Raman spectra of the assynthesized $BaTiO₃$ nanospheres. They are expected to have eight Raman active modes for tetragonal BaTiO₃ with a space group P4 mm, $3A1g +$ B1 g + 4Eg (Masuda et al. 2008 ; Gajović et al[.2013](#page-15-0)). The peaks at around 514, 253, and 183 cm^{-1} are assignable to the fundamental TO modes (transverse component of the optical mode) of the A1 symmetry, and the peak at \sim 306 cm⁻¹ can be assigned to the B1 mode, indicating an asymmetry within the $TiO₆$ octahedra of BaTiO₃ on a local scale (Moreira and Microwave [2008;](#page-15-0) Gajović et al. [2013](#page-15-0)). The broad band at around 714 cm^{-1} should be related to the high-frequency longitudinal optical mode (LO) of A1 symmetry (Gajović et al. [2013\)](#page-15-0). If the sharpness of the peak at 306 cm^{-1} is reduced or it becomes indistinct, the tetragonal phase is not dominant in the sample (Shiratori et al. [2007](#page-15-0); Gajović et al. [2013;](#page-15-0) Shiratori et al. [2007\)](#page-15-0). However, the Raman peak at 306 cm⁻¹ of the present BaTiO₃ sample is sharp, indicating that the as-synthesized $BaTiO₃$ samples via hydrothermal treatment at 200 °C for 10 h consist of a large amount of tetragonal BaTiO₃ phase, in agreement with its XRD result (Fig. [1](#page-3-0)d).

Taking the SEM, XRD, and Raman spectra into account, the as-obtained $BaTiO₃$ sample mainly consists of highly dispersed $BaTiO₃$ nanospheres with nanoscale diameters (76 \pm 13 nm) and mixed phase compositions (tetragonal and cubic), being suitable as functional inks for ink-jet printing devices.

Effects of NaOH concentrations

From the thermodynamic point of view, $BaTiO₃$ can be formed in a strong alkaline condition, because the solubility of barium titanate increases in low pH solutions (Un-Yeon et al. [2004\)](#page-15-0). The formation reaction of $BaTiO₃$ can also occur at low pH solutions but only at an elevated temperature (Riman et al.[2002](#page-15-0)). Until now, it is not very clear how to balance the alkalinity and temperature during the formation of $BaTiO₃$ nanocrystals. To investigate the effect of alkalinity on the crystallinity and morphology of the $BaTiO₃$ products, we synthesized a series of $BaTiO₃$ samples with various NaOH concentrations ([NaOH] ~0.6–3.0 mol L^{-1}), and the hydrothermal temperature, duration, and Ba/Ti ratio were kept the same values: 200 °C for 10 h with $R_{Ba/Ti} = 1.2$. The yields of $BaTiO₃$ solids increase with the increase in NaOH concentration, and the yield increases from \sim 70 % when [NaOH] is \sim 0.6–0.8 mol L⁻¹ to over 90 % when [NaOH] is \sim 1.0–3.0 mol L⁻¹.

Figure [2](#page-5-0) shows the typical XRD patterns of the BaTiO₃ samples synthesized with various NaOH concentrations at 200 \degree C for 10 h with a Ba/Ti ratio of 1.2. As the XRD patterns show (Fig. [2](#page-5-0)b–e), when the [NaOH] is not less than 0.8 mol L^{-1} , the assynthesized samples have similar XRD patterns, which can be indexed to $BaTiO₃$ phases (tetragonal (JCPDF 05-0626) or cubic (JCPDF no. 31-0174)), and no impure phases can be found (Patel et al. [2012](#page-15-0)). When the NaOH concentration is too low, i.e., 0.6 mol L^{-1} (Fig. [2](#page-5-0)a), there are some diffraction peaks belonging to $BaCO₃$, besides the peaks belonging to the BaTiO₃ phases. Under low NaOH concentrations, the solubility of $BaTiO₃$ increases and the as-obtained Ba^{2+} ions can react with CO_2 dissolved in the solution to form $BaCO₃$ phase (Joung et al. [2011\)](#page-15-0). As the broadened XRD patterns in the 2θ range of 44–46° (right in Fig. [2\)](#page-5-0) show, the BaTiO₃ sample synthesized in a low [NaOH] of 0.6 mol L^{-1} has one diffraction peak at \sim 45.1°, very close to the (200) reflection (44.964°) of the cubic BaTiO₃ phase according to JCPDF no. 31-0174, indicating that the BaTi O_3 sample synthesized in a low [NaOH] concentration consists of a cubic phase(Masuda et al. [2008](#page-15-0); Patel

Fig. 2 Typical XRD patterns of BaTiO₃ samples obtained by static hydrothermal treatment at 200 °C for 10 h with R_{Ba} $T_{\text{Ti}} = 1.2$ and various NaOH concentrations: *a* 0.6 mol L⁻¹, *b* 0.8 mol L^{-1} , c 1.0 mol L^{-1} , d 2.0 mol L^{-1} , and e 3.0 mol L^{-1}

et al. [2012](#page-15-0)). When the [NaOH] concentration increases from 0.6 to 3.0 mol L^{-1} , the diffraction peaks at around 45° of the as-synthesized BaTiO₃ samples can be split into two obvious sub-peaks, assignable to (200) and (002) reflections of the tetragonal $BaTiO₃$ phase. The splitting of the diffraction peaks around 2θ of 45° [(200) and (002)] is characteristic of the tetragonal $BaTiO₃$ phase. The amounts of the tetragonal $BaTiO₃$ phase can be estimated based on the XRD data (Fig. S1; Table S1), and the percentage of the tetragonal BaTiO₃ phase increases from 18 to 40 $\%$ with the increase of [NaOH] concentration from 0.6 to 3.0 mol L^{-1} . Therefore, we can conclude that the enhancements in NaOH concentrations in initial solutions are favorable in the formation of tetragonal $BaTiO₃$ phase.

Figure [3](#page-6-0) shows the typical SEM images of the BaTiO₃ samples synthesized with different NaOH concentrations under static hydrothermal treatment at 200 °C for 10 h with a Ba/Ti ratio of 1.2. For the low [NaOH] cases (i.e., 0.6–0.8 mol L^{-1}), as shown in Fig. [3a](#page-6-0) and b, the BaTiO₃ samples obtained consist of large aggregates of small $BaTiO₃$ nanoparticles, which

are unrecognizable in morphology and size of their primary particles. When the [NaOH] concentration increases to over 1.0 mol L^{-1} , the samples consist of definitely spherical and highly dispersed $BaTiO₃$ nanoparticles, as shown in Fig. [3](#page-6-0)c–e. Their particlesizes are statistically analyzed based on the SEM observations, and shown in Fig. [3f](#page-6-0). One can find that the sizes of the BaTiO₃ nanospheres synthesized at [NaOH] = 1.0 mol L^{-1} are 105 \pm 21 nm, and the BaTiO₃ samples synthesized at $[NaOH] = 2.0$ mol L^{-1} and [NaOH] = 3.0 mol L^{-1} have sizes in the range of 79 ± 24 and 80 ± 23 nm, respectively. When the yields, phase compositions, morphology, and size are taken into account, we can conclude that the NaOH concentration at about 2.0 mol L^{-1} is the optimum for the formation of spherical $BaTiO₃$ nanoparticles in the present hydrothermal condition. The surfaces of the as-synthesized BaTiO₃ nanoparticles are smooth, and their sizes are uniform. In a high-concentration NaOH solution, the nuclei have a large amount of hydroxyl groups on their surfaces, which favor the growth of negatively charged $BaTiO₃$ nanoparticles at a pH \geq 10 solution (Riman et al. [2002;](#page-15-0) Un-Yeon et al. [2004](#page-15-0)). The negatively charged $BaTiO₃$ nanoparticles can repel each other because of the electrostatic repulsion, which prevents them from agglomerating and enhances the dispersibility of the BaTiO₃ nanoparticles (Un-Yeon et al. [2004\)](#page-15-0).

Figure [3g](#page-6-0) shows the plot of the yield of the solid samples as a function of NaOH concentrations [NaOH]. The yield is lower than 75 % when the [NaOH] concentration is in the range of 0.6–0.8 mol L^{-1} , whereas the yields increase to more than 90 % when the [NaOH] concentrations are larger than 1 mol L^{-1} .

Effects of molar Ba/Ti ratios

To verify the effect of the molar Ba/Ti ratios $(R_{Ba/Ti})$ on the formation of $BaTiO₃$ nanoparticles, we synthesized a series of $BaTiO₃$ samples by hydrothermal treatment of Ba^{2+} –TiO₂ sols with various Ba/Ti ratios $(R_{\text{Ba/Ti}} = 0.7{\text -}2.0)$ and the optimal [NaOH] concentration of 2.0 mol L^{-1} at 200 °C for 10 h. Figure [4](#page-7-0) shows the typical XRD patterns of the as-synthesized BaTiO₃ samples with various $R_{\text{Ba/Ti}}$ values. One can find that the diffraction peaks of all the samples obtained with $R_{\text{Ba/Ti}}$ of 0.7–2.0 can be readily attributed to cubic or tetragonal $BaTiO₃$ phases having Fig. 3 a–e SEM images of BaTiO₃ samples obtained by static hydrothermal treatment at 200 $^{\circ}\mathrm{C}$ for 10 h with $R_{Ba/Ti} = 1.2$ and various NaOH concentrations: a 0.6 mol L^{-1} , **b** 0.8 mol L^{-1} L^{-1} , **b** 0.8 mol L^{-1} ,
c 1.0 mol L^{-1} , **d** 2.0 mol
 L^{-1} , and **e** 3.0 mol L^{-1} ; **f** A histogram of the particle sizes changing with NaOH concentrations; g A plot of yields of the solid samples as a function of NaOH concentrations

no other recognizable impurities (Pramanik et al. [2006\)](#page-15-0). The amplified XRD patterns in the 2θ range of $44-46^{\circ}$ show that the peaks at around 45° become

broader and broader as the $R_{\text{Ba/Ti}}$ value increases from 0.7 to 2.0, indicating a higher Ba/Ti ratio is helpful to form a tetragonal $BaTiO₃$ phase according to JCPDF

Fig. 4 Typical XRD patterns of BaTiO₃ samples obtained by static hydrothermal treatment at $200 °C$ for 10 h with [NaOH] = 2.0 mol L⁻¹ and various molar Ba/Ti ratios (R_{Ba}) $_{\rm Ti}$): *a* R_{Ba/Ti} = 0.7, *b* $R_{\rm Ba/Ti}$ = 1.0, *c* $R_{\rm Ba/Ti}$ = 1.2, *d* $R_{\rm Ba/Ti}$ = 1.5, and *e* $R_{Ba/Ti} = 2.0$

no. 05-0626, in agreement with the literature results (Joung et al. [2011](#page-15-0)), but the proper Ba/Ti ratio is 1.2, larger than which the amount of tetragonal BaTiO₃ phase decreases (Table S1).

The morphology and particle-size distribution of the BaTiO₃ samples synthesized with various $R_{Ba/Ti}$ values via hydrothermal treatment at 200 $^{\circ}$ C for 10 h $([NaOH] = 2.0 \text{ mol } L^{-1})$ is shown in Fig. [5.](#page-8-0) Fig-ure [5a](#page-8-0)–e shows the typical SEM images of the BaTiO₃ samples obtained, and their particle sizes analyzed based on the corresponding SEM observations are shown in Fig. [5f](#page-8-0). One can find that the molar Ba/Ti ratios highly influence the morphologies of the $BaTiO₃$ samples. For the BaTiO₃ sample synthesized with a low $R_{\text{Ba/Ti}}$ value of 0.7, it consists of loose aggregates formed by small particles (Fig. [5](#page-8-0)a). The particle-like $BaTiO₃$ aggregates become tighter when the $R_{\text{Ba/Ti}}$ value increases to 1 (Fig. [5](#page-8-0)b). For the BaTiO₃ samples synthesized with $R_{\text{Ba/Ti}}$ values of 1.2 and 1.5, the particles have solid spherical shape with smooth surfaces (Fig. [5c](#page-8-0)–d). However, when the R_{Ba} T_i value continues to increase to 2.0 (Fig. [5](#page-8-0)e), the assynthesized $BariO₃$ sample consists of large smooth particles (\sim 100 nm) and small rough particles. The particle sizes of the samples slightly decrease as the $R_{\text{Ba/Ti}}$ value increases from 0.7 to 2.0 (Fig. [5](#page-8-0)f). It is obvious that a moderate $R_{\text{Ba/Ti}}$ value is favorable in forming uniform-sized $BaTiO₃$ nanospheres with smooth surfaces. Figure [5](#page-8-0)g shows the yield changes with the molar Ba/Ti ratios. One can find that the molar Ba/Ti ratios do not influence the yield of the solid samples very much, and their yields reach \sim 90 % for the $R_{\text{Ba/Ti}}$ values in the range of 0.7–2.0.

Effects of hydrothermal temperatures

We also investigated the effect of hydrothermal temperatures on the formation of $BaTiO₃$ nanocrystals when the other parameters were kept at optimal values: $R_{Ba/Ti} = 1.2$ and [NaOH] = 2.0 mol L⁻¹. Figure [6](#page-9-0) shows the typical XRD patterns of the $BaTiO₃$ samples synthesized at various hydrothermal temperatures (100–200 $^{\circ}$ C) for 10 h. All the samples can be indexed to the $BaTiO₃$ phase (JCPDF no. 05-0626 and JCPDF no. 31-0174) (Masuda et al. [2008](#page-15-0); Xie et al. [2010\)](#page-15-0). The right part of Fig. [6](#page-9-0) shows the reflection peaks at around 2θ of 45°. When the hydrothermal temperature enhances from 100 to 200 °C, the XRD peaks at around 2 θ of 45° become broader, which indicates that the enhanced hydrothermal temperature is favorable in forming tetragonal $BaTiO₃$ phase. The percentages of tetragonal BaTiO₃ phase in the samples increase from 16.3 % at 100 $^{\circ}$ C to 36.6 % at 200 $^{\circ}$ C.

The SEM observations of the BaTiO₃ samples obtained at various temperatures are shown in Fig. [7.](#page-10-0) Figure [7](#page-10-0)a–d shows the typical SEM images of the $BaTiO₃$ samples synthesized at 100, 150, 180, and 200 °C, respectively. When the temperature is 100 °C, the as-obtained $BaTiO₃$ nanoparticles are of spherical shape and uniform size in morphology. With the increase in hydrothermal temperature, the BaTi O_3 particles show more definite crystal faces, indicating that higher temperature is more favorable in promoting the growth of $BaTiO₃$ nanoparticles (Fig. [7b](#page-10-0)–d). Figure [7](#page-10-0)e shows the particle-size change of the $BaTiO₃$ samples obtained at various hydrothermal temperatures. One can see that the elevated hydrothermal temperatures just slightly coarsen the BaTiO₃ particles from ~ 60 nm at 100 °C to ~ 80 nm at

Fig. 5 $a-e$ SEM images of BaTiO₃ samples obtained by static hydrothermal treatment at 200 °C for 10 h with [NaOH] = 2.0 mol L⁻¹ and various molar Ba/Ti ratios ($R_{\text{Ba/Ti}}$): **a** $R_{\text{Ba/Ti}} = 0.7$, **b** $R_{\text{Ba/Ti}} = 1.0$, **c** $R_{\text{Ba/Ti}} = 1.2$, **d** $R_{\text{Ba/Ti}} = 1.5$, and **e** $R_{\text{Ba/Ti}} =$

2.0; f The sizes of the BaTiO₃ samples changing with molar Ba/ Ti ratios ($R_{Ba/Ti}$); g A plot of yields of the solid samples as a function of molar Ba/Ti ratios

Fig. 6 Typical XRD patterns of BaTiO₃ samples by static hydrothermal treatment with $R_{Ba/Ti} = 1.2$ and [NaOH] = 2.0 mol L^{-1} at various temperatures for 10-h duration: a 100 °C, b 150 °C, c 180 °C and d 200 °C

200 C. This insensitivity to hydrothermal temperature is useful in size-controllable synthesis of $BaTiO₃$ nanocrystals on a large scale. However, the hydrothermal temperatures highly influence the yields of the BaTiO₃ samples. Figure $7f$ shows the yields as a function of hydrothermal temperature. One can see that the elevated hydrothermal temperature can enhance the yields from $\sim 88 \%$ at 100 °C to \sim 92 % at 200 °C. Therefore, higher hydrothermal temperatures (i.e., $180-200$ °C) are preferable in the high-yield synthesis of $BaTiO₃$ nanocrystals.

Growth mechanism of $BaTiO₃$ nanocrystals under static hydrothermal conditions

The investigation on the factors that influence the formation of $BaTiO₃$ nanoparticles indicates that the optimal parameters for the synthesis of uniform-sized BaTiO₃ nanospheres can be as follows: the $R_{\text{Ba/Ti}}$ values in a range of 1.2–1.5, the [NaOH] concentration is about 2.0 mol L^{-1} , and the hydrothermal temperature is in the range of $180-200$ °C.

To explore the growth kinetics, we synthesized a series of $BaTiO₃$ samples with various hydrothermal durations (from 10 min to 100 h) under the above optimal conditions ($R_{\text{Ba/Ti}} = 1.2$, [NaOH] = 2.0 mol L^{-1} , at 200 °C). Figure [8](#page-11-0) shows the typical XRD patterns of the $BaTiO₃$ samples synthesized with various hydrothermal durations. The overall XRD patterns in a 2θ range of $20-70^{\circ}$ indicate the crystalline $BaTiO₃$ nanocrystals are formed after a hydrothermal treatment of 10 min, and the ignorable peak belonging to $BaCO₃$ phase suggests the $BaTiO₃$ samples are relatively pure even for the case with a hydrothermal duration as short as 10 min. The intensities of the XRD peaks become stronger and stronger as the hydrothermal durations increase from 10 min to 100 h. The crystalline sizes of the samples were estimated using the Scherrer equation, $D = K\lambda/6\cos\theta$, where $K = 0.89$, $\lambda = 0.15406$ nm, β is the FWHM of diffraction peak, and θ is diffraction angle. We calculated the crystalline sizes using the peaks at $2\theta = 22.1$, 31.5, 38.1°, respectively, and then averaged them. The diffraction peak intensities and the crystalline sizes increase when prolonging the hydrothermal treatment duration (Fig. S2), indicating that the increase of the XRD intensity is a direct consequence of the crystallite size growth. As the locally amplified XRD patterns in a 2θ range of $44-46^{\circ}$ shows (right part of Fig. [8\)](#page-11-0), there is only one typical peak at around 44.8° for the BaTiO₃ samples obtained at the initial stages (Fig. [8](#page-11-0)a–b), belonging to the (200) reflection of cubic BaTiO₃ phase (JCPDS card no. 31-0174)(Masuda et al. [2008](#page-15-0); Patel et al. [2012\)](#page-15-0). As the hydrothermal duration lengthens from 10 min to 100 h, the XRD peaks become stronger and stronger, and the diffraction peaks at around 45° broaden and finally split into two obvious peaks (Fig. [8](#page-11-0)g) at 44.9 and 45.3 $^{\circ}$, belonging to (200) and (002) reflections of tetragonal BaTiO₃ phase (JCPDS) card no. 05-0626), respectively (Masuda et al. [2008](#page-15-0); Patel et al. [2012](#page-15-0); Joung et al. [2011;](#page-15-0) Xie et al. [2010](#page-15-0)). According to the XRD results, we can conclude that prolonging hydrothermal duration can promote the formation of tetragonal $BaTiO₃$ phase. We observed a great amount of tetragonal $BaTiO₃$ phase in the samples obtained after hydrothermal treatment for more than 5 h according to the XRD results (Fig. [8](#page-11-0)).

To further understand the growth process, we investigated the evolution in morphology and size of the BaTiO₃ samples obtained at various stages under the static hydrothermal conditions $(R_{Ba/Ti} = 1.2)$, [NaOH] = 2.0 mol L^{-1} , at 200 °C). Figure [9](#page-12-0) shows

Fig. 7 a-d SEM images of BaTiO₃ samples by static hydrothermal treatment with $R_{Ba/Ti} = 1.2$ and [NaOH] = 2.0 mol L^{-1} at various temperatures for 10-h duration: **a** 100 °C, **b** 150 °C, c 180 °C and **d** 200 °C; e A plot of the sizes of the

the typical SEM observations (Fig. [9](#page-12-0)a–f) and statistical analyses in elemental compositions (Fig. [9](#page-12-0)g), particle-sizes (Fig. [9h](#page-12-0)) and yields (Fig. [9i](#page-12-0)). The typical SEM images in Fig. [9](#page-12-0)a–f show the evolution in morphology and size of the $BaTiO₃$ samples obtained with various hydrothermal durations from 10 min to 100 h. Figure [9a](#page-12-0) shows an SEM image of the solid sample at the very initial stage with a hydrothermal treatment of 10 min. One can see that it consists of small nanoparticles (several nanometers in size), which should be mainly $TiO₂$ nuclei. After a hydrothermal treatment of 60 min, uniform-sized

 $BaTiO₃$ samples as a function of hydrothermal temperatures; fA plot of yields of the solid samples as a function of hydrothermal temperatures

spherical particles with a size range of 59 ± 13 nm are formed by agglomeration of smaller nanoparticles, as shown in Fig. [9](#page-12-0)b. As the hydrothermal duration increases from 1 to 100 h, the spherical BaTiO₃ particles become definitely plane-shaped polyhedral particles (Fig. [9](#page-12-0)c–f). Figure [9g](#page-12-0) shows the changes in the amounts of elemental Ba in the samples obtained with various hydrothermal durations based on the EDXA data. One can find that the Ba amount in the samples increases with the prolongation of hydrothermal duration, indicating that the elemental Ba enters $BaTiO₃$ lattices by gradually diffusing and growing

Fig. 8 Typical XRD patterns of BaTiO₃ nanoparticles synthesized with $R_{\text{Ba/Ti}} = 1.2$ and [NaOH] = 2.0 mol L⁻¹ by hydrothermal treatment at 200 $^{\circ}$ C for various durations (10 min–100 h)

during the hydrothermal process. Figure [9](#page-12-0)h shows the particle-size changes of the samples synthesized at various stages, and their sizes increase gradually from 59 \pm 13 to 147 \pm 67 nm. Figure [9i](#page-12-0) shows the plot of the yields of the solid samples versus the hydrothermal duration. One can see that the yields of BaTiO₃ samples increase with the prolongation of the hydrothermal duration, and the yield shows a sharp increase during the initial hydrothermal stages.

To further understand the chemical compassions of the samples, we used TG–DTA and FT-IR techniques to characterize the typical samples obtained with various hydrothermal durations of 10 min, 10, and 100 h. According to the TG curves (Fig. S3a), the samples obtained with various hydrothermal durations of 10 min, 10, and 100 h exhibit total mass losses of \sim 11.3, 2.2, and 1.4 %, respectively. Their corresponding DTA curves (Fig. S3b) show that the sample obtained for a short hydrothermal duration of 10 min has an endothermic peak at 130° C and an obvious exothermic peak at 200–500 $^{\circ}$ C, whereas these peaks become weaker for the samples obtained with longer hydrothermal durations of 10 h and 100 h. The endothermic peaks at $130 °C$ should be due to desorption of water and other impurities adsorbed on the samples (Patel et al. [2012](#page-15-0)). The exothermic peak and corresponding mass loss at $200-500$ °C may be mainly due to the oxidation of the organic species derived from the precursor of tetrabutyl titanate (Patel et al. [2012](#page-15-0)).

We also used FT–IR spectra to characterize the samples prepared with various hydrothermal durations (Fig. S4). The bands at 3431 and 1568 cm⁻¹ can be assigned to the (O–H)-stretching mode of the water molecules adsorbed (Long et al. [2011;](#page-15-0) Chang et al. [2009;](#page-15-0) Hou et al. [2009](#page-15-0)). The band at 2365 cm^{-1} may be due to some residual organic species. The band at 1400 cm⁻¹ can be assigned to the $(C=O)$ stretching mode (Long et al. [2011\)](#page-15-0). These bands become weaker with the prolongation of the reaction duration. There are two obvious absorption bands in the wavenumber range of $400-800$ cm⁻¹, which are similar to the literature (Long et al. [2011\)](#page-15-0). The broad and strong absorption band at around 562 cm^{-1} can be assigned to Ti– O_I stretching normal vibrations of TiO₆ octahedra, and the weaker and sharper peak at around 438 cm⁻¹ can be attributed to Ti-O_{II} bending normal vibrations (Zhan et al. [2012](#page-16-0); Un-Yeon et al. [2004](#page-15-0); Chang et al. 2009). These bands at 438 and 562 cm⁻¹ become stronger and stronger when the hydrothermal duration increases from 10 h to 100 h, indicating the formation of well-crystallized $BaTiO₃$ nanocrystals. The FT-IR analysis agrees with the XRD results shown in Fig. 8.

To understand the growth mechanism, we use TEM technique to observe the typical $BaTiO₃$ samples obtained at the initial stages with hydrothermal durations of 10 min and 1 h. The low-magnification TEM images (Fig. S5) indicate that these samples consist of highly dispersive spherical particles with sizes of 50-60 nm, similar to the SEM observations (Fig. [9](#page-12-0)). The enlarged TEM images and the corresponding fast Fourier transform (FFT) patterns are shown in Fig. [10](#page-13-0). The TEM images in Fig. [10](#page-13-0)a and d show that the spherical particles in the samples take on a roughly surface, and their high-resolution TEM images (Fig. [10](#page-13-0)b and e) indicate that the spherical particles are formed by loosely aggregating smaller nanoparticles (5–10 nm in size). One can see some

Fig. 9 a–f SEM images of the BaTiO₃ nanocrystals synthesized with R_{Ba} $_{Ti}$ = 1.2 and [NaOH] = 2.0 mol L^{-1} by hydrothermal treatment at 200 °C for 10 min-100 h: a 10 min, b 1 h, c 5 h, d 10 h, e 40 h, f 100 h; g The change of the Ba amount of the BaTiO₃ nanocrystals obtained with various hydrothermal durations according to the EDXA data; h The changes in the sizes of the BaTiO₃ nanocrystals obtained with various hydrothermal durations according to the SEM observation; i A plot of yields of the solid samples as a function of hydrothermal durations

Fig. 10 a, b, d, e TEM images and c, f FFT patters of the BaTiO₃ nanocrystals obtained by hydrothermal treatment with $R_{\text{Ba/Ti}} = 1.2$, [NaOH] = 2.0 mol L^{-1} at 200 °C for **a–c** 10-min and **d–f** 1-h durations

well-defined lattice fringes in the high-resolution TEM images, and the spacings of the lattice fringes are about 0.26 and 0.27 nm, which correspond to the ${110}$ crystal planes of cubic BaTiO₃. The corresponding FFT patterns in Fig. 10 c and f show ordered diffractional lattices, which can be indexed to the cubic BaTiO₃ phase along the [111] direction. Taking the SEM, TEM, and FFT results into consideration, we can safely conclude that the nanoparticles are aggregated via an oriented attachment mechanism to form larger spherical particles at the initial stages during the hydrothermal process.

The growth mechanism of the BaTiO₃ nanocrystals during the static hydrothermal conditions can be understood based on the analyses of the XRD, SEM, TEM, TG–DTA, and FT-IR results. Figure [11](#page-14-0) shows the schematic process for the possible growth mechanism of the BaTiO₃ nanoparticles. At the initial stage of crystal growth, there is a competition between the aggregation and growth processes. The crystal growth of $BaTiO₃$ nanocrystals under static hydrothermal conditions can be divided into three distinct stages according to their morphologic evolution (Fig. [9](#page-12-0)): nucleation, fast aggregation, and Ostwald ripening growth. During the nucleation stage, the Ti–O chains with negative charge were quickly formed under the strong alkali solution, and then adsorbed the positivecharged Ba^{2+} ions, followed by nucleation and crystallization to form $BaTiO₃$ nuclei with small particle sizes (Figs. [8](#page-11-0)a, [9a](#page-12-0)). At the second stage, the newly formed $BaTiO₃$ nuclei aggregate to form spherical particles with a uniform size (Figs. [9b](#page-12-0), 10, Fig. S5). Usually, the BaTiO₃ nanoparticles with similar distances will take the same crystallographic orientation because of the induction effect of the large crystals with a direction of polarization to the small ones (Chang et al. [2009](#page-15-0)). The loose and oriented $BaTiO₃$ aggregates then in situ crystallize during the hydrothermal conditions. The particle sizes and the morphology of $BaTiO₃$ nanocrystals have not undergone obvious changes during the orientated aggregation and crystallization stage, as shown in Fig. [9c](#page-12-0), d. When the hydrothermal duration is further extended, the Ostwald ripening mechanism will become dominant during the growth of $BaTiO₃$ nanocrystals (Zeng) [2007\)](#page-16-0). During the Ostwald ripening process, smaller grains with greater solubility dissolve and grow on the larger grains with smaller solubility, making the larger grains grow further and the small grains disappear (Fig. [9](#page-12-0)e, f), and the particle size-distribution range will broaden as the hydrothermal duration increases (Fig. [9](#page-12-0)h) (Zeng [2007](#page-16-0); Ma et al. [1997](#page-15-0)). Therefore,

process

particles

determining a proper hydrothermal duration is very important for the formation of spherical BaTiO₃ nanocrystals with uniform size and morphology.

Conclusions

We have systematically investigated the factors that influence the formation of $BaTiO₃$ nanocrystals during the static hydrothermal process, and highly dispersed BaTiO₃ nanospheres (76 \pm 13 nm) with uniform size and morphology have been synthesized under the optimal conditions. The effects of NaOH concentrations ([NaOH], $0.6-3.0$ mol L^{-1}), molar Ba/Ti ratios $(R_{\text{Ba/Ti}}, \quad 0.7{\text -}2.0), \quad \text{hydrothermal} \quad \text{temperatures}$ $(100-200 \degree C)$, and durations $(10 \text{ min}-100 \text{ h})$ on the formation of $BaTiO₃$ nanocrystals have been investigated, with an emphasis on understanding the related formation mechanisms. The sizes and morphology of $BaTiO₃$ nanoparticles can be controlled by changing NaOH concentrations, the Ba/Ti ratio, and hydrothermal temperatures, and durations. The results indicate that higher NaOH concentrations, higher Ba/Ti ratios, higher hydrothermal temperatures, and longer hydrothermal durations are favorable in forming $BaTiO₃$ nanocrystals with a larger fraction of tetragonal phase. The optimal parameters of [NaOH] = 2.0 mol L^{-1} and $R_{Ba/Ti} = 1.5$ at the hydrothermal temperature of 200 $^{\circ}$ C for 10 h can synthesize highly dispersed $BaTiO₃$ nanocrystals with

uniform size and spherical morphology. The formation of $BaTiO₃$ nanocrystals under the static hydrothermal conditions experience three stages at least: (i) nucleation of small $BaTiO₃$ nuclei, (ii) rapid aggregation and in situ crystallization to form spherical BaTiO₃ nanoparticles, and (iii) formation of polyhedral Ba $TiO₃$ particles via an Oswald ripening process. This work provides further understanding on how to synthesize highly dispersed $BaTiO₃$ nanoparticles with uniform morphology for important applications in functional micro/nanoscale devices fabricated by ink-jet printing techniques.

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