

# **An improved three-dimensional multibody model of the human spine for vibrational investigations**

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**Abstract** In this paper, a three dimensional numerical model of the human spine, specialized for vibrational investigations, is presented. The model has been built using multibody dynamics techniques and includes the entire set of vertebrae, considered as rigid bodies. The interaction between vertebrae has been simulated using six component bushings, without the need of any kinematic constraint. This methodology allows a very relevant flexibility, and the fully three-dimensional deformation modes of the spine may be studied. The investigation has been focused on the assessment of the vibration modes and the computation of the transmissibility functions buttocks-to-head for acceleration inputs along three main directions. It has been observed that the first torsional modes with a relevant mass participation factors are present at very low frequencies. Most of the relevant modes, which involve the deformation of the spine with relevant participation factor, are within the range  $0\div 5$  Hz. These peaks are also visible in the transmissibility functions. Results have been also compared to those of other experimental and numerical studies.

**Keywords** Spine · Vibration · Multibody · Simulation · Human modelling

# **1 Introduction**

The biofidelic numerical modelling of the human body is a very difficult task. On the one hand, there is the intrinsic complexity of the biomechanical behaviour of the anatomical structures; on the other hand, there is the high variability of the properties among the subjects. All these complications imply several simplifications and assumptions during the building of the numerical models. Moreover, especially in the biomechanical field, it is difficult to setup a model that can be suitable for different kinds of investigations since the building strategy has to be personalized for the actual purpose of the simulation.

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In the field of human vibrations and in particular for the evaluation of the risk of body exposure to vibration of machine interfaces, it is important to monitor three different factors: the duration, the amplitude and the frequency of the absorbed acceleration [[1](#page-11-0)]. According to International standards such as ISO 2631 for the whole body vibration [[2](#page-11-1)] and ISO 10819 for the hand–arm [[3](#page-11-2)], the risk is assessed by the evaluation of acceleration signals at some important locations of the human body and the comparison to threshold values.

For these reasons, it is important that a biofidelic vibrational model of the human body provides an accurate simulation of the propagation of acceleration signals through the anatomical structures.

In the propagation of these vibration signals, the human spine plays a very interesting and crucial role. In both whole body vibration (seated, supine and stand up position) and hand–arm vibration, the spine represents the most important structural connection of the head to the rest of the body [[4](#page-11-3)]. It is a specific path for delivering acceleration signal to the brain. This justifies the motivation for an accurate modelling of the spine complex suitable for different vibration studies.

Scientific literature reports many contributions on the building of such simulative tools using different approaches and levels of complexity. Besides the linear models [\[5\]](#page-11-4), which are often used for preliminary assessment, the state-of-the-art in the development of these instruments is represented by finite element models and multibody dynamics models.

The most detailed and potentially accurate contributions are concerned with the development of finite element models. According to the scientific literature, they seem to be the preferred methodology for assessing static loading and stability [[6\]](#page-11-5). They are also used in the vibration investigations, even if the modelling is often restricted to the two-dimensional behaviour in the sagittal plane or the modelling of the spine is often limited to the lumbar vertebrae. For example, Kitazaki and Griffin [[7](#page-11-6)] proposed a two-dimensional finite element model of the whole spine based on beam elements and linear springs. Seidel et al. [\[8\]](#page-11-7) proposed a plane symmetric finite element model of the lumbar spine. Another finite element model of the spine, limited to the lumbar segments, was proposed by Guo et al. [\[9\]](#page-11-8). Almost the same research group numerically evaluated the effect of an injured spine on the response to vertical vibration using a T12-pelvis finite element model  $[10]$  and the influence of anteroposterior shifting of trunk mass centroids  $[11]$ . Other authors implemented full body finite element models with more detailed substructuring of the spine [\[12,](#page-11-11) [13\]](#page-11-12).

On the one hand, the finite element strategy allows a very accurate description of the biomechanical features, capable of assessing the mechanical stresses and deformation inside the structure, the local behaviour of the tissues. On the other hand, it is computationally demanding, and the identification of all the parameters in the model is a very hard task [[14](#page-11-13)]. These features make this modelling strategy more suitable for a specific investigation rather than for developing a parametric model and for the inclusion in more complex simulative scenarios. Moreover, due to the necessity of the assessment of many local and specific parameters (both elastic and geometric), a robust identification and validation may be very complex [[15](#page-11-14), [16](#page-11-15)].

Other authors contributed to the development of multibody models. They allow for the definition of detailed mock-ups, including bony segments, compliant structures, threedimensional nonlinear behaviour, but all the parameters are lumped and can be changed and optimized without the direct relationship to the geometry and morphology. Moreover, from a computational point of view, they are less onerous to be solved and can be easily integrated in a more complex simulative environment. As for the finite element approach, the vibrational models of the human spine are often limited to the simulation in the sagittal plane and with emphasis on the lumbar segments. As an example, Yoshimura et al. [[17\]](#page-11-16) presented a planar multibody model of the lumbar segment which has 10 degrees of freedom.

Another author worked on the definition of a full spine model including the contribution of all the vertebrae, but limited the vibrational motion in the sagittal plane [\[18\]](#page-11-17). Other authors also included the effect of muscles for the developing of generic numerical models suitable for different types of simulations [\[19\]](#page-11-18). A combination of the finite element and multibody approaches was studied by Verver at al. [\[20\]](#page-11-19) by using the commercial MADYMO digital mannequin. More recently, Valentini [[21](#page-11-20)] proposed a multibody condensed model of the human spine based on the development of a specific flexible element built using the dynamic spline theory [[22\]](#page-12-0).

Starting from this scientific background and contributions, the purpose of the paper is the development of a detailed multibody model of the human spine, suitable for vibrational investigation. The novelty of the model is that it includes the contribution of all the vertebrae, and it is able to mimic the three-dimensional motion of the spine. Moreover, due to the specific definition of the elastic compliance between bony segments, it does not possess any kinematic constraints which may limit the physiological degrees of freedom of the structure.

The paper is organized as follows. In the first part, the general idea behind the modelling strategy is presented. In the second part, the detailed model is introduced and discussed. In the third part, some interesting results are presented and compared with previous experimental and numerical contributions.

#### **2 Modelling strategy of the interaction between vertebrae**

The human spine consists of a set of discrete bony elements (vertebrae) connected by compliant structures such as ligaments, intervertebral disks and muscles. This combination of rigid and compliant bodies gives the spine great flexibility in the three-dimensional space, and the spine complex may accomplish different movements. The spine can be divided into four main regions. The cervical spine consists of seven vertebrae *(*C1*,...,*C7*)*, and it supports the base of the skull. The thoracic spine consists of 12 vertebrae *(*T1*,...,*T12*)*. The rib cage is connected to the thoracic vertebrae. The lumbar spine consists of five vertebrae *(*L1*,...,*L5*)*. The sacrum and coccyx consist of five fused vertebrae each.

The mobility of the spine is due to the compliance between each functional spinal unit (FSU), comprising a superior vertebra, the intervertebral disc, the inferior vertebra, and the ligaments. The relative motion of two adjacent vertebrae has six degrees-of-freedom (axial, lateral and sagittal rotations and axial, lateral and antero–posterior translations).

The description of the flexibility between vertebrae plays a very important role in the accuracy and the capability of a simulative model. Some authors have proposed the use of a kinematic constraint (i.e. a revolute joint), located between spinous process, in order to limit the relative motion to a single degree of freedom (a rotation in the sagittal plane) [[17](#page-11-16), [22\]](#page-12-0). This simplification can be useful for including the most important field of motion in the whole-body vibration, but tends to neglect some other important degrees of freedom. Moreover, the actual relative axis of rotation between adjacent vertebrae depends on the applied loads, and imposing a revolute joint between them is equivalent to fixing its position in space. Especially in the small displacement region, such as required for studying the vibrations, it is important that the relative motion between two adjacent vertebrae possesses six degrees of freedom and an elasto-kinematic behaviour as most of the human joints [[23](#page-12-1)].

Taking into account the elasticity and the mobility of all six degrees of freedom is quite complex. Experimental and numerical studies on a single FSU unveiled that the compliance and the mobility of an FSU can be efficiently simulated using a bushing element [\[24–](#page-12-2)[26](#page-12-3)]. Following this approach, the interaction between two adjacent vertebrae *i* and *i* − 1 (see

<span id="page-3-0"></span>**Fig. 1** Reference systems for the definition of the interaction between vertebrae



Fig. [1](#page-3-0) for reference) can be simulated without kinematic constraints but using only a sixcomponent force  $F_{i,i-1}$ , which can be evaluated using the formula

$$
F_{i,i-1} = -[K]_{i,i-1} \{\delta\}_{i,i-1} - [C]_{i,i-1} \{\dot{\delta}\}_{i,i-1}
$$
 (1)

where

 $[K]_{i,i-1}$  is the 6 × 6 stiffness matrix, with  $k_{ij}$  coefficients;  $[C]_{i,i-1}$  is the 6 × 6 damping matrix, with  $c_{ij}$  coefficients;  ${\delta}_{i,i-1}$  and  ${\delta}_{i,i-1}$  are the vectors of relative displacement and velocity, respectively, between the two bushing reference systems  $\{O_{b,i} x_{b,i} y_{b,i} z_{b,i}\}^T$  and  $\{O_{b,i-1} x_{b,i-1} y_{b,i-1}\}^T$  $z_{b,i-1}$ <sup>*T*</sup>.

Actually, the choice of the location and the parameters of this bushing element may lead to diagonal or not diagonal stiffness matrices [\[25\]](#page-12-4).

Each couple of adjacent vertebrae has its own bushing with the location of the reference systems and the corresponding stiffness and damping parameters. Since the complete spine has 24 vertebrae plus the head and the sacrum/coccyx complex, the whole model requires the definition of 26 bushing elements. A complete experimental evaluation of the entire set of parameters would require a very long measurement procedure, thus we decided to assign feasible values to each bushing, using the following strategies.

For the definition of the location and attitude of each bushing reference frame, we have:

- 1. The centre of each bushing (i.e. the origin of the  $\{O_{b,i} x_{b,i} y_{b,i} z_{b,i}\}^T$  and  $\{O_{b,i-1} x_{b,i-1}\}^T$  $y_{b,i-1} z_{b,i-1}$ <sup>*T*</sup> reference frames) is chosen according to [\[7\]](#page-11-6) and [[18](#page-11-17)]. Actually, the two papers deal with two-dimensional models, but due to the symmetry of a healthy spine, the origin can be located on the sagittal plane;
- 2. The *xb,i* and *xb,i*<sup>−</sup><sup>1</sup> directions are set coincident to each other and normal to the sagittal plane;
- 3. The *yb,i* and *yb,i*<sup>−</sup><sup>1</sup> directions are set coincident to each other and tangent to the curve that interpolates all the bushing centres, as determined in [\[21](#page-11-20)] pointing upward (from the sacrum to the head);
- 4. The *zb,i* and *zb,i*<sup>−</sup><sup>1</sup> directions are set coincident to each other and perpendicular to the previous ones in order to form right-handed frames pointing forward.

For the definition of the stiffness parameters of each bushing reference frame, we have:

- 1. The  $k_{ij}$  ( $i \neq j$ ) coefficients of the matrix are set to 0 since we adopt the diagonal bushing matrix as suggested in [[25](#page-12-4)];
- 2. The *k*<sup>44</sup> coefficients (i.e. the coefficients that describe the stiffness for the relative rotation about the  $x_{b,i}$  or the  $x_{b,i-1}$  axis) are set equal to the rotational springs of the model described in [\[18\]](#page-11-17):
- 3. The other  $k_{ii}$  coefficients are set according to the ratio  $k_{ii}/k_{44}$  of the stiffness matrix in [[27](#page-12-5)]. This choice is driven by the hypothesis that, due to the anatomical similitude of the compliant structures, the ratio between the stiffness coefficients of the matrix can be considered almost constant for all the vertebrae.

For the definition of damping coefficients, we have:

- 1. The  $c_{jk}$  ( $j \neq k$ ) coefficients of the matrix are set to 0 since we adopt a diagonal matrix;
- 2. The *c*<sup>44</sup> coefficients (i.e. the coefficients that describe the damping for the relative rotation about the  $x_{b,i}$  or the  $x_{b,i-1}$  axis) are set equal to the rotational damping elements of the model described in [\[18\]](#page-11-17);
- 3. The other  $c_{jj}$  coefficients have been computed by imposing the same damping ratio in all the directions as

$$
c_{jj} = c_{44} \frac{\sqrt{k_{jj} m_{jj}^{i,i-1}}}{\sqrt{k_{44} m_{44}^{i,i-1}}}
$$
 (2)

where  $m_{jj}^{i,i-1}$  is the reduced mass element of the FSU of the vertebrae *i* and *i* − 1, computed as

$$
m_{jj}^{i,i-1} = \frac{m_{jj}^i \cdot m_{jj}^{i-1}}{m_{jj}^i + m_{jj}^{i-1}}.
$$
 (3)

The complete set of bushing parameters is reported in Table [1](#page-5-0).

#### **3 Multibody model of the spine**

The complete multibody spine model is made of 33 rigid bodies: the head, 24 vertebrae, the sacrum/coccyx complex, and 7 visceral masses. The visceral masses are a discrete representation of the contribution of the viscera to the system. They are split from T11 to L5 in 7 contributions, as suggested by previous simulative approaches [\[7,](#page-11-6) [18](#page-11-17)]. All mass and inertial properties of the spine segments are taken from [[28](#page-12-6)] for the lower vertebrae, and from [[29](#page-12-7)] for the upper ones. All centre of mass locations of the spine segments, mass properties and location of visceral masses are taken from  $[18]$  $[18]$  $[18]$ . As suggested by other authors, the inertial properties and the location of the centre of mass of the thoracic vertebrae from T1 to T6 have been altered in order to take into account the contribution of the upper arms. In particular, the mass of the arms (0.755 kg each) has been equally subdivided from vertebra T1 to T6. Details of this alteration are the same reported in [[7\]](#page-11-6).

The model does not include any kinematic constraint. On the other hand, it includes 24 bushing elements between adjacent vertebrae, a bushing between the C1 and the head and a bushing between the sacrum/coccyx and the ground which are located and dimensioned according to the procedure explained in the previous section (see Table [1](#page-5-0) for the details).

<span id="page-5-0"></span>

 $\rightarrow$ 



<span id="page-6-0"></span>**Fig. 2** The whole multibody model of the spine



The model also includes other 7 bushing elements and 8 linear springs for describing the interaction between the vertebrae and the visceral masses. Each visceral mass is connected with a bushing element to the corresponding horizontal vertebra. The linear springs connect the centres of adjacent visceral masses (6 between visceral mass adjacent pair and two for between the upper and lower mass to the T10 and sacrum, respectively). The values of the horizontal  $(z, x)$  contributions to the stiffness and damping of the bushing elements are taken from [[7](#page-11-6)]; no contribution to the rotational stiffness is considered. The vertical *y* compliance of the interaction between visceral masses has been symmetrically split between the vertical contribution of the bushing and the contribution of the linear springs. For this purpose, the vertical elastic compliance in [[7\]](#page-11-6) has been equally distributed between the bushing and the corresponding linear spring (as parallel spring contribution).

Another bushing element is included to simulate the elastic compliance of the buttocks tissue. The stiffness values are chosen according to those proposed in [\[7](#page-11-6)].

The whole model is depicted in Fig. [2](#page-6-0), in both lateral and frontal view; the main reference frame is also indicated.

In order to assess the vibrational behaviour, two types of investigations have been carried out. The first one is the computation of the vibrational modes, obtained through the linearization of the equations of motion. The second investigation is about the computation of the acceleration transmissibilities from the buttocks to the head. In order to take into account the nonlinearities of the model, the transmissibility functions have been computed by imposing a series of sinusoidal inputs of acceleration at the buttocks, with variable frequency but same amplitude.

# **4 Vibration modes**

The linearization of the equations of motion allows for the assessment of the natural frequencies of the spine system and the corresponding three dimensional modes. All the modes have

<span id="page-7-0"></span>**Fig. 3** Lower frequency modes due to buttocks compliance: first torsional mode at 0.27 Hz, *on the left*, first bending mode in the sagittal plane at 0.34 Hz, *in the middle* and first bending mode out of the sagittal plane at 0.39 Hz, *on the right*. Deformed shapes have been depicted in *red*, superimposed to the undeformed ones (Color figure online)

been computed neglecting the contribution of the damping coefficients in order to simplify the computation and avoid the presence of imaginary eigenvalues.

It has been observed that the first torsional modes with a relevant mass participation factors are present at very low frequencies. A mode has been considered relevant if the associated mass participation factor is at least 20 % higher than the mean between all the participation factors. The first torsional mode about the *y* axis appears at 0.27 Hz, the first bending mode about the *z* axis appears at 0.34 Hz and the first bending mode about the *x* axis appears at 0.39 Hz (see Fig. [3](#page-7-0)). All these modes are related to a displacement of the entire spinal complex with respect to buttocks, due to the lower stiffness of the corresponding elastic bushing.

Most of the relevant modes, which involve the deformation of the spine with relevant participation factor, are within the range  $0 \div 5$  Hz (see Fig. [4](#page-8-0)). The most relevant vertical mode is at 4.7 Hz, and it is characterized by bending deflection of the vertebrae. Another relevant vertical mode is found at 6.8 Hz, and it is also characterized by bending deflection. At about 15.7 Hz, another relevant vertical mode is computed, and it involves the axial translation.

Within the range of  $0\div 5$  Hz, vertical secondary modes are often coupled with torsion and bending, especially of the cervical portion, due to the lower stiffness with respect to the thoracic one.

## **5 Acceleration transmissibilities**

Three acceleration transmissibility curves of the buttocks–head channel have been evaluated. All of them have been computed by imposing a periodic acceleration with variable frequency *ν* at the buttocks interface and measuring the corresponding acceleration at the head centre of mass. By this way, the transmissibility functions have been evaluated by points. Each point on the transmissibility function  $(TR_{i-j}(v))$  has been computed as the ratio between the maximum amplitude of the acceleration at the head and that of the excitation:

$$
TR_{i-j}(\nu) = \frac{|a(\nu)_{i,\text{head}}|}{|a(\nu)_{j,\text{excitation}}|}
$$
(4)

where *i* and *j* are the measurement channels, i.e. *(x y z Rx Ry Rz)*.







<span id="page-8-1"></span><span id="page-8-0"></span>**Fig. 4** Relevant vibrational modes in the sagittal plane: main bending mode at 4.7 Hz (A, *on the left*), secondary bending mode at 6.8 Hz (B, *in the middle*), translational mode at 15.7 (C, *on the right*). Deformed shapes have been depicted in *red*, superimposed to the undeformed ones (Color figure online)





This method allows for taking into account the nonlinearities effects since each point is obtained imposing a specific amplitude  $[18]$  $[18]$  $[18]$  without the linearization of the mass and stiffness matrices.

The amplitude of the excitation has been chosen according to the equivalent acceleration  $A(8)$  stated in the European Directive 2002/44/CE and equal to 1.15 m/s<sup>2</sup>. The  $A(8)$ acceleration is the limit for the absorbed vibration during an exposure of eight hours.

Forty equally-spaced transmissibility points in the range  $0\div 20$  Hz have been computed for each curve.

Figure [5](#page-8-1) shows the transmissibility of the vertical channel *y*,  $TR_{y-y}(v)$ . It is clear to observe that the curve presents two small peaks at 2.0 Hz and 3.0 Hz in which a limited amplification of the acceleration signal is reported. A higher peak appears around 5.0 Hz, in correspondence of the most relevant bending mode about the *x* axis. Another lower peak

<span id="page-9-0"></span>

<span id="page-9-1"></span>**Fig. 7** Lateral transmissibility  $TR_{z-z}(v)$  from buttocks to head, built with 40 different simulation runs with different acceleration frequency (0.5 Hz spacing)



appears near 7.0 Hz and a smaller one at 10.5 Hz. Another smaller peak occurs at 15.5 Hz. This last one is mainly due to the first vertical translational resonance frequency.

Figure [6](#page-9-0) shows the transmissibility curve of the fore-and-aft (horizontal) channel *z*,  $TR_{z-z}(v)$ . In this case the main amplification is restricted in the range 0÷5 Hz and the amplitude is lower than that of the vertical channel. It is noticed a slightly shift towards lower frequencies (about 1.0 Hz) of the main peaks. The transmissibility levels are, for the entire region under investigation, lower than that for the vertical channel.

Figure [7](#page-9-1) shows the transmissibility curve of the lateral channel *x*,  $TR_{x-x}(v)$ . It shows a similar trend with respect to that of the fore-and-aft channel. The peak is shifted of about 0.5 Hz towards the lower frequencies and the maximum amplification is a little bit lower than that for the horizontal channel.

## **6 Discussion**

Concerning the natural frequencies, the relevant modes in the sagittal plane have been compared to those of other numerical contributions [\[7,](#page-11-6) [18\]](#page-11-17), and the results are very close among all the different considered models. In particular, Kitazaki and Griffin [\[7](#page-11-6)] reported a first mode at 0.28 Hz, and that computed with the proposed model is 0.27 Hz. The main bending mode of Kitazaki and Griffin is 5.06 Hz, and that of the proposed model 4.7 Hz. The value of 4.7 Hz is also confirmed by the multibody 2D model of Valentini [\[18\]](#page-11-17). Concerning the vibration modes out of the sagittal plane, no detailed comparisons are available, to the authors' best knowledge.

Concerning the transmissibilities, the vertical transmissibility curve has been compared to those of other contributions, both experimental  $[1]$  $[1]$  $[1]$  and numerical  $[7, 18]$  $[7, 18]$  $[7, 18]$  $[7, 18]$  $[7, 18]$ . The results, even in this case, are very close both in terms of frequency peak and corresponding amplification. In particular, Kitazaki and Griffin [\[7\]](#page-11-6) reported the first peaks at 1.5 Hz, 2.8 Hz and 5.0 Hz that are in line with the peaks at about 1.8, 3.0 and 5.0 Hz computed by the proposed model. Valentini [[18](#page-11-17)] also reported a peak at about 2.0 Hz and another at 4.4 Hz. Experimental investigations such as those collected in [\[1](#page-11-0)] also confirm a higher peak at about  $4 \div 5$  Hz (values are spread trough subjects).

Both horizontal and fore-and-aft transmissibility curves are consistent with the experimental observations in [\[30\]](#page-12-8) and on the vibrational comfort assessment of different authors discussed and reviewed in [[1\]](#page-11-0) which shows main peaks in the range  $3\div 4$  Hz with a lower amplitude with respect to the vertical one. To the authors' best knowledge, no direct numerical studies exist for direct comparison among simulative models in the horizontal and fore-and-aft directions.

According to the comparison to both experimental and other numerical models, the behaviour of the proposed model seems congruent. Due to the specific modelling strategy which involves all the discussed simplifications and assumptions, the model has also some limitations. The first is that it can reproduce the vibrational dynamics of the spine complex but it is not suitable for a direct assessment of internal loads. The description using force field elements such as bushings does not allow for the separation of intervertebral disk loads, joint loads and soft tissue loads. The second limitation is that the model is focused on low amplitude vibration, and it is not suitable for crash tests or impact dynamics due to the simplification in spine kinematics and properties of elastic elements.

## **7 Conclusion**

A three-dimensional multibody model simulating the human spine and suitable for vibrational investigation has been presented. The model comprises all the 24 vertebrae, the head, the sacrum/coccyx, and the contribution of visceral masses. The model does not include any kinematic constraint but, in order to preserve the physiological compliance between the bony structures, the interaction between adjacent bodies has been simulated using sixcomponent bushing elements. These elements are able to describe the stiffness along three directions and about three rotation axes between connected parts using six independent parameters. This modelling strategy is closer to the actual elasto-kinematic behaviour of the human tissue compared to the use of a kinematic constraint and a single-component spring.

The model predicts the main vibrational modes, and most of the results have been compared and are in good agreement with those coming from experiments and other numerical models. Moreover, the three dimensionality of the movement showed that, in the relevant range of the frequency for vibrational comfort, the bending and axial modes are often coupled with torsional modes especially of the cervical portion.

The computation of the transmissibility functions confirms that the vertical vibrations are more amplified than those along lateral and fore-and-aft directions. Moreover, the peaks of

the acceleration transmissibility of the vertical channel appear at frequencies slightly higher than those along the other two directions.

<span id="page-11-1"></span><span id="page-11-0"></span>The proposed model can be considered as a detailed tool for performing numerical simulations and assessment of human vibration and for the computation of vibrational comfort of both seated and standing humans. Due to the limited number of degrees of freedom with respect to finite element models and due to the lumped parameters, it can be also embodied in other more complex scenarios, including full vehicle interiors/exteriors and interface environments (seat, backrest).

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