

Improved IVUS and VH‑IVUS image segmentation using a hybrid approach based on active counter model and clustering algorithms

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Abstract

Heart attack due to the vulnerable atherosclerotic plaque is one of the most common causes of death in the world. One of the imaging modalities in the coronary artery is Virtual Histology Intravascular Ultrasound (VH-IVUS); however, segmentation of overlapped plaque components can be challenging. Therefore, this research study proposes a new approach based on the level set method to detect the plaque border in VH-IVUS images accurately. Three classifers, including support vector machine (SVM), k-nearest neighbor, and proposed Selecting Nearest Pixel (SNP), were hybridized with FCM to enhance the VH-IVUS segmentation. Moreover, for segmentation and plaque extraction in IVUS images, a hybrid of the snake approach and fuzzy clustering method is proposed. Geometric features were extracted from VH-IVUS and IVUS images. The proposed hybrid model used 599 images obtained from 10 patients. The validation for the proposed segmentation model showed an average of 0.96 for the silhouette validity index. The SVM classifer is used to classify the TCFA and Non-TCFA plaques using VH-IVUS and IVUS features. The accuracy of the hybrid feature set was obtained over 0.99 for TCFA plaque.

Keywords Clustering · Hearth · TCFA · Vulnerable plaque · VH-IVUS segmentation

1 Introduction

Due to the uncertainty in medical images, developing an appropriate segmentation technique remains challenging [\[3,](#page-29-0) [25](#page-30-0), [44](#page-31-0)]. Furthermore, the presence of noise caused by operators, equipment, and the environment causes considerable inaccuracies in the segmentation of medical images [[14](#page-29-1)]. Intravascular Ultrasound (IVUS) imaging modality has been developed to observe the inside of coronary arteries and diagnose cardiovascular diseases [[15](#page-29-2)]. This technique locates the atherosclerosis plaque and measures its distribution [[15](#page-29-2)]. The Virtual Histology Intravascular Ultrasound (VH-IVUS) images contain four basic tissue

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types consisting of fbro-fatty (light green), fbrotic (dark green), dense calcium (white), and necrotic core (red) [\[49\]](#page-31-1). Based on Nair et al. [\[36](#page-30-1)], the classification of plaque components is challenging due to the overlapping zones. Since the attribute data from diferent tissues can lie in the overlapping zone, tissue characterization and classifcation can be challenging [[28](#page-30-2)]. For VH-IVUS imaging RGB representation, the color of a pixel is a mixture of three primary colors consist of red, green, and blue. However, pixels belonging to the border tissues are not distinguishable based on the RGB color. Since, in the VH-IVUS image, a sharp boundary of each tissue type is not discriminated, reliable segmentation of the plaque components is complex [[6](#page-29-3)]. Therefore, a consistent segmentation method is needed to classify the overlapping tissue types [\[21\]](#page-30-3). In our previous work [[45](#page-31-2)], HFCM-kNN (Hybrid FCM and kNN) was developed to precisely segment the VH-IVUS image. However, selecting the *k* value afects its performance. Moreover, the accuracy of the HFCM-kNN model may be degraded in the segmentation of the noisy images. In other work $[43]$ $[43]$ $[43]$, to overcome the existing drawback, three approaches are designed and adapted. The hybrid of k-means and FCM with PSO are adapted. Moreover, hybrid approaches execute the clustering algorithm for seeding the initial swarm and group input vectors into diferent clusters. The cluster centroids are used as one of the particles of the swarm. The PSO algorithm is then utilized to optimize the cluster centroids. A semi-supervised model is used for pixel classifcation. In this study, the FCM-SNP model proposed to accurately segment the VH-IVUS image quickly. The VH-IVUS image can be precisely segmented with diferent color densities. Experimental evidence demonstrates the superiority of the proposed technique compared to the clustering methods. The geometric features were extracted from the segmented plaque components. Furthermore, a new segmentation and plaque extraction method in IVUS images uses a hybrid of snake and fuzzy clustering approaches. Using the snake algorithm, the user specifed the initial points for drawing the curve. Based on this, the vessel area was separated from the other parts of the image using a semi-automatic method. It was suggested that the fuzzy clustering method be used to cluster the pixels of the vessel region to analyze the lumen, shadows, calcium, necrotic, and fbrotic regions. The lumen border was determined by selecting the cluster of lumen and shadows and performing the morphological operations. The plaque region was extracted by removing the lumen from the IVUS image. Based on the VH-IVUS and IVUS features, SVM classifes the plaque type into TCFA and Non-TCFA. The main contributions of the proposed model are listed below:

- Plaque border detection in VH-IVUS images was done using an automatic Level Set Plaque Border Detection (LSBPBD) algorithm.
- The model efficiently classifies unlabeled data in VH-IVUS images, eliminating the need for extensive training data using the FCM-SNP algorithm.
- Clustering validation was completed for all patient's data.
- The Hybrid of Snack and Fcm for Plaque Detection (HSFPD) algorithm accurately detected plaque borders in IVUS images.
- Geometric features were extracted from VH-IVUS and IVUS images.
- Plaque-type classifcation was accomplished using a fusion of VH-IVUS and IVUS features.

Other parts of this article are designed into 4 Sections. Section [2](#page-2-0) summarizes the related works. Section [3](#page-2-1) describes the proposed plaque border detection, segmentation, feature extraction, and plaque type classifcations. Results of the proposed approach for ten patients and discussions are presented in Section [4.](#page-19-0) Finally, a discussion and conclusion are given in Section [5](#page-23-0).

2 Related work

Several algorithms have been proposed for the segmentation of medical images using supervised and unsupervised methods [\[47\]](#page-31-4). Meurie et al. presented a strategy for cytological image segmentation based on the supervised pixel classifcation approaches, including Bayes, kNN, and SVM. Dong and Xie [[12](#page-29-4)] proposed a color image segmentation scheme by hybridization of self-organizing maps (SOM) and simulated annealing (SA). The SOM algorithm projected image colors,then, the SA algorithm searched for the optimal clusters provided by prototypes. Sankari and Chandrasekar [[47](#page-31-4)] proposed a semi-supervised algorithm for the segmentation of color images by applying the fast genetic algorithm and EM clustering. Wang et al. [[54](#page-31-5)] presented a pixel-wise SVM classifcation to segment the color image. Local homogeneity pattern and Gabor flter extracted the pixel-level information and texture features. The test image is then classifed by applying the SVM map. Tlig et al. [[53](#page-31-6)] proposed an unsupervised image segmentation approach. They used a new texture descriptor provided by integrating Gabor flters and local binary pattern (LBP) to represent all image pixels. A fuzzy type 2 is then extended as a clustering algorithm. Aliabadian [[4\]](#page-29-5) suggested a robust hybrid algorithm using kNN and FCM. First, cluster centers were initialized using the kNN approach. The FCM algorithm was then applied to optimize the clustering results. Wang et al. [[55](#page-31-7)] presented an improved FCM algorithm for the segmentation of noisy brain images by modifying the objective function. Jamshidi and Pilevar [[19](#page-30-4)] proposed a combination of genetic algorithm and FCM for detecting several segments in brain images. Tan et al. [\[51,](#page-31-8) [52](#page-31-9)] used a hybrid histogram thresholding technique and FCM algorithm for splitting and merging the segments of the color image. In [\[39\]](#page-30-5), diferent classifcation approaches were employed to integrate the ultrasound features and estimate the probability maps for pixel-wise classifcation using B-mode ultrasound images. The authors [[34](#page-30-6)] investigated and compared some selected B-mode-based segmentation applications. Integration of RF data with higher frequency probes was discussed using more extensive B-mode data.

3 Proposed methodology

The research methodology includes pre-processing, VH-IVUS border detection, and VH-IVUS segmentation. Then, the strength of the adapted methods is investigated using cluster validity. A new algorithm for IVUS segmentation is also presented. Finally, the classifcation accuracy is checked using an SVM classifer. The block diagram of the proposed method is presented in Fig. [1](#page-3-0).

3.1 Level set‑based plaque border detection (LSBPBD) algorithm

LSPBD algorithm is proposed to identify the plaque border in VH-IVUS images automatically. The primary step of the Level Set (LS) method is to create the initial points to draw the initial contour. The pre-processed image is converted into the binary image I_b . The vessel region called I_{Vessel} can be obtained by filling the hole in I_b . The lumen region (I_L) is computed by subtracting the I_{Vessel} from I_b . After removing the small object in I_I , the lumen is the most significant area. The smallest bounding box, namely BBx, is drawn and considered for the initial counter of LS [[57\]](#page-31-10). Let surface φ represent

Fig. 1 Block diagram of proposed method

the inside of *BBx*, where φ (*i,j*)=-1, $i = x_1, \ldots, x_1 + x_2$ and $j = y_1, \ldots, y_1 + y_2$. However, the values outside the *BBx* are positive. To enclose the curve within the surface, φ is adjusted iteratively until convergence [[40](#page-30-7)]. In the VH-IVUS image, two borders should be detected by considering the closed lumen. Therefore, counter-initialization is very important. Figure [2](#page-4-0) displays the lumen area and initial counter. The LS method is iteratively adjusted to draw the optimized curve. Figure [3](#page-4-1) illustrates the result of the LSPBD algorithm with diferent iterations, and Fig. [4](#page-4-2) displays the plaque border detection

Fig. 3 Diferent iterations of LSPBD algorithm

Fig. 4 Plaque border detection by LSPDB algorithm

result. The detected plaque is called I_{PA} . Moreover, the pixels belonging to the internal counter are considered the lumen border (*LB*).

Fig. 5 Media-Adventitia removal. **a**.VH-IVUS image **b**. Media-Adventitia border **c**. Plaque Components

3.2 VH‑IVUS image segmentation

3.2.1 Media‑Adventitia border removing

VH-IVUS image denotes $M \times N \times 3$ matrix of RGB colors [[35](#page-30-8)]. Since four plaque components are essential for the diagnosis of TCFA plaque, the Media-Adventitia border is removed using the thresholding value (See Fig. [5](#page-5-0)) [[33](#page-30-9)].

3.2.2 Segmentation using clustering algorithm

The plaque components in the VH-IVUS image have overlapped borders, and to discriminate these pixels, a segmentation method should be applied that accurately separates each plaque component, including FI, FF, NC, and DC. Since, in the VH-IVUS image, a sharp boundary of each tissue type is not discriminated, reliable segmentation of the plaque components is difficult $[6]$ $[6]$. Therefore, developing a consistent segmentation method is needed to classify the overlapping tissue types [\[21\]](#page-30-3)A pixel-based classifcation method should be employed to use each pixel's information for segmentation. The clustering algorithm can divide the input data into diferent classes using the distance between the pixel and the center of each cluster in an unsupervised way. Therefore, clustering algorithms were used in this paper to segment the plaque components.

Many clustering techniques have been explored comprising the crisp and fuzzy scheme [[57](#page-31-10)]. Three clustering algorithms, including K-means, FCM, and SOM, are adapted to segment the VH-IVUS images. VH-IVUS image of size M×N×3 is preprocessed and reformed into the vertical three-dimensional vector $X_{RGR} = \{x_1, x_2, \ldots, x_n\}$, where $n = M \times N$ and $x_{RGB=}(f_R, f_G, f_B)$ containing the RGB colors of each pixel [\[51,](#page-31-8) [52\]](#page-31-9). The *k* cluster centers as $v = \{v_1, \ldots, v_k\}$ are randomly initialized for K-means and FCM algorithms [[11](#page-29-6), [20\]](#page-30-10). SOM neural network randomly initializes the weights of nodes. During the iterative learning process, a similarity criterion is utilized to fnd the winner node c, whose weights would be nearer to the input sample *x.* After the training step, data points are fed to the trained map to recognize the node with the maximal output. These nodes can be considered as the cluster center $V = \{v_1, \ldots, v_m\}$ [[10](#page-29-7)]. Based on the experiments, defining the cluster number for FCM and K-means is challenging. Furthermore, the SOM algorithm has to determine the number of clusters in the segmentation step. Nevertheless, some plaque components in VH-IVUS images can be absent. Therefore, accurate determination of the most appropriate *k* value is problematic. Clustering algorithms are greatly dependent on the initial cluster centers [\[27\]](#page-30-11). These algorithms may reach the local optima and miss the global optima. Furthermore, the FCM algorithm may produce a blank cluster and fail in the presence of a noisy image [[48](#page-31-11)]. Qualitative assessments are conducted visually to measure the

Fig. 6 Result of VH-IVUS segmentation by K-means algorithm

Fig. 7 Result of VH-IVUS segmentation by FCM algorithm with $k = 4$

correctness of the segmentation results and investigate the strength of the FCM, K-means, and SOM algorithms. Figure [6](#page-6-0) illustrates the inaccurate result of the K-means algorithm with $k=4$. In this figure, the VH-IVUS image has two plaque components dark green and light green. So, the clustering algorithm generates two diferent clusters for FI.

Based on the experimental result, the FCM algorithm considers the image's background as one cluster. Figure [7](#page-7-0) depicts the result of FCM, while k is initialized with 4. Based on this fgure, the FCM algorithm combines two colors (light green and white) in one cluster. Moreover, a redundant cluster is generated for the background.

Figure [8](#page-7-1) illustrates VH-IVUS segmentation by FCM with $k = 5$. Although FCM doesn't merge the clusters, a redundant cluster is generated for the background.

It is usually assumed that the data samples are uniformly distributed in various classes. Therefore, the learning algorithms might ignore the minority classes [\[26\]](#page-30-12). Therefore, clus-tering algorithms may combine two clusters due to the low-density cluster. Figure [9](#page-7-2) illustrates the result of VH-IVUS segmentation using the SOM. This fgure shows that light green and white pixels are merged in one cluster.

It is found that the SOM algorithm is unable to segment some of the VH-IVUS images [[41](#page-30-13)]. Accurate results can be obtained with a high number of iterations, which is a rather time-consuming procedure. Qualitative assessments reveal that applying a clustering algorithm may not be sufficient for the segmentation of overlapping tissues in the VH-IVUS image. Although applying FCM and k-means have shown higher accuracy than SOM, some misclassifcations have been observed.

Fig. 8 Result of VH-IVUS segmentation by FCM algorithm with $k = 5$

-
-

VH-IVUS Cluster 1 Cluster 2 Cluster 3 Cluster 4

Fig. 9 Result of VH-IVUS segmentation by SOM algorithm

3.2.3 Segmentation using KMPSO and FCMPSO algorithms

PSO can reach the global optimum, so it is a very infuential method for image segmentation [[23](#page-30-14)]. The KMPSO model executes the k-means algorithm to generate the initial swarm. The generated cluster centroids are considered one of the particles, while other particles are adjusted randomly. In the next step, PSO is utilized to optimize the cluster centers. Moreover, the FCMPSO model is designed by hybridization of the FCM and PSO in the same manner [[43](#page-31-3)]. However, the problem of merging low-density clusters still exists. Furthermore, these models must set the exact number of tissue classes in VH-IVUS images. The execution time is also high due to the application of the PSO algorithm. Figure [10](#page-8-0) displays the results of segmentation using KMPSO. As shown in this fgure, KMPSO results in weak segmentation.

3.2.4 Segmentation using FCM‑SNP

To address the limitations of VH-IVUS segmentation, semi-supervised models are adapted to apply supervised learning in the classifcation phase. The combination of FCM clustering with supervised models proved to be more efficient in the segmentation of overlapped regions of the plaque components [[45](#page-31-2)]. The proposed models involve two main phases: feature extraction and pixel-wise classifcation. In the frst phase, the FCM algorithm is utilized for pixel clustering. Color-based features are extracted from NC, DC, FF, and FI clusters. In the second phase, pixel classifcation is performed by kNN, SVM, and SNP. FCM-kNN, FCM-SVM, and FCM-SNP models are designed.

Color‑based feature extraction According to Bezdek et al. [[8](#page-29-8)], FCM iteratively attempts to categorize similar data points $X = \{x_1, x_2, \ldots, x_n\}$ with *n* elements into *k* different fuzzy clusters. In FCM, all data points have a degree belonging to the diferent clusters [\[24\]](#page-30-15). The membership matrix $(U)_{k\times n}$ = [u_{ii}] is initialized randomly to achieve fuzzy partitioning [\[7](#page-29-9)]. This fuzzy clustering method minimizes the objective function *J* by the following equation:

$$
J = \sum_{j=1}^{n} \sum_{i=1}^{k} \mu_{ij}^{m} d^{2}(x_{j}, v_{i})
$$
 (1)

n and *k* show the number of data points and clusters, respectively. u_{ij} is the membership value, *m* represents the degree of fuzziness. Parameter $d(x_j, v_i)$ calculates the distance of element x_j and cluster center v_i . The limitation of parameter u_{ij} can be defined by the following equation:

VH_IVUS Cluster 1 Cluster 2 Cluster 3 Cluster 4

Fig. 10 The results of segmentation using KMPSO

$$
\sum_{i=1}^{k} (\mu_{ij}) = 1, \forall j \in [1, n], \forall i \in [1, k], \mu_{ij} \in [0, 1]
$$
 (2)

The membership values u_{ij} for representation of the membership degree of x_j in the i_{th} cluster is updated as follows:

$$
\mu_{ij}^{(t)} = \frac{1}{\sum_{l=1}^{k} \left(\frac{d(x_j, v_i^{(t-1)})}{d(x_j, v_i^{(t-1)})} \right)^{2/(m-1)}}
$$
(3)

where, *t* shows the iteration number, and $d(x_j, v_i)$ is the Euclidian distance of v_i and x_j [[24](#page-30-15)]. Additionally, the center of each cluster *v* is updated by mean of weighted data points based on the following equation:

$$
v_i^{(t)} = \frac{\sum_{j=1}^n (\mu_{ij}^{\ t})^m x_j}{\sum_{j=1}^n (\mu_{ij}^{\ t})^m}
$$
(4)

The process will be stopped when the improvement between two consecutive iterations $(t-1$ and t) is less than error \mathscr{E} .

$$
\|v_i^{(t)} - v_i^{(t-1)}\| < \varepsilon \tag{5}
$$

FCM algorithm divides the background and foreground, whereas the plaque component is related to the region of interest, and the background is the remainder of the image. Since the VH-IVUS image has four diferent colors, cluster number k is initially set to 5 (one cluster represents the background, and others illustrate the NC, DC, FF, and FI). After the convergence of FCM clustering, the intensities are redistributed to the diferent clusters [\[30](#page-30-16)]. The cluster index and *CI* vector created ranged from 1 to *k*. The *CI* reshaped to the matrix, namely *CIM*. Cluster labeling is performed using the mean values of *R*, *G*, and *B* for each cluster center [[12\]](#page-29-4). The variable $m < k$ controls the label of each cluster. The outliers are removed from the NC and DC images. Non-zero pixels of NC, DC, FF, and FI clusters copied to the color-based feature matrix $CFM = \{cf_1, cf_2,$ *…, cfz}* and their corresponding labels saved into the *Label* vector, where *Label (cfi)* determines the class of cf_i and ζ shows the number of features in *CFM***.** These features are used as training sets to build a predictive model. Algorithm 1 shows the pseudocode of the color-based feature extraction method. The main sections of Algorithm 1 were considered for calculating the complexity. The complexity of FCM algorithm is $O(ndk²i)$, where *n* is number of data points, *d* shows number of dimensions, *k* is number of clusters, and *I* represet the number of iterations. There are two loops whit O(kMN) and $O(CMN)$ order, respectively, where $c = k-1$.

Algorithm 1 Pseudo-code of color-based feature extraction

```
1: Input: VH-IVUS image of size M×N
2: Set k \leftarrow 5, n \leftarrow M \times N3: Removing Media-Adventitia from VH-IVUS image to generate the VH
image
4: //Applying FCM and generate cluster index CI
5: CI \leftarrow \text{FCM}(VH, k)6: Convert CI vector into a matrix namely CIM
7: //Assigning each pixel of VH image to cluster 1 to k using CIM
8: m \leftarrow 1//Cluster number9: // Cluster labeling
10: While (m < k) do
11: for i-1 to M do
12: for j-1 to N do
     if CIM[i,j]=m then
13:14:Cluster<sub>m</sub>[i,j]< VH[i,j]//Copy pixel intensity to cluster<sub>m</sub>
15:end if
16: end for
17: end for
18: m - m + 119: end while
20: Assign Cluster<sub>1</sub>,... Cluster<sub>k</sub> to NC, DC, FF, FI, and background
21: Outlier removal form NC and DC
22: // Extracting color features CFM and their labels
23: Set PlaqueComponent = (NC, DC, FF, FI)24: Set m - 1, c=k-1, row-125: While (m \leq c) do
26: for i←1 to M do
27: for j \leftarrow 1 to N do
28:if PlaqueComponent m[i,j]>0 then
     //Copy the intensity value of pixel i, j to CFM
29:CFM[row] \leftarrow PlaqueComponent _m[i,j]30:Labele[row] \leftarrow m31:32:row \leftarrow row +1end if
33:34: end for
35: end for
36: m \leftarrow m + 137: end while
38: z+row-139: Output: Color feature matrix (CFM). Label. z
```
Pixle wise classifcation using SNP algorithm The SNP method is proposed to classify the testing image by means of minimum Euclidian distance. The testing image of size $M \times N$ is preprocessed, and the feature vector $XT = \{x_1, \ldots, x_n\}$ is created, where $n = M \times N$. For all pixels belonging to the XT , the minimum distance between x_i and CFM is computed. The lowest value of the minimum distances is selected and its corresponding value in *Label* vector considers as its class. The label of each pixel is set based on their class such that $NC=1$, $DC=2$, $FF=3$, and $FI=4$. For segmentation of test image, four different images including NC_p , DC_p , FF_p , FT_T are creat based on the label of each pixel. Algorithm 2 shows the pseudo-code for the proposed pixel-wise classifcation using the SNP algorithm. In Algorithm 2, the complexity of calculating the minimum Euclidian distance of x_i and *CFM* is $O(nz)$, where $n = M \times N$ and z is the number of color features in *CFM*. The complexity of segmentation part is O(*MN*).

Algorithm 2 Pseudo-code for segmentation using the SNP algorithm

```
1: Input: Testing VH-IVUS image of size MxN, CFM = \{cf_1, cf_2, ..., cf_z\}2: Removing Media-Adventitia from VH-IVUS image to generate VH<sub>T</sub> image
3: converting VH_T image to a vector XT = \{x_1, ..., x_n\}4: Set rowel
5: for i-1 to n do
    for j-1 to z do
6:7://Calculating the Euclidian distance (Ed) of x_i and CFM
   ED[row] = Ed (x(i), CFM(j))8 -9:row \leftarrow row + 110: end for
11: end for
12: Min \leftarrow minimum(ED)13: IndexL=find (corresponding value of Min index in Label vector)
14: CLT(x(i)) \leftarrow IndexL15: end for
16: converting CLT to the matrix with size of M×N
17: //Seqmentation of VH_T18: Create four empty images (NCT, DCT, FFT, FTT) of size M \times N19: for i-1 to M do
20: for j \leftarrow 1 to N do
21:if CLT[i, j]=1 then NC_T[i, j]=25522:else if CLT[i, j] = 2 then DC_T[i, j] = 25523:else if CLT[i, j] = 3 then FF_T[i, j] = 25524:else FI_T[i, j] = 25525:end if
26:end for
27: end for
28: Output: NC_T, DC_T, FF_T, FT_T images
```
3.3 Validation of segmentation

The 599 Grayscale IVUS and VH-IVUS images were taken from 10 patients used. The size of VH-IVUS was 400×400 in RGB format, which was provided by a 20 MHz ultrasonic device [[50](#page-31-12)]. The capacity of clustering algorithms is represented by the silhouette valid-ity index [\[17\]](#page-29-10). The silhouette accuracy $s(i)$ of the object *i* is achieved by the following equation:

$$
s(i) = b(i) - a(i) / \max\{a(i), b(i)\}\tag{6}
$$

where $a(i)$ depicts the average distance between the ith data and rest data points in the cluster, and *b(i)* represents the lowest average distance between them. This index illustrates the range of -1 to $+1$ for each pixel and determines the similarity of the pixels belonging to one cluster compared to the other clusters [\[5](#page-29-11)].

FCM-kNN [\[42\]](#page-30-17), FCM-SNP, and FCM-SVM models are implemented in Matlab software. The Statistics Toolbox is used for kNN and K-means algorithms, while the Fuzzy Logic Toolbox is utilized for the FCM algorithm. Multi-class SVM is also employed for pixel classifcation using LIBSVM [\[9](#page-29-12)]. The capacity of pixel-wise classifcation is represented with a silhouette index [\[17\]](#page-29-10).This method measures cluster validity to investigate the power of the proposed approaches for classifying overlapped tissues. Figure [11](#page-12-0) depicts the results of SW by applying FCM-kNN, FCM-SNP, and FCM-SVM algorithms for patients 1 to 10. Based on this fgure, the SW for FCM-SVM for Patient 1 shows the higher result,however, for other patients FCM-SNP achieved the best SW result that shows this method accurately segment the VH-IVUS images.

Figure [12](#page-13-0) shows the clustering accuracy for patients 1 to 10 by applying FCM-kNN, FCM-SNP, and FCM-SVM models. As shown in this fgure, FCM-SVM achieves the best result of 0.97 for patient 1, while FCM-SNP obtains the best results for the other patients. The results of FCM-SVM and FCM-SNP are similar for patient 8. FCM-SVM presents the worst result of 0.65 for patient 2. For Patients 1, 3, 4, 5, 6, 7, 8, 9, and 10, FCM-kNN achieves the worst results.

Fig. 11 Results of SW by applying FCM-kNN, FCM- SNP, and FCM-SVM algorithms

Fig. 11 (continued)

Fig. 12 Comparison between average clustering accuracy for patients 1 to 10 when applying FCM-kNN, FCM-SNP, and FCM-SVM algorithms

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Tables [1](#page-14-0), [2](#page-15-0), and [3](#page-16-0) illustrate the segmentation results for diferent types of VH-IVUS images by applying the FCM-SNP model. The silhouette validity index is computed for each cluster, and the average is depicted as SW (Silhouette Weight).

3.4 Feature extraction

Geometric features are extracted from the clustered images to classify the TCFA and Non-TCFA. The details of Confuent Components Detection (CCD) and NC Layers Detection (NCLD) algorithms are presented here. Moreover, the Plaque Area (PA), Vessel Area(VA), Lumen Area (LA), and Plaque Burden (PB) are calculated [\[45\]](#page-31-2).

3.4.1 Confuent components detection (CCD) algorithm

The thresholding method is used to binarize the NC image [[31](#page-30-18)]. The connected component labeling (CCL) algorithm segments the binary image and detects the confuent NC (CNC) and scattered NC (SNC) [\[46\]](#page-31-13). In the same way, confuent DC (CDC) and microcalcifcation (MicroCa) were detected. We need to identify the pixels that are common to both CNC and lumen border to detect CNC in contact with the lumen (CNCCL). The following is a stepby-step description of the process for implementing the CCD algorithm [[45](#page-31-2)]:

VH-IVUS	Plaque	NC	\overline{DC}	$\bf FF$	\mathbf{FI}	SW
Kriti						0.97
						0.98
ਸਾ						0.98
						0.94

Table 1 Results of FCM-SNP (VH-IVUS with four plaque components)

Table 2 Results of FCM-SNP (VH-IVUS with three plaque components)

- Step 1: The CCL algorithm is performed on the NC binary image to segment each NC segments [[18\]](#page-29-13).
- Step 2: The canny edge detection algorithm extracts the edge of NC segments, and their diameters (*d*) are calculated.
- Step 3: If the size of $d_i \geq 14$ pixels, the *NC_i* segment is classified as *CNC*; otherwise, it is called *SNC*.
- Step 4: C_i is a 2D matrix that stores the coordinates of CNC_i . The possible shared points between *CNC_i* and the lumen border are found to create the *CNCCL* segments.

3.4.2 NC layers detection (NCLD) algorithm

NCLD algorithm proposes to detect the number of NC layers in VH-IVUS images. Drawn lines from the center of the image are used to identify the pixels that intersect with the CNC segments. If a single segment shares pixels with one of the drawn lines, then it can be inferred that the NC pertains to a single layer. The fnal count of NC layers is computed and denoted as *NCL*. In the same way, *DCL* stores the determined DC layers. The process of calculating the NC layers involves repeating steps 1 to 4 for a total of *m* times [\[45\]](#page-31-2).

Step 1: The binary image, *CNC*, is created by combining multiple images identifed as CNC_1 , CNC_2 , and so on up to CNC_m .

Table 3 Results of FCM-SNP (VH-IVUS with two plaque components)

- Step 2: Radial lines are drawn from the center of the CNC image. The representation of all pixels belonging to each line is done using Bresenham's line algorithm [\[56](#page-31-14)].
- Step 3: The lines are examined to identify the shared pixels with CNC_i , which indicates that this line passes through this segment.
- Step 4: The number of crossing points of lines and CNC segments stored in the VH_{NCL} feature to show the number of *NC* layers.

Figure [13](#page-17-0) illustrates the results of *NC*, *CNC*, *CNCCL*, *SNC*, *DC*, *CDC*, and *MicroCa* obtained by the proposed geometric feature extraction algorithm. These plaque component features were used for classifcation.

3.5 Hybrid of snack and FCM for plaque detection (HSFPD) using IVUS image

The Volcanic platform provides IVUS features, including Lumen Area (LA), Media Area (MA), Lipid Core Area (LCA), Fibrous Area (FA), Fibro Lipidic Area (FLA), and Calcifed

Fig. 13 Plaque component features

Fig. 14 Segmentation of the vessel area in IVUS image (**a**) Filtering (**b**) Initial points (**c**) Contour (**d**) Vessel area

Fig. 15 Clustering of vessel image (**a**) Vessel image (I_V) (**b**) Cluster 1 (**c**) Cluster 2 (**d**) Cluster 3 (**f**) Cluster 4

Area (CA). The Maximum Vessel Diameter (MaVD), Minimum Vessel Diameter (MiVD), Average Vessel Diameter (AVD), Maximum Lumen Diameter (MaLD), Minimum Lumen Diameter (MiLD), and Average Lumen Diameter (ALD) are measured as well [\[37,](#page-30-19) [38](#page-30-20)]. However, in case of unavailable IVUS features, segmentation and plaque detection must be performed using IVUS images. In this section, a new method for segmentation and plaque border detection in IVUS images [[22](#page-30-21)] is presented using a hybrid of snake and FCM.

3.5.1 Snake‑based segmentation

Due to the noise in IVUS, the image was smoothed using a Gaussian flter with a sigma length of [\[13,](#page-29-14) [14](#page-29-1)]. The user specifed initial points in the plaque area of the IVUS image. Based on the initial points, the snake algorithm drew a contour, and the vessel area was separated from other parts of the image. The resulting image was named I_v . Figure [14](#page-18-0) shows the segmentation of the vessel region in the IVUS image using the snake algorithm. The red points in Fig. [14b](#page-18-0), illustrates the user's selected area.

3.5.2 Clustering by FCM

The FCM algorithm is used to cluster the vessel image. As a result, four clusters containing the plaque components were obtained. Each cluster has a center to represent all pixels belonging to that cluster. The next stage determined which clusters belong to the lumen and shadow areas in the vessel image. Based on the experiments, the cluster with minimum center value includes areas of lumen called *IL*. Figure [15](#page-18-1) shows the images obtained from FCM clustering. As shown in this fgure, four clusters were generated by the FCM algorithm. Their centers were checked to select the lumen area.

3.5.3 Extraction of plaque area in vessel image

The plaque image I_p was extracted by removing the I_l from the I_v . The plaque border was then drawn in the IVUS image, and this area was flled with grey color and then cropped from the original image. The border of the segmented plaque was also drawn using the standard method to compare the proposed method with the state-of-the-art. Figure [16](#page-19-1) compares plaque border detection with the standard and proposed methods. Figure [17](#page-20-0) shows the boundaries extracted by two methods in one image.

4 Results

4.1 Evaluation

4.1.1 Evaluation metrics

A confusion matrix is calculated to evaluate the classifcation result (Table [4](#page-20-1)). Each element of the matrix is as follows:

TN: Negative records correctly recognized as negative.

TP: Positive records correctly recognized their category as positive.

FP: The negatives that are mistakenly recognized as positive.

a) Plaque boundaries b) Plaque area c) Plaque

Fig. 16 Comparison of plaque border in the standard method (frst row) and the proposed method in this article (second row). **a** Plaque boundaries **b** Plaque area **c** Plaque

Fig. 17 Comparison of the extracted plaque boundaries by the standard method (green lines) and the proposed method (red lines)

Table 4 Confusion matrix [[32\]](#page-30-22)

FN: The positive records were mistakenly recognized as negative.

Based on the confusion matrix, accuracy, sensitivity, specifcity, precision, and F-score are selected to evaluate the proposed method [\[16,](#page-29-15) [57](#page-31-10)].

Accuracy identifes the percentage of test data that is correctly estimated out of the total data.

$$
Accuracy = \frac{TP + TN}{TP + FN + TN + FP}
$$
 (7)

The chance of a positive test result is called sensitivity (true positive rate), based on the person being positive.

$$
Sensitivity = \frac{TP}{TP + FN}
$$
 (8)

Specifcity (true negative rate) denotes the likelihood of a negative test result occurring when the individual is genuinely negative.

$$
Specificity = \frac{TN}{TN + FP}
$$
 (9)

Precision, also known as positive predictive value, represents the proportion of relevant instances within the retrieved cases.

$$
Precision = \frac{TP}{TP + FP}
$$
\n⁽¹⁰⁾

The traditional F-measure, also known as the balanced F-score, is a metric that combines precision and recall using their harmonic mean.

$$
F1 = 2 \times \frac{Precision \times Recall}{Precision + Recall}
$$
 (11)

4.2 Data labeling

Histopathological classifcation knowledge can be used to classify plaque types. Coronary lesions are classifed as TCFA, ThCFA, CaTCFA, PIT, FP, and FC plaques. Table [5](#page-22-0) summarizes the criteria for plaque type classifcation. The frst column shows the plaque type, the second column includes their clinical information, and the last column represents the geometric feature mapped with the second column.

To label the VH-IVUS images, the percentage of each tissue type related to the plaque area is calculated. Then, the label is provided by applying the criteria in Table [5](#page-22-0). Table [6](#page-22-1) illustrates the number of VH-IVUS images for each class, including PIT, FC, Fibrotic, TCFA, CaTCFA, and ThCFA. Because some plaques were unavailable in the VH-IVUS dataset images, SVM selected three signifcant groups for classifcation: PIT (439), TCFA (102), and CaTCFA (58).

4.3 Statistical analysis

The features extracted are used to create the VH-IVUS feature vector, which is denoted by VH-IVUS={*NC, DC, FI, FF, NCCL, CNC, CNC, CNCCL, CDC, NCL, DCL, MicroCa, SNC, PA, VA, LA, PB*}. Geometric features are classifed into PIT, TCFA, and CaTCFA according to the criteria of plaque-type classifcation. All geometrical features have been evaluated for their mean, standard deviation, and p-value [[1,](#page-29-16) [2\]](#page-29-17). The results are presented

in Table [7.](#page-23-1) All the geometric features have achieved a p-value < 0.0001 , which signals their ability to differentiate $[1, 2]$ $[1, 2]$ $[1, 2]$ $[1, 2]$ $[1, 2]$. This table shows that NC, NCCL, CNC, and CNCCL show higher values for TCFA and classes than PIT. However, FF is higher for PIT than for other classes.

Figure [18](#page-23-2) demonstrates the mean value of the VH features for PIT, TCFA, and CaTCFA plaque. The x-axis and y-axis indicate each feature's mean area (mm2), respectively.

According to Fig. [13](#page-17-0), PIT attained the highest value of FF. Moreover, based on the criteria, CDC>10% for CaTCFA plaque should be less than 10% for other types. The highest value of CDC among the diferent classes is observed in CaTCFA. The CNC values for TCFA and CaTCFA lesions are greater than 10%. The criteria of CaTCFA are satisfed as per the fgure depicted, where CNC>10%, CDC>10%, NCCL>0, and PB is greater than or equal to 40%. However, for PIT lesions, CNC is less than 10. Additionally, CNC $< 10\%$ and $CDC < 10\%$ shows for PIT plaque. The TCFA plaque indicated $CNC > 10\%$, $CDC < 10\%$, NCCL > 0 , and PB $> 40\%$. Moreover, the correlation heatmap for VH-IVUS features is calculated and displayed in Fig. [19.](#page-24-0) The x and y labels show the feature's names. The 18 VH-IVUS feature was used to create the correlation between these features. The

Fig. 18 The mean of VH-IVUS features

number of rows and columns is equal in his matrix. It provides the possibility to identify the relationships between variables. Diferent colors in the heatmap matrix diferentiate the positive and negative correlation values. As shown in this fgure, some features correlate highly to other features such as *NC* with *DC*, *NCCL*, *CNC*, *CNCCL*, and *MicroCa*. However, *NC* has a low correlation to the FI, LA, and PB and a negative correlation with *FF*.

4.4 Plaque type classifcation

SVM is an algorithm for supervised learning. It is typically employed to handle classifcation and regression tasks. The algorithm works by locating a hyperplane in a highdimensional space, splitting the data points into diferent classes. SVM has diferent

Correlation Heatmap 1.0														
		1.00 0.94 0.02 -0.12 0.94 0.84 1.00 0.95 0.93 0.91 0.74 0.50 0.61 0.42 0.43 0.33 0.06												
		\sim -0.94 1.00 -0.04 -0.13 0.91 0.92 0.94 0.89 1.00 0.83 0.69 0.41 0.55 0.38 0.43 0.37 -0.02												
		\diamond - 0.02 -0.04 1.00 0.44 -0.03 -0.13 -0.01 -0.07 -0.04 0.03 0.20 0.29 0.04 0.62 0.39 0.08 0.43												-0.8
		$\&$ -0.12 -0.13 0.44 1.00 -0.13 -0.19 -0.13 -0.16 -0.13 -0.10 0.02 0.12 -0.04 0.56 0.36 0.07 0.36												
NCCL		-0.94 0.91 -0.03 -0.13 1.00 0.87 0.93 0.93 0.90 0.84 0.68 0.44 0.55 0.38 0.44 0.38 -0.05												-0.6
nccy		-0.84 0.92 -0.13 -0.19 0.87 1.00 0.84 0.84 0.92 0.73 0.59 0.33 0.49 0.25 0.32 0.30 -0.05												
$\gamma_{\vec k}$		-1.00 0.94 -0.01 -0.13 0.93 0.84 1.00 0.96 0.92 0.91 0.67 0.45 0.58 0.40 0.43 0.35 0.01												-0.4
CALCL		-0.95 0.89 -0.07 -0.16 0.93 0.84 0.96 1.00 0.88 0.85 0.56 0.35 0.49 0.33 0.41 0.38 -0.09												
		\sim $\sqrt{2}$ - 0.93 1.00 -0.04 -0.13 0.90 0.92 0.92 0.88 1.00 0.80 0.80 0.68 0.38 0.53 0.37 0.43 0.38 -0.04												-0.2
MicroCa		-0.91 0.83 0.03 -0.10 0.84 0.73 0.91 0.85 0.80 1.00 0.68 0.51 0.57 0.38 0.37 0.26 0.12												
		0.32 0.10 0.39 0.47 0.32 0.10 0.39 0.68 0.68 0.68 0.68 0.68 0.68 0.65 0.62 0.47 0.32 0.10 0.39												
		$\sqrt{6}$ - 0.50 0.41 0.29 0.12 0.44 0.33 0.45 0.35 0.38 0.51 0.65 1.00 0.64 0.42 0.23 -0.01 0.50												-0.0
		\sim \sim -0.61 0.55 0.04 -0.04 0.55 0.49 0.58 0.49 0.53 0.67 0.62 0.64 1.00 0.24 0.16 0.03 0.29												
		0.7 0.42 0.24 1.00 0.86 0.38 0.56 0.38 0.25 0.40 0.33 0.37 0.38 0.47 0.42 0.24 1.00 0.86 0.49 0.35												-0.2
		$\sqrt{5}$ - 0.43 0.43 0.39 0.36 0.44 0.32 0.43 0.41 0.43 0.37 0.32 0.23 0.16 0.86 1.00 0.87 -0.12												
		₹ - 0.33 0.37 0.08 0.07 0.38 0.30 0.35 0.38 0.38 0.26 0.10 -0.01 0.03 0.49 0.87 1.00 -0.54												-0.4
		$\sqrt[3]{2}$ - 0.06 -0.02 0.43 0.36 -0.05 -0.05 0.01 -0.09 -0.04 0.12 0.39 0.50 0.29 0.35 -0.12 -0.54 1.00												
ĘŚ CNC CDC MicroCa NCCL DCCL CALCL SNC δ														

Fig. 19 Correlation heatmap of VH-IVUS features

kernels: linear, Radial Basis Function (RBF), polynomial, and sigmoid. In this experiment, SVM with RBF kernel is used for classification. The C value and γ set to train the SVM model. The VH-IVUS features are split into 70% for train and 30% for test. Table [8](#page-24-1) displays the result of SVM classifcation for VH-IVUS features. Three set of parameters

Table 8 Result of SVM classifcation for VH-IVUS features

Plaque	Parameters	Accuracy	Sensitivity	Specificity	Precision	F1
PIT	$RBF-PI$	98.58	100	94.44	98.13	99.05
	$RBF-P2$	98.11	100	92.59	97.53	98.75
	$RBF-P3$	97.16	99.36	90.74	96.91	98.12
TCFA	$RBF-PI$	98.11	85.71	100	100	92.30
	$RBF-P2$	97.64	85.71	99.45	96.00	90.56
	$RBF-P3$	97.64	85.71	99.45	96.00	90.56
CaTCFA	$RBF-P1$	99.52	100	99.46	96.29	98.11
	$RBF-P2$	99.52	96.15	100	100	98.03
	$RBF-P3$	99.52	96.15	100	100	98.03

Fig. 20 Compares the accuracy for PIT, TCFA, and CaTCFA

were considered for this experiment includes $RBF-PI = \{ \gamma = 0.001, C = 1000 \}$, $RBF-PI = \{ \gamma = 0.001, C = 1000 \}$ $P2 = {\gamma = 0.001, C = 10,000}$, and $RBF-P3 = {\gamma = 0.001, C = 100000}$.

Based on the above table, the result of PIT and TCFA classifcation for *RBF-P1* is higher than the obtained accuracy when the C increases. However, CaTCFA achieved similar accuracy for three experiments. Its sensitivity and F1 in the frst experiment are higher than the other results. However, the specifcity and precision of CaTCFA show lower results than in experiment two, and the highest accuracy value was obtained by CaTCFA. Figure [20](#page-25-0) compares the accuracy for PIT, TCFA, and CaTCFA. As shown in this fgure, PIT achieved the minimum accuracy using *RBF-P3,* while achieving the best accuracy using *RBF-P1.* TCFA obtained similar results for *RBF-P2 and RBF-P3*, which are lower than *RBF-P1.* However, CaTCFA achieved the same result for three experiments with the highest value among the other classes.

In the next experiment, two classes were considered: TCFA and non-TCFA. VH-IVUS and IVUS features were used for classifcation. The classifcation results are shown in Table [9](#page-25-1).

Based on the obtained results, the VH-IVUS images achieved better results than the IVUS. Some sample tests of VH-IVUS images were selected to classify TCFA and non-TCFA, their features were extracted, and the trained model predicted their labels. Table [10](#page-26-0) shows the results of TCFA detection for VH-IVUS test images and their equivalent IVUS images.

The Receiver Operating Characteristic (ROC) curve evaluates the classifcation perfor-mance [\[29\]](#page-30-23). Sensitivity and specificity are commonly used to measure the effectiveness of binary classifer algorithms. The ROC chart combines these indicators and shows them as a single curve. The ratio of the True Positive Rate (*TPR)* on the vertical and False Positive Rate (*FPR*) on the horizontal axis is displayed in the ROC curve. Data is usually separated into a training set to create the model and a test set to check the efficiency of the model. The

Table 10 TCFA detection by SVM

VH-IVUS image	IVUS image	SVM result
		TCFA
		TCFA
		non-TCFA
		TCFA
		non-TCFA

training data set can be randomly divided into *k* folds. The *k-1* parts are used as training data sets, and one for validation sets. The kfold cross-validation was performed with $k=5$, and the ROC curve is displayed in Fig. 21 . This figure shows that the best AUC is achieved in fold 2.

4.5 Execution time

Two diferent values for *k*, 5 and 10, were considered for running the SVM with RBF-P1, RBF-P2, and RBF-P3. The execution time was calculated and displayed in Table [11.](#page-27-0) Based on this table, $k=5$ for RBF-P1 ran faster than other experiments. The longest time was obtained with $k = 10$ for *RBF-P2*.

5 Discussion and conclusion

Vulnerable plaque detection and prediction are essential to prevent heart disease risk. This study proposed a new approach for plaque border detection, segmentation, feature extraction, and plaque type classifcation. A new, fast, and accurate algorithm was proposed for determining the borders of lumen and plaque in VH-IVUS images. However, the user should defne the initial points of the level-set algorithm. In addition, accurately determining the percentage of each plaque component and necrotic pixels adjacent to the lumen was done. During the initial investigations, some segmentation algorithms, such as graph cut, were utilized to segment VH-IVUS images. However, these methods were inaccurate due to overlapping pixels on the edge of the borders of plaque components. After further research, a new semi-supervised method was suggested that precisely segments the pixels. Based on the experiments, there are better methods than clustering algorithms for achieving robust and accurate segmentation of overlapped tissue types of VH-IVUS images. Although the FCMPSO and KMPSO models achieve better results than the clustering algorithm, the problem of merging low-density clusters still needs to be solved. Furthermore, these models must set the exact number of tissue classes in VH-IVUS images. Semi-supervised models are adapted to segment the VH-IVUS images to address these limitations. Combining FCM clustering with supervised models proved more efficient in delineating the plaque components. Despite difficulties distinguishing between NC with DC and FF with FI, the FCM-SNP model can discriminate efectively between these classes. According to the diferent experiments conducted based on the various accurate data, the FCM-SNP model achieved the appropriate result of SW. IVUS is performed to obtain more precise information about the size and type of plaques formed inside the coronary arteries than

Fig. 21 ROC for fve fold cross validation

angiography. However, shadow areas can be formed behind the calcium plaques, which afect segmentation accuracy and should be removed. The IVUS image segmentation uses a new hybrid level set and FCM algorithms approach. Deep learning-based methods such as Unet can also segment the IVUS images. However, a lot of IVUS data is needed to train the models. Furthermore, mask images must be produced to complete the learning process. In future work, data augmentation can increase the volume of data considered, and the Unet model can be used for IVUS image segmentation. To analyze the IVUS images for medical diagnosis, texture features in the plaque area can be extracted. These features are crucial for identifying the nature of the plaque. Earlier, we extracted such features from VH-IVUS images and achieved good results by combining them with the geometric features. Moreover, converting images to polar space has a more signifcant impact on plaque recognition. It's important to mention that there are several types of plaques, including TCFA, ThCFA, CaTCFA, PIT, FP, and FC. However, patient data and images of diferent plaque types are limited. Therefore, three plaque types were considered: PIT, TCFA, and CaTCFA. In the next experiment, we divided them into TCFA and non-TCFA. Therefore, the classifcation result for other classes was not obtained. Although there are some limitations, this study combines the features of IVUS and VH-IVUS images to detect the TCFA plaque accurately. The segmentation of both VH-IVUS and IVUS images is precisely performed. Additionally, the geometric features of both types of images are extracted and combined linearly. A semi-supervised hybrid method is proposed to accurately identify areas of overlapping plaque components in VH-IVUS images. In the future, OCT images will enhance reliability by combining the features of IVUS, VH-IVUS, and OCT images.

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