

Alzheimer disease diagnosis for magnetic resonance brain images using deep learning neural networks

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Abstract

In this work, a Deep Convolutional Neural Network (DCNN) framework for Alzheimer's Disease (AD) diagnosis based on brain Magnetic Resonance Imaging (MRI) scans is presented. A multiclass DCNN classifier is used to discriminate between Normal Controls (NC), Mild Cognitive Impairment (MCI), and AD. The Alzheimer's Disease Neuroimaging Initiative (ADNI) dataset was used to train and test the proposed DCNN. Different train-test ratios have been examined. Average accuracies of 100% for AD/NC, 92.93% for NC/MCI, and 99.21% for AD/MCI were obtained. The proposed system achieved an average accuracy of 93.86% for a three-way AD/MCI/NC classification. To further examine the proposed system performance, Receiver Operation Characteristics (ROC) analysis and Confusion Matrix (CM) were also used. For certain scenarios, the Area Under ROC Curve (AUC) values of 1, 1, and 0.989 were obtained for AD, NC, and MCI, respectively. The results show higher metrics compared to previously published studies concerning AD diagnosis.

Keywords Deep CNN \cdot ADNI \cdot Alzheimer's disease \cdot MRI \cdot ROC

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1 Introduction

Alzheimer's disease is a neurological brain disorder that results in the death of brain cells responsible for memorizing and thinking skills. Its consequences increase slowly and deteriorate over time making the patients unable to continue their ordinary daily activities. As stated by the Alzheimer's Association, AD is one of the top ten diseases in the United States which causes death [4]. It is caused by many factors among which age is the most significant. Elderly adults aged about 65 are at a high risk of suffering from this disease [15]. The key to curing Alzheimer's disease is early detection where appropriate treatment can slow the disease's progression. Therefore, the development of an early and accurate AD diagnosis is essential.

Pattern recognition, classification, and Machine Learning (ML) have gained a great attraction recently in emerging an automated diagnosis system of brain diseases with neuro-images such as MRI [2, 3], Diffusion Tensor Imaging (DTI) [9], functional MRI (fMRI) [26], Positron Emission Tomography (PET) [20]. There is large number of researchers focused on advanced ML models that utilize MRI data for AD diagnosis. These studies revealed that MRI scans are the most proper imaging modality in clinical diagnosis [30] and can be used to track different clinical AD phases [11].

Recently, advanced Deep Learning (DL) techniques especially Convolutional Neural Networks (CNN), have gained great interest in solving problems in automated medical imaging systems [5, 7]. The diagnosis of AD is a multi-tests assessment and requires a highly discriminative feature representation for automated classification. DL methods are capable of learning such representation from data. They can reveal latent or hidden features that can improve disease detection and classification; hence it has been used in automated AD diagnosis recently.

The current study aims to develop and validate a new DCNN framework capable of identifying individual diagnoses of AD, MCI, and NC based on brain MRI scans. The proposed classifier was trained and tested using the ADNI dataset. Specificity, Sensitivity, Accuracy, and Balanced Accuracy are used to evaluate the new DCNN classifier's performance. The suggested 3-class classification algorithm's performance is also evaluated using ROC analysis and CM. The performance of the given method was compared to previously published similar studies. The generated results outperform the published ones, demonstrating the robustness of the proposed DCNN framework.

The rest of the paper is structured as follows. Section 2 introduces the materials and methods that includes related AD diagnosis work and the proposed DCNN model. Section 3 states the experimental results. Finally, Section 4 reports the paper conclusion.

2 Materials and methods

2.1 Related work

Several studies have already been designed for early AD diagnosis. The majority of these AD studies are based on artificial intelligence and the ADNI dataset. PET, Genetics, Cognitive tests, MRI, Cerebrospinal fluid, and blood biomarkers are included in this dataset as predictors of the disease. Except for the study in [13], which used only the Open Access Series of

Imaging Studies (OASIS) dataset, the ADNI dataset was used in all of the studies in [1, 6, 8, 10, 12–14, 16–18, 21–25, 27–29, 31–36], and studies in [22–24] used both the ADNI and the OASIS datasets.

First, we introduce the related studies for binary classification of AD. Raza et.al [23] proposed a new ML-based screening and diagnosis of AD. The process of the AD diagnosis was achieved by the DL analysis of MRI scans, followed by an activity screening using body-worn inertial sensors. An accuracy of 95% was achieved to classify the patient's daily activities. Another classification approach for the detection of AD diagnosis, based on Mean Diffusivity (MD) extracted from DTI and Structural MRI (sMRI) brain images, has been introduced in [1]. An accuracy of 85.0%, 92.5%, and 80.0% respectively was accomplished for AD/MCI, AD/NC, and MCI/NC.

The study in [28] utilized a parameter-based DL method to discriminate the MCI patients who are susceptible to AD in 3 years and the MCI stable patients within the same period. The obtained 10-fold cross-validated accuracy, the area under the curve (AUC), specificity, and sensitivity are 86%, 0.925, 85%, and 87.5% respectively. A 3D DCNN architecture for brain MRI classification has been presented in [16]. Classification of AD versus MCI and NC was conducted on ADNI dataset. The study shows that similar performance can be obtained with reduced steps (i.e., skipping feature extraction steps). Hon and Khan [13] presented a transfer learning-based technique to identify AD using brain sMRI. Two DL techniques, i.e., Inception V4 and VGG16 were examined. A better performance was reached compared with current DL based approaches.

Automatic AD and MCI classifier that is based on brain MRI and deep neural networks was designed by Basaia et.al [6]. The system performance was examined in identifying AD, converters MCI (c-MCI) and Stable MCI (s-MCI). High accuracy levels were obtained in all cases. In [24], DL technique was presented for AD diagnosis and monitoring of the AD patient. The technique demonstrated the importance of high-accuracy AD diagnosis with physical activities, as well as how these activities can be logged with high accuracy without human intervention. Janghel et al. [14] applied the VGG 16 architecture of DCNN for features extraction and SVM for the AD detection task. The CNN performance was improved by performing some preprocessing on the image dataset before feature extraction. An average accuracy of 99.95% and 73.46% was achieved respectively for the fMRI dataset and the PET classification.

Xiao et al. [34] used Sparse logistic regression (SLR) for early AD diagnosis of 197 cases. To impose a sparsity constraint on logistic regression, SLR utilized $L_{1/2}$ regularization. When compared to other classical techniques, experimental results showed that the SLR improved the AD/MCI classification performance. Several methods are also used for AD diagnoses such as a multi-model DL framework in [18, 36]. In [18], a 3D Densely Connected Convolutional Networks (3D DenseNet) was constructed to learn features of the 3D patches then these learned features were combined to classify disease status. To extract the 3D patches features, a 3D Densely Connected Convolutional Network (3D DenseNet) was built, and then these features were utilized for disease status classification. The proposed multi-model procedure outscored single-model procedures as well as a number of other competing methods. Two independent CNNs were used in [36] to obtain PET and MRI image features. The results showed that the presented multi-modal auxiliary diagnosis achieved a superb efficiency.

Concerning the three-way AD/MCI/NC classification, Gupta et al. [12] proposed an approach for computerized AD diagnosis in which cross-domain features are used to

represent MRI data. High classification performance was achieved using this approach. Payan et al. [21] proposed a classification MRI-based technique that utilizes 3D CNNs and sparse autoencoders to predict patient disease status. The results outperform the ordinary 3D CNNs algorithm in comparison to several other classifiers in the literature. Cárdenaspeña et al. [10] presented a DL model based on central kernel alignment. They compared the supervised pre-training method to two unsupervised initialization approaches. The experiment showed that artificial neural network (ANN) pretraining outperforms the contrasted algorithms and reduces the class biasing. Hence, better MCI discrimination is obtained. Discrimination between AD, MCI, and NC individuals using 3D MRI and neuropsychological measures (NM) was introduced in [27]. A fusion pipeline combines data from multiple modalities including volumetric MRI and NM features. The results show the effectiveness of the presented fusion pipeline compared to the 3D CNN architectures. A robust automatic technique of High-level Layer Concatenation Autoencoder (HiLCAE) and 3D-VGG16 are utilized to detect AD using MRI and PET images was introduced by Vu et al. [32]. The experiment was conducted on an ADNI dataset and classified into one of three classes: NC, MCI, and AD.

Wang et.al [33] proposed an ensemble of 3D-DenseNet for MCI and AD classification. Many experiments were performed to analyze the performance of the system with different architectures and hyper-parameters. The proposed model outperforms the other model using the ADNI dataset. Xiao et al. [35] introduce a new classification framework to classify AD or MCI from NC. Three different features (i.e., gray-level co-occurrence matrix (GLMC), Gabor feature, and gray-matter volume) were used. The results show that the multi-feature fusion improves system performance. Tong et al. [29] presented a new data fusion method from multiple modalities for AD classification. The AUC of receiver-operator characteristic (ROC) is 82.4% between MCI patients and NC, 98.1% between AD patients and NC, and 77.9% in a 3-way classification. Several techniques were also used for AD diagnoses such as DL technology [8, 22], representation of regional abnormality via DL [17], universum SVM [25], and hippocampal atrophy technique [31].

The related study with speech aspects was introduced in [19] where a new ML technique used the spectrogram patient's speech features for AD detection. The patients' speech data from NC and AD was collected and examined. The results presented that, among the used models, the Logistic Regression CV model had accomplished the highest performance. As mentioned before, deep learning methods are a new candidate in the medical imaging field. Therefore, a CNN-based framework is examined in this paper for the MRI classification into one of the three output groups.

2.2 Proposed work

The proposed network is a new DCNN that uses an MRI brain scan to automate AD classification. For feature extraction, the proposed framework includes three convolutional blocks. A convolutional layer, batch normalization layer, rectified linear unit (ReLU), and a max-pooling (MAX P) layer make up each convolutional block (Conv). A stride of 2 and a max-pooling of 2×2 was utilized in each layer. After the convolutional blocks, a fully connected (FC) layer was applied for classification. The FC layer followed by Softmax layer that has three different output categories results in the predicted probability distribution of three classes (NC, MCI and AD). Figure 1 shows the proposed 16 layers of CNN architecture.

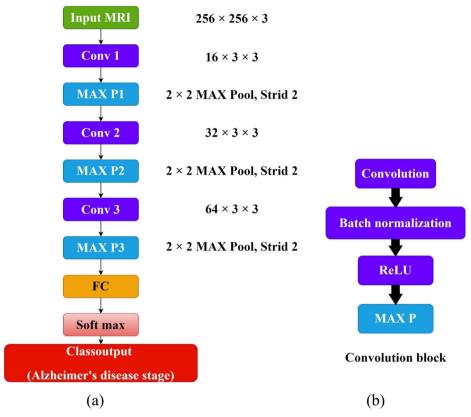


Fig. 1 a) The proposed CNN architecture b) The detailed convolution blocks

The fundamental block of DCNN is the convolution layer which contains kernels. These kernels are convolved to detect patterns and features over input images. The kernel is a filter of different windows (i.e., 3×3 , 7×7 , and 13×13) that are convolved over each input to extract a feature map.

For example, if the convolutional layer is l, then the feature map of this layer is j and it can be obtained as follows:

$$x_{j}^{l} = b_{j}^{l} + \sum_{i \in N_{j}} x_{i}^{l-1} * k_{ij}^{l}$$
(1)

where

 $\begin{array}{ll} N_j & \text{the set of the input feature map.} \\ x_i^{l-1} & \text{the input feature map of the layer l (the output feature map of the previous layer).} \\ k_{ij}^l & \text{the partial input feature map convolutional kernel.} \\ b_j^l & \text{the bias offset of the feature map j after convolution.} \\ * & \text{the convolutional calculation.} \end{array}$

A nonlinear activation function called ReLU is applied after the convolution layer to obtain nonlinear feature maps. ReLU select the maximum value between zero and the input I_x ; therefore, the nonlinear activation map f(x) is calculated as:

$$f(x) = max(0, I_x). \tag{2}$$

A DCNN gives translation-invariant feature maps via the use of the MAX P layer. Due to the pooling layer, the feature representation is stable and more concise and reduces the next stage computational burden. The max-pooling is computed as follows:

$$pool_{i,j} = \max_{p} f(x)_{i+p, j+p}$$

$$\tag{3}$$

where the spatial positions are i and j, and p is the pooling window size.

In the end, the network normally has FC layers, where each feature map pixel is a neuron and forward to every neuron in the FC layers. One FC layer is used in our architecture. The softmax layer has three different output categories: AD, NC, and MCI. Depending on the feature representation, any input image was classified into one of these three categories. Furthermore, using the new proposed DCNN framework, the overall classification accuracy is improved. A CNN has neurons with weights and biases, just like a traditional NN. During the training task, the CNN learns these values and upgrades them with each new training task. However, in the case of CNNs, all hidden neurons in a layer have the same weights and bias values.

3 Experimental analysis

3.1 Dataset

The employed dataset used is the structural brain MRI scans which are provided by the ADNI dataset (http://adni.loni.usc.edu/). A total number of 199 patients were reported, in which there were 42 AD, 97 MCI, and 60 NC cases. Table 1 describes the demographic characteristics of the used samples, including age, gender, and number. The employed dataset samples are shown in Fig. 2.

3.2 Performance measurement

The performance examination was done using the following parameters i.e., Specificity, Sensitivity, Accuracy, and Balanced Accuracy. This is done to evaluate the overall performance of the proposed classification algorithm. True negative cases are referred to as specificity, which is defined as the proportion of negative cases correctly classified as true negative cases. True positive cases are referred to as sensitivity, which refers to the proportion of actual true cases correctly classified. Accuracy is a term that describes how close a measurement is to the actual value of a quantity. The average of each class's proportion

Category	AD	NC	MCI
Number	42	60	97
Female / Male	27/15	38/22	40/57
Age(Mean±SD)	74.21429±8.306729	75.23333±3.761386	74.47423±8.064961

Table 1 The dataset demographic information

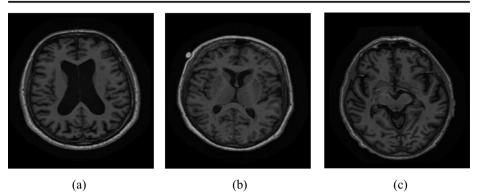


Fig. 2 Samples of the utilized dataset (a) AD (b) NC (c) MCI

corrects is used to calculate Balanced Accuracy. Table 2 illustrates these parameters. Where, TN (True Negative), and TP (True Positive) are the accurately classified cases. Also, the FN (False Negative), and FP (False Positive) are the inaccurately classified cases. The ROC analysis and CM are also used to evaluate the performance of the proposed 3-class classification algorithm.

3.3 Experimental setup and evaluation

To evaluate the classifier performance initially, the database image set was divided into two subsets i.e., testing set and training set. Different cases of dataset combinations are used (i.e., 90% of the images for training and 10% for testing the network, 80% for training and 20% for testing, and finally, 70% for training and 30% for testing).

Parameters	Mathematical equation
Sensitivity (SEN) Specificity (SPE) Accuracy (ACC) Balanced Accuracy (BAC)	$\frac{\frac{TP}{TP+FN}}{\frac{TN}{TN+FP}}$ $\frac{TN}{TN+FP}$ $\frac{TP+TN}{TP+TN}$ $\frac{TP+TN}{TP+TN+FP+FN}$ 0.5 * (Sensitivity + Specificity)

Table 2 The classifier evaluation parameters

Table 3 Classification performance for (NC vs. AD)

	ACC (%)	SPE (%)	SEN (%)	BAC (%)
90% training-10% testing	100	100	100	100
80% training-20% testing	100	100	100	100
70% training-30% testing	100	100	100	100
Average	100	100	100	100

	ACC (%)	SPE (%)	SEN (%)	BAC (%)
90% training-10% testing	93.75	90	100	95
80% training-20% testing	93.55	100	83.33	91.67
70% training-30% testing	91.49	93.10	88.89	90.996
Average	92.93	94.37	90.74	92.56

Table 4 Classification performance for (NC vs. MCI)

Table 5 Classification performance for (AD vs MCI)

	ACC (%)	SPE (%)	SEN (%)	BAC (%)
90% training-10% testing	100	100	100	100
80% training-20% testing	100	100	100	100
70% training-30% testing	97.62	100	92.31	96.154
Average	99.21	100	97.44	98.72

4 Result discussion

The DCNN Performance was validated with four classifications: Patients with NC vs. AD, NC vs. MCI, MCI vs. AD, and finally three-class classification (NC, MCI, and AD).

4.1 NC vs. AD

In comparing the NC and AD, a 100% accuracy has been obtained. Table 3 shows the Classification performance of (NC vs. AD). As can be seen in Table 3, the introduced method is effective in classifying NC and AD, with the highest classification rate (100%), a sensitivity of 100%, a specificity of 100%, and balanced accuracy of 100%.

4.2 NC vs. MCI

To further examine the system in terms of individual classes (NC vs. MCI), Table 4 reports the Classification performance for (MCI vs NC). In distinguishing MCI from NC subjects, the proposed method reached an accuracy of about 92.93%, a specificity of 94.37%, a sensitivity of 90.74%, and balanced accuracy of 92.56%.

	ACC (%)	SPE (%)	SEN (%)	BAC (%)
90% training-10% testing	95	97.62	96.67	97.15
80% training-20% testing	94.9	96.67	93.06	94.87
70% training-30% testing	91.67	95.47	92.13	93.80
Average	93.86	96.60	93.95	95.27

Table 6 Classification performance for three - class classification (AD, NC, and MCI)

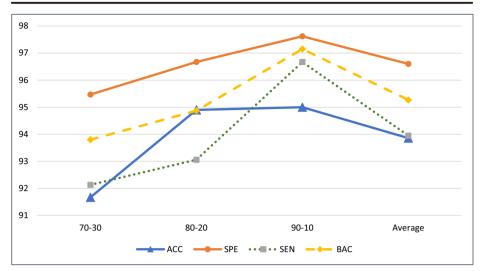


Fig. 3 The proposed DCNN framework for 3-class classification

4.3 MCI vs AD

The experimental results of AD and MCI classification are reported in Table 5. As shown, the highest accuracy and specificity (higher than 99%) are obtained. Moreover, sensitivity and balanced accuracy obtained are about 97.44% and 98.72% respectively.

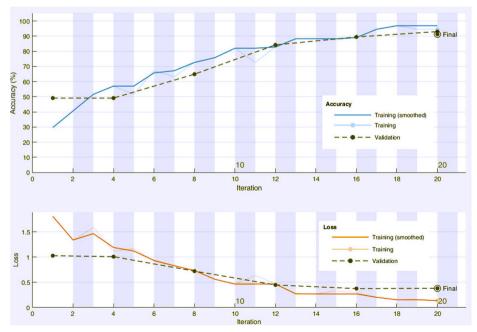


Fig. 4 The DCNN training behavior

4.4 Three-class classification (classification of AD, NC, and MCI)

These are the results of the three-class classification. As reported in Table 6 and Fig. 3, the proposed method reached an accuracy of about 93.86%, a sensitivity of 93.95%, a specificity of 96.60%, and balanced accuracy of 95.27%. According to the experimental results, one can find that the proposed method is still effective and robust in the 3-class classification.

Figure 4 shows the behavior of accuracy and loss during the training and evaluation processes. The loss and accuracy values that obtained while training the proposed framework revealed its efficiency on training and validation data. 70% of the data is used for training while the rest is used for validating process. The findings show that as the loss value decreases, the framework improves its accuracy.

The performance of the 3-class classification is depicted in the form of CMs in Fig. 5 and ROC curves in Fig. 6 with different training-testing ratios (i.e., 70% - 30%, 80% - 20%, and 90% - 10%). In (90% - 10%) classification ratio, 20 images are tested including 4 AD, 6 NC and 10 MCI. It is clear that only one image of MCI was misclassified and all AD and NC images are correctly classified as described in Fig. 5c. The AUC values of 1, 1, and 0.989 were obtained for AD, NC, and MCI, respectively. In the two other classification cases, 59 and 39 images are tested in (70% - 30%) and (80% - 20%), respectively and one image of AD and NC

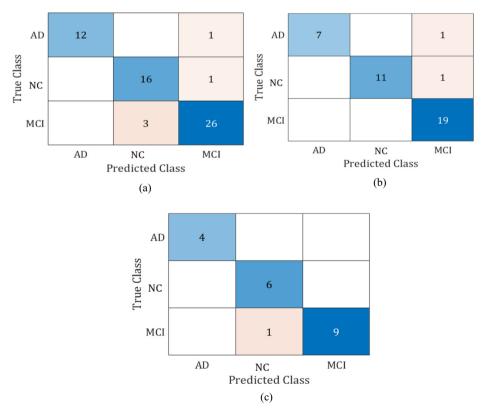


Fig. 5 The Confusion matrices of the proposed 3-class classification model with different training-testing ratios (a) 70% - 30% (b) 80% - 20% (c) 90% - 10%

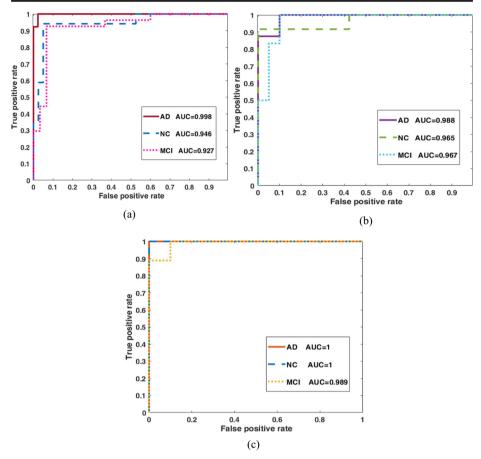


Fig. 6 ROC curves of the proposed 3-class classification model with different training-testing ratio (a) 70% - 30% (b) 80% - 20% (c) 90% - 10%

was misclassified as shown in Fig. 5b and c. The AUC values of the other classification cases are clarified in Fig. 6b and c. The results declare that the (90% - 10%) classification case obtained the best AD detection with a classification accuracy of 95%.

The method used to divide the database image set into subsets for training and testing has a large impact on the results. The larger the images used in training the DCNN, the higher the accuracy obtained. As a result, one of the most important reasons for improving the results is to use a database with the largest images possible in the train phase, which increases the DCNN classifier's ability to discriminate between the different classes. The average accuracy for NC versus MCI is relatively low, as can be seen from the results. As a result, the three classifications (AD, NC, and MCI) have a relatively low accuracy. This is due to the use of a small number of dataset images and the MCI being a stage between NC and AD. MCI and NC have a high similarity ratio.

The comparison of the average accuracy of the proposed method and the recently published studies using the same ADNI datasets is shown in Table 7 and summarized in Fig. 7. From the table and the figure, it is clear that the proposed classifier achieved the highest performance compared to the literature. However, the CA of the proposed method for classification of (MCI

Study	Year	Method	Average Accuracy (%)			
			NC vs. AD	MCI vs. NC	AD vs. MCI	NC vs. MCI vs. AD
[13]	2017	Inception V4+VGG16	96.25	_	_	_
[24]	2019	DL	98.74	_	_	-
[14]	2020	VGG 16+SVM	99.95	_	_	-
[16]	2017	3D DCNN	80	58	_	-
[34]	2020	SLR	93.33	82.75	_	-
[18]	2020	3D DenseNet	88.90%	76.25	_	_
[8]	2020	PCANet	_	92.6	97.01	-
[27]	2018	NM	76	75	76	-
[1]	2018	DTI+MD	90.00	72.50	82.50	-
[6]	2019	deep neural networks	99.2	76.1	85.9	-
[17]	2019	Regional abnormality via DL	92.75	89.22	81.46	_
[36]	2019	Two independent CNNs	98.47	85.74	88.20	-
[25]	2020	Universum SVM	87.6	70.2	72.73	_
[22]	2020	DL using transfer learning	_	_	_	78.64
[29]	2017	Data fusion method	98.3	98.3	_	72.9
[12]	2013	Cross-domain features	93.80	83.30	86.30	78.20
[21]	2015	3D CNNs and sparse	95.39	92.11	86.84	89.47
		autoencoders				
[32]	2018	HiLCAE and 3D-VGG16	98.8	95	93	91.13
[35]	2017	multi-feature fusion	85.71	86.11	79.44	75.00
[31]	2020	hippocampal atrophy	94	95	82	82
Proposed		DCNN framework	100	92.93	99.21	93.86

Table 7 The comparison of the proposed classifier average accuracy with literature

vs. NC) is less than of [31, 32], the proposed new DCNN achieved the highest CA of other classification scenarios (i.e., NC vs. AD, MCI vs. AD, and AD vs. NC vs. MCI).

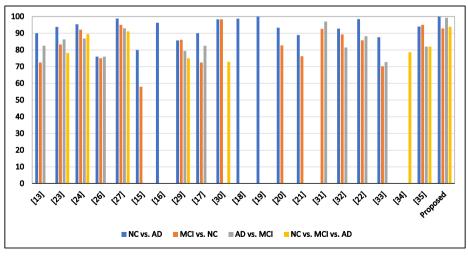


Fig. 7 Comparison of the proposed approach with literature

5 Conclusion

In this study, a new efficient automated AD diagnosis DCNN based framework using brain MRI scans was introduced and examined. DCNN performance was tested in distinguishing NC, MCI, and AD. Experimental data are obtained using the ADNI dataset that includes 199 subjects. Four classification metrics were measured for four different situations of dataset combinations i.e., NC vs. AD, NC vs. MCI, MCI vs. AD, and the most difficult scenario of 3class classification (NC, MCI, and AD). The experimental results are compared with the published results. The proposed framework results outperform the literature in all four classification situations in terms of Accuracy, Specificity, Sensitivity, and Balanced Accuracy. In classifying NC and AD, the highest classification rate of 100% is obtained for Accuracy, Sensitivity, Specificity, and Balanced accuracy. In distinguishing MCI from NC subjects, the proposed method reached an accuracy of about 92.93%, a specificity of 94.37%, a sensitivity of 90.74%, and balanced accuracy of 92.56%. In the case of AD and MCI classification the highest accuracy and specificity (higher than 99%) are obtained. Moreover, sensitivity and balanced accuracy obtained are about 97.44% and 98.72% respectively. Finally for the threeclass classification, the proposed method reached an accuracy of about 93.86%, a sensitivity of 93.95%, a specificity of 96.60%, and balanced accuracy of 95.27%. The small variations between NC, MCI, and AD arises the need for more than 199 subjects to further improve the framework performance. In the last situation, ROC and CM are also used. The AUC values of 1, 1, and 0.989 are achieved for AD, NC, and MCI, respectively.

6 Future work

The usage of another larger MRI dataset with pretrained CNN will be the upcoming challenge to achieve better accuracy.

Declarations

Competing interests The authors report no declarations of interest.

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