#### 1176: ARTIFICIAL INTELLIGENCE AND DEEP LEARNING FOR BIO-MEDICAL APPLICATIONS



# Robust application of new deep learning tools: an experimental study in medical imaging

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#### Abstract

Nowadays medical imaging plays a vital role in diagnosing the various types of diseases among patients across the healthcare system. Robust and accurate analysis of medical data is crucial to achieving a successful diagnosis from physicians. Traditional diagnostic methods are highly time-consuming and prone to handmade errors. Cost is reduced and performance is improved by adopting computer-aided diagnosis methods. Usually, the performance of traditional machine learning (ML) classification methods much depends on both feature extraction and selection methods that are sensitive to colors, shapes, and sizes, which conveys a complex solution when facing classification tasks in medical imaging. Currently, deep learning (DL) tools have become an alternative solution to overcome the drawbacks of traditional methods that make use of handmade features. In this paper, a new DL approach based on a hybrid deep convolutional neural network model is proposed for the automatic classification of several different types of medical images. Specifically, gradient vanishing and over-fitting issues have been properly addressed in the proposed model in order to improve its robustness by means of different tested techniques involving residual links, global average pooling layers, dropout layers, and data augmentation. Additionally, we employed the idea of parallel convolutional layers with the aim of achieving better feature representation by adopting different filter sizes on the same input and then concatenated as a result. The proposed model is trained and tested on the ICIAR 2018 dataset to classify hematoxylin and eosin-stained breast biopsy images into four categories: invasive carcinoma, in situ carcinoma, benign tumors, and normal tissue. As the experimental results show, our proposed method outperforms several of the state-of-the-art methods by achieving rate values of 93.2% and 89.8% for both image- and patch-wise image classification tasks, respectively. Moreover, we finetuned our model to classify foot images into two classes in order to test its robustness by considering normal and abnormal diabetic foot ulcer (DFU) image datasets. In this case,

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the model achieved an F1 score value of 94.80% on the public DFU dataset and 97.3% on the private DFU dataset. Lastly, transfer learning (TL) has been adopted to validate the proposed model with multiple classes with the aim of classifying six different wound types. This approach significantly improves the accuracy rate from a rate of 76.92% when trained from scratch to 87.94% when TL was considered. Our proposed model has proven its suitability and robustness by addressing several medical imaging tasks dealing with complex and challenging scenarios.

**Keywords** Breast cancer  $\cdot$  Diabetic foot ulcer  $\cdot$  Wound  $\cdot$  Deep learning  $\cdot$  Deep convolutional neural network  $\cdot$  Classification  $\cdot$  Transfer learning  $\cdot$  Medical imaging

### 1 Introduction

Deep learning (DL) technology applied to medical imaging may become the most disruptive tool since the advent of digital imaging. Designing a DL model that can successfully classify multiple types of medical images is a challenging task today [37]. Robust and accurate classification of medical images is the first step towards a successful diagnosis that could save many people's lives. However, medical imaging-classification tasks require an effective DL model to achieve high performance. Several issues need to be properly addressed when it comes to effective DL model design and training, such as gradient vanishing, over-fitting, model depth and width, lack of training data (due to the difficulty in collecting data and the need for an expert to label it), and imbalanced data.

The major aim of this paper is to present a robust DL model that addresses these issues and is able to improve the performance of various medical tasks. To validate the performance of the proposed model, three medical imaging classification tasks were used: (1) breast cancer—the automatic classification of hematoxylin and eosin (H&E)-stained breast biopsy images into four classes: invasive carcinoma, in situ carcinoma, benign tumors, and normal tissue; (2) diabetic foot ulcers (DFUs)—the automatic classification of foot skin images into two classes: normal (healthy) skin and abnormal skin DFU classes; and (3) wound types—the automatic classification of six types of wounds, including burns, hemangiomas, foot ulcers, leg ulcers, pressure ulcers, and toe ulcers. We chose these applications based on their characteristic differences. The main differences can be summarized as (1) different input sizes ( $224 \times 224$  and  $512 \times 512$  pixels), (2) binary and multiple classes (two, four, and six) and (3) type of input images (red-green-blue images, histopathology).

The more relevant aspects that motivated us to choose these applications were the following: i) DL has been less employed in these medical imaging tasks; ii) achieving high performance is crucial to accurate diagnosis, but traditional machine learning (ML) methods in these applications have shown low performance; and iii) these tasks are challenging, which will help us to demonstrate the robustness of the proposed model. The rest of this section describes the target tasks, with the breast cancer scenario as the first.

The American Cancer Society recently reported that breast cancer is the most widespread cancer in the world [63]. According to DeSantis, approximately 40,000 breast cancer death cases, more than 60,000 in situ breast cancer cases and about 250,000 new cases of pervasive breast cancer were reported in 2017 [16]. Early diagnosis and treatment are required to enhance patients' quality of life and to reduce morbidity rates. For example, histopathology

is still essential to the diagnostic process because it distinguishes between pervasive and in situ carcinomas and between malignant and benign tissues [12]. Moreover, identification and diagnostic processes for various subtypes of breast cancer usually include tissue biopsy collection from identified masses using ultrasound imaging or mammography, followed by histological analysis. In general, after having been stained with H&E, tissue samples are visually assessed by pathologists via light microscopy. The complex nature of these tissues makes visual assessment of tissue microstructure and organization of the nucleus in the histological images highly subjective and time-consuming. Therefore, reducing the subjectivity of the disease classification process and enhancing diagnostic efficiency requires automated computer-aided diagnostic systems, which also reduces specialists' workloads. Histology is the study of the microscopic structures of organic tissues. Histopathology deals with the histological structure of diseased tissues. In traditional histopathology, a pathologist visually examines tissue slides through a microscope, looking for specific structures and characteristics, such as cells, nuclei, etc. [10].

Early detection and diagnosis play an important role in effective patient care and treatment planning. To distinguish between malignant and benign lesions, breast tissue biopsies are used during cancer screening. Conversely, there are many variations in texture, heterogeneous structure, and appearance, which make the manual evaluation of sizeable histopathological images challenging [40]. This evaluation is laborious, timeconsuming, and frequently dependent on one-sided human understanding. Therefore, evolving computer-aided diagnostic systems are the answer to the accurate classification of H&E-stained histological breast cancer images. Recently, DL outperformed up-to-date techniques in different areas of medical image analysis and ML, such as computer-based diagnosis [43], segmentation [36], detection [27], and classification [2]. In comparison with other types of learners, DL's merit is its capability to achieve better or similar performance compared with humans. Feature extraction plays a crucial stage because the performance of the classifier is completely dependent on the attributes of the extracted high- and low-level features. In the medical field, DL has solved very challenging tasks and achieved high performance [18, 36]. The breast cancer task is the first to test the effectiveness of our model and to prove that it is able to achieve high performance in one of the most complex image types—H&E-stained breast biopsy images.

To validate the performance of the proposed model on images of different types and sizes, a DFU classification task was also considered. DFUs are open sores or wounds that form on the bottom of the foot. Early detection of DFUs can prevent amputation in many patients. To the best of our knowledge, there is a very limited number of DL models that are employed for DFU classification, namely DFUNet [24] and DFU\_QUTNet [5]. Although these models achieve good results, the need to further improve the performance of DFU classification is important to help doctors make clinical decisions. Moreover, we trained and tested it on six different wound types in order to challenge our model. This wound classification task is very challenging due to the complex images. Other reasons to employ a wound-classification task were to test our model's robustness with more than four classes of breast cancer tasks and to show the effect of adopting the same TL domain instead of a different one. The breast cancer, DFU, and wound classification tasks were challenging due to several issues:

- A wide range of heterogeneous and complex image shapes, sizes, and colors.
- Low contrast between target objects and backgrounds.

- The high inter-class likeness between classes.
- The requirement for an automatic and robust DL model to extract excellent features to enable distinction between classes.
- Overlapped cells in the breast cancer task.
- Patients' ethnicities in the DFU and wound tasks.

The following outlines the main contribution of the research conducted in our experimental study:

- A hybrid deep convolutional neural network (DCNN) model has been designed based on a combination of multi-branch parallel convolutional layers and residual links along with global average pooling. We employed the proposed model in three challenging medical-imaging tasks.
- The proposed model was employed to classify H&E-stained breast-biopsy images into four classes. As the experiments revealed, we improved the accuracy performance of breast cancer classification tasks and outperformed previous methods of the state-of-the-art (SoTA). Our model achieved a classification rate of 93.2% when tackling unseen ICIAR 2018 images.
- The proposed model was also employed to classify foot images into two classes. It achieved an F1-score value of 94.80% on the public DFU dataset.
- Transfer learning (TL) from same domain was employed. The knowledge gained by our model's learning from the first DFU dataset was transferred to improve the performance of the second DFU dataset, achieving a F1-score of 97.3%. Additionally, the model's learning from both DFU datasets was transferred to classify six wound types, and this approach achieved an accuracy rate value of 87.94%. To the best of our knowledge, this is the first attempt to classify six types of wounds.
- Testing on several challenging scenarios within the field of medical imaging has empirically demonstrated that our model is effective and robust.
- As described, a concise review of the previous DL architectures and breast cancer classification methods was carried out.
- Lastly, the image- and patch-wise classification models were adopted for this paper, as will be explained in forthcoming sections, when facing breast cancer tasks.

The rest of the paper is organized as follows: Section 2 reviews the related work, Section 3 explains the proposed methodology, Section 4 presents the results, and Section 5 draws conclusions.

# 2 Related work

In this section, we first review DL architectures, followed by the breast cancer classification task. We have not reviewed the DFU classification methods due to the limited number of research (only two articles: [5, 24]). Goyal et al. [24] employed a novel convolutional neural network (CNN) architecture named DFUNet to classify foot images into two classes, namely abnormal (DFU) and normal (healthy skin). In a similar manner, Alzubaidi et al. [5] proposed a novel CNN architecture for binary classification of foot images. Although both methods proposed a novel CNN architecture and achieved good results, the need for a better CNN architecture that produces excellent results is necessary.

#### 2.1 Deep learning

DL is the cornerstone of current progress in the field of ML and is responsible for recent breakthroughs in several medical classification tasks, such as magnetic resonance imaging, heartbeats, and breast cancer. Recently, DL has become a major diagnostic element in several medical image tasks [31, 41] and has been effectively used to solve several challenging biomedical image-analysis tasks, such as breast ultrasound lesions [64], Parkinson's disease [52], melanoma recognition [65], and sickle cell anemia [3].

Traditional ML methods require many steps to finalize the classification task—preprocessing, feature extraction, feature selection, training, and classification. These methods are sensitive to different sizes, complex shapes, and colors, and their effective performance relies on the selected features. However, DL has overcome the limitations of ML methods. It can simultaneously and automatically extract the features and achieve classification [38]. Although DL has greatly contributed to advancements in solving different medical imaging tasks [31, 41], the study and design of a better network model are crucial to improving performance [42].

Several DL architectures have been proposed in the last ten years [1, 32]. AlexNet was considered the first network to significantly improve CNNs [35]. Its major improvements were the introduction of the rectified linear unit (ReLU) activation function, a non-linear function that helps to prevent vanishing gradient issues, and the introduction of the idea of dropout as regularization. Dropout is a technique in which neurons are haphazardly activated to avoid over-fitting issues, thereby forcing data to obtain new pathways and giving the network the ability to generalize in a better way. Additionally, data augmentation was introduced, which means that when fed to the network, images are shown with random translation, rotation, and crop, forcing the network to be more aware of image attributes, rather than of the images themselves. Lastly, it stacked more convolutional layers before pooling layers, which improved classification accuracy.

Later, the VGGNet network was introduced [51]. Its major improvement, and the main difference, was the addition of more layers to improve accuracy. Following this, the GoogleNet network was introduced [55], in which the idea of using parallel convolutional layers with different filter sizes was employed. This idea showed great improvement in terms of feature extraction. The ResNet network developed the idea of residual links, in which every two layers concatenate with a short connection to help avoid gradient vanishing issues [26]. The DenseNet network proposed a more complex structure of entire blocks of layers connected to one another [28]. Several other networks were later designed, with their design ideas deriving from the main models mentioned above [54, 56, 57, 66].

These models trained and tested on a large ImageNet dataset consisting of natural images, such as pens, animals, and humans [49]. They were fine-tuned by transferring the ImageNet dataset learning to solve several computer vision and pattern recognition tasks [39, 45, 61]. The performance of these tasks has significantly improved because their features are similar to those of the ImageNet dataset. For example, applying TL to the ImageNet dataset for face, car, and object detection can enhance the performance of these tasks. However, the ImageNet dataset does not contain medical images; therefore, in medical imaging tasks, a model trained from scratch performs almost as well as standard ImageNet transferred models (such as GoogleNet, ResNet, etc.) [47].

Based on the study of the advantages of previous models, we designed our proposed model with more improvements. It combines the idea of parallel convolutions and residual connections as well as global average pooling and has proved to be very beneficial for gradient propagation because it has multipath propagation. The proposed structure enables the model to have better feature representation since it employs multibranch parallel convolutional layers.

#### 2.2 Breast cancer classification

In this section, we describe some of the SoTA breast cancer classification methods (binary and multi-class), which are classified as either traditional ML or DL methods. The objective of the nucleus morphology analysis is to discriminate between malignant and benign tissues. Based on this objective, numerous researchers use stained microscopy images to extract nuclei-based features in order to discriminate the tissues into two classes: malignant and benign (i.e., binary classification) [20, 21]. The circular Hough transform method was used by Filipczuk et al. [20] as an early detection process to select the nuclei candidates in the first step. The second step was the discrimination process between correct and incorrect nuclei candidates, using a support vector machine (SVM) technique. In [21], George et al. used a watershed technique to refine the nuclei contour and in [34], four clustering algorithms were tested for nuclei segmentation. Belsare et al. [11] utilized the spatial-color-texture segmentation technique for epithelial cells surrounding the lumen segmentation. They then extracted texture features to compare the linear discriminant analysis classifier performance and the SVM and k-Nearest Neighbors classifier performances.

Many researchers regard breast histology image classification as a multi-class problem, rather than as a binary problem (malignant or benign). Zhang [67] classified the images into three classes, namely invasive carcinoma, in situ carcinoma, and normal by using a mixture of two random subspace classifier collections—one is founded on multiple layer perceptrons, the other comprises SVM classifiers. Considering the feature extraction process, Zhang [67] combined the Curvelet transform with local binary pattern texture analysis. However, metastases detection in whole-image slides and histological image classifications are currently challenging tasks. In recent years, several studies have introduced automated approaches to address these challenges. For example, Kothari et al. [33] examined the classification of histological renal tumor images by employing organically decodable shape-based features in a multi-class classification model; the authors identified the tissue structure's distribution in each image. Doyle et al. [17] introduced an automatic system for differentiation between high and low breast cancer grades from H&E-stained histology images. They used the features captured from a considerable number of images as well as spectral clustering to lower the feature-space dimensionality. Subsequently, the lowered feature set was used to train the SVM classifier to distinguish between high and low breast cancer grades and infected and noninfected cancer images. Wang et al. [62] proposed another framework based on the detection of cancer metastases in images of breast sentinel lymph nodes, using DL for classification of the whole slide.

More recently, the popularity of DL models has become widespread. Therefore, the authors take advantage of CNNs to classify breast histology images. The whole-slide images are employed by Cruz-Roa et al. [13] for invasive carcinoma detection based on the DL approach. This approach used a sizeable amount of tissue patches (each  $100 \times$ 

100 pixels in size) for the CNN training. Because the extracted features enclose information about the tissue arrangement as well as the nuclei, the approach performs better than other up-to-date techniques. Spanhol et al. [53] developed a CNN model to categorize histopathological breast cancer images into two classes, malignant or benign. Araujo et al. [53] introduced a CNN model for the classification of H&E-stained breast histology images with an imperfect training-sample number. The classification process categorized the tissue condition into four classes: invasive carcinoma, in situ carcinoma, benign, and healthy. The features extracted via the CNN were employed to train classifiers of the SVM type. The achieved accuracy was 83.3% for carcinoma/noncarcinoma classification and 77.8% for four-class classification. In 2018, some researchers employed DL models to train on ICIAR 2018 breast histology image datasets to differentiate four different classes of histopathological breast cancer, namely normal, benign, in situ, and invasive carcinomas. Some researchers fine-tuned the pre-trained models and trained them on ICIAR 2018 datasets, while others designed their models for the task [6, 9, 19, 25, 30, 46, 50, 58].

Although all the above-mentioned approaches achieved good image-wise accuracies, the need for high-performance breast cancer diagnosis is indispensable. Furthermore, most of the CNNs employed in this research area were divided into shallow or deep networks. Shallow networks are sufficient for some applications; however, the multi-class breast cancer histology image classification task, which involves heterogeneous cells, complex shapes, noises, and colors, demands a network with a more complex graph structure and better feature extraction. Deep networks are those previously trained on nature images from the ImageNet dataset and then fine-tuned for breast cancer classification. However, their domain classifications differ, therefore, using the knowledge learned from the ImageNet nature images does not significantly improve performance. In medical imaging applications, a network trained from scratch could perform at the same level as networks pre-trained on the ImageNet dataset [4, 47].

### 3 Methodology

The next subsections detail the adopted methodology in this contribution and consist of the following:

- Datasets.
- Image-wise classification.
- Patch augmentation of the training set.
- Proposed model.
- Training process.

#### 3.1 Datasets

As previously stated, in this work we employed four different datasets differing in image type, number of classes, and shape. The first consists of H&E-stained breast biopsy images, the second and third refer to foot skin images, and the last corresponds to wound images. Next, we describe each one.

- *BACH 2018:* the ICIAR 2018 Grand Challenge provided the dataset [8]. The uncompressed, high-resolution images (2040 × 1536 pixels) consisted of H&E-stained breast histology microscopy images, labeled as either normal tissue, benign lesion, in situ carcinoma, or invasive carcinoma, as shown in Fig. 1. The labeling stage was achieved by two medical steps, using identical acquisition cases, with an enlargement of 200. A total of 400 images were used (100 samples in each class) with a pixel scale of 0.42-mm–0.42-mm. These images were chosen so that the pathology recognition could be independently distinguished from the visible organization and the tissue structure. The dataset was divided into 300 images for the training set and 100 for the testing set. The original image was divided into 12 non-overlapping patches of 512 × 512 pixels in size. The label of each patch is inherited from the original image.
- DFU dataset (DFU 1): this dataset consisted of 1679 ft-image patches [24]. These patches were classified into two classes, normal and abnormal. The normal class had 641 images, while the abnormal class had 1038 images. The images were different sizes; therefore, to ensure a fair comparison, we resized all images to 224 × 224 pixels, which is similar to the input size of the SoTA approach on the same dataset. Furthermore, this size is halfway between the smallest and largest sizes, thus reducing deformation. Some samples of the dataset are shown in Fig. 2.
- DFU dataset (DFU 2): this dataset consisted of 754 images of patients' feet [5]. These
  images were manually labeled by an expert in the field as either normal or abnormal
  (DFU). The normal and abnormal patches were extracted from the images at a size of
  224 × 224 pixels. The total number of patches was 1609, with 542 normal and 1067
  abnormal (DFU). Previous research was conducted on this dataset by the authors of this
  article; therefore, the dataset was used even though it is a private dataset. A sample of the
  dataset is shown in Fig. 3.
- Wound dataset: this dataset was a combination of Google search images and those of the Medetec dataset [23, 44]. Originally, thirteen types of images were collected for the wound-classification task. We then chose six classes out of the thirteen due to an imbalanced number of images. There were 71 burn images, 73 hemangioma images, 106 ft-ulcer images, 207 leg-ulcer images, 220 pressure-ulcer images, and 106 toe-ulcer images. To avoid this imbalance, we collected more images from a Google search for the burn, hemangioma, foot-ulcer, and toe-ulcer classes and also rotated some images from these classes at a 90-degree angle. As a result, they had approximately the same number of images as the leg- and pressure-ulcer classes. All images were resized to 224 × 224 pixels. Padding was used to avoid stretching or squashing the images. Lastly, all images were double-checked by an expert in the field to confirm the labels. Some samples of this dataset are shown in Fig. 4.



Fig. 1 Samples of BACH 2018 dataset classes



Fig. 2 Samples of DFU 1 dataset classes. The first row shows the abnormal class; the second row shows the normal class

#### 3.2 Image-wise classification of breast cancer task

In this work, we considered the image classification process to be more suitably implemented in two stages. In the first stage, a patch-wise classifier was used to classify various patches, and in the second, the final image-wise classification was obtained by combining the classification results of image patches. Classification of breast cancer histology images into one of the four classes was based on the feature extraction correlated to the whole tissue organization as well as the extraction of the nuclei-correlated features. The information of the whole tissue structure was required for discrimination between invasive and in situ carcinomas. In contrast, the nuclei features—which involved information on a single nucleus-like shape or color in addition to the features of the nucleus organization, such as variability or density—helped discriminate



Fig. 3 Samples of DFU 2 dataset classes



Fig. 4 Samples of wound dataset classes. The first row shows the burn class, the second row shows the hemangioma class, the third row shows the foot-ulcer class, the fourth row shows the leg-ulcer class, the fifth row shows the pressure-ulcer class, and the sixth row shows the toe-ulcer class

between non-carcinoma and carcinoma. Therefore, the classification process relied on features varying in size from smaller than a nucleus to multiple nuclei wide.

The nuclei radius sizes ranged from approximately 1.26 to 4.62 m (3–11 pixels). Following the first observation, we assumed that the patch size of  $224 \times 224$  pixels should be sufficient to cover the structures of the relevant tissue. Nevertheless, the whole images in the dataset were assigned labels of  $2040 \times 1536$  pixels each, meaning that there was no guarantee that the small regions would contain related diagnostic information. This encouraged the use of larger image patches  $(512 \times 512 \text{ pixels in size})$  to guarantee that an additional dependable label was maintained in the whole-image patches [7]. The classification process of one image is described in the following steps. Initially, the original image was partitioned into 12 non-overlapping patches of  $512 \times 512$  pixels in size. The model was trained with these patches and the probabilities of patch classes were then calculated by the proposed trained model classifier. The second step included ensuring the accuracy of image-wise classification by using one of the following techniques related to patch probability fusion: i) sum of probabilities—the probabilities of each class patch are summed, then the class with the highest value is set for the test image; ii) maximum probability-the image label is decided by the patch with the highest class probability, and iii) majority voting—the most common patch label is selected to be the image label.

#### 3.3 Patch augmentation of the training set

DL models are heavily determined by the training data volume. Higher complexity models demand more training images to perform well and prevent the problem of over-fitting. Lack of training data is the main problem in the medical field. In the case of breast histology images, the images had extremely large sizes, about  $2040 \times 1536$  pixels each. To overcome the problems of lack of data and large image sizes, each image was partitioned into patches and augmented in a dataset using a set of robust transformations, which enriched and boosted the total number of images in the training set. Data augmentation techniques help to overcome the over-fitting issue. Then, we enhanced our proposal by applying different image processing techniques: i) rotation by angles of 45, 90, 135, 180, 225, 270, and 315 degrees, as illustrated in Fig. 5; ii) flipping in two directions—horizontal and vertical; iii) contrast and brightness of 51 and 81 degrees; iv) two-way zooming of the images—in and out; and v) color space by isolating a single color channel such as R, G, or B. In the breast cancer task, each training image was divided into 12 patches of  $512 \times 512$  pixels, and each patch was duplicated 18 times using augmentation techniques. In the DFU task, we applied the same augmentation techniques and each patch was duplicated 18 times.

#### 3.4 The proposed model

To enhance the extraction of significant features for breast cancer classification and DFU tasks, we present the hybrid DCNN architecture. It combines the key aspects of the CNN structure, which include parallel convolutional layers and residual connections. The two main motivators for us to use this type of network were i) that adding more layers to a model to improve the performance is good up to a certain point, but this could lead to a drop in performance due to gradient diminishing issues, so we focused on increasing the width of the model, and ii) a shallow network with a simple structure of layers is suitable



Fig. 5 Augmentation process with rotation

for some tasks. However, the breast cancer histology images and DFU classification tasks demand a network with a more complex graph architecture. The major aim of designing the proposed model was to provide the field with a robust model that is able to improve the performance of several SoTA methods when dealing with various medical tasks by means of addressing past issues and pitfalls related to crucial elements of DL, e.g., gradient vanishing, over-fitting, and feature extraction.

Specifically, gradient vanishing makes the network difficult to train because of the use of certain types of activation functions, such as sigmoid. These activation functions reduce the input size from large to small. Hence, big differences in the input will lead to small differences in the output. To address this problem, we employed the ReLU function, which does not reduce the input size. Residual connections and batch normalization layers were also employed in our

model architecture to avoid problems. Residual links help to expedite the convergence of the network, helping to prevent gradient diminishing issues. Lastly, faster hardware was another solution, and we trained with GPU. Moreover, over-fitting occurs when the model learns a certain pattern from the training images and not from new data. We solved this problem by using image augmentation techniques. We also employed dropout and global average pooling layers (both explained later) to prevent this problem. Finally, parallel convolutional layers were applied for the extraction of good features to discriminate between classes. This included using four convolutional layers with four different filter sizes of  $1 \times 1$ ,  $3 \times 3$ ,  $5 \times 5$ , and  $7 \times 7$ . Each filter extracts different features, and by using different sizes, both small and large objects in the image can be detected. The proposed architecture is outlined as follows:

- · Generate several base networks, including shared parameters.
- Optimize the information flow.
- Enhance the training process of the deep network.
- Allow the model to have an improved representation of features.
- Improve the success rate of gradient propagation.

Our model's architecture consists of a list of layers, as follows:

- Input layer: this layer has three channels of 512 × 512 pixels. All input images were partitioned to 512 × 512 pixels then fed to our model for the patch-wise classification.
- Convolutional layer (C): the main function of this layer is to extract the features by convolving the output of the prior layer with a group of learnable filters [59]. Three main parameters determine the output: depth (number of filters), zero-padding (adding zeros around the borders), and stride (number of pixels that the filter jumps). We employed 29 convolutional layers with different filter sizes  $(1 \times 1, 3 \times 3, 5 \times 5, and 7 \times 7)$ .
- Batch normalization layer (B): this layer was added after each convolutional layer. It normalizes every input channel via a mini-batch. It is used to expedite the training process of CNN models and diminish the sensitivity to network initialization [29]. It helps to avoid gradient vanishing problems.
- Rectified linear unit (R): this function was added after each batch normalization layer. It filters data by using max (0, x) where x is the input to the neuron [15].
- Global average pooling layer (G): this layer is employed to reduce the spatial dimensions from a three-dimensional tensor to a one-dimensional tensor. Average and maximum pooling layers use a sliding window (such as 2 × 2 or 3 × 3) to reduce the size. However, the global average pooling layer performs a more extreme kind of dimensionality reduction by turning the whole size into one dimension [14], as illustrated in Fig. 6. This layer is more robust to spatial translations and helps to avoid over-fitting.
- Fully connected layer (F): fully connected means that all the neurons of the first layer link to all the neurons of the second layer. The fully connected layer integrates the features to classify the breast cancer patches into four classes. Three fully connected layers were employed, and between them, dropout layers were inserted to avoid the problem of over-fitting.

Finally, on top of the fully connected layers, the softmax function was applied for classification in the softmax layer. This function was employed to map the non-normalized output of the model to a probability distribution of the predicted output classes. It is more robust to multi-



Fig. 6 Example of the average, maximum, and global average pooling layers

class tasks than other activation functions. The total number of units in the softmax layer was equivalent to the number of classes that we aimed to classify. Thus, since we had four breast cancer classes, the softmax layer had four units. Figure 7 and Table 1 show the structure of the proposed model.

### 3.5 Training process

The proposed model was trained and tested with four datasets. The training scenarios for each dataset are described next. The general training and testing pipeline are described in Fig. 8. For all datasets, the training process was achieved using stochastic gradient descent with momentum set to 0.9. The mini-batch size was 64 and MaxEpochs was 100, with a learning rate initially set to 0.001. We implemented our experiments with Matlab 2019 software and an Intel (R) processor Core TM i7-5829K CPU @ 3.30 GHz, 32 GB RAM, and 8 GB GPU.

# 3.5.1 Breast Cancer training

The proposed model was first trained on the BACH 2018 Grand Challenge dataset with two different training scenarios implemented: scenario#1, using original images, and scenario#2, using original plus augmented images (see Section 3.3).

To verify and examine what the proposed model had learned, we fed it some images. The learnable kernels of the first six convolutional layers (C1, C2, C4, C5, C6) are shown in Figs. 9, 10, 11, 12, and 13, respectively.

# 3.5.2 DFU 1 training

Next, we trained on the DFU 1 dataset. To prove that our model is robust, effective, and generic, we fine-tuned it to classify two classes of foot patches—normal and abnormal (DFU). The process for this task is explained as follows:

• All images were resized to 224 × 224 × 3 pixels and we divided the data into 80% for training and 20% for testing.



Fig. 7 Proposed model architecture



Convolutional Layer

Batch Normalization

Concatenation Layer

Dropout Layer

Global Average Pooling Fully Connected Layer

Rectified Linear Unit Layer

| Layer Number         | Filter Size (FS) and Stride (S)       | Activations                 |  |
|----------------------|---------------------------------------|-----------------------------|--|
| Input layer          | _                                     | 512×512×3                   |  |
| C1, B1, R1           | $FS=3\times 3$ , $S=1$                | 512×512×16                  |  |
| C2, B2, R2           | $FS=5\times5, S=2$                    | 256×256×16                  |  |
| C3, B3, R3           | $FS=1 \times 1, S=1$                  | 256×256×16                  |  |
| C4, B4, R4           | $FS=3 \times 3, S=1$                  | 256×256×16                  |  |
| C5, B5, R5           | $FS=5\times5, S=1$                    | 256×256×16                  |  |
| C6, B6, R6           | $FS=7 \times 7, S=1$                  | 256×256×16                  |  |
| CN1                  | Five inputs                           | 256×256×80                  |  |
| B1x                  | Batch normalization layer             | 256×256×80                  |  |
| C7, B7, R7           | $FS=1 \times 1, S=2$                  | $128 \times 128 \times 32$  |  |
| C8, B8, R8           | $FS=3\times 3, S=2$                   | $128 \times 128 \times 32$  |  |
| C9, B9, R9           | $FS=5 \times 5, S=2$                  | $128 \times 128 \times 32$  |  |
| C10, B10, R10        | $FS=7 \times 7, S=2$                  | $128 \times 128 \times 32$  |  |
| CN2                  | Four inputs                           | $128 \times 128 \times 128$ |  |
| B2x                  | Batch normalization layer             | $128 \times 128 \times 128$ |  |
| C11, B11, R11        | FS=1×1, S=1                           | $128 \times 128 \times 32$  |  |
| C12, B12, R12        | $FS=3 \times 3, S=1$                  | $128 \times 128 \times 32$  |  |
| C13, B13, R13        | $FS=5 \times 5, S=1$                  | $128 \times 128 \times 32$  |  |
| C14, B14, R14        | $FS=7 \times 7, S=1$                  | $128 \times 128 \times 32$  |  |
| CN3                  | Five inputs                           | $128 \times 128 \times 256$ |  |
| B3x                  | Batch normalization layer             | $128 \times 128 \times 256$ |  |
| C15, B15, R15        | $FS=1 \times 1, S=2$                  | $64 \times 64 \times 64$    |  |
| C16, B16, R16        | $FS=3\times 3$ , $S=2$                | $64 \times 64 \times 64$    |  |
| C17, B17, R17        | $FS=5 \times 5, S=2$                  | $64 \times 64 \times 64$    |  |
| C18, B18, R18        | $FS=7 \times 7, S=2$                  | $64 \times 64 \times 64$    |  |
| CN4                  | Five inputs                           | $64 \times 64 \times 272$   |  |
| B4x                  | Batch normalization layer             | $64 \times 64 \times 272$   |  |
| C19, B19, R19        | $FS=1 \times 1, S=1$                  | $64 \times 64 \times 128$   |  |
| C20, B20, R20        | $FS=3 \times 3, S=1$                  | $64 \times 64 \times 128$   |  |
| C21, B21, R21        | $FS=5\times 5, S=1$                   | $64 \times 64 \times 128$   |  |
| C22, B22, R22        | $FS=7 \times 7, S=1$                  | $64 \times 64 \times 128$   |  |
| CN5                  | Six inputs                            | $64 \times 64 \times 800$   |  |
| B5x                  | Batch normalization layer             | $64 \times 64 \times 800$   |  |
| C23, B23, R23        | $FS=1 \times 1, S=2$                  | $32 \times 32 \times 256$   |  |
| C24, B24, R24        | $FS=3\times 3$ , $S=2$                | $32 \times 32 \times 256$   |  |
| C25, B25, R25        | $FS=5 \times 5, S=2$                  | $32 \times 32 \times 256$   |  |
| C26, B26, R26        | $FS=7 \times 7, S=2$                  | $32 \times 32 \times 256$   |  |
| C27, B27, R27        | $FS=7 \times 7, S=8$                  | $32 \times 32 \times 16$    |  |
| C28, B28, R28        | $FS=5\times5, S=4$                    | $64 \times 64 \times 16$    |  |
| C29, B29, R29        | $FS=3\times 3$ , $S=2$                | $64 \times 64 \times 16$    |  |
| CN6                  | Five inputs                           | $32 \times 32 \times 1024$  |  |
| B6x                  | Batch normalization layer             | $32 \times 32 \times 1024$  |  |
| G1                   | Size= $32 \times 32$ , S=1            | $1 \times 1 \times 1024$    |  |
| F1                   | 100 FC (Fully Connected)              | $1 \times 1 \times 100$     |  |
| D1                   | Dropout layer with learning rate: 0.5 | $1 \times 1 \times 100$     |  |
| F2                   | 60 FC                                 | $1 \times 1 \times 60$      |  |
| D2                   | Dropout layer with learning rate: 0.5 | $1 \times 1 \times 60$      |  |
| F3                   | 4 FC                                  | $1 \times 1 \times 4$       |  |
| O (softmax function) | Invasive, in situ, benign, normal     | $1 \times 1 \times 4$       |  |

 Table 1
 Our model architecture

C = Convolutional layer, B = Batch normalization layer, R = Rectified linear unit layer, CN = Concatenation layer, G = Global average pooling layer, D = Dropout layer, and F = Fully connected layer.

• All training images were augmented by the various techniques mentioned in Section 3.3. (patch augmentation of the training set).



Fig. 8 Illustration of our training and testing pipeline

 The proposed model was fine-tuned by changing the input size and number of classes. We trained the model on original plus augmented images.

Figure 14 shows some learnable filters from our model's first convolutional layer.

#### 3.5.3 DFU 2 training

Here, the training was conducted on the DFU 2 dataset. It was trained and tested on the DFU 2 dataset in three different scenarios: scenario#1 used all the images of the DFU 2 dataset for testing the proposed model trained on the DFU 1 dataset (trained on scenario#2); in scenario#2 our model was trained from scratch on the DFU 2 dataset plus augmented images. We divided the DFU 2 dataset into 80% for training and 20% for testing; and in scenario#3 we fine-tuning



Fig. 9 Sample of a learnable kernel from the first convolutional layer (the color image is the original, and the gray-scale image is the filter)

the proposed model that trained on the DFU 1 dataset then re-trained the model with the DFU 2 dataset. In this third case, we divided the DFU 2 dataset into 80% with augmented images for training purposes and the remaining 20% for testing.

### 3.5.4 Wound training

Finally, we trained and tested the wound dataset in the following two scenarios: in scenario#1 we trained our model from scratch on the wound dataset and divided the wound dataset into 80% for training and 20% for testing; in scenario#2, we first transferred the learning from the proposed model trained on the DFU 1 and DFU 2 datasets (the output-trained network from training on the DFU 2 dataset section in scenario#3 in 3.5.3 above) the model was fine-tuned for the task of wound classification. In this second scenario, the dataset was divided into 80% for training and the remaining 20% for testing.

TL is about leveraging the knowledge gained by training a DL model for a particular task and applying that knowledge to a different task. It simply works by training a base model on a base dataset and task, and then reusing the learned features, or transferring them, to a second target



**Fig. 10** Sample of a learnable kernel from the second convolutional layer (C2) (the color image is the original and the gray-scale image is the filter)



Fig. 11 Samples of learnable kernels from the fourth convolutional layer (C4)

model to be trained on a target dataset and task. Currently, one of the biggest limitations of TL is the problem of negative transfer. When the base dataset is different from the target dataset, this is called negative transfer. Having a few images for TL in the same domain as the target dataset is better than having a large number of images in a different domain [4, 47]. In this work, the large dataset was the DFU data with augmented images, which was in the same domain as the target dataset, the wound dataset. Therefore, this type of TL significantly enhanced the wound classification performance, unlike using TL from natural images. The fine-tuning process is described in Fig. 15. The last fully connected layers were changed; Fig. 16 shows some learnable filters from the first convolutional layer of our model trained on wound classification.



Fig. 12 Samples of learnable kernels from the fifth convolutional layer (C5)



Fig. 13 Samples of learnable kernels from the sixth convolutional layer (C6)

# 4 Experimental results

This section describes the results obtained dealing with the breast cancer classification task and those of the DFU dataset.

# 4.1 Results of the breast cancer classification task

The proposed model's accuracy was assessed. This evaluation process was implemented in two phases, patch-wise and image-wise, both for our model and for pre-trained models on unseen images.

• *Patch-wise classification results*: we calculated the accuracy as illustrated in Eq. 1, where TP refers to true positives, TN refers to true negatives, FP refers to false positives, and FN refers to false negatives.



Fig. 14 Samples of learnable kernels from the first convolutional layer trained on the DFU 1 dataset

$$Accuracy = (TP + TN)/(TP + TN + FP + FN)$$
(1)

Patch-wise accuracies of the BACH 2018 dataset from our model and previous working methods are listed in Table 2. Our model, trained with the BACH 2018 dataset in scenario#1, achieved an accuracy of 84.7%. In scenario#2, the classification rate increased to 89.8% and outperformed previous SoTA methods.

Image-wise classification results: we used the three previously described evaluation techniques—the sum of probabilities, maximum probability, and majority voting—to measure the image-wise accuracy. The majority voting showed the highest results compared to other techniques; therefore, we considered these, as reported in Table 3. In the same scenarios as those used to evaluate the patch-wise results, data augmentation techniques helped improve the model's accuracy from 85.9% when trained on original images (scenario#1) to 93.2% when trained on original plus augmented images (scenario#2).

Our model surpassed the considered SoTA methods that used the majority voting technique on the BACH 2018 dataset. Most of these methods (e.g. GoogleNet and ResNet-101) dealing with small BACH 2018 datasets, are summarized in Table 3. These models are very deep, requiring more training images to perform well. Another issue is that these models were previously trained on natural images from the ImageNet dataset then fine-tuned for medical tasks, which are from a different domain.



Fig. 15 Transfer learning pipeline

In medical imaging tasks, training a model from scratch is as good as using pre-trained models [4, 47].

### 4.2 Results of the DFU classification task (DFU 1 dataset)

We tested our model with the following evaluation metrics: recall (Eq. 2), precision (Eq. 3), and F1 score (Eq. 4). The results are reported in Table 4.

$$Recall = TP/(TP + FN)$$
(2)

| <b>B</b> | S.          | S.       | B        | B          | <sup>®</sup> | Ser.     | Ċ |
|----------|-------------|----------|----------|------------|--------------|----------|---|
| <b>P</b> | <b>R</b> io | <b>S</b> | <b>B</b> | Se         | Sie.         | <b>P</b> | Ş |
| C)       | 0           |          |          |            |              |          | 0 |
| 0        |             | Ø        | 0        | 0          |              |          | Ø |
|          |             |          | -        |            |              |          |   |
|          |             |          |          |            | -            | 0        |   |
| -        | 1           | R.       | 18       | Ø          | Ø            | R.       | Ø |
| I.       | 1           | N.       | S.       | a constant | Ø.           | 1        | Ŋ |

Fig. 16 Samples of learnable kernels from the first convolutional layer trained on the wound dataset

$$Precision = TP/(TP + FP)$$
(3)

$$F1_{score} = 2 \times ((Precision \times Recall)/(Precision + Recall)$$
 (4)

The total number of test images was 345. We obtained values for TP, FP, FN, and TN of 210, 11, 12, and 112, respectively. As reported in Table 4, our model achieved a higher precision, recall, and F1 score than DFUNet [24] by obtaining 95.1%, 94.5%, and 94.8%, respectively. However, DFUNet [24] achieved a precision of 94.5%, a recall of 93.4%, and an F1 score of 93.9%. To the best of our knowledge, DFUNet [24] is the SoTA network on the DFU 1 dataset. However, our model achieved results that were higher than DFUNet's results.

| Method                 | Patch-wise accuracy (%) |  |  |
|------------------------|-------------------------|--|--|
| Golatkar et al. [22]   | 79                      |  |  |
| Roy et al. [48]        | 77.4                    |  |  |
| Wang et al. [60]       | 87                      |  |  |
| Our model (scenario#1) | 84.7                    |  |  |
| Our model (scenario#2) | 89.8                    |  |  |

Table 2 Patch-wise accuracies of the BACH 2018 dataset from our model and previous working methods

| Methods                | Majority voting accuracy (%) |  |  |
|------------------------|------------------------------|--|--|
| Nawaz et al. [46]      | 81.25                        |  |  |
| Awan et al. [9]        | 83.33                        |  |  |
| Guo et al. [25]        | 87.50                        |  |  |
| Vang et al. [58]       | 87.50                        |  |  |
| Sarker et al. [50]     | 89.00                        |  |  |
| Alzubaidi et al. [6]   | 89.40                        |  |  |
| Ferreira et al. [19]   | 90.00                        |  |  |
| Kassani et al. [30]    | 92.50                        |  |  |
| Our model (scenario#1) | 85.90                        |  |  |
| Our model (scenario#2) | 93.20                        |  |  |

 Table 3 Comparative image-wise accuracy results on the BACH 2018 dataset from our model and the latest working methods

Table 4 Our model's evaluation results from the DFU 1 dataset

| Network     | Precision (%) | Recall (%)   | F1 score (%) |
|-------------|---------------|--------------|--------------|
| DFUNet [24] | 94.50         | 93.40        | 93.90        |
| Our model   | <b>95.10</b>  | <b>94.50</b> | <b>94.80</b> |

Furthermore, our model classified very challenging foot images, including wrinkled skin. Lastly, we have measured the testing time for the proposed model in this task. It needed 57 s to produce the results on test set images.

### 4.3 Results of the DFU classification task (DFU 2 dataset)

By transferring our model's learning from the DFU 1 dataset and training it on the DFU 2 dataset, in scenario#3 our model achieved the highest precision, recall, and F1 score compared with other scenarios, obtaining 98.2%, 96.5%, and 97.3%, respectively. These results were calculated based on the values of TP, FP, FN, TN, which were 223, 4, 8, and 92, respectively. The total number of test images was 327. Additionally, the precision, recall, and F1 score for scenario#2 were 95.4%, 94.2%, and 94.7%, respectively. Lastly, although all images from the DFU 2 dataset were used for testing in scenario#1, the results were still competitive: precision of 88.2%, recall of 85.4%, and F1 score of 86.7%. The results of all scenarios are listed in Table 5. In scenario#3 our model outperformed the SoTA methods on the DFU 2 dataset [5], as reported in Table 6. Lastly, we have measured the testing time for the proposed model in this task. It needed 49 s to produce the results.

| Network                | Precision (%) | Recall (%) | F1 score (%) |
|------------------------|---------------|------------|--------------|
| Our model (scenario#1) | 88.2          | 85.4       | 86.7         |
| Our model (scenario#2) | 95.4          | 94.2       | 94.7         |
| Our model (scenario#3) | 98.2          | 96.5       | 97.3         |

 Table 5
 Evaluation results of our model on the DFU 2 dataset

| Network                | Precision (%) | Recall (%)  | F1 score (%) |
|------------------------|---------------|-------------|--------------|
| DFU_QUTNet [5]         | 94.2          | 92.6        | 93.4         |
| DFU_QUTNet + KNN [5]   | 93.8          | 92.7        | 93.2         |
| DFU_QUTNet + SVM [5]   | 95.4          | 93.6        | 94.5         |
| Our model (scenario#3) | <b>98.2</b>   | <b>96.5</b> | <b>97.3</b>  |

Table 6 Comparison of our results with the SoTA results on the DUF 2 dataset

Our model's results from the DFU 2 dataset prove that it is robust in the feature extraction and classification stages. It can handle various images for different medical imaging classification tasks and the advantage of adjusting to work on different tasks. Therefore, we employed it in the wound classification task to test its robustness.

#### 4.4 Results of the wound classification task

There is a significant improvement in the results of scenario#1 and scenario#2 due to the TL technique, as reported in Table 7. Our model trained with scenario#1 achieved a precision of 77.4%, a recall of 73.9%, F1 score of 75.6%, and an accuracy of 76.92%. By transferring our model's learning from the DFU 1 and DFU 2 datasets, our model trained with scenario#2 obtained a precision of 88.1%, a recall of 84.8%, an F1 score of 86.4%, and an accuracy of 87.94%.

Lastly, we have measured the testing time for the proposed model in this task. It needed 76 s to produce the results. The wound classification task was very challenging due to noisy, complex, and different color images; however, the proposed model overcame these challenges and proved that it is robust in different tasks. The achieved results in all tasks are used by doctors as a "second opinion" to aid definitive decision-making.

### 5 Conclusions

We proposed a hybrid deep CNN model as a tool for the automatic and robust classification of complex images within the field of medical imaging. The addressed classification tasks are challenging due to the different sizes, complex shapes, various colors, and variety of image types. The proposed model for classification combined several ideas, including parallel convolutional layers, residual connection, and global average pooling layer. The architecture of the proposed model has been proven to address three well-known pitfalls of DL, including gradient vanishing, over-fitting, and providing an improved representation of features. We first trained our model on the original images of the BACH 2018 Grand Challenge dataset (ICIAR 2018) to classify H&E-stained breast biopsy images into four classes: invasive carcinoma, in situ carcinoma, benign tumor, and normal tissue. To handle the small number of BACH 2018

| Network                | Precision (%) | Recall (%)  | F1 score (%) | Accuracy (%) |
|------------------------|---------------|-------------|--------------|--------------|
| Our model (scenario#1) | 77.4          | 73.9        | 75.6         | 76.92        |
| Our model (scenario#2) | <b>88.1</b>   | <b>84.8</b> | <b>86.4</b>  | <b>87.94</b> |

Table 7 Evaluation results of our model on the wound dataset

images for training, in this study we applied several data augmentation techniques to the original images. These enhancements improved the performance of our method and achieved a classification rate of 93.2% and 89.8% for image-wise and patch-wise classification, respectively.

Specifically, the proposed method outperformed SoTA methods when dealing with the BACH 2018 dataset. Moreover, our method was trained and tested on two different DFU datasets in order to classify two classes of foot skin—normal and abnormal. The experimental results reported it achieved outstanding results when compared to SoTA networks tackling the DFU classification task. Additionally, our proposal achieved an F1 score of 94.8% on the first addressed DFU dataset and a value of 97.3% on the second addressed DFU dataset. To further test its effectiveness, the proposed model was used to classify six classes of wounds, and it achieved an outstanding performance of 87.94%. Moreover, we believe the methods proposed in this work can be used as a pre-trained model to classify images in the same domain. We plan to adopt the proposed model for other medical imaging tasks as future work.

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#### Declarations

Ethical approval This article does not contain any studies with human participants or animals performed by any of the authors.

Conflict of interest The authors declare that they have no conflict of interest.

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