# An adaptive fuzzy K-nearest neighbor approach for MR brain tumor image classification using parameter free bat optimization algorithm



Taranjit Kaur<sup>1</sup>  $\bigcirc$  · Barjinder Singh Saini<sup>1</sup> · Savita Gupta<sup>2</sup>

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### **Abstract**

This paper presents an automatic diagnosis system for the tumor grade classification through magnetic resonance imaging (MRI). The diagnosis system involves a region of interest (ROI) delineation using intensity and edge magnitude based multilevel thresholding algorithm. Then the intensity and the texture attributes are extracted from the segregated ROI. Subsequently, a combined approach known as Fisher+ Parameter-Free BAT (PFreeBAT) optimization is employed to derive the optimal feature subset. Finally, a novel learning approach dubbed as PFree BAT enhanced fuzzy K-nearest neighbor (FKNN) is proposed by combining FKNN with PFree BAT for the classification of MR images into two categories: High and Low-Grade. In PFree BAT enhanced FKNN, the model parameters, i.e., neighborhood size  $k$  and the fuzzy strength parameter *m* are adaptively specified by the PFree BAT optimization approach. Integrating PFree BAT with FKNN enhances the classification capability of the FKNN. The diagnostic system is rigorously evaluated on four MR images datasets including images from BRATS 2012 database and the Harvard repository using classification performance metrics. The empirical results illustrate that the diagnostic system reached to ceiling level of accuracy on the test MR image dataset via 5-fold cross-validation mechanism. Additionally, the proposed PFree BAT enhanced FKNN is evaluated on the Parkinson dataset (PD) from the UCI repository having the pre-extracted feature space. The proposed PFree BAT enhanced FKNN reached to an average accuracy of 98% and 97.45%. with and without feature selection on PD dataset. Moreover, solely to contrast, the performance of the proposed PFree BAT enhanced FKNN with the existing FKNN variants the experimentations were also done on six other standard datasets from KEEL repository. The results indicate that the proposed learning strategy achieves the best value of accuracy in contrast to the existing FKNN variants.

Keywords Fuzzy K-nearest neighbor. PFree BAT optimization . Diagnosis system . Model parameters

Extended author information available on the last page of the article

 $\boxtimes$  Taraniit Kaur [taran.rehal@yahoo.com;](mailto:taran.rehal@yahoo.com) [tanurehal06@gmail.com](mailto:tanurehal06@gmail.com)

### 1 Introduction

Brain image classification has been an active area of research for the past few years. For categorization of the abnormal brain images into low or high-grade (LG/HG), malignant/ benign, etc., many feature extraction approaches have been devised in the last few years [\[56](#page-34-0)], and among them, the second order statistics like co-occurrence matrices and fractal attributes are most prevalent [\[19](#page-33-0), [20,](#page-33-0) [28,](#page-33-0) [32](#page-33-0), [55,](#page-34-0) [80](#page-35-0), [83](#page-35-0)].

Zhang et al. [[91](#page-35-0)] designed a mechanism for classification of the magnetic resonance (MR) brain images into normal and abnormal categories. The mechanism comprised of the stages of the feature extraction using 2D- discrete wavelet transform (DWT), followed by dimensionality reduction using principal component analysis (PCA), and classification using particle swarm optimized (PSO) Kernel support vector machine (KSVM). The parameters C and σ of the KSVM classifier were fined tuned using the PSO algorithm. Experimenting on 90 MR images from the Harvard Repository, the obtained sensitivity  $(S_e)$ , Specificity  $(S_p)$ , and Accuracy (Acc) were 98.12%, 92%, and 97.78% using 1024 DWT coefficients and following a 5-fold cross-validation (CV) partitioning scheme. The attained measures were better than those obtained using Back Propagation-Neural Network (BP-NN) and Radial Basis Function (RBF)-Neural Network (RBF-NN) for which the Acc values were 86.22% and 91.33%. The limitation of the work was that it required a huge feature space.

Sachdeva et al. [[68\]](#page-35-0) developed a computer-aided diagnosis (CAD) system for the automatic segmentation and the classification of the brain tumors. The system was tested on two datasets. First comprised of 428 post-contrast T1-weighted images collected from 55 patients. The second consist of 260 MR images taken from publically available Surgical Planning Laboratory (SPL) database. The tumor regions were marked using content-based active contour (CBAC) model. From the segmented regions of interest (SROIs) intensity and texture feature set were extracted. Genetic Algorithm (GA) was used to select the optimal features. For classification, two different machine learning algorithms were used namely SVM and artificial neural network (ANN). The test results on both datasets indicated that the use of GA for the feature selection has significantly increased the classification accuracy. It has surged from 79.3% to 91.7% using SVM and using ANN it has increased to 94.9% from 74.6% for the first dataset. Similar results are reported for the second dataset where an increase from 80.8% to 89% was noticed for SVM and for the ANN the increase was from 77.5% to 94.1%. These measures were attained using a feature count of 71 and following a leave one out method (LOOM) for partitioning.

Yang et al. [[88\]](#page-35-0) developed a novel wavelet-energy(WE) based approach for automated classification of MR brain images as normal or abnormal using the KSVM classifier. Biogeography-based optimization (BBO) was used to optimize the weights of the SVM, i.e., regularization parameter C, scaling factor σ. The validation was done on 90 Images (5 Healthy (H), 85 Abnormal (Ab)) from the Harvard repository[\(http://www.med.harvard.edu/aanlib/\)](http://www.med.harvard.edu/aanlib/). The attained Se, Sp, and Acc were 98.12%, 92.00%, and 97.78% using a total of 10 features (wavelet energies from the 10 sub-bands decomposed using Haar wavelet) and following  $5 \times 5$  fold CV partitioning scheme. The attained metrics were better than that obtained using WE

and KSVM without optimization for which the Se, Sp, and Acc were  $93.18\%$ , 68.00%, and 91.78%. The performance was sensitive to the choice of the mother wavelet and the number of decomposition levels. Better value of the accuracy measure can be expected by employing efficient feature descriptors, such as scale-invariant features and using some advanced pattern recognition techniques.

Skogen et al. [[72](#page-35-0)] designed a mechanism for distinguishing HG from LG glioma based on the exploration of MR image texture information. The mechanism encompasses demarcation of the tumorous region manually tailed by the utilization of the filtration histogram method. This method subjects the segmented region to a filtering process by applying a Laplacian of Gaussian band-pass filter that is then employed to excerpt the attributes at the different size of the spatial scale filter. Evaluation on 67 HG and 27 LG glioma subjects indicated that standard deviation at the fine texture scale was the best distinguishing feature resulting in a Se of 81% and a Sp of 93%.

Lu et al. [[52\]](#page-34-0) proposed a novel approach for MR image classification that employed 2D-DWT and calculated the entropies of the sub-bands that served as features. Thereafter, a BAT algorithm optimized ELM (BA-ELM) was trained to classify pathological brains from healthy controls. BAT algorithm was employed to fine-tune the weights/biases and the parameters of the hidden layer. The experimentation was done on 132 MR brain images Harvard Medical School Repository that comprised of 18 H and 114 Ab MR Images. Using a total of 7 features and following a  $10 \times 10$  fold CV, the obtained Se, Sp, and Acc were 99.04%, 93.89%, and 98.33%. The results were better than that obtained by other classifiers like SVM, Naive Bayes, and RBFNN for which the Acc values were 95%, 92.60%, and 91.33%. The drawback of the approach was that it required empirical tuning of the number of the hidden neurons and the type of wavelet used for the decomposition.

Lahmiri [[38\]](#page-34-0) compared three automatic diagnosis schemes for the categorization of the normal subjects from glioma patients. The MR images used for categorization were obtained from the Harvard Medical School Repository. The images comprised of 50 T2-w MR scans in axial orientation out of which 20 were abnormal brains affected with glioma and 30 were normal brains. The diagnosis scheme involved four stages: Firstly, the conventional PSO, Darwinian PSO (DPSO), or fractional order DPSO (FODPSO) were used for the segmentation of the MR image. Secondly, for the segmented regions directional spectral distribution (DSD) was calculated. Thirdly, multifractals of the DSD (also called as multi-scale analysis (MSA)) were computed that constituted the feature vector. Lastly, the categorization was done using SVM with LOOM partitioning scheme. The FODPSO+DSD + MSA + SVM scheme provided the finest results using 6 features. This scheme resulted in an Acc value of 99.18% with *Se* and *Sp* of 100% and 97.95% respectively.

Bahadure et al. [\[4](#page-32-0)] devised CAD technology for the automatic segmentation and classification of tumorous MR images. The CAD consists of stages of preprocessing that involved contrast enhancement and skull stripping, segmentation, feature extraction, feature selection, and classification. For segmentation, different techniques were adopted that involved watershed segmentation, Fuzzy C Means, Discrete Cosine Transformation, and Berkley Wavelet Transform. Feature extraction involved extracting first order statistics, features from gray level co-occurrence

matrix(GLCM), and the tumor area. The features were reduced using the GA algorithm, and the tumor classification was done using adaptive neuro-fuzzy inference system, K-Nearest Neighbors (K-NN), and GA based approach. The experimentation involved 135 tumorous and 67 normal images. A comparative analysis was also done using the aforesaid segmentation and classification approaches. Best results were obtained by employing a Berkley Wavelet Transform for segmentation and adopting a GA based classification scheme. The experimental results achieved Se of 92.36%, Sp of 91.42%, and Acc of 92.03% for the task of normal versus tumor classification.

Hemanth et al. [[26](#page-33-0)] devised modified GA for the classification of the abnormal MR brain tumor images. The reproduction operators of GA algorithm were modified to generate new offspring. Thereafter the modified versions were used for feature selection. The validation was done 450 T2-w images collected from the private scan center comprising of the categories of meningioma, metastase, glioma, and astrocytoma. Gray level Difference matrix was used to extract features from these images. A total of 14 features were extracted which were further reduced to 9 using GA1. These reduced features were fed to the Back Propagation neural network for classification. The obtained Se, Sp, and Acc values were 96%, 98%, and 98.1% using a fixed partition scheme (120: Training, 330: Testing).

Gupta et al. [[22\]](#page-33-0) developed a glioma detection system based on the texture and the morphological features coupled with ensemble learning. The system worked at three different levels. At the first level, the tumor was segmented using adaptive thresholding or canny edge detection technique followed by morphological operation. At the second level, its location was analyzed and it was classified as super-tentorial or Infra-tentorial. At the third level of classification, the tumor type was identified based on the morphological and other inherent characteristics like area, perimeter, solidity, and the orientation as features through an ensemble of SVM, KNN, and Naïve Bayes (NB) classifiers. Experimentation was done on BRATS 2012 dataset, taking 120 HG, 80 LG images in all the four sequences. The obtained Acc using a 10-fold CV was 94.40/94% for LG/HG using T1 and T1-CE Images. Using FLAIR and T2-w images, following a 10-fold CV, the attained Acc was 96.5/97%. The limitation of the developed system was that it needs preprocessing stages of cropping and enhancement.

Optimization techniques have always played a vital role in the design of the CAD system be it be feature selection or for tuning the model parameters of the classifier [[4,](#page-32-0) [26](#page-33-0), [52](#page-34-0), [68,](#page-35-0) [72,](#page-35-0) [88](#page-35-0), [91](#page-35-0)]. Empirically tuning the model parameters of the classifier is a computationally complex task. To ease this complexity and to improvise the accuracy, optimization techniques have been often used to fine-tune the model parameters of the machine learning algorithms especially for medical diagnosis [\[50,](#page-34-0) [52](#page-34-0), [71](#page-35-0), [73](#page-35-0), [88,](#page-35-0) [91](#page-35-0)]. Improper model or kernel parameters lead to poor generalization performance of the algorithms.

Prominently, the algorithms like PSO, BBO, and BA have been often employed to optimize the model parameters of the KSVM, i.e., C and σ or weights/biases of the hidden layer of the ELM algorithm and thereafter use it for MR image classification [[52,](#page-34-0) [88,](#page-35-0) [91\]](#page-35-0). But none of the algorithms have used PFree Bat Algorithm for optimizing the neighborhood size k and the fuzzy strength parameter m of the Fuzzy Knearest neighbor (FKNN) classifier.

Moreover, most of the CAD system dealing with tumor classification (either normal versus abnormal or high grade/low grade or multiclass classification) discussed above have validated their system on either the benchmark dataset from the Harvard repository or on their own self-collected private datasets [\[4](#page-32-0), [26,](#page-33-0) [38,](#page-34-0) [52](#page-34-0), [68,](#page-35-0) [72,](#page-35-0) [88](#page-35-0), [91](#page-35-0)]. Very few works like that in [[22](#page-33-0)] have addressed the tumor grade classification using the BRATS 2012 dataset.

The work is motivated by the excellent performance achieved by the FKNN classifier on disease diagnosis like thyroid [[50](#page-34-0)] and the Parkinson [[8](#page-33-0), [73\]](#page-35-0). In this paper, an attempt has been made to investigate the efficacy of PFree BAT enhanced FKNN classifier in constructing an automatic system for diagnosis of the abnormality like tumors in MR images.

In this present work, we have designed PFree enhanced FKNN classifier by adaptively tuning the neighborhood size  $k$  and the fuzzy strength parameter  $m$  of the FKNN classifier using the PFree BAT optimization algorithm. PFree BAT algorithm is an improvised version of the BAT algorithm that combines the major strengths of the BAT and the parameter Free PSO algorithm. Thereafter, the PFree enhanced FKNN classifier is integrated with the initial stages of segmentation, feature extraction, and the feature selection to form a CAD system. The CAD system consists of four major modules.

In the first module segregation of the complete tumor is done by the intensity and the edge magnitude based multilevel thresholding approach [\[34](#page-33-0)]. The delineated tumor regions are saved as segmented regions of interest (SROIs). In the second module, intensity and texture features are extracted from the SROIs [[33](#page-33-0), [35\]](#page-33-0). In the third module, feature selection is done using Fisher and the PFree BAT optimization algorithm [\[35](#page-33-0)]. The selected features are then used as inputs to the PFree BAT enhanced FKNN classifier whose model parameters are fine-tuned using the PFree BAT optimization algorithm. Finally, the classification module consists of classifying brain tumor class as HG or LG by using the enhanced FKNN model.

The designed CAD has been extensively validated on tumor images taken from the BRATS 2012 dataset and on three versions of datasets taken from the Harvard Repository.

As the work primarily focuses on the optimizing the neighborhood size  $k$  and the fuzzy strength parameter  $m$  of the FKNN classifier through PFree BAT optimization algorithm, so the performance is validated on the benchmark Parkinson dataset from the UCI machine learning repository and six other standard datasets from KEEL repository having pre-extracted feature space. Such experimentation has been explicitly undertaken to highlight the strength of the PFree enhanced FKNN classifier in the absence of the previous stages like segmentation, feature extraction, and selection. The proposed scheme is compared with standard KNN and different optimized version of the FKNN. The comparison is statistically validated using the paired t-test and the Friedman statistical significance test. Furthermore, the comparison with the existing state of the art has also been done.

To summarize the key contributions of the paper are as follows:

1. Firstly, the main contribution of the paper is proposing a new FKNN parameter tuning scheme that uses PFree BAT algorithm. The full potential of the FKNN classifier is explored by the use of the PFree BAT algorithm that determines the optimal value of parameters  $k$ , and  $m$  in FKNN. Integrating PFree BAT with FKNN enhances the classification capability of FKNN.

- 2. Secondly, a simple and effective solution is proposed for grading of glioma into low and the high-grade categories by integrating an automatic segmentation method [[34](#page-33-0)], feature extraction approach [\[33,](#page-33-0) [35\]](#page-33-0), feature selection algorithm [[35](#page-33-0)], and the proposed PFree enhanced FKNN model.
- 3. Extensive experimentations have been conducted on the different datasets like those from BRATS 2012, Harvard Repository (3 Versions of the datasets), Parkinson data set from UCI machine learning repository, and six benchmark datasets from KEEL repository. Experimentation on the datasets from the UCI and KEEL repository have been explicitly undertaken to validate the performance of PFree BAT Enhanced FKNN on the preextracted feature space. The proposed scheme is compared with standard KNN and different optimized version of the FKNN. The comparison is statistically validated using the paired t-test and the Friedman statistical significance test. Moreover, for every dataset comparison with the recent existing state of art methods is also done.

Paper organization: The paper is structured as follows; Section 2 provides the mathematical background of the related methodologies, Section 3 describes the proposed methodology, Section 4 details the datasets and the evaluation criteria, Section 5 gives the experimental results, Section 6 provides the discussion, and finally, the conclusion is given in the last section.

#### 2 Mathematical backgrounds of the related methodologies

The work presented in this paper is primarily focused on the optimizing the neighborhood size  $k$  and the fuzzy strength parameter  $m$  of the FKNN classifier through PFree BAT optimization algorithm. This section is devoted to the theoretical background of FKNN and the PFree BAT optimization algorithm.

#### 2.1 Fuzzy k-nearest neighbor method (FKNN)

Fuzzy K-NN adds the concept of the fuzzy logic into the conventional K-NN classifier. Rather than assigning a crisp value of 0 or 1 to a sample vector, it assigns membership to the sample vector. The basis of the FKNN algorithm is to assign the membership as a function of the sample vector's distance from its K-nearest neighbors and those neighbors' class memberships in the possible classes.

The fuzzy memberships for the sample vectors are assigned to different classes as per the following formula [[36](#page-33-0)]:

$$
u_i(x) = \frac{\sum_{j=1}^{k} u_{ij} \left( \frac{1}{\|x - x_j\|^{\frac{2}{m-1}}} \right)}{\sum_{j=1}^{k} \left( \frac{1}{\|x - x_j\|^{\frac{2}{m-1}}} \right)}
$$
(1)

Where  $j = 1, 2, \ldots, k$ , and  $i = 1, 2, \ldots, C$ , with k as number of nearest neighbors and C as the number of classes, *m* denotes the fuzzy strength parameter that decides how heavily the distance is weighted when computing the contribution of each neighbor to the membership value. The  $||x - x_j||$  is the Euclidean distance between x and its j<sup>th</sup> nearest neighborx<sub>j</sub>. The  $u_{ij}$ 

denotes the membership degree of the pattern  $x<sub>i</sub>$  of the training set to the class i. There are different ways in which  $u_i$ can be defined. One works by assigning crisp membership to each training pattern, i.e., the training patterns possess whole membership in their particular class and non-membership in all other classes. The other way works by assigning constrained fuzzy membership, i.e., the  $k$  nearest neighbors of each training sample vector are found, and the membership of  $x_k$  in each class is assigned as [\[36](#page-33-0)]:

$$
u_{ij}(x_k) = \begin{cases} 0.51 + \frac{n_j}{K} \times 0.49, & \text{if } j = i \\ \frac{n_j}{K} \times 0.49, & \text{if } j \neq i \end{cases}
$$
 (2)

In eq. (2)  $n_j$  specify the number of the neighbors belonging to the  $j<sup>th</sup>$  class. The membership computed via eq. (2) must satisfy the equations as follows [[36\]](#page-33-0).

$$
\sum_{i=1}^{2} u_{ij} = 1, \qquad j = 1, 2, \dots, n
$$
\n(3)

Where

 $u_{ii} \in [0, 1]$ 

In our preliminary experimentation, the first mechanism, i.e., the fuzzy classifier with crisp initialization had resulted in improved classification accuracy than with the constrained initialization.

For any query sample, the membership value  $u_i$  is computed for all the classes under consideration, and after that, it is allocated to the class to which it possesses the highest membership, i.e.,

$$
c(x) = \arg[\max(u_i(x))]_{i=1}^2
$$
 (4)

#### 2.2 Parameter free BAT (PFree BAT) optimization algorithm

Bat Algorithm (BAT) introduced by Yang [[84](#page-35-0)] is the latest meta-heuristic optimization algorithm inspired by the echolocation skill of the microbats which directs them on their foraging behavior. The BAT is an amalgamation of the major strengths of the PSO and Harmony Search algorithm.

Every bat follows certain procedures for the position  $(x<sub>i</sub>)$  and velocity  $(v<sub>i</sub>)$  updating at each time step, t, in accordance with the pulse frequency $Q_i$ . The details regarding the conventional BAT algorithm can be found in the works by [[84\]](#page-35-0). The newer solutions are generated by following a set of equations as given below  $[84]$  $[84]$ :

$$
Q_i = Q_{\min} + (Q_{\max} - Q_{\min})\beta
$$
\n(5)

$$
v_i^t = v_i^{t-1} + (x_i^{t-1} - x^*)Q_i
$$
 (6)

$$
x_i^t = x_i^{t-1} + v_i^t \tag{7}
$$

$$
x_{new} = x_{old} + \varepsilon A^t \tag{8}
$$

$$
A_i^{t+1} = \alpha A_i^t \tag{9}
$$

$$
r_i^{t+1} = r_i^0 (1 - \exp(-\gamma t))
$$
\n(10)

The exploitation and exploration capabilities of the conventional BAT algorithm are indigent [[89\]](#page-35-0). To counteract with this limitation, the modification structure was proposed, inspired by the study in [\[5,](#page-32-0) [64\]](#page-34-0). The bat locations are directly updated through a new position update equation as illustrated below [[35](#page-33-0)]:

$$
x_i^t = \left(1 - \frac{x^*}{x_i^{t-1}}\right) \times Q_i \times x^* + \left(\frac{x^*}{x_i^{t-1}}\right) \times Q_i \times pbest_i \tag{11}
$$

The improved version of position update equation guides the bat under the influence of the x<sup>∗</sup>and the previous best solution (*pbest<sub>i</sub>*). As the modified Bat algorithm eliminates the velocity update equation, so it is termed as PFree BAT Algorithm. Better performance of the proposed PFree BAT optimization algorithm has been ascertained by experimenting on standard benchmark functions as reported in our previous works [[35\]](#page-33-0).

### 3 Proposed methodology

The flowchart of the CAD system incorporating the proposed PFree BAT enhanced FKNN classifier for tumor grade classification (HG/LG) is shown in Fig. [1.](#page-8-0) The step by step procedure of how the CAD operates is briefed as Algorithm 1.



The CAD system as shown in Fig. [1](#page-8-0) primarily comprises of Tumor segmentation, Slice selection, Feature extraction, Feature Selection, and the Classification using the proposed PFree BAT enhanced FKNN classifier.

#### 3.1 Tumor segmentation

The complete tumor region is segmented from the Fluid-attenuated inversion recovery (FLAIR) images using the Intensity and edge magnitude-based multilevel thresholding [[34\]](#page-33-0) technique. This technique exploits both intensity and edge

<span id="page-8-0"></span>

Fig. 1 Flowchart of the proposed diagnosis system

magnitude information present in image histogram and GLCM to compute the multiple thresholds through an optimization procedure which are then used for segmentation.

#### 3.2 Slice selection

Following, the process of tumor segmentation, the segregated tumor region was mapped onto the T1-Contrast and the T1-w images. Thereafter, the difference image was generated. Those slices were selected for further processing that exhibited maximum contrast difference [[33\]](#page-33-0).

#### 3.3 Feature extraction

A total of 52 features were extracted from the difference image by applying the standard texture models. These include the First-Order Statistics, Gray Level Cooccurrence Matrix (GLCM) [[24](#page-33-0), [79](#page-35-0)], Gray Level Run Length Matrix (GLRM) [\[76](#page-35-0)], Gray Tone Difference Matrix (GTDM) [[1\]](#page-32-0), Law's Texture Features (LTF) [[77\]](#page-35-0),

Fractal [[12](#page-33-0)], Gabor Filters [[29](#page-33-0)], Gabor Wavelet [\[31\]](#page-33-0), and the Empirical mode decomposition (EMD) [\[11](#page-33-0)] based features. After extracting the feature vector, normalization was done to standardize all the features to the same level, i.e., in the range between [0 1].

#### 3.4 Feature selection

After extraction of these 52 attributes, feature selection method formulated by fusion of Fisher and the PFree BAT optimization algorithm was applied resulting in the selection of the most informative attributes [[35\]](#page-33-0). The parameters for the PFree BAT algorithm have been chosen after experimenting on the training data that results in maximum classification accuracy in the minimum amount of time with a minimal number of features.

#### 3.5 Classification

The selected features were then fed to the classifier for the final label prediction. The two parameters, i.e.,  $k$ ,  $m$  of the FKNN classifier greatly affect the classification accuracy. However, the selection of the appropriate values of these parameters is a tedious task. Therefore, PFree BAT optimization algorithm is used to search for the optimal combinations of the parameters of FKNN. So a PFree BAT enhanced FKNN classifier is designed in the present work in which  $k$ ,  $m$  of the FKNN classifier are fine-tuned by PFree BAT algorithm.

The individual bat positions in PFree BAT enhanced FKNN classifier contains a value of  $k$ , and  $m$  and they are initialized in the range between 1 to 10. The average classification accuracy measure is taken into consideration while constructing the fitness function and is mathematically given as

$$
g = mean(Accuracy) = \frac{\sum_{\text{fold}=1}^{3} \text{test}\left(Accuracy_{\text{fold}}\right)}{3} \tag{12}
$$

where *mean*( $Accuracy$ ) in the above expression for g indicates the average testing accuracy attained by the FKNN classifier via k-fold CV, where  $k = 3$ . A 3-fold CV has been employed for the model parameter selection that is different from the outer loop of 5-fold CV, that is utilized for performance computation. Such an explicit combination has been taken by empirically computing the value of the performance metrics for the different combination of folds in the outer and the inner loop. The pseudocode for the inner parameter optimization is given as Algorithm 2 that comprehensively elaborates how the proposed PFree enhanced FKNN classifier computes the optimal values for the  $k$ , and  $m$ .

The PFree BAT obtains the optimal solution after a series of iterative computation. The detailed iterative procedure is given as a flowchart in Fig. [2.](#page-11-0) In the PFree BAT algorithm, the following values are initialized as population size  $(N) = 10$ , no. of generation of bats = 10, loudness( $A_i$ ) = 0.2, emitting sound pulse rate ( $r_i$ ) = 0.4, minimum frequency $Q_{\text{min}}=0$  and maximum frequency $Q_{\text{max}}=2$ . To obtain the best classification results for characterization of the tumors or abnormal MR Images, the overall accuracy has to be maximized.



<span id="page-11-0"></span>

Fig. 2 Detailed procedure of the proposed PFree BAT enhanced FKNN system

### 4 Datasets and the evaluation criteria

#### 4.1 Datasets

The proposed CAD system employing the PFree BAT enhanced FKNN classifier has been validated on tumor images procured from BRATS 2012 database [[58](#page-34-0)]. The dataset encompassed of the real low and high-grade glioma volumes. From the training volumes, after the segmentation process, a slice selection procedure was applied. In the slice selection procedure, the complete abnormal region that has been delineated from the FLAIR set of images [[34\]](#page-33-0) was then mapped onto the T1 and T1- CE images. From the mapped out area, an image was created by differencing T1 and T1-CE intensity levels [\[33](#page-33-0)]. Only those segmented slices were taken for the further processing that exhibited a significant contrast difference. In the present work a total of 120 such difference images were taken (60 HG and 60 LG).

The latest datasets, i.e., BRATS 2013/2015 also contain the cases continued from BRATS 2012 with slight variations in the labelling of the ground truth (more labels are included in the ground truth of BRATS 2013 dataset) and reduction in the number of image slices (For the same case the slices are reduced in BRATS 2015 dataset).

To the best of the author's knowledge, only limited works have addressed tumor grade classification problem using the BRATS 2012 dataset [[22\]](#page-33-0). In order to facilitate fair comparison with other recent works on brain image classification, experiments were also conducted on images obtained from the Harvard medical school repository apart from solely employing the BRATS 2012 Dataset. Three versions of the dataset from the Harvard repository have been used. The Version 1 [\[38\]](#page-34-0) comprises of 50 T2 weighted MR brain images including 30 images of normal (healthy) brains and 20 of abnormal (unhealthy) brains affected with glioma tumor. Version 2 [\[59](#page-34-0)] consist of 66 T2-weighted MR brain images out of which 18 were normal, and 48 were abnormal containing cases of Glioma, Alzheimer, Metastatic bronchogenic carcinoma, Herpes encephalitis, and Multiple sclerosis. Version 3 [[59](#page-34-0)] encompasses 160 T2-weighted MR brain images out of which 20 were normal and 140 were abnormal. The abnormal class has images of glioma, meningioma, Alzheimer's disease, Alzheimer's disease plus visual agnosia, Pick's disease, sarcoma, and Huntington's disease.

Additionally, the versatility of the proposed PFree BAT enhanced FKNN model has been validated on benchmark Parkinson dataset (PD) from the UCI repository ([http://archive.ics.uci.edu/ml/machine-learning-databases/parkinsons/,](http://archive.ics.uci.edu/ml/machine-learning-databases/parkinsons/) last accessed: September 2016) having fixed set extracted feature space. This dataset consists of biomedical voice measurements from 31 people out of which 23 had PD. Each column entry in the data file denotes a distinct voice measure (comprising of a total of 22 features), and each row corresponds to one of 195 voice recording. The main objective of the data is to distinguish healthy subjects from those having PD, according to the "Status" column that is set to 0 for healthy and 1 for PD.

Moreover, the performance of the proposed PFree BAT enhanced FKNN model has been tested on six benchmark datasets taken from KEEL repository ([http://www.keel.](http://www.keel.es/datasets.php) [es/datasets.php\)](http://www.keel.es/datasets.php) solely to contrast the performance ability of the proposed PFree BAT enhanced FKNN model with other existing FKNN variants as reported in the works by Derrac et al. [[16\]](#page-33-0). The table below details the datasets employed in the present work. (Table [1\)](#page-13-0).

<span id="page-13-0"></span>

 $\sqrt{X}$ : Results are computed with and the without feature selection mechanism

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#### 4.2 Evaluation criteria

The well-known classification metrics of Sensitivity(Se), Specificity(Sp), and Accuracy( $Acc$ ) have been chosen to measure the proficiency of the proposed diagnosis system. These measures are widely utilized for the application of the disease diagnosis. These measures comprise of True Negative  $(TN)$ , False positive  $(FP)$ , True Positive  $(TP)$ , and False Negative (FN). TN means the cases of the low-grade glioma that are correctly categorized as low-grade,  $FP$  is the number of the low-grade cases categorized as high-grade. TP is the number of the high-grade tumors correctly categorized as high-grade, and FN is the number of high-grade tumors incorrectly categorized as low-grade. Numerically, Se and Sp are defined as  $[40]$  $[40]$  $[40]$ .

$$
Se = \frac{TP}{TP + FN} \tag{13}
$$

$$
Sp = \frac{TN}{TN + FP} \tag{14}
$$

$$
Acc = \frac{TN + TP}{TP + FP + TN + FN}
$$
\n(15)

Thereafter, the *Acc* which reflects the complete detection rate is computed as.

In addition to the Se, Sp, and Acc, the area under the receiver operating characteristic (ROC) curve, i.e., Auc and the Mathew correlation coefficient (Coeff) are also computed. For computing Auc, the method proposed in [\[18](#page-33-0)] was used. The Coeff was directly calculated by the formula given below

$$
Coeff = \frac{TN \times TP - FP \times FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}}
$$
(16)

#### 5 Experimental results

The result section has been primarily focused on the analysis of the brain tumor images from BRATS 2012 dataset using the quantitative performance measures. It is supplemented by comparison with conventional KNN and several optimized FKNN models. The quantitative comparison is tailed by statistical verification by the paired t-test. The section also highlights the classification performance of the proposed PFree BAT enhanced FKNN with and without the feature selection mechanism.

#### 5.1 Comparison of the proposed CAD with different optimized FKNN models

Table [2](#page-15-0) reports the results using the various performance metrics, i.e., Se, Sp, Acc, Auc, and Coeff on BRATS 2012 brain tumor dataset [[58\]](#page-34-0) using different optimization algorithms like Real-Coded Genetic Algorithm (RGA), PSO, biogeography-based optimization (BBO), Cuckoo Search [\[86](#page-35-0), [87\]](#page-35-0), Firefly Algorithm, Improved Harmony Search [\[54\]](#page-34-0), BAT Algorithm [\[84](#page-35-0)],

Method for feature selection	Algorithm used for the classifier parameter optimization	Performance Metrics	Conventional KNN Metrics (SD)	Adaptive FKNN or PFree enhanced FKNN Metrics(SD)	Statistical Significance using the paired $t$ -test $(p$ value)
Fisher + RGA	<b>RGA</b>	Se Sp	0.9556 (0.0994) 0.9600(0.0548)	1(0) 0.9800(0.0447)	0.0315
Fisher + PSO	<b>PSO</b>	Acc Auc Coeff Se Sp Acc Auc	0.9579(0.0440) 0.9700(0.0447) 0.9418 (0.0859) 0.9333(0.0994) 0.9400(0.0548) 0.9368 (0.0686) 0.9367(0.0459)	0.9895(0.0235) 0.9900(0.0224) 0.9800(0.0447) 0.9333(0.0994) 0.9600 (0.0548) 0.9474(0.0744) 0.9478 (0.0374)	0.0043
Fisher + BBO	<b>BBO</b>	Coeff Se Sp Acc	0.8788 (0.0853) 0.9111(0.1217) 0.9200(0.1095) 0.9158(0.0471)	0.8976(0.0747) 0.9333(0.0994) 0.9800(0.0447) 0.9579(0.0440)	0.0222
Fisher + Cuckoo Search $[86,$ 87]	Cuckoo Search [86, 87]	Auc Coeff Se Sp Acc	0.9267(0.0460) 0.8574(0.0942) 0.9111(0.1217) 0.9400(0.0548) 0.9263(0.0600)	0.9367(0.0678) 0.8753 (0.1359) 0.9556 (0.0609) 0.9200(0.0837) 0.9368 (0.0440)	0.0018
Fisher + Firefly Algorithm	Firefly Algorithm [74]	Auc Coeff Se Sp	0.9367(0.1131) 0.8753(0.2265) 0.8667(0.1449) 0.9200(0.1789)	0.9578 (0.0678) 0.9176 (0.1351) 0.9333(0.0994) 0.9600(0.0894)	0.0139
$[74]$ Fisher + Improved Harmony	<b>Improved Harmony</b> Search [54]	Acc Auc Coeff Se Sp	0.8947 (0.0832) 0.8944(0.0543) 0.7958(0.1073) 0.9556(0.0994) 0.8800(0.1304)	0.9474(0.0526) 0.9267(0.0711) 0.8567 (0.1390) 0.9556 (0.0994) 0.9600(0.0894)	0.00227
Search <sup>[54]</sup> Fisher + BAT	BAT Algorithm [84]	Acc Auc Coeff Se	0.9158(0.0956) 0.9178 (0.0270) 0.8412(0.0538) 0.9333(0.0609)	0.9579(0.0942) 0.9600(0.0418) 0.9218(0.0805) 0.9778 (0.0497)	0.0133
Algorithm [84]		Sp Acc Auc Coeff	0.9400(0.0548) 0.9368(0.0440) 0.9356(0.0231) 0.8771 (0.0493)	0.9600(0.0548) 0.9684 (0.0288) 0.9578 (0.0442) 0.9176 (0.0878)	
Fisher + Novel <b>BAT</b> with Habitat Selection [57]	Novel BAT with Habitat Selection [57]	Se Sp Acc Auc	0.9111(0.0930) 0.9600(0.0548) 0.9368 (0.0577) 0.9256(0.0624)	0.9556 (0.0609) 0.9800(0.0447) 0.9684 (0.0288) 0.9356 (0.0589)	0.0357
Fisher+ DE $[10,$ 78]	DE [10, 78]	Coeff Se Sp Acc Auc	0.8581 (0.1186) 0.9333(0.0609) 0.8800(0.1304) 0.9368 (0.0686) 0.9067(0.0659)	0.8766 (0.1129) 0.9778 (0.0497) 0.9000(0.1414) 0.9368(0.0686) 0.9389 (0.0650)	0.0111
Fisher + Time variant PSO $^{[7]}$	Time variant PSO $\lceil 7 \rceil$	Coeff Se Sp Acc	0.8205(0.1317) 0.9556 (0.0609) 0.9400(0.0894) 0.9474(0.0645)	0.8865(0.1206) 0.9556 (0.0994) 0.9600(0.0548) 0.9579 (0.0686)	0.0035
Fisher + PFree <b>BAT</b> Algorithm (Proposed)	PFree Bat Algorithm (Proposed)	Auc Coeff Se Sp Acc Auc Coeff	0.9478(0.0635) 0.8976 (0.1270) 1(0) 0.9800(0.0447) 0.9895(0.0235) 0.9900(0.0224) 0.9800(0.0447)	0.9578 (0.0699) 0.9171 (0.1365) 1(0) 1(0) 1(0) 1(0) 1(0)	$Ref*$

<span id="page-15-0"></span>Table 2 Se, Sp, Acc, Auc, and Coeff for the tumor dataset using various optimization algorithms

Se: Sensitivity

Sp: Specificity

Acc: Accuracy

Auc: Area under the curve

Coeff: Mathew correlation coefficient

RGA: Real Coded GA; PSO: Particle Swarm Optimization; BBO: Biogeography Based Optimization; DE: Differential Evolution; PFree BAT: Parameter Free BAT

\*Ref: p values are calculated w.r.t the proposed method

Novel BAT with Habitat Selection [\[57](#page-34-0)], Differential Evolution (DE) [\[10](#page-33-0), [78\]](#page-35-0), Time-variant PSO [\[7\]](#page-33-0), and the proposed PFree Bat algorithm. The standard deviation values accompany these metrics in the brackets.

These metrics were computed after feature selection and the parameter optimization stage. For the feature selection, the optimization algorithm was used in conjunction with Fisher criteria to choose the most discriminatory subset of attributes. The reduced feature set was then given as training input to the FKNN classifier whose parameters were optimized using the various metaheuristic algorithms as detailed in Table [2.](#page-15-0) The MATLAB source codes for the optimization algorithms, i.e., Real-Coded GA, PSO, BBO, DE, and Firefly Algorithm, were taken from the [yarpiz.com](http://yarpiz.com) (<http://yarpiz.com/> last accessed: August 2016). The parameters of the optimization algorithms used for the comparison purpose were taken in accordance with their original papers.

As seen from the entries the Se, Sp, Acc, Auc, and Coeff using the adaptive FKNN are larger than the traditional KNN when employing any of the algorithms at the parameter optimization stage. Moreover, the best results were attained using the PFree BAT algorithm at the attribute selection and the parameter optimization stage. The obtained average values for  $Sp$ ,  $Acc$ ,  $Auc$ , and *Coeff* were 100% each for the proposed approach that is higher than that equal to 98%, 98.95%, 99% and 98% obtained using the traditional KNN. Better results are accredited to the usage of the PFree Bat algorithm at both stages. The proposed variant of the BAT algorithm has increased the diversity of the search space via which optimal features were selected at the first stage and the best values of 'k' and 'm' i.e. (k opt and m opt) at the later stage.

Moreover, the results attained using the proposed approach are statistically better than the competing algorithms. For statistical verification paired t-test is used that compares the results produced by the proposed algorithm with other competing algorithms. The test assumes the null hypothesis that the accuracy of the two algorithms is the same, against the alternative that the accuracy of the proposed algorithm is higher than the comparison method. If the result of the t-test is 1, then it specifies the rejection of the null hypothesis at a 5% significance level and the acceptance of the alternate hypothesis. If the result is 0, then the null hypothesis cannot be rejected at 5% significance level. The last column of Table [2](#page-15-0) reports the statistical significance level of the difference of accuracy value for the proposed algorithm w.r.t. to other meta-heuristic algorithms. For every pairwise comparison, a p value less than 0.05 was obtained. Typically, in contrast to the time-variant PSO, the  $p$  value was equal to 0.0035 signifying that accuracy for the proposed algorithm is significantly better than the competing optimized FKNN variant for every fold.

Table [3](#page-17-0) summarizes the attained values of 'k opt' and 'm\_opt' for each value of the outer fold. The attained values for 'k *opt'* and 'm *opt'* at each fold were equal to 1, 2, 2, 2, 1 (values were rounded as they signify the nearest neighbors) and 0.4132, 1.7826, 0.9320, 1.9565 and 0.2134 using the proposed PFree Bat algorithm. At each fold of the data, the PFree Bat algorithm adaptively specifies these values. With this optimal combination of model parameters, i.e., ' $k$  opt' and 'm\_opt'; FKNN obtained the best classification accuracy in each fold of data.

### 5.2 Performance evaluation of the proposed CAD with original extracted feature space (without feature selection)

The classification capability of PFree BAT Enhanced FKNN without feature selection is also investigated, and the findings are summarized in Table [4.](#page-18-0) The PFree Enhanced FKNN model operating on the complete extracted feature space reaches to an average  $Se$ ,  $Sp$ ,  $Acc$ ,  $Auc$ , and Coeff values of 91.11%, 92%, 91.58%, 92.67%, and 85.74%. The obtained value of k opt, and m opt are 1,3,1,3,1 and 0.6481, 2.2786, 0.6497, 2, and 0.3820 respectively.

Method for feature selection	Algorithm used for the classifier parameter optimization			Folds $k$ _opt $m$ _opt
Fisher + RGA	<b>RGA</b>	$\mathbf{1}$	$\overline{c}$	2.2442
		$\overline{c}$	4	1.2713
		$\mathbf{3}$	$\overline{c}$	2.2854
		$\overline{4}$	$\overline{c}$	1.1851
		5	5	3.8491
Fisher + PSO	<b>PSO</b>	$\mathbf{1}$	4	1.2004
		$\overline{2}$	1	2.4174
		3	1	2.9559
		$\overline{4}$	5	2.5367
		5	5	2.2329
Fisher + BBO	<b>BBO</b>	$\mathbf{1}$	1	0.6481
		$\overline{2}$	3	2.2786
		3	1	0.6497
		$\overline{4}$	3	2.0000
		5	1	0.3820
Fisher + Cuckoo Search $[86, 87]$	Cuckoo Search [86, 87]	$\mathbf{1}$	$\sqrt{2}$	1.2954
		$\overline{c}$	$\boldsymbol{2}$	1.0038
		$\mathfrak{Z}$	$\overline{c}$	1.0032
		$\overline{4}$	3	1.853
		5	$\mathbf{1}$	2.4257
Fisher + Firefly Algorithm $[74]$	Firefly Algorithm	$\mathbf{1}$	5	1.7047
		$\overline{c}$	3	1.4176
		3	5	3.2442
		$\overline{4}$	3	1.4608
		5	3	2.2857
Fisher + Improved Harmony Search [54]	Harmony Search [54]	$\mathbf{1}$	3	1.2723
		$\overline{c}$	$\mathbf{1}$	2.2670
		3	5	1.2953
		$\overline{4}$	3	1.0975
		5	3	2.4969
Fisher + Bat Algorithm $[84]$	Bat Algorithm [84]	$\mathbf{1}$	6	1.6418
		$\overline{c}$	4	1.8443
		$\mathfrak{Z}$	4	1.8811
		4	3	1.2936
		5	3	1.8707
Fisher + Novel Bat with Habitat Selection Novel Bat with Habitat Selection [57]		$\mathbf{1}$	3	1.3070
$[57]$		$\sqrt{2}$	3	1.0313
		$\mathfrak{Z}$	$\overline{c}$	1.7373
		$\overline{4}$	$\overline{4}$	2.2349
		5	$\sqrt{2}$	2.3278
Fisher + DE $[10, 78]$	DE [10, 78]	$\mathbf{1}$	$\sqrt{2}$	3.8418
		$\sqrt{2}$	6	1.1544
		$\mathfrak{Z}$	10	2.4533
		$\overline{4}$	5	1.3706
		5	3	1.5620
Fisher + Time variant PSO	Time variant PSO	$\mathbf{1}$	$\,1$	1.0822
		$\sqrt{2}$	$\ensuremath{\mathsf{3}}$	0.9388
$^{[7]}$		$\mathfrak{Z}$	$\sqrt{2}$	0.9355
		4	3	1.0033
		5	$\sqrt{2}$	1.0031
		$\overline{\mathbf{c}}$	$\mathbf{1}$	0.4132
Fisher + PFree Bat Algorithm (Proposed) PFree Bat Algorithm (Proposed)		$\mathfrak{Z}$	$\sqrt{2}$	1.7826
		$\overline{4}$	$\sqrt{2}$	0.932
		5	$\overline{c}$	1.9565
		5		
			$\mathbf{1}$	0.2314

<span id="page-17-0"></span>Table 3 Obtained values of k\_opt and m\_opt using various optimization algorithms for BRATS dataset

k\_opt: Optimal value for k-nearest neighbor;

m\_opt: Optimal value of fuzzy strength parameter

RGA: Real Coded GA; PSO: Particle Swarm Optimization; BBO: Biogeography Based Optimization; DE: Differential Evolution; PFree BAT: Parameter Free BAT

Fold	k opt	m opt	Se	Sp	Acc	Auc	Coeff
		0.6481	0.8889	0.8	0.8421	0.8444	0.6889
2	3	2.2786					
3		0.6497		0.8	0.8947	0.9	0.809
$\overline{4}$		2.0000	0.7778		0.8947	0.8889	0.8051
5		0.3820	0.8889		0.9474	0.9444	0.8989
Mean	1.8	1.1917 (0.7848)	0.9111	0.9200	0.9158	0.9156	0.8404
(SD)	(0.9798)		(0.0929)	(0.1095)	(0.0609)	(0.0482)	(0.1039)

<span id="page-18-0"></span>Table 4 Results obtained by PFree BAT enhanced FKNN classifier without Feature Selection on BRATS dataset

Se: Sensitivity; Sp: Specificity; Acc: Accuracy; Auc: Area under the curve; Coeff: Mathew correlation coefficient; k\_opt: Optimal value for k-nearest neighbor; m\_opt: Optimal value of fuzzy strength parameter; SD: Standard Deviation

From the tabular findings it is concluded that with feature selection, the PFree BAT enhanced FKNN shows an improvement of 9.76%, 8.7%, 9.19%, 7.91% and 16.63% in Se,  $Sp, Acc, Auc$ , and  $Coeff$  in contrast to PFree BAT Enhanced FKNN working on the complete feature set. Moreover, this improvement is statistically significant ( $p$  value = 0.0017).

### 6 Discussions

This section is dedicated to the comparison of the proposed CAD with the existing state of the artworks. Firstly, the comparison is done with the works reported on brain tumor categorization. Secondly, the performance is contrasted with existing brain image classification schemes for Version 2 and 3 of the dataset from Harvard Medical Repository. Thirdly, performance comparison is done with existing classification schemes for PD dataset taken from the UCI Machine learning repository having a fixed set of the extracted feature space. Lastly, the validation is done on six benchmark datasets from KEEL repository having a fixed set of the extracted feature space solely to contrast the performance with existing FKNN variants.

#### 6.1 Comparison with existing state-of-art works reported in the domain of brain tumor categorization

Table [5](#page-19-0) compares the performance of the proposed CAD with the recent state of artworks dealing with the classification of the glioma images [\[4](#page-32-0), [26,](#page-33-0) [27,](#page-33-0) [40](#page-34-0), [67](#page-35-0), [68](#page-35-0), [72,](#page-35-0) [75,](#page-35-0) [81](#page-35-0), [90](#page-35-0), [93](#page-35-0)]. The proposed CAD outperformed the competing algorithms by achieving  $100\%$  Se, Sp, and Acc. Therefore, the proposed method is fully capable of detecting the glioma grade i.e. low or high than the other presented approaches which is highly desirable in clinical applications like therapy planning. This is entirely attributed to the designed CAD system incorporating the proposed PFree BAT enhanced FKNN classifier that has proven to be highly decisive for classification. As the works reported in [[4,](#page-32-0) [26,](#page-33-0) [27,](#page-33-0) [40,](#page-34-0) [67,](#page-35-0) [68,](#page-35-0) [72](#page-35-0), [75](#page-35-0), [81](#page-35-0), [90](#page-35-0), [93\]](#page-35-0), also deals with the glioma classification, the objective comparison is difficult to draw since the results have been obtained on their collected private datasets. However, for the method in [[38](#page-34-0)] as the database was available from the Harvard Medical school, so the proposed approach has been duly validated on this dataset also. On this dataset also, the proposed approach yielded 100% Sp and Acc which is better than the average value equal to 99.18% and 97.95% reported in [\[38\]](#page-34-0).

<span id="page-19-0"></span>

 $\underline{\textcircled{\tiny 2}}$  Springer



Table 5 (continued)

Table 5 (continued)

 $\mathcal{D}$  Springer



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GA: Genetic Algorithm<br>LOOM: Leave one out method LOOM: Leave one out method

GA: Genetic Algorithm

RILBPFs: Rotation invariant local binary pattern features RILBPFs: Rotation invariant local binary pattern features RICGFs: Rotation invariant circular Gabor features RICGFs: Rotation invariant circular Gabor features ANFIS: Adaptive neurofuzzy inference system ANFIS: Adaptive neurofuzzy inference system CBAC: Content-Based-Active Contour Model CBAC: Content-Based-Active Contour Model DSD: Directional Spectral Distribution(DSD) DSD: Directional Spectral Distribution(DSD) GLCM: Gray level co-occurrence matrix GLCM: Gray level co-occurrence matrix GLRM: Gray Level Run Length Matrix GLRM: Gray Level Run Length Matrix GTDM: Gray Tone Difference Matrix EMD: Empirical mode decomposition GTDM: Gray Tone Difference Matrix EMD: Empirical mode decomposition PCA: Principal Component Analysis PCA: Principal Component Analysis IBF: Intensity based features (IBFs) LVQ: Learning Vector Quantization IBF: Intensity based features (IBFs) LVQ: Learning Vector Quantization DWT: Discrete Wavelet Transform BWT: Berkley Wavelet Transform DWT: Discrete Wavelet Transform BWT: Berkley Wavelet Transform ANN: Artificial Neural Network MSA: Multi-scale-analysis MSA MSA: Multi-scale-analysis MSA ANN: Artificial Neural Network DGT: Directional Gabor texture SVM: Support Vector Machine DGT: Directional Gabor texture SVM: Support Vector Machine LTF: Law's Texture Features LOG: Laplacian of Gaussian LOG: Laplacian of Gaussian LTF: Law's Texture Features LBP: Local binary patterns KNN: K-Nearest Neighbor LBP: Local binary patterns KNN: K-Nearest Neighbor FCM: Fuzzy C Means FCM: Fuzzy C Means NB: Naive Bayes NB: Naive Bayes

Just for indication the works by [\[4](#page-32-0), [26,](#page-33-0) [27](#page-33-0), [68,](#page-35-0) [75](#page-35-0), [90\]](#page-35-0) dealing with the collected private tumor dataset, have reached to an Acc values equal to 88%, 93.60%, 94.9%, 91.67%, 92.03%, and 98.1%.

Although, not directly comparable the results for the CAD system employing the proposed PFree BAT enhanced FKNN model are better than the reported works in terms of the Se, Sp, and Acc that were 100% for the BRATS 2012 dataset. Recently, the work by [\[22\]](#page-33-0) has addressed the tumor grade classification problem using BRATS 2012 dataset via the design of a CAD system consisting of phases of preprocessing, segmentation, feature extraction, and classification. The authors attained 94% and 97% accuracy (T1 and the FLAIR images) on a dataset comprising of 120 HG and 80 LG images. Our proposed work is comparable to [\[22\]](#page-33-0) with the advantage that there was no need for any preprocessing stage of cropping and the image enhancement. The proposed CAD provides automatic classification without using any pre-processing on images.

Furthermore, the proposed CAD using PFree BAT enhanced FKNN model offers multiple benefits in contrast to similar works reported in this field as indicative from Table [5.](#page-19-0) First, it provides high accuracy not only for BRATS 2012 dataset but also for the dataset reported in the works by [[38\]](#page-34-0). Second, the proposed PFree BAT enhanced FKNN classifier gives this performance using least number of features, i.e., just a single feature in contrast to existing works like [[4](#page-32-0), [26,](#page-33-0) [38,](#page-34-0) [40](#page-34-0), [68,](#page-35-0) [90](#page-35-0)] that have utilized a feature space of 7, 20, 71, 6, 11, and 9 respectively for classifier training. Lastly, the proposed CAD does not involve any preprocessing stages of cropping and enhancement as reported in the existing work by [\[22](#page-33-0)].

#### 6.2 Performance comparison with existing brain image classification schemes for version 2 and 3 of the dataset from Harvard medical repository [\[25](#page-33-0)]

Table [6](#page-24-0) reports the performance comparison of the proposed CAD with the existing works on brain image classification using Version 2 and version 3 of the dataset from the Harvard Repository. From the Table [6,](#page-24-0) it is seen that most the works have employed the optimization techniques like PSO [\[91\]](#page-35-0), ABC-PSO [\[82\]](#page-35-0), BBO [\[88](#page-35-0)], and BAT [[52\]](#page-34-0) etc. for the tuning of the model parameters of the classifier.

From Table [6](#page-24-0), it is inferred that the proposed CAD that employs the PFree BAT algorithm for FKNN parameter tuning have attained 100% accuracy for Version 2 and 3 of the dataset. It is superior to the value equal to 97.78%, 99.75%, 99.30%, 97.78%, 98.33%, and 99.75% obtained by the techniques devised in works by [[52](#page-34-0), [59](#page-34-0), [82](#page-35-0), [88,](#page-35-0) [91,](#page-35-0) [92\]](#page-35-0). Most of the approaches have attained 100% accuracy on the Version 2; their performance declines when moving over to bigger datasets [\[52](#page-34-0), [59,](#page-34-0) [82,](#page-35-0) [88,](#page-35-0) [91,](#page-35-0) [92\]](#page-35-0). While few of the approaches given in Table [6](#page-24-0) have reached to 100% accuracy both for Version 2 and 3 of the dataset like those presented in [\[60\]](#page-34-0) but they use a feature count of 3. The prime advantage of the proposed CAD in contrast to [\[60](#page-34-0)] is that the proposed PFree enhanced FKNN classifier gives a ceiling value of the accuracy using just a single feature rather than employing a larger number of feature count.

### 6.3 Performance comparison with existing classification schemes for PD dataset taken from the UCI machine learning repository having a fixed set of the extracted feature space

To validate the versatility of the proposed PFree BAT enhanced FKNN algorithm on the fixed set extracted feature space, this section reports the results on the PD dataset from the UCI repository. For the fair comparison with existence works on the PD dataset, the results are computed without and with feature selection mechanism.



<span id="page-24-0"></span>Table 6 Comparison with the similar works in the field of brain image classification using Version 2 and Version 3 from Harvard Repository



#### Table 6 (continued)

-:Data not reported; Se: Sensitivity; Sp: Specificity; Acc: Accuracy; HG: high- Grade; LG: low-Grade; N<sup>total</sup>: Total number of images; ROI: Region of interest; NR: Not Reported

DWT: Discrete Wavelet Transform

PCA: Principal Component Analysis

PSO: Particle swarm optimization

SVM: Support Vector Machine

CV: Cross-validation

SWT: Stationary wavelet transform

IABAP-FNN: Integrated algorithm based on artificial bee colony (ABC) and particle swarm optimization (IABAP)-Feed Forward Neural Network (FNN)

ADBRF: AdaBoost with random forests (ADBRF)

BBO: Biogeography based optimization

ELM: Extreme Learning Machine

GLCM: Gray level co-occurrence matrix

GLRM: Gray Level Run Length Matrix

GTDM: Gray Tone Difference Matrix

LTF: Law's Texture Features

EMD: Empirical mode decomposition

### 6.3.1 Classification using the proposed PFree BAT enhanced FKNN model with whole original feature space

In this experiment, we evaluated the effectiveness of PFree BAT enhanced FKNN model on the original feature space. The detailed results attained by the PFree BAT enhanced FKNN classifier are shown in Table [7](#page-26-0). From, the Table [7](#page-26-0), it is seen that PFree BAT enhanced FKNN classifier had reached to average Se, Sp, Acc, Auc, and Coeff values equal to  $97.24\%$ ,  $98\%$ ,  $97.45\%$ , 97.63%, and 93.58%. The attained values are better than the works reported in [[9\]](#page-33-0) in which they obtained the values equal to  $96.93\%$ ,  $88.78\%$ ,  $94.63\%$ , and  $92.85\%$  for Se, Sp, Acc, and Auc.

In addition, it is seen from the Table [7](#page-26-0) that the value of the  $k$  *opt* and m *opt* are adaptively specified by PFree BAT optimization algorithm for each run which is the probable reason that the proposed adaptive FKNN classifier performed better than [\[9\]](#page-33-0).

Fold	k opt	m opt	Se	Sp	Acc	Auc	Coeff
	3	1.2542					
2	6	1.5333					
3	6	1.2546	0.9667		0.9744	0.9833	0.9327
$\overline{4}$	3	1.2809	0.931		0.9487	0.9655	0.8808
.5		1.4045	0.9655	0.9	0.9487	0.9328	0.8655
Mean	5	1.3455	0.9724	0.9800	0.9745	0.9763	0.9358
(SD)	(1.5275)	(0.0996)	(0.0476)	(0.0632)	(0.0358)	(0.0230)	(0.0519)

<span id="page-26-0"></span>Table 7 Results obtained by PFree BAT enhanced FKNN classifier without Feature Selection on Parkinson Dataset

Se: Sensitivity; Sp: Specificity; Acc: Accuracy; Auc: Area under the curve; Coeff: Mathew correlation coefficient; k opt: Optimal value for k-nearest neighbor; m\_opt: Optimal value of fuzzy strength parameter; SD: Standard Deviation

#### 6.3.2 Classification using the proposed PFree BAT enhanced FKNN model with feature selection

In order to investigate whether feature selection can further improve the detection performance for PD, the PFree BAT enhanced FKNN model was applied to the reduced feature space. Fisher criterion was used in conjunction to rank the features [[35\]](#page-33-0). The top 1, 5, 10, 15, and 20 features are given in Table 8. Table [9](#page-27-0) lists the detailed results of PFree BAT enhanced FKNN in terms of Se, Sp, Acc, Auc, and Coeff. From Table [9](#page-27-0), it is seen that the performance of the PFree BAT enhanced FKNN model built with feature subset size of 15 is better than one built with all the features. Typically for a feature count equal to 15 the achieved average Se, Sp, Acc, Auc, and Coeff were equal to 97.33%, 100%, 98%, 98.60%, and 97.30% which is higher than the comparison algorithms presented in [\[9\]](#page-33-0) that reported a mean value of 97.27%, 91.11%, 95.49%, and 94.19% for Se, Sp, Acc, Auc, and Coeff. At each feature count  $(1, 5, 10, 15,$ 20, 22) the obtained Se, Sp, Acc and Auc attained via the adaptive FKNN is much higher than the comparison works reported in the literature [\[9\]](#page-33-0). Moreover, from the tabular results it is seen that with the utility of the feature selection, the PFree enhanced FKNN has improved performance. The Se, Sp, Acc, Auc, and Coeff measures improvise by 0.09%, 2.04%, 0.56%, 1.74% and 2.1% in contrast to without feature selection. Moreover, this improvement is statistically significant ( $p$  value = 0.0429).

The optimal value of ' $k$ ' and 'm' obtained via the PFree enhanced FKNN classifier working on the reduced feature space, i.e., for a typical feature count of 15, at each subsequent fold are given in Table [10.](#page-28-0) The increase in the value of the performance metrics is attributed to the generation of 'k opt', and 'm opt' by the application of the PFree BAT enhanced FKNN classifier on the reduced dataset.

Count	Optimal Feature subset
	F22.
5	F22 F17 F8 F19 F1
10	F20 F1 F18 F21 F11 F17 F22 F14 F4 F15
15	F22 F17 F7 F18 F6 F19 F14 F20 F10 F1 F4 F13 F12 F16 F5
20	F22 F3 F4 F13 F18 F12 F7 F1 F16 F10 F15 F14 F20 F5 F21 F6 F2 F17 F11 F8

Table 8 Optimal feature subset obtained by the proposed technique

<span id="page-27-0"></span>

Table 9 Performance of the proposed technique using different set of optimal features Table 9 Performance of the proposed technique using different set of optimal features

Fold	k opt	m opt	Se	Sp	Acc	Auc	Coeff
		1.2885					
2		1.6542					
3			0.9667		0.9743	0.9833	0.9327
$\overline{4}$		1.111					
5		1.0978		0.9	0.97435	0.95	0.9327
Mean(SD)	4 (1.8257)	1.2303 (0.2113)	0.9733 (0.0344)	(0)	0.9800 (0.0262)	0.9866 (0.0194)	0.9730 (0.0329)

<span id="page-28-0"></span>Table 10 Results obtained by PFree BAT enhanced FKNN classifier with Feature Selection on Parkinson Dataset

Se: Sensitivity; Sp: Specificity; Acc: Accuracy; Auc: Area under the curve; Coeff: Mathew correlation coefficient; k opt: Optimal value for k-nearest neighbor; m\_opt: Optimal value of fuzzy strength parameter; SD: Standard Deviation

For the sake of comparison, the classification accuracies attained by the existing approaches on the benchmark PD dataset are given in Table [11.](#page-29-0) As indicated in the table, accuracy rates ranging between 74% and 96% have been achieved by the other existing works. The proposed approach surpasses the competing algorithms by achieving the mean value of accuracy as 98% and the best value as 100% at a feature count of 15. The increase has been equal to 2% in the average accuracy and 4% in the best accuracy value with feature selection in contrast to the existing work in [[9](#page-33-0)]. Moreover, in contrast to [[6](#page-32-0)], the increase has been 0.8% via the proposed PFree BAT enhanced FKNN classifier using all the 22 features.

### 6.4 Performance comparison with existing FKNN variants for the six benchmark datasets from KEEL repository having a fixed set of the extracted feature space

This section compares the proficiency of the proposed PFree BAT enhanced FKNN system over six benchmark datasets from KEEL repository. This dataset has been specially chosen to compare the performance of the proposed technique with other FKNN variants as reported in the works by Derrac et al. [\[16](#page-33-0)]. Just for the comparative purpose, the results have been reported under the same test conditions as given in  $[16]$  $[16]$ . Three sets of test conditions were taken as given below, and the *Acc* was computed as given in Table [12](#page-30-0)

- 1. Fixed  $k$  and optimized  $m$
- 2. Fixed  $k$ , optimized  $m$ , and Feature Selection
- 3. Optimized  $k$ , optimized  $m$ , and Feature Selection

The results under the three test conditions show how the uncertainty in the selection of the  $k$ value is reduced by the optimization algorithm and the feature selection process through which an increase in the average accuracy was achieved.

The results are supplemented by the nonparametric statistical tests, i.e., Friedman Shaffer with the post-hoc procedure. The best results for Acc were obtained for the third test condition followed by second and first.

The results presented in this section indicate that the proposed method is scalable to classification problems having a larger sample size and varying dimensionality. The proposed method is validated on eleven benchmark datasets with the sample size varying from 66 to 569, and feature space varying from 6 to 52. The attained accuracy values for most of these

<span id="page-29-0"></span>

Table 11 A comparative analysis of the classification accuracy achieved using the proposed and other competing techniques

Table 11 A comparative analysis of the classification accuracy achieved using the proposed and other competing techniques

<span id="page-30-0"></span>



<span id="page-32-0"></span>datasets were in the range from 88 to 100%. Moreover, the average run time for the datasets is in seconds. Just for indication, for the Parkinson dataset, using all the features the time taken by the PFree BAT enhanced FKNN classifier is 2 s and for tumor dataset, it is 3.54 s. Accuracy and the runtime value on different datasets with varying sizes and dimensionality are indicative that the PFree enhanced FKNN model is scalable in handling an increasing amount of data with larger feature space with minimum computation cost.

Future works will be focused on applying the proposed CAD for the task of the face and palmprint recognition [[41](#page-34-0)–[46\]](#page-34-0). Moreover, some advance feature extraction, feature selection, and learning methods like those presented in [[47](#page-34-0), [51](#page-34-0)] will be explored.

### 7 Conclusion

In this work, an automatic brain tumor diagnosis system has been developed. The core component of the system is the adaptive FKNN classifier whose maximum potential is explored by the PFree-BAT optimization algorithm resulting in the generation of the optimal value of neighborhood size and fuzzy strength parameter. Better classification accuracy obtained on the brain tumor dataset has proven that the proposed system can distinguish well enough between the patients with the different grades of glioma, i.e., Low-grade and Highgrade and also healthy groups from the glioma patients. It was observed that PFree-Bat enhanced FKNN classifier while working on the reduced feature set achieved 100% recognition rate via fivefold cross-validation. Additionally, the versatility of the method was also proven by experimenting on the standard MR brain image dataset, Parkinson data set and the KEEL data sets. The promising results of the proposed method have shown that our designed system is also capable of distinguishing abnormal subjects from healthy groups. Extensive experimentation on benchmark data sets from KEEL repository also validates that the proposed mechanism is superior to the existing FKNN variants existing in the literature.

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#### Compliance with ethical standards

Conflict of interest 'None Declared'.

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Taraniit Kaur received her B.Tech and M.Tech degree in Electronics and Communication Engineering from Guru Nanak Dev Engineering College, Ludhiana in the year 2010 and 2012. Recently, she completed her Ph.D. degree from Dr. B R Ambedkar National Institute of Technology, Jalandhar, Punjab, India in the domain of MR Image Processing. Her area of interest is Medical Image Processing including the application of the optimization algorithms for the medical image analysis.



Barjinder Singh Saini is currently working as a Professor in Department of Electronics and Communication Engineering, Dr. B R Ambedkar National Institute of Technology, Jalandhar. He has completed his Ph.D. degree from Electronics and Communication Engineering Department, NIT Jalandhar on Signal Processing of Heart Rate Variability in the year 2009. His research interest includes Biomedical Signal and Image Processing.



Savita Gupta is currently working as a Professor in Department of Computer Science and Engineering, University Institute of Engineering and Technology, Panjab University, Chandigarh. She completed her Ph.D. degree from PTU, Jalandhar in 2007 in the field of Ultrasound image processing. Her research interests include wavelet-based image processing, network security, image compression and denoising.

### Affiliations

## Taranjit Kaur<sup>1</sup> · Barjinder Singh Saini<sup>1</sup> · Savita Gupta<sup>2</sup>

Barjinder Singh Saini sainibss@gmail.com

Savita Gupta<br>savita2k8@yahoo.com

- <sup>1</sup> Department of Electronics and Communication Engineering, Dr B R Ambedkar National Institute of Technology Jalandhar, Punjab 144011, India
- <sup>2</sup> Department of Computer Science and Engineering, University Institute of Engineering and Technology, Sector 25, Panjab University, Chandigarh, India