**ORIGINAL ARTICLE**



# **Methylation status, mRNA and protein expression of the SMAD4 gene in patients with non‑melanocytic skin cancers**

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# **Abstract**

**Background** SMAD4 is a potent tumor suppressor. SMAD4 loss increases genomic instability and plays a critical role in the DNA damage response that leads to skin cancer development. We aimed to investigate SMAD4 methylation efects on mRNA and protein expression of SMAD4 in cancer and healthy tissues from patients with basal cell carcinoma (BCC), cutaneous squamous cell carcinoma (cSCC), and basosquamous skin cancer (BSC).

**Methods and results** The study included 17 BCC, 24 cSCC and nine BSC patients. DNA and RNA were isolated from cancerous and healthy tissues following punch biopsy. Methylation-specifc polymerase chain reaction (PCR) and real-time quantitative PCR methods were used to examine SMAD4 promoter methylation and SMAD4 mRNA levels, respectively. The percentage and intensity of staining of the SMAD4 protein were determined by immunohistochemistry. The percentage of SMAD4 methylation was increased in the patients with BCC ( $p=0.007$ ), cSCC ( $p=0.004$ ), and BSC ( $p=0.018$ ) compared to the healthy tissue. SMAD4 mRNA expression was decreased in the patients with BCC ( $p^2(0.001)$ , cSCC ( $p^2(0.001)$ , and BSC ( $p=0.008$ ). The staining characteristic of SMAD4 protein was negative in the cancer tissues of the patients with cSCC  $(p=0.00)$ . Lower SMAD4 mRNA levels were observed in the poorly differentiated cSCC patients ( $p=0.001$ ). The staining characteristics of the SMAD4 protein were related to age and chronic sun exposure.

**Conclusions** Hypermethylation of SMAD4 and reduced SMAD4 mRNA expression were found to play a role in the pathogenesis of BCC, cSCC, and BSC. A decrease in SMAD4 protein expression level was observed only in cSCC patients. This suggests that epigenetic alterations to the SMAD4 gene are associated with cSCC.

**Trial Registration** The name of the trial register: SMAD4 Methylation and Expression Levels in Non-melanocytic Skin Cancers; SMAD4 Protein Positivity.

The registration number: NCT04759261 ([https://clinicaltrials.gov/ct2/results?term=NCT04759261\)](https://clinicaltrials.gov/ct2/results?term=NCT04759261).

**Keywords** Basal cell carcinoma · Squamous cell carcinoma · SMAD4 protein · DNA methylation · mRNA

**The registration number**: NCT04759261 [\(https://clinicaltr](https://clinicaltrials.gov/ct2/results?term=NCT04759261) [ials.gov/ct2/results?term=NCT04759261\)](https://clinicaltrials.gov/ct2/results?term=NCT04759261).

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# **Introduction**

Non-melanocytic skin cancers (NMSCs) are the most common malignancies in humans, and their incidence is increasing. The main NMSC types are basal cell carcinoma (BCC) and cutaneous squamous cell carcinoma (cSCC), which together account for approximately 99% of all NMSCs [\[1](#page-7-0)]. The incidence of NMSC increases with age, and recent global statistics indicate that that more than one million new cases are diagnosed per year [\[2\]](#page-7-1). BCC accounts for 80–85% of NMSC cases, with cSCC making up 15–20% of such cases [[3](#page-7-2)]. Basosquamous carcinoma (BSC) is a clinically aggressive skin tumor with characteristics of both BCC and cSCC [[4\]](#page-7-3). It occurs in 1.2–2.7% of all skin carcinomas [[5\]](#page-7-4). Although BSC has the histological characteristics of both BCC and cSCC, the molecular and genetic mechanisms underlying this type of cancer have not been fully elucidated [\[6](#page-7-5)].

The pathogenesis of NMSCs is multifactorial [[7](#page-7-6)]. The primary risk factor for the development of skin cancer is cumulative exposure to ultraviolet radiation (UVR) [[8](#page-7-7)]. UVR-induced DNA damage and high UVR intensity are the main environmental factors involved in the etiology of skin cancer. Genetic factors (such as specifc mechanisms, genes, and the tumor microenvironment) play a role in the development of NMSCs [[3\]](#page-7-2). Hanahan described how cells surrounding a tumor can undergo epigenetic reprogramming, especially when recruited by molecules and growth factors that alter the function of their internal signaling pathways [\[9](#page-7-8)]. In recent years, epigenetic modifers have been discovered, notably in more than 50% of cSCC mutations [[10\]](#page-8-0). One of the best-known epigenetic modifcations is DNA methylation, which alters the expression of key genes involved in various biological processes [[11\]](#page-8-1).

Transforming growth factor beta (TGF-β) signaling is a growth inhibitor for keratinocytes in the skin and a profbrotic factor in the dermis [\[12](#page-8-2)]. Exposure to UVR suppresses TGF-β signaling and abrogates the growth inhibitory efect of TGF-β; this is refected in the clinical course in the early stages by the formation of actinic keratosis, a precancerous condition associated with epidermal hyperplasia [[13\]](#page-8-3). With the progressive suppression of TGF-β signaling and under the infuence of UVR, long-term gene mutations in TGF-β signaling components (such as TGFbRI, TGFbRII, small mothers against decapentaplegic homolog 2 (SMAD2), and SMAD4) occur in both epidermal keratinocytes and stem cells [[14](#page-8-4)]. SMAD4 is the core mediator of the canonical TGF- $\beta$  signaling pathway [[15\]](#page-8-5). Loss of SMAD4 plays a critical role in the response to genomic instability resulting from DNA damage. This is quite evident in skin cancer, and SMAD4 is thought to play an important role in the progression of various tumor types [\[16](#page-8-6)].

The aim of this study was to investigate the relationship between potential promoter methylations in the SMAD4 gene, which is an important component of the TGF-β signaling pathway, SMAD4 mRNA expression, and histopathological changes in SMAD4 protein expression in healthy (adjacent tissue) and cancerous keratinocytes. It is also thought to contribute to the molecular pathogenesis of BSC.

## **Materials and methods**

#### **Patients and tissue preparation**

Based on the clinical presentation and dermoscopic features of patients admitted to the Department of Dermatology and Venereology, Trakya University Faculty of Medicine, between March 2020 and 2021, a total of 50 patients with suspected BCC, cSCC, and BSC following a preliminary diagnosis were enrolled in the study. Patients with mucosal involvement who had previously undergone surgery for NMSC at an existing tumor site and/or had received radiotherapy for NMSC were excluded from the study. Approval for the study was granted by the Scientifc Research Ethics Committee of the Trakya University Faculty of Medicine (approval number: 18/14, date: 06/11/2019). All the subjects who agreed to participate in the study gave their written informed consent.

The patients' sociodemographic and clinical characteristics (i.e., age, gender, profession [[17](#page-8-7)], Fitzpatrick skin type, tumor site [[18](#page-8-8)], tumor duration, history of NMSCs, family history of skin cancer, immunosuppression, chronic sun exposure [[8\]](#page-7-7), tobacco and alcohol use, number of patients with  $\geq$  2 BCCs) were recorded. Macrophotographs were obtained from the patients, followed by polarized and unpolarized dermoscopic images (PhotoFinder®, x20). The review by Reiter et al. [\[19\]](#page-8-9) was used to evaluate the dermoscopic characteristics of BCC, and the publication by Sgouros et al. [\[20\]](#page-8-10) was used to evaluate the dermoscopic characteristics of cSCC. Both the clinical and dermoscopic characteristics were evaluated, and a biopsy was performed with the appropriate preliminary diagnosis. The histopathological diagnosis was confrmed by total excision in all the patients, and those patients in whom the results of the punch biopsy and total excision did not correlate were excluded from the study.

In patients who agreed to participate in the study, the most diagnostic areas of the tumoral lesions were identifed and marked using a dermoscope and two incisional biopsies were obtained using a 4 mm punch biopsy instrument (Kai® Medical, Japan). The material obtained from the frst biopsy was sent to the Biophysics Laboratory of Trakya University, and the material obtained from the second biopsy was sent to the university's Pathology Laboratory. To obtain sufficient tissue material, tumor lesions smaller than 10 mm were not included in the study.

As this was designed as an epigenetic study, another biopsy was obtained from healthy tissue adjacent to the tumors, as both tissues should be similarly afected by environmental factors. Healthy tissue specimens were collected from an adjacent area of healthy skin approximately 5 mm from the tumor margin using a 5 mm punch biopsy instrument (Kai® Medical, Japan). The collected material was divided into two halves; one half of the tissue was sent to the Trakya University Biophysics Laboratory and the other half to the Trakya University Pathology Laboratory. The lesion-free skin region was selected based on the study by Gambichler et al. [[21](#page-8-11)]. Since an epigenetic study was to be performed, a biopsy was taken from the

adjacent tissue because tumor and healthy tissues should be similarly afected by environmental factors.

#### **DNA preparation and bisulfte modifcation**

The materials obtained from the tumor and healthy tissues were placed in tubes for DNA isolation and bisulfte modifcation and stored at −80 °C until the study day. The DNA was isolated according to the manufacturer's instructions using standard protocols (Invitrogen™ PureLink™ Genomic DNA Mini Kit, Thermo Fisher Scientifc Inc., USA). The DNA was analyzed after quantifcation at 280 and 260 nm wavelengths using the spectrophotometric method. After DNA isolation, approximately 250 ng of genomic DNA was denatured through treatment with the EpiJET Bisulfte Conversion Kit for Sodium Hydroxide and modifed with sodium bisulfte (Thermo Fisher Scientifc Inc., USA) [\[22](#page-8-12)[–24](#page-8-13)]. The specimens were stored at  $+4$  °C for further analysis.

#### **Methylation analysis**

After the chemical modifcation of the DNA, the DNA samples were used for methylation analysis of the SMAD4 gene. The program MethPrimer V1.1 beta was used to identify potential methylation sites and methylation-specifc primer sequences for the SMAD4 gene sequence ([www.urogene.](http://www.urogene.org/methprimer/) [org/methprimer/\)](http://www.urogene.org/methprimer/) [\[25\]](#page-8-14). Polymerase chain reactions (PCR) were performed on the DNA samples using methylationspecifc and un-methylation-specifc primers. The PCR conditions for the two sets of reactions were as follows: 95 °C for 10 min, then 35 cycles at 95 °C for 45 s, 55 °C (methylated–unmethylated) for 30 s, and 72 °C for 30 s, followed by a fnal extension of 5 min at 72 °C.

The media for methylated and unmethylated specifc PCR were as follows: PCR buffer, 1x; MgCl<sub>2</sub>, 2 mM; DMSO, 5% (v/v); dNTP, 12.5 mM; primer forward, 10 nM; primer reverse, 10 nM; Taq polymerase, 1U (5U/µL). The template DNA (100 ng) was made up to 50  $\mu$ L of dH<sub>2</sub>O. The methylated and unmethylated human DNA were used as positive and negative control DNAs (S8001 CpGenome™ Human Methylated and Non-Methylated DNA Standard Set, Sigma-Aldrich, USA). The PCR products were then evaluated on a 2% agarose gel under ultraviolet light, and the cancer tissues were compared with the healthy tissues for methylation, and the methylation percentage was determined [\[23–](#page-8-15)[25](#page-8-14)]. The methylation-specifc primers for the promoter of the SMAD4 gene region in the methylation-specifc PCR method are listed in Supplementary Table 1.

#### **Quantitative real‑time PCR**

The total RNA from 50 mg each of the healthy and cancer skin tissues was extracted using the PureLink™ RNA Mini

Kit according to the manufacturer's instructions (Thermo Fisher Scientifc Inc., USA). Real-time PCR analysis was then performed to investigate the mRNA level of SMAD4 in the skin tissues. For this purpose, a StepOne™ Real-Time PCR System was used for cDNA synthesis using the Protocol for cDNA High-Capacity cDNA Reverse Transcription Kit (Thermo Fisher Scientifc Inc., USA). The TaqMan assay used for SMAD4 expression analysis was Hs00929647\_m1 (Thermo Fisher Scientific Inc., USA). A PCR efficiency of  $100\% \pm 2\%$  was guaranteed for all the assays. For cDNA, programming the thermocycler conditions with one of the thermocyclers at 25 °C for 10 min, 37 °C for 120 min, 85 °C for 5 min. Later for qPCR; 5 µL TaqMan Gene Expression Mix (Thermo Fisher Scientifc Inc., USA) was used for the 0.5 µL probe assay, 2 µL cDNA and 2.5 µL H<sub>2</sub>O AMPI-GENE® qPCR Probe Mix Hi-ROX (Enzo Life Sciences AG, Switzerland) were used. The real-time PCR conditions were 95 °C for 2 min, and the PCR stage consisted of 45 cycles at 95 °C for 5 s and 60 °C for 20 s. Each sample was analyzed in duplicate, and the mean the threshold cycle (Ct) value was used for further analysis. A Ct value greater than 35 was considered too low for quantifcation. The most relevant housekeeping gene was selected, and the relative expression was calculated using the ∆∆Ct (delta delta Ct) method and normalized to β-actin as the reference gene. We used the software programs embedded in the StepOne™ Real-Time PCR System (Thermo Fisher Scientifc Inc., USA) for qPCR experiments most typically use the  $\Delta \Delta \text{C}t$  (2<sup> $\Delta \Delta \text{CT}$ </sup>) approach for relative quantifcation. The cycle at which the fuorescence intensity reaches a specifc level is known as the Ct. This approach employs a reference gene as the normalizer to directly determine the relative gene expression in the target and control samples from the Ct data produced by a qPCR instrument. The Ct diference between the target and reference genes is the first Ct in the  $2^{\triangle\Delta CT}$  method [[26](#page-8-16), [27](#page-8-17)].

#### **Histology and immunostaining**

The tissue specimens were fxed in 10% neutral-bufered formalin, blocked in paraffin, sectioned, stained with hematoxylin and eosin, and examined by light microscopy. The diagnoses of the main types of NMSC were made by an independent pathologist based on previously determined histopathological criteria [[28](#page-8-18)]. The patients diagnosed with cSCC were divided into three subgroups according to the degree of histopathological diferentiation [[29](#page-8-19)]. Immunohistochemical staining of the SMAD4 was performed by plating sections from the case blocks on slides with lysine (positively charged). Detection of the SMAD4 antibody was performed according to the manufacturer's instructions (clone B-8, Santa Cruz Biotechnology Inc., Germany). The scoring system of He et al. was used to evaluate the SMAD4 immunohistochemical expression, and the percentage and intensity of SMAD4 staining in the lesional and healthy tissues were considered [[30\]](#page-8-20). No points were given for the number of positively stained cells  $< 5\%$ , 1 point was allocated for 6–25% positively stained cells, 2 points for 26–50%, 3 points for 51–75%, and 4 points for >75%. When evaluating the staining intensity of the preparations, colorless preparations received 0 points, pallide-favens preparations received 1 point, yellow-stained preparations received 2 points, and brown-stained preparations received 3 points. The positivity values were divided into four groups according to the total score obtained by multiplying the number of positively stained cells by the staining intensity score: negative (0 points),  $+$  positive (1–4 points, weak),  $++$  positive  $(5–8 \text{ points}, \text{intermediate})$ , and  $+++$  positive  $(9–12 \text{ points}, \text{intermediate})$ strong). The healthy tissue harvested 5 mm from the lesional skin served as the control tissue and negative control antibodies for immunohistochemical staining.

## **Statistical analysis**

The program SPSS version 22 was used to analyze the data. The data were expressed as arithmetic mean, standard deviation, number, and percentage. Pearson's chi-square and Fisher's exact tests were performed to compare the categorical data between the groups. Because the continuous data were not normally distributed (Kolmogorov–Smirnov and Shapiro–Wilk, p˂0.05), the Mann–Whitney *U* test was used for comparisons between the two groups, and the Kruskal–Wallis *H* test was used for comparisons between more than two groups (post hoc comparisons using the Mann–Whitney *U* test with Bonferroni correction). A p-value  $< 0.05$  was considered statistically signifcant.

# **Results**

## **Patient characteristics**

The sociodemographic and clinical characteristics of the patients are summarized in Supplementary Table 2. Among the total 50 NMSC patients examined, 74.0% were over 65 years of age ( $n=37$ ), 76.0% were male ( $n=38$ ), and 88.0% had a history of chronic sun exposure  $(n=44)$ . Of the tumors, 92.0% were located in the head and neck region  $(n=46)$ .

# **Methylation status and mRNA expression of SMAD4 in the NMSC and healthy tissues**

The mean value of the percentage of SMAD4 methylation in the patients with NMSC was  $24.12 \pm 25.9$  in the patients with BCC,  $27.67 \pm 24$  in the patients with cSCC, and  $36.44 \pm 19.35$  in the patients with BSC. A statistically signifcant diference in the percentage of SMAD4 methylation was observed in all three groups compared with the healthy tissues (Supplementary Tables 3, Figs. [1](#page-3-0) and [2\)](#page-4-0).

In the patients with NMSC, the mean value of SMAD4 mRNA expression was  $0.18 \pm 0.1$  in the patients with BCC,  $0.32 \pm 0.12$  in those with cSCC, and  $0.22 \pm 0$  in those with BSC. A statistically signifcant diference in SMAD4 mRNA expression values was found in all three groups compared with the healthy tissues (Supplementary Tables 4, Fig. [3\)](#page-4-1).

Histopathologically, the degree of differentiation in the patients with cSCC was compared with the mean values of the SMAD4 methylation percentages and mRNA expression, and it was concluded that the SMAD4 mRNA levels were statistically lower in the poorly differentiated

<span id="page-3-0"></span>**Fig. 1** PCR results of methylated (M) and unmethylated (U) primer sets on genomic DNA for the SMAD4 gene: lane 1 DNA marker; lane 2, positive control; lane 3–4 BCC; lane 5–6 cSCC; lane 7–8 BSC. The fragment length of methylated DNA was 164 bp and that of unmethylated DNA was 163 bp. *PCR* Polymerase chain reaction; *BCC* basal cell carcinoma; *cSCC* cutaneous squamous cell carcinoma, *BSC* basosquamous carcinoma, bp base pair



<span id="page-4-0"></span>**Fig. 2** Diagram showing significant ( $p < 0.05$ ) increase in methylation degree of SMAD4 in cancer tissues from patients with with BCC, cSCC and BSC compared to healthy(adjacent) tissues. In bold: statistically significant. <sup>a</sup>Wilcoxon Signed Ranks analysis. *BCC* basal cell carcinoma, *cSCC* cutaneous squamous cell carcinoma, *BSC* basosquamous carcinoma

<span id="page-4-1"></span>**Fig. 3** Diagram showing the significant ( $p < 0.05$ ) reduction in mean mRNA expression of SMAD4 in cancer tissues from patients with BCC, cSCC and BSC compared to healthy (adjacent) tissues. In bold: statistically significant. <sup>a</sup>Wilcoxon Signed Ranks analysis. *BCC* basal cell carcinoma, *cSCC* cutaneous squamous cell carcinoma, *BSC* basosquamous carcinoma





<span id="page-4-2"></span>**Table 1** Comparison of histopathological diferentiation degree of cancer tissues from patients with cutaneous squamous cell carcinoma and SMAD4 mRNA level and SMAD4 methylation degree



*n* number of patients, *SD* standart deviation. <sup>a</sup> Kruskal-Wallis H analysis. In bold: statistically signifcant

group than in the well or moderately differentiated group  $(p = 0.001)$  $(p = 0.001)$  $(p = 0.001)$  (Table 1).

When the SMAD4 methylation status and mRNA expression levels were compared between tumoral lesions located in sun-exposed and non-sun-exposed areas, no statistically significant differences were detected (Supplementary Tables 5 and 6).

### **Immunohistochemical analysis of SMAD4 protein levels in the NMSC and healthy tissues**

The SMAD4 protein levels were analyzed in a total of 50 NMSC specimens, of which 17 were BCC (34.0%), 24 were cSCC (48.0%), and nine were BSC (18.0%). Approximately 50 specimens of healthy tissue served as the control group. Representative photographs are shown in Fig. [4.](#page-5-0)

While positive staining of the SMAD4 protein was observed in  $\Delta \Delta$ Ct 0.0% of the healthy tissues from the patients with cSCC, staining was detected in the cancer tissues from the same patients ( $p=0.00$ ). In the patients with BCC and BSC, no statistically signifcant diferences were found between the healthy and cancer tissues in terms of the staining characteristics of the SMAD4 protein (Table [2](#page-6-0)).

In the tissues of the patients with NMSC, the degree of immunohistochemical staining of SMAD4 and the sociodemographic and clinical characteristics of the patients were compared. Supplementary Table 7 shows that the SMAD4 staining characteristics were related to age and chronic sun exposure. While 93.8% of the cancer tissues from the patients over 65 years of age showed negative staining  $(n=15)$ , 42.3% of the cancer tissues from the patients younger than 65 years showed positive staining  $(n = 11)$ ;  $p=0.029$ ). Negative staining of SMAD4 was detected in



<span id="page-5-0"></span>**Fig. 4** Histopathological analysis of cancer and healthy tissues showing BCC, SCC and BSC. **a1–a3** Visualization of BCC, SCC, and BSC at 200x magnification by H and E staining, SMAD4 positivity in healthy tissues; **b1** 2 positive SMAD4 in healthy tissues in the patient with BCC, **b2** 3 positive SMAD4 in healthy tissues in the patient with SCC, **b3** 1 positive SMAD4 in healthy tissues in the

96.8% ( $n=30$ ) of the patients with a history of chronic sun exposure  $(p=0.032)$ .

#### **Discussion**

The loss of SMAD4 in squamous cell carcinomas impairs the DNA damage response and repair mechanisms, increases genomic instability, and plays an important role as an initiator of SCC. Moreover, the overexpression of TGF-β, which increases with the loss of SMAD4, leads to alterations in the tumor microenvironment, thus causing the progression of SCC [[31](#page-8-21)]. Homozygous deletions (30%) and mutations associated with a loss of heterozygosity (20%) result in a lack of SMAD4 protein expression [\[32\]](#page-8-22). Hoot et al. showed that the downregulation of SMAD4 at a gene or protein level due to a loss of heterozygosity results in cSCC [[33\]](#page-8-23). Mitra

patient with BSC (DABx200), SMAD4 positivity in cancer tissues; **c1** 1 positive SMAD4 in cancer tissues in the patient with BCC, **c2** 3 positive SMAD4 in cancer tissues in the patient with SCC, **c3** 3 positive SMAD4 in cancer tissues in the patient with BSC. White bars 100 μm for all panels

et al. found that mice with keratinocyte-specifc SMAD4 deletion exhibit increased DNA damage and susceptibility to UV-induced skin cancer with chronic UVR exposure [[34](#page-8-24)].

Promoter hypermethylation may precede genetic mutations and genomic instability in tumor development. It is therefore not only critical for carcinogenesis, but also represents a potential therapeutic target [\[35\]](#page-8-25). Hypermethylation of the promoter of the SMAD4 gene has been studied in various cancer types [\[36](#page-8-26)].

Squamous cell carcinoma subtypes share many phenotypic and molecular characteristics. Studies have shown that both head and neck SCC (HNSCC) and cSCC share common molecular markers  $[37]$  $[37]$ , and overexpression of TGF- $\beta$  has been observed in these two cancers [\[38](#page-8-28)]. Studies of HNSCCs have shown that SMAD4 expression decreases at rates ranging from 12 to 86%. The variability of these ratios is explained as follows: (1) diferent criteria are used in studies <span id="page-6-0"></span>**Table 2** Comparison of immunohistochemical staining characteristics of SMAD4 protein of non-melanocytic skin cancer tissue and healthy (adjacent) tissue



a Fisher's Exact test. In bold: statistically signifcant

to defne "reduced SMAD4 expression," and (2) unrelated normal tissue instead of adjacent non-malignant tissue in tissue samples may be used as SMAD4 positive controls [\[31](#page-8-21)]. In the study by Bornstein et al., the SMAD4 protein was found to be lost in both the cancerous and normal mucosa of HNSCC [\[39\]](#page-8-29). In some cases, the loss of heterozygosity is due to mutations in the SMAD4 gene; in other cases, a decrease in SMAD4 expression may be observed due to methylation in the SMAD4 gene. Numerous studies have been conducted on DNA hypermethylation in HNSCC, and this epigenetic process has been found to be associated with the prognosis of the disease [[11,](#page-8-1) [40\]](#page-8-30). A review published in 2020 highlighted that hypermethylation had been observed in many genes during the development of cSCC [[41](#page-8-31)]. In our study, mRNA expression of SMAD4 was decreased in the cancer tissues compared with the healthy tissues in the patients with cSCC, while the methylation of SMAD4 was increased. Previous studies have reported hypermethylation in both HNSCCs and cSCCs. Our study is thus the frst to show that the SMAD4 gene is hypermethylated in NMSCs.

Lin et al. showed that SMAD4 is involved in HNSCC cell migration and invasion via the suppression of endogenous and exogenous SMAD4 expression in patients with HNSCC [\[42](#page-8-32)]. Hervas-Marin et al. compared the methylation status of patients with low- and high-risk SCC and found a diferent methylation status between the two pathological stages, with hypomethylation in the low-risk stage of cSCC and hypermethylation in the high-risk cSCC stage [\[43\]](#page-8-33). In our study, a correlation was found between the diferentiation degrees used in the risk assessment of cSCC and the determination of prognosis and SMAD4 mRNA expression levels. These results support the fnding that low mRNA expression levels indicate a poor prognosis. In contrast to the data in the literature, a change in the percentage of SMAD4 methylation was not associated with the degree of diferentiation of cSCC in our study. Because cSCC has a multifactorial etiopathogenesis, we believe that further studies on this topic are justifed.

SMAD4 is known to be expressed in all layers of the epidermis, both in basal proliferative keratinocytes and suprabasal diferentiated keratinocytes [[38\]](#page-8-28). In one study, the immunohistochemical loss of SMAD4 expression in cSCC was reported to be 62% [[34](#page-8-24)]. In our study, negative staining of the SMAD4 protein in cancer tissues was found in the patients with cSCC, and these results are consistent with those in the literature.

Studies on BCC are limited in the literature. In terms of the assessment of the methylation status of BCC, Heitzer et al. found the hypermethylated protein patched homolog (PTCH) promoter in only a small number of cases [[44\]](#page-8-34). In a study by Brinkhuizen et al. on BCC subtypes, components of the Sonic Hedgehog and WNT signaling pathways, which play important roles in BCC etiopathogenesis, were shown to be hypermethylated [[45\]](#page-9-0). In our study, hypermethylation of the SMAD4 gene was detected in both the BCC and BCS patients.

In their study, Gambichler et al. determined that the mRNA expression of SMAD4 did not change signifcantly between lesional and non-lesional skin, and immunohistochemically, no diferences in the staining of SMAD4 were detected between lesional and non-lesional skin [[21](#page-8-11)]. Qiao et al. conducted a study on conditional SMAD4 knockout mice and found that the mutant mice developed visible skin tumors at 3–13 months of age; histological analysis of 20 tumors revealed that most were SCC and rarely BCC [\[46\]](#page-9-1). In

the study by Lange et al., in which they examined SMAD4 protein expression by immunohistochemistry, SMAD4 expression was decreased in the patients with BCC compared to those with benign epithelial tumors. However, the authors did not specify the BCC subtypes [\[47](#page-9-2)]. The study by Gambichler et al. was designed to investigate nodular and superficial subtypes of BCC  $[21]$ . In our study, no change in the staining level of the SMAD4 protein was detected by immunohistochemistry in either the BCC or BSC tissues compared with the healthy tissue. This again proved that BSC should be considered a subgroup of BCC.

A recent fascinating study by Chiang pointed out that the genomic alterations characteristic of BSC are related to the signaling pathways leading to the development of early stage BCC and those associated with late-stage SCC [\[4](#page-7-3)]. In our study, the SMAD4 methylation and mRNA expression levels in the patients with BSC were consistent with those in the patients with BCC and SCC.

To understand the pathogenesis of BCC, the measurements of mRNA and protein levels should be complementary [[48\]](#page-9-3). From the data we obtained, unlike in cSCC, the change in mRNA expression was not commensurate with the change in protein expression in BCC and BSC. This can be explained by the diferent biological mechanisms that separate the mRNA and protein levels in tissues and the diferences between the mRNA and protein measurement methods [\[49](#page-9-4), [50](#page-9-5)].

The staining characteristics of SMAD4 protein have been found to be increased in NMSC tissues, in patients over 65 years of age, and in patients with chronic sun exposure. These results can be interpreted as follows: (1) the incidence of NMSCs increases with age [\[1\]](#page-7-0), and (2) under the infuence of UVR, gene mutations such as SMAD4 may occur in the long term [\[14](#page-8-4)].

Our study had some limitations: (1) It was performed in a small population. (2) A healthy population without a history of skin cancer was not used as the control group. (3) Mutations such as deletion in the SMAD4 gene and the loss of heterozygosity could not be examined.

# **Conclusion**

The mRNA expression level of the SMAD4 gene and its changing protein expression are particularly important for the early diagnosis and prognosis of cSCC. Our study found that SMAD4 methylation increased and SMAD4 mRNA expression decreased in the major subtypes of NMSC. Furthermore, the staining characteristic of SMAD4 protein expression changed only in the patients with cSCC. To our knowledge, this study provides new insights into the pathogenesis of NMSC via the methylation of SMAD4. Despite the limitations mentioned above, we consider this a preliminary study that may guide further research to elucidate the role of SMAD4 in patients with NMSC.

**Supplementary Information** The online version contains supplementary material available at<https://doi.org/10.1007/s11033-023-08656-2>.

**Author contributions** YGÜ and MB designed the research study. MB and EUK performed the research. YGÜ and MB carried out the data analysis and writing—review and editing. All the authors discussed the results and contributed to the fnal manuscript.

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**Data availability** All data generated or analysed during this study are included in this published article and its supplementary information fles.

# **Declarations**

**Competing interests** The authors agree that there are no conficts of interest to declare.

**Ethical approval** Approval for the study was granted by the Scientifc Research Ethics Committee of the Trakya University Faculty of Medicine (approval number: 18/14, date: 06/11/2019).

**Informed consent** All the subjects who agreed to participate in the study gave their written informed consent.

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