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Affordable $CO₂$ negative emission through hydrogen from biomass, ocean liming, and $CO₂$ storage

Stefano Caserini¹ $\bigcirc \cdot$ Beatriz Barreto¹ \cdot Caterina Lanfredi¹ \cdot Giovanni Cappello² \cdot Dennis Ross Morrey² · Mario Grosso¹

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Abstract

A new process to remove carbon dioxide $(CO₂)$ from the atmosphere, by combining commercial industrial technologies with ocean liming and $CO₂$ storage, is presented. The process aims to overcome the limiting factors of other negative emission technologies (cost and energy requirements, potential competition for land and freshwater) while simultaneously addressing the problem of ocean acidification. The overall proposed process is based on the following: (a) a gasifier where the biomass is converted to syngas; (b) a thermal steam reformer working at high temperature where the hydrocarbons and tar oils are converted to hydrogen $(H₂)$ and carbon monoxide (CO); (c) a kiln to produce $Ca(OH)_2$ (slaked lime) from limestone by using the enthalpy of the hot syngas; (d) the spreading, by means of vessels, of the slaked lime into the seawater to achieve ocean liming; (e) the delivery of syngas to a water gas shift reactor producing $CO₂$ and $H₂$ that are then separated; (f) the final storage of all $CO₂$ produced in the process; (g) the use of H_2 , being the valuable by-product of the whole process, for decarbonized energy production as well as for ammonia synthesis, offsetting part of the production cost, thus generating "low-cost" negative emissions. The mass and energy balances show that the total atmospheric CO_2 removed by the process is 2.6 ton per ton of biomass used. By adding an estimated 0.43 ton avoided—thanks to the use of produced H_2 —the overall CO₂ benefit of the process increases to 3.0 ton per ton of biomass. A preliminary cost analysis resulted in an average levelized cost of 98 $\$ per ton of $CO₂$ removed; when considering the revenues from the produced energy, the cost falls to $64 \frac{$(/tCO_2$]}{The higher efficiency in carbon$ removal obtained allows to reduce the amount of biomass required by BECCS (bioenergy with carbon capture and storage) to achieve negative emissions, and thanks to the valuable H_2 produced it lowers the costs of $CO₂$ removal from the atmosphere.

Keywords Negative emissions. Ocean alkalinization . Carbon dioxide removal . Gasification . Calcination . Carbon storage

 \boxtimes Stefano Caserini stefano.caserini@polimi.it

Extended author information available on the last page of the article

1 Introduction

Despite all mitigation efforts to reduce carbon dioxide (CO_2) emissions, atmospheric CO_2 concentrations are still increasing at a high rate with mounting evidence that a huge amount of carbon will need to be removed from the atmosphere to reach the ambitious climate targets established in the Paris Agreement of limiting global temperature increase to well below 2 °C of pre-industrial levels (let alone to pursue all efforts to stay below $+1.5$ °C). Most scenarios assessed in the Fifth Assessment Report of the Intergovernmental Panel on Climate Change (IPCC) (Clarke et al. [2014](#page-15-0)) rely on the use of negative emission technologies (NETs) to limit global warming to 2 °C cost-effectively. Many NETs have been proposed, such as bioenergy with carbon capture and storage (BECCS), afforestation and reforestation, land management to increase carbon in soils, enhanced weathering, direct air capture and carbon storage, ocean fertilization, and ocean alkalinization, and have been widely discussed in the literature (Minx et al. [2018;](#page-16-0) EASAC [2018\)](#page-15-0). Although the current knowledge on NETs is still incomplete, many estimates of costs, potentials, and side effects are available (Fuss et al. [2018\)](#page-15-0).

NETs still face difficulties in their deployment due to many different environmental, social, and economic reasons (Smith et al. [2016](#page-17-0)); in particular, the cost of the existing NETs and technologies for carbon capture and storage (CCS) is very high and is a substantial obstacle for their deployment at a large scale (Honegger and Reiner [2018\)](#page-16-0). For this reason, the availability of affordable processes for the removal of $CO₂$ will be a key factor for effectively limiting its increase in the atmosphere in the coming decades. According to Nemet et al. [\(2018](#page-16-0)), although the majority of technologies are still in the research and development stage, several gigatonnes (Gton) of removal for each individual NET are required in order to have an impact on Earth's climate; innovation in NETs is thus crucial and consists in generating better performances (such as more carbon removed), fewer adverse side effects, and more societal acceptance, including lower costs.

The aim of this paper is to describe and assess the potential performances and costs of a new process (patent pending, IPN WO2018/134/775/A1) that allows the removal of $CO₂$ from the atmosphere by combining available industrial technologies with ocean liming and $CO₂$ storage. The process aims to overcome the limiting factors of NETs, such as cost and energy requirements (for direct air capture), logistics of spreading materials over large areas (for enhanced weather technologies), and potential competition for land and freshwater (for afforestation and BECCS). Furthermore, this process also allows for addressing oceans' acidification—the "evil twin" of climate change.

Although a complete life-cycle assessment (LCA) of the process is currently in progress and is not reported in this paper, a detailed $CO₂$, mass, and energy balance, highlighting its innovative characteristic and its overall performance, is described and commented. A cost analysis is also reported, and the main environmental challenges are discussed.

2 Description of the process

A schematic outline of the process is shown in Fig. [1](#page-2-0) and is described in the following paragraphs.

2.1 Biomass gasification

The first step is the gasification (auto-thermal reforming, ATR) of biomass feedstocks. It is a proven industrial process (Sikarwar et al. [2017](#page-17-0)) that converts solid biomass into a synthesis gas

Fig. 1 Schematic description of the process

(syngas) composed of hydrogen (H_2) , carbon monoxide (CO), carbon dioxide (CO₂), methane (CH_4) , nitrogen (N_2) , water vapor (H_2O) , and hydrocarbons (tars). The gas composition depends on the feedstock used, the gasifying agent, the type of gasifier, and the process parameters (Couto et al. [2013;](#page-15-0) Baláš et al. [2015](#page-14-0)). The process is performed at atmospheric or subatmospheric pressure, in order to promote the downstream calcination of limestone, as explained in detail by García-Labiano et al. [\(2002\)](#page-15-0). The gasification could also be applied to other solid carbonaceous materials like coal, as discussed in Section [4.2](#page-11-0); however, the use of biomass emphasizes the role of the process as a negative emission technology.

The syngas from the ATR is purified from the tars by means of a well-known thermal steam reforming process (also called thermal cracking), a widespread technology in the petrochemical sector (Milne et al. [1998](#page-16-0)); with this process, by controlling the temperature and residence time, almost all hydrocarbons are converted into $H₂$, CO, and CO₂. The resulting high temperature gas $({\sim}1000\text{ °C})$ is mainly composed of H₂, CO, CO₂, H₂O, and N₂, whose relative amount depends mainly on the purity of the oxygen used as gasification agent. Ninety percent purity oxygen, produced by a vacuum pressure swing adsorption unit, has been assumed in the calculations, in order to reduce the overall cost for this medium-size modular gasification plant.

2.2 Calcination and production of slaked lime

The sensible heat of the high-temperature syngas leaving the cracking unit at about 1000 \degree C is used to provide the energy for the limestone calcination process (CO2APPS [2017\)](#page-15-0). The hot syngas is sent to an updraft calcination kiln (calcinator) where the limestone is loaded countercurrently. The calcination reaction of the limestone is highly endothermal, and the rate at which it occurs depends on the temperature and on the $CO₂$ partial pressure in the syngas (Stanmore and Gilot 2005). The $CO₂$ produced during the calcination of the limestone increases the amount of $CO₂$ of the syngas. The exact temperature of the syngas leaving the top of the calcinator (\sim 280 °C) will be optimized for the downstream processes. The calcinator also allows the removal of all the acidic components in the syngas (Hu et al. [2006](#page-16-0)). The lime

produced by the calcination process is further processed into calcium hydroxide $(Ca(OH))$ ₂, also called slaked lime) by adding the proper amount of water; the heat generated by this very exothermal process is conveniently used within the process through a heat recovery steam generator (HRSG) to provide steam to the gasifier.

2.3 Ocean liming

Slaked lime is dissolved in seawater through a process named ocean liming (or ocean alkalinization) that adds alkalinity to seawater. Increasing the ocean's alkalinity allows more atmospheric carbon to be absorbed by the ocean, which is stored in the seawater as bicarbonates. Simultaneously, it locally increases the $CO₂$ buffering capacity of the ocean, thus contrasting the ocean acidification caused by the natural uptake of atmospheric carbon. The use of open ocean alkalinization as a mean to sequester atmospheric carbon and limit the decrease of pH at the ocean's surface has been increasingly studied during the last decades. Many authors (Kheshgi [1995;](#page-16-0) Köhler et al. [2013](#page-16-0); González and Ilyina [2016](#page-15-0); Renforth and Henderson [2017\)](#page-17-0) indicate a large potential of carbon removal by ocean alkalinization, as well as technological challenges (Renforth et al. [2013](#page-17-0)) and potential side effects, such as interferences with ocean ecological and biogeochemical functioning, that will be discussed in Section [4.1](#page-10-0).

Slaked lime can be discharged within the wake of a ship (Keller et al. [2014;](#page-16-0) Renforth et al. [2013](#page-17-0)); a more complex, but potentially more effective, alternative based on the injection into the deep seawater currents that end into upwelling regions will be discussed in Section [4.2.](#page-11-0)

Alternatively, the calcium hydroxide can be used in the industrial sector (i.e., to capture $CO₂$ from large point source flue gases (Hiltz et al. [2017](#page-15-0))).

2.4 Water gas shift reforming and $CO₂$ separation

The syngas with extra $CO₂$ produced by the calcination reaction of the limestone will be fed to a water gas shift reforming (WGSR), where CO and H_2O react generating H_2 and CO₂ (Reddy and Smirniotis [2015\)](#page-16-0). The gas leaving the WGSR, composed by H_2 , CO₂, H_2O , and N_2 , is sent to a $CO₂$ separation unit, based on pressure swing adsorption (PSA; Chou et al. [2013](#page-14-0)) or membranes (MTR 2017). The composition of the gas after $CO₂$ separation is calculated using the technical specifications of the membrane technology and is composed mainly of H_2 $(87.8\% \text{mol})$, with minor amount of CH₄ (6%mol), CO₂ (5.2%mol), CO (0.5%mol), Argon (0.4%) , and N₂ $(0.1\%$ mol).

2.5 CO₂ storage

The removed $CO₂$ is compressed and conditioned for its further disposal in a permanent storage that could be a saline formation, a depleted oil, or gas reservoir (Aminu et al. [2017\)](#page-14-0) or in glass capsules into the deep seabed (Caserini et al. [2017](#page-14-0)).

The geological storage of $CO₂$ is currently at the demonstration stage, with more than 30 projects in operation or under construction around the world (GCCSI [2017](#page-15-0)). Although in principle, there is a large potential for available area across the globe to geologically store $CO₂$ permanently (Fuss et al. [2018\)](#page-15-0), and in a CCS project the storage phase is generally less expensive than the capture, this phase is the most critical one. This is because it generally takes a complex and time-consuming assessments in order to qualify a saline formation suitable for CO2 storage and because of the large up-front investment needed to secure storage capacity (IEA [2014\)](#page-16-0). Furthermore, the storage potential has large regional variations with many regions having limited "practical" and "matched" capacity, as defined by the "Geologic $CO₂$ storage capacity pyramid" (Dooley [2013;](#page-15-0) IEAGHG [2008\)](#page-16-0).

Reservoirs used for enhanced oil recovery (EOR) and enhanced gas recovery (EGR) are the most promising CO2 deposits currently used and are a key business driver for CCS (GCCSI [2017\)](#page-15-0).

Another technique to permanently store $CO₂$, based on its compression in the liquid form inside glass capsules disposed into the deep seabed, has been recently proposed and evaluated by the authors of this paper (Caserini et al. [2017](#page-14-0); Barreto et al. [2018\)](#page-14-0). Although this option, called submarine carbon storage (SCS), is still at the research and development stage, it can represent an alternative to the conventional storage technologies, in particular for emission sources located in coastal areas where $CO₂$ injection in geological formations is not an option. A detailed life cycle and cost assessment has been carried out by the authors, showing a 10% average penalty for CO_2 storage and an average storage cost of 17 \$/tCO₂. This technology has been considered as the baseline option for $CO₂$ storage of this process.

2.6 Hydrogen-based products

 H_2 and N_2 obtained from the separator could be used in different ways. H_2 can be directly fed to an internal combustion engine for electric energy production, generating revenues that will lower the overall cost of the process. Another very efficient option is to further process H_2 and N_2 into anhydrous ammonia (NH₃) through a Haber Bosch reactor, generating valuable fuel for transportation which can be of great interest in particular for the marine sector (Zamfirescu and Dincer [2009;](#page-17-0) Barret [2015](#page-14-0)), as discussed in Section [4.2.3](#page-13-0).

3 Results

3.1 Mass and energy flows

The flows of energy and materials of the whole process are shown in Fig. [2,](#page-5-0) based on 1 ton (metric ton, from now on referred as ton) of biomass processed in the gasifier (carbon content 44.6%, moisture 10%, lower heating value 17 GJ/t).

Based on average data from existing plants (Stork et al. [2014](#page-17-0)), the energy generated during the reforming of 1 ton of biomass allows the production of 0.93 ton of calcium oxide (CaO) in the calcinator, resulting in 1.23 ton of slaked lime that is then discharged into the ocean. The amount of limestone and water that should be provided is 1.67 ton and 0.3 ton, respectively.

The $CO₂$ derived from the carbon content of biomass and from the limestone that is separated and sent to storage amounts to 2.02 ton, whereas 0.33 ton of flue gas, with an energy content of 12.8 GJ (39 GJ/t) and 88% H₂, is partly used in a cogeneration plant for the production of the energy required by the process, with the remaining provided to external users. In both cases, a 57% energy conversion efficiency is assumed (i.e., gas turbine GE Power 7HA.01/.02).

3.2 CO₂ balance

The carbon balance of the process is described in Fig. [3,](#page-5-0) again based on 1 ton of biomass fed into the gasifier.

Fig. 2 Schematic overview of the main energy and material flows of the process

The amount of absorbed atmospheric $CO₂$ from the biomass is 0.446 tons of C, but the net carbon removal according to a LCA approach will be lower because of the energy spent during the production chain (for biomass transport, fertilizers) and due to indirect land use change effects (Plevin et al. [2010](#page-16-0)).

Fig. 3 Carbon balance of the process (carbon flows in tC)

The theoretical amount of $CO₂$ taken up from the atmosphere after the discharge of the slake into the ocean is 2 mol per 1 mol of added CaO (i.e., 2 mol of alkalinity neutralize 2 mol of carbonic acid, with 2 mol of atmospheric carbon dioxide dissolving into the ocean to reestablish the equilibrium). In practice, because of the reaction between a small proportion of the hydroxyl and bicarbonate ions to form carbonate and water, only between 1.6 and 1.8 mol of carbon dioxide are actually absorbed (Renforth et al. [2013\)](#page-17-0). Furthermore, the efficiency of the ocean liming process is also limited by the air-sea gas exchange (driven by wind speed and by the partial pressure difference between the ocean and the atmosphere) and by the actual availability of the alkaline water at the ocean's surface. Thus, a conservative value of 1.4 mol of carbon dioxide absorbed per each mole of Ca(OH)₂ added has been assumed (Keller et al. [2014](#page-16-0)). Thus, 1.23 Mtons Ca(OH)₂ allow to absorb 1.03 Mtons of CO₂ (1.03 = 1.23·1.4·44/74, where 44 and 74 are the molecular weight of $CO₂$ and $Ca(OH)₂$, respectively (g/mol)).

The carbon sent to the final storage, 0.55 ton of C, is the sum of the carbon taken from the atmosphere and of the carbon content of limestone (0.20 ton of C). The carbon emitted in the atmosphere during the combined production of heat and power required by the process (0.016 ton) was discounted because of the carbon impurities ($CO₂$, $CH₄$, CO) contained in the $H₂$ stream.

The total amount of carbon directly removed from the atmosphere, 0.71 ton of C (2.6 tons of $CO₂$), is calculated by summing the carbon taken from the atmosphere by the biomass and by the ocean, then subtracting the carbon emitted during the production of energy required by the process (Table 1). C1 should be considered in the assessment of direct carbon removal as done in many carbon balance of BECCS (Fajardy and Mac Dowell [2017;](#page-15-0) Laude et al. [2011](#page-16-0); Tokimatsu et al. [2017\)](#page-17-0), because the carbon taken from the atmosphere and stored in the biomass is what allows BECCS to produce negative emissions.

The carbon avoided by the electric energy that could be generated by the external use of H_2 produced in the process (0.116 ton of C) can be estimated by considering the energy content of H2 provided to external users (9.8 GJ), a 57% power plant efficiency, and assuming an average $CO₂$ emission factor for the electricity displaced of 400 kg $CO₂/MWh$ (average for OECD countries in 2015 (IEA [2017\)](#page-16-0)), as well as the residual carbon in the flue gas used by the power plant.

Added to the direct carbon removal, the carbon benefit of the process increases to 0.83 ton of C, that is 3.0 tons of $CO₂$ for 1 ton of biomass used as a base unit.

3.3 Economic assessment

A preliminary cost assessment of the process has been carried out and is presented here. The methodology applied is based on Rubin ([2013](#page-17-0)), Roussanaly et al. [\(2013\)](#page-17-0), Roussanaly et al. ([2014](#page-17-0)), Renforth et al. [\(2013\)](#page-17-0), and Caserini et al. [\(2017\)](#page-14-0) and aims to assess the total cost of negative CO_2 emission in terms of levelized CO_2 cost (i.e., the total annual cost divided by the total amount of negative carbon dioxide, in US dollar) for a plant that processes 1 Mton of biomass per year.

Both capital expenditures (CAPEX) and operational expenditures (OPEX) are assessed. The CAPEX is split between bare erected costs (BEC); Engineering, procurement, and construction costs (EPCC); and contingencies. Details on BEC and on the fixed and variable OPEX costs evaluated are shown in Table 2.

The basic data used for CAPEX assessment are listed in Table [3](#page-8-0).

The assessment of a future cost of slaked lime application and $CO₂$ storage is challenging, since the literature is scarce and is based on theoretical assessments and few data on existing facilities.

Many alternatives have been considered for ocean liming, causing a variation in costs due to different types of application, energy, and capital investments. For example, a CAPEX of 14 M\$ has been assumed for port infrastructure of handling and discharging slaked lime; an OPEX of 7 \$/ton for slaked application, calculated considering the transportation of the $Ca(OH)_2$ with a dry bulk 76,000 ton vessel with a daily cost of 14,750\$/day (Vasilieou [2018](#page-17-0)) for a round trip of 14 days (loading and unloading time included); and the energy needed for diluting and injecting the $Ca(OH)_2$ solution into the sea. All these costs are assumed for a 1 Mton of biomass per year.

For CO2 storage, the average cost of the submarine carbon storage technology assessed in Caserini et al. [\(2017\)](#page-14-0) has been considered, with 120 M\$ CAPEX and 6.6 $\frac{6}{2}$ /ton of CO₂ OPEX, the latter not including the cost for external supply of electricity and natural gas, that is internally generated by the process. This cost is similar to other estimated costs for $CO₂$ storage

CAPEX	Bare erected costs (BEC)	Hydrogen infrastructure Oxygen generator Cogeneration (CC gas turbine and HRSG) $CO2$ compression $CO2$ storage (SCS) Calcination infrastructure Slaked lime handling and discharge			
	Engineering and construction management				
	Contingencies (process and project)				
OPEX	Fixed costs	Labor cost (operating and maintenance)			
		Maintenance provision (overhaul)			
		Administrative and support			
	Variable costs	Biomass			
		Limestone			
		Chemicals $(H2$ and $CO2$ membranes)			
		Management and material disposal			
		(ash, feedstock—fine recycling, limestone—dust recycling)			
		Submarine capture storage (SCS)			
		Slaked application			
		Others (royalties, permissions, etc.)			

Table 2 CAPEX and OPEX costs considered in the preliminary assessment

Table 3 Breakdown of CAPEX

in geological formations (IPCC [2005;](#page-16-0) ZEP [2011](#page-17-0); Barreto et al. [2018](#page-14-0)), although these are more dependent on the storage plant size, thus on the biomass supply potential.

An interest rate of 5% and a time horizon of 25 years have been assumed for the calculation of the annual payment. The CAPEX amounts to 672 M\$, with an annual payment of 48 M\$. The hydrogen infrastructure is the most expensive, accounting for 42% of the total cost.

The variable operating cost is a function of the amount of biomass used, thus of the negative $CO₂$ emissions. On the contrary, in the fixed costs, the number of workers is independent from the quantity of feedstock used.

The total OPEX results 206 M\$/year (Table [4](#page-9-0)), with the cost for biomass accounting for a huge 49% of the total.

The total levelized cost is 253 M\$/year, resulting in a CO_2 removal cost of 98 \$/ton of CO_2 (the total amount of CO_2 removed from the atmosphere is 2.6 Mton CO_2 /year).

For the assessment of the final cost, the revenues from the energy generated by the fuel gas produced should be taken as a credit. Considering a reference price of decarbonized gas of 9 \$/GJ (market price of natural + carbon cost in EU-ETS), the production of 9.7 million GJ per year generates a total revenue of 88 M\$/year, that is equivalent to 34 \$/ton of $CO₂$. The final actual price for a ton of $CO₂$ removed from the atmosphere through the described process amounts then to 64 $\frac{1}{2}$ /ton of CO₂ (Table [5](#page-9-0)).

4 Discussion

As shown in Section [3.1](#page-4-0), the proposed process is highly efficient in terms of carbon removal since for every ton of biomass used, it removes 2.6 tons of $CO₂$ from the atmosphere. This is 58% higher than the removal obtained by the traditional BECCS, that is only due to the carbon

Description		Unit	$\frac{\text{S}}{\text{unit}}$	Unit/year	M\$/year
Fixed costs	Labor cost (operating and maintenance)				16.1
	Maintenance provision (overhaul) Administrative and support				10.1 1.4
Variable costs	Biomass	ton	100	1,000,000	100.0
	Limestone	ton	15	1.667.247	25.0
	Chemicals $(H2$ and $CO2$ membranes)	kton	1.20	2.118.532	2.5
	Management and material disposal	ton	25	32,072	0.8
	CO ₂ storage (CCS/SCS)	ton	6.61	2.311,046	15.3
	Slaked application	ton		1,233,973	8.6
	Others (royalties, permissions, etc.)	NEG $tCO2$	10.3	2,602,567	26.7
	Total operational expenditure (OPEX)				206

Table 4 Breakdown of OPEX

absorbed from the atmosphere $(1.64 \text{ ton of CO}_2)$ for the same biomass considered in the process, see Table [3](#page-8-0)). Furthermore, the $CO₂$ emission avoided by the electric energy that can be generated by the external use of H_2 , 0.4[3](#page-8-0) ton of CO_2 /ton of biomass (see Table 3), is only 13% lower than the one avoided in the same grid by the electricity generated by a biomassfired power plant with CCS (0.5 ton of CO₂/ton of biomass), assessed based on 28.4% efficiency with $CO₂$ capture and compression proposed by Ali et al. [\(2017\)](#page-14-0) and a further 1.7% losses due to transport and injection into geological storage (Kemper [2015](#page-16-0)).

The better performance of the proposed process derives from the exploitation of the sensible heat of syngas for slaked lime production, as well as from the high $CO₂$ concentration in the flue gas exiting the calcination, which reduces the specific energy for its capture. Moreover, ocean liming is a highly efficient way to store carbon, in the form of bicarbonate ions.

From an economic point of view, the process can rely on different feedstocks (biomass or coal), along with cheap and largely available limestone, and could produce different valuable hydrogen-based final products that are already traded as commodities. Although carbon storage and ocean liming still need to address many technological challenges, as will be discussed in the following, the technological core of the process is based on proven commercial technologies; thus, it is easy to scale up.

One major part of the total negative emissions achieved comes from $CO₂$ uptake by biomass, but the two other contributions— $CO₂$ absorbed by ocean and avoided $CO₂$ by fuel displaced by the H_2 provided to external users—are of great importance for the carbon and economic balance of the process.

	Total levelized cost		
	M\$/year	$\frac{f}{f}$ /tCO ₂ removed	
OPEX fixed costs	27.5	10.6	
OPEX variable costs	178.9	68.7	
OPEX total	206.5	79.3	
CAPEX	47.7	18.3	
Total cost	252.9	97.6	
Revenue from fuel gas	87.9	33.8	
Final net cost	165	64	

Table 5 Total net cost of the process

In other words, the higher efficiency in carbon removal obtained by combining calcination and biomass gasification allows to reduce the amount of biomass required for the removal of 1 ton of $CO₂$, thus mitigating one of the main limitations of BECCS. In fact, a major discussion within the scientific community on the generation of negative emissions with BECCS is related to the actual availability of biomass and to the impacts on the ecosystems and the populations in upscaling the production at a large scale, as required for significant carbon removal (Honegger and Reiner [2018](#page-16-0)).

It is worth noting that the removal ratio of 3 tCO $_2$ /t biomass is the result of the carbon balance of the process, but the net saving is lower and should be assessed on a life-cycle basis by taking into account the amount of energy and materials required for biomass harvesting and transport, for construction and maintenance of the infrastructures (gasifier, calcinator, $CO₂$ compression and storage, etc.), and for the extraction and processing of raw materials.

Although many authors have pointed out that the mitigation potential of bioenergy technologies is overestimated when biogenic- $CO₂$ flows and indirect land use changes are excluded (i.e., Giuntoli et al. [2016](#page-15-0)), and specific studies based on the specific feedstock considered should be performed, this problem is related to any type of carbon removal process based on biomass.

The penalty for $CO₂$ storage through glass capsules in the deep seabed has been assessed as 10% of the stored CO₂ (Caserini et al. [2017\)](#page-14-0); about 35% of this is related to the energy spent for capsule production that has been already considered as internal consumption in the present evaluation.

On the other hand, the carbon benefits from electric energy generated by the process considered in this evaluation (400 g $CO₂/kWh$, OECD countries), when based on a LCA approach, will rise to 486 g $CO₂/kWh$ for Europe and 750 g $CO₂/kWh$ for the global level calculated according to ILCD 2011 method (v1.10) (EC, [2013](#page-15-0)).

4.1 Potential environmental implications

Most of the literature on the biological response to changes in seawater carbonate chemistry has focused on acidifying condition (Haigh et al. [2015\)](#page-15-0). The absorption of rising levels of atmospheric $CO₂$ by the ocean modifies its chemistry (Feely et al. [2004;](#page-15-0) Doney et al. [2012](#page-15-0)), altering ecological networks and their functions (Hoegh-Guldberg and Bruno [2010](#page-16-0); Nagelkerken and Connell [2015](#page-16-0)). On the contrary, the ecological implications concerning the direction and magnitude of marine biota responses to artificial ocean alkalinization are not yet understood (González and Ilyina [2016\)](#page-15-0). Experimental studies reveal that the increase of alkalinity could disrupt the acid-base balance of marine organisms (e.g., littoral crabs; Cripps et al. [2013](#page-15-0)). The marine biota, in fact, relies on pH to regulate ion transport; the energy they invest to maintain intra- and extracellular pH depends on ambient pH. Accordingly, the potential ecological impact of increasing ocean alkalinity relies on the variation in the pH level produced during the ocean liming process.

The variation of ocean alkalinity also influences the saturation state of carbonate minerals that are essentials for marine carbonate-producing organisms, such as the species that inhabit the tropical (e.g., reefs populated with benthic species such as coral shellfish and green macroalgae) and the pelagic ecosystems (e.g., components of planktonic ecosystem such as coccolithophores, foraminifera, pteropods/heteropods). Experimental studies have been conducted on the capability of some marine species to produce (Langer et al. [2006\)](#page-16-0) and dissolute carbonate (Schneider et al. [2011](#page-17-0)) at elevated levels of alkalinity. However, more research is required to investigate the positive and negative response of marine organisms and ecosystems to the artificial ocean alkalinization.

An increase in alkalinity can also influence the carbon compensation depth (CCD—the depth at which the rate of dissolution of $CaCO₃$ compensates for the rate of $CaCO₃$ sedimentation (Ridgwell [2007;](#page-17-0) Renforth and Henderson [2017](#page-17-0))), increasing the extent of sediment exposed to elevated saturation states, the calcification, and accordingly the net removal of $CaCO₃$ from the ocean. Consequently, the potential environmental effects and the magnitude of the ocean liming impact depend on different factors such as the following: the variation in the pH level generated at the deployment site, the deployment depth, the applied spatial scale (local, regional, or global), and the ecosystems (coastal or pelagic) selected to apply the liming process. Given all the above, a better understanding of the ecological implication of ocean liming necessarily requires additional dedicated experimental studies.

4.2 Alternative scenarios of the process and applicability

Different alternatives within the process can be considered by changing the feedstock (i.e., using coal instead of biomass), the $CO₂$ storage technology (CCS instead of SCS), the method for spreading the slaked lime, or the main by-product (i.e., ammonia instead of hydrogen).

4.2.1 Coal

If biomass is not available in the required amount, fossil fuels might be used without any significant modification of the process. The use of coal substantially reduces the advantages of the process in terms of carbon removal; however, the balance is still positive, with 1.1 tons of $CO₂$ removed from the atmosphere per 1 ton of coal (in case of a lower heating value of 26.2 GJ/ton) and 0.94 ton as avoided emission—although the net advantage should be verified also through a LCA.

It is worth noting that the possibilities to use coal as feedstock are another important advantage of this process in comparison to the BECCS. The possible use of coal and limestone, two very abundant and cheap feedstocks available worldwide, allows to widen the potential applications of this process. In fact, in the base configuration, the actual availability of biomass is a limiting factor, due to the limitation in forested areas, to sitespecific barriers, and to the competition for resources like land for food production and water (Kato and Yamagata [2014](#page-16-0); Smith et al. [2016;](#page-17-0) Muratori et al. [2016;](#page-16-0) Muri [2018\)](#page-16-0).

Desert areas, or countries with lack of sufficient amount of biomass and $CO₂$ storage possibilities (suitable geological formations for CCS or deep ocean waters for SCS application), could also contribute significantly to implement a negative emission infrastructure. Among others, Egypt, Libya, Algeria, Morocco, Western Sahara, Mauritania, Senegal, Namibia, South Africa, Yemen, Oman, Somalia, Iran, Pakistan, India, Australia, Chile, Peru, Mexico, and California are places that seem appropriate for the deployment of the technology using fossil fuels and SCS. In those countries, the process could be conveniently integrated also with the possibility to use cheap solar electric energy for the process and for the glass production in the case of the SCS.

4.2.2 Alternative pathways for liming the ocean

Many authors have evaluated alternative ocean liming processes. Köhler et al. ([2013](#page-16-0)) studied the addition of CaCO₃ particles (1 μ m) in the ocean; Harvey ([2008](#page-15-0)) projected a fleet of 3000 ships to add 4 Gton/year of CaCO₃. Keller et al. ([2014](#page-16-0)) proposed the increase of Ca(OH)₂

assuming instantaneous dissolution within the wake of a ship; Renforth et al. ([2013](#page-17-0)) suggested a fleet of 100 ships to add 1 Gton/year of $Ca(OH)_{2}$. Aside from the cost of energy, capital investment, and environment control, the differences between these proposals fall mainly in the solubility factor of the materials added.

In open ocean, the depth of the euphotic zone extends on average 200 m below the surface. Photosynthesis occurs in this zone due to the availability of light and nutrients. Consequently, this surface layer is characterized by a high level of primary productivity, hosting a high biodiversity of marine species and making it a very highly sensitive ecosystem (Costello and Chaudhary [2017\)](#page-15-0). Due to this consideration, the maximum addition rate of $Ca(OH)_2$ into the wake of a cruising ship will be severely limited by ecological considerations and by the number of ships needed.

To overcome this limitation, an alternative solution is proposed to maximize the addition of $Ca(OH)$ ₂ into seawater, while at the same time minimizing the environmental impact (i.e., rapid increase in pH) in surface waters. The alternative solution is the $Ca(OH)$ direct injection into the deep, cold, and "corrosive" seawaters of main submarine currents that end, after a proper distance from the injection point, preferably into upwelling regions (Fig. 4). A small quantity of the OH[−] of the slaked lime solution injected inside the current will first neutralize the $(H⁺)$ of the carbonic acid; the balance will react with the abundant $(HCO₃⁻)$ forming the vital $CO₃²$; it is well-known that low $CO₃²$ in seawater imposes severe constraints to organisms that secrete $CaCO₃$ to build their skeletal material. The adjusted "corrosive" upwelling waters will reach the ocean surface where the carbonate will be used by organisms and will react with the excess $(H⁺)$ of the carbonic acid and thus promote the absorption of "new" $CO₂$ from the atmosphere.

Deep currents move millions of cubic meters of water per second (Encyclopedia Britannica [2018](#page-15-0)) at a speed of a few kilometers per hour; they are cold and acidic, generally undersaturated in aragonite (Franco et al. [2018;](#page-15-0) Lachkar [2014](#page-16-0); Hauri et al. [2016;](#page-15-0) Capone and Hutchins [2013](#page-14-0)). All such physical and chemical conditions look optimal to quickly dissolve the added $Ca(OH)$ ₂ solution. A plume, rich in carbonates, will form inside the current at the injection point. At a proper distance from the injection point, the "neutralized" carbonated current will reach the euphotic zone with a minimum pH perturbation of the ocean surface.

Fig. 4 Slaked lime injection in the upwelling currents

The main ocean upwelling currents that could be exploited for the above-described option are the Humboldt, Canary, Benguela, California, and Somalia currents (Garcia-Reyes et al. [2015](#page-15-0)). Other currents, like the Gulf current, might also be evaluated for this purpose. In addition, site-specific environmental assessment studies should be performed in order to understand the ecological implication in the different sites. If this liming process would be applied, the discharge rate of $Ca(OH)_2$ into the deep current can be much higher than the one into the wake of a ship, thus lowering the number of ships involved and their distance traveled. Because the injection point would be static, there will be no need for the ship to cruise for liming, thus saving operative costs.

4.2.3 $NH₃$ for transportation

Another alternative of the process is to transform H_2 into a valuable fuel for transportation like the anhydrous ammonia, which could be used in the marine sector (LRGL and UMAS [2017](#page-16-0)). In this case, there is a better economic rentability that will further decrease the cost of the carbon removal. The production of a commodity like anhydrous ammonia, which is transportable and storable, could be the best solution for plants located on remote coastlines and far from any H_2 or electricity consumption site.

4.2.4 Alternative to $CO₂$ storage

In addition to the storage of concentrated $CO₂$ generated by the process using CCS or SCS, an alternative option could be the storage of $CO₂$ in the form of bicarbonates, through the reaction of the enriched $CO₂$ gas stream with additional limestone and water (i.e., seawater), as proposed in Rau [\(2011](#page-16-0)). Although the injection of this bicarbonate/alkalinity stream to the seawater could further increase the potential of the process for contrasting ocean acidification, further research is needed to overcome the main limitation of the Rau (2011) (2011) (2011) process (i.e., the large demand for carbonate mineral and water).

4.2.5 Combination with other processes for negative emission

The proposed process is in some aspects similar to the one proposed by Hanak et al. [\(2017\)](#page-15-0), which utilizes the residual heat from a solid oxide fuel cell (SOFC) to calcine a carbonate material that can subsequently remove $CO₂$ from the air. Although both methods use calcium oxides to uptake $CO₂$ from the atmosphere (spreading calcined material in the seawater in order to produce calcium bicarbonate, or directly through the carbonation of the calcined material), the process presented here can use any feedstock (solid, liquid, and gaseous) in order to produce a hydrogen-rich fuel (H_2, NH_3) and with a proper methanation process even CH4). In contrast, the process by Hanak et al. [\(2017\)](#page-15-0) uses a hydrogen-rich fuel (CH_4) in order to produce electricity. The use of the Hanak et al. [\(2017\)](#page-15-0) process downstream of the proposed process (feeding the SOFC with the hydrogen produced by the proposed process) deserves attention, but it is outside the scope of this paper.

5 Conclusions

In order to generate negative carbon emissions in the amount required to comply with the Paris Agreement, it is necessary to develop technologies that can be deployed worldwide using cheap and available feedstock and energy sources with the lowest investment and operational cost. The proposed technology aims at producing a valuable hydrogen-based commodity to partially offset the cost of generating negative emissions. With its capability to be operated also on coal, this path has a wider application than BECCS and can reduce its two main limitations (i.e., the biomass availability and its impacts on the ecosystems).

By producing metal hydroxides such as $Ca(OH)$ ₂ and dissolving them in seawater to increase its alkalinity through ocean liming, the process also helps reduce the ongoing ocean acidification, thanks to the capture and storage of atmospheric $CO₂$ in the seawater in the form of metal bicarbonates. Although industrial alternatives exist for the use of slaked lime (i.e., to capture $CO₂$ from an industrial flue gas), for the massive quantities of negative emissions required in the next decades, it is necessary to exploit the large buffer power of the ocean.

An aspect that should be taken into account is that the addition of alkalinity to the oceans and the submarine carbon storage in glass capsules require an adequate regulatory framework. Some of the complexity relies in the fact that interventions on coastal waters would fall under each national administration, while open ocean addition is under international oversight (Renforth and Henderson [2017](#page-17-0)). The United Nations Convention on the Law of the Sea (UNCLOS), signed in 1982, is the legal framework for most matters related to seas and oceans. Other regulations, such as the 1996 London Protocol to the Convention on the Prevention of Marine Pollution by Dumping of Wastes, are important for permitting, monitoring, and the long-term liability of storage in oceans.

Although further research is needed to assess the feasibility of this technology as a largescale negative emission option, as well as the related political, social, and environmental challenges, the results reported in this paper are promising and could be considered the path towards the unprecedented scale-up of carbon removal from the atmosphere required to avoid irreversible effects on the climate at the time scale of centuries to millennia and longer (Clark et al. [2016](#page-15-0); Nemet et al. [2018](#page-16-0)).

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Affiliations

Stefano Caserini¹ • Beatriz Barreto¹ • Caterina Lanfredi¹ • Giovanni Cappello² • Dennis Ross Morrey² . Mario Grosso¹

- ¹ Politecnico di Milano, Dipartimento di Ingegneria Civile ed Ambientale, Via Golgi 39, 20133 Milan, Italy
- ² CO2Apps, Via del Tuf snc, 23851 Galbiate, LC, Italy