



# A novel compound, ferulic acid-bound resveratrol, induces the tumor suppressor gene *p15* and inhibits the three-dimensional proliferation of colorectal cancer cells

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## Abstract

Resveratrol, a phytoalexin present in grapes and other edible foods, has been reported to have beneficial effects against various diseases including cancer. We previously reported that resveratrol and its derivative, caffeic acid-adducted resveratrol, selectively inhibit the three-dimensional (3D) proliferation of a human colorectal cancer cell line, HCT116 with activating KRAS mutation. Herein, we demonstrated that a novel compound, ferulic acid-bound resveratrol, also represses the 3D proliferation of HCT116 cells. We observed that resveratrol conjugated to two ferulic acids represses the 3D proliferation of HCT116 cells more strongly than resveratrol and resveratrol conjugated to one ferulic acid. Resveratrol conjugated to two ferulic acids also inhibited the 3D proliferation of MCF7 human breast cancer cells. We further uncovered that the resveratrol derivative increases the mRNA level of the tumor suppressor *p15*, a CDK inhibitor that functions as a brake of cell proliferation in HCT116 cells. These results imply that the resveratrol derivative represses 3D proliferation via increasing *p15* expression in HCT116 cells.

**Keywords** Resveratrol derivative · Three-dimensional proliferation · CDK inhibitor · *p15* · Colorectal cancer

## Introduction

Normal colonic epithelium assembles into a three-dimensional (3D) structure in vivo [1], and deregulation of the structure is frequently observed in cancer [2]. This structure can be partially replicated via 3D culture (3DC) in vitro [3]. It was previously reported that HKe3 cells, which were derived from a colorectal cancer cell line, HCT116 via deleting mutant *KRAS* (constitutively active form), assemble into a normal colonic crypt-like 3D structure in 3DC. On the contrary, HCT116 cells carrying mutant *KRAS* form an architecture without normal cell polarity and luminal apoptosis, indicating that oncogenic mutation of *KRAS* is involved in the disruption of cellular polarity and inhibition of apoptosis [3]. Therefore, a 3DC method using these cells could be needed to clarify colorectal tumorigenesis in vivo and identify treatments for colorectal cancer.

Resveratrol is a naturally occurring polyphenol present in many kinds of edible foods such as grapes, peanuts, and blueberry [4], and it is believed to possess efficacy against a number of diseases such as heart disease, neurological disorders, diabetes, obesity, and cancer [5, 6]. Resveratrol effects

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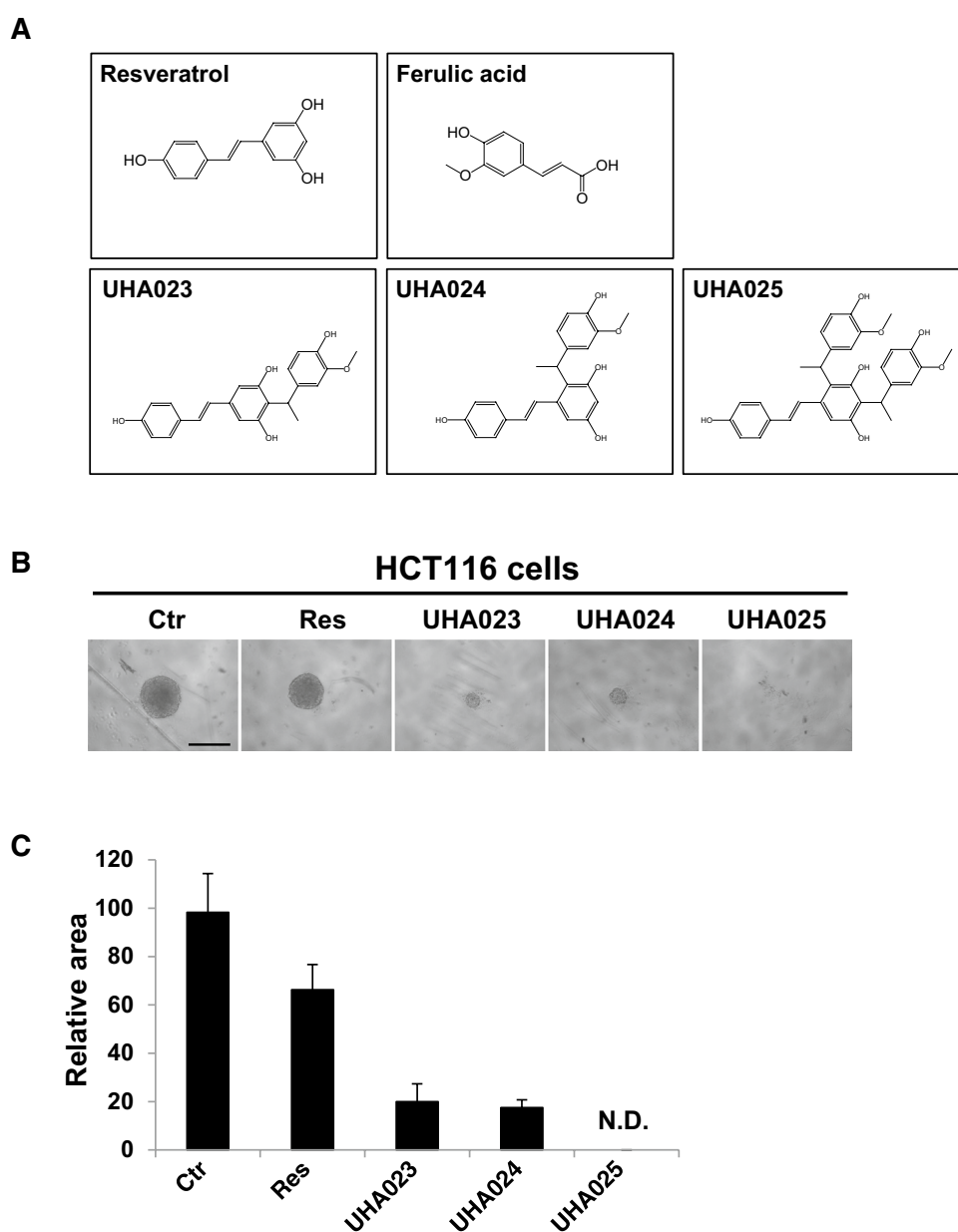
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on the expression of several genes related to cell cycle progression and apoptosis, such as p53, p21, p300/CBP, Apaf1, and BAK, lead to the repression of proliferation and induction of apoptosis in cancer cells [5]. Tsunoda et al. show that resveratrol selectively induces luminal apoptosis and represses the 3D proliferation of HCT116 cells carrying activating KRAS mutation, probably by inhibiting PDE4 activity [7, 8]. We furthermore reported that caffeic acid-bound resveratrol represses the 3D proliferation of HCT116 cells more strongly than resveratrol [9]. The resveratrol derivative exhibited inhibitory effect comparable with 5-fluorouracil, a usual anticancer drug.

Cell cycle progression of mammalian cells is promoted by protein complexes composed of cyclins and

cyclin-dependent kinases (CDKs) and repressed by CDK inhibitors (CKIs) [10]. There are seven CKI in mammals, which are divided into two groups: the INK4 family (p15, p16, p18, and p19), which binds to and inhibits CDK4/6, and the CIP/KIP family (p21, p27, and p57), which binds to and inhibits CDK2. Increased CKI expression causes the inactivation of their target cyclin–CDK complexes, leading to the retention of pRB in the hypophosphorylated state, and prevention of cell cycle progression at G1 phase. Disruption of this pathway causes abnormal cell proliferation, leading to cancer development [11]. In this study, we found that a novel compound, in which two ferulic acids were conjugated to resveratrol (UHA025), induces p15 expression and represses the 3D proliferation of HCT116 cells.

**Fig. 1** The ferulic acid-adducted resveratrol derivatives UHA023, UHA024, and UHA025 inhibit the three-dimensional proliferation of HCT116 cells. **a** Chemical structures of resveratrol, ferulic acid, UHA023, UHA024, and UHA025. **b** 100 HCT116 cells were seeded and treated with dimethyl sulfoxide (Control; Ctr), resveratrol, UHA023, UHA024, or UHA025 at 25  $\mu$ M on days 0, 2, and 4 in three-dimensional culture. The spheroids were observed on day 7. Scale bar = 500  $\mu$ m. **c** The area of the spheroids on day 7 was normalized as the relative ratio to control cells treated with dimethyl sulfoxide. Data represent the means and standard deviations of four spheroids. *N.D.* not detected



## Materials and methods

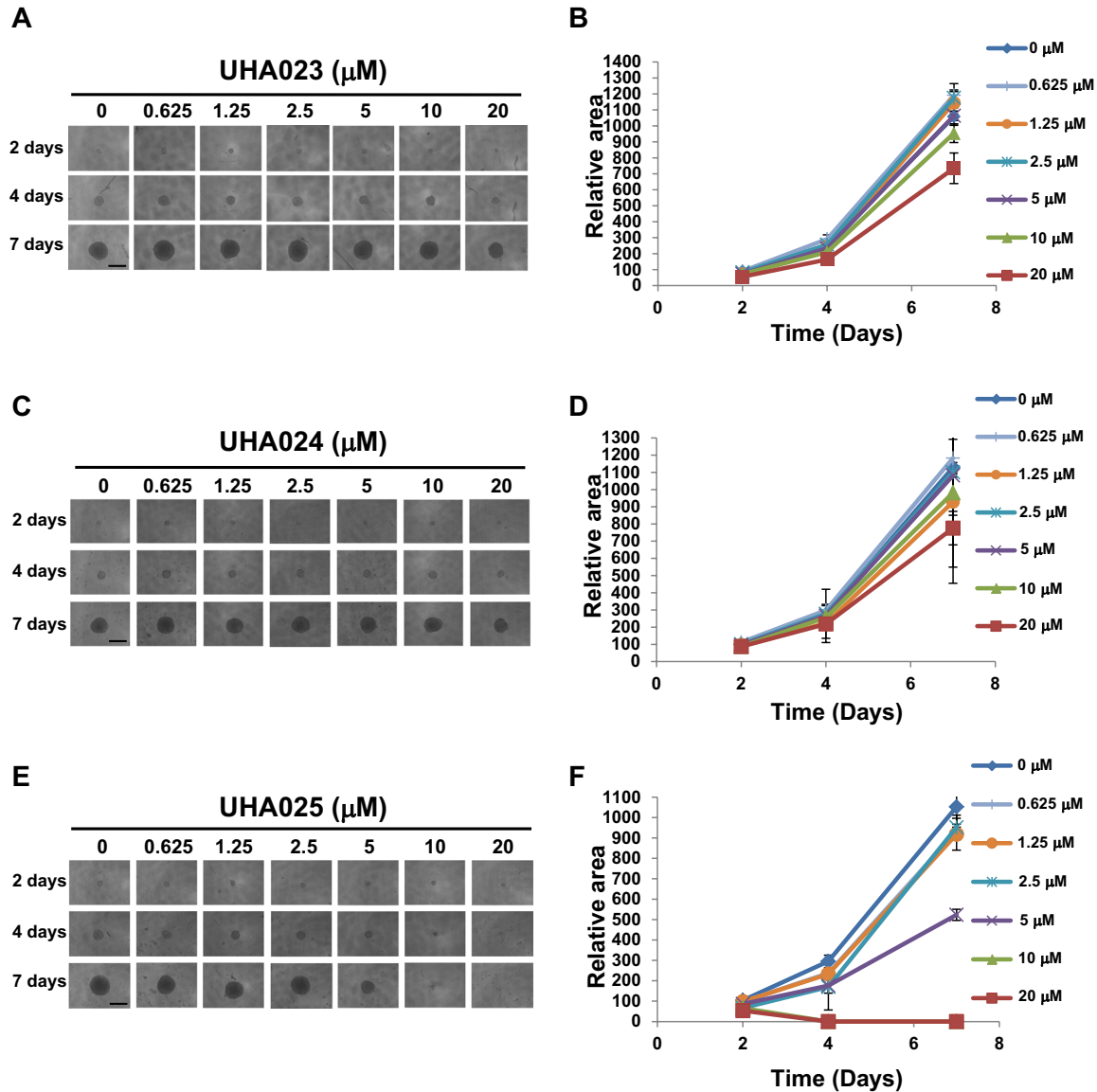
### Cell culture

Human colorectal cancer HCT116 cells and breast cancer MCF7 cells were obtained from ATCC (American Type Culture Collection, Frederick, MA, USA). HKe3 cells were established by disrupting at the oncogenic mutant *KRAS* gene in HCT116 cells [12]. These cells were maintained as previously described [9]. 3DC was performed using a

96-well plate with an ultra-low attachment surface and round bottom (Corning Inc., Corning, NY, USA). Photomicrographs of cell spheroids in 3DC were taken using a CKX41 inverted microscope (Olympus, Tokyo, Japan) and the areas of cell spheroids were measured using ImageJ software.

### Reagents

Resveratrol (3,4',5-trihydroxy-*trans*-stilbene) and ferulic acid were purchased from Sigma (St. Louis, MO, USA).



**Fig. 2** Resveratrol conjugated to two ferulic acids (UHA025) represses the 3D proliferation of HCT116 cells more strongly than resveratrol adducted to one ferulic acid (UHA023 and UHA024). **a**, **c**, **e** 100 HCT116 cells were seeded and treated with UHA023 (**a**), UHA024 (**b**), or UHA025 (**c**) at the indicated concentrations on days 0, 2, and 4 in three-dimensional culture. The pictures of spheroids

were taken on the indicated days. Scale bar = 500  $\mu\text{m}$ . **b**, **d**, **f** The size of spheroids was measured on days 2, 4, and 7. The area of the spheroids was normalized as the relative ratio to control cells treated with dimethyl sulfoxide on day 2. Data are presented as means and standard deviations of four spheroids

Ferulic acid-adducted resveratrol formulations (named UHA023, UHA024, and UHA025) were prepared by UHA Mikakuto Co., Ltd. (Osaka, Japan) as previously described (UHA023 [13], UHA024 [14], UHA025 [15]).

### Quantitative reverse transcription-polymerase chain reaction (qRT-PCR)

qRT-PCR was performed as previously described [16]. Briefly, the extraction of RNA was performed using an RNeasy Plus Kit (Qiagen, Hilden, Germany). Complementary DNA was synthesized from RNA using a SuperScript III First-Strand Synthesis System (Thermo Fisher Scientific, Waltham, MA, USA). qPCR was performed using a QuantiTect SYBR Green PCR Master Mix (Qiagen) and an Mx3000P Real-Time Q-PCR System (Agilent Technologies, Santa Clara, CA, USA). The sequence of qPCR primers for human *p15*, *p18*, *p19*, *p21*, *p27*, *p57*, and *GAPDH* is described in [17].

### Results

We recently reported that resveratrol and its derivative, caffeic acid-adducted resveratrol, significantly inhibit the 3D proliferation of HCT116 cells [7–9]. In this study, we furthermore searched resveratrol derivatives that repress the proliferation of HCT116 cells in 3DC. HCT116 cells were treated with dimethyl sulfoxide (DMSO, control), resveratrol, or resveratrol derivatives at 25  $\mu\text{M}$  for 7 days. We found that the novel resveratrol derivatives UHA023, UHA024, and UHA025 (Fig. 1a) have stronger inhibitory effects on HCT116 cell spheroid growth in 3DC than resveratrol (Fig. 1b, c). These compounds were synthesized by conjugating resveratrol to one (UHA023 and UHA024) or two ferulic acids (UHA025). We next determined the  $\text{IC}_{50}$  for each of these compounds in 3DC. The  $\text{IC}_{50}$  was  $> 20 \mu\text{M}$  for both UHA023 (Fig. 2a, b) and UHA024 (Fig. 2c, d), compared with  $5.86 \mu\text{M} \pm 0.82$  for UHA025 (Fig. 2e, f). We previously reported an  $\text{IC}_{50}$  of  $2.39 \mu\text{M}$  for 5-fluorouracil (5-Fu), a usual anticancer drug used in colon cancer therapy [9]. These results indicate that UHA025 represses the 3D proliferation of HCT116 cells more strongly than UHA023 and UHA024 (Table 1) and a similar inhibitory effect as 5-Fu.

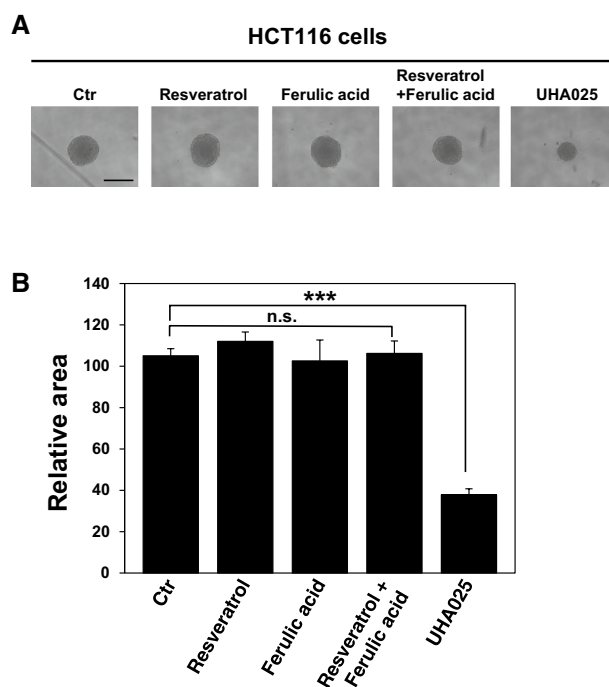
UHA025 is synthesized by binding two ferulic acids to resveratrol. It has been reported that ferulic acid has the inhibitory effect on the proliferation of human colon cancer Caco-2 cells in 2D culture [18]. Therefore, we examined whether ferulic acid also represses the proliferation of HCT116 cells in 3DC. HCT116 cells were treated with resveratrol or ferulic acid at a concentration of  $10 \mu\text{M}$ , either alone or in combination, for 5 days. Although UHA025

**Table 1**  $\text{IC}_{50}$  values of ferulic acid-adducted resveratrol derivatives against the three-dimensional proliferation of HCT116 cells

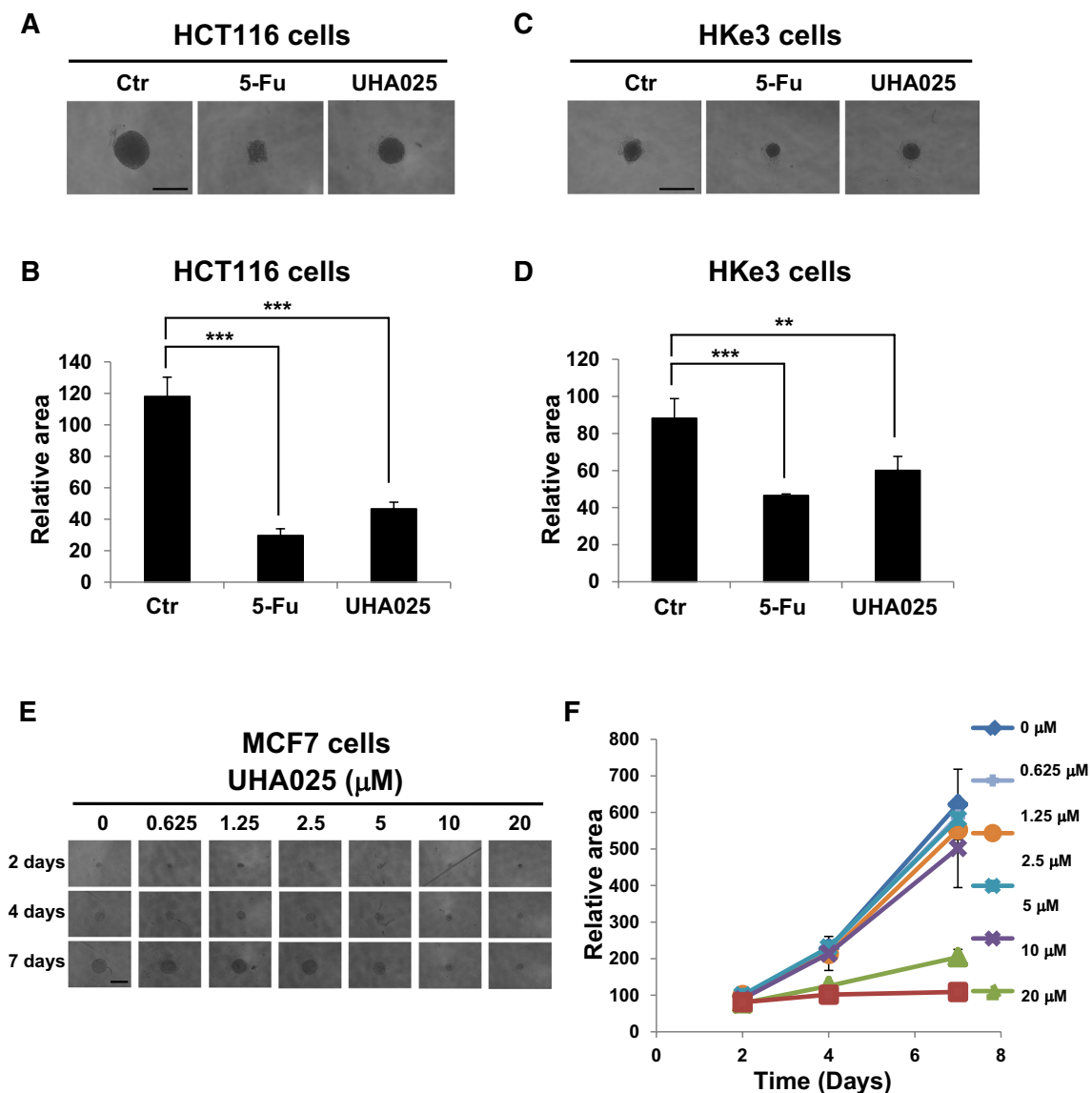
Compound	$\text{IC}_{50}$ ( $\mu\text{M}$ )
UHA023	$> 20$
UHA024	$> 20$
UHA025	$5.86 \pm 0.82$

significantly decreased the size of HCT116 cell spheroids compared with the findings in control cells, resveratrol, ferulic acid, or both had no effect on the size of HCT116 cell spheroids (Fig. 3a, b). These results represent that chemical binding of ferulic acid to resveratrol is required for the inhibitory effect of UHA025 on the 3D proliferation of HCT116 cells.

Recently, we reported that resveratrol, as well as its derivative caffeic acid-adducted resveratrol, selectively represses the 3D proliferation of HCT116 cells, but not HKe3 cells, which were established by deleting the oncogenic mutant *KRAS* gene, leaving only one wild-type *KRAS* gene in HCT116 cells [8, 9]. This finding suggested that resveratrol and caffeic acid-adducted resveratrol



**Fig. 3** Ferulic acid, a component of UHA025, has no influence on the three-dimensional proliferation of HCT116 cells. **a** 600 HCT116 cells were seeded and treated with dimethyl sulfoxide (Control; Ctr), ferulic acid, resveratrol, UHA025, or combinations of these agents at  $10 \mu\text{M}$  and cultured for 5 days. The pictures of spheroids were taken on day 5. Scale bar =  $500 \mu\text{m}$ . **b** The area of the spheroids on day 5 was normalized as the relative ratio to control cells treated with dimethyl sulfoxide. Data are presented as means and standard deviations of four spheroids. The significance test was performed using the two-tailed Student's *t* test. \*\*\* $P < 0.001$ , n.s. not significant



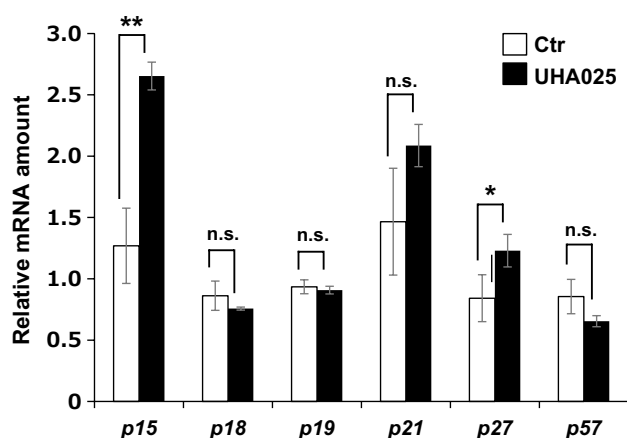
**Fig. 4** UHA025 inhibits the three-dimensional (3D) proliferation of HKe3 and MCF-7 cells. **a, c** 600 HCT116 cells (**a**) and 1800 HKe3 cells (**c**) were seeded and treated with dimethyl sulfoxide (Control; Ctr), 5-fluorouracil (5-Fu), or UHA023 at 10  $\mu\text{M}$  on day 0. The pictures of spheroids were taken on day 6. Scale bar=500  $\mu\text{m}$ . **b, d** The area of the spheroids on day 6 was normalized as the relative ratio to control cells treated with dimethyl sulfoxide. Data are presented as means and standard deviations of four spheroids. The

significance test was performed using the two-tailed Student's *t* test. \*\*\* $P < 0.001$ , \*\* $P < 0.01$ . **e** 100 MCF-7 cells were seeded and treated with UHA025 at the indicated concentrations on days 0, 2, and 4. The pictures of spheroids were taken on the indicated days. Scale bar=500  $\mu\text{m}$ . **f** The size of spheroids were measured on days 2, 4, and 7. The area of the spheroids was normalized as the relative ratio to control cells treated with dimethyl sulfoxide on day 2. Data are presented as means and standard deviations of four spheroids

specifically target an oncogenic KRAS-mediated signaling. We, therefore, examined the effect of UHA025 on the 3D proliferation of HKe3 cells. HCT116 and HKe3 cells were treated with UHA025, 5-Fu, or DMSO at 10  $\mu\text{M}$  for 6 days. 5-Fu and UHA025 significantly decreased the size of both HCT116 (Fig. 4a, b) and HKe3 (Fig. 4c, d) cell spheroids in 3DC compared with the findings for control cells. However, the inhibitory effect of UHA025 in HKe3 cells was lower than that in HCT116 cells, suggesting that

UHA025 partially inhibits an oncogenic KRAS-mediated signaling pathway. Furthermore, we examined the inhibitory effect of UHA025 on the 3D proliferation of MCF-7 human breast cancer cells. UHA025 decreased the size of MCF cell spheroids in 3DC in a concentration-dependent manner ( $\text{IC}_{50} = 8.61 \pm 0.73 \mu\text{M}$ ) (Fig. 4e, f). These results indicate that UHA025 represses the 3D proliferation of several types of cancer cells.





**Fig. 5** UHA025 significantly increases the mRNA levels of *p15*. 2000 HCT116 cells were seeded and treated with dimethyl sulfoxide (Control; Ctr) or UHA025 at 5  $\mu$ M on day 0 and then cultured for 6 days in 3D. Quantitative reverse transcription-polymerase chain reaction was performed to detect the mRNA expression of CDK inhibitors *p15*, *p18*, *p19*, *p21*, *p27*, and *p57*. Data were normalized as the relative ratio to control cells treated with dimethyl sulfoxide. Means and standard deviations were calculated from the data for three independent experiments. The significance test was performed using the two-tailed Student's *t* test. \* $P < 0.05$ , \*\* $P < 0.01$ , n.s. not significant

We finally attempted to reveal the mechanism by which UHA025 inhibits the 3D proliferation of HCT116 cells. We examined whether UHA025 effects on the expression of CKIs function as brakes of cell proliferation via inhibiting CDK [10]. qRT-PCR assays illustrated that UHA025 significantly increased the amount of *p15* mRNA, but not those of other CKIs (Fig. 5). The expression of *p16* gene was not detected in HCT116 cells. These results suggest that UHA025 induces *p15* transcription, leading to the inhibition of 3D proliferation of HCT116 cells.

## Discussion

In this research, we showed that a novel compound, UHA025, has marked inhibitory effects on the 3D proliferation of HCT116 and MCF-7 cells. Recently, we reported that resveratrol and its derivative, caffeic acid-conjugated resveratrol, selectively inhibit the 3D proliferation of HCT116 cells with activating KRAS mutation, but not HKe3 cells lacking KRAS mutation, indicating that these compounds specifically inhibit an oncogenic KRAS-mediated signaling [8, 9]. We found that UHA025 ( $IC_{50} = 5.86 \mu$ M) has a stronger inhibitory effect on HCT116 cell spheroid growth in 3DC than the caffeic acid-adducted resveratrol ( $IC_{50} = 9.52 \mu$ M) [9]. The inhibitory effect of UHA025 on the 3D proliferation of HKe3 cells was lower than that of HCT116 cells, even though UHA025 inhibited the 3D proliferation of both HCT116

and HKe3 cells. This result suggests that UHA025 partially represses an oncogenic KRAS-mediated signaling as well as resveratrol and its derivative, caffeic acid-conjugated resveratrol.

Cell proliferation is strictly regulated by cell cycle regulators such as cyclin/CDK complexes, which function as accelerators, and CKIs, which function as brakes [10]. In this study, we found that UHA025 increases the mRNA levels of the CKI *p15* in HCT116 cells. However, the detailed molecular mechanism by which UHA025 induces *p15* expression remains to be determined. *p15* expression is mainly regulated at the transcriptional level. Several studies have shown that the transcription factor Smads directly binds to the *p15* promoter and activates *p15* transcription in response to TGF- $\beta$  [19–21]. We have also reported that a long non-coding RNA, *ANRIL*, binds to polycomb protein complexes, which are transcriptional repressors, and recruits them on the *p15* locus, leading to the transcriptional repression of *p15* [22]. Taken together, UHA025 may activate *p15* transcription through these aforementioned factors. It will be important to determine the detailed molecular mechanism of *p15* induction by UHA025. We also found that UHA025 does not have an effect on the expression level of *p15* mRNA in MCF7 cells (data not shown), suggesting that the action mechanism of UHA025 in 3D proliferation differs among cell types and UHA025 is also involved in the regulation of genes other than *p15* gene.

As UHA025 ( $IC_{50} = 5.86 \mu$ M) displayed similar inhibitory effects on the 3D proliferation of HCT116 cells as 5-Fu ( $IC_{50} = 2.39 \mu$ M), a usual anticancer drug, this novel compound could be a potential anticancer drug candidate.

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## Compliance with ethical standards

**Conflict of interest** The resveratrol derivatives (UHA023, UHA024, and UHA025) used in this study were provided by UHA Mikakuto Co., Ltd. Taiji Matsukawa and Satoshi Doi are employees of UHA Mikakuto Co., Ltd. The authors have no conflict of interest to declare.

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