



# How multiple and interacting disturbances shape tree diversity in European mountain landscapes

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## Abstract

**Context** The relationship between disturbances and diversity remains uncertain, especially in forest landscapes where large spatial extents need to be considered, dynamics are slow, and disturbance interactions are common.

**Objectives** To analyse the individual and combined effects of ungulate browsing and wind disturbance on tree species diversity at the landscape scale.

**Methods** We used a dynamic forest landscape model to simulate the effects of browsing and wind disturbances (i.e., frequency and windthrow size) on tree species diversity in four mountain landscapes in Central Europe. Using boosted regression trees, we analysed the relative importance of each disturbance type for diversity at different layers (i.e., regeneration versus overstorey, and all tree sizes), the shapes of the

diversity–disturbance relationships and the combined effect of wind and browsing disturbances on diversity. **Results** Across all landscapes, browsing and windthrow were equally important for tree species diversity when considering all forest layers, but no consistent patterns could be observed for the regeneration and overstorey layer. The shape of the disturbance–diversity relationships differed between disturbance types. More frequent and severe windthrow events typically increased diversity almost linearly, while browsing showed a non-linear response with the highest diversity at intermediate browsing pressure. However, these relationships were not consistent across the four landscapes.

**Conclusions** Tree species diversity can be influenced by both browsing and windthrow disturbances. Forested landscapes are likely to experience multiple disturbances, and their relative influence on diversity needs to consider their different spatial and temporal scales.

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## Introduction

Natural disturbances strongly influence forest structure and dynamics (Turner 2010), and may also increase species diversity (e.g., White 1979; Sousa 1984). Species diversity is an important metric of landscapes, as increasing diversity increases the stability of ecosystems and promotes ecosystem functioning (Isbell et al. 2011; Brose and Hillebrand 2016). Understanding the drivers of species diversity is therefore fundamental for understanding ecosystem functioning. Disturbance as a potential driver of species diversity has a long history, however the shape of this diversity–disturbance relationship varies widely (Mackey and Currie 2001; Svensson et al. 2012). Diversity may peak at intermediate disturbance levels (e.g., Martinsen et al. 1990), or show positive or negative relationships to increasing diversity (e.g., Abensperg-Traun et al. 1996). In some cases, diversity is even insensitive to disturbances (e.g., Jullien and Thiollay 1996). These conflicting results may arise from differences in environmental conditions among landscapes (e.g., topography; White and Jentsch 2001), differences in species composition (Silva Pedro et al. 2016), or varying frequencies or severities (i.e., amount of damage) of disturbances (e.g., Miller et al. 2011). Furthermore, communities are often shaped by multiple disturbances that may interact in non-linear and non-additive ways (Paine et al. 1998), making the diversity–disturbance relationship even more complex. Especially in forests, the study of the diversity–disturbance relationship is complicated by the large spatial extents that need to be considered, the slow pace of forest dynamics, and the frequent exposure to multiple disturbances.

Wind is one of the most important disturbance agents in Central European forests, being responsible for half of the total wood damage between 1950 and 2000 (Schelhaas et al. 2003). The severity of wind damage is influenced by a variety of factors including topography, management history, species composition and stand structure (Hanewinkel et al. 2015). At the landscape scale (i.e., 100 s of hectares), disturbances create patches of different shapes and sizes (Everham and Brokaw 1996). These windthrow areas were found to be pivotal for maintaining or promoting tree species diversity in temperate mesic forests (Canham and Loucks 1984) and Central Amazon rain forests (Marra et al. 2014). However, the long-term impact of wind

events on species composition and diversity is complex and depends highly on the frequency, spatial extent and severity of wind events (Miller et al. 2011; Xi and Peet 2011). For example, Phillips and Shure (1990) observed a positive effect of windthrow size on diversity in temperate forests however, Peterson et al. (2013) found no significant effect. Alternatively, it was intermediate wind storm frequencies that resulted in the highest tree species diversity in beech forests in Japan (Hiura 1995). Comparative landscape-scale studies on the impact of windthrow regimes on tree species diversity remain scarce, and even rarer are those that disentangle the impacts of wind frequency versus severity.

Browsing by ungulates is another common disturbance in European forests, as deer populations have increased in many regions in recent decades (Côté et al. 2004). Heavy browsing may result in a species shift as the abundance of certain shrub, herb and tree species decrease and less palatable species increase (Horsley et al. 2003). This often can lead to a reduction in understorey diversity (Nuttle et al. 2014). By changing tree recruitment patterns, browsing can also modify overstorey composition in the long term (Bradshaw and Waller 2016). In northern Wisconsin, white-tailed deer significantly reduced sapling recruitment for five out of ten examined taxa (Bradshaw and Waller 2016), and in Germany, deer were responsible for a 52–67% decrease in canopy tree species diversity at the regional scale (Schulze et al. 2014).

When several disturbances co-occur, the relationship between disturbances and diversity can become complicated. For instance, wind can increase species diversity when occurring in isolation (e.g., Hiura 1995), but in combination with ungulate browsing, the net result on species diversity can be negative (Nuttle et al. 2013). In an extreme example, forests in coastal New England transitioned from a diverse mixed-species systems to *Fagus grandifolia*-dominated forests as a consequence of harvesting followed by intensive browsing and a severe hurricane (Busby et al. 2008). The outcome of multiple disturbances can be difficult to predict due to the multitude of factors that need to be considered, including forest state, species composition, the order of the occurrence of multiple disturbances, and species-specific vulnerability to disturbances. For instance, *Picea abies* is highly susceptible to windthrow but less sensitive to browsing, whereas *Abies alba* is particularly sensitive

to browsing but not to wind (Gill 1992; Gardiner et al. 2013; Tinner et al. 2013). Even though both wind and browsing are becoming increasingly important (Didion et al. 2011; Seidl et al. 2014), little information is available about their combined effect on tree species diversity at the landscape scale.

Observational and experimental studies are limited in their ability to address the impact of multiple disturbances on forests, especially at the landscape scale, due to the limited natural occurrence of wind events and the lack of data over long time periods and large areas. Dynamic forest landscape models are therefore particularly suited to address this topic as they can simulate large-scale and long-term effects of multiple disturbances on tree species composition, structure and dynamics (e.g., Scheller and Mladenoff 2005; Henne et al. 2013). By using a model, confounding factors such as site history, environmental gradients or initial species diversity can be controlled (Morin et al. 2011). Models further allow us to explore changes in species diversity while systematically varying disturbance frequency, severity and size (e.g., Papaik and Canham 2006; Thom et al. 2017). In previous modelling studies, Papaik et al. (2005) found that beech showed a higher vulnerability to windthrow if infected by beech bark disease, ultimately causing a shift towards more shade-intolerant species. Another modelling study reported that the combination of bark beetles, wind and climate change drove *Picea abies* to local extinction (Temperli et al. 2013). However, there remains a large potential for using dynamic vegetation models to address uncertainties about the exposure to multiple disturbances on tree species diversity.

Here, we used a dynamic forest landscape model to disentangle the impact of two distinctive disturbance agents (i.e., wind disturbance and ungulate browsing) on tree species diversity at the landscape scale. To look for generalities in these patterns, we used four Central European landscapes that differ in species composition and overall species diversity. Specifically, we addressed the following research questions: (1) What is the relative contribution of browsing and wind disturbance for shaping tree species diversity in European forest landscapes? (2) Does the shape of the diversity–disturbance relationship vary across different landscapes? (3) What is the combined effect of browsing and wind on tree species diversity?

## Methods

### Dynamic forest landscape model LandClim

We used the forest landscape model LandClim (v1.8), a process-based model designed to simulate forest dynamics in a spatially explicit manner under changing climate, disturbances and land-use over long time periods and at large spatial scales (Schumacher et al. 2004). Landscapes are represented as a raster of  $25 \times 25$  m grid cells. Within each cell, a simplified forest gap model simulates tree establishment, growth and mortality in response to abiotic factors and biotic interactions at a yearly time step (Schumacher et al. 2004). LandClim uses a cohort approach, i.e., trees of the same age and species are simulated as one representative individual. Management and spatial interactions between grid cells, such as seed dispersal and disturbances, are simulated at a decadal time step (Schumacher et al. 2006).

LandClim has successfully been used to simulate species composition and forest dynamics in the European Alps (Schumacher et al. 2004; Elkin et al. 2013), in Mediterranean ecosystems (Henne et al. 2015) and in the Rocky Mountains (Schumacher et al. 2006). The model has also been used to simulate the combined impacts of wind, bark beetle attacks and climate change on spruce-dominated forests (Temperli et al. 2013), fire and browsing on vegetation dynamics in the Mediterranean region (Henne et al. 2013), and how wildfire, wind and harvest may impact future forest development in the European Alps (Schumacher and Bugmann 2006). A detailed description of the model can be found in Schumacher (2004). Previous implementations of LandClim simulated density-dependent mortality based on a user-defined maximum biomass parameter. To improve the simulation of processes responsible for species diversity, we have removed this parameter and replaced it with a more mechanistic representation of light competition (see Online Appendix S1 for more details). A brief overview of how the model simulates disturbance processes relevant for this study is provided below.

### Establishment and ungulate browsing

In LandClim, trees can establish if species-specific requirements are met (e.g., light and water availability, minimum growing degree-days). Environmental

conditions are tracked annually to calculate the number of favourable years that occurred within each decade, which is used to determine the number of saplings that will establish at the end of the decade. Browsing can additionally decrease the number of saplings depending on species-specific browsing tolerances and browsing pressure. The browsing pressure in a landscape is defined by the user and is assumed to be constant over time and space. This assumption is not an accurate representation of reality (i.e., browsing is indeed variable in time and space; Adler et al. 2001), but we consider this simplification appropriate for our purposes (i.e., analysing how diversity responds to differences in browsing intensities at the landscape level).

### Wind disturbances

Wind disturbances are simulated as stochastic events based on user-defined distributions of wind frequency and windthrow size. A wind event starts at a random location and spreads to adjacent grid cells until the windthrow size, which is randomly drawn from the size distribution, is reached. In previous LandClim versions (< v1.8), the major factor affecting windthrow risk and magnitude was tree diameter at breast height (DBH) only while the severity within a cell was uncorrelated to the size of the windthrow event. In the current version (v1.8), we revised the wind module to include species-specific wind resistance. Additionally, the method for determining the occurrence of wind events was improved. The major changes of the new submodel are summarized below (see Online Appendix S2 for additional details and model evaluation).

### Occurrence of wind events

The frequency of wind events is determined by two user-defined parameters: the mean number of wind events per hectare and decade ( $m_w$ ), and the probability that these wind events occur ( $P_w$ ). To determine the actual number of wind events ( $X_w$ ) occurring in a specific decade per hectare, a random number from a negative binomial distribution (NB) is drawn:

$$X_w \sim NB(r_w, P_w), \quad (1)$$

where  $r_w$  is a measure of dispersion based on the probability ( $P_w$ ) and the mean number of wind events ( $m_w$ ) defined as:

$$r_w = \frac{m_w \cdot P_w}{(1 - P_w)}, \quad (2)$$

$X_w$  (the actual number of wind events per hectare within one decade) is then scaled up to the entire landscape. The negative binomial distribution was chosen as it can be parameterized to represent both frequent and infrequent wind regimes.

### Species-specific resistance to wind

We concentrated on the key factors determining susceptibility to wind damage, i.e., tree species, height or DBH (cf. Hanewinkel et al. 2011; Albrecht et al. 2012; Gardiner et al. 2013). Since height is an allometric function of DBH in LandClim, we selected DBH. Species-specific susceptibility to wind within each grid cell was calculated using an equation developed by Canham et al. (2001). Storm severity ( $S$ ) is used to calculate the log odds ratio for wind mortality probability for each species:

$$\log\left(\frac{P_{coh}}{1 - P_{coh}}\right) = a + c \cdot S \cdot DBH_{coh}^b, \quad (3)$$

where  $P_{coh}$  is the probability of windthrow for cohort  $coh$  within the cell,  $DBH_{coh}$  is diameter at breast height of the cohort, and  $a$ ,  $b$  and  $c$  are species-specific parameters that relate to tolerance to wind. Storm severity ( $S$ ) ranges from 0 to 1, with 1 representing the most severe wind storm (where 100% of the biomass of the most vulnerable species is removed). Within each cohort, the number of stems killed by a wind event is determined by drawing a random number from a binomial distribution using the species-specific mortality probability (Eq. 3) and the stem number of the cohort. We do not consider the effects of partial crown damage from wind storms. For assigning the parameter values  $a$ ,  $b$  and  $c$  (Eq. 3), tree species were grouped into three windthrow tolerance classes (i.e., resistant, intermediate and vulnerable; Table S2 in Online Appendix S2; Gardiner et al. 2013). The parameter values were taken from Canham et al. (2001) based on the most resistant species (*Acer saccharum* Marsh.), an intermediate (*Acer rubrum* L.) and the most vulnerable species (*Picea rubens* Sarg.). Although the Canham et al. (2001) study was based in

North America, similar wind tolerance classifications are available for the most dominant Central European tree species. For some species, information about their vulnerability class was not available. These species were mostly small, Mediterranean tree and shrub species that are very rare in the simulated landscapes, and thus were classified as resistant to wind.

Peterson et al. (2013) showed that the severity of wind events within windthrow gaps, as measured by fallen basal area, is closely related to gap size. We thus used the logarithmic relationship from this study to calculate storm severity ( $S$ ) as a function of the disturbed area ( $D$ ), assuming that for a single wind event the severity index is the same within the entire windthrow area:

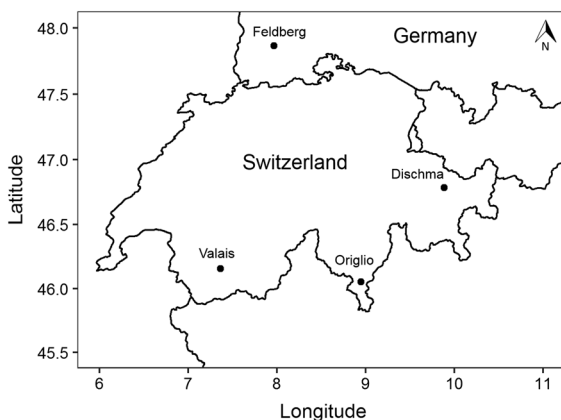
$$S = 0.607 + 0.154 \cdot \log_{10}(D). \quad (4)$$

### Case study landscapes

We used four case study landscapes ranging in size from 1200 to 5300 ha, representing a wide range of forest types and climatic conditions (cf. Fig. 1 for the location, Fig. 2 for the potential natural vegetation (PNV) of each study landscape, and Online Appendix S3 for a description of the landscapes). Moreover, they range from relatively species-rich forests at low elevations (i.e., Origlio) up to high-elevation forests with only few species (i.e., Dischma). LandClim has already been used to successfully simulate PNV in the Feldberg landscape in the Black Forest region (Thrippleton et al. 2016), in Switzerland in the Dischma valley in the Canton of Grisons (Schumacher et al.

2004) and in a part of the Saas valley and the surroundings of the city of Visp in the main valley of the Valais (Elkin et al. 2013). In the landscape around Lake Origlio, LandClim simulates PNV dominated by *Fagus sylvatica* L., *Abies alba* Mill. and an admixture of *Quercus ilex* L. and *Ilex aquifolium* L. in the understorey. The current landscape is dominated by *Castanea sativa* Mill. with an admixture of *Quercus petraea* (Matt.) Liebl., *Q. pubescens* Willd., *Alnus glutinosa* (L.) Gaertn., *Fraxinus excelsior* L., *Fagus sylvatica* and *Tilia cordata* Mill. The disappearance of *Abies alba* and the decline in *Tilia cordata* and *Fagus sylvatica* were likely due to fire (Tinner et al. 1999) and in the case of *Abies alba* also due to increased browsing pressure (Tinner et al. 2013). Hereafter, the study landscapes are referred to as Feldberg, Dischma, Valais and Origlio.

These landscapes were selected not only for their diverse and representative species pools, but also because browsing and windthrow events are prominent disturbances in these areas. For instance, large windthrow events in the Black Forest region occur every 10 to 15 years (Hanewinkel et al. 2008), whereas infrequent, large storms have had large impacts in the canton of Grisons, where hurricane Vivian led to a massive amount of wood damage in 1990 (Willi et al. 2014). High ungulate browsing pressure in Switzerland and Germany has strongly suppressed the regeneration of many highly palatable species such as *Abies alba*, *Acer pseudoplatanus* L., *Quercus spp.*, *Sorbus torminalis* (L.) Crantz, *S. aucuparia* L. or *Taxus baccata* L. (ForstBW 2013; Rigling et al. 2015).

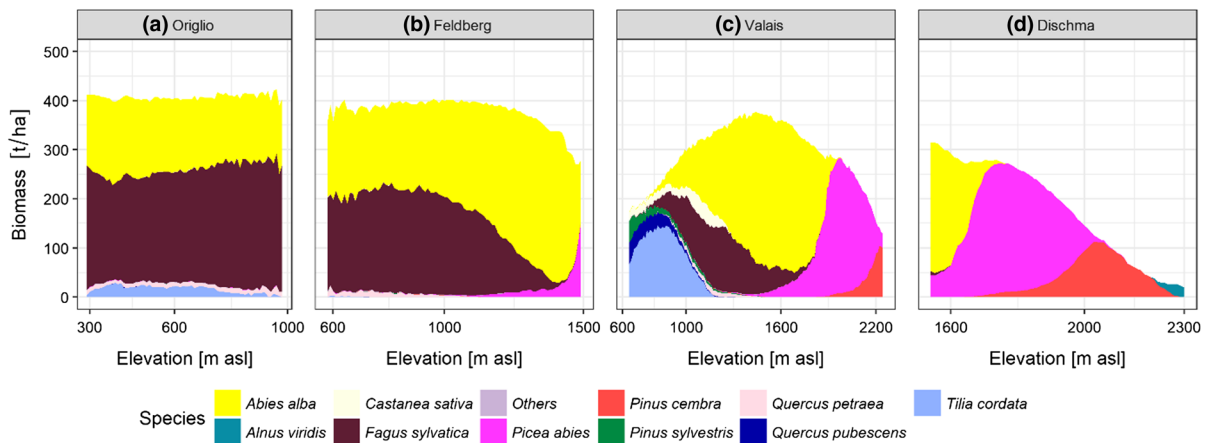


**Fig. 1** Location of the four case study landscapes (i.e., Dischma, Feldberg, Origlio and Valais)

### Simulation settings

To quantify the sensitivity of tree species diversity to browsing and wind disturbances, we ran simulations for 1500 years under a constant climate, starting from bare ground for all four landscapes, with different combinations of three disturbance parameters: windthrow frequency (i.e., mean number of wind events), mean windthrow size (i.e., correlates with the severity of a storm event, Eq. 4), and browsing pressure (see Table 1 for parameter values). Minimum and maximum windthrow size were set to 60% and 1400% of mean windthrow size, creating a log-normal size distribution that is highly skewed towards a higher frequency of small windthrow sizes but with the





**Fig. 2** Simulated potential natural vegetation for the four landscapes (a–d) along an elevational gradient based on biomass after 1500 simulation years without any disturbances, land use history or climate change

**Table 1** Model parameters used in the sensitivity analysis for wind disturbance (i.e., frequency and windthrow size) and browsing pressure, and the range of values used in the simulations

	Range	Explanation	Reference
<b>Windthrow size</b>			
Min. size (ha)	0.06–0.6	Actual windthrow size per wind event is randomly drawn from a lognormal distribution defined by the minimum, mean and maximum windthrow size	Schreiner et al. (1996), Quine and Bell (1998), Panayotov et al. (2015)
Mean size (ha)	0.1–1.0		
Max. size (ha)	1.4–14		
<b>Windthrow frequency</b>			
Mean number of wind events (ha <sup>-1</sup> decade <sup>-1</sup> )	0.0015–0.03	Determines how many wind events occur on average in one decade in a 1-ha plot	Klopcic et al. (2009), Silva Pedro et al. (2016)
Probability	0.9	Probability that the event of interest occurs; used to determine the actual number of wind events per decade	Estimate
<b>Browsing pressure</b>			
Browsing pressure	0–0.9	Browsing pressure reduces species-specific establishment probabilities	Henne et al. (2013)

Windthrow severity is calculated as a function of windthrow size (Eq. 4). See Online Appendix S2 for a justification of the selected disturbance ranges

occasional occurrence of large gaps (e.g., Quine and Bell 1998). The windthrow probability ( $P_w$ ) was fixed to 0.9 creating a relatively narrow almost normal distribution for all scenarios (see Online Appendix S2 for a justification of the selected wind disturbance ranges). Browsing pressure may differ greatly among landscapes (Fischer et al. 2013), and might even lead to complete establishment failure of certain tree species (Senn and Suter 2003). Thus, a very wide range of browsing pressures (0–0.9) was explored. All simulations included 31 potential tree species for Feldberg, Dischma and Valais, and 38 potential tree

species for Origlio, assuming global seed dispersal and no management interventions. Using historical climate data from each landscape as input (from ~ 1950 to 2000), climate records were randomly sampled with replacement to generate 1500-year climate sequences for each simulation run.

Combinations of disturbance parameter values were generated using Latin Hypercube sampling (LHS), which has been shown to be an efficient alternative to a factorial design, and the results are considered robust in spite of much smaller sample sizes (Saltelli 2008). To ensure coverage of the entire

parameter space, 1000 parameter combinations were generated using the *lhs* function in the *tgp* package v2.4-14 in R (Gramacy and Taddy 2010). For all landscapes, the same 1000 parameter combinations were used.

## Output measures

### Tree diversity

We used Shannon's diversity index  $H'$  (Shannon and Weaver 1949) based on the proportion of stem numbers of each species ( $p_i$ ) across the entire landscape. We chose to use stem numbers instead of biomass to account for subdominant species that have a smaller contribution to the total forest biomass:

$$H' = - \sum_{i=1}^S p_i (\ln(p_i)). \quad (5)$$

Disturbances can have a different impact on different layers in the forest (e.g., Tremblay et al. 2007; Gardiner et al. 2013). Wind tends to act as a *top-down* disturbance mostly affecting the larger trees, whereas browsing is a *bottom-up* disturbance that affects forests by differential filtering of regeneration. Thus, we calculated Shannon's diversity index for: (1) all tree sizes (i.e., all individuals), (2) the regeneration layer (i.e., stems < 10 cm DBH), and (3) the overstorey (i.e., stems > 40 cm DBH; Brändli and Speich 2007).

### Statistical analysis

To analyse the effect of browsing and wind disturbance on tree species diversity at the landscape scale, we calculated Shannon's diversity index of the entire landscape after 1500 years of simulation, i.e., when the simulated landscapes had reached a dynamic equilibrium. The area above natural treeline (i.e., tree height < 3 m; Harsch et al. 2009) was not included in the analysis for the Dischma valley. As we focused on the impact of disturbances on tree species diversity changes, we evaluated the difference in diversity between a baseline simulation and the disturbance scenarios. As a baseline, we simulated forest dynamics for all study landscapes in the absence of disturbances, with 10 replicates each, and calculated average

diversity in the year 1500 over all replicates for the entire landscape.

To quantify the relative contribution of browsing, wind frequency and windthrow size on Shannon's diversity index, we used boosted regression trees (BRT) in R v3.4.1 (R Development Core Team 2016). BRT are machine-learning algorithms that combine regression tree models and boosting algorithms (Elith et al. 2008), particularly useful for fitting complex and non-linear responses. We used the *gbm* package v2.1.3 (Ridgeway 2017) and the *dismo* package v1.1-4 (Hijmans et al. 2017) following the recommendations by Elith et al. (2008). BRT models were fitted using a tree complexity of 2 and a bag fraction (i.e., how much of the data is used for each iteration) of 0.5. We varied the learning rate to reach at least 1000 trees, as suggested by Leathwick et al. (2006). Browsing pressure, mean windthrow size and wind frequency (i.e., normalized to 1 ha) were used as explanatory variables. Due to the highly stochastic nature of the wind regime, we tracked the number of wind events that were simulated in the previous 100 years (i.e., between the simulation years 1400 to 1500) as well as mean windthrow size over this 100-year period. This was done because two simulations might have the exact same *input* values, but could still differ in the number and size of wind events simulated. We selected the last 100 years as the number and size of the wind events during this time period, would have had the largest impact on current tree diversity. Thus, we were able to use the actual wind disturbance regime per simulation for our analyses. To enable comparisons across the four landscapes, the number of wind events was always normalized to one hectare.

For assessing the influence of *just* wind frequency and windthrow size on tree species diversity, we used only those scenarios that had a low browsing pressure (< 0.2), as the influence of browsing at this level relatively small (Didion et al. 2011). Relying on only those simulations with a browsing pressure of exactly zero would have led to a too small sample size from the LHS. For each study landscape, a local polynomial regression smoother (LOESS) was fitted using mean windthrow size and normalized wind frequency as predictors. As mean windthrow size and the number of wind events were found to have similar effects on tree species diversity (see "Results"), we combined these two parameters into a single metric of the wind rotation period (RP), which is defined as the time

needed to disturb an area equal to the entire landscape given a certain average wind frequency and windthrow size (e.g., Turner et al. 2001); it is calculated as

$$RP = \frac{t}{p}, \quad (6)$$

where  $t$  is the time of observation (i.e., in our case 100 years) and  $p$  is the proportion of the landscape disturbed. Then, we used browsing pressure and RP as predictors in the LOESS function to examine the influence of wind disturbance and browsing on tree species diversity for each landscape.

## Results

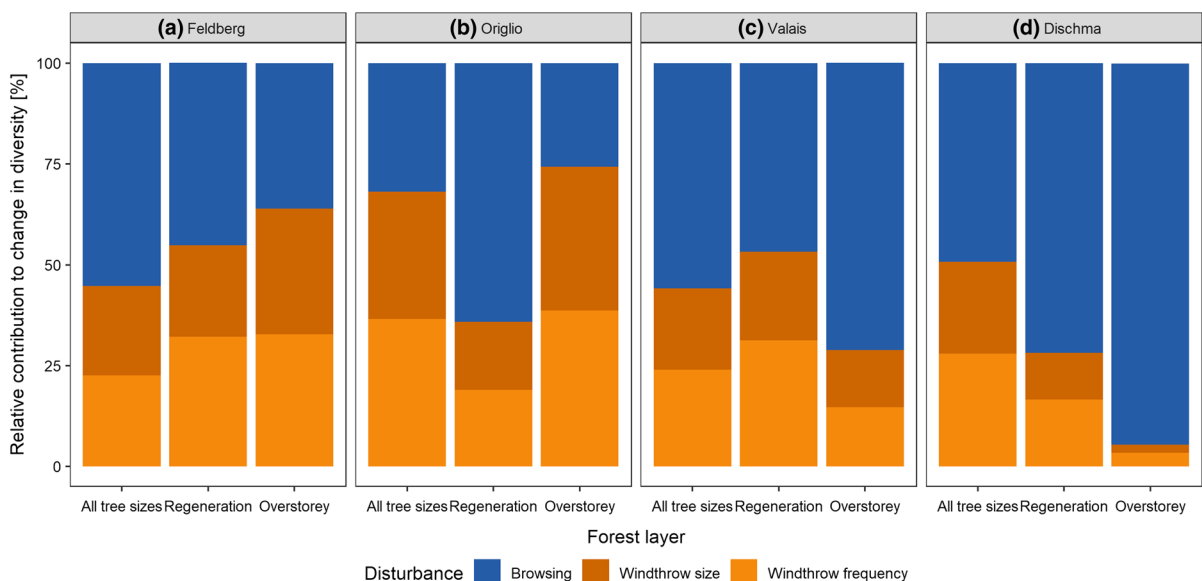
### Relative contribution of browsing and wind disturbance for tree diversity

The relative contribution of browsing pressure, wind frequency and windthrow size on tree species diversity varied among landscapes and forest layers (Fig. 3). In general, tree diversity was more sensitive to wind in Feldberg and Origlio, whereas browsing pressure was more important in the Valais and Dischma. For all tree sizes, the relative contribution of browsing ranged

from 32% in Origlio up to 56% in the Valais, and the total effect of wind (i.e., both frequency and size) ranged between 44 and 68%. In comparison to all tree sizes, diversity in the regeneration layer increased by ~ 30% compared to all tree sizes; Fig. 3b, d). In Feldberg and Valais, the regeneration layer was slightly less sensitive to browsing, compared to all tree sizes (decreased by ~ 10%; Fig. 3a, c). Diversity in the overstorey layer was more sensitive to wind disturbances in Feldberg (63%) and Origlio (74%). These patterns are in contrast to those found in the Valais and Dischma, where overstorey diversity was much less sensitive to wind (relative contribution of wind was only 28% and 5%, respectively).

### Shape of the diversity–disturbance relationship

The predicted response of tree species diversity to increasing browsing pressure was nonlinear (Fig. 4a–c; Online Appendix S4 and S5 for more details), and typically the highest increase in diversity occurred at intermediate browsing pressure (Fig. 4a, c). For the overstorey layer, the relationship between browsing



**Fig. 3** Relative contribution of each disturbance to the total change in tree species diversity for all case study landscapes (a–d) based on boosted regression trees. Diversity changes are expressed as the difference in Shannon’s diversity index, comparing the absolute difference between a baseline scenario

without disturbances to disturbance scenarios. Diversity was calculated after 1500 years of simulation for all tree sizes (i.e., all individuals), the regeneration layer (i.e., stems < 10 cm DBH) and the overstorey layer (i.e., stems > 40 cm DBH)



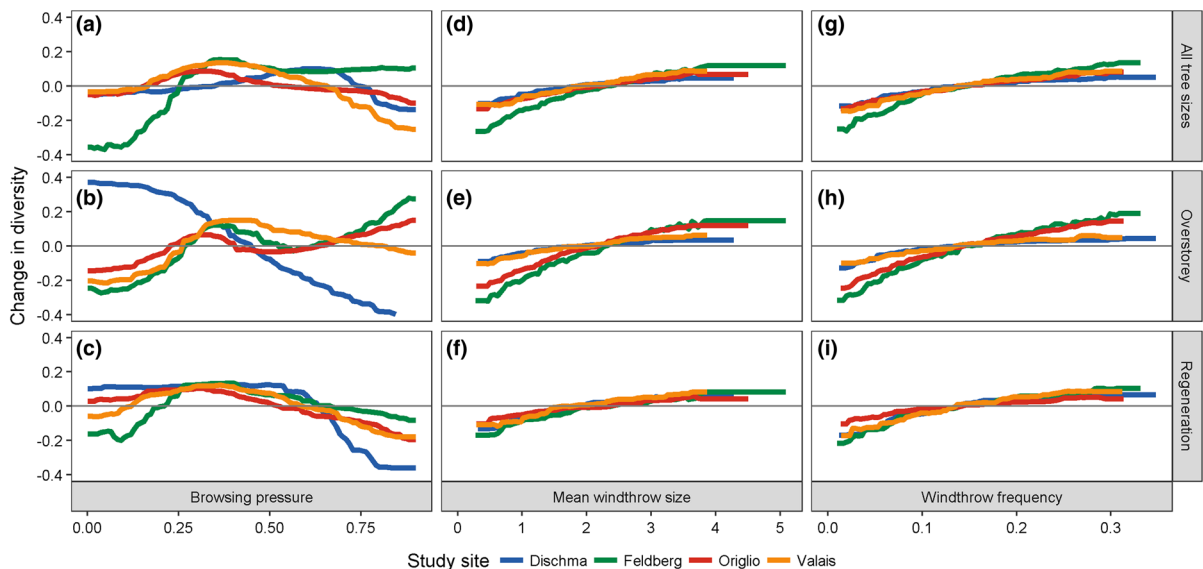
pressure and diversity differed between the four landscapes (Fig. 4b). In the Dischma valley, diversity had a negative linear relationship (i.e., as diversity decreased as browsing pressure increased). In the Valais, there was a singular diversity peak at intermediate browsing pressure, while Feldberg and Origlio had more of a bimodal relationship. The first peak in diversity occurred at a browsing pressure of 0.3, then fell and rose again for values > 0.6.

Across the four landscapes and in all forest layers, there was a positive linear relationship between species diversity and increasing wind frequency and windthrow size (Fig. 4d–i; Online Appendix S5 for more details). Moreover, the highest increase in the diversity index typically occurred under a wind regime with *both* high frequency and large windthrow size (Fig. 5). The increase in diversity was highest in the low elevation landscape, Feldberg (Fig. 5a–c). The smallest increase in diversity due to wind disturbances was found in the highest elevation landscape, Dischma (Fig. 5j–l). The sensitivity of diversity to wind frequency and size was not consistent between canopy layers. For instance, the overstorey layer in Origlio

had a greater diversity increase due to wind than the regeneration layer (Fig. 5e, f), while it was the regeneration layer in Valais that had a greater increase in diversity compared to the overstorey (Fig. 5h, i).

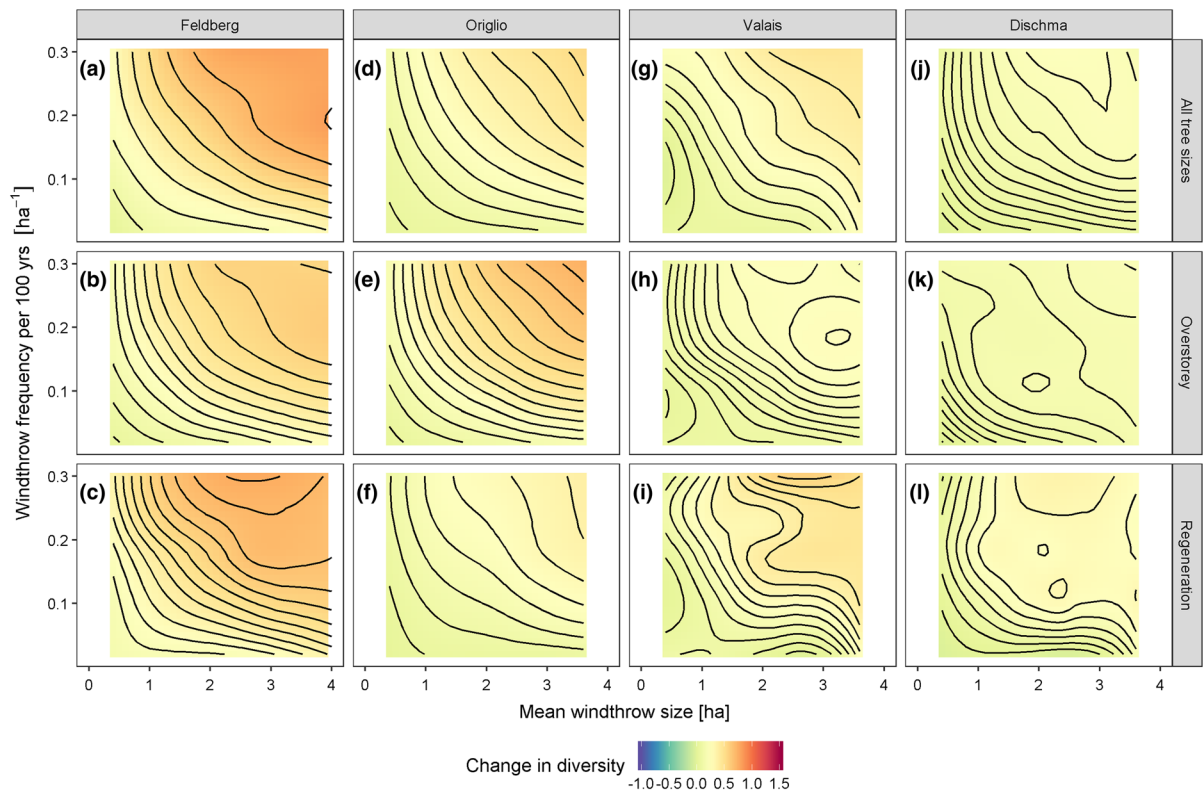
#### Interactions between browsing and wind disturbance

Both disturbance agents influenced diversity at the landscape scale, although the sensitivity of diversity to these two disturbances varied between landscapes (Fig. 6). Once again, the greatest increase in the Shannon's diversity index was found in the lowest elevation landscape (i.e., Feldberg). In the highest elevation landscape, Dischma, diversity was either unchanged or decreased under various disturbance intensities. There was also evidence that the interaction between the two disturbances led to different diversity responses. For instance, the interaction between increasing browsing pressure and increasing wind disturbances (i.e., shorter rotation periods) had a strong positive effect on tree diversity in the Feldberg landscape (Fig. 6a–c) while the interaction between



**Fig. 4** Partial dependence plots for windthrow and browsing disturbance for all tree sizes (i.e., all individuals), the overstorey layer (i.e., DBH > 40 cm) and the regeneration layer (i.e., stems < 10 cm DBH) for the four landscapes. Mean windthrow size and windthrow frequency were calculated for each simulation, based on the wind events that were simulated in last 100 years (see “Methods” for additional details). The difference in Shannon's diversity index between disturbance

scenarios and the baseline (i.e., a scenario without disturbances) was used as the response variable. Values on the y-axis were plotted by fixing all other predictors at their mean value. Note that the difference in species diversity does not reveal how diverse the landscape is in general. For instance, even though Dischma is the species-poorest system, the diversity change can be strong



**Fig. 5** Change in species diversity as a function of wind frequency (i.e., number of wind events in the previous 100 years, normalized to 1 ha) and mean windthrow size (ha) for all tree sizes (i.e., all individuals), the overstorey layer (i.e., stems > 40 cm DBH) and the regeneration layer (i.e., stems < 10 cm DBH) for all four landscapes. The surface plots display the absolute difference in Shannon's diversity index

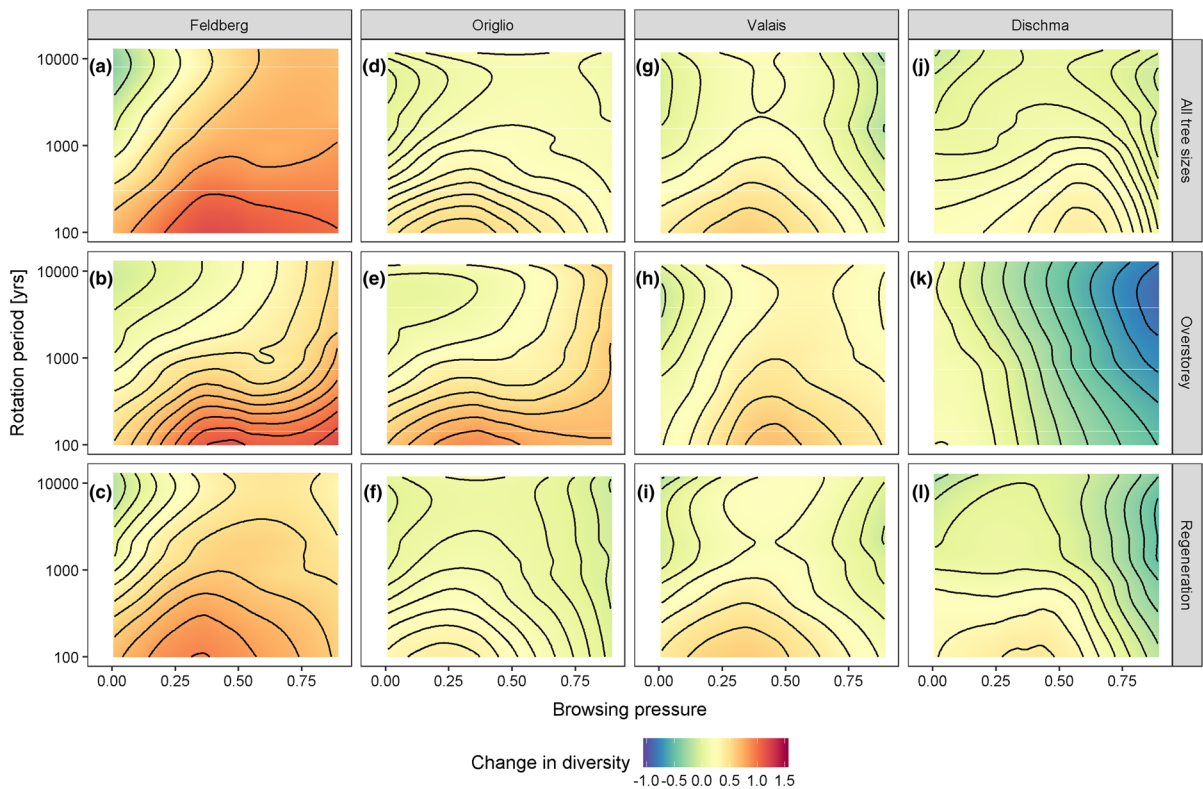
(between disturbance scenarios and a baseline scenario without disturbances) after 1500 years of simulation for a gradient of wind frequency and windthrow size with minor browsing pressure (< 0.2). A local polynomial trend function (LOESS) was fitted to approximate the diversity response for the whole parameter space

the two disturbances reduced diversity in the Dischma landscape (Fig. 6j–l). In addition, the peak in diversity at intermediate browsing pressure could shift depending on the severity of the wind regime. In Feldberg and Origlio, the peak in diversity occurred at lower browsing levels under shorter wind rotation periods (Fig. 6c, d). In Dischma, the peak in diversity occurred at higher browsing levels under more intense wind regimes (Fig. 6j). As wind rotation periods became longer, this hump-shape diversity response could change to a linear response (Fig. 6a, b, e).

## Discussion

Tree species diversity at the landscape scale can be influenced by multiple disturbance agents, but it is

quite challenging to quantify their relative importance in empirical studies. Describing the shape of the diversity–disturbance relationship for forests is equally as challenging, as it is virtually impossible to capture all disturbance intensity levels within the same landscape. Our modelling approach allowed for a systematic analysis of multiple disturbance regimes in different landscapes with different species pools. Although our approach exhaustively covered multiple parameter combinations, several assumptions were necessary. First, each simulation assumed a constant browsing pressure for all parts of the landscape (although browsing pressure did vary *between* simulations). In reality, certain parts of the landscape will experience higher or lower browsing pressure, spatially as well as temporally (Adler et al. 2001; Reimoser et al. 2009). However, the purpose of this



**Fig. 6** Change in species diversity relative to a no-disturbance scenario as a function of wind rotation period (i.e., mean time a disturbance regime needs to impact an area equal to the size of the entire study landscape; in log-scale) and browsing pressure for the four case study landscapes. Absolute differences in Shannon’s diversity index are shown for a gradient of rotation period and browsing pressure for all tree sizes (i.e., all

individuals), the overstorey layer (i.e., stems > 40 cm DBH) and the regeneration layer (i.e., stems < 10 cm DBH). A local polynomial trend function (LOESS) was fitted to approximate the diversity response for the entire parameter space. Note that shorter rotation periods feature a more intense wind disturbance regime

study was not to determine the influence of browsing on species diversity at any one particular location, as this question is better suited for empirical studies (e.g., Royo et al. 2017; Ramirez et al. 2019). Rather, it was to elucidate the more general response of diversity to browsing at all levels. A similar simplifying assumption was made for wind disturbances, which we know in reality to be influenced by topography, aspect, and soil conditions (Everham and Brokaw 1996), which are not considered in our simulations. However, even though the intensity of wind and browsing disturbances were constant *within* any one particular simulation, they varied *between* simulations. This allowed us to quantify the relative contribution of wind and browsing on species diversity at the landscape scale, describe the shape of the diversity–disturbance relationship, and understand how these two disturbances interact.

#### Relative contribution of browsing and wind disturbance for tree diversity

Tree species diversity was influenced equally by both browsing and windthrow across all four landscapes in our simulations when considering *all tree sizes* (Fig. 3). Thus, both bottom-up (browsing) and top-down (windthrow) disturbances are likely to be important for tree species diversity in European landscapes. Interestingly, the relative influence of wind and browsing differed between landscapes when considering the *regeneration* and the *overstorey* layer separately.

One might have presumed that wind would have a higher relative importance for overstorey tree species diversity as strong wind events predominantly kill larger trees, while browsing would have a stronger impact on diversity in the regeneration layer.

However, Origlio was the only landscape that showed this response (Fig. 3b). In Valais, the impact of the two disturbances was exactly opposite to the presumed pattern (i.e., browsing was more important for overstorey diversity and wind was more important for regeneration diversity). In Feldberg, wind disturbances had a larger relative impact on tree species diversity in both layers, while browsing was more important for tree species diversity in Dischma, independent of the layer. The reasons for these landscape-specific responses are discussed below.

The four landscapes had different species compositions and structure due to differences in topography and climatic conditions (Fig. 2). These differences translated into different compositions of disturbance vulnerabilities and thus influenced the importance of each disturbance in the study landscapes. For instance, diversity in all forest layers were especially sensitive to increasing browsing pressure in the Dischma valley (Fig. 3d). In this species-poor landscape, browsing caused *Abies alba* to disappear, and there were no other browsing-tolerant species that could have ‘filled in’ for the species loss (Fig. 4b, c). As the Shannon’s diversity index considers both species abundance and species richness (Shannon and Weaver 1949), the browsing-induced removal of a species had a large influence on the index in this species-poor landscape, and thus it resulted in a high relative importance of browsing in all layers. In Feldberg and Origlio, it was wind disturbances that had the highest relative importance for tree species diversity in the overstorey (Fig. 3a, b). Both of these landscapes are located at lower elevations where tree growth rates are higher. The simulated forests were composed of more trees in larger DBH size classes, which are more susceptible to wind damage. Wind storm damage allowed less competitive species to reach the canopy, and thus increased overstorey diversity. Hence, our findings suggest that wind and browsing are indeed important disturbances for shaping tree species diversity at the landscape scale, but their relative contribution depends strongly on the regional species pool, i.e., species composition (via functional traits) and forest structure, particularly tree size distributions as mediated by growing conditions.

Our analysis was designed to evaluate and compare the *relative* importance of wind and browsing disturbances for tree species diversity among different landscapes. However, we recognize that the relative

importance of wind and browsing was influenced by the disturbance ranges we selected for our study. Even though we restricted the parameters of our disturbance regimes to ranges that are typically observed in temperate forest ecosystems (e.g., Quine and Bell 1998; Senn and Suter 2003; Klopčič et al. 2009), such estimates can also be uncertain. For instance, using more severe wind regimes would most likely have led to a larger importance of wind in shaping tree species diversity. In addition, the range of different disturbance regimes simulated do not represent the actual disturbance regime of each specific landscape. Our results should therefore not be interpreted as a clear indication of the importance of the disturbances in the different landscapes, but as an example of how the importance of disturbances can differ between landscapes.

#### Shape of the diversity–disturbance relationship

The shape of the diversity–disturbance relationship differed between windthrow and browsing, but was mostly consistent across the four landscapes. While diversity typically peaked at intermediate browsing pressures, it linearly increased with increasing windthrow size and frequency (Figs. 4, 5).

The linear increase in diversity with intensifying wind disturbances was, at first glance, surprising as we expected diversity to decrease under very strong wind regimes. In our simulations, forests without wind disturbances tended to form species-poor stands dominated by a few late-successional species. This was especially true in Feldberg (Fig. 5a–c). Windthrow events disrupted this forest structure by preferentially removing larger trees and allowing for the coexistence of shade-tolerant and -intolerant species. As wind is a spatially explicit disturbance in the model (and in reality), some patches remained undisturbed, leading to a landscape featuring a heterogeneous mosaic of patches with different successional states, and thus higher diversity at the landscape scale. Hence, our results suggest that intensifying wind regimes, within the range common for Central Europe, are likely to enhance tree species diversity by creating more heterogeneous landscapes.

This result is generally well supported by empirical research. For example an increase of diversity due to higher landscape patchiness was reported for natural forest fires in the Yellowstone National Park (Romme

1982). However, empirical studies that separately consider the effects of frequency and windthrow size on tree species diversity are ambiguous. While some studies found a positive effect of increasing gap size on species diversity due to an increase in early-successional species within the gaps (e.g., Phillips and Shure 1990), others found no relationship between windthrow size and diversity (Peterson et al. 2013; Cowden et al. 2014), or the highest diversity under an intermediate disturbance frequency (Hiura 1995). However, these empirical studies often evaluated single wind events or focused only on the disturbed parts of the landscape (i.e., without taking into account the surrounding undisturbed forest). Our landscapes (quite realistically) never experienced a wind event that disturbed the entire area, whereas forests in windthrow plots can be damaged completely, thus this lack of congruence between results at different spatial scales is not surprising.

Including more frequent or severe wind events in our simulations may have led to a negative effect on diversity under these conditions. However, such wind regimes are arguably beyond what would be realistic for forests of Central Europe (e.g., Schreiner et al. 1996; Klopčič et al. 2009), and thus they were not included. Even though winter storms occur relatively frequently in Central Europe, their destructive power is simply not comparable to the hurricanes and tornados common in North America (Fischer et al. 2013). Therefore, a severe wind regime for Central Europe may not be classified as being severe in other parts of the world. In addition, we evaluated diversity of the entire landscape. Thus, simulating a more severe wind regime would still have created a patchy landscape that would most likely still increase diversity, thus not altering our conclusions.

While species diversity consistently showed a positive relationship with increasing wind disturbances, the highest diversity was found at intermediate levels of browsing across (almost) all landscapes and forest layers (Fig. 4a–c). Under low browsing pressure, the dominant species were often browsing-intolerant. Increasing browsing pressure had a positive impact on species diversity by gradually removing these dominant species and allowing subdominant species to establish in the landscape. As browsing pressure continued to increase, only a few highly browsing-tolerant species were able to thrive, thus reducing diversity under the highest browsing

pressure. In Dischma, the shape of this relationship differed from the other landscapes. In this species-poor valley, the removal of *Abies alba* had a larger influence on the forest composition leading to a decrease in diversity with a higher browsing pressure across all forest layers. In contrast to wind, browsing may permanently remove species from the entire landscape, thus fundamentally altering competition between the remaining species and leading to a non-linear response of tree species diversity.

Browsing was constant over time and space in our simulations, and thus our results can be compared to empirical plot-scale studies. Cook-Patton et al. (2014) also found that browsing increased tree species diversity in the regeneration layer due to the elimination of dominant but browsing-intolerant species. However, browsing may also reduce diversity due to the local depletion of certain species (Gill and Beardall 2001). Our results also showed a subsequent diversity change over a longer period and demonstrated that the impacts on the regeneration layer cascaded into the overstorey, as corroborated by other studies (e.g., Didion et al. 2009; Bradshaw and Waller 2016). Our results also highlight the importance of the spatial scale of disturbances (i.e., in our simulations browsing was assumed to disturb every patch whereas wind throws were stochastically distributed across the landscape). These differences in spatial scales are likely to influence the shape of the diversity–disturbance relationship, and may be one reason why so many different responses have been reported for terrestrial ecosystems (e.g., Martinsen et al. 1990; Abensperg-Traun et al. 1996).

#### Interactions between browsing and wind disturbance

The diversity–disturbance patterns as discussed in the previous sections assumed that the other disturbance did not vary (see “Methods”). Our results showed that the shape of the diversity–disturbance relationships could change if the severity of the second disturbance was altered (Fig. 6). For example, under more intense wind disturbances (short rotation periods), the peak in diversity occurred at lower browsing pressures. Under longer wind rotation periods, the peak in diversity occurred at higher browsing levels (e.g., Fig. 6c, d, f–i) or the relationship became more linear (e.g., Fig. 6a, b, e). Plant communities are commonly exposed to



multiple disturbances, yet the interaction between disturbances can be difficult to predict (Mouillot et al. 2013) and we are only beginning to understand how multiple disturbances shape ecosystems and communities (e.g., D'Amato et al. 2011).

The results from our modelling study support the hypotheses that disturbances can interact in non-linear and non-additive ways (Paine et al. 1998). More importantly, we found that the interaction between disturbances was not consistent between landscapes. Even though the same disturbances were simulated in all four landscapes in our modelling study, the effect of the disturbances was quite different (compare Feldberg and Dischma, for example). Thus, the composition of the local species communities can substantially alter the response to disturbances. Using a functional approach as suggested by Mouillot et al. (2013) would be an important next step, to generalize these diversity-disturbance relationships based on species traits. Understanding how multiple, interacting disturbances influence species diversity is integral for understanding ecosystem functioning and stability.

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