

# **Mining fan end cooling heat exchanger circuit optimization analysis using micro‑unit method**

**Yongliang Zhang1 · Zhen Hu1 · Hongwei Mu1 · Xilong Zhang1 · Shouqing Lu<sup>1</sup> · Qinglei Tan1 · Bing Shao<sup>1</sup>**

Received: 27 October 2023 / Accepted: 8 July 2024 © Akadémiai Kiadó, Budapest, Hungary 2024

#### **Abstract**

To address the issue of low efficiency in cooling heat exchangers at the deeper ends of mine fans, we propose a micro-unit approach for arranging the cooling water fow path within the heat exchanger. This method involves subdividing the heat exchanger into micro heat transfer units and determining the heat transfer characteristics of each individual unit through theoretical calculations and software simulations. Utilizing a computer program, these micro units are systematically arranged and combined to exhaust all possible cooling water fow paths. The ultimate objective is to derive the optimal structural arrangement of the cooling water flow path within the heat exchanger, with the goal of achieving the most efficient heat transfer efect. The fndings reveal that the optimized structure, obtained through the micro-unit optimization method, achieves an average air outlet temperature of 311.65 K. This temperature is lower than that of the typical current-fow structure (311.88 K) and the typical counter-fow structure (311.68 K), indicating a superior heat transfer efect. Further examination demonstrates that the average air outlet temperature across all counter-fow structures is 311.68 K, which is notably lower than the average air outlet temperature of 311.90 K observed in the current-fow structure. This highlights the enhanced heat transfer efectiveness of the counter-fow structure. This novel method for optimizing the heat exchanger fow path applies the concept of fnite element analysis to the optimization process, reducing computational and experimental costs. This approach is significant for improving the efficiency of heat exchangers.

**Keywords** Mine heat damage · Heat exchanger fow path design · Micro-unit method · Convective heat transfer · Numerical simulation

#### **List of symbols**





 $A_{\text{total}}$  Total heat exchange area, m<sup>2</sup><br> $A_{\text{mbe}}$  Tube area, m<sup>2</sup>  $A_{\text{tube}}$  Tube area, m<sup>2</sup><br> $A_{ii}$  Integration of  $A_{ij}$  Integration of area, m<sup>2</sup><br>*C* Specific heat capacity Specific heat capacity of water,  $J kg^{-1} K^{-1}$  $C_p$  Specific heat capacity of air, J kg<sup>-1</sup> K<sup>-1</sup> *h* Overall heat transfer coefficient,  $Wm^{-2} K^{-1}$  $\dot{m}$  Water mass flow rate, kg s<sup>-1</sup> Δ*Q* Total heat transfer, J *Q* Normal state heat transfer rate, W *Q*ideal Ideal state heat transfer rate, W  $T_{\text{in}}$  Inlet temperature of air, K<br> $T_{\text{out}}$  Outlet temperature of air, Outlet temperature of air, K *t*in Inlet temperature of water, K *t*<sub>out</sub> Outlet temperature of water, K  $\Delta T_{\text{ln}}$  Logarithmic temperature difference, K  $u_{\text{in}}$  Average air flow velocity, ms<sup>-1</sup>

#### **Greek symbols**

 $\rho$  Air density, kg m<sup>-3</sup>

<sup>1</sup> School of Mechanical & Automotive Engineering, Qingdao University of Technology, Qingdao 266033, China

## **Introduction**

With decades of mineral mining operations, surface mineral resources in major mining areas are starting to become scarce, prompting a shift toward deeper sources. However, mining at greater depths introduces challenges such as longer, deeper, and more intricate mine tunnels [\[1](#page-9-0), [2\]](#page-9-1). The increased heat generation in the surrounding rock makes ventilation and heat dissipation more challenging, leading to a prominent heat damage issue in mines. This, in turn, results in a higher incidence of occupational diseases and safety accidents [[3–](#page-9-2)[5\]](#page-9-3).

In addressing the heat damage problem, some researchers have suggested employing small cooling fans to reduce temperatures in key underground areas. This approach involves creating designated cooling zones to establish microenvironments with comfortable temperatures. Notably, this method boasts a straightforward structure, easy installation and implementation, and proves to be cost-efective and energy-efficient  $[6, 7]$  $[6, 7]$  $[6, 7]$ . The cooling heat exchanger, typically installed at the end of the fan, commonly employs a fnned-tube circulation tube as its core cooling structure. This configuration offers advantages such as a compact size and high cooling efficiency  $[8-12]$  $[8-12]$  $[8-12]$ . However, the fin-andtube heat exchanger (FTHXs) often encounters challenges related to the complexity of its cooling medium fow path and uneven fuid fow. These issues serve as constraints that hinder the potential enhancement of its heat transfer performance. Consequently, there is a current emphasis on addressing and optimizing the fow path structure of heat exchangers [[13–](#page-10-1)[16\]](#page-10-2).

The optimization of the flow path in finned-tube heat exchangers has been a subject of extensive research. Zhang [[17\]](#page-10-3) developed the Generalized Simulation Platform for Refrigeration and Air Conditioning Systems (GREAT-LAB) in 2010. This simulation platform offers robust technical support for simulating, optimizing, and controlling heat pump and air conditioning units. Given the similarities between mine fan heat exchangers and air conditioning systems, this study draws inspiration from the principles of GREATLAB and its related literature.

Mario Petrovic et al. [[18\]](#page-10-4) introduced topological concepts for optimizing the local structure of fns in a parallel plate heat exchanger using a genetic algorithm. Unlike the symmetrical and homogeneous configuration of conventional fin structures, the fnal optimized structure exhibits a slightly diferent shape for each row of fns within the airfow path. Validation experiments using 3D printed models demonstrated that the optimized structure outperformed the conventional counterpart.

In a study by Ishaque et al. [\[19](#page-10-5)], a dual-mode optimization algorithm was employed to enhance the performance of the heat exchanger's cooling water fow path, resulting in a performance improvement of up to 9.64%. The authors considered the inhomogeneity of air velocity in the heat exchanger and introduced knowledge-based (KBCM) and arrangement (PBCM) calculation modules to determine the optimal number of tubes and their arrangement while minimizing computational costs. The study utilized a program to align the fow paths, combining accurate heat transfer calculations for all paths with high precision but requiring substantial computational effort.

Domanski et al. [[20](#page-10-6)] explored the application of an intelligent optimization module (ISHED) in optimizing refrigerant circuits. Their fndings indicated that an intelligent automatic optimization system can yield comparable or even superior results compared to manual optimization [\[21](#page-10-7)].

Genetic algorithms provide a means to establish optimization objectives within a program, granting the algorithm a degree of autonomy, automating certain aspects of the optimization process, thereby saving time in the fow path optimization [[22\]](#page-10-8). Jiang [[23](#page-10-9)] employed genetic algorithms by transforming the heat exchanger tubes and their connections into a matrix and its units, optimizing their fow paths through this approach.

Wu et al. [[24](#page-10-10)] utilized genetic algorithms to optimize refrigerant fow paths in heat exchangers, aiming for maximum heat transfer with the minimum pipe length as the objective. The optimized structure achieved a remarkable up to 40% reduction in the length of the heat exchanger header pipe and up to a 7.8% improvement in heat transfer performance. However, the iterated heat exchanger structure generated by the algorithm, while theoretically offering higher heat transfer performance, encountered challenges such as cross and far-span connections, thereby increasing manufacturing difficulty and costs. Human-imposed constraints and rules are often required to mitigate these issues.

In recent years, the rapid development of machine learning and artifcial intelligence has opened up new possibilities. Large language models of artifcial intelligence have demonstrated their potential, and these computational approaches can also be applied to the optimization design of heat exchangers [[25](#page-10-11), [26\]](#page-10-12). Mohammad Ghalandari et al. [[27](#page-10-13)] mentioned that the application of various artifcial intelligence techniques can be used to model and predict the performance of diferent heat exchangers. For example, these techniques can predict heat exchanger performance, processing costs, and fouling conditions during operation. The authors discussed the applications of diferent types of heat exchangers, such as shell-and-tube heat exchangers, which are suitable for high-pressure environments and offer design flexibility and low maintenance costs. Platetype heat exchangers have a more compact structure and are suitable for low-pressure operating environments compared to shell-and-tube heat exchangers. Maunu Kuosa et al. [[28\]](#page-10-14) conducted a study on the application of plate heat exchangers in the field of district energy-efficient heating. In plate heat exchangers, the two fuids involved in heat exchange are both waters. Low-temperature water and high-temperature water exchange heat through alternating heat exchange plates. The authors proposed a novel mass fow control model that improves the heat transfer performance of the plate heat exchanger while reducing pressure loss.

In conclusion, the majority of studies on flow path optimization for heat exchangers have focused on air conditioning systems, with relatively fewer investigations into the optimization of flow paths for small cooling heat exchangers in mining applications. The fnite unit method, which discretizes the continuous structure to facilitate optimal design for specifc microunits, has been commonly employed in this research domain.

In this work, a mining fan heat exchanger unit optimization method based on previous research is proposed. This method involves the segmentation of the heat exchanger into units, the measurement and calculation of the working characteristics of individual units, and the utilization of a software program. By setting optimization objectives and instructing the program, the heat exchanger units are arranged and combined to fnd the optimal structure for the fow path arrangement. The ultimate aim is to enhance efficiency and address heat damage issues in mines, providing valuable technical support for mining operations. This approach utilizes the concept of fnite element analysis to simplify the optimization of the heat exchanger flow path. This method is relatively novel, with few similar studies available in the existing literature.

# **Theoretical analysis**

At the termination point of the mining fan, hot air is conveyed to the heat exchanger, facilitating heat exchange with the cooling water within. Assuming no consideration for the phase change of water vapor in the air, the energy exchanged per unit time can be expressed using Newton's law of cooling [[29](#page-10-15)]:

<span id="page-2-0"></span>

$$
\Delta Q = A_{\rm f} \cdot u_{\rm in} \cdot \rho \cdot C_{\rm p} (T_{\rm in} - T_{\rm out}) = \dot{m} \cdot C \cdot (t_{\rm out} - t_{\rm in}) \tag{1}
$$

where  $\Delta Q$  is the total heat transfer,  $A_f$  is the airflow inlet area,  $u_{in}$  is the average air flow velocity,  $\rho$  is the air density,  $C_p$  is the air constant pressure specific heat capacity,  $\dot{m}$  is the mass flow of water,  $C$  is the specific heat capacity of water,  $T_{\text{in}}$ ,  $t_{\text{in}}$  is the inlet temperature of air and water, respectively,  $T_{\text{out}}$ ,  $t_{\text{out}}$  is the outlet temperature of air and water, respectively.

This method operates on the principle of calculating the temperature and fow rate diferences before and after fuid heat exchange per unit time, enabling the determination of the heat exchange quantity. Given the relatively small change in the fow rates of air and cooling water coupled with signifcant temperature diferences, this mathematical model can be conceptualized as a system. In this system, the initial temperature of the fuid serves as the input at one end, and the temperature of the fuid after heat exchange serves as the output at the other end  $[5]$  $[5]$ . Refer to Fig. [1](#page-2-0) for a visual representation of this system.

In the context of a quadratic equation, obtaining solutions for two unknowns necessitates a minimum of two mathematical relationships. Similarly, in the domain of heat exchangers, the heat exchange volume can be determined through the fin efficiency and the heat transfer coefficient  $[30]$  $[30]$ . This relationship can be expressed as follows:

$$
\Delta Q = A_{\text{total}} \cdot \Delta T_{\text{ln}} \cdot h \tag{2}
$$

where  $A_{total}$  is the effective area of the fins [\[31](#page-10-17)], which can be expressed as:

$$
A_{\text{total}} = A_{\text{tube}} + A_{\text{fin}} \cdot \eta \tag{3}
$$

where  $\eta$  is the fin efficiency, which is derived from simulation experiments and is calculated by assuming that the temperature of the fns is the same as the water pipe and does not change, and deriving the heat exchange in that case and



comparing it with the heat exchange of the fns for normal heat dissipation. It can be expressed as:

$$
\eta = Q/Q_{\text{ideal}} \tag{4}
$$

where  $Q$  is the heat exchange per unit time of the normal fin; *Q*ideal represents the ideal maximum heat transfer rate per unit time, which is obtained through numerical simulation by artifcially adjusting the fns to be isothermal with the water pipes. The mathematical expressions for  $Q$  and  $Q_{ideal}$ are as follows [[29](#page-10-15), [32](#page-10-18)]:

$$
Q = \sum A_{ij} (T_f - T_{ij}) h_{ij} \tag{5}
$$

$$
Q_{\text{ideal}} = \sum A_{ij} (T_f - T_{\text{tube}}) h_{ij} \tag{6}
$$

Equations [5](#page-3-0) and [6](#page-3-1) express the calculation methods for the heat exchange rate,  $T_f$  represents the air temperature. The difference between the two equations is that *T*ij represents the temperature of the fin, which varies with changes in *ij* during the integration process, while  $T_{\text{tube}}$  is the fin temperature, but its value is equal to the temperature of the water pipe and remains constant. In the experiments conducted in this paper, the value of  $Q_{\text{ideal}}$  is derived from simulation experiments.

 $\Delta T_{\text{ln}}$  is the logarithmic temperature difference, and its calculation can be expressed as:

$$
\Delta T_{\text{ln}} = \frac{(T_{\text{in}} - t_{\text{out}}) - (T_{\text{out}} - t_{\text{in}})}{\ln \frac{T_{\text{in}} - t_{\text{out}}}{T_{\text{out}} - t_{\text{in}}}}
$$
(7)

The heat transfer coefficient  $(h)$  signifies the effectiveness of overall heat transfer performance and is infuenced by the shape and material of the heat exchanger, as well as the fow rate and fow state of the fuid. In a given model, when the aforementioned parameters remain constant, the heat transfer coefficient tends to be relatively fixed. Experimental investigations for diferent heat exchangers allow the determination of heat transfer coefficients  $[27]$  $[27]$  $[27]$ .

If the inlet temperatures of air and water are considered as the primary characteristics of heat transfer, the heat transfer coefficient  $(h)$  can be expressed as a function of these characteristics. The values of the heat transfer coeffcient for various cases are determined through simulation or experimentation and are often ftted using polynomials to establish a functional relationship. This relationship can be expressed as:

$$
h = A_1 + A_2 T_{\text{in}} + A_3 t_{\text{in}} + A_4 T_{\text{in}} t_{\text{in}} + A_5 T_{\text{in}}^2 + A_6 t_{\text{in}}^2
$$
 (8)

In the second method of calculating  $\Delta Q$ , all parameters can be obtained either directly or indirectly, with the exception of the inlet and outlet temperatures of air and

water. Given that the two calculation methods are founded on diferent physical principles, they can be amalgamated into a system of binary equations. In this system, the independent variables are the inlet temperatures of air and water  $(T_{\text{in}}, t_{\text{in}})$ , while the dependent variables are the outlet temperatures of air and water  $(T<sub>out</sub>, t<sub>out</sub>)$ . This relationship can be expressed as:

<span id="page-3-0"></span>
$$
\begin{cases}\nA_{\rm f} \cdot u_{\rm in} \rho \cdot C_{\rm p}(T_{\rm in} - T_{\rm out}) = \dot{m} \cdot C \cdot (t_{\rm out} - t_{\rm in}) \\
A_{\rm f} \cdot u_{\rm in} \cdot \rho \cdot C_{\rm p}(T_{\rm in} - T_{\rm out}) = A_{\rm total} \cdot \Delta T_{\rm in} \cdot h \\
h = f(T_{\rm in}, t_{\rm in}) \\
\Delta T_{\rm In} = \frac{(T_{\rm in} - t_{\rm out}) - (T_{\rm out} - t_{\rm in})}{\ln \frac{T_{\rm in} - t_{\rm out}}{T_{\rm out} - t_{\rm in}}} \n\end{cases} \tag{9}
$$

# <span id="page-3-1"></span>**Physical model and numerical method**

## **Heat exchanger fow path micro‑unit optimization method introduction**

In the cooling heat exchanger situated at the end of the mine fan, the arrangement of cooling water pipes within the internal space significantly influences the heat exchanger's efficiency. However, the three-dimensional spatial arrangement of cooling water pipes is inherently complex, making the identifcation of the optimal structure challenging through manual methods. To address this challenge, this paper introduces a micro-unit optimization method for the heat exchanger fow path. The method involves partitioning the fn-and-tube heat exchanger into several independent microunits [[33\]](#page-10-19), interconnected as depicted in Fig. [2](#page-4-0).

In Fig. [2,](#page-4-0) the cooling water sequentially passes through fnned tube micro units B, A, C, and D. Consequently, the water flow outlet temperature from the preceding unit serves as the water fow inlet temperature for the subsequent fnned tube unit. Additionally, considering the air flow direction, fnned tube unit A is positioned upwind of unit B. The air fow outlet temperature from unit A can thus be used as the air fow inlet temperature for unit B.

Viewing the heat exchanger comprehensively, it can be segmented into distinct unit sections. The relationship between a unit and its neighboring units can be approximated as temperature iterations in both the air flow and water flow directions. This method, particularly effective for intricate heat exchanger fow structures, allows for small-scale experiments to fne-tune mathematical model parameters before applying them to more complex heat exchangers. By simplifying the computational process, this method ensures accuracy while reducing experimental costs and facilitating the application of computer algorithms for adjusting the heat exchanger flow path.

<span id="page-4-0"></span>**Fig. 2** Schematic diagram of heat exchange micro-unit temperature relationship



#### **Heat exchanger fow path unitization optimization method mathematical model**

Dividing the heat exchanger into several units introduces four key parameters within a given unit: the inlet temperature of air and water  $(T_{\text{in}}, t_{\text{in}})$ , and the outlet temperature of air and water  $(T<sub>out</sub>, t<sub>out</sub>)$ . If a heat exchanger unit is denoted as A, its key parameters can be represented as  $T_{A \text{ in}}$ . To integrate these parameters with the previously discussed theory, the circulating heat exchange process of air and water inside the heat exchanger can be articulated using mathematical language.

Referring to Fig. [2](#page-4-0) for the heat exchanger, there are four heat exchange units (A, B, C, D), and they can be expressed in terms of a mathematical model as:

$$
\begin{cases}\nA_{\rm f} \cdot u_{\rm in} \cdot \rho \cdot C_{\rm p}(T_{\rm in} - T_{\rm out}) = \dot{Q} \cdot \rho \cdot C \cdot (t_{\rm out} - t_{\rm in}) \\
A_{\rm f} \cdot u_{\rm in} \cdot \rho \cdot C_{\rm p}(T_{\rm in} - T_{\rm out}) = A_{\rm total} \cdot \Delta T_{\rm in} \cdot h \\
\Delta T_{\rm in} = \frac{(T_{\rm in} - t_{\rm out}) - (T_{\rm out} - t_{\rm in})}{\ln \frac{T_{\rm in} - t_{\rm out}}{T_{\rm out} - t_{\rm in}}} \\
h = f(T_{\rm in}, t_{\rm in}) \\
T_{\rm A \ out} = T_{\rm B \ in} \\
T_{\rm C \ out} = T_{\rm D \ in} \\
t_{\rm A \ out} = t_{\rm A \ in} \\
t_{\rm A \ out} = t_{\rm C \ in} \\
t_{\rm C \ out} = t_{\rm D \ in}\n\end{cases} \tag{10}
$$

### **Heat transfer coefficient solution**

As mentioned earlier, *h* represents the overall heat transfer coefficient, reflecting the efficiency of heat transfer performance. Its value is interconnected with the shape and material of the heat exchanger, as well as the fow rate and flow state of the fluid  $[6]$  $[6]$ . Within the same model, the heat transfer coefficient remains relatively constant when the above parameters are held steady. Experimental determination is typically required to find the heat transfer coefficients for diferent heat exchangers.

The simple model employed for the simulation experiments, along with its primary parameters and boundary conditions, is illustrated in Fig. [3.](#page-5-0)

By modeling the micro-elements using SolidWorks, separate models were created for the water pipes, fns, and air domain. Then, COMSOL multiphysics was used for meshing and simulation. The inlet was set as a velocity inlet, and the outlet was set as a pressure outlet, with backfow suppressed. Except for the heat exchange boundaries and inlets/outlets, all other boundary conditions were set to thermal insulation.

A series of orthogonal experiments were devised to determine the heat transfer coefficient  $h$  of the heat exchanger. This involved substituting various air inlet temperatures and water inlet temperatures and creating a contour plot of the heat transfer coefficient. The solution results and schematic diagram are presented in Fig. [4](#page-5-1).

Utilizing the heat transfer coefficients obtained from different temperature combinations, a function is ftted employing the least squares method. In this ftting process, the temperatures of air and water serve as dependent variables. The resulting heat transfer coefficient function within the given interval is derived as:

$$
h = 140.16 + (-0.57)T_{\text{in}} + 0.59t_{\text{in}} + (-5.81^{-4})T_{\text{in}}t_{\text{in}} + 6.66^{-6}T_{\text{in}}^2 + (-5.98^{-6})t_{\text{in}}^2 \tag{11}
$$

The heat transfer coefficient function symbolizes the heat transfer performance of this specifc heat transfer micro-unit under the specifed boundary conditions. In the event of alterations to the boundary conditions or the replacement of the heat transfer micro-unit, it becomes necessary to recalculate the heat transfer coefficient function.

# **Heat exchanger fow path unitization optimization method validation**

#### **Simulation Validation**

To assess the feasibility and accuracy of the micro-unit optimization method for heat exchangers, simulation software was utilized. Experimental methods and assumptions

<span id="page-5-0"></span>

#### <span id="page-5-1"></span>Fig. 4 Distribution of heat transfer coefficient

R	Boundary parameter settings			
	Parameter	Value	Parameter	Value
	Airflow temperature	323.15 K	Fin thickness	$0.1$ mm
	Water flow temperature	286.15K	Total heat exchange area	$0.235$ m <sup>2</sup>
	Airflow velocity	$3 \text{ m/s}$	Number of microelements	$\overline{4}$
	Water flow velocity	1 m/s	Water flow cross-sectional area	$3.04e-4$ m <sup>2</sup>

<span id="page-5-2"></span>**Fig. 5** Model used to validate numerical simulations

used, same as in the previous subsection. Verifcation of this approach took place under the primary boundary conditions depicted in Fig. [5](#page-5-2).

The validation experiments for simulation were performed using a total of four heat transfer micro-units. These were solved using both software numerical simulation and the micro-units method mathematical calculation. The experiments employed identical boundary conditions, and detection points were set along the air and water fow paths. The specifc locations of the two solution models and their corresponding detection points are illustrated in Fig. [6.](#page-6-0)

Temperature detection points are strategically positioned in both the micro-unit method solution model and the numerical simulation model. The measured temperature data from these points are then graphically represented, as depicted in Fig. [7](#page-6-1).

The results obtained from the micro-unit method and numerical simulation indicate that the micro-unit method yields an average air outlet temperature of 316.55 K, whereas the numerical simulation results show 316.98 K, resulting in a diference of 0.43 K. For the average water outlet temperature, the micro-unit method provides 287.14 K, while the numerical simulation results in 287.05 K, resulting in a diference of 0.90 K. The temperature changes in the process exhibit a relatively small discrepancy between the two methods, and the temperature difference between the calculation methods is lower than the overall temperature diference at the outlet throughout the entire process. In summary, the temperature prediction and simulation diference for a basic heat exchanger comprised of four micro-units is minimal between the micro-unit method and numerical simulation. This can be attributed to the incorporation of crucial parameters, such as heat transfer coefficient and effective heat transfer area, from the numerical simulation into the micro-unit method. Consequently, the temperature change parameters exhibit similarities between the two methods.

In conclusion, the heat exchanger micro-unit optimization method represents a mathematical calculation approach grounded in numerical simulation. When applied to the fow path arrangement of the heat exchanger, it streamlines the computation for adjusting the heat exchanger fow path and contributes to enhancing the efficiency of this process. Additionally, the heat exchanger micro-unit optimization method



<span id="page-6-0"></span>**Fig. 6** Micro-unit method solution model and numerical simulation model

<span id="page-6-1"></span>



<span id="page-7-0"></span>

<span id="page-7-1"></span>**Fig. 9** Schematic diagram of representative fow path

can extract the heat transfer characteristics of the physical heat exchanger. Both the micro-unit optimization method based on physical heat transfer characteristics and the microunit method based on numerical simulation share the same underlying principle. Combining the micro-unit method, numerical simulation, and actual experiments can further enhance the efficiency of optimizing the heat exchanger flow path arrangement.

#### **Heat transfer micro‑units randomly arranged**

The randomized arrangement of heat exchanger microcells is achieved through the utilization of software code. This subsection employs an example featuring 12 heat exchanger microcells, illustrated in Fig. [8.](#page-7-0)

In Fig. [8,](#page-7-0) the heat exchanger plumbing must identify the frst heat exchanger micro-unit to serve as the entry point for the water fow and act as the inlet for the heat exchanger. Among the 12 heat exchanger micro-units depicted in Fig. [9,](#page-7-1) the 1st micro-unit can be chosen from 12 options. After determining the frst heat exchanger micro-unit, the 2nd micro-unit needs to be selected from the remaining 11, resulting in (12 \* 11) 132 possible combinations. This process continues, with the 3rd heat exchanger micro-unit having 10 choices, and so forth, until all 12 heat exchanger micro-units are selected, generating a total of (12!) 479,001,600 combinations. Many of these combinations are duplicates or hold no meaningful permutations, such as symmetrical ordering and confusing, unrealistic sequences. To exclude these irrelevant calculations, it is essential to sift through all the combinations.



<span id="page-7-2"></span>**Fig. 10** Process temperature for diferent fow path structures

#### **Analysis and discussion**

In Fig. [9a](#page-7-1), the typical current-fow structure is illustrated; Fig. [9](#page-7-1)b depicts the typical counter-fow structure, while Fig. [9c](#page-7-1) showcases the optimized counter-fow structure obtained through program solutions. The cooling water path is equipped with 12 detection points, each capturing the water fow temperature at its respective location. Additionally, 4 detection points are strategically placed along the wind fow path, where the average temperature of the wind flow is detected at the outlet of the heat exchange layer



<span id="page-8-0"></span>**Fig. 11** Temperature diference between typical current-fow and counter-fow structures and optimized structures

composed of heat exchange micro-units at each point. The resulting temperature curves are presented in Fig. [10](#page-7-2).

To assess the process temperature difference among the three structures, the temperature variance between the typical current-fow and counter-fow structures and the optimized counter-fow structure at each detection point is graphically represented in line graphs. Figure [11](#page-8-0) illustrates the air and water fow temperature diference graphs, respectively.

Upon examining Fig. [11](#page-8-0)a, it becomes evident that during air temperature changes, as the air and water fow in the same direction in the current-fow structure, the air is initially exposed to the colder water fow. This results in a faster temperature reduction in the early stage. However, the temperature diference between air and water diminishes in the later stages, causing a decrease in heat transfer rate. Ultimately, this leads to a higher air outlet temperature compared to the other two fow structures and a less efective overall heat transfer. On the other hand, both the counterfow structure and the optimized counter-fow structure, with water flowing in the opposite direction to the air, exhibit a larger average heat transfer temperature diference. Consequently, the fnal heat transfer efect is superior to that of the current-fow structure.

Analyzing Fig. [11b](#page-8-0) reveals that the water flow in the current-fow structure experiences a rapid temperature increase during the initial heat exchange process, followed by a gradual decrease in speed, mirroring the air temperature change in Fig. [11a](#page-8-0)). At the outlet of the water stream in the heat exchanger, the end stream temperatures are comparable for all three fow structures.

Comparatively, the optimized structure, in contrast to the typical counter-fow structure, undergoes a similar heat



<span id="page-8-1"></span>**Fig. 12** Comparison of outlet temperature of downstream and counterflow structure

transfer process, resulting in a temperature diference curve that follows a similar trend. However, the optimized structure exhibits a higher average water flow temperature and a lower average air temperature, contributing to a more efective heat transfer. This suggests that a more rational fow path arrangement can yield improved heat transfer efficiency.

Within the flow path optimization algorithm, certain unique fow paths with distinct characteristics are generated. Resembling Fig. [9](#page-7-1)a, these current-fow structures exclusively select the frst layer of heat transfer micro-units as the inlet. After traversing all the micro-units in the frst layer, they then select the second layer of heat transfer micro-units as the path. All such structures are collectively referred to as current-fow structures. Consequently, a total of 216 types each of down-fow and counter-fow structures are generated. The air outlet temperatures for all these structures are compiled into a statistical graph, as depicted in Fig. [12](#page-8-1).

Upon analyzing Fig. [12,](#page-8-1) it becomes evident that, across all counterfow structures, the air outlet temperature is lower than that of the downfow structure. This suggests a more efficient heat transfer for the counterflow structure. The average air outlet temperature for the counter-fow structure is 313.680 K, which is lower than the 313.907 K of the currentflow structure. This implies that the counter-flow structure should be prioritized in the design of the heat exchanger flow path, as it offers superior heat transfer efficiency.

Upon analyzing Fig. [12,](#page-8-1) it becomes evident that, across all counterfow structures, the air outlet temperature is lower than that of the downfow structure. This suggests a more efficient heat transfer for the counterflow structure. The average air outlet temperature for the counter-fow structure is 313.680 K, which is lower than the 313.907 K of the currentflow structure. This implies that the counter-flow structure should be prioritized in the design of the heat exchanger flow path, as it offers superior heat transfer efficiency.

# **Conclusions**

This paper studies mine heat exchangers and proposes a novel method for optimizing the fow path structure of heat exchangers. Through this method, the heat exchanger is divided into multiple micro-units, and numerical simulations are used to determine their heat transfer characteristics. Subsequently, a computer program is employed to comprehensively calculate the sequence of micro-units in the cooling water fow path. The research fndings are summarized as follows:

- 1. A comprehensive arrangement of the 12 heat exchanger micro-units, as designed in this paper, resulted in the generation of a total of 479,001,600 fow path structures. Among these, the optimal structure exhibits an average air outlet temperature of 311.65 K. This value is lower than the air outlet temperatures of 311.88 K for a typical current-fow structure and 311.68 K for a typical counter-fow structure, demonstrating a superior heat transfer effect.
- 2. In the typical current-fow structure, the overall fow direction of the water stream aligns with the air fow direction. Although its air temperature experiences a faster initial drop during the heat transfer process compared to the counter-fow structure, the fnal outlet temperature is higher, indicating poorer heat transfer performance due to a lower temperature diference throughout the entire process. Notably, the heat transfer performance of all 216 counter-fow structures generated by the algorithm surpasses that of an equal number of current-fow structures. The average air outlet temperature for all counter-fow structures is 311.68 K, which is lower than the average air outlet temperature of 311.90 K for the current-fow structures. Therefore, in designing the heat exchanger flow path, optimizing the overall flow direction of the water to be opposite to the air flow direction as much as possible is recommended for achieving enhanced heat transfer results.
- 3. In this study, only 12 heat transfer micro-units are employed. In practical engineering heat exchangers, the number of micro-units post-division will be greater, resulting in a more intricate fow path structure. The substantial increase in calculation volume can pose challenges for the exhaustive algorithm. Additionally, this paper does not account for radiation and contact heat transfer between micro-units, contributing to a decrease in the algorithm's reliability.

**Acknowledgements** This research was supported by National Natural Science Foundation of China (52374209), Natural Science Foundation of Shandong Province (ZR2023ME012); Natural Science Foundation of Shandong Province (ZR2023QE080).

**Author contributions** Yongliang Zhang (First author) done funding acquisition, methodology, resources, investigation, conceptualization, and supervision. Zhen Hu (Corresponding author) contributed to conceptualization, software, writing—original draft, visualization, and writing—review and editing. Hongwei Mu done investigation, project administration, project administration. Xilong Zhang and Shouqing Lu contributed to conceptualization, methodology, and validation. Qinglei Tan done investigation, resources, and data curation. Bing Shao done supervision and project administration.

**Data availability** Data will be made available on request.

#### **Declarations**

**Conflict of interest** We have no conficts of interest to declare.

# **References**

- <span id="page-9-0"></span>1. Huang P, Huang W, Zhang Y, Tang S. Simulation study on sectional ventilation of long-distance high-temperature roadway in mine. Arab J Geosci. 2021;14(16):1674. [https://doi.org/10.1007/](https://doi.org/10.1007/s12517-021-07880-z) [s12517-021-07880-z.](https://doi.org/10.1007/s12517-021-07880-z)
- <span id="page-9-1"></span>2. Qu M, Zhang Y, Zhang X, Jia Y, Fu C, Yao Q, et al. A review: Analysis and development of heat–mass synergy theory. Energy Rep. 2022;8:14830–51. [https://doi.org/10.1016/j.egyr.2022.11.](https://doi.org/10.1016/j.egyr.2022.11.021) [021](https://doi.org/10.1016/j.egyr.2022.11.021).
- <span id="page-9-2"></span>3. Zhongpeng X. Distribution law of high temperature mine's thermal environment parameters and study of heat damage's causes. Procedia Eng. 2012;43:588–93. [https://doi.org/10.1016/j.proeng.](https://doi.org/10.1016/j.proeng.2012.08.104) [2012.08.104](https://doi.org/10.1016/j.proeng.2012.08.104).
- 4. Zhang Y, Huang P. Infuence of mine shallow roadway on airfow temperature. Arab J Geosci. 2019;13(1):12. [https://doi.org/10.](https://doi.org/10.1007/s12517-019-4934-7) [1007/s12517-019-4934-7](https://doi.org/10.1007/s12517-019-4934-7).
- <span id="page-9-3"></span>5. Xiaojie Y, Qiaoyun H, Jiewen P, Xiaowei S, Dinggui H, Chao L. Progress of heat-hazard treatment in deep mines. Min Sci Technol (China). 2011;21(2):295–9. [https://doi.org/10.1016/j.mstc.2011.](https://doi.org/10.1016/j.mstc.2011.02.015) [02.015.](https://doi.org/10.1016/j.mstc.2011.02.015)
- <span id="page-9-4"></span>6. Zhang Y, Zhang X, Li M, Liu Z. Research on heat transfer enhancement and flow characteristic of heat exchange surface in cosine style runner. Heat Mass Transf. 2019;55(11):3117–31. <https://doi.org/10.1007/s00231-019-02647-5>.
- <span id="page-9-5"></span>7. Zhang Y, Li M. Research on flow and heat transfer characteristics of heat transfer surface of trapezoidal duct. Heat Mass Transf. 2020;56(5):1475–86. [https://doi.org/10.1007/](https://doi.org/10.1007/s00231-019-02794-9) [s00231-019-02794-9](https://doi.org/10.1007/s00231-019-02794-9).
- <span id="page-9-6"></span>8. Zhang X, Zhang Y, Liu Z, Liu J. Analysis of heat transfer and fow characteristics in typical cambered ducts. Int J Therm Sci. 2020;150: 106226. [https://doi.org/10.1016/j.ijthermalsci.2019.](https://doi.org/10.1016/j.ijthermalsci.2019.106226) [106226.](https://doi.org/10.1016/j.ijthermalsci.2019.106226)
- 9. Menéndez J, Ordóñez A, Álvarez R, Loredo J. Energy from closed mines: underground energy storage and geothermal applications. Renew Sustain Energy Rev. 2019;108:498–512. [https://doi.org/](https://doi.org/10.1016/j.rser.2019.04.007) [10.1016/j.rser.2019.04.007.](https://doi.org/10.1016/j.rser.2019.04.007)
- 10. Blecich P, Trp A, Lenić K. Thermal performance analysis of fnand-tube heat exchangers operating with airfow nonuniformity. Int J Therm Sci. 2021;164: 106887. [https://doi.org/10.1016/j.ijthe](https://doi.org/10.1016/j.ijthermalsci.2021.106887) [rmalsci.2021.106887.](https://doi.org/10.1016/j.ijthermalsci.2021.106887)
- 11. Bhandari P, Prajapati YK. Thermal performance of open microchannel heat sink with variable pin fn height. Int J Therm Sci.

2021;159: 106609. [https://doi.org/10.1016/j.ijthermalsci.2020.](https://doi.org/10.1016/j.ijthermalsci.2020.106609) [106609.](https://doi.org/10.1016/j.ijthermalsci.2020.106609)

- <span id="page-10-0"></span>12. Okbaz A, Pınarbaşı A, Olcay AB. Experimental investigation of efect of diferent tube row-numbers, fn pitches and operating conditions on thermal and hydraulic performances of louvered and wavy fnned heat exchangers. Int J Therm Sci. 2020;151: 106256. [https://doi.org/10.1016/j.ijthermalsci.2019.106256.](https://doi.org/10.1016/j.ijthermalsci.2019.106256)
- <span id="page-10-1"></span>13. Taler D. Mathematical modeling and control of plate fn and tube heat exchangers. Energy Convers Manage. 2015;96:452–62. <https://doi.org/10.1016/j.enconman.2015.03.015>.
- 14. Jin S, Wang X, Ma X, Wang Q. Study on the performance of small tube diameter R290 fn-tube evaporator. Procedia Eng. 2017;205:1578–83.<https://doi.org/10.1016/j.proeng.2017.10.272>.
- 15. Huang D, Jia J. Efect of refrigerant circuit arrangement of outdoor heat exchanger on performance of heat pump air conditioner. Hsi-An Chiao Tung Ta Hsueh/Journal of Xi'an Jiaotong University. 2010;44:33–7.
- <span id="page-10-2"></span>16. Sadeghianjahromi A, Wang C-C. Heat transfer enhancement in fn-and-tube heat exchangers—a review on diferent mechanisms. Renew Sustain Energy Rev. 2021;137: 110470. [https://doi.org/10.](https://doi.org/10.1016/j.rser.2020.110470) [1016/j.rser.2020.110470.](https://doi.org/10.1016/j.rser.2020.110470)
- <span id="page-10-3"></span>17. Sun L, Zhang C. System simulation and computational fuid dynamics based refrigerant circuitry optimization of a condenser. 2015; 43: 1390–1394. [https://doi.org/10.11908/j.issn.0253-374x.](https://doi.org/10.11908/j.issn.0253-374x.2015.09.16) [2015.09.16](https://doi.org/10.11908/j.issn.0253-374x.2015.09.16)
- <span id="page-10-4"></span>18. Petrovic M, Kenichiro F, Kenichi K. Numerical and experimental performance investigation of a heat exchanger designed using topologically optimized fns. SSRN Electron J. 2022. [https://doi.](https://doi.org/10.1016/j.applthermaleng.2022.119232) [org/10.1016/j.applthermaleng.2022.119232.](https://doi.org/10.1016/j.applthermaleng.2022.119232)
- <span id="page-10-5"></span>19. Ishaque S, Kim M-H. Refrigerant circuitry optimization of fnned tube heat exchangers using a dual-mode intelligent search algorithm. Appl Therm Eng. 2022. [https://doi.org/10.1016/j.applt](https://doi.org/10.1016/j.applthermaleng.2022.118576) [hermaleng.2022.118576.](https://doi.org/10.1016/j.applthermaleng.2022.118576)
- <span id="page-10-6"></span>20. Domanski PA, Yashar D. Optimization of fnned-tube condensers using an intelligent system. Int J Refrig. 2007;30(3):482–8. [https://doi.org/10.1016/j.ijrefrig.2006.08.013.](https://doi.org/10.1016/j.ijrefrig.2006.08.013)
- <span id="page-10-7"></span>21. Cen J, Hu JP, Jiang F. An automatic refrigerant circuit generation method for fnned-tube heat exchangers. Can J Chem Eng. 2018;96:2661–72. [https://doi.org/10.1002/cjce.23150.](https://doi.org/10.1002/cjce.23150)
- <span id="page-10-8"></span>22. Lu B, Wu J, Liang Z, Liu C. Circuitry arrangement optimization for multi-tube phase change material heat exchanger using genetic algorithm coupled with numerical simulation. Energy Convers Manage. 2018;175:213–26. [https://doi.org/10.1016/j.enconman.](https://doi.org/10.1016/j.enconman.2018.08.108) [2018.08.108](https://doi.org/10.1016/j.enconman.2018.08.108).
- <span id="page-10-9"></span>23. Jiang H, Aute V, Radermacher R. CoilDesigner: a general-purpose simulation and design tool for air-to-refrigerant heat exchangers. Int J Refrig. 2006;29(4):601–10. [https://doi.org/10.1016/j.ijrefrig.](https://doi.org/10.1016/j.ijrefrig.2005.09.019) [2005.09.019](https://doi.org/10.1016/j.ijrefrig.2005.09.019).
- <span id="page-10-10"></span>24. Wu Z, Ding G, Wang K, Fukaya M. Application of a genetic algorithm to optimize the refrigerant circuit of fn-and-tube heat exchangers for maximum heat transfer or shortest tube. Int J Therm Sci. 2008;47(8):985–97. [https://doi.org/10.1016/j.ijthe](https://doi.org/10.1016/j.ijthermalsci.2007.08.005) [rmalsci.2007.08.005.](https://doi.org/10.1016/j.ijthermalsci.2007.08.005)
- <span id="page-10-11"></span>25. Celik N, Tasar B, Kapan S, Tanyildizi V. Performance optimization of a heat exchanger with coiled-wire turbulator insert by using various machine learning methods. Int J Therm Sci. 2023;192: 108439. [https://doi.org/10.1016/j.ijthermalsci.2023.108439.](https://doi.org/10.1016/j.ijthermalsci.2023.108439)
- <span id="page-10-12"></span>26. Kamsuwan C, Wang X, Piumsomboon P, Pratumwal Y, Otarawanna S, Chalermsinsuwan B. Artifcial neural network prediction models for nanofuid properties and their applications with heat exchanger design and rating simulation. Int J Therm Sci. 2023;184: 107995. [https://doi.org/10.1016/j.ijthermalsci.2022.](https://doi.org/10.1016/j.ijthermalsci.2022.107995) [107995.](https://doi.org/10.1016/j.ijthermalsci.2022.107995)
- <span id="page-10-13"></span>27. Ghalandari M, Shahrestani MI, Maleki A, Shadloo MS, El Haj AM. Applications of intelligent methods in various types of heat exchangers: a review. J Therm Anal Calorim. 2021;145(4):1837– 48.<https://doi.org/10.1007/s10973-020-10425-3>.
- <span id="page-10-14"></span>28. Kuosa M, Aalto M, El Haj AM, Mäkilä T, Lampinen M, Lahdelma R. Study of a district heating system with the ring network technology and plate heat exchangers in a consumer substation. Energy Build. 2014;80:276–89. [https://doi.org/10.1016/j.enbuild.](https://doi.org/10.1016/j.enbuild.2014.05.016) [2014.05.016](https://doi.org/10.1016/j.enbuild.2014.05.016).
- <span id="page-10-15"></span>29. Su M, Gao Y, Zhao F, Liu Y, Li R, Li J. Numerical design of efficient slit fin surfaces with strips in different directions. Int J Therm Sci. 2023;192: 108421. [https://doi.org/10.1016/j.ijthermals](https://doi.org/10.1016/j.ijthermalsci.2023.108421) [ci.2023.108421.](https://doi.org/10.1016/j.ijthermalsci.2023.108421)
- <span id="page-10-16"></span>30. Tang LH, Zeng M, Wang QW. Experimental and numerical investigation on air-side performance of fn-and-tube heat exchangers with various fn patterns. Exp Thermal Fluid Sci. 2009;33(5):818– 27. [https://doi.org/10.1016/j.expthermfusci.2009.02.008](https://doi.org/10.1016/j.expthermflusci.2009.02.008).
- <span id="page-10-17"></span>31. Tao YB, He YL, Huang J, Wu ZG, Tao WQ. Numerical study of local heat transfer coefficient and fin efficiency of wavy fin-andtube heat exchangers. Int J Therm Sci. 2007;46(8):768–78. [https://](https://doi.org/10.1016/j.ijthermalsci.2006.10.004) [doi.org/10.1016/j.ijthermalsci.2006.10.004.](https://doi.org/10.1016/j.ijthermalsci.2006.10.004)
- <span id="page-10-18"></span>32. Song K-W, Wang Y, Zhang Q, Wang L-B, Liu Y-J. Numerical study of the fin efficiency and a modified fin efficiency formula for fat tube bank fn heat exchanger. Int J Heat Mass Transf. 2011;54(11):2661–72. [https://doi.org/10.1016/j.ijheatmasstrans](https://doi.org/10.1016/j.ijheatmasstransfer.2010.12.040) [fer.2010.12.040](https://doi.org/10.1016/j.ijheatmasstransfer.2010.12.040).
- <span id="page-10-19"></span>33. Sadeghianjahromi A, Kheradmand S, Nemati H, Wang C-C. Optimization of the louver fn-and-tube heat exchangers-a parametric approach. J Enhanc Heat Transf. 2020;27:289–312. [https://doi.](https://doi.org/10.1615/JEnhHeatTransf.2020033527) [org/10.1615/JEnhHeatTransf.2020033527.](https://doi.org/10.1615/JEnhHeatTransf.2020033527)

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Springer Nature or its licensor (e.g. a society or other partner) holds exclusive rights to this article under a publishing agreement with the author(s) or other rightsholder(s); author self-archiving of the accepted manuscript version of this article is solely governed by the terms of such publishing agreement and applicable law.