# **Thermal analysis for evaluation of biodegradable flms: a review**

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#### **Abstract**

Plastic packaging has been widely used in utensils, equipment, packaging, among others. However, the widespread use of plastic materials, which originate from non-renewable sources, has generated a global pollution crisis due to its nonbiodegradable profle, thus leading the scientifc community and consumers to evaluate their choices. Therefore, studies on alternatives to prevent environmental damage have been carried out. The development of biodegradable packaging, produced with raw materials from renewable sources, such as polysaccharides, proteins, and others, has shown to be a viable alternative to minimize these problems. However, many challenges arise when using biodegradable compounds as a polymer matrix; thus, techniques that allow better knowledge of the materials can contribute signifcantly to improving the properties of interest in these packages, with an emphasis on thermal analysis. This review addressed the use of thermal analysis and experimental conditions to evaluate biodegradable flms of diferent compositions, with an emphasis on thermogravimetric analysis (TGA), derivative thermogravimetry (DTG), and diferential scanning calorimetry (DSC). Small variations were observed for the experimental conditions, which can be associated with the diferent flm constituents (polymer matrix, presence of nanocomposites and essential oils, etc.).

**Keywords** Packaging · Biodegradability · TGA · DTG · DSC

## **Introduction**

Synthetic plastics are widely used due to their versatility and convenience, as they can be used in the production of a wide variety of products [\[1](#page-11-0)], including the development of food packaging. The raw materials used in the production of these packages include polymers of petroleum origin, which stand out for their good mechanical and barrier properties, relatively low cost, lightness, and ease in the manufacturing process and application [[2\]](#page-11-1).

However, these packages are not easily biodegradable [\[2](#page-11-1)], accumulating in the environment, with a negative impact on fauna and fora, urban rainwater system, and human health [[3](#page-11-2), [4](#page-11-3)]. Thus, the increased awareness of the population about these impacts on the environment [[5,](#page-11-4) [6](#page-11-5)], as well as the fnite and fuctuating cost of petroleum resources [[7,](#page-11-6) [8](#page-11-7)], has encouraged scientists and the polymer industry to

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develop sustainable solutions to minimize the environmental damage [\[9](#page-11-8)[–11](#page-11-9)].

In this context, bioplastics are an alternative to minimize these effects. Ross, Ross, and Tighe  $[12]$ stated that the denomination bioplastic refers to a biodegradable petrochemical plastic or a plastic material obtained from natural biological resources. In this group, biodegradable flms of biological origin have stood out. They can be produced from biopolymers (polymers or copolymers) obtained from renewable raw materials of plant or animal origin, such as lipids, proteins, and polysaccharides [[13](#page-11-11)], or even synthesized by microorganisms.

Diferent from synthetic plastics, biodegradable flms are assimilated by enzymatic, chemical, and microbial activities, leading to the hydrolysis of the polymer chain and consequently originating smaller fragments (monomers or dimers), with a complete composting of the material [[6,](#page-11-5) [14](#page-11-12)].

For application in the food industry, these materials can also be incorporated with compounds with antimicrobial or antioxidant efects, being called active packaging or active flms, providing the food with an additional protective property, thus leading to the increased shelf life of the products  $[15]$  $[15]$ .

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However, many challenges are encountered in the development of biodegradable packaging for large-scale applications [\[1\]](#page-11-0). Therefore, several techniques can be used to produce materials with suitable properties for applicability, including thermoanalytical techniques.

Thermal analysis is a set of techniques used to analyze a physical or chemical property of a sample and/or reaction products when subjected to a controlled temperature program [\[16](#page-11-14)].

These techniques have been used in industrial activities, such as chemistry, pharmaceuticals, essential oils, food, and polymers, as well as in the evaluation of biodegradable flms to identify suitable processing conditions and structural characteristics of the polymer chain, focusing on adequate applicability of the material [[17\]](#page-11-15). Thus, this review aimed to report the use of thermal analysis and the experimental conditions for the evaluation of biodegradable flms of different compositions.

## **Biodegradable flms and food packaging**

Food packaging is a globally relevant and widely used technique for protecting and preserving food [\[18](#page-11-16)], besides containing, providing practicality in storage and distribution, functioning as a communication tool between the consumer and the product [[19](#page-11-17), [20\]](#page-11-18), and allowing traceability of suspect food products [\[21](#page-11-19)].

Among the materials used in packaging, thermoplastic polymer-based materials have stood out due to several factors, including the replacement of the traditional material

with plastics, the increase in population growth, and its access to the products [\[22](#page-11-20)].

However, the use of plastic materials has increased waste generation. According to Geyer et al. [\[23\]](#page-11-21), from 1950 to 2015, 8300 million tons of plastic were produced worldwide, of which 4900 million tons were disposed of inappropriately. As a result, plastics tend to accumulate in the environment, with serious negative impacts [[4\]](#page-11-3).

In view of the problems caused by non-biodegradable plastic packaging, including the risks to human health, marine ecosystem, urban rainwater system, and the environment [\[3](#page-11-2)], innovative research is needed to develop and implement biodegradable materials from renewable, biobased, or synthetic sources that can replace plastic packaging materials commonly used in the food industry [\[10](#page-11-22)]. Makhijani, Kumar, and Sharma [\[24\]](#page-11-23) have reported some characteristics that diferentiate synthetic plastics from biodegradable flms (Fig. [1\)](#page-1-0).

In this context, natural, biodegradable, and bioavailable polymers have gained popularity in the feld of food packaging [\[18](#page-11-16)]. These biodegradable packages may be associated with compounds of natural or synthetic origin with antimicrobial, favoring, or antioxidant action called active biodegradable packages [[25](#page-11-24)].

Therefore, biodegradable flms are usually composed of a polymer matrix of animal or plant (polysaccharides and proteins) origin, microbial fermentation (polyhydroxyalkanoate-PHA, polyhydroxybutyrate-PHB), synthetic biomass biopolymers (poly-lactic acid-PLA), and petroleumderived products (polycaprolactone-PCL, polyglycolic acid-PGA, poly-butylene succinate-co-adipate-PBSA) [[6,](#page-11-5) [26](#page-12-0)], plasticizing agent, polyols (glycerol, sorbitol) and

<span id="page-1-0"></span>

solvent. When called active packaging, antimicrobial compounds or natural antioxidants (essential oils), or synthetics and emulsifers are used to provide a better oil dispersion. Figure [2](#page-2-0) shows the main constituents of the bio-based and biodegradable polymer matrices.

The biodegradable flms can be composed of an infnity of combinations; thus, a further analysis of the material is required to better designate its applicability [[26\]](#page-12-0).

Makhijani, Kumar, and Sharma [[24](#page-11-23)] reported the main advantages of replacing synthetic plastics with biodegradable flms. The advantages include soil enrichment as they are composted into waste, prevention of animal accidents from improper handling, reduced labor costs for removing plastic waste from the environment as it is naturally degraded, and increased longevity and stability of landflls by reducing the waste production.

## **Thermal analysis**

The thermal profle of the biodegradable flms can be analyzed by thermogravimetric analysis (TGA) also known as thermogravimetric analysis (TG), derivative thermogravimetry (DTG), diferential thermal analysis (DTA), and diferential scanning calorimetry (DSC) [[17\]](#page-11-15).

The devices employed in the performance of these techniques are called calorimeters [\[27\]](#page-12-1), which are classifed according to the principle of heat measurement (accumulation or compensation); cell construction (single or double), and mode of operation (static/isothermal, scanning/dynamic and flow)  $[17]$  $[17]$  $[17]$ .

Lopez, Chen and Perez [[27](#page-12-1)] stated that the calorimeters and the procedures adopted for thermal analysis are similar. The instrument consists of a measuring chamber or cabinet and a computer. The measuring chamber contains a space for two small crucibles, also called pans or plates, consisting of an empty crucible (reference) and another containing the sample. The temperature programming and data processing are controlled by a computer. The temperature (isothermal or sweep), pressure, and atmospheric gas are pre-set according to the conditions adopted for the study [[28\]](#page-12-2).

Font [[29](#page-12-3)] pointed out three requirements for thermal analysis, including the sample, the operating conditions (atmosphere, heat fow, or temperature variation), and the signal variation (mass loss, heat flow, temperature difference) versus the analysis time.

These techniques have wide application in the food industry for the evaluation of the thermal efect of food ingredients or components in a food system, starch gelatinization, and protein degradation, as well as for technological innovation to improve food characteristics or shelf life, and adulteration detection [\[16,](#page-11-14) [30,](#page-12-4) [31](#page-12-5)]. In the polymer industry, these techniques have  $[16, 31]$  $[16, 31]$  $[16, 31]$  $[16, 31]$  $[16, 31]$  been prominent in the characterization of biodegradable flms of various compositions.

#### **Diferential thermal analysis (DTA)**

DTA measures the changes associated with heat absorbed or released by the sample, and the temperature is varied at a controlled rate. The changes are observed when the constituents of the material undergo some specifc transition phase (crystallization, melting, evaporation, glass transition, and conformational change), or chemical reactions (oxidation, reduction, hydrolysis) [\[32](#page-12-6)].

The diference in temperature between the sample and the inert reference versus time or temperature subjected to the same procedure is recorded. Thus, when the temperature of the sample is higher than that of the reference, thermal energy has been released during the phase transition, which characterizes the process as exothermic  $(DT>0)$ . When thermal energy is absorbed, the process is characterized as endothermic  $(DT<0)$  [\[32,](#page-12-6) [33\]](#page-12-7). A DTA curve is the plot of the temperature diference as a function of the temperature or time at a constant temperature [\[32](#page-12-6)].

# **Thermogravimetric analysis (TGA) and derivative thermogravimetry (DTG)**

Thermogravimetric analysis (TGA), also known as thermogravimetry (TG), is a thermal analysis that investigates the change in mass of a sample as a function of time or temperature. The mass change profle is recorded when the sample



<span id="page-2-0"></span>**Fig. 2** Bio-based and biodegradable polymer matrix constituents

is subjected to a controlled heating or cooling environment [\[33\]](#page-12-7).

In turn, derivative thermogravimetry (DTG) is a mathematical arrangement applied to thermogravimetry, in which the derivative of the mass change with time (dm/dt) is registered as a function of time and temperature. Curves corresponding to the frst derivative of the TGA curve are obtained, and peaks delimit the areas as a function of the mass changes of the sample [\[33\]](#page-12-7).

TGA and DTG are used primarily for understanding and predicting the thermal and oxidative stability of samples. The TGA curve allows understanding certain thermal events, which involves the mass loss or gain such as absorption, adsorption, desorption, vaporization, sublimation, degradation, oxidation, and reduction [[34](#page-12-8), [35](#page-12-9)], in addition to the identification of compounds that make up the materials [[34\]](#page-12-8).

It is an effective technique for evaluating polymeric materials such as fbers, biodegradable flms, thermoplastics, and others. It can also be used to select materials for end-use applications, either in product performance and/or product quality [[17\]](#page-11-15).

As reported by Mansa and Zou [\[36](#page-12-10)], the main advantages of this technique include ease and speed of execution and the possibility of using a variable amount of sample (1–100 mg).

In the experimental procedure, the sample  $(1-100 \text{ mg})$ is placed in a crucible that is attached to the equipment, and the mass is identified using the thermobalance and compared with the reference crucible (empty). For the runs, parameters must be previously defined, such as the temperature conditions, which can be of the sweep/ dynamic or isothermal type (up to 1000 °C), heating rate (from 1 to 100 $\degree$ C min<sup>-1</sup>) and purge gas, and the values can vary according to the type of sample and the operational limits of the equipment. A curve resulting from the mass change (∆*m*) of a sample as a function of temperature (*T*) or time (*t*) is obtained, called thermogravimetric analysis curve (TGA) or thermogravimetric curve (TG) [[32,](#page-12-6) [37](#page-12-11)]. For starch-based biodegradable films, mass losses usually start in a temperature range of 40–60 °C due to evaporation of water from the polymer matrix. The second degradation stage starts between 200 and 250 °C, corresponding to dehydration of the saccharide rings and evaporation of the plasticizers. The third degradation event starts from 270 to 450 °C, resulting in starch degradation. At 800 °C, there is a loss of 90–95% of the initial mass of the polymeric film [[37](#page-12-11), [38](#page-12-12)].

Changes in TG/DTG are very efective to characterize the most diverse materials. This technique allows assessing various parameters, including the degradation interval, the temperature of the materials and peaks at a high degradation rate; the presence of unexpected inert materials or diferent amounts than expected; analysis of the volatile matter, ash, fxed carbon, and moisture content [\[29](#page-12-3)]. In addition, the ignition temperature and the efect of gasifying agents on combustion, the degradation process and the effect of catalysts on the reaction, the synergistic interactions in the mixture of wastes, and the synthesis of new materials from wastes with polymers can be evaluated, among others [\[29](#page-12-3)].

#### **Diferential scanning calorimetry–DSC**

Diferential scanning calorimetry (DSC) stands out as one of the most applicable thermoanalytical methods to assess the thermal behavior of a sample  $[16]$  $[16]$ . The technique measures the diference between the amount of heat required to increase the temperature of a sample and the reference, as a function of a given temperature [[39\]](#page-12-13).

In addition to some thermodynamic variables, such as entropy variations (second-order transitions) including glass transition, and enthalpy, the analysis provides information about the phase transition temperature (melting, boiling, sublimation, solidifcation, crystal structure change) or dehydration, dissociation, degradation, oxidation–reduction reactions capable of generating heat changes [[16](#page-11-14)].

In polymer science, DSC-based techniques stand out as they allow the characterization of polymeric materials, evaluating the behavior of the materials upon heating. Therefore, various properties such as heat capacity, glass transition temperature, crystallization temperature, and melting temperature can be determined [[40\]](#page-12-14).

In most cases, some reactions including phase transition, denaturation, gelatinization, dehydration, reduction, and certain degradation reactions produce endothermic efects; while, crystallization reactions, oxidation, freezing, and some degra-dation reactions lead to exothermic effects [\[39\]](#page-12-13).

According to Nur, Azira, and Amim [[39](#page-12-13)], there are two types of DSC measuring principles: power compensation DSC, in which the sample and a reference are heated in two separate furnace blocks; and heat fux DSC, in which the sample and a reference are heated in the same block. Although the instrumentation is fundamentally diferent, both provide the same information.

As also reported for TGA, some factors directly affect the DSC results, including the operational conditions (heating rate, sensor location, equipment atmosphere, and sample container composition) and sample characteristics (amount of sample, particle size, gas solubility, heat of reaction, and thermal conductivity), equipment calibration, and diluent. Therefore, all these factors must be considered to ensure good quality results [[41\]](#page-12-15).

# **Thermal analysis for the characterization of biodegradable flms**

TGA, DTG, and DSC are the most widely used thermal analyses in the evaluation of biodegradable flms. TGA and DTG have been used by some authors to evaluate the thermal stability of diferent biodegradable flms; while, DSC has been widely used to determine the transition enthalpy, degree of crystallinity, and thermal conductivity of polymeric materials [\[42\]](#page-12-16).

Table [1](#page-5-0) shows some studies using thermal analyses for the evaluation of biodegradable flms. The columns show the main experimental conditions adopted by some authors, including the reference, the thermal analysis applied, equipment, purge gas, heating rate, and scanning temperature.

Fonseca-García, Jimenez-Regalado, and Aguirre-Loredo [[43](#page-12-17)] used DSC to evaluate flms based on maize starch (5%), chitosan (1%), poloxamer (pluronic F 127) at diferent concentrations (1, 3, and 5%), and glycerol (25%). The samples were packed in aluminum crucibles and the analysis conditions are described in Table [1.](#page-5-0) The results showed an important thermodynamic interaction with the increase in the pluronic F 127 concentration. The flms containing 0 and 1% of pluronic F 127 presented a thermal behavior similar to that of pure starch, which was evidenced by the results of the glass transition temperature [starch (56 °C), film with 0% poloxamer (55 °C), Film with 1% poloxamer (44 °C)]; melting point [(starch (142 °C); film with  $0\%$  poloxamer (139 °C), film with  $1\%$  poloxamer (138 °C)], and enthalpy [starch (6 J  $g^{-1}$ ); film with 0% poloxamer (6.4 J g<sup>-1</sup>); Film with 1% poloxamer (8.4 J  $g^{-1}$ ). For the films containing 3 and 5% of pluronic F 127, a behavior closer to the pure pluronic was observed, which was lower when compared to the melting point, showing a strong interaction between the poloxamer and starch and chitosan. The results suggest nucleation of the poloxamer within the flm matrix at concentrations of 3 and 5%.

Hosseini, Pirsa, and Farzi [[44\]](#page-12-18) used TGA to evaluate the efect of magnesium oxide (MgO) nanoparticles on the thermal properties of flms made with modifed maize starch and albumin. The results showed that the frst mass loss occurred at approximately 90 °C, which was observed for all flms, due to water evaporation from the flms. The second mass loss was evidenced at 190 °C for pure films, and at 320 °C for flms with the addition of MgO nanoparticles, which was associated with the degradation of glycerol. The results showed increased thermal stability of all starch and albumin-based flms containing the MgO nanoparticles, which were stable at temperatures around 250–330 °C. Shankar, Reddy, and Rhim [[62\]](#page-13-0) stated that the inclusion of nanoparticles to flms can increase the thermal stability of these polymers due to the increase in net charges, therefore increasing the interaction between the polymer chains, and preventing the release of volatile compounds.

Zou et al. [[45\]](#page-12-19) used TGA and DTG to evaluate flms based on high amylose maize starch and konjac glucomannan (diferent concentrations) and glycerol as a plasticizing agent. The TGA curves showed three stages of mass loss, which corresponded to three degradation peaks of the DTG curves. The frst stage of mass loss was at approximately 100 °C, corresponding to the loss of free water in the samples. The second stage occurred in a temperature range of 150–250 °C, which was attributed to the degradation of glycerol, noting that the glycerol degradation in the flms with high amylose content was slower and at a higher temperature rate. The third stage of mass loss was observed between 250 and 350 °C, which was associated with the rupture of the hydrogen bond and degradation of polysaccharides. The DTG curves showed that the increase in the concentration of Konjac glucomannan in the flms led to lower thermal stability, showing an increasing (negative) effect of this compound on the flms.

Martins da Costa et al. [[63\]](#page-13-1) also used thermal analysis (TGA and DTG) to evaluate biodegradable flms based on purple yam starch  $(2 \text{ g})$ , chitosan  $(0, 0.5, \text{ and } 1.0 \text{ g})$ , and glycerol (2%). The authors reported higher degradation temperature for starch and pure chitosan when compared to the flms, probably due to the presence of glycerol, which can interact with the acetamide group of chitosan, and reduce the mobility/interactions between the polymer chains. In addition, the plasticizer reduced the glass transition temperature of chitosan, which also afected the initial degradation temperature. The flms presented three stages of mass loss, with the initial loss (up to 100 °C) related to water loss and a second loss at~200 °C. The fnal event was characterized by the initial and fnal temperatures of 165 and 170, and 203 and 210 °C for the flms made with 0.5 and 1.0 g of chitosan, respectively, which was associated with the glycerol degradation. The third stage occurred between 250 and 350 °C, corresponding to the degradation of organic matter of the flms. The flms made without chitosan presented one more stage of mass loss, which was higher when compared to the other films (324  $\textdegree$ C), probably due to a higher affinity of glycerol for the acetamide group of chitosan when compared to starch amylose, and the weaker interactions between glycerol and starch during gelatinization.

De Lima Barizão et al. [[64\]](#page-13-2) produced flms with *ϰ*- carrageenan, cassava starch, polyvinyl acetate, and glycerol. Films with *x*- carrageenan and starch in the proportions of 100:0; 75:25; 50:50; 25:75; 0:100 were produced. Regarding the thermal stability, increasing the starch concentration led to an increase in thermal stability, evidenced by the infection points in the DTG curves. For the flms with higher



<span id="page-5-0"></span>



*ϰ*- carrageenan concentration, the degradation was observed at 210 °C; while, flms with a higher starch concentration exhibited higher degradation at 334 °C. The DSC curves of the flms with higher starch concentration showed an endothermic peak at 234 °C, while no endothermic peaks were observed for the flms with high *ϰ*- carrageenan concentrations (100, 75, 50). The endothermic peaks were associated with the melting of semi-crystalline starch structure; while, the exothermic peaks were related to the degradation of the film constituents.

Lopes et al. [\[27](#page-12-1)] developed biodegradable films with two stages of cross-linking, composed of sodium alginate (6, 7.5, and 9 g), babaçu coconut mesocarp powder (1–3 g), and glycerol (3–5 g). The pre-reticulation was performed by the addition of calcium chloride (1 g) to the flm-forming solution. After drying the plates, the second stage was carried out with immersion of the flms in calcium solution (second cross-linking). A total of 9 formulations were produced, and those that presented the best characteristics were evaluated, using an open aluminum crucible with approximately 500 mg of sample, subjected or not to the second crosslinking stage. The study revealed that the flms (babassu coconut mesocarp powder: alginate: glycerol; *F*1=1:6:3; *F*6=3:7,5:4; and *F*7=1:9:5) subjected to two cross-linking stages showed a higher degradation temperature than those subjected only to stage 1 (pre-cross-linking). The authors also stated that the babassu mesocarp powder may have contributed to thermal stability, with a similar efect to biodegradable flms with the inclusion of fbers. The DSC curves showed an endothermic peak at 70 °C for all formulations, which was associated with the dehydration process of the sample. In addition, all flms showed an exothermic peak at 220 °C, which was related to the rapid degradation of the material.

Nordin et al. [\[49](#page-12-23)] investigated the effect of thymol and glycerol on the thermal stability (TGA) of maize starch flms. For the analysis, aluminum crucibles with approximately 10 g of sample were used. For starch-based flms, the frst degradation event was observed at 50–150 °C, due to water loss from the samples (moisture). After that, the thermal stability of the samples was practically unchanged up to 270 °C. The second degradation event occurred at 286–328 °C, representing the higher starch degradation. In contrast, the thermal degradation of flms composed of starch and glycerol and starch/glycerol/thymol occurred in three stages, with the frst stage characterized by water evaporation (115 °C), the second degradation at 190–250 °C was attributed to the glycerol degradation, and a higher degradation rate was observed in the range of 300–345 °C, corresponding to the degradation of starch molecules, characterized by a drastic mass reduction. The DTG curves showed that the peak temperature of the flms plasticized with glycerol was higher when compared to the flms without the addition of glycerol. The authors reported a 25 and 50% degradation of the starch-glycerol flms, and starch/glycerol/thymol flms at a lower temperature when compared to starch flms and starch-thymol flms, probably due to the presence of the plasticizer and water molecules in the samples. This event was observed in the DTG curves at 200 and 250 °C for the starch-glycerol flms and starch/glycerol/thymol flms, respectively, showing higher thermal stability of the starch/ glycerol/thymol flms due to the good interaction between the flm constituents. The last thermal event, indicating the final residue of the films  $(\%)$ , occurred at 500 °C, with a higher mass observed for the starch films; while, the starch/ glycerol/thymol flms showed a lower percentage, due to the volatilization of the plasticizer and thymol.

Riaz et al. [\[50](#page-12-24)]used thermogravimetric analysis to evaluate active biodegradable flms based on chitosan, Chinese chive extract (1, 3, and 5%), and glycerol, dissolved in acetic acid solution (1%), using 10 mg of sample and closed aluminum crucibles. The TGA curves showed that all flms exhibited three stages of mass loss. The frst stage was observed at 40–120 °C, which was associated with water loss due to breaking of hydrogen bonds, the second event was observed at 130–250 °C corresponding to glycerol degradation, and the third stage (260–400 °C) was attributed to the degradation and depolymerization of the polymer (chitosan). The flms made with the chive extract exhibited higher thermal stability when compared to the control flm (containing only chitosan), evidenced by the lower mass loss of these flms. The DSC curves showed two endothermic peaks referring to dehydration. The DSC and TGA results showed that increasing the extract concentration in the flm matrix increased its thermal stability.

Xie et al. [\[51](#page-12-25)] used TGA to evaluate biodegradable flms made with potato peel powder (3 and 5%), bacterial cellulose (5, 10, and 15% based on the potato peel powder content), curcumin (antimicrobial agent), and glycerol (plasticizer). The TGA curves revealed three peaks of flm degradation; the frst at 80–100 °C referred to water evaporation, the second peak from 180 to 200 °C corresponded to the mass loss due to starch and glycerol degradation, and the third degradation occurred around 300 °C due to the degradation of hemicellulose and cellulose.

Wang et al. [\[65\]](#page-13-7) also used thermal analysis (TGA, DTG, and DSC) to evaluate biodegradable flms made with carboxymethylcellulose, mucilage from *Dioscorea opposita* Thunb (yam), silver nitrate, glucose, and glycerol as a plasticizer. The TGA curves showed about 10% mass loss at  $\sim$  100 °C, equivalent to the moisture content; a second mass loss was observed in the range 160–250 °C, with a peak at ~228 °C. which was related to the degradation of the yam mucilage; while, the other mass loss at 293 °C was probably due to the degradation of glycerol and carboxymethylcellulose, evidenced in the most extreme peak (292 °C). The DSC

curves showed an endothermic peak close to 100 °C for the formulation with carboxymethylcellulose and glycerol, related to water loss; while, an exothermic peak at 301.8 °C was associated with the degradation of glycerol and carboxymethylcellulose. The other formulations showed three exothermic peaks at  $\sim$  100, 235, and 300 °C. Therefore, the exothermic peak at 235 °C can be considered the degradation temperature of yam starch mucilage.

Batista et al. [\[53](#page-12-27)]used TGA to evaluate flms made with biodegradable myofbrillar proteins from fsh waste, chitosan, and glycerol, optimized by the response surface methodology. The frst event of mass loss was observed from 30 to 100 °C, which was correlated with the total water loss of the flms (moisture). In the second phase, the degradation occurred at 259.60–375.22 and 234.13–407.70 °C for the control flm and the flm made using the optimization conditions, respectively, evidencing that the chitosan-based flms presented higher thermal stability, probably due to the interaction between the protein and chitosan, delaying the thermal degradation.

Oliveira et al. [\[54](#page-12-28)] studied biodegradable flms based on mucilage from *Pereskia aculeata* Miller (ora-pro-Nobis) and glycerol as a plasticizer agent, in diferent concentrations. The TGA curves showed three degradation stages, observed for all treatments. The frst stage occurred between 25 and 170 °C, corresponding to the initial moisture content of the samples. The second stage was evidenced at 170–370 °C, probably due to the chemical degradation of the main constituents of the biodegradable flms (polysaccharides, proteins, and plasticizers). Finally, the third stage was observed in the range of 370–500 °C, corresponding to the oxidative degradation of carbon and mineral residues. The DSC results showed that the flms containing 2% of ora-pro-Nobis mucilage showed a lower mass loss, when compared to those made with 1.5% mucilage, revealing that the mucilage made the flms more thermally stable.

Oliveira et al. [[54](#page-12-28)] also reported DSC curves under two diferent conditions. In the frst run, the samples were heated at a rate of 10 °C min−1, with a temperature range of 25–140 °C, maintained for 10 min. In the second run, the samples were cooled to  $-40$  °C and heated at the same rate and maximum scanning temperature previously reported. For the ora-pro-Nobis powder, the temperature range was 25–210 °C. This study allowed establishing the glass transition  $(T_g)$  temperature of the films. A  $T_g$  reduction was observed for the flms when compared to the orapro-Nobis mucilage powder (151 °C), due to the reduction of the intermolecular forces of the polymers by the addition of water and plasticizer. An efect of glycerol in the flms made with 1.5 g mucilage was also observed, with a small  $T_g$  reduction with increasing glycerol concentration, once the plasticizer can reduce the molecular mass and increase the free volume of the polymer. In contrast,

this behavior was not observed for the flms made with 2% glycerol since it acted as an anti-plasticizer agent. The authors also reported that the inclusion of ora-pro-Nobis mucilage powder provided an increase in the glass transition temperature from 54 to 68 °C, indicating greater stability of the flm with the increase in mucilage concentration. The  $T_g$  was also evidenced at negative temperatures, with values ranging from  $-18$  to  $-7$  °C.

Oluwasina et al. [\[55](#page-12-29)] also used TGA to evaluate the effect of oxidized cassava starch on the thermal properties of biodegradable flms. The flms were made with cassava starch (5%), glycerol, water, and diferent oxidized starch concentrations (0, 20, 40, and 60%). As reported by other authors, the TGA curves revealed three stages of thermal degradation. The frst degradation stage was similar to those previously reported and occurred at 0 and 100 °C, corresponding to the moisture loss of the sample, corresponding to the water added to the formulations during the production of the biodegradable flms. The second stage was observed around 250 °C, which was attributed to the loss of bound water, volatile matter of lipids and carbohydrates, and glycerin (249 °C). In the third degradation stage, the mass loss was associated with the depolymerization of the cassava starch molecules (breaking the glycosidic bonds of amylose and amylopectin). In the fourth degradation stage, a degradation of the carbon component of the bioplastic was observed, which can be originated from starch and glycerol. Finally, the ffth and last stage was related to the degradation of some inorganic oxides that may have formed in the course of the thermal process.

Bioplastic flms prepared by Wang et al. [[56](#page-12-30)] were also evaluated by thermal analysis. The flms were composed of PBAT, TPS and PPC. Corn starch was modifed with maleic anhydride (0.1 mass%) at 110 °C for 20 min, and 30% (w/w) liquid sorbitol was added to obtain a premix. PBAT, the premix and PPC were compounded into PBAT/TPS/PPC pellets using a twin-screw extruder. Pure and blended blown flms were produced (PBAT/TPS/PPC /mass/mass /mass): PBAT-100, PPC-100, PT (PBAT/TPS)-65/35, PTP10-65/35/10, PTP20-65/35/20, PTP30-65/35/30 and PTP 40-65/35/40. The crystallization, melting and  $T_g$  of the films were determined. For PBAT/TPS/PPC flms, with the increase in the PPC component,  $T_g$  increased from 27.7 to 36.0 °C, indicating a decrease in the fexibility of the PPC molecular chain.  $T_g$ , PBAT increased from 43.4 to 50.2 °C, indicating that the movement of the BT segment in PBAT is limited. The authors attributed this behavior to the reaction of MA with starch and PPC and to the limitation of intermolecular mobility. The PPC content in the flms also afected the melting and crystallization of PBAT. The fusion enthalpy  $(\Delta H_{\text{m}})$  of pure PBAT was 13.1 J g<sup>-1</sup>. After the addition of TPS and PPC, the  $\Delta H_{\text{m}}$  of the film increased to 20.3 J g<sup>-1</sup> and then decreased.

In another study also carried out by Wang et al. [[57\]](#page-12-31) flms composed of PBAT and thermoplastic starch (TPS) modified with the addition of MA  $(0.7\%$  by mass) or TC  $(0.3\%$ by mass) or MA and TC ( 0.7% by mass, 0.3% by mass) and sorbitol (30% in modifed starch and 10.5% in flm production), were produced by the blow extrusion method. The treatments were: 1. 100% PBAT, 2. 65% PBAT and 24.5% TPS; 3. 65% PBAT, 24.33% TPS, 0.17% MA; 4. PBAT 65% 24.43% TPS, 0.07% TC; 5. PBAT 65% 24.26% TPS, 0.07% TC and 0.17% MA. In thermal analysis, the results showed that the crystallization temperature increased by more than 20 °C with the addition of modifed TPS, indicating that the addition of TPS and modifed TPS promoted the crystallization of PBAT. PBAT/MA/TC/TPS had the best efect on promoting crystallization. PBAT exhibited a glass transition at around −31.0 °C and followed by a small single melt at 123.8 °C. After the addition of TPS, the  $T<sub>g</sub>$  of PBAT decreased to−33.9 °C, and the melting peak decreased from 124.5 to 120.3 °C. The addition of MA promoted the reduction of PBAT  $T_g$  to −34.3 °C,  $T_m$  increased from 124.0 to 124.6 °C. The PBAT/TC–TPS and PBAT/MA–TC–TPS flms showed the same thermal behavior. However, PBAT/ MA–TC–TPS showed higher  $\Delta H$ <sub>m</sub> than other PBAT/starch flms.

Guadagno et al. [\[58](#page-13-3)] developed a biodegradable thermoplastic polymer with Murexide (M) salts and commercial biodegradable vinyl alcohol (HVA) copolymer, using deionized water as a solvent. Murexide served as a self-healing fller, with the aim of imparting self-repairing capabilities to the formulated material. Three diferent percentages of Murexide (1%, 3% and 5% by mass) fller were dissolved in HVA to evaluate the effect of filler concentration on the thermal and self-healing properties of the resulting polymeric materials. The results of the DSC analysis highlight that increasing the amount of Murexide afects thermal events such as glass transition, crystallization and melting. TGA measurements showed that, although there is a reduction in the thermal stability of the materials in the presence of a high concentration of Murexide, the polymer remains stable up to  $270$  °C.

Palai et al. [[59](#page-13-4)] developed blown flms using PLA and corn and cassava starch plasticized with glycerol, glycidyl methacrylate (GMA) and benzoyl peroxide (BPO) as grafting agents and free radical indicator, respectively. In this study, it was observed that, in both PLA flms with cassava starch and corn starch systems, all decomposition temperatures decreased signifcantly with increasing starch loads. Notably, PLA with a cassava starch system demonstrated superior thermal stability compared to its corn starch-containing counterpart. The blown flm extrusion process used to obtain the flms resulted in mechanical and thermal stress on the TPS, reducing their crystallinity and consequently afecting thermal stability. The researchers



<span id="page-9-0"></span>

observed that the incorporation of TPS into the PLA matrix resulted in a reduction in both the  $T<sub>g</sub>$  and  $T<sub>cc</sub>$  of the PLA/TPS mixtures, regardless of the type of starch used. Furthermore, this reduction was more pronounced with increasing TPS load.

Quispe et al. [[60](#page-13-5)] investigated the use of diferent concentrations (5–30%) of glycerol (*G*), polyglycerols (PGs), glycerol triacetate (GTA) and glycerol tributyrate (GTB) as additives for poly(3-hydroxybutyrate) (PHB) flms, produced by thermocompression in a hydraulic press. DSC tests provided data such as  $T_m$ ,  $\Delta H_m$  and  $T_g$ ; while, thermogravimetric analysis determined thermal stability. In flms without additives (PHB), two melting peaks were identifed, related to the fusion of less and more ordered crystals, respectively, in addition to crystallization during cooling to 82.8 °C. During the second heating sweep, the  $T_g$  occurred at −2.2 °C, and a single melting peak was identifed at 176.2 °C. All additives reduced the  $T<sub>m</sub>$  of PHB, facilitating its thermal processability, with GTA and GTB decreasing the  $T<sub>g</sub>$  temperature, indicating a plasticizing efect. In thermal degradation, a single stage of mass loss was observed, with all plasticizers slightly decreasing the thermal stability of PHB. GTB resulted in more heat-resistant flms; while, G, PGs, and GTA increased the heat sensitivity of PHB.

Poly (butylene succinate) (PBS) has also been studied for as a polymeric matrix for the production of flms and food packaging. PBS is a biodegradable aliphatic polyester that stands out for its high fexibility, excellent impact resistance and robust chemical and thermal properties [\[66](#page-13-8), [67](#page-13-9)]. Azi-man et al.<sup>[[61\]](#page-13-6)</sup> produced films using PBS and tapioca starch (TPS) as a polymeric matrix, adopting the melt-blown technique. Treatments included pure PBS flms, PBS/TPS flms (with 40 mass% TPS mixed with 60 mass% PBS pellets), and flms with addition of 1.5 and 3% BM particles. The flms were named PBS 1.5% BM, PBS 3% BM, PBS/TPS 1.5% BM, and PBS/TPS 3% BM. In the thermal stability analysis, the PBS 1.5% BM and PBS 3% BM flms showed a slight improvement in the decomposition onset temperature (T-on), compared to pure PBS. The introduction of TPS resulted in a signifcant reduction in T-on for all TPS-flled PBS flms. However, PBS/TPS 1.5% BM and PBS/TPS 3% BM flms showed an improvement in T-on compared to the PBS/TPS flm. The initial peaks were presented at 326.9, 318.0 and 311.8 °C, for PBS/TPS, PBS/TPS 1.5% BM and PBS/TPS 3% BM flms, respectively. While the peak decomposition temperature was found to be in the range of 399–404 °C for each flm sample. In DSC analysis, the PBS 1.5% BM flm had a higher  $T_c$ ; while, the PBS 3% BM film had a lower  $T_c$ compared to pure PBS. This suggests an improvement in polymer solidifcation behavior for the PBS 3% BM flm. The introduction of BM filler further reduced the  $T_c$  of PBS/ TPS 1.5% BM and PBS/TPS 3% BM flms, indicating the role of BM particles in the crystallization of PBS polymer.

According to Table [2,](#page-9-0) the thermal analyses allowed a better knowledge of the moisture resistance property of the flms (solubility and WPV), followed by the determinations of thickness, mechanical properties, FTIR, and SEM.

According to Hosseini, Pirsa, and Farzi [\[44\]](#page-12-18), both the thermal and mechanical properties of polymers are dependent on the crystalline structure of these materials; thus, the investigation about the organizational structure of flms is essential. Those authors evidenced that the modifed starch flms and modifed starch/albumin flms lost part of their crystal structure after the insertion of magnesium oxide nanoparticles, which was evidenced by the peak intensity reduction in the difractogram. On the other hand, the presence of a broad peak at  $20=16.5^{\circ}$  was observed for the films made with the combination of modifed starch, albumin, and magnesium oxide, which indicates a relatively amorphous structure, associated with the presence of magnesium oxide. Furthermore, two small peaks corresponding to the crystalline plates of the modifed starch flms were observed; thus, the addition of magnesium oxide did not lead to an overall change in the structure of the flm, as it retained part of its crystalline structure.

### **Conclusions**

The use of biodegradable flms for food packaging can be a promising alternative to replace petroleum-based packaging (plastics). Numerous studies have been carried out to compose the polymer matrix of these materials, with an emphasis on polysaccharides, mainly starch from diferent sources, in its native or modifed form, as well as chitosan, carboxymethylcellulose, among others.

However, despite all the advantages of these matrices from renewable sources, there are several challenges for a large-scale application. Therefore, alternatives have been sought to improve the barriers encountered with the use of these materials. Some studies have shown that the use of other materials (fbers, nano-sized particles, agents with antimicrobial or antioxidant efects, either natural or synthetic) have contributed to overcoming some of the limitations of these materials.

Novel techniques that better characterize biodegradable flms have also been used. Recent studies about the combination of conventional techniques and thermal analysis have been performed, mainly aiming to investigate the thermal stability (TGA and DTG) and some thermodynamic properties (DSC) of the flms.

The most used thermoanalytical techniques include TGA, followed by DTG and DSC. Concerning the experimental conditions, a similarity was found regarding the type of purge gas used, with a greater predominance for nitrogen, besides helium and argon; while, the heating rate of 10 °C

min<sup>-1</sup> was more predominant. A wide variation in the scanning temperature was observed, ranging from 25 to 800 °C, probably due to the complexity of the flm constituents.

In general, most of the studies reported three degradation stages. The frst event of mass loss is associated with water loss, followed by the second event with the degradation of the plasticizer, and the third event is characterized by polymer degradation. The DSC technique allowed the identifcation of thermal events (endothermic and exothermic) that favored the characterization of the biodegradable flms.

Therefore, the use of thermal analysis for the evaluation of biodegradable flms can be a promising alternative, which contributes to a better designation of the fnal use of these packages, once the technique can assess a multitude of compounds.

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#### **Declarations**

**Conflict of interest** The authors declare that they have no known fnancial or non-fnancial interests to disclose.

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