INVITED PAPER: FUNCTIONAL COATINGS, THIN FILMS AND MEMBRANES (INCLUDING DEPOSITION TECHNIQUES)



# Preparation of transparent and mechanically hard inorganic-organic hybrid thick films from 3-glycidoxypropyltrimethoxysilane and zirconium propoxide

Takuto Toide  $\mathbb{D}^1 \cdot$  Nataly Carolina Rosero-Navarro  $\mathbb{D}^2 \cdot$  Akira Miura  $\mathbb{D}^2 \cdot$  Hiromitsu Kozuka  $\mathbb{D}^3 \cdot$  Kiyoharu Tadanaga  $\mathbb{D}^2$ 

Received: 17 March 2022 / Accepted: 6 May 2022 / Published online: 20 May 2022 © The Author(s), under exclusive licence to Springer Science+Business Media, LLC, part of Springer Nature 2022, corrected publication 2022

#### Abstract

In order to lower the heating temperature, inorganic-organic hybrid films prepared by a sol-gel process are attractive candidates for glaze. Here, we prepared the inorganic-organic hybrid thick films on glass substrates by the sol-gel process at 100 °C. The precursor sol was prepared by hydrolysis and condensation of 3-glycidoxypropyltrimethoxysilane (GPTMS) and zirconium propoxide chemically modified with acetylacetone. The obtained GPTMS-ZrO<sub>2</sub>-based thick films had a transmittance higher than 80% in the visible light of 390–800 nm. Thick films with a pencil hardness of 5H and a thickness of more than 100  $\mu$ m were obtained.

#### **Graphical abstract**



**Keywords:** Inorganic-organic hybrid · Thick films · Pencil hardness · Transparency

#### Highlights

- 3-glycidoxypropyltrimethoxysilane (GPTMS)-based inorganic-organic hybrid thick films were prepared by a casting process.
- Transmittance of the films was higher than 80% in the visible light of 390–800 nm.
- Pencil hardness of the films was increased by the introduction of Zr-O bonds in GPTMS-derived polysiloxane.
- Thick films with a pencil hardness of 5H and a thickness of more than 100 µm were obtained.

Kiyoharu Tadanaga tadanaga@eng.hokudai.ac.jp

<sup>1</sup> Graduate School of Chemical Sciences and Engineering, Hokkaido University, Sapporo, Hokkaido, Japan

- <sup>2</sup> Faculty of Engineering, Hokkaido University, Sapporo, Hokkaido, Japan
- <sup>3</sup> Faculty of Chemistry, Materials and Bioengineering, Kansai University, Suita, Osaka, Japan

#### 1 Introduction

Coating ceramics with thick films is important technology affording water resistance, strength, and glossy appearance. For example, a glaze is applied to the surface of ceramics, and it is fired to obtain a glassy, glossy, transparent thick film [1]. These thick films give water resistance, strength, and design characteristics to the ceramic. However, a glaze needs to be fired at temperatures higher than 1000 °C, consuming a large amount of energy. To fabricate coating films at lower temperatures, organic hard coating films have been proposed. Acrylic polymer is an example of organic hard coatings cured by UV light [2-6]. These films are highly transparent, light, and flexible, but they have low hardness. Properties of inorganic-organic hybrid coating films by a sol-gel process have also been investigated [7, 8]. By using this process, thin films with a pencil hardness of 9H or over 9H can be fabricated at 200 °C or even at room temperature [9, 10]. However, thick films tend to be cracked during heat treatment [9, 11–16].

In order to overcome this problem, there are several reports on the preparation of thick films by using a sol-gel process [17–19]. For example, Aparicio et al. have fabricated consolidated melting gel coatings with a thickness of around 1100  $\mu$ m [18]. However, the hardness of these thick films is low (0.035 GPa). Furthermore, transparency is also important in fabricating thick films by a sol-gel process. For instance Katagiri et al. have reported transparent films with a thickness up to 3  $\mu$ m by electrophoretic deposition and subsequent thermal softening of phenylsilsesquioxane [19]. Thus, it is still challenging to fabricate hard, thick films with a thickness larger than 100  $\mu$ m and high transparency.

In order to obtain transparent thick films with high hardness, precursors for inorganic-organic hybrids such as 3-glycidoxypropyltrimethoxysilane (GPTMS) have been proposed as a component of the precursor solution. GPTMS is a trifunctional alkoxide with an epoxy ring at the end of a long organic chain, and it is widely used in the preparation of films [20-33]. The limited number of hydrolyzable alkoxy groups of GPTMS suppresses the shrinkage of the films [20]. In addition to that, such a long organic chain can promote the relaxation of the stress generated during drying. These may be the reason why self-standing membranes with a thickness of 200-300 µm could be prepared and have been reported [21]. In addition, the epoxy ring opens to form a diol in the sol, which forms an organic network by polymerization of the organic chains during film deposition [26, 27].

In this study, transparent, mechanically hard, inorganicorganic hybrid films were prepared from GPTMS and zirconium propoxide at 100 °C. Hereafter we call the films "GPTMS-ZrO<sub>2</sub> thick films". The inorganic network based on Zr–O bonds may be incorporated into the Si–O inorganic network by hydrolysis and condensation of zirconium propoxide, which forms Si–O–Zr network [26–28]. Furthermore, it has been reported that zirconium propoxide promotes the ring-opening of the epoxy ring of GPTMS, promoting the formation of a crosslinked organic-inorganic network [29, 34]. Thus, the incorporation of Zr-alkoxide is expected to improve the hardness of the film. The refractive index of the films can be increased by imparting Zr–O network to the film, which leads to an increase in gloss. In addition, improvement in chemical resistance is also anticipated.

Hardness and transmittance spectra were measured for the GPTMS-ZrO<sub>2</sub> thick films prepared by the sol-gel method using GPTMS and zirconium propoxide at a low temperature, and the relationship between the thickness and the pencil hardness was also investigated.

### 2 Experimental

GPTMS was dissolved in ethanol, and 1.44 wt% HClaq was added to the solution to hydrolyze the silane. After the solution was stirred for 1 h, the precursor Sol 1 was obtained. Zirconium tetra-n-propoxide, Zr(O-n-Pr)<sub>4</sub>, was dissolved in ethanol, and acetylacetone was added to stabilize the Zr-alkoxide. After the solution was stirred for 1 h, the solution was added dropwise to the precursor Sol 1. The obtained sol was stirred overnight to obtain the precursor Sol 2. The molar ratio of GPTMS:Zr(O-n-Pr)<sub>4</sub>:EtOH:H<sub>2</sub>O: HCl = was  $1:x:y:2:1.4 \times 10^{-2}$ , where x and y were varied from 0 to 0.8 and from 2 to 8, respectively. The detailed molar ratios of the starting materials are given in Table 1, where the sample names, G1-G6, are also given. The coating was carried out on soda-lime silicate glass plates by the casting process. In the casting process, a predetermined amount of Sol 2 was deposited on the substrate. After the coating, the films were dried at 100 °C for 20 h in an oven to obtain GPTMS-ZrO<sub>2</sub> films.

Transmittance spectra of the films coated on the glass substrates were measured by ultraviolet-visible-light (UV-VIS) spectrophotometry (V-750, JASCO, Tokyo,

Table 1 Molar ratios of starting materials

Sample	GPTMS	Zr(O-n-Pr) <sub>4</sub>	EtOH
G1	1	0	8
G2	1	0.2	8
G3	1	0.4	8
G4	1	0.8	8
G5	1	0.2	4
G6	1	0.2	2

Japan), and the reference side was the air atmosphere. IR absorption spectra of the films were measured using Fourier transform IR spectrometer (FT/IR-4700, JASCO, Tokyo, Japan). In this measurement, films were coated on the silicon wafer by the dipping-withdrawing process at a withdrawal speed of 2.5 mm/sec, and the bare silicon wafer was used as the reference. Scanning electron microscopy (SEM) (Miniscope TM3030Plus, Hitachi, Tokyo, Japan) was used to observe the cross-section and surface of the films. The thickness of the films was measured by digital micrometer (395-271, Kanagawa, Japan), and the pencil hardness was determined using pencils (Uni, Mitsubishi Pencil, Tokyo, Japan) and a portable tester (Yoshimitsu Seiki, Tokyo, Japan), according to JIS K-5600.

### **3 Results and Discussion**

Figure 1 shows the transmittance spectra of the thick films formed on the glass substrates. All samples except for G4 had a transmittance higher than 80% around 390–800 nm in the visible region. G4 showed lower transmittance than the other samples, resulting from the light scattering by the cracks in the film. G1 showed almost the same transmittance as the glass substrate. However, G2, G3, G5, and G6 showed absorption in the UV region, which suggests that the Zr acetylacetonate complexes remain in the films even though the heat-treatment is at 100  $^{\circ}$ C [28].

Figure 2(a) shows the FT IR spectra of the precursor solution and the Sol 1 for sample G6 with HClaq. Before adding HClaq, GPTMS in ethanol shows several absorption bands at  $1700-700 \text{ cm}^{-1}$ . The bands at around  $1254 \text{ cm}^{-1}$ and  $855 \text{ cm}^{-1}$  are assigned to epoxy ring [26, 35]. The strong bands at around  $1194 \text{ cm}^{-1}$  and  $822 \text{ cm}^{-1}$  are assigned to Si-OCH<sub>3</sub> [28, 36, 37]. The strong broad bands at around  $1152-1005 \text{ cm}^{-1}$  are assigned to Si-O-Si bonds [27, 37]. The band at around 909 cm<sup>-1</sup> is assigned to Si-OH and unopened epoxy rings [26, 27]. After adding HClaq, the intensity of the bands at around  $1194 \text{ cm}^{-1}$  and  $822 \text{ cm}^{-1}$ decreased and the intensity of the band at around  $909 \text{ cm}^{-1}$ increased, which indicates that the hydrolysis and condensation reaction of GPTMS are partially undergoing. At the same time, the decrease in the intensity of the bands at around  $1254 \text{ cm}^{-1}$ , 909 cm<sup>-1</sup>, and 855 cm<sup>-1</sup> indicate that the ring-opening reaction of the epoxy ring is also occurring. Figure 2(b) shows the FT-IR spectra of GPTMS-ZrO<sub>2</sub> films G1-G6 on the silicon wafer. Bands at  $1590 \text{ cm}^{-1}$ ,  $1528 \text{ cm}^{-1}$ , and 1377 cm<sup>-1</sup> are assigned to Zr acetylacetonate complexes [28, 38]. The band at around  $957 \text{ cm}^{-1}$  is assigned to Si-O-Zr bonds [26-28]. The strong broad band at around 1152-1005 cm<sup>-1</sup> indicates the formation of Si-O-Si network, and the band at around  $957 \text{ cm}^{-1}$  indicates the



Fig. 1 Transmittance spectra of GPTMS-ZrO2 thick films G1-G6



Fig. 2 FT-IR spectra of Sol1 (a) and GPTMS-ZrO<sub>2</sub> thick films G1-G6 (b)

formation of Si–O–Zr network. G3 and G4 show the strong bands of Zr acetylacetone complexes, which is because the concentration of  $ZrO_2$  in the films becomes higher. Thus,  $ZrO_2$  is considered to be partially incorporated into the Si–O–Si network making the Si–O–Zr network.

Figure 3(a) shows a cross-sectional SEM image of sample G6. The GPTMS-ZrO<sub>2</sub> film with a thickness of



**Fig. 3** SEM cross-sectional image (**a**) and surface and cross-sectional image (**b**) of GPTMS-ZrO<sub>2</sub> thick film, G6

Table 2 Pencil hardness and thickness of sample G1-G6

Sample	Pencil hardness	Thickness/µm
G1	HB	24–37
G2	9H	11–74
G3	5H	23-76
G4	-	24–73
G5	7H	57-127
G6	5H	127–197

120 µm adhered to the glass substrate. The cracks in the image are assumed to be formed during the cross-section fabrication by mechanical fracture of the films. Figure 3(b) shows the SEM surface and cross-sectional image. It is also confirmed that the surface of the thick film is smooth.

The effect of the introduction of Zr–O bonds in GPTMSderived polysiloxane films was investigated. Table 2 shows the pencil hardness and thickness of sample G1-G4. Because the thickness was different between the edges and central area, the thickness is represented in Table 2 with a range for each sample obtained with several measurements.



Fig. 4 Relationship between film thickness and pencil hardness of GPTMS-ZrO $_2$  films

Sample G2 containing  $ZrO_2$  at x = 0.2 with a thickness of around 50 µm exhibited the pencil hardness of 9H, which was higher than that of sample G1 (HB) without ZrO<sub>2</sub>. It suggests that the addition of Zr-O bonds in Si-O inorganic network contributes to the increase of the pencil hardness [26–28]. Sample G3 with a larger amount of  $ZrO_2$  at x = 0.4and a thickness of around 50 µm showed the pencil hardness of 5H. Sample G4 was cracked, and the pencil hardness could not be measured. There are some reports about not interacting with the Si-O network or decreasing the hardness when more amount of ZrO2 was introduced to the films [27, 28]. The decrease in the pencil hardness in the samples larger than x = 0.4 indicates that the ZrO<sub>2</sub> larger than x =0.4 was not incorporated into the inorganic network, and the extra ZrO<sub>2</sub> can affect the degree of condensation of the inorganic and organic network. Based on these results, ZrO<sub>2</sub> content of x = 0.2 was used for the following studies.

When preparing thick films by the casting process, the viscosity of the sol with G2 was very low and the sol flows or leaks from the substrate. Thus, films thicker than 100 µm were not obtained with this composition. To get the sol with higher viscosity, the concentration of the mixed sol was increased by decreasing the amount of the solvent (G5 and G6). The thickness of the films increased with a decrease in the solvent ratio (G5-G6>G1-G4) and the thickness of G6 achieved more than 100 µm. However, the pencil hardness of G6 was 5H, which is smaller than those of G2 and G5 with smaller thickness. This indicates that the glass substrate affects the pencil hardness of GPTMS-ZrO<sub>2</sub> films when the thickness of GPTMS-ZrO<sub>2</sub> films is rather small. Therefore, the pencil hardness of GPTMS-ZrO<sub>2</sub> thick films itself is supposed to be 5H at the heating temperature of 100 °C.

In addition to G2, G5, and G6 samples, films with various thickness were prepared by changing the amount of solvent in the sol and casting the different amount of sol. Figure 4 shows the relationship between the film thickness and the pencil hardness of GPTMS-ZrO<sub>2</sub> films. The thick films with a thickness of up to  $60 \,\mu\text{m}$  showed the highest pencil hardness of 9H. With an increase in the thickness of over  $60 \,\mu\text{m}$ , the pencil hardness decreased. This must be because the pencil hardness of the films is affected by the substrate when the film thickness is small, as mentioned above. With an increase in the film thickness, the pencil hardness of the films is hardly affected by the substrate, and the films with smaller pencil hardness were obtained with a thickness of 100  $\mu$ m or more.

From these results, we succeeded in fabricating transparent films with a pencil hardness of 5H and a thickness of over  $100 \,\mu\text{m}$ . In addition, these films were prepared with a heat-treatment temperature as low as  $100 \,^{\circ}\text{C}$ . Thus, these films can be used for alternative films to glaze on ceramics that is prepared with a low-energy process.

## **4** Conclusion

GPTMS-ZrO<sub>2</sub> thick films were prepared by the sol-gel method by adding zirconium propoxide stabilized with acetylacetone to GPTMS solution. The hardness of the thick films was greatly improved by the addition of zirconium propoxide. The transmittance of the thick films was more than 80% at around 390–800 nm in the visible region. By casting a sol with higher inorganic concentration and drying at 100 °C, transparent and hard thick films with a thickness of over 100 µm and a pencil hardness of 5H were obtained.

Acknowledgements The present study was partly supported by JST. A-STEP Grant Number JPMJTM19AH.

#### Compliance with ethical standards

Conflict of interest The authors declare no competing interests.

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