



Preparation and properties of methyl- and cyclohexylsilsesquioxane oligomers as organic–inorganic fillers

Yohei Sato¹ · Ryohei Hayami¹ · Yuta Miyase¹ · Yuzuko Ideno¹ · Kazuki Yamamoto¹ · Takahiro Gunji¹

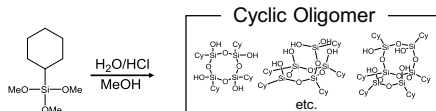
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Abstract

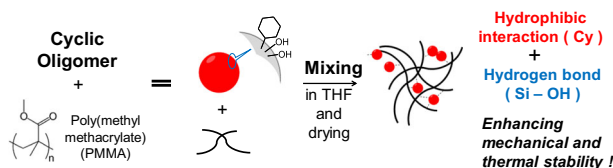
The preparation of oligosilsesquioxanes from methyltrimethoxysilane and cyclohexyltrimethoxysilane for use as organic–inorganic fillers is reported. These oligomers were characterized by molecular weight, nuclear magnetic resonance analyses, and Fourier-transform infrared spectroscopy. The poly(methyl methacrylate) nanocomposites containing oligosilsesquioxanes were prepared by two methods: (i) the solution blending method and (ii) the solution–melt blending method. The solution–melt blending method was found to be a superior method for improving the thermal and mechanical properties of PMMA by intermolecular interaction between oligosilsesquioxanes fillers and PMMA.

Graphical Abstract

1. Preparation of oligocyclohexylsilsesquioxane



2. Preparation of PMMA composite film



Keywords Methyltrimethoxysilane · Cyclohexyltrimethoxysilane · Poly(methyl methacrylate) · Solution and melt blending method · Organic–inorganic composite

Highlights

- Preparation of oligosilsesquioxanes via hydrolysis–condensation reaction of alkylalkoxysilanes.
- Characterization of oligosilsesquioxanes by nuclear magnetic resonance analyses and Fourier-transform infrared spectroscopy.
- Difference of poly(methyl methacrylate) composites containing oligosilsesquioxanes by preparation methods.
- PMMA composite by solution-melt-blending method showed improved properties.

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✉ Takahiro Gunji
gunji@rs.tus.ac.jp

¹ Department of Pure and Applied Chemistry, Faculty of Science and Technology, Tokyo University of Science, 2641 Yamazaki, Noda, Chiba 278-8510, Japan

1 Introduction

Polysilsesquioxanes ($(\text{RSiO}_{3/2})_n$) are potential raw materials for industrial applications having high thermal, mechanical, and chemical stability caused by the siloxane bonding (Si–O–Si) framework. They are categorized based on their framework as random, ladder, or cage structure [1, 2]. Ladder and cage silsesquioxanes are good target compounds in the field of synthesis and material chemistry because of their highly regulated structures. In general, polysilsesquioxanes are prepared by the sol–gel method using alkoxysilanes. It is an acid- or base-catalyzed hydrolysis–condensation reaction. We previously reported the synthesis of stable poly- and oligosilsesquioxanes [3]. The silsesquioxanes were prepared by the acid-catalyzed hydrolysis–condensation reaction of alkoxysilane under nitrogen flow using a controlled amount of water. The silsesquioxanes were soluble in common organic solvents, such as methanol, acetone, tetrahydrofuran (THF), benzene, and so on, and showed high storage stability over several months. However, our oligosilsesquioxanes were not applied yet as fillers in organic–inorganic composites.

The preparation and application of organic–inorganic hybrid/composite materials are of great interest, as these materials have novel functions and applications that are not common to organics or inorganics [4]. In these studies, organic–inorganic hybrid materials were developed containing various metal oxides, SiO_2 being the most important one. Much attention has been paid to modify silica (e.g., methacryloxypropylsilsesquioxane, methylsilsesquioxane, and phenylsilsesquioxane) because of stronger interactions compared with silica nanoparticles [5]. Three methods of producing organic–inorganic hybrid/composite materials containing modified silica have been reported as follows: (i) in situ polymerization [6], (ii) involving chemical bonding between organic segment and modified silica [7], and (iii) physical blending including melt blending [5]. First and second methods are achieved good dispersion of modified silica but complicated. Third method is easy and good cost-effective, compared with the first and second methods. However, this method easily forms macrophase separation. These materials also show better properties than organic polymers containing silica nanoparticles, but have many drawbacks: (i) chemical linking involves synthesis procedures which restrict commercialization, (ii) interparticle interaction often results in phase separation of modified silica particles, and (iii) the influence of visible light absorption of substituents. Therefore, we focus on cyclohexylsilsesquioxane oligomers as inorganic components because we can expect solubility in organic solvents, the easy adjustment of uniform size and shape using the sol–gel method, and strong hydrophobic interactions [8, 9] to stabilize silanols.

In this work, we synthesized oligosilsesquioxanes from methyltrimethoxysilane (MTMS) and cyclohexyltrimethoxysilane (cHTMS) for use as organic–inorganic fillers. The oligosilsesquioxanes were characterized using molecular weights, nuclear magnetic resonance (NMR) analyses, and Fourier-transform infrared (FTIR) spectroscopy. Specifically, the simple hydrolysis–condensation of cHTMS was not reported yet. The nanocomposites composed of poly(methyl methacrylate) (PMMA), which is a widely used polymer, and oligosilsesquioxane sols were prepared by two methods: (i) the solution blending method (SB) [10–13] and (ii) the solution–melt blending method (SMB) [14].

2 Experimental section

2.1 Measurements

NMR spectra were recorded using a JEOL Resonance JNM-ECP 300 spectrometer (JEOL, Akishima, Japan; ^1H at 300.53 MHz and $^{29}\text{Si}\{^1\text{H}\}$ at 59.70 MHz). The chemical shifts were reported in ppm relative to chloroform-*d* (CDCl_3) (for ^1H : 7.26 ppm in residual chloroform) and tetramethylsilane (for $^{29}\text{Si}\{^1\text{H}\}$: 0.00 ppm) as the internal standards. For the $^{29}\text{Si}\{^1\text{H}\}$ NMR spectra, $\text{Cr}(\text{acac})_3$ was added to the sample as a paramagnetic relaxation agent. FTIR spectra were recorded on an FT/IR-6100 spectrophotometer (JASCO, Hachioji, Japan), using the KBr disk method, neat, and attenuated total reflectance (JASCO ATR PRO 0450-S, ZnSe prism). Gel permeation chromatography (GPC) was performed on a HPLC system (LC-20AD, Shimadzu, Kyoto, Japan) attached to a PLgel 5 μm Mixed-D column. THF was used as an eluent (1 mL min^{-1}) and RID-20A as the detector at 40 °C. The molecular weight was calculated based on polystyrene standards. Matrix-assisted laser desorption ionization (MALDI) mass spectra were recorded using a reflectron-type time-of-flight mass spectrometer (Bruker, UltraFLEX II), a nitrogen laser (wavelength 337 nm), and *trans*-2-[3-(4-*tert*-butylphenyl)-2-methyl-2-propenyldene]malononitrile as the matrix. Thermal gravimetric analysis (TGA) was performed using a TG-DTA analyzer (2000SE, Netzsch Japan, Yokohama, Japan), applying a heating rate of $10 \text{ }^\circ\text{C min}^{-1}$ up to 1000 °C under air atmosphere. The surface hardness was evaluated with the pencil hardness test using a No. 553-M Pencil Scratch Hardness Tester (YASUDA, Nishinomiya, Japan). The test is based on Japanese Industrial Standard JIS K5600-5-4, in which a vertical force of 7.5 N was applied with a pencil to the horizontal film surface at an angle of 45°. The hardness was determined using the hardness values of “Mitsubishi Uni pencils.” Atomic force microscopy (AFM) observations were performed with a SPM-9700 (Shimadzu, Kyoto, Japan).

2.2 Materials

Methanol and THF were purified by a standard process [15] and stored over activated molecular sieves. MTMS and cHTMS were purchased from Tokyo Chemical Industry (Tokyo, Japan) and purified by distillation before use. Hydrochloric acid (6 mol L^{-1}) was purchased from FUJIFILM Wako Pure Chemical Corp. (Osaka, Japan). PMMA ($M_w = 999,600 \text{ g mol}^{-1}$; $T_g = 117 \text{ }^\circ\text{C}$) was purchased from Sigma-Aldrich (Tokyo, Japan) and used as received. The silicon wafer (4" polishing wafer GlobalWafers Co., Ltd.) was cut to $2.5 \text{ cm} \times 2.5 \text{ cm}$ and cleaned three times by ultrasonication in acetone.

2.3 Preparation of oligomethylsilsesquioxane (OMS)

MTMS (13.6 g) and methanol (6.6 g) were placed into a 200 mL four-necked flask equipped with nitrogen inlet and outlet tubes and a mechanical stirrer. The mixture was then stirred at 150 rpm in an ice bath for 10 min. Water and hydrochloric acid were added at the molar ratio of 0.85 for water/silicon and 0.105 for hydrogen chloride/silicon. The mixture was placed in an ice bath and stirred for 10 min, and then again at $22 \pm 3 \text{ }^\circ\text{C}$ for 10 min. This was followed by heating at $70 \text{ }^\circ\text{C}$ for 3 h under a 360 mL min^{-1} nitrogen flow. OMS was obtained as a colorless viscous liquid.

2.4 Preparation of oligocyclohexylsilsesquioxane (Ochs)

The cHTMS (2.04 g) and 3.2 g of methanol were placed into a 100 mL four-necked flask equipped with nitrogen inlet and outlet tubes and a mechanical stirrer. The mixture was then stirred at 150 rpm in an ice bath for 10 min. Water and hydrochloric acid were then added at the molar ratios of 1.5–8.0 for water/silicon and 0.105 for hydrogen chloride/silicon. The mixture was placed in an ice bath and stirred for 10 min, and then again at $22 \pm 3 \text{ }^\circ\text{C}$ for 10 min. This was followed by heating at $70 \text{ }^\circ\text{C}$ for 3 h under a 360 mL min^{-1} nitrogen flow. Ochs was obtained as a colorless viscous liquid or a white solid.

2.5 Preparation of PMMA–silsesquioxane nanocomposites

The precursor solutions were prepared by mixing PMMA and oligosilsesquioxane sol in THF for 24 h at room temperature (the total weight of PMMA and oligosilsesquioxane was 1.0 g).

For the preparation of thin films, the precursor solution was dropped onto a silicon wafer and spun at the rate of 1000 rpm for 30 s. The thin film was dried at $22 \pm 3 \text{ }^\circ\text{C}$ for 10 min. With regards to the SB method, the thin film was dried at $22 \pm 3 \text{ }^\circ\text{C}$ for 1 day. Regarding the SMB method, the thin film was additionally cured at $150 \text{ }^\circ\text{C}$ for 1 day.

For the preparation of free-standing films, these precursor solutions were poured into a Teflon box ($100 \text{ mm} \times 50 \text{ mm} \times 5 \text{ mm}$), followed by drying at $22 \pm 3 \text{ }^\circ\text{C}$ for 1 day. The free-standing films prepared by the SMB method were additionally cured at $150 \text{ }^\circ\text{C}$ for 1 day.

3 Results and discussion

3.1 Characterization of OMS

OMS was prepared according to the procedure of our previous report [16, 17]. The results of the hydrolytic polycondensation of MTMS are shown in Table 1.

OMS was a viscous liquid. The weight average molecular weight (M_w) was 1800. The signals in the $^{29}\text{Si}\{^1\text{H}\}$ NMR spectra appeared in the chemical shift areas ascribed to T^1 , T^2 , and T^3 unit structures (the symbol $T^n(\text{OH})_m(\text{OMe})_{3-n-m}$ denotes the unit structure as $\text{RSi}(\text{OSi})_n(\text{OH})_m(\text{OMe})_{3-n-m}$ ($n, m = 1, 2, 3$; R = methyl). The $^{29}\text{Si}\{^1\text{H}\}$ NMR spectrum of OMS showed four types of silsesquioxane units at -48.1 ppm ($T^1(\text{OMe})(\text{OH})$), -55.9 to -57.0 ppm ($T^2(\text{OH})$), -57.0 to -57.8 ppm ($T^2(\text{OMe})$), and -65.0 to -67.2 ppm (T^3) [1, 18, 19], as shown in Fig. 1. From the ^1H NMR spectra, the percentage of the remaining methoxy group for OMS was calculated to be 33% (Fig. S1). The FTIR spectrum of OMS is presented in Fig. 2. It indicated the following absorption bands: Si–O–C stretching vibration at

Table 1 Result of the hydrolysis and condensation of MTMS

Molar ratio	Hydrolysis Ratio ^d (%)	Molecular weight ^a		Ratio of siloxane units ^b (%)				State
		M_w	M_w/M_n	T^0	T^1	T^2	T^3	
$\text{H}_2\text{O}/\text{Si}^c$								
0.85	67	1.8	1.1	0	29	58	13	Viscous liquid

^aCalculated based on standard polystyrene

^bCalculated based on the T^n signal area of the solution ^{29}Si NMR spectra

^cScale in operation: MTMS (13.6 g, 100 mmol), water (1.53 g, 85 mmol), MeOH (6.6 g, 206 mmol), HCl/MTMS = 0.105

^dEstimated by ^1H NMR spectra

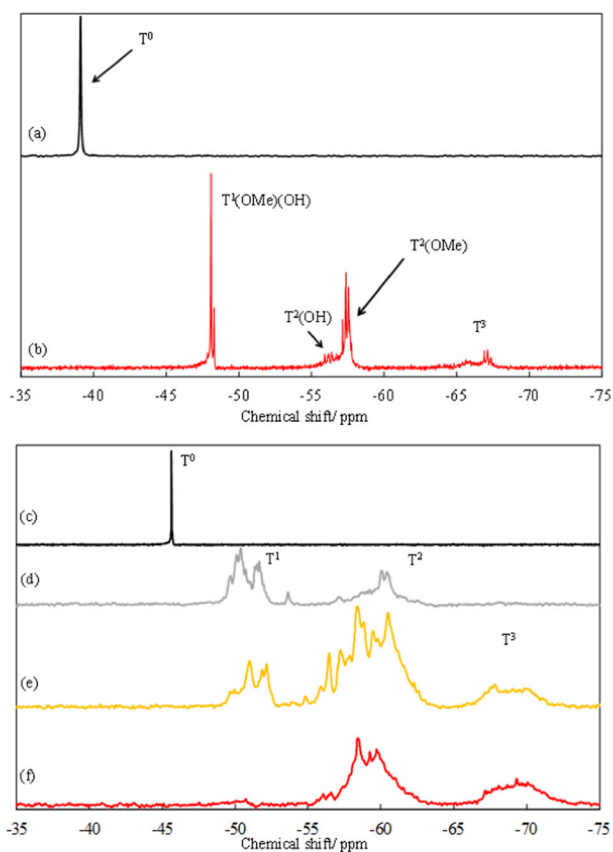


Fig. 1 $^{29}\text{Si}\{^1\text{H}\}$ NMR spectra of **a** MTMS, **b** OMS, **c** cHTMS, **d** OcHS ($M_w = 500$), **e** OcHS ($M_w = 800$), and **f** OcHS ($M_w = 1600$) in CDCl_3

1195 cm^{-1} [20], branched Si–O–Si stretching vibration at $\sim 1080\text{ cm}^{-1}$ [21], and linear Si–O–Si stretching vibration at $\sim 1030\text{ cm}^{-1}$ [22]. It was concluded from the results that OMS formed random-structural oligomers (including liner and cyclic units).

3.2 Characterization of OcHS

The results of the hydrolytic polycondensation of cHTMS are summarized in Table 2.

OcHS was a viscous liquid in a molar ratio of $\text{H}_2\text{O}/\text{Si} = 1.5$ and a white solid in molar ratios of 2.5 and 8.0. Increasing the molar ratio of $\text{H}_2\text{O}/\text{Si}$ resulted in further hydrolytic polycondensation to produce OcHS with increasing M_w from 500 to 1600. GPC results at various water amounts are shown in Fig. 3. As the water amount increases, the peak shifted towards shorter elution times, indicating the progress of the polycondensation. All the profiles included a sharp single peak in the low molecular weight region, most likely a cyclic compound. In addition, OcHS ($M_w 1600$) was analyzed by MALDI-TOF-MS as shown in Fig. S2. OcHS ($M_w 1600$) was a mixture a many silsesquioxane species such as $[(\text{C}_6\text{H}_{11})_4\text{Si}_4\text{O}_4(\text{OH})_4]$, $[(\text{C}_6\text{H}_{11})_5\text{Si}_5\text{O}_5(\text{OH})_3]$, $[(\text{C}_6\text{H}_{11})_6\text{Si}_6\text{O}_7(\text{OH})_4]$,

and so on [23, 24]. The signals in the $^{29}\text{Si}\{^1\text{H}\}$ NMR spectra appeared in the chemical shift region characteristic of T^1 , T^2 , and T^3 unit structures (the symbol T^n denotes the unit structure as $\text{RSi}(\text{OSi})_n(\text{OR}')_{3-n}$ ($n = 1, 2, 3$; $\text{R} = \text{cyclohexyl}$; $\text{R}' = \text{Me}$ and H). The $^{29}\text{Si}\{^1\text{H}\}$ NMR spectrum of OcHS presented two strong signals assigned to T^1 at -48.8 to -54.1 ppm, T^2 at -54.4 to -64.0 ppm, and a weaker one for T^3 at -66.4 to -73.2 ppm [25], as shown in Fig. 1. Because the hydrolysis reaction improved with increasing $\text{H}_2\text{O}/\text{Si}$ ratio, the percentages of the remaining methoxy groups, which were determined from the ^1H NMR spectra (Fig. S1), and the area ratio of T^1 decreased. The FTIR spectrum of OcHS ($M_w 1600$) is shown in Fig. 2. Peaks were assigned to cage-like Si–O–Si stretching vibrations at $\sim 1130\text{ cm}^{-1}$ [26], cyclic Si–O–Si stretching vibrations at $\sim 1060\text{ cm}^{-1}$ [26], and O–H stretching vibrations at $\sim 3350\text{ cm}^{-1}$. According to the results, OcHS is a mixture of cyclic and collapsed cage compounds containing hydroxyl group such as $[(\text{C}_6\text{H}_{11})_4\text{Si}_4\text{O}_4(\text{OH})_4]$. A possible reaction pathway for the hydrolytic condensation of cHTMS is shown in Scheme 1. This oligosilsesquioxane is used as organic–inorganic filler composited to PMMA.

3.3 Characterization and properties of PMMA–oligosilsesquioxane composites

The PMMA–oligosilsesquioxane composites (PMMA–OMS or PMMA–OcHS) were prepared by physical blending methods such as SB and SMB as summarized in Table 3.

The free-standing films of PMMA and oligosilsesquioxane composites are shown in Fig. 4. For visual confirmation, the turbidities of the PMMA–OMS free-standing films prepared according to the SB and SMB methods increased with increasing OMS concentration. In addition, the turbidity of the PMMA–OcHS prepared using the SB method showed a similar trend compared to that of the PMMA–OMS. In contrast, the PMMA–OcHS films prepared by the SMB method showed high transparency. This characteristic suggests the well dispersion and integration of OcHS in PMMA by applying the SMB method.

The free-standing films of PMMA–oligosilsesquioxane composites were characterized by FTIR, the spectra are shown in Fig. 5. The absorption band at 1730 cm^{-1} , assigned to the stretching vibration of the carbonyl group in PMMA, displayed a small shoulder for PMMA–OcHS (SMB method). This was not observed for pure PMMA and PMMA–OcHS prepared by the SB method. The small shoulder was caused by the formation of a hydrogen bond between PMMA and the hydroxyl group of OcHS, as pointed out for a PMMA– SiO_2 composite prepared by in situ polymerization [27]. We hypothesized the following: (i) OcHS is dispersed in the PMMA matrix during curing at temperatures higher than the glass transition temperature of PMMA (T_g : $117\text{ }^\circ\text{C}$) and (ii) OcHS in PMMA is

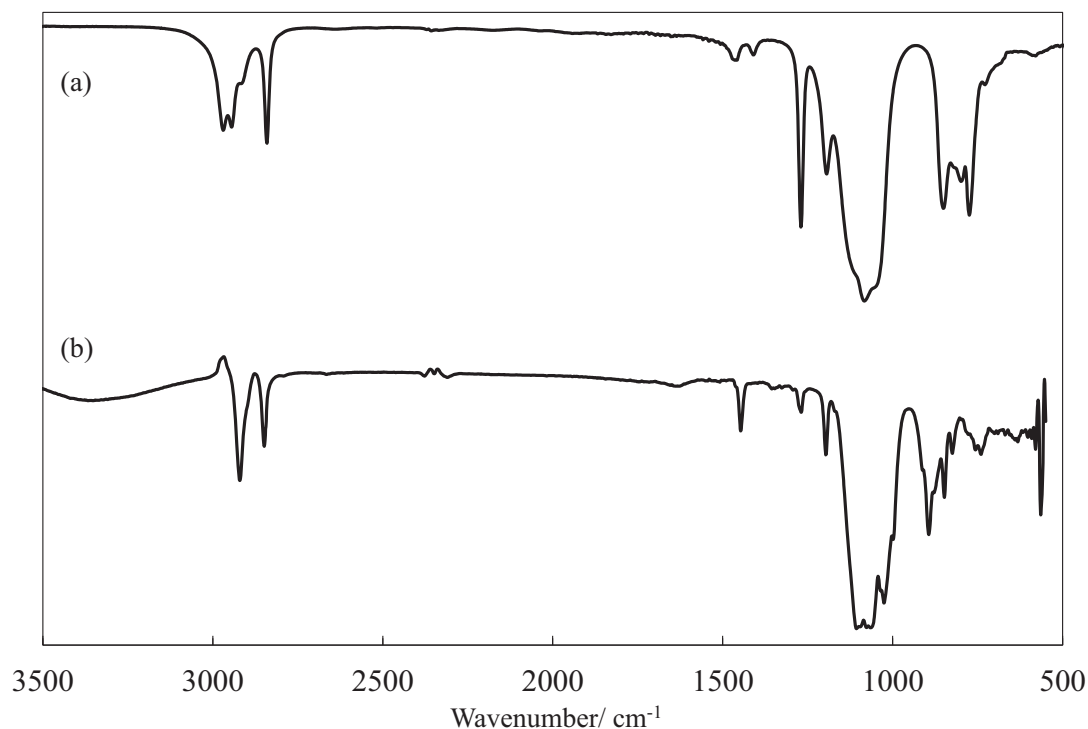


Fig. 2 FTIR spectra of **a** OMS by neat method and **b** OcHS by ATR analysis

Table 2 Results of the hydrolysis and condensation of cHTMS

Entry ^a	Molar ratio H ₂ O/Si	Hydrolysis ratio ^b (%)	Molecular weight ^c		Ratio of siloxane units ^d (%)				State
			<i>M</i> _w	<i>M</i> _w / <i>M</i> _n	T ⁰	T ¹	T ²	T ³	
1	1.5	76	500	1.2	0	62	38	0	Viscous liquid
2	2.5	91	800	1.4	0	46	43	11	Solid
3	8.0	94	1600	1.5	0	0	81	19	Solid

^aScale in operation: cHTMS (2.04 g, 10 mmol), water (15–80 mmol), MeOH (3.2 g, 100 mmol), HCl/cHTMS = 0.105

^bEstimated by ¹H NMR spectra

^cCalculated based on standard polystyrene

^dCalculated based on the Tⁿ signal area of the solution ²⁹Si NMR spectra

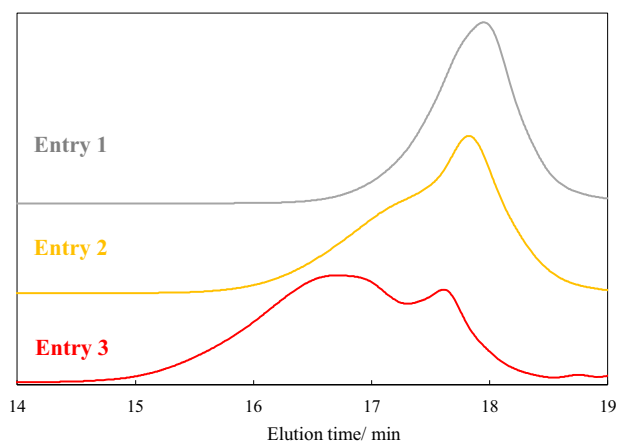
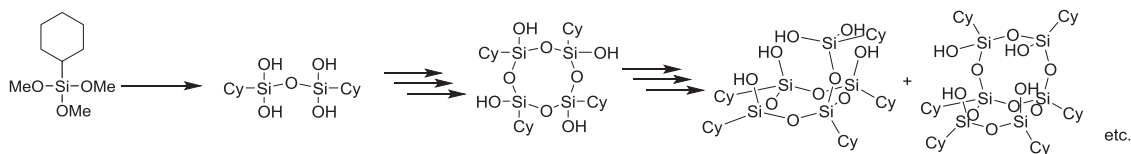


Fig. 3 GPC charts of OcHS with various *M*_w

immobilized by hydrogen bonds, remaining highly dispersed after curing. In comparison, the $\nu_{C=O}$ absorption band of PMMA–OMS (prepared by the SB or SMB method) showed no broadening at this region.

The thermal stability of composites was evaluated by TGA. The results for PMMA and 5% composites are shown in Fig. 6, and the temperatures of 5% weight loss (T_{d5}) are summarized in Table 3. The thermal degradation of pure PMMA with weight loss proceeds in two steps. The first weight loss at 120–250 °C is assigned to the scission of head-to-head linkages [28] or the degradation by radical transfer to the vinyl chain end [29]. The weight loss at 265 °C is caused by radical formation resulting from the cleavage of the main chain, followed by oxidative degradation [30, 31]. The thermal decomposition behavior of PMMA composites prepared by the SB method is similar to



Scheme 1 A possible reaction pathway for the formation of OcHS

Table 3 Preparation, thermal stabilities, and surface hardness of PMMA–oligosilsesquioxane composites

Oligosilsesquioxane	Content of OMS or OcHS (wt%)	Blending method	T_{d5}^a (°C)	Pencil hardness ^b
–	–	SB	139	4B
–	–	SMB	173	4B
OMS	1	SB	157	4H
OMS	5	SB	170	H
OMS	10	SB	173	B
OMS	20	SB	180	4B
OMS	1	SMB	215	4H
OMS	5	SMB	277	H
OMS	10	SMB	270	B
OMS	20	SMB	193	2B
OcHS	1	SB	153	2H
OcHS	5	SB	152	H
OcHS	10	SB	171	4B
OcHS	20	SB	157	4B
OcHS	1	SMB	279	2H
OcHS	5	SMB	282	2H
OcHS	10	SMB	274	4H
OcHS	20	SMB	256	H

SB solution blending, SMB solution–melt blending

^aMeasured by TG-DTA: T_{d5} ; temperature of 5% weight loss

^bThe hardness increases in the order 6B < 5B < 4B < 3B < 2B < B < HB < F < H < 2H < 3H < 4H < 5H < 6H

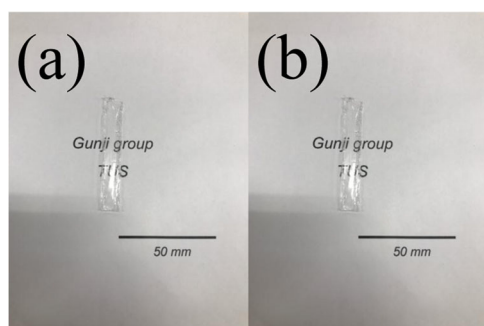


Fig. 4 Photographs of PMMA–oligosilsesquioxane composites **a** PMMA-10 wt% OcHS by SB method and **b** PMMA-10 wt% OcHS by SMB method

that of pure PMMA. On the other hand, the thermal decomposition behavior of PMMA composites prepared by the SMB method differed from that of pure PMMA. The thermal degradation proceeds in one step, with weight loss starting above 240 °C. The scission of head-to-head linkages or vinyl chain ends and the subsequent weight loss

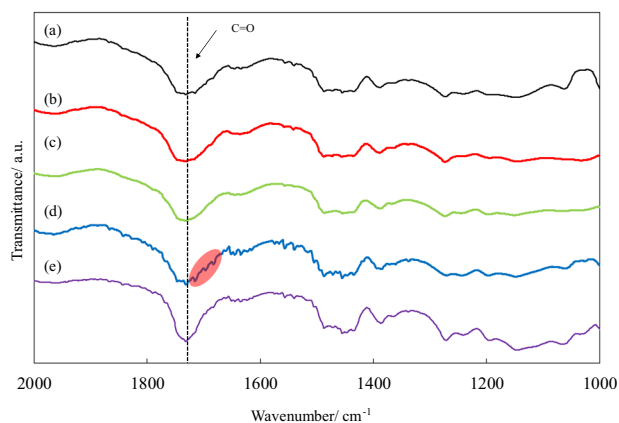


Fig. 5 FTIR spectra of **a** pure PMMA, **b** PMMA–OMS by SMB method, **c** PMMA–OcHS by SB method, **d** PMMA–OcHS by SMB method, and **e** PMMA–OcHS by SB method using KBr disks

does not occur with OcHS and OMS at lower temperature because OcHS and OMS acted as (i) radical scavenger similar to metal oxides [32] and (ii) inhibitor limiting the

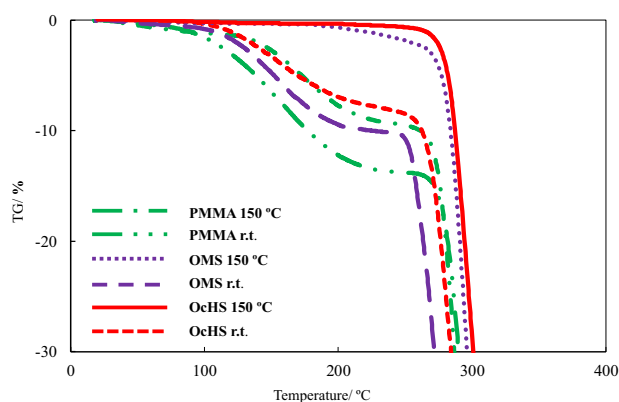


Fig. 6 TGA traces of pure PMMA and PMMA-5 wt% composites

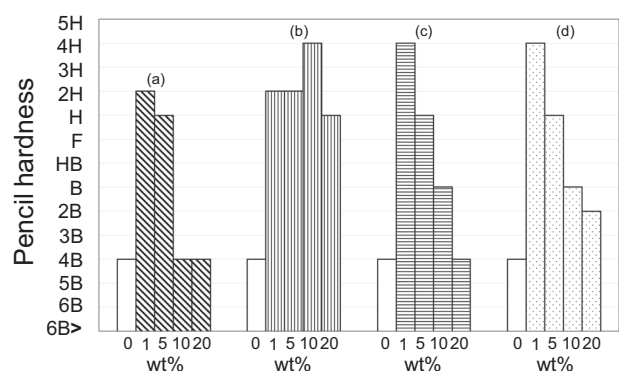


Fig. 7 Pencil hardness of **a** PMMA–OcHS by SB method, **b** PMMA–OcHS by SMB method, **c** PMMA–OMS by SB, and **d** PMMA–OMS by SMB method

mobility of the PMMA chain by hydrogen bonding or hydrophobic interactions, respectively.

The surface hardness of thin films was evaluated by the pencil hardness test. Surface hardness values are shown in Fig. 7 and listed in Table 3. The pencil hardness is known to be dependent on the adhesion to the substrate, surface smoothness, and degree of chemical/physical crosslinking [33, 34]. Also, the pencil hardness decreases in the presence of phase separation [35]. The surface hardness of PMMA was low because of the rough surface morphology observed by AFM, as shown in Fig. S3. In contrast, PMMA–oligosilsesquioxane thin films revealed an extremely smooth surface. However, the surface hardness was dependent on the content of oligosilsesquioxanes. With regards to PMMA–OMS thin films, 1 wt% OMS provided the highest surface hardness, regardless of blending methods. We proposed that OMS acted as an anchoring layer between PMMA and the substrate. At OMS concentrations higher than 1 wt%, however, the surface hardness decreased and phase separation occurred. The surface hardness of OcHS is weaker than that of OMS, and PMMA-10 wt% OcHS (SMB method) showed the highest surface hardness.

This phenomenon suggests that OcHS strongly acted as physical cross-linker because of its high compatibility with PMMA.

4 Conclusion

OMS was synthesized by the hydrolysis–condensation of MTMS. The hydrolysis–condensation of cHTMS was investigated by changing the molar ratio of H_2O/Si . When H_2O/Si was 1.5, OcHS was obtained as a viscous liquid and composed of T^1 and T^2 units with remaining methoxy groups. When H_2O/Si was 2.5 and 8.0, OcHSs were formed as white solids, with few remaining methoxy groups. OcHSs consisted of a mixture of cyclic and collapsed cage compounds. The PMMA nanocomposites with oligosilsesquioxanes as organic–inorganic fillers were prepared by two methods: the SB method and SMB method. Thermal and mechanical analyses showed that the SMB method improved the thermal and mechanical properties of PMMA. Notably, the T_{d5} and pencil hardness of PMMA-10 wt% was 274 °C and 4 H.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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