ORIGINAL PAPER



Sol-gel synthesis and characterization of the delafossite CuAlO₂

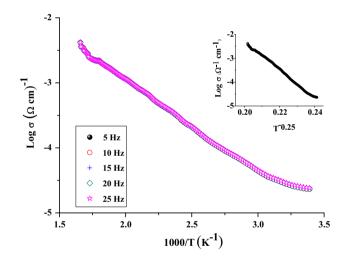
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Abstract Nanocrystalline CuAlO₂ is synthesized by solgel method using ethylene glycol as solvent. The stages of formation are followed by thermal analysis. The X-ray diffraction pattern of the powder heat-treated at 1100 °C shows a single phase, indexed in a rhombohedral symmetry (R $\overline{3}$ m). The apparent crystallite size (57 \pm 8 nm) is determined from the Williamson-Hall plot. The direct optical transition (=3.69 eV), evaluated from the diffuse reflectance spectrum, is attributed to the charge transfer $(O^{2-}: 2p \rightarrow Cu^{+}: 4s)$. The oxide is p-type semiconductor, and the conduction occurs predominantly by small polaron hopping between mixed valences Cu^{2+/+}, due to oxygen insertion in the layered crystal. The photoelectrochemical characterization gives a flat band of 0.20 V_{SCE} and a hole density of 1.13×10^{18} cm⁻³. The semicircle centered on the real axis, in the electrochemical impedance spectroscopy (EIS), is due to the absence of constant phase element with a pure capacitive behavior. The straight line at 35° at low frequencies is attributed to the diffusion in the layered structure.

Graphical Abstract Temperature dependence of the electrical conductivity for CuAlO₂ synthesized by sol–gel method. Inset: the variable range hopping at low temperatures. The sol–gel method has been successfully employed

for the preparation of CuAlO₂. The thermal variation of the conductivity indicates a semiconducting behavior which is frequency independent. At low temperature, a variable range hopping involves electrons jump over large distances according to the Mott law $\sigma = \sigma_0 \exp\left(\frac{T_0}{T}\right)^{0.25}$.



 $\begin{tabular}{ll} \textbf{Keywords} & Nanocrystalline \cdot Delafossite $CuAlO_2$ \cdot \\ Sol-gel method \cdot Semiconductor \cdot Photoelectrochemical \cdot \\ Nyquist-Bode plots \end{tabular}$

1 Introduction

Extensive research is being carried out to improve the photocatalytic properties of oxides, and special interest has been focused on the layered structures [1]. In this respect, the delafossite CuMO₂, where M denotes a



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trivalent metal, is thermodynamically stable phases crystallizing in a two-dimensional lattice and has received growing attention over the last decade [2-4]. They occupy an important place in various fields by virtue of the diversity of physical properties and have a great promise in the solar energy conversion [5-7] and environmental protection [8, 9]. However, the lifetime of the photocarriers is short because they move in a narrow Cu-3d band (\sim 2 eV width) with a small diffusion length and a low hole mobility ($\sim 10^{-5}$ cm² V⁻¹ s⁻¹) [10]; this inhibits considerably the light-to-energy conversion and leads to low efficiencies. So, the strategy is to synthesize the oxide by chemical route in order to shorten the path the photocarriers have to diffuse to reach the interface. In this respect, a considerable improvement has been made in the synthesis of photoactive materials with small crystallite sizes and large specific surface areas [11–13]. With the advent of nanotechnology, the sol-gel method has gained recognition in the solid-state chemistry and a review of advantages has been given recently by Koseva [14]. The method has broadened the field of photovoltaic and photocatalysis by controlling the crystallite size, and this is particularly advantageous for small polaron oxides such as the delafossites and spinels [15, 16]. The mechanism involves the hydrolysis of molecular precursors followed by a polycondensation to glass-like form. The advantage is that the reagents are mixed at an atomic level, and this should increase the reaction rate and favor the formation of nanocrystallites. In addition, the calcination temperature strongly influences the physical properties of the material. In this work, we have undertaken a detailed study of the structural, optical and photoelectrochemical (PEC) properties of CuAlO₂ prepared by sol-gel method.

2 Experimental

All reagents were obtained from commercial sources and used without any further treatment. CuAlO₂ was prepared with a slightly modified sol–gel method employed previously by some of us [17]. CuO (Fluka, >98 %), preheated at 400 °C, was dissolved in a minimum of concentrated HNO₃ (6 N, BDH) and mixed to Al(NO₃)₃·9H₂O (Merck, 99 %) in a molar ratio (Cu/Al = 0.025/0.025). Then, the mixture was dissolved at room temperature in 60 mL of ethylene glycol (BDH) and heated under moderate stirring (350 rpm) at 70 °C under reflux for 6 h, and the viscous solution was dried at 120 °C for 7 days. The gelation occurred on a magnetic-stirring hot plate till a brown color appeared. The amorphous powder was heated at 450 °C to eliminate the nitrates and organic matter. Then, the powder was fired at 1100 °C (16 h) with intermediate regrinding in

a muffle furnace (Nabertherm); the end product exhibits a gray color.

3 Characterizations

3.1 X-ray diffraction

The X-ray diffraction (XRD) data were collected with a Bruker D4 Endeavor Advance diffractometer over the angular range 2θ (15°–100°) using CuK_{α} radiation ($\lambda=0.154178$ nm) with a step size of 0.02° and a counting time of 2s/step. The patterns were compared with the JCPDS Cards to check the completion of the reaction using a Bruker Eva program. The structural refinements were made with the Rietveld analysis implemented in the Full-Prof–WinPLOTR program.

3.2 Thermogravimetric analysis

Thermogravimetric analysis of the amorphous powder, heated at 450 °C, was carried out on a Setaram TAG 1750 instrument in an alumina crucible up to 1000 °C (heating rate of 5 °C min⁻¹).

3.3 Scanning electron microscopy (SEM)

The microstructure was visualized by scanning electron microscopy (SEM, JEOL 6510 LV microscope) operating at an acceleration voltage of 20 kV. The crystallites size was evaluated from the Williamson–Hall plot which takes into account all XRD peaks and eliminates the collapsed ones. The specific surface area is based on the formation of gas monolayer on the oxide surface. The corresponding volume was measured by adsorption/desorption of nitrogen (30 % N $_2$ in He) at 77 K with a Micromeritics Flowsorb 2300 equipment.

3.4 FTIR spectroscopy

The FTIR spectrum of the powder was recorded with a Nicolet spectrometer 6700. The powder was pressed into disk using dried spectroscopic KBr and scanned in the absorbance mode over the range (400–4000 cm⁻¹).

3.5 Raman spectroscopy

The Raman spectrum was recorded with a Jobin-Yvon T64000 spectrophotometer at room temperature in the range (200–1000 cm⁻¹) with 514.5 nm line Ar⁺ laser excitation from a Spectra Physics Krypton ion laser. The compound was studied with a low laser power (4 W); the beam was focused onto the sample using the macroscopic



configuration of the apparatus; and no damage of the material by the laser was observed.

3.6 Optical properties

The diffuse reflectance spectrum was recorded with a double-beam UV-Vis spectrophotometer (SPECORD 200 Plus) attached to an integrating sphere, and PTFE was used as reference. The data were collected in the range (300–1200 nm).

3.7 Electrical properties

The electrical conductivity (σ) was measured by the two-probe method under argon atmosphere using an Agilent LCR meter 4363B equipment. CuAlO₂ was used in the form of pellets ($\varnothing=13$ -mm-diameter disk and ~1 mm thickness), sintered at 1100 °C. The pellet dimensions, measured with a Vernier and weighed in an analytical balance (10^{-4} g), were used to estimate the bulk density. A compactness of 80 % or more was obtained.

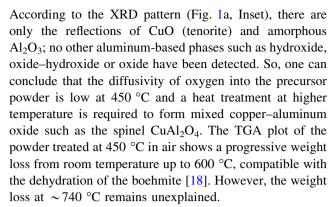
3.8 Photoelectrochemical properties

The pellet showed good mechanical properties, and the back contact with copper wires was made with silver paint. The working electrode (CuAlO₂, 1.32 cm²) was encapsulated in a glass tube and isolated by epoxy resin. A threeelectrode cell, including Pt electrode (Tacussel, 1 cm²) and a saturated calomel electrode, was used for plotting the intensity potential J(V) curves. The working electrode was illuminated by a halogen tungsten lamp (200 W) through a flat window, and the potential was monitored by a Voltalab PGZ 301 potentiostat (Radiometer analytical). The KOH solution (0.5 M) was flushed continuously with nitrogen, and the experiments were performed at room temperature. The Mott-Schottky characteristic was plotted at a frequency of 10 kHz. Sinusoidal perturbations of ± 0.01 V were applied between 10⁻³ and 10⁵ Hz; the Nyquist data were analyzed by the ZView software.

4 Results and discussion

4.1 Microstructure and crystal structure

The sol-gel method gives a better crystallinity, precludes the phase aggregation and leads to submicron dimension with a narrow size distribution. Through a careful selection of synthesis conditions, it has been found that CuAlO₂ can be prepared as a homogeneous single phase. The heat treatment of the amorphous powder at 450 °C in air permits to remove water and decomposes the nitrates.



The XRD pattern (Fig. 1b) of the powder treated at 1000 °C shows the coexistence of CuAl₂O₄ and CuO. Therefore, in air, Cu²⁺-based oxides are the most stable phases in agreement with the diagram temperature versus log pO₂ previously reported for the Cu–Al–O system [19–21]. Chen et al. [22] also reported that the XRD analysis of amorphous powder for the preparation of Cu–Al–O

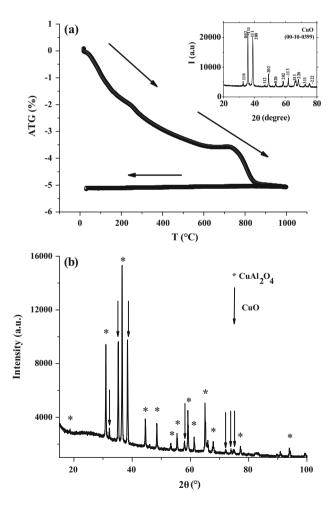


Fig. 1 a TGA plots indicating the evolution of the mixture. *Inset* the XRD pattern of the amorphous powder treated at 450 °C in air. **b** The XRD pattern at 1000 °C indicating a mixture of CuAl₂O₄ and CuO



thin films revealed the presence of CuO (tenorite) and CuAl_2O_4 at 700 °C under different atmospheres (N₂, O₂, air), while the delafossite CuAlO_2 appears at 800 °C only under N₂ flow. In air, CuAlO_2 could be stabilized between 1000 and 1250 °C, and its formation can be summarized as follows [19]:

$$2 \text{ CuAl}_2\text{O}_4 \rightarrow 2 \text{ CuAlO}_2 + \text{ Al}_2\text{O}_3 + \frac{1}{2} \text{ O}_2$$
 (1)

$$2 \text{ CuO} \rightarrow \text{Cu}_2\text{O} + \frac{1}{2} \text{ O}_2 \tag{2}$$

$$Cu2O + Al2O3 \rightarrow 2 CuAlO2$$
 (3)

The powder calcined at 1100 °C (16 h) exhibits a gray color, characteristic of pure delafossite CuAlO₂ in

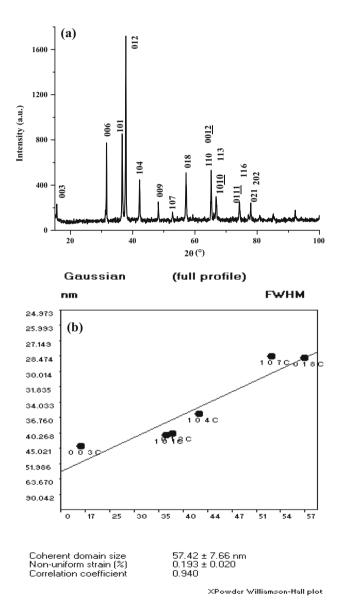


Fig. 2 a The XRD pattern of the single-phase $CuAlO_2$ synthesized by sol-gel method at 1100 °C for 16 h. **b** The crystallite size determined from the Williamson–Hall plot

agreement with the JCPDS Card N° 075-2356 (Fig. 2a). The refined lattice constants in the rhombohedral description (SG: $R\overline{3}$ m): a = 0.2852 nm and c = 1.6830 nm are in good agreement with those given in the literature [23]. CuAlO₂ crystallizes in a hexagonally close-packed lattice with 3R polytype; Al³⁺ is located at centers of trigonally distorted octahedra linked by edge-sharing, forming infinite $_{\infty}[AlO_2]$ sheets in the (001) planes (Fig. 3). Cu⁺ connects linearly two adjacent layers, leading to (CuO₂)³⁻ dumbbells parallel to the c-axis and giving CuAlO₂ anisotropic properties. Selected interatomic distances and bond angles are reported in Table 1. The Cu-O length (0.1860 nm) agrees perfectly with that calculated from the ionic radii of $^{\rm II}$ Cu⁺ (0.046 nm) and $^{\rm IV}$ O²⁻ (0.1380 nm) [24]. Such agreement confirms the ionicity of the chemical bond and the small polarizability of ions and the electron localization. The AlO₆ octahedron is flattened, and the deformation of the symmetry D_{3d} is correlated with the strength of the Al-O bond: $\frac{d_{O-Oi}}{d_{O-Oi}} = 1.126$ [25], where d_{O-Oi} is the edge of AlO₆ octahedron in the (a, b) plane and d_{o-oiv} the length connecting two oxygen atoms belonging to two consecutive layers containing Al³⁺ ions (Fig. 3, Inset). The covalency of the Al-O leads to a decrease in the formal charge, resulting in a weaker repulsion between Al³⁺ ions and a diminution of the O-Al-O angle (~83°) instead of 90°. The crystallite size of CuAlO₂ (57 \pm 8 nm), evaluated from the Williamson–Hall plot by extrapolation to $\theta = 0$, indicates nanocrystallites (Fig. 2b). Assuming spherical and non-porous crystallites as shown in the SEM image (Fig. 4), the specific surface area $(S = \frac{6}{\rho_{\text{exp}} \times D})$ averages 21 m² g⁻¹. Such result gives the surface of the crystallites. However, the specific surface area, determined by the BET method, is much smaller ($S_{\text{BET}} = 1.39 \text{ m}^2 \text{ g}^{-1}$) because it is relative to the surface of the grains which are agglomerates of crystallites. Indeed, the SEM image clearly shows two types of granular grains with 0.5-1 µm dimensions; large CuAlO₂ crystals with well-defined natural crystalline faces are formed which corroborate the strong agglomeration, a not surprising given the high temperature to synthesize CuAlO₂.

4.2 FTIR measurement

The FTIR spectrum gives valuable information on the metal–oxygen stretching as well as the sites of ions. The Cu–O stretching peak at 554 cm⁻¹ is characteristic of Cu⁺ in linear coordination [26]. The absorption peaks at 772 and 949 cm⁻¹ (Fig. 5) arise from Al–O stretching vibration in distorted AlO₆ octahedra close to those reported elsewhere [27, 28]. The broad band at 3460 cm⁻¹ is due to O–H stretching vibration, while the bending vibration of physisorbed water is observed at 1637 cm⁻¹ [29].



Fig. 3 Structure of the delafossite CuAlO₂. The blue polyhedra represents edgeshared Al³⁺O₆ distorted octahedra linearly coordinated by Cu⁺ cations. *Inset* the flattened AlO₆ octahedra

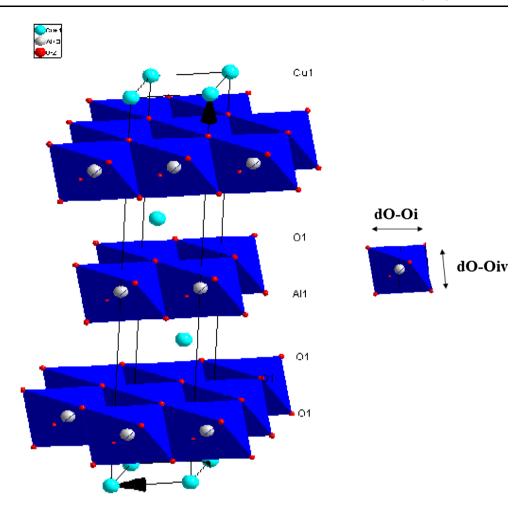


Table 1 Bond distances and angles in the delafossite CuAlO₂

Cu-O	(×2)	1.861(1)
Al ^{II} -O	(×6)	1.912(1)
Cu-Cu ^I	(×6)	2.860(1)
$O-O_I$	(×6)	2.860(1)
O-O ^{IV}	(×6)	2.539(2)
$O-Al^{II}-O^{I}$	(×6)	96.81°
$O\!\!-\!\!Al^{II}\!\!-\!\!O^{IV}$	(×6)	83.19°
$Cu-O-Al^{II}$	(×3)	120.28°
$\mathrm{Al^{II}}\!\!-\!\!\mathrm{O}\!\!-\!\!\mathrm{Al^{II}}$	(×3)	96.81°

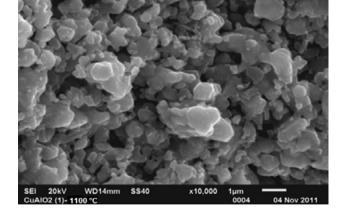


Fig. 4 SEM image of $\rm CuAlO_2$ powder synthesized by sol-gel method at 1100 $^{\circ}\rm C$

4.3 Raman spectroscopy

The delafossites with four atoms per unit formula present 12 normal modes. The group theory decomposes a general mode at the Brillouin zone center ($\Gamma = A_{1g} + E_g + 3 - A_{2u} + 3A_u$). The modes A induce movement in the direction of Cu–O bond along the c-axis, whereas the double degenerate E modes describe vibrations in the perpendicular direction [30]. The existence of an inversion

center in the structure classifies normal modes as a function of their parity. Odd modes, denoted with the subscript u, are infrared active and include acoustic modes $(A_{2u} + A_u)$.

The Raman spectrum of CuAlO₂ is shown in Fig. 6. Three bands are identified as $\sigma(A_{1g})$ at 773 cm⁻¹, $\sigma(E_g)$ at



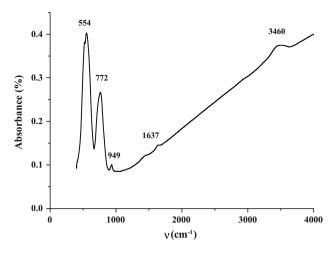


Fig. 5 Infrared spectrum of CuAlO_2 synthesized by sol-gel method at 1100 °C

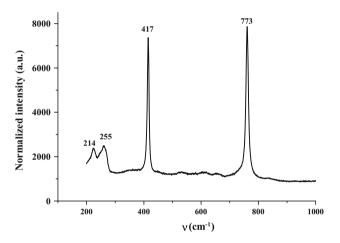


Fig. 6 Raman spectrum of CuAlO₂ at room temperature

417 cm⁻¹ [31] and $\sigma(A_g)$ at 214 cm⁻¹ [32]. They may be associated with the edge-sharing Al^{III}O₆ octahedra and O–Cu^I–O linear bond. We have also found a further peak at 255 cm⁻¹ assigned to Al₂O₃, very close to that reported by Nagabhushana et al. (253 cm⁻¹) [33]. It may be due to the fact the vibrational spectrum is modified by a slight change in the crystal structure [34]. In order to attribute the vibration mode, we have made a comparison with isostructural compounds, namely α -Al₂O₃ and α -Fe₂O₃. The peak observed at 255 cm⁻¹ could be assigned to the E_g mode of α -Al₂O₃ and is very close to that reported for α -Fe₂O₃ by Lübbe et al. [35].

4.4 Optical properties

The optical gap of $CuAlO_2$ is determined from the diffuse reflectance (R) using the Kubelka–Munk equation:

$$F(R) = \frac{(1-R)^2}{2R} \tag{4}$$

The relation between the optical absorption coefficient (α), the gap (E_g) and the incident energy (hv) is given by [36]:

$$(\alpha h v)^m = A \times (h v - E_g) \tag{5}$$

where A is a constant and m depends on the type of transition: m = 1/2 for indirect transition and m = 2 for direct transition. A direct transition (3.69 eV) occurs between the deep lying O^{2-} : 2p orbital and Cu^+ : 4s orbital, attributed to the charge transfer (Fig. 7), in agreement with previous results [37–40].

4.5 Electrical properties

The distance between the Cu⁺ ions (=a-parameter) is more than the length required for the onset of metallic state and CuAlO₂ is expected to be insulator. However, the composition slightly deviates from the stoichiometry by oxygen intercalation due to the layered structure, leading to enhanced electrical conductivity [41]. Such migration needs diffusion path within the parent network in the basal plans along the [010] direction, and Cu²⁺ is formed to maintain the electro-neutrality. However, we are unable to quote the over stoichiometry by chemical analysis [42]¹.

The conductivity increases with increasing temperature, characterizing a semiconducting behavior which is frequency independent (Fig. 8). The Arrhenius law is obeyed over the range (300–500 K) with activation energy (E_a) of 0.26 eV, close to that reported previously [27, 43, 44]. Therefore, the enhanced conductivity arises from the thermally activated hole density (N_A) rather than the mobility (μ_h) . Owing to the electron-phonon interaction, the carriers move by phonon assisted hopping polaron between nearest neighbors [45]. A small polaron is generated when the electron is trapped locally by a lattice distortion which moves as a whole entity. At low temperature, a variable range hopping is predicted which involves hopping over large distances than between nearest neighbors (Fig. 8, Inset); the partial localization is due to cation disorder according to the Mott law:

$$\sigma = \sigma_0 \exp\left(\frac{T_0}{T}\right)^{\gamma}, \quad \gamma = 0.25$$
 (6)

4.6 Photoelectrochemical properties

Because of its great potential in the PEC conversion, it is of interest to characterize CuAlO₂ photoelectrochemically.

 $[\]overline{\ ^{1}}$ CuAlO₂ is stable over the whole pH range (-1, +14). Even in concentrated HClO₄, the amount of Cu²⁺ is too small for a reliable determination by atomic absorption.



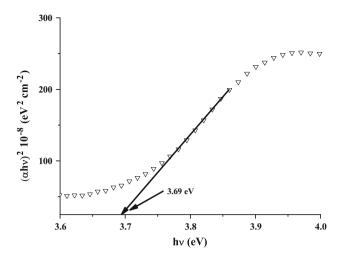


Fig. 7 Determination of the direct optical transition of CuAlO₂ prepared by sol-gel method

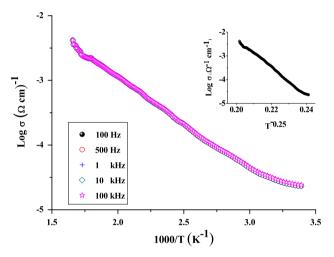
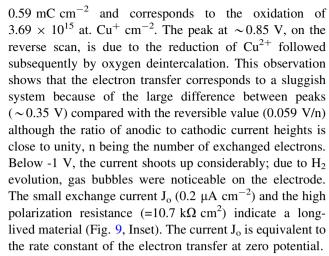


Fig. 8 Temperature dependence of the electrical conductivity for CuAlO_2 synthesized by sol-gel method. *Inset* the variable range hopping at low temperatures

The current voltage J(V) characteristic is plotted in KOH (0.5 M) solution in an effort to determine the electrochemical processes at the interface. The dark current (<1 mA cm⁻²) is due to thermal excitation across the energy E_a , and the blocking contact with the electrolyte is consistent with a good electrochemical stability. The electrochemical behavior of CuAlO₂ shows two irreversible anodic peaks (Fig. 9). The first peak at \sim 0.62 V is due to an internal redox process, i.e., oxygen intercalation in the layered lattice with concomitant oxidation of Cu⁺ according to the reaction:

$$CuAlO_2 + 2\delta OH^- \rightarrow CuAlO_{2+\delta} + \delta H_2O + 2\delta e^-$$
 (7)

where δ stands for the amount of intercalated oxygen. The quantity of electricity under the peak is evaluated to



The increase in the photocurrent $(J_{\rm ph})$ along the cathodic polarization is in conformity with p-type conduction which takes its origin from the oxygen insertion (Fig. 9) [46]. The flat-band potential $(V_{\rm fb}=0.20~{\rm V})$ and the hole density $(N_A=1.13\times10^{18}~{\rm cm}^{-3})$ are provided, respectively, from the intercept of the potential axis at $C^{-2}=0$ and the slope of the Mott–Schottky characteristic (Fig. 10):

$$C^{-2} = \left(\frac{2}{e\varepsilon\varepsilon_0 N_A}\right) \left(V - V_{fb} - \frac{kT}{e}\right) \tag{8}$$

where k is the Boltzmann constant, T the absolute temperature, ε_0 the permittivity of free space and e the charge of an electron. The permittivity of CuAlO₂ ($\varepsilon \sim 35$) was determined from the dielectric measurements. The negative slope gives additional support to p-type conductivity. The N_A value should give a thermoelectrical power (S) of $\sim 800 \ \mu V \ K^{-1}$, compatible with previous works [47, 48]:

$$S = \left(\frac{k}{e}\right) \ln \frac{N_0}{N_A} \tag{9}$$

The hole mobility $\mu_h = \left(\frac{\sigma_{300K}}{e \times N_0}\right)$ of 1.35 10^{-4} cm² - V^{-1} s⁻¹ is typical of delafossite oxides [48]; the concentration of available sites (N_o) is equal to the number of linear Cu⁺ units. The low mobility μ_h , defined as the mean drift velocity in an electric field of unit force, is a hallmark of a conduction mechanism by small polaron hopping.

The EIS measurement is done at the open-circuit potential in KOH medium and permits to determine the contributions of the electrolyte, bulk and grain boundaries. The semicircle at high frequencies is attributed to the faradic charge transfer at the junction CuAlO₂/solution. The center of the circle is localized on the real axis, indicating the absence of constant phase element, i.e., a pure capacitive behavior where the electron hopping occurs by crossing a high potential barrier. However, the straight line at low frequencies is due to a resistive and slow diffusion of electroactive species in the layered structure since the angle (35°) is below that for Warburg impedance (45°).



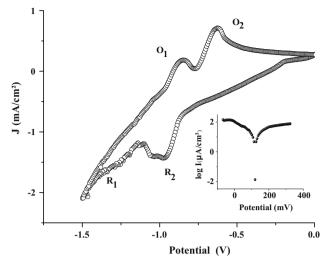


Fig. 9 Cyclic J (V) characteristic of CuAlO₂ in KOH solution (0.5 M) plotted under N₂ bubbling at 25 °C, scan rate 10 mV/s. *Inset* the semilogarithmic plot (log J–V)

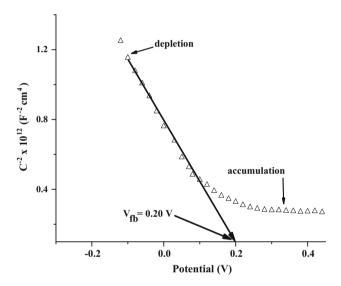
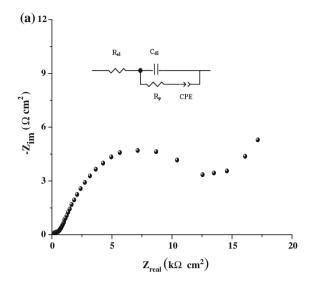


Fig. 10 Mott–Schottky plot of $CuAlO_2$ in KOH (0.5 M) solution at 10 kHz. The depletion and accumulation zones are shown

The equivalent electrical circuit is reported in Fig. 11a (Inset); $R_{\rm ct}$ is the resistance of the charge transfer (12.3 k Ω cm²) and $R_{\rm el}$ the electrolyte solution (0.46 k Ω cm²); the latter is due to the high mobility of OH⁻ ions (199 Ω^{-1} cm² mol⁻¹).

The process is also represented in the Bode plan ($\log Z$ vs. \log frequency). The phase angle approaching -23° (Fig. 11b) is characteristic of a compact and non-porous semiconductor with a small rugosity, in agreement with the high compactness. The decrease in Z with increasing the frequency indicates an enhanced electrical conductivity and a lowering of the potential barrier.



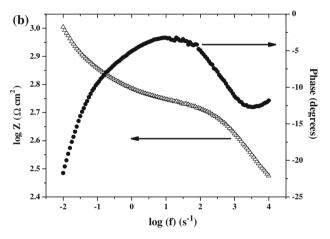


Fig. 11 a The Nyquist plot of CuAlO₂ in KOH (0.5 M) solution. *Inset* the equivalent electrical circuit. **b** The Bode plot

The cathodic potential of the conduction band $(V_{\rm fb} - {\rm e} \times E_g)$ of CuAlO₂ predicts a high photocatalytic performance. Such results indicate a great potentiality of CuAlO₂ in photocatalysis. Indeed, the oxide has been tested successfully for the removal of heavy metal under visible light and the results will be reported consecutively.

5 Conclusions

The synthesis of nanocrystallites CuAlO₂ by sol-gel method is reported, and both the physical and photoelectrochemical characterizations are investigated. The oxide crystallizes in the delafossite structure with nanomorphology and submicron-sized crystallites. The direct optical gap is determined from the diffuse reflectance. The electrical conduction occurs by small polaron hopping between mixed copper valences due to the oxygen intercalation in



the two-dimensional structure. The increase in the photocurrent along the cathodic polarization indicates p-type conductivity which takes its origin from oxygen insertion in the layered lattice. The electrochemical impedance spectroscopy shows the predominance of the charge transfer. CuAlO₂ has environmental advantages and a gap appropriately matched to the sun spectrum which makes the oxide promising for the solar energy conversion.

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