

Phase analysis of iron oxides forming the red pigment layer of the ancient earthenwares excavated from the southern Korean Peninsula

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Received: 20 February 2021 / Accepted: 22 June 2021 / Published online: 25 July 2021 © Akadémiai Kiadó, Budapest, Hungary 2021

Abstract

It is assumed that clay soil with high Fe contents as its main ingredient was used in the red pigment layers on the surfaces of the Neolithic Age Red Painted pottery and the Red Burnished pottery in the Bronze Age that were excavated from the southern areas of the Korean peninsula. A variety of phases of iron oxides that form pigment layers were identifed through X-ray difraction analysis, Raman spectroscopy and Mössbauer spectroscopy in this study. In particular, although previous studies focused only on hematite as a pigment mineral, the Mössbauer spectra of pigment layers in this study showed a higher spectrum area ratio of sextet by ferrihydrite than that by hematite, implying that ferrihydrite in reddish-brown might have played a signifcant role in developing its color. In addition, as it can be seen in each specimen showing a diferent area ratio by site in the Mössbauer spectrum, compositions of Fe-containing minerals were diferent. It is assumed to have been caused by diferent ionic forms of iron in the soil materials and unstable fring atmosphere during Pit fring.

Keywords Mössbauer spectroscopy · X-Ray Difraction analysis · Raman spectroscopy · Red Burnshied Pottery · Fe phase

Introduction

Potteries are used as indicators of ages as their shapes and manufacturing techniques were developed following respective eras. In particular, red-painted potteries manufactured during the prehistoric age are found worldwide, most of which were designed for rituals and buried.

The use of earthenwares in Korea was at least from 8,000 B.C., in accordance with the relics in Gosan-ri site, Jeju. From the Neolithic Age, the Red Painted pottery, which is called *Juchil* (in Korean, red-painted) pottery, was made with red pigment applied to the outer surface, and a burnishing

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technique for polishing the surface of earthenware was introduced [[1](#page-8-0)]. It is known that the surface is semi-dry during burnishing process and fattened using various tools such as pebbles and leaves to enhance the coloration and polish effect $[1, 2]$ $[1, 2]$ $[1, 2]$. The burnishing technique reduces absorption by fne-tuning the surface, and was used as a common method of making even surfaces of prehistoric earthenware. The neolithic red painted pottery and the red burnished pottery were excavated mainly in the southern part of the Korean Peninsula.

A prior study of the Neolithic Age Red Painted pottery and the Red Burnished pottery on the Korean Peninsula has confrmed that iron (Fe) is the main element of pigmentation [\[3](#page-8-2), [4](#page-8-3)]. In addition, some studies conducted X-ray difraction analysis of samples of red painted pottery and red burnished pottery, and hematite (α -Fe₂O₃) was identified to present red iron as the main source of coloration of the red pigmentation layer [[4](#page-8-3)]. Estimates of materials in the pigmentation layer were based on microscopic observance and SEM–EDS analysis, and minerals were directly analyzed using XRD analysis in few reaserches, but only a weak peak of hematite was identifed, and Phase analysis of iron oxides forming the red pigment layer was insufficient.

Mössbauer spectroscopy is an ionic phase analysis method using gamma-ray resonance, and the study of pottery using Mössbauer spectroscopy confrms that the phase analysis of the mineral that consists the pottery is possible $[5–10]$ $[5–10]$ $[5–10]$ $[5–10]$. The studies have been conducted on the phase transition of minerals according to the fring atmosphere. Since the phase of the Fe-containing minerals in the red painted pottery and the red burnished pottery depends on the raw materials and fring conditions used to produce the red color, it can provide crucial information on the production techniques.

Therefore, room temperature Mössbauer spectroscopy using Fe-57 was applied on a trial basis to analyze the crystalline phase of the Fe-containing minerals in the pigment layers of ancient earthenware excavated from the Southern Korean Peninsula. Prior to the Mössbauer spectroscopy analysis, Fe content was analyzed using SEM–EDS and elemental distribution on the cross-section of the pigment layer was verifed through elemental map. Crystal structure analysis using XRD and Raman spectroscopy confrmed the existence of iron oxides, the most infuential composition of the pigment layer, and the results of diferent analysis methods were cross-validated.

Experimental

Sample preparation

For the analysis of excavated specimens, the samples with well-preserved surfaces and no traces of conservation treatment were selected from the collection of the National Jinju Museum. Additional samples were provided with good surface preservation with the help of other institutions and related researchers. The three Neolithic Age Painted pottery and the five Red Burnished pottery were analyzed Table [1](#page-1-0), Fig. [1](#page-1-1) and Fig. [2.](#page-2-0) The Red Burnished pottery JJ-1 and other Bronze Age pottery

Fig. 1 Location of the archaeological sites for study

samples excavated from Sancheong were provided by Jinju National Museum. Kyungnam University Museum provided the Red Burnished pottery JJ-7, and the Neolithic pottery samples from Geoje and Tongyeong were provided by Gimhae national Museum. The Neolithic pottery sample UJ-1 excavated from Jukbyeon-ri site was provided by Samhan Institute of Cultural Properties.

Color

The surface colors of potteries are afected by the chemical composition of raw materials and fring conditions. Therefore, the fring intensity and conditions can be inferred by the color information, and chromaticity was measured to understand the correlation between iron oxide phases and

Table 1 List of the pottery samples analyzed in this study

colors. The external chromaticity of all specimens was measured by a portable Chroma meter (CR-400, Minolta, Japan) after the specimens were dried entirely To reduce measurement error, average values of 3 measurements were used. The measurement results were displayed in L*, a*, and b* chromatic systems.

Elemental composition: SEM–EDS

For the composition analysis of excavated potteries, an energy dispersive X-ray spectrometer attached to a scanning microscope was used. The surfaces of the potteries made as mounting specimens were magnified to $300 \times$, and elemental mapping was performed to check the Fe content of the surface pigment layer.

Constituent minerals: XRD and raman spectroscopy

To identify compositional minerals, crystal structures were analyzed with an X-ray difraction spectroscope (Minifex600, Rigaku, Japan). After contaminated surfaces of specimens were washed with distilled water, red pigment layers were extracted from the surfaces using a scalpel to prevent mixing with clay, powdered, and completely dried at $100 \pm 5^{\circ}$ C for over 24 h. The analysis condition was set to 40 kV, 15 mA, $5^{\circ}-80^{\circ}$, scan step of 0.02°, and scan speed of $1^{\circ}/$ min.

The pottery surfaces were further analyzed using a micro-Raman spectrometer (LamRAM Aramis, Horiba, Japan) with a laser of 785 nm, $10 \times$ objectives. The data of the Raman spectrum was compared to the hematite (α -Fe₂O₃), magnetite (Fe₃O₄), and goethite (α -FeO(OH)) spectral data analyzed using 785 nm laser in the RRUFF Project website. However, the analysis was expected to be challenged as iron oxides are easily denatured by laser, and it occurs even faster when the crystallinity is not high in a natural state $[11]$. Thus, specimens with the well preserved surface pigment layer were selected for the analysis, observed for any changes in spectrums before and after the analysis, and then verifed any discoloration through microscopic images.339A

Phase of Fe ion: mössbauer spectroscopy

Mössbauer spectroscopy was conducted by the Department of Physics of Kookmin University, to verify crystalline characteristics of iron oxides in red layers. The measurement was carried out at room temperature through an electrodynamic constant-acceleration Mössbauer spectrometer using a single light source of Co-57 at 50 mCi dispersed on Rh metal of FAST (MR-31, driving unit). The specimen used as an absorber was applied as a thin layer between Al foils of 1 inch diameter, and both sides were blocked and fxed with 0.125 inches thick Be plates. The fxed specimen was secured on a specimen holder made of Cu to maintain consistent temperature across the specimen during the measurement.

Results and discussion

Color and elemental composition of the pigment layer

The iron oxide content of the pigment layers measured by SEM–EDS analysis was compared to the intensity of the red color of the specimen surfaces to illustrate a regression line passing through the center point and 95% confdence interval Fig. [3.](#page-3-0) The degree of scattering is determined by various phases of iron oxide generated by diferences in the fring conditions of the pigment layers. It seems that the pigmentation was afected by the conservation status and mineral composition of the pigment layers. However, as thinner and poorly preserved pigment layers mean less shield from the clay material, the chromaticity value may refect the color of the clay material. As an example of color change due to the change in Fe phase, the previous research verifed that while only a* value increases when the phase is changed from ferrihydrite to goethtite, both a* and b* values increase simultaneously when it is changed to hematite [[12](#page-9-3)].

Constituent minerals

Figure [4](#page-3-1). The peaks of hematite of the (140) planes appeared at 33° and near 35.7° where its (110) planes. As for the γ phase, the peak of maghemite near 35.6° of the (311) planes and near 30.2° of the (220) planes, overlaps with the peak of

Fig. 2 the red painted pottery sherds analyzed in this study

Fig. 3 Iron oxides content analyzed by SEM–EDS vs. a* value of the pigment layer, regression line and the line at the endpoints of the 95% confidence intervals

feldspar group, did not appear. Also, the peak of magnetite did not appear near 30.1° on the (220) planes.

On the other hand, ferrihydrite shows a two-line or a fveline pattern in the X-ray difraction analysis, but as it has X-ray amorphous characteristics, it is difficult to identify when analyzed along with crystalline material. Although the main peak of lepidocrocite is relatively easy to identify in XRD, it was not identifed in the analysis result.

Raman spectra of the pigment layers showed that hematite had a 403–411 cm^{-1} peak due to Fe–O bending and a 594–600 cm−1 peak due to Fe–O stretching along with a broad peak near 1310 cm⁻¹ Fig. [5](#page-3-2). In addition, it was confirmed that magnetite had a $671-674$ cm⁻¹ peak due to asymmetric stretching and a 520–240 cm⁻¹ peak due to Fe–O asymmetric bending. The Raman band of the pigment layers did not show any goethite, which is relatively common in soil, like the XRD difraction pattern. Although it can be a relative diference in contents in the pigment layer, it is assumed that the phase of most goethite of the raw material was changed to hematite as it went through the fring process.

Phase of Fe ion

In the Mössbauer spectra of the pigment layers, a sextet by α -Fe₂O₃, 2 sextets by Fe₃O₄, and a doublet by ferrihydrite or γ-FeO(OH) were overlapped for all the specimens Fig. 6 . Table [2](#page-4-0) shows the hyperfne feld (Hhf), the quadrupole

Fig. 4 XRD spectra of the pigment layers of pottery sherds for **a** JJ-4, **b** JJ-7, **c** SCG-1, **d** SCG-2, **e** SCG-5 **f** UJ-1, **g** TY-3 and **h** GJ-1

Fig. 5 Raman spectra of the pigment layers of pottery sherds for **a** JJ-4, **b** JJ-7, **c** SCG-1, **d** SCG-2, **e** UJ-1, **f** TY-3 and g GJ-1

from pottery sherds

splitting (ΔE_O) , theisomer shift (δ), and the area ratios of spectrum by site.

In the Mössbauer spectrum measured at room temperature, it is challenging to distinguish hematite ($α$ -Fe₂O₃) on α-phase from maghemite (γ-Fe₂O₃) on γ-phase. However, considering that the results of X-ray difraction analysis and Raman spectroscopy showed a stronger peak of hematite and that the particle size efect might have reduced the values in the hyperfne feld, the sextets of 501.75–511.66kOe identifed in each specimen are likely to have been generated by α -Fe₂O₃. It has been reported that α -Fe₂O₃ measured at room temperature has a sextet with a wide range of values around the hyperfne feld of 518kOe, the isomer shift of 0.37 mm/s, the quadrupole splitting of−0.2 mm/s [[12](#page-9-3)].

The X-ray difraction analysis did not show any peak of ferrihydrite or lepidocrocite, while the Mössbauer analysis showed a very high spectrum area ratio of 33.55–59.84%. At room temperature, lepidocrocite is identifed as a doublet having values of the isomer shift of 0.37 mm/s and the quadrupole splitting of 0.53 mm/s, and has a similar resonance absorption line to ferrihydrite. However, it is characterized by a lower quadruple splitting and narrower line width [[13,](#page-9-4) [14](#page-9-5)]. The sample measured in this study resulted in the quadrupole splitting value of 0.72–0.8 mm/s, which is somewhat

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Fig. 6 Mössbauer spectra of the red pigment layers. The black dots ◂represent the raw data collected as Mossbauer spectra. The green lines refer to α -Fe₂O₃, and red and blue lines to each A site and B site of $Fe₃O₄$, respectively. Pink lines refer to ferrihydrite

higher than that of lepidocrocite, and a wider line as well. Therefore, it was identifed as ferrihydrite.

Lepidocrocite forms maghemite in a semi-stable state at approximately 473–553 K during fring at oxidizing atmosphere [[14](#page-9-5)], and it goes through a phase transition to hematite at about 873 K as the temperature rises [[15](#page-9-6)].

$$
\gamma - \text{FeO(OH)} \rightarrow \frac{1}{2}\gamma - \text{Fe}_2\text{O}_3 + \frac{1}{2}\text{H}_2\text{O}
$$

$$
\gamma - \text{Fe}_2\text{O}_3 \rightarrow \alpha - \text{Fe}_2\text{O}_3
$$

Soil contains abundant α-phase goethite [α-FeO(OH)], which forms hematite when fired at 530—600 K [[7](#page-9-7), [14](#page-9-5)]. The Red Burnished pottery is assumed to have been fred at 873 K or higher, so most of the iron hydroxide in soil was expected to have gone through a phase transition to hematite immediately after fring.

As for an inverse spinel structure of magnetite (Fe₃O₄), in a cell unit of the face-centered cubic, $Fe³⁺$ ions occupy 8 A sites with tetrahedron symmetry, and 8 $Fe²⁺$ ions and 8Fe^{3+} ions occupy 16 B sites with octahedron symmetry. The Mössbauer spectrum of $Fe₃O₄$ at room temperature shows two sextets overlapped with each other because of the presence of the two diferent grid sites []. Reduction fring generates magnetite via reaction to carbon monoxide, while oxidized fring generates hematite.

$$
3Fe_2O_3 + CO \rightarrow 2Fe_3O_4 + CO_2
$$

 $2Fe_3O_4 + \frac{1}{2}O_2 \rightarrow 3\alpha - Fe_2O_3$

Comparison of spectrum area ratios for each site in the Mössbauer spectrum showed a complete inverse proportional relationship where the area ratio of ferrihydrite

decreases as the α -Fe₂O₃ phase increases, and ferrihydrite increases as the area of the α -Fe₂O₃ phase decreases Fig. [7.](#page-7-0) Area ratios of α -Fe₂O₃ and Fe₃O₄ tend to increase or decrease concurrently, but they do not show increase/ decrease curves that are perfectly consistent. Therefore, an increase/decrease in the area ratios of α -Fe₂O₃ and Fe₃O₄ is inversely proportional to ferrihydrite. $Fe₃O₄$ detected in specimens after fring implies a possible presence of diferent iron oxyhydroxides from the soil. If some lepidocrocite existed in the soil, maghemite, which is an intermediate product of lepidocrocite and hematite, was potentially existed in the specimens as well, considering the fring reaction. In this case, the spectrum might have been overlapped with hematite. Since iron oxides may exist in various phases, an additional Mössbauer measurement needs to be conducted at a lower temperature (4.2 K) for accurate assessment in the further study.

In order to understand the effect of iron content in pigment layers forming hematite during oxidized fring and the oxidation–reduction of Fe ions on the chromaticity, the relationship between the spectrum area of α -Fe₂O₃ and the chromaticity value is illustrated on a 2-dimensional plane and the stoichiometry Fe(II)/Fe(III) for each specimen was calculated Table [3](#page-7-1). The following formula was used with relative area ratios of A site and B site sextets to obtain the stoichiometry ratio of Fe ions within Fe₃O₄ [\[16](#page-9-8)].

$$
[\text{Fe(II)/Fe(III)}]_{\text{Fe3O4}} = (0.5 \times \text{Fe}_{\text{Oh}}) / (0.5 \times \text{Fe}_{\text{Oh}} + \text{Fe}_{\text{Td}})
$$

The comparison between the α -Fe₂O₃ area ratio and the chromaticity did not show any relations between the increase in α -Fe₂O₃ area ratio and the increase/decrease in certain chromaticity value Fig. [8.](#page-8-4) The area ratio of ferrihydrite phase increase/decrease shows an inverse proportion to α -Fe₂O₃ phase and Fe₃O₄ phase, implying that the black $Fe₃O₄$ affected the increase/decrease in the chromaticity value. However, if pottery contains red γ-FeO(OH that can only be identifed via measurement at a lower temperature (4.2 K), they might collectively infuence on of the pigment layers. The higher the Fe(II)/Fe(III) value, the more

Table 3 Stoichiometry ratio of Fe ion and L*a*b* values of pigment layers

Fig. 7 Area ratio of the Mössbauer spectra collected from pigment layers

intense the reduction atmosphere is likley to be established. Accordingly, The analyzed potteries in this study showed the Fe(II)/Fe(II) values between 0.06 and 0.11, which is signifcantly lower than those of celadon glaze $(2.5 - 7)$ fired at a reducing atmosphere [[17\]](#page-9-9). Although the area ratio of Fe(II)/Fe(III) and chromaticity did not show any distinct relationship between the two, it might have been afected by the texture of the surfaces or particle sizes.

Conclusions

Previous studies argued that hematite α -Fe₂O₃, which was identifed in X-ray difraction analysis, led the pigmentation of Neolithic and Bronze Age potteries excavated in the Korean peninsula. However, Raman spectroscopy and Mössbauer spectroscopy used in this study identifed various phases of iron oxides that previous studies failed to detect or identify due to very weak peaks. In particular, the Mössbauer spectrum showed that the $Fe₃O₄$ phase afected the pigmentation, and the spectrum area ratio of the sextets generated by the phase assumed as ferrihydrite (some might be lepidocrocite) was signifcantly higher than that of hematite, indicating that ferrihydrite might have contributed to the pigmentation. In addition, each specimen had diferent area ratio of each site in the Mössbauer spectrum, demonstrating diferent content of minerals including iron. This can be attributed to the Fe phases in soil and the unstable fring atmosphere during open pit firing. There was difficulty in obtaining specimens with diferent colors and iron oxide contents due to the characteristics of the excavated artifact. However, this study demonstrated strengths of the Mössbauer method in analyzing ferruginous mineral pigments, which have been used since the ancient times. The specimen produced through its reproduction experiment will allow scientifcal interpretation of the relationships between generated iron oxides, chromaticity and fring atmosphere.

Fig. 8 Variation in L*a*b* values with area ratio of α -Fe₂O₃ and Fe(II)/Fe(III)

Acknowledgements The samples used in this study were provided by the Jinju National Museum in Korea, and we are grateful for this.

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