### ORIGINAL PAPER



# Cyclopentadithiophene and Diketo-pyrrolo-pyrrole fused rigid copolymer for high optical contrast electrochromic polymer

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Received: 9 August 2019 / Accepted: 8 December 2019 /Published online: 13 December 2019  $\odot$  The Polymer Society, Taipei 2019

#### Abstract

Two donor-acceptor electrochromic copolymers containing fused rigid units of cyclopentadithiophene and diketopyrrolopyrrole, namely PCPDTT-DPP with benzene and thiophene ring linkers, were successfully synthesized. The electrochromic properties of the copolymers were characterized by cyclic voltammetry, UV-Vis spectroscopy and spectroelectrochemical method. The results demonstrated that the effect of DPP on the copolymer showed the p-type doping with an electrochemical band gap of 1.45 eV. The copolymer showed a higher color contrast changing from purple to transparent with % $\Delta T$  of 55% at  $\pm 1.0$  V vs. Ag/AgCl compared to the parent polymer containing only cyclopentadithiophene unit. The results indicated that the new copolymer could be a potential candidate for electrochromic materials in smart films and windows.

Keywords Copolymer . Electrochromic polymer . Cyclopentadithiophene . Diketopyrrolopyrrole

# Introduction

Electrochromic polymers have been developed for smart windows, vehicle mirrors, sunglasses and displays [\[1](#page-8-0)–[4](#page-8-0)]. The color of polymers is reversibly changed under applied voltages through an electrochemical doping process [\[5](#page-8-0), [6\]](#page-8-0). A low voltage of approximately 1.0 V vs. Ag/AgCl or less with a switching time between 1.0–5.0 s is recommended in order to prolong the lifetime of the polymers. To be able to dope the polymer film at a low voltage, an energy band gap of the polymers has to be in a range of 0.5–2.0 eV [\[7](#page-8-0), [8\]](#page-8-0). Another requirement to construct a high performance electrochromic device is a good color contrast of 40% or higher with an excellent switching reproducibility [[9](#page-8-0), [10](#page-8-0)].

These properties could be tuned and achieved by enhancing planarity of the polymers, copolymerizing electron donor-

Electronic supplementary material The online version of this article (<https://doi.org/10.1007/s10965-019-1989-9>) contains supplementary material, which is available to authorized users.

acceptor units and increasing the degree of conjugation via a synthetic approach  $[11-16]$  $[11-16]$  $[11-16]$  $[11-16]$ . Specifically, in the polymer backbone, the copolymerization of donor and acceptor units results in inter- or intra-molecular charge transfer bands through a push-pull interaction between donor and acceptor units resulting in unique electrical and optical properties [\[11,](#page-8-0) [12,](#page-8-0) [14](#page-8-0), [16](#page-8-0)]. When the hybridization of the electronic levels of the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) of donor and acceptor matched well, it could lead to a lower band gap and favourable electrochromic properties of the polymers [[17](#page-8-0)].

In order to increase the planarity of the polymer while enhancing the inter- or intra-molecular charge transfer through a push-pull interaction, a donor and an acceptor unit of fused planar conjugated unit has been reported [[18](#page-8-0)–[21](#page-9-0)]. A donor unit cyclopentadithiophenes (CPDT) has been shown to increase the performance of devices for solar cells [\[18](#page-8-0), [19,](#page-8-0) [22](#page-9-0)–[25\]](#page-9-0) and electrochromics [[25](#page-9-0)–[29](#page-9-0)]. CPDT has a planar rigid structure of two fused thiophene rings which increase the degree of  $\pi$ -conjugation and charge transport in polymer chains relative to those of polythiophene derivatives [\[24](#page-9-0)–[26,](#page-9-0) [30](#page-9-0)]. An example of an acceptor unit that has rigid fused rings and form  $\pi$ - $\pi$  stacking is a diketopyrrolopyrrole (DPP) unit. Previous reports shows that DPP lowers a band gap of a copolymer and increases the performance of solar cells [[21](#page-9-0), [31](#page-9-0)] and electrochromics [\[13,](#page-8-0) [32\]](#page-9-0). Recently, several research groups reported donor-acceptor electrochromic polymers containing

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an acceptor DPP unit and a donor unit, which is triphenylamine [\[33\]](#page-9-0), carbazole [\[34](#page-9-0)], dialkoxythiophene [\[35\]](#page-9-0) and propylenedixoythiophene [\[36\]](#page-9-0). They showed that the incorporation of a DPP unit to a polymer chain improved the electrochromic properties of polymer films (i.e. fast switching time, high optical contrast and low applied voltages). However, the optical contrast of these polymer films is only about 20–30% with an application of voltage between 1.0 to 1.5 V vs. Ag/AgCl.

In order to improve the electrochromic properties of polymers, the design of random block copolymers containing CPDT blocks and DPP blocks with benzene and thiophene linker was proposed. Two fused rigid units of the donor and the acceptor should improve the planarity of the polymer chains for the improvement of charge transport and electrochromic properties (i.e. high optical contrast, fast switching time and high redox stability) in films. To the best of our knowledge, the electrochromic properties of a random copolymer of CPDT and DPP have not been reported so far. This random copolymer exhibited a high optical contrast of 55% compared to its parent polymer with only CPDT block. DPP units increased the molecular planarity of the copolymer chain shown by theoretical calculation and lowered an energy band gap down to 1.45 eV estimated by an electrochemical method.

# Experimental part

## Materials and measurements

All starting materials, solvents, and reagents for the synthesis of copolymers were purchased from Sigma Aldrich and Acros. The starting materials and reagents were used as received without further purification. All reactions were carried out under argon atmosphere. Lithium perchlorate and anhydrous acetonitrile were purchased from Acros and used as received. Glass substrates coated with Indium-Tin-Oxide (ITO) with a sheet resistance of 15  $\Omega$  cm<sup>-1</sup> and 10 mm thick were purchased from Semiconductor Wafer, Inc. Each ITO glass substrate was cleaned by sonicating in ethanol for 15 min and then dried under a stream of  $N_2$  prior to film deposition.

<sup>1</sup>H NMR spectra of compounds were obtained on Bruker Biospin; DPX-300 and Bruker AVANCE 400 NMR spectrometer using CDCl<sub>3</sub> as the solvent. Chemical shifts were recorded in parts per million (ppm), and splitting patterns were designated as s(singlet), d(doublet), t(triplet) and m(multiplet). The molecular weights of polymers were evaluated by a Jasco Gel permeation chromatographic (GPC) analyser and were measured against polystyrene standard. The UV/Vis spectra were recorded on a Perkin-Elmer Lambda 650 UV/Vis spectrophotometer. Electrochemical measurements were performed on a potentiostat (AUTOLAB PGSTAT302) in a glass cell by assembling three electrodes. A Pt disk with a diameter

of 2.5 mm or a ITO glass substrate coated with polymer film was used as a working electrode. A Pt rod and a Ag/AgCl were used as a counter and a reference electrode, respectively. The spectroelectrochemical and switching measurements were carried out in a quartz UV cell (a path length of 1 cm). An ITO glass substrate coated with polymer film, a counter and a reference electrode were submerged into an electrolyte solution of  $0.1$  M LiClO<sub>4</sub> in anhydrous acetonitrile. A transmission of the polymer film was monitored on a UV-vis spectrometer under an applied voltage from a potentiostat.

#### Polymer film preparation

The polymer film was prepared by a spin-coating method [\[37](#page-9-0)]. First, we prepared a polymer solution by dissolving 10 mg of PCPDTT-DPP or PCPDTT in 1.0 mL of chloroform. Then, the solution of 10  $\mu$ L was dropped on an ITO glass substrate completely covering an active area of 2 cm  $\times$ 2 cm. The spinning condition was at the rate of 1000 rpm for 30 s. The obtained polymer film was then ready for a measurement.

### Synthetic procedures

3,6-Bis(4-bromophenyl)-2,5-dihydropyrrolo[3,4-c]pyyol-1,4 dione (3)

The reaction was adapted from the Stobbe condensation [[38\]](#page-9-0). A mixture of potassium tert-butoxide (1.94 g, 17.3 mmol) and 4-bromobenzonitrile (2) (3.75 g, 20.5 mmol) was dissolved in 2-mehyl-2-buthanol (40 mL), and then the mixture was heated to 99 °C for 30 min. Dimethyl succinate (1) (1.38 mL, 8.24 mmol) was added dropwise into the reaction mixture over the period of 2.5 h at 99 °C and the reaction temperature was kept constant for 3 h. The reaction was then cooled down to 65 °C, and then methanol (20 mL) was added into the flask. Red suspension was filtered while hot and purified by recrystallized from hot methanol. The final product (3) was obtained as red powder with a 25% yield of 0.91 g. The compound was used in the next step without further purification due to its poor solubility in organic solvent. IR peaks were listed in a supporting information.

2,5-Didodecyl-3,6-bis(4-bromophenyl)-2,5 dihydropyrrolo[3,4-c]pyrrol-1,4-dione (4)

3,6-Bis(4-bromophenyl)-2,5-dihydropyrrolo[3,4-c]pyyol-1,4-dione (3) (566.3 mg, 1.27 mmol) and potassium tertbutoxide (313.5 mg, 2.8 mmol) were mixed in fresh distilled N-methyl pyrrolidone (NMP) (35 mL). The mixture was heated at 60 °C for 45 min. Bromododecane (2532.2 mg, 10.16 mmol) was slowly added into the reaction mixture and stirred at 60 °C for 18 h. After being cooled down to room temperature, toluene (150 mL) was added into the reaction flask. The reaction mixture was washed with water to remove the remaining NMP. The organic layer was dried over

anhydrous  $Na<sub>2</sub>SO<sub>4</sub>$ , filtered and then concentrated using a rotary evaporator. The crude product was purified by column chromatography on silica as a stationary phase and using toluene as an eluent. The pure product was obtained as bright orange solid. Yield: 247.4 mg (25%). <sup>1</sup>H NMR peaks were listed in a supporting information.

4,4-dioctyl-4H-cyclopenta[2,1-b;3,4-b′]dithiophene (6)

4H-cyclopenta [2, 1-b; 3, 4-b′]dithiophene (5) (500 mg, 2.81 mmol) and potassium iodide (12.5 mg, 0.08 mmol) were dissolved in dimethylsulfoxide (DMSO) (12.5 mL). The reaction mixture was purged with argon for 5–10 min, and octylbromide (1085 mg, 5.62 mmol) was added into the reaction flask. Then, the reaction was cooled down to 5–10 °C in an ice bath. The potassium hydroxide (500 mg, 8.91 mmol) was added into the reaction mixture, and then stirred for 3 h at this temperature. After, the mixture was stirred for 15 h under argon at room temperature, DI water (50 mL) was added into the mixture and then extracted with diethyl ether (200 mL). The organic phase was separated and washed with DI water, saturated NaCl solution and saturated NH<sub>4</sub>Cl solution. The organic layer was dried over anhydrous Na2SO4. The organic solvent was removed under vacuum and the residue was purified by column chromatography using silica as a stationary phase, and hexane as an eluent. Fractions containing pure 4,4 dioctyl-4H-cyclopenta[2,1-b;3,4-b′]dithiophene product were combined and evaporated. The product was obtained as colorless oil. Yield: 860 mg (76%). <sup>1</sup>H NMR peaks were listed in a supporting information.

2,6-dibromo-4,4-dioctyl-4H-cyclopenta[2,1-b;3,4-b ′]dithiophene (7)

4,4-dioctyl-4H-cyclopenta[2,1-b;3,4-b′]dithiophene (6) (200 mg, 0.5 mmol) was dissolved in 5 mL of anhydrous dimethylformamide (DMF) under argon in the dark. At 0 °C, N-Bromosuccinimide (NBS) (267 mg, 1.5 mmol) was added slowly. After added, the reaction mixture was allowed to cool down to the room temperature. Then, a resulting yellow solution was stirred at room temperature for 12 h. DI water (5 mL) was then added into the reaction mixture and the organic phase was extracted with diethyl ether (50 mL), washed with DI water, and 1% HCl solution and dried with anhydrous Na2SO4. The solvent was removed under reduced pressure to obtain the desired product as yellow oil. The impurities were removed by column chromatography using silica as a stationary phase and hexane as an eluent. The compound (7) was obtained as colorless oil. Yield: 165 mg (59%). <sup>1</sup> <sup>1</sup>H NMR peaks were listed in a supporting information.

# Poly[2,6-(4,4-bisoctyl)-4H-cyclopenta[2,1-b:3,4-b ′]dithiophene)-alt-thiophene]; PCPDTT

The reaction was adapted from Stille coupling reaction [\[39\]](#page-9-0). The mixture of 2,5-distannylthiophene (8) (223.4 mg, 0.54 mmol) and 2,6-dibromo-4,4-dioctylcyclopenta[2,1 $b:3.4-b'$ ]dithiophene (7) (305.6 mg, 0.54 mmol) and tetrakis(triphenylphosphine)palladium (54 mg, 0.047 mmol) was suspended in anhydrous toluene (50 mL). The reaction mixture was heated at reflux temperature for 3 days in the dark. After that, the reaction mixture was allowed to cool to room temperature, and then 2 N HCl was added into the reaction flask. The resulting mixture was extracted with chloroform (~300 mL). The organic phase was collected and washed with an EDTA solution, saturated  $NAHCO<sub>3</sub>$  solution and DI water respectively. The organic layer was dried over anhydrous Na2SO4, filtered and removed with a rotary evaporator. The polymer was dissolved in CHCl<sub>3</sub> and precipitated in a mixture of methanol:  $(2 N)$  HCl  $(10:1 v/v)$ . The precipitates were purified by Soxhlet extraction with methanol, acetone, hexane and chloroform. The recovered chloroform fraction was concentrated and crystallized in methanol: (2 N) HCl (10:1 v/v). The precipitate was filtered off and dried under vacuum, resulting in the final product PCPDTT as a dark purple solid. 60 mg (27.6%) <sup>1</sup>H NMR (500 MHz, C<sub>2</sub>D<sub>2</sub>Cl<sub>4</sub>): $\delta$  = 7.69 (d, 1H), 7.45 (d,1H), 6.97 (s, 2H), 5.08 (m, 4H, α-CH2), 1.96 (m, 4H,  $\beta$ -CH<sub>2</sub>), 1.77–1.09 (m, 40H, CH<sub>2</sub>), 0.75 (m, 6H, CH<sub>3</sub>).

<sup>13</sup>C NMR (125 MHz, C<sub>2</sub>D<sub>2</sub>Cl<sub>4</sub>): δ = 158.1, 134.4, 131.0, 130.9, 127.8, 127.7, 124.4, 122.67, 122.63, 122.5, 117.4, 117.3, 36.7, 31.3, 31.0, 29.2, 28.9, 28.5, 25.5, 23.8, 22.7, 21.8, 13.4, Mw = 19,200, Mn = 6450, PDI = 2.98.

Poly{[2,6-(4,4-bisoctyl)-4H-cyclopenta[2,1-b:3,4-b ′]dithiophene]-alt-thiophene}-co-[2,5-didodecyl-3,6-bis(4 phenyl)pyrrolo[3,4-c]pyrrole-1,4-dione-alt-thiophene]};

## PCPDTT-DPP

The synthetic route for PCPDTT-DPP was carried out in the same manner as **PCPDTT**, by using 2,5-distannylthiophene (8) (223.4 mg, 0.54 mmol), 2,5-Didodecyl-3,6-bis(4-bromophenyl)- 2,5-dihydropyrrolo[3,4-c]pyrrol-1,4-dione (4) (211.1 mg, 0.27 mmol), and 2,6-dibromo-4,4-dioctylcyclopenta[2,1-b:3,4  $b'$ ]dithiophene (7) (152.5 mg, 0.27 mmol) as starting materials. PCPDTT-DPP was obtained as a dark purple solid, (200 mg, 62%) <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): $δ = 7.92$  (d, 2H, thiophene-H), 7.83 (d, 2H, thiophene-H), 7.77–7.12 (m, 8H, Ph-H), 7.04 (s, 2H), 3.82 (t, 4H, α-CH2), 1.92 (t, 4H, CH2), 1.69 (m, 4H, CH2), 1.44–1.22 (m, 60H, CH2), 0.87 (t, 12H, CH3); 13C NMR  $(75 \text{ MHz}, \text{CDCl}_3): \delta = 162, 158, 149, 136, 133, 131, 129, 128,$ 126, 125, 124, 53, 31, 30, 29.3, 29, 26, 22, 14, Mw = 77,300,  $Mn = 12,300, PDI = 6.30.$ 

## Results and discussion

#### Synthesis and characterization

The key electron accepting and donating monomers (4) and (7) were prepared as outlined in Scheme [1](#page-3-0). For the monomer <span id="page-3-0"></span>(4), first, the DPP-derivative monomer (3) was formed via a pseudo Stobbe condensation reaction between 4-bromobenzonitrile and diethyl succinate in a single step reaction. The parent DPP monomer (3) was insoluble in common organic solvents due to the hydrogen bonding of a lactam unit. Then, by alkylating the amide nitrogens of the lactam unit with *n*-dodecylbromide resulted in the alkylated DPP monomer (4) which was soluble in common organic solvents. The alkylated DPP monomer (4) was then purified using column chromatography. After purification, bright orange polycrystalline solids of the monomer (4) were obtained. For the monomer (7), first, 4H-cyclopenta [2, 1-b; 3, 4-b′] dithiophene (5) was synthesized from the metallation reaction of 3 bromothiophene and n-buthylithium and followed with thiophene-3-carbaldehyde in anhydrous ether. The colorless oil of alkylated CPDT (6) was received after purification by column chromatography. 2,6-dibromo-4,4-dioctyl-4Hcyclopenta[2,1-b;3,4-b′]dithiophene (7) was prepared from 4,4-dioctyl-4H-cyclopenta[2,1-b;3,4-b′]dithiophene (6) by bromination with N-bromosuccinimide. The crude product was purified with column chromatography to obtain the brominated CPDT monomer (7) in moderated yield (59%).

The preparation for PCPDTT and PCPDTT-DPP copoly-mers is outlined in Scheme [2](#page-4-0). First,  $2,5$ bis(trimethylstannyl)thiophene monomer (8) was prepared from the reaction of thiophene by metallation with n-buthyllithium in anhydrous hexane and followed with trimethyltinchloride. The copolymer PCPDTT was prepared by the Stille coupling reaction of 2,5-bis-(trimethylstannyl)thiophene (8) and comonomer 2,5-didodecyl-3,6-bis(4-bromophenyl)-2,5-dihydropyrrolo[3,4 c]pyrrol-1,4-dione (DPP) (4) using a monomer mole ratio of 1:1 with  $Pd(PPh_3)_4$ . In order to control the repeatability for the synthesis of the copolymer PCPDTT-DPP, a monomer mole ratio of 0.5, 0.5, and 1 of (4), (8), and CPDT (7) was employed to the reaction via the Stille coupling reaction. The resulted copolymers were soluble in common organic solvents such as toluene, tetrahydrofuran, chloroform, and chlorobenzene. Molecular weights  $(M_n)$  of **PCPDTT** and **PCPDTT-DPP** were of 6450 g/mol with a PDI of 2.98 and 12,300 g/mol with a PDI of 6.30, respectively. The weight averages  $(M_w)$  of **PCPDTT** and PCPDTT-DPP were found to be 19,200 and 77,300 g/mol, respectively, determined by GPC performed using a UV-Vis detector set at the wavelengths of 300 nm. The  $M_n$  analysis of PCPDTT-DPP corresponded to an average degree of polymerization (DP) of approximately 12 PCPDTT repeating units (m) and 7 DPP repeating units (n) [\[40\]](#page-9-0).

The structures of the copolymers were confirmed via NMR spectroscopy. The  ${}^{1}H$  NMR spectrum of **PCPDTT** in  $C_2D_2Cl_4$  showed signals of thiophene unit around 7.69– 7.45 ppm, and signal of derivative dithiophene unit of CPDT appeared as a singlet peak at 6.97 ppm. The proton chemical shift of n-octyl-substitute groups of CPDT was at 5.05 and in the region of 1.98–0.75 ppm.

The proton signals of the DPP phenyl unit of PCPDTT-**DPP** in CDCl<sub>3</sub> showed signals of about  $7.77-7.12$  ppm, and signals of n-dodecyl-substituted groups of DPP appeared at 3.82 ppm and the of region about 1.69–0.87 ppm. The proton chemical shifts of the thiophene units and the derivative dithiophene units CPDT showed up around 7.92–7.83 ppm and 7.04, respectively. From the spectrum, the signals of thiophene and derivative dithiophene unit CPDT of PCPDTT-DPP shifted to the high field region compared with PCPDTT, due to electron-withdrawing ability of the DPP unit.

#### Optical properties of copolymers

The UV-Vis absorption spectra of the synthesized copolymers in CHCl<sub>3</sub> solution and in solid film are shown in Fig. [1.](#page-4-0) Table [1](#page-5-0) summarizes the corresponding optical data. In solution, PCPDTT exhibits a main absorption peak band at 570 nm while PCPDTT-DPP exhibits a main absorption peak at 580 nm. Compared to the literature, the absorption of poly(cyclopentadithiophene) in solution and as thin film was



Scheme 1 Synthesis route of monomers (4) and (7)

<span id="page-4-0"></span>

Scheme 2 Synthesis routes of polymers PCPDTT and PCPDTT-DPP

in a range of 530–567 nm [\[41\]](#page-9-0). In our case, the absorption is at 570 nm for PCPDTT and at 580 nm for PCPDTT-DPP as a result of an increase in the degree of conjugation from a thiophene ring and the planarity of a PCPDTT and DPP units.

In the case of PCPDTT-DPP, we observed a small absorption band at 350–400 nm, which was assigned to the charge transfer of a donor PCPDTT and an acceptor DPP confirming the copolymerization of a polymer chain. The effect of the addition of the DPP acceptor block to PCPDTT block was a small red-shift of the absorption band of 10 nm due to the small number of DPP blocks adding to the polymer chain.

As thin film on a quartz substrate, the absorption spectra of PCPDTT and PCPDTT-DPP was similar due to the strong aggregation of the polymers [\[32](#page-9-0)]. PCPDTT showed a broad shoulder at 680 nm which was from an aggregation of different domains in polymer film. However, PCPDTT-DPP only showed one main absorption peak at 580 nm. This observation is a result of the π-π interaction of DPP units which can promote better film casting resulting in a homogeneous film [\[42\]](#page-9-0).

We estimated an optical band gap of the polymer film from an onset of absorbance spectrum. The optical band gap of the optical band gap of **PCPDTT** ( $Eg = 1.80$  eV). From previous reports, the copolymerization of DPP acceptor unit and a donor unit such as thiophene and its derivative can reduce the Eg through push-pull charge transfer process [\[43\]](#page-9-0). In our system, we hypothesized that the copolymer of CPDT and DPP blocks with benzene and thiophene linkers formed a  $\pi$ - $\pi$  stacking resulting in an increase of planarity in the copolymer chain. This effect can then lower an optical Eg and form a homogenous domain of polymer compared to PCPDTT polymer film with only CPDT units.

**PCPDTT-DPP** is  $(Eg = 1.70)$  which is slightly lower than

## Electrochemical properties

To investigate the effect of DPP units to the HOMO and LUMO energy levels of PCPDTT-DPP, we estimated the HOMO and LUMO energy levels from an onset of oxidation and reduction peaks of a cyclic voltammogram. For a comparison, the parent PCPDTT polymer was also investigated. In Fig. [2,](#page-5-0) both copolymers show a quasi-reversible oxidation process for p-type doping. In a reduction process for n-type doping, PCPDTT showed a quasi-reversible peak while

Fig. 1 Normalized UV-vis absorption spectra of polymers in  $CH<sub>3</sub>Cl$  (*black line*) and as thin films on quartz (red line): a PCPDTT and b PCPDTT-DPP



Polymer	absorbance (nm)		$E_g^{opt}$ (eV)	$E_g^b$ (eV)	$HOMO$ (eV)	$LUMO$ (eV)
	CHCl <sub>3</sub>	Film <sup>a</sup>				
<b>PCPDTT</b>	570	$570,625$ (sh)	. 80	1.44	$-5.50$	$-4.06$
PCPDTT-DPP	580,350-400	566	1.70	1.45	$-5.53$	$-4.08$

<span id="page-5-0"></span>Table 1 Summary of optical and electrochemical properties of polymers

<sup>a</sup> Film drop casted on a quartz substrate from a solution of polymer in CHCl<sub>3</sub>

 $^bE_g$  was calculated from  $E_{HOMO}^{ele}$  and  $E_{LUMO}^{ele}$  values, which is obtained from a calculation of an onset of a cyclic voltammogram)

PCPDTT-DPP showed an irreversible process. For both PCPDTT and PCPDTT-DPP, the color change of polymer films was only observed in p-type doping but not in n-type doping due to the instability in a n-doped state as shown by CV (Fig. 2).

The HOMO, LUMO and Eg were calculated using the equations:

$$
E_{HOMO} = -\left[Enset^{ox} + E^{1/2}(\text{Fc}/\text{Fc}^+)\right] - 4.80 \text{ eV}
$$

$$
E_{LUMO} = -\left[Enose^{re} + E^{1/2}(\text{Fc}/\text{Fc}^+)\right] - 4.80 \text{ eV}
$$

$$
E_g = |E_{HOMO}| - |E_{LUMO}|
$$

assuming that the known energy value of ferrocene being 4.80 eV below the vacuum level [\[44](#page-9-0)]. The HOMO, LUMO and Eg values are listed in Table 1. PCPDTT has an onset oxidation and an onset reduction value of 0.70 and − 0.74 V vs. Ag/AgCl, respectively, which corresponds to the HOMO energy level of −5.50 eV and the LUMO energy level of −4.06 eV leading to an electrochemical energy gap of 1.44 eV. PCPDTT-DPP has an onset oxidation and reduction potential of 0.73 and − 0.72 V vs. Ag/AgCl, respectively. These lead to the HOMO energy level of −5.53 eV, the LUMO energy level of −4.08 eV with electrochemical energy gap of 1.45 eV. For PCPDTT-DPP, the HOMO and LUMO



Fig. 2 CV of polymer thin films of PCPDTT and PCPDTT-DPP in 0.1 M LiClO<sub>4</sub> in anhydrous acetonitrile at a scan rate of  $50 \text{mVs}^{-1}$  vs. Ag/AgCl

energy levels were slightly lower in the presence of a DPP unit. The effect of the electron withdrawing was not pronounced due to weak donating phenyl and thiophene linkers in the DPP block. Therefore, the effect of the electron withdrawing DPP unit has a small effect on the energy levels observed by the electrochemical method [\[45,](#page-9-0) [46\]](#page-9-0).

#### Density functional theory calculation

To further investigate effects of DPP acceptor unit on the geometry and electronic characteristics of a polymer chain, we applied the Density Functional Theory (DFT) calculations on the polymer backbones using Gaussian 09 [\[47\]](#page-9-0). We used functional and a basis set of B3LYP/6-31F(d,p) with PCPDTT-DPP copolymer of four units with methyl substituted side chains in the polymer skeleton. For comparison, we also applied DFT calculation to PCPDTT of three units. As shown in Fig. [3,](#page-6-0) the optimized geometry and dihedral angles of the PCPDTT trimer is linear. While PCPDTT-DPP copolymer is also quite linear from the copolymerization of PCPDTT and DPP units through benzene and thiophene linkers with a dihedral angle of 23.67°, which is relatively small [\[48\]](#page-9-0). It is noted that the distribution of dihedral angles throughout the polymer chain remains relatively narrow. The small dihedral angles of the PCPDTT-DPP resulted in a planarity of the polymer backbone and potentially enhance charge transport of PCPDTT-DPP.

We also obtained HOMO and LUMO energy levels for the two polymers as shown in Fig. [4](#page-6-0). The HOMO and LUMO energy levels of PCPDTT-DPP and PCPDTT are −3.41 and − 2.82 eVand − 3.94 eVand − 3.25 eV, respectively. From the DFT calculation, the energy band gap of **PCPDTT-DPP** is lower than the energy band gap of PCPDTT. The HOMO of the PCPDTT-DPP unit is mostly distributed over PCPDTT unit while the LUMO of the PCPDTT-DPP unit is mostly distributed over the next PCPDTT unit and nearly absent at the DPP unit. It should be noted that the differences between the experimental and theoretical HOMO/ LUMO values can be explained by the inherent limitation of DFT calculation and the intrinsic discrepancy in the polymer backbone. DFT methods showed that DPP acceptor with benzene and thiophene linkers could lower the energy band gap of the

<span id="page-6-0"></span>

**PCPDTT-DPP**

Fig. 3 Optimized geometry and dihedral angles of a trimer of PCPDTT and a tetramer of PCPDTT-DPP

polymer and potentially enhanced charge transport of PCPDTT-DPP.

## Spectroelectrochemistry

The electrochromic properties of both polymers PCPDTT and PCPDTT-DPP were investigated by monitoring transmission of the polymer films under an application of voltages. We applied a voltage from 0 to +0.9 V vs. Ag/AgCl to monitor a color change from a color state to a transparent state. With an increment of 0.1 V vs. Ag/AgCl, a voltage was applied to the polymer films. As shown in Fig. [5a, b](#page-7-0), PCPDTT and PCPDTT-DPP films were purple in a neutral state at 570 nm and 580 nm which were assigned to be the  $\pi$ - $\pi$ <sup>\*</sup> transition electronic state. For PCPDTT film, there was no change in absorption spectrum until the voltage was increased to 0.4 V vs. Ag/AgCl. At 0.4 V, the oxidation reaction (doping process) started and the intensity of absorption peaks at



Fig. 4 HOMO and LUMO energy levels of a trimer of PCPDTT and a tetramer of PCPDTT-DPP

<span id="page-7-0"></span>

570 nm started to decrease. When the voltage was increased to 0.6 V, the color of the film turned to transparent with an increase in absorption at the wavelength above 800 nm. It indicated the formation of positive charge carriers, polarons and bipolarons at a higher energy state from an electrochemical oxidation process.

In the case of PCPDTT-DPP films, there was no change in absorption spectrum until the voltage was increased to 0.4 V vs. Ag/AgCl and then the film turned transparent at the voltage of 0.8 V with an increase in absorption at the wavelength above 800 nm also indicating the formation of positive charge carriers polaron and bipolarons similar to the case of PCPDTT. For PCPDTT-DPP, we also observed a small absorption band at 420 nm which assigned to be a charge transfer of donor and acceptor units. After the applied voltage reaching 0.8 V vs. Ag/AgCl, the small absorption band of charge transfer between donor and acceptor units completely disappeared and the new band at the lower energy appeared at 325 nm. The appearance of this lower energy band is still under investigation.

## Electrochromic switching

To investigate the switching property of the polymer films, dynamic electrochromic experiments of PCPDTT and PCPDTT-DPP films on an ITO glass substrate were performed in  $0.1$  M LiClO<sub>4</sub> in anhydrous acetonitrile under an applied voltage of  $+1.0$  and  $-1.0$  V vs. Ag/AgCl on an Autolab potentiostat in a double potential step chronoamperometry method with a time interval of 10 s. The transmission changes of the polymer films were monitored via a UV-Vis spectrophotometer at a wavelength of 570 nm for **PCPDT** and 580 nm for PCPDTT-DPP.

Figure 6 shows the results of the  $%$  transmission vs. time (s) between the neutral state and the oxidized state of both polymer films. Both polymer films showed color change to the full degree of 3–4 s in both bleach and color state. For **PCPDTT-DPP,** there was no transmittance change  $(\%T)$  observed for the period of 400 s which indicated the favourable stabilities of the polymer film. On the other hand, the %T of PCPDTT in a color state gradually decreased overtime. It is

Fig. 6 % Transmission vs. Time (s) monitored at 570 nm for PCPDTT and at 580 nm for PCPDTTDPP. Each film on an ITO glass substrate in 0.1 M LiClO4 in anhydrous acetonitrile with an application of  $+1.0$  V and − 1.0 V vs. Ag/AgCl with a time duration of 10 s at ambient conditions



<span id="page-8-0"></span>known that polythiophene and its derivatives are susceptible to degradation due to the oxidation of the polymer chains during the generating of polaron and bipolaron species under an application of voltage with the presence of oxygen and moisture [\[49\]](#page-9-0). The polymer chain of PCPDTT-DPP has a higher optical contrast of 55% as compared to an optical contrast of PCPDTT of 25% under an application of voltages of +1.0 V and − 1.0 V vs. Ag/AgCl. Through the donor-acceptor electron push-pull and planarity of the polymer chain with CPDT and DPP units, the charge transport of the PCPDTT-DPP polymer chain was enhanced. As a result, under the same applied voltage, the PCPDTT-DPP polymer film has a higher optical contrast of 55% compared to PCPDTT polymer chain containing only CPDT unit.

# **Conclusions**

A potential electrochromic copolymer of PCPDTT-DPP containing two rigid fused units of cyclopentadithiophenes (CPDT) and diketopyrrolopyrrole (DPP) were successfully synthesized. The copolymers were characterized by optical and electrochemical methods. The results were supported by DFT theoretical calculation. The results showed that DPP units increased the stability of polymer film and the optical contrast under a low applied voltage of +1.0 V/−1.0 V as compared to the polymer film without DPP acceptor unit. The addition of DPP copolymer increased the degree of planarity with an incorporation of benzene and thiophene linkers. Also, charge transfer from donor and acceptor of PCPDTT-DPP resulted in a color change under a low voltage of 0.4 V vs. Ag/AgCl and a full color change of 1.0 V. The copolymer could be a potential candidate for electrochromic polymer. This work emphasized the use of two donor-acceptor rigid units for an increase of planarity structure to enhance the charge transport in films for electrochromic applications.

Acknowledgements This work was supported by the National Nanotechnology Center (NANOTEC), National Science and Technology Development Agency (NSTDA), Thailand. The authors would like to thank Prof. Thawatchai Tuntulani and Prof. Supapan Seraphin for fruitful discussions.

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