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# Design and formulation of polyurethane foam used for porous alumina ceramics

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#### Abstract

The present work studied a simple direct foaming method for preparation of porous alumina ceramics by expansion of a ceramic suspension based on polyurethane (PU) foam system. The effects of polyurethane formulas including catalyst composition, blowing agent content, NCO index and solid content on the samples properties were investigated. The results showed that the homogeneity, porosity and mechanical properties are various for different formulas. The dried green bodies showed diametrical compressive strength in the range of 0.39–1.25 MPa and were amenable to machining operations such as milling, drilling and lathing. Meanwhile, PU formulas play an important role in the microstructures and mechanical properties of green bodies and sintered ceramic foams. Pyrolytic removal of polyurethane skeleton followed by sintering at 1550 °C produced alumina bodies with open cell porosity 54–75% and diametrical compressive strength 1.39–28.47 MPa. Microstructure showed both large (200– 300 μm) and small (50–100 μm) pores all with various sizes of windows. Based on the optimization of polyurethane formulation, the porous alumina foam with porosity of 64% and compressive strength of 25.26 MPa was prepared. This polyurethane foam system is easily available and low-cost, which could be widely applied in preparation of other porous ceramics, such as  $ZrO<sub>2</sub>$ ,  $SiO<sub>2</sub>$ , etc.

Keywords Polyurethane . Foam . Porous ceramics . Direct foaming method

# Introduction

Generally, ceramic foams could be divided into two categories based on their microstructure, open-cell and closed-cell, which make them suitable for various applications. Opencell ceramics are used as molten metal filtration, hot gas filtration, organism carrier in sewage disposal, acoustic material, aerospace, nuclear waste storage, porous electrodes, solar absorber and so forth  $[1-6]$  $[1-6]$  $[1-6]$  $[1-6]$ . Closed-cell ceramic foams can be applied to heat insulator material such as sintering furnace lining, protection project such as shock-absorbent and

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 $\boxtimes$  Jinlong Yang [jlyang@mail.tsinghua.edu.cn](mailto:jlyang@mail.tsinghua.edu.cn) explosive attenuation materials. Furthermore, porous ceramics can also be used for biomedical materials, like artificial bone [\[7](#page-8-0)–[9\]](#page-8-0), transportation fuel cell and wearable composite materials [\[10](#page-8-0)].

Over the past few years, there has been an increasing interest in developing new routes for preparation of porous ceramics due to their wide applications, including sacrificial template method, polymer foam replication method and direct foaming method. The latter two methods are often designed to be used in conjunction with the polymer, such as polyurethane.

Polymer foam replication method, patented by Schwartzwalder and Somers in 1963  $[11-13]$  $[11-13]$  $[11-13]$  $[11-13]$ , is often made by immersing polyurethane foams with ceramic slurry, removing excess slurry from sponge and then burning out the PU templet [[14](#page-8-0)–[16](#page-8-0)]. It is a simple, low-cost and versatile way for producing ceramic foams with a 3D interconnected pore structure. However, the most significant barrier faced by this method is that the resulting foams consist of hollow struts with sharp edges and central hole, due to the burning out of the polymeric template [[17,](#page-8-0) [18](#page-8-0)], Besides, its technological process is complex. The direct foaming method usually includes

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particle-stabilized foams, surfactant-stabilized foams and insitu polymer blowing method  $[19-21]$  $[19-21]$  $[19-21]$ . Among them, the insitu polymer blowing method was firstly patented by Wood in 1974 [\[22](#page-8-0)] and a two-part PU system included polyol and isocyanate was often used  $[23-27]$  $[23-27]$  $[23-27]$  $[23-27]$  $[23-27]$ . The method is made by dispersing the ceramic powders to PU systems, foaming after suitable stirring, and then getting ceramic foams by removing the organics. The direct foaming method with polyurethane system has several distinct advantages over other methods. (1) The green body has high strength due to the existence of polyurethane skeleton. (2) It has no specific requirement for ceramic powders, which means it is suitable for any system. (3) The period of cure and produce is short.

Although in-situ polymer blowing method of polyurethane system has been used to prepare the porous ceramics, few research about the PU formulation design was studied, which plays a determining role in the properties of porous ceramics. There are many PU foam systems, but not all systems are suitable for the preparation of porous ceramics. In this work, we prepared the porous alumina ceramics with different polyurethane formulas and discussed the impacts on the microstructures and mechanical properties, and then obtained the optimum polyurethane formula can be applied in the fabrication of several ceramics.

## Experimental

## **Materials**

Commercially  $\alpha$ -alumina (CT3000SG, D50 = 0.35 µm, Almatis, Ludwigshafen, Germany) was used as ceramic powder. Polymerized Diphenyl-methane-diisocyanate (PM-200, Wanhua chemical Co., LTD, NCO equivalent: 7.44 mmol/g,  $f = 2.65$ ), and polyether polyol (R2305, Wanhua chemical Co., LTD,  $M_n = 500$  g/mol, OH equivalent: 5.91 mmol/g) were designed to form ceramic loaded polyurethane foams. The chemical structure of the polyether polyol was shown as Fig. 1. Silicone oil was used as foam stabilizer. 33 wt% triethylenediamine with polyethylene glycol  $(M_n = 200 \text{ g})$ mol) as solvent (A33) and dibutyltin dilaurate (T-12) were used as catalyst. Distilled water was added as a foaming agent.

## Characterization methods

The total porosity of sintered foams was determined from bulk density to theoretical density ratio:

$$
P = (1-D_{\rm b}/D_{\rm t}) \times 100\%
$$

Here, P represents the total porosity of obtained foams,  $D_t$ and  $D_b$  represent the true density of alumina (3.97 g/cm<sup>3</sup>) [\[28\]](#page-8-0) and bulk density of ceramic foams respectively. The bulk



densities are calculated from mass-to-volume ratio of ceramic foams.

Thermal decomposition of PU was studied using TGA(TGA/DSC1SF/ 417–2, Mettler Toledo) employed a heat rate of 10 °C/min from room temperature to 600 °C in an air atmosphere (40 mL/min).

The microstructure of dried foams and sintered foams were characterized using scanning electron microscope (MERLIN VP Compact, Carl Zeiss, Jena, Germany) to investigate their morphology.

Compressive strength of sintered foams and green bodies was measured using a universal material testing machine (AG2000G, Shimadzu, Japan) with a loading rate of 1 mm/ min. For each point, three samples were measured to obtain the average values and standard deviation of compressive strength.

#### Preparation of porous alumina ceramics

Figure [2](#page-2-0) highlights the preparation process of porous alumina ceramics in this work. Firstly, a pre-calculated amount of alumina powder was added into the mixture of isocyanate, polyols and silicone oil with constant stirring at a speed of ~300 r/min, followed by adding  $0.1 \sim 0.2$  wt% catalyst based on the polyol. After stirring for some time,  $0.6 \sim 1.2$  wt% water was added to the system as a blowing agent with a rapid fully mixing  $(\sim 700 \text{ r/min})$ . Then the suspensions foamed and cured at room temperature to get a green body, which decomposed at 600 °C to remove the polyurethane thoroughly (Fig. [3\)](#page-2-0). Finally, the sample was sintered at  $1550^{\circ}$ C to obtain the porous alumina ceramics.

#### Results and discussion

#### Pyrolysis of alumina green body

Figure [3](#page-2-0) shows the TGA diagram of loaded foams with 63 wt% solid content. In TGA curve of foams under low

<span id="page-2-0"></span>

Fig. 2 Preparation process of porous alumina ceramics

temperature, three characteristic weight loss steps were observed. The first step started at 258 °C and ended at 318 °C with the weight loss of 20.0%, corresponding to the decomposition of urethane segment. The second stage involved the decomposition of the polyether skeleton between 318 and 450 °C with a weight loss of 4.0%. The third stage corresponding to the char residue was completed nearly at 600 °C with a weight loss of 13.0%. After the third stage of decomposition, the residue left behind is 63.0%, which was in agreement with the theoretical solid loading, and showed that the organic component was decomposed completely after 600 °C [\[29](#page-9-0)–[32\]](#page-9-0).



The addition of catalyst actually accelerates the rate of reactions, and what's more, a balance between the gel reaction and the foaming reaction can be achieved by adjusting the catalyst composition [\[33](#page-9-0)]. The organotin-based catalyst, such as T-12, mainly accelerates the crosslinking reaction rate, on the contrary, amine catalyst, such as A33, plays more important role in the foaming reaction. The mixture of the two with appropriate proportion is often used as catalyst to keep the balance between crosslinking reaction (Eqs. 1 and 3) and foaming reaction (Eq. 2). In order to verify the proportion of the mixed catalyst, experiments have been carried out.



The structures of green bodies and sintered foams prepared with different proportion catalysts are shown in Figs. [4](#page-3-0) and [5.](#page-3-0) In the green body prepared by only T-12, the pores exist obvious distortion (Fig. [4a](#page-3-0)), which lead to the pores of sintered alumina foams be destroyed severely



Fig. 3 TGA curves for alumina green body with 63 wt% solid content

<span id="page-3-0"></span>Fig. 4 SEM images of green bodies prepared with different proportion catalysts: a A33:T- $12 = 0:1$ ; b A33:T-12 = 1:3; c A33:T-12 = 1:1; **d** A33:T-12 =  $3:1$ ; e A33:T-12 = 1:0



Fig. 5 SEM images of sintered alumina foams prepared with different proportion catalysts: a A33:T-12 =  $0:1$ ; **b** A33:T-12 = 1:3; c A33:T-12 = 1:1; d A33:T- $12 = 3:1$ ; e A33:T-12 = 1:0

Table 1 The properties of foams prepared with different proportion catalysts

Catalyst	Total porosity $(\%)$	Green body compressive strength (MPa)	Sintered foam compressive strength (MPa)
$A33: T-12 = 0:1$	76	$0.55 \pm 0.06$	$6.89 \pm 0.08$
$A33: T-12 = 1:3$	64	$0.67 \pm 0.09$	$25.26 \pm 0.01$
$A33: T-12 = 1:1$	68	$0.80 \pm 0.11$	$19.78 \pm 0.04$
$A33: T-12 = 3:1$	76	$1.05 \pm 0.20$	$1.39 \pm 0.08$
$A33: T-12 = 1:0$	60	$1.25 \pm 0.06$	

(Fig. [5](#page-3-0)a). In this system, the crosslinking reaction rate is much higher than the foaming reaction and therefore the strength of bubble wall is so strong that the bubbles are difficult to grow. The green body has a lower mean pore size of 153.04 μm. When using A33 alone, a majority of pores burst and only a portion of the pore structure remain (Figs. [4e](#page-3-0) and [5](#page-3-0)e). The mean pore size of green body is  $265.51$  μm, which is obviously greater than the sample prepared by only T-12 because its crosslinking reaction is slower than the foaming reaction. The bubble wall strength is so weak that the  $CO<sub>2</sub>$  can easily broke through the walls leading to the green body be broken. Using either of the two catalysts alone cannot allow the crosslinking reaction and foaming reaction achieving a balance. Figures [4b](#page-3-0)–d and [5b](#page-3-0)–d show the cell size distributions and morphology of foams with the compound catalyst. When the ratio of A33 to T-12 is 1 to 3 (Fig. [4](#page-3-0)b), all pores of green body are complete without broken with the mean size of 249.22 μm and these pores remain intact after sintering (Fig. [5b](#page-3-0)). For the ratio is 1:3, two reactions can reach a balance and then obtain the porous ceramic foams with complete pore structure. When the ratio is 1:1 and 3:1 (Fig. [4](#page-3-0)c–d), the mean pore size are 346.22 μm and

194.55 μm respectively, just like the foam used A33 alone, the foaming reaction rate is so high that the bubbles get close to each other to form larger bubbles and even cause extrusion deformation and burst, which further leads to the pores in sintered foams be destroyed (Fig. [5c](#page-3-0)–d).

In addition, from Table 1 we can see that the compressive strength of sintered alumina foams has a significant improvement (25.26 MPa) when the mass ratio is 1:3, due to its intact pore structure. To illustrate, when using only A33 as catalyst, the sintered alumina foam is hollow because of its fragile skeleton.

## Effect of water content

Using the catalyst ratio optimized above  $(A33:T-12=1:3)$ , the effects of water content on the properties of  $Al_2O_3$  porous ceramics are revealed. As water content increasing from 0.6 to 1.2% with an interval of 0.2%, the mean pore sizes of foams are 144.18, 249.22, 261.80 and 297.89 μm. The increase in the content of foaming agent increases the mean pore size. When the addition of water is too high (1.0 and 1.2%) or too low (0.6%), there is a macro-deformation in sintered foams as shown in Fig. 6. A typical microstructure of a fracture surface of green bodies and corresponding sintered foam is shown in Figs. [7](#page-5-0) and [8](#page-5-0) respectively. When the addition of water is 1.0% or 1.2%, the foaming reaction rate is so rapid that the surface tension of slurry cannot effectively curb  $CO<sub>2</sub>$  emissions, leading to the escape of gas and the burst of bubbles in green body like the Fig. [7c](#page-5-0)–d here. The distorted bubbles will further cause the broken of the pores in sintered ceramic foam, as shown in Fig. [8](#page-5-0)c–d. When adding 0.8% water, the sintered ceramics foam can hold its square shape without appreciable macroscopic deformation (Fig. 6b).

Table [2](#page-6-0) shows the properties of porous ceramics prepared with different water content. From the data listed in Table [2,](#page-6-0) the increase of addition of water makes the larger stomatal aperture and higher porosity, which further leads to lower compressive strength of green bodies. As the water content increases from 0.6 to 1.2%, the compressive strength of sintered foams shows its maximum value, up to 25.26 MPa



Fig. 6 Pictures of sintered foams with different water content: a 0.6 wt%: b 0.8 wt%; c 1.0 wt%; d 1.2 wt%

<span id="page-5-0"></span>Fig. 7 SEM images of dried alumina foams with different water content:  $\mathbf{a}$  0.6 wt%;  $\mathbf{b}$ 0.8 wt%; c 1.0 wt%; d 1.2 wt%



at 0.8%. When the additive amount of water is lower than 0.8 wt%, the faster crosslinking reaction rate would result in the rapid increase of the viscosity and cause the deformation and nonuniform of pores, leading to low compressive strength. When the additive amount of water is greater than 0.8 wt%, more uramido group is generated accompanying highly exothermic, which promotes the whole reaction reach the physical gel point quickly and induces the serious deformation (Fig. 8c–d).

## Effect of NCO index

NCO index is the mole ratio of NCO to OH. In the process of reactions, PM200 is the main source of NCO, while raw

materials with OH are R2305, foaming agent  $H_2O$  and polyethylene glycol which is solvent of catalytic A33. What is noteworthy is that the hydroxyl value of polyethylene glycol could be ignored due to its small amounts according to our early results. For example, when the NCO/OH index is 1.10 and the mass ratio of A33 to T-12 is 1:3, the dose of PM200 reacted with the polyethylene glycol is just 0.00825 wt% based on the slurry.

The microstructure of sintered ceramics prepared with different NCO index is shown in Fig. [9](#page-6-0). According to the SEM images, NCO index plays an important role in the pores morphology and uniformity. When the NCO index is low (like 1.0 and 1.05), the absence of NCO functional groups will cause crosslinking reaction incomplete and

Fig. 8 SEM images of sintered foams with different water content: **a** 0.6 wt%; **b** 0.8 wt%; **c** 1.0 wt%; d 1.2 wt%



<span id="page-6-0"></span>Table 2 The properties of foams prepared with different water content

Water $(\%)$	Apparent porosity (%)	Total porosity (%)	Green body compressive strength (MPa)	Sintered foam compressive strength (MPa)
0.6	64	67	$0.75 \pm 0.04$	$6.36 \pm 0.03$
0.8	62	64	$0.67 \pm 0.09$	$25.26 \pm 0.01$
1.0	73	73	$0.55 \pm 0.01$	$5.16 \pm 0.04$
1.2	77	79	$0.39 \pm 0.09$	$3.49 \pm 0.08$

the reticular skeleton distorted (Fig. 9a–b). On the contrary, too-high NCO index (like 1.15) leads to a higher reaction rate of cross-linking reaction than the generation of  $CO<sub>2</sub>$ . The excess isocyanate is easy to react with uramido (Eq. 4) and carbamate (Eq. 5) generating biuret and allophanate respectively [[34\]](#page-9-0).



The two side reactions will easily cause the pores shrink and deformation. When the NCO index is 1.10, crosslinking reaction and foaming reaction can reach an equilibrium and porous alumina ceramics with complete pores can be obtained. Table [3](#page-7-0) shows the properties of porous ceramics prepared with different NCO index. When the NCO index is 1.1, the

Fig. 9 SEM images of sintered alumina foams prepared with different NCO index: a 1.0; b 1.05; c 1.10; d 1.15



<span id="page-7-0"></span>Table 3 The properties of foams prepared with different NCO index



sample has the complete and uniform pore structure with 64% porosity and 25.26 MPa sintered foam compressive strength.

## Effect of solid content

Figure 10 shows SEM microstructure of the green bodies and sintered foams with different additive amount of alumina powder. When the solid contents are 63, 59 and 55%, the mean pore sizes of green bodies are 249.22, 153.62 and 200.53 μm respectively. All green bodies have regular pore morphology due to the same optimized formulation of polyurethane matrix previously in this paper (Fig. 10a–c). However, when the solid content is low, the ceramic powder cannot distribute on the walls of the pores uniformly and completely. With the removal of polyurethane skeleton going on, the sintered foams appear to be cracked because

Fig. 10 SEM images of green bodies (a 63%; b 59%; c 55%) and sintered alumina foams (d 63%; e 59%; f 55%) with different solid content

of the mechanical property of sintered foams is too poor to keep their original shape (Fig. 10e–f). On the other hand, when the solid content is higher than 63%, the viscosity of the system is too high to make the blowing agent uniformly disperse in the mixtures, leading to difficulties in foaming. The porosity and compressive strength of alumina foams with various solid contents are tested in Table [4](#page-8-0). With the increase of solid content, the porosity increases and the compressive strength decreases. As we know, when the solid content is low (below 63%), the viscosity of the mixture is so low that the gas diffusion resistance during the foaming process decreases. With porosity of sintered alumina foams increasing from 64 to 73%, the compressive strength decreases from 25.26 to 4.02 MPa accordingly. When the content of solid is up to 63%, it displays the best mechanical properties.





55 73  $4.02 \pm 0.01$ 

<span id="page-8-0"></span>Table 4 The properties of sintered foams prepared with different solid content

#### Conclusion

In this work, the polyurethane foam system was used to prepare the porous alumina ceramics. The in-situ polymerization of polyol and isocyanate leads to cross-linking reactions and rapid solidification, therefore, the strength of obtained green body is so high to 1.29 MPa that can withstand machining. By comparing the microstructures and compressive strength of samples, the optimum polyurethane formulation was achieved, there as follows: the mass ratio of A33 to T-12 is 1:3, the amount of water is 0.8 wt%, NCO index is 1.10 and solid content is 63 wt%. Using the best formula, porous alumina ceramics with intact pore structure were prepared. What should be of concern is that the optimizing polyurethane formulation can be widely applied to produce a series of porous ceramics like  $ZrO<sub>2</sub>$ , CeO<sub>2</sub> and TiO<sub>2</sub>, and so on.

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