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Thermally mendable material based on a furyl-telechelic semicrystalline polymer and a maleimide crosslinker

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Abstract Based on Diels-Alder reaction, a furyl-telechelic semicrystalline polycaprolactone was crosslinked by a trismaleimide crosslinker. The synthesized precursors and network were fully characterized via proton nuclear magnetic resonance (¹H NMR) and Fourier transform infrared (FT-IR) spectroscopies, gel permeation chromatography (GPC), thermogravimetric analysis (TGA), differential scanning calorimetry (DSC) and wide-angle powder X-ray diffraction (XRD) measurements. The obtained material showed mendability of scratches under thermal treatment, as evidenced by optical microscopy and tensile analysis. The mending process was a combination of the shape recovery effect favoring scratch closure and the re-crosslinking of the cleaved Diels-Alder bonds at temperatures slightly above the melting transition of polycaprolactone chains. A scratch healing efficiency determined by tensile tests of about 70 % was achieved.

Keywords Mendable polymers · Diels-Alder · Thermoreversible · Semicrystalline polymers

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Introduction

Crosslinked polymers find broad applications as matrices for composites, foamed structures, structural adhesives, insulators for electronic packaging, anti-corrosion coatings, etc. Nevertheless, these materials are easily damaged when continuously exposed to the external environment, such as mechanical attack, UV radiation, or a combination of these factors. Small defects are easily created in the materials, but difficult or impossible to be detected and repaired. The presence of microcracks can adversly change the material final properties, and can even develop further into damage which considerably shortens material lifetime. Inspired by the self-healing phenomenon of biological organization, self-healing polymers have become an exciting research field in the study of thermosetting polymers in the past decades [1]. For thermosets, self-healing is challenging as the materials do not flow under the post-processing application of heat, as do thermoplastics.

In recent decades, various self-healing approaches have been developed rapidly, including encapsulation, hollow fibres, microvascular networks, supramolecular self-assembly, and reversible chemistry [2-4]. Among these approaches, intrinsic self-healing polymeric systems, which contain reversible covalent bonds, is a particularly attractive one. These polymer networks have high self-healing efficiency and repeatedly healing ability to heal the damage at the same position [5-7]. The most prominent mechanism is based on the Diels-Alder (DA) reaction between the furan and maleimide groups, which is thermally reversible [8–10]. When a DA adduct is heated to an appropriate temperature (above 90 °C), the retro-DA reaction takes place with rupture of the initially formed covalent bonds [11]. When cooled down to lower temperatures (below 90 °C), the DA reaction proceeds again and the covalent bonds reform [12].

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Chen et al. [11] made use of multifuran/maleimide moieties that formed a reversible network. Because covalent bonds are much stronger than the bond between the DA adducts, cracks are more likely to propagate through the DA bonds than the other bonds. As a consequence, when a fissure appears, the recovery of broken DA crosslinks allows for the crack surfaces to be healed. Later on, other research groups have studied various polymeric systems bearing furan/maleimide functionalities as pendant groups. For instance, Tian et al. [13] attached furan/maleimide groups to epoxy resins. Zhang et al. [14] reported a polyketone containing a furan functionality that was crosslinked with a bismaleimide giving a recyclable thermoset. Kavitha et al. [15] synthesized a polymethacrylate bearing the furan functionality and crosslinked it using a bismaleimide crosslinker. Scheltjens et al. [16] studied flexible DA thermosets with different lengths of the furan and maleimide units. One of the recent strategies made use of two pendant furan and maleimide moieties on the same polymethacrylate backbone [17].

On the other hand, shape memory polymers have the capability of recovering their original shape by heating, even after large deformation [18–23]. Thus, the shape memory effect has been lately explored to replace the use of external force to bring the two crack surfaces together, so that further healing reactions can occur [24–30]. The approach combining the shape recovery feature and DA chemistry in a single polymeric material has recently been exploited in poly(tetramethylene oxide)-poly(pdioxanone) co-network [31] and polyurethanes [32–34].

In this paper, a polymer network, which is thermally mendadable, was formed by the DA reaction between the furan moieties of a bisfuranic-ended polycaprolactone and the maleimide groups of a multi-maleimide compound. Polycaprolactone is a linear semicrystalline polymer, often used as a shape memory segment because of its sharp meting transition temperature range of around 40–60 °C [35]. The existence of polycaprolactone segments in the network provides the shape recovery feature under a temperature trigger, which can assist the scratch healing process via the reversible DA crosslinking reaction.



Fig. 1 ¹H NMR spectrum of the furyl-telechelic polycaprolactone

Experiment

Materials

Hexamethylene diisocyanate (99 %), 2-furfurylthiol (97 %) and triethylamine (99 %) were purchased from Sigma-Aldrich. *n*-Heptane (99+%), chloroform (99+%), tetrahydrofuran (99.5+%) and toluene (99+%) were purchased from Fisher Chemicals. Hexamethylene diisocyanate isocyanurate trimer (Desmodur[®] N 3390 BA) was received from Bayer. 3-Maleimido-1-propanol was prepared according the previously reported procedure [36]. Poly(caprolactone) diol (CapaTM 2403D, 4000 g/mol) was provided by Perstorp Chemicals Asia Pte Ltd.

Measurements

¹H NMR spectra were recorded in deuterated chloroform (CDCl₃) with TMS as an internal reference, on a Bruker Avance 300 at 300 MHz. Transmission Fourier transform infrared (FT-IR) spectra, collected as the average of 128 scans with a resolution of 4 cm^{-1} , were recorded from KBr disk on the FT-IR Bruker Tensor 27. Attenuated total reflectance (ATR) FT-IR spectra were collected as the average of 128 scans with a resolution of 4 cm⁻¹ on a FT-IR Tensor 27 spectrometer equipped with a Pike MIRacle ATR accessory with a diamond/ZnSe element. Size exclusion chromatography (SEC) measurements were performed on a Polymer PL-GPC 50 gel permeation chromatograph (GPC) system equipped with an RI detector, with chloroform as the eluent at a flow rate of 1.0 mL/min. Molecular weight and molecular weight distribution were calculated with reference to polyethylene glycol standards. Differential scanning calorimetry (DSC) measurements were carried out with a DSC Q20 V24.4 Build 116 calorimeter under nitrogen flow, at a heating rate of 10 °C/min, from -40 to 170 °C. Thermogravimetric analysis (TGA) measurements were performed under nitrogen flow using a NETZSCH STA 409 PC Instruments with a heating rate of 10 °C/min from ambient temperature to 900 °C. Tensile tests were measured at a speed of 0.05 mm/min using a Tensilon RTC-1210A tensile testing machine, making use of a 1000 N load cell. The rectangular specimens met the requirements of ASTM D882. Optical microscopic images were recorded on an Olympus GX51F microscope.

Synthesis of furyl-telechelic polycaprolactone

Hexamethylene diisocyanate (4.0 mL, 24.9 mmol), freshly azeotropically dried polycaprolactone diol (49.80 g, 12.45 mmol) and zirconium(IV) acetylacetonate (0.48 g) were dissolved in 290 mL of dry chloroform under nitrogen atmosphere. The reaction was refluxed at 65 °C under nitrogen for

4 h. After the mixture was cooled down, 2-furfurylthiol (3 mL, 29.9 mmol) and triethylamine (40 μ L) were added and the mixture was stirred at room temperature under nitrogen atmosphere overnight. After the reaction, the solution was concentrated and the product was precipitated from chloroform to *n*-heptane for multiple times to remove the excess 2-furfurylthiol. The precipitate was dried under vacuum. Yield: 85 %.

Synthesis of the multi-maleimide crosslinker

Under nitrogen atmosphere, the mixture of Desmodur N3390 BA (2.35 g, 8.6 mmol of NCO groups), 3-maleimido-1propanol (2 g, 12.9 mmol) and zirconium(IV) acetylacetonate (41.9 mg) in 150 mL of dry chloroform was refluxed at 65 °C for 5 h, and was then stirred at room temperature overnight. After the reaction, the solution was concentrated and the product was precipitated from chloroform to n-heptane. The product was further purified to remove excess 3-maleimido-1propanol by thorough washing with distilled water for many



Fig. 2 ATR FT-IR spectrum of the furyl-telechelic polycaprolactone (**a**), and comparisons of the spectra of the furyl-telechelic polycaprolactone (*i*) and the original polycaprolactone-diol (*ii*) in the 3440–2800 and 1135–920 cm⁻¹ ranges (**b** and **c**, respectively)



Fig. 3 Synthetic scheme of the multi-maleimide crosslinker and the corresponding ¹H NMR spectra





Scheme 1 Formation of the network from the furyl-telechelic polycaprolactone and multi-maleimide crosslinker

times until a pure product was obtained as indicated by thin layer chromatography. Yield: 80 %.

Synthesis of the network from the bisfuranic polycaprolactone and multi-maleimide crosslinker

A mixture of bisfuranic polycaprolactone and multimaleimide crosslinker in a 1:1 furan to maleimide equivalent ratio in tetrahydrofuran was cast in a glass petri disk at 40 °C for 48 h, followed by vacuum dried at 60 °C for 24 h.

Results and discussion

Synthesis of furyl-telechelic polycaprolactone

Polycaprolactone diol (M_n =4000 g/mol; 1 equivalent) was reacted with hexamethylene diisocyanate (2 equivalents) via the alcohol-isocyanate reaction, catalyzed by zirconium(IV) acetylacetonate, to give diisocyanate terminated polycaprolactone. This intermediate product was further reacted with a slight excess of furfurylthiol by the thiolisocyanate reaction, which was catalyzed by triethylamine [37]. The unreacted furfurylthiol was eliminated by precipitation of the resulting polymer. As a result, a polycaprolactone containing two furan moieties at the polymer chain ends was obtained. The ¹H NMR spectrum of this bisfuran-terminated polycaprolactone is shown in Fig. 1, with all the peaks assigned to its chemical structure. The presence of the urethane (OCONH) peak at 4.74 ppm and thiourethane



Fig. 5 ATR FT-IR spectrum of the network crosslinked from the furyltelechelic polycaprolactone and multi-maleimide crosslinker (**a**), and comparisons of the spectra of the furyl-telechelic polycaprolactone (*i*), multi-maleimide (*ii*) and the obtained network (*iii*) in the 3440–2800 and 1035–675 cm⁻¹ ranges (**b** and **c**, respectively)



Fig. 6 X-ray diffraction (*XRD*) patterns of the furyl-telechelic polycaprolactone (a) and the network crosslinked from the furyl-telechelic polycaprolactone and multi-maleimide crosslinker (b)

(SCONH) peak at 5.52 ppm indicates the successful occurrence of the OH-NCO and SH-NCO reactions, respectively. The presence of the furan functionality is verified by the signals at 6.24, 6.28 and 7.33 ppm. From the integration ratio between the urethane (peak u) or thiourethane (peak w) signal and the polycaprolactone units (peak m), the average molecular weight of the polymer was calculated to be 4600 g/mol. Besides, the FT-IR spectrum of the product in Fig. 2 shows typical furan absorption bands at 1011 (ring breathing and ether linkage in furan ring), 3119 and 3148 cm^{-1} (the double bond vibrations) [32, 38] as well as the amide (NHCO) stretch vibration at 3355 cm⁻¹, confirming that the furan groups were attached to the polycaprolactone chains. The number-average molecular weight and molecular weight distribution of this product as determined by SEC with regard to polyethylene glycol standards were 8600 g/mol and 1.3, respectively.

Synthesis of the multi-maleimide crosslinker

The multi-maleimide crosslinker was synthesized by the alcohol-isocyanate reaction of 3-maleimido-1-propanol and the hexamethylene diisocyanate isocyanurate trimer compound bearing three isocyanate functionalities. As a result, the product containing three maleimide moieties was obtained. The ¹H NMR spectrum in Fig. 3 of this product shows that all the peaks characteristic of its chemical structure can be assigned. The appearance of the maleimide peak at 6.73 ppm and the urethane peak at 4.8 ppm, as well as the slight shift of the signal of the methylene protons next to the isocyanate groups from 3.3 to 3.2 ppm, indicate the successful incorporation of the maleimide groups into the structure of the isocyanurate trimer via the urethane formation reaction. This result was confirmed by transmission FT-IR analysis. As shown in Fig. 4, the IR absorption bands at 829 and

 696 cm^{-1} were assigned to the signals of the maleimide functionality [32].

Characterization of the network formed from the furyl-telechelic polycaprolactone and multi-maleimide crosslinker

The network was created by the DA crosslinking reaction between the furan and maleimide moieties of the furyltelechelic polycaprolactone and multi-maleimide crosslinker, as illustrated in Scheme 1.

The occurrence of the DA reaction was evaluated by the FT-IR result. The ATR FT-IR spectrum of the obtained material in Fig. 5 shows the almost disappearance of the maleimide bands at 829, 696 and 3089 cm⁻¹ as well as the furan bands at 1011 and 3119 cm⁻¹, suggesting the nearly complete reaction between furan and maleimide to form DA-adduct bonds. The observation of residue maleimide bands indicates that the conversion of the DA reaction was not 100 % because of steric-hindrance effects.

The XRD patterns of the furyl-telechelic polycaprolactone and the cross-linked network (Fig. 6a and b) have distinct peaks at 2θ values of $21.6/21.3^{\circ}$, $22.1/21.9^{\circ}$ and $23.8/23.6^{\circ}$



Fig. 7 TGA thermogram of the network crosslinked from the furyltelechelic polycaprolactone and multi-maleimide crosslinker



Fig. 8 DSC thermograms of the furyl-telechelic polycaprolactone (a) and the network crosslinked from the furyl-telechelic polycaprolactone and multi-maleimide crosslinker (b)

similar to those of pure polycaprolactones [39], confirming the presence of the crystalline polycaprolactone phase. Both the lower 2θ values and the much lower peak intensities suggest a considerably lower degree of PCL crystallization in the network [39], as compared with the furyl-telechelic polycaprolactone. This reflects the hindered crystallization of PCL chains as a result of DA cross-links at both chain ends.

The TGA analysis of the crosslinked cast film showed that the polymer network was stable up to above 200 °C (Fig. 7), while the thermal reversibility of the DA crosslink bonds was attested by DSC analysis. Figure 8b shows that in addition to a large endotherm at around 40 °C ascribed to the melting of the crystallized polycaprolactone segments, another endothermic transition between 100 and 170 °C was observed. This transition is attributed to the breaking of the DA bonds via the retro-Diels-Alder reaction [11]. Upon subsequent cooling, two exotherms at 90-140 and 7 °C were observed and assigned to the reformation of the cleaved bonds via the Diels-Alder reaction and the re-crystallization of the PCL phase, respectively. Compared with the DSC thermogram of the furyltelechelic polycaprolactone before cross-linking (Fig. 8a), which showed the PCL melting and crystallization peaks centered at 61 and 24 °C in the heating and cooling scans, respectively, the melting/crystallization transitions of PCL segments



Fig. 9 Temporary spiral shape (a) and original strip shape after recovery at 60 °C (b) of the network croslinked from the furyl-telechelic polycaprolactone and multi-maleimide crosslinker



Fig. 10 Optical microscopic (a) and SEM (b) images of a scratch before (left images) and after (right images) healing at 60 °C for 24 h (scale bar: 100 μ m)

incorporated in the network occurred at lower temperatures and with less sharp peaks. This is because the crystallization of PCL segments were hampered by DA cross-linking netpoints, which agrees well with the XRD result.

While the thermo-reversibility of the DA bonds enables the breaking and reformation of the crosslinks, the shape-memory feature allows for the crack faces to come into intimate contact prior to the occurrence of subsequent healing reaction. For this system, the reversible melting/crystallization transition of



Fig. 11 Stress–strain curves of the initial film and the scratched film after healing at 60 $^\circ$ C for 2 and 24 h

polycaprolactone segments allows for temporary shape fixing and recovery via entropy trapping and releasing, respectively, whereas the crosslinking network via DA bonds sets the permanent shape [18]. As a result, when the material is deformed, the melting of the crystallized crosslinked polycaprolactone segments upon heating above their melting temperature results in an activation of the chain mobility, allowing them to return to their highest entropy state. Hence, the original permanent shape can be recovered.

Figure 9 demonstrates the shape-memory capability of the obtained network. The sample was deformed into a temporary spiral shape at 60 °C, which is 20 °C above the polycaprolactone melting temperature, followed by cooling to room temperature to fix this shape (Fig. 9a). By heating the sample at 60 °C, the original strip shape was fully recovered (Fig. 9b).

Assessment of the scratch healing ability

To assess the mendability of the obtained network, a scratch was made in the coating using a razor blade. Afterward, the scratch was healed by heating the scratched coating sample at 60 °C. At this temperature, the polycaprolactone segments melted, leading to an activation of the chain entropy. Thus, the material recovered its original shape via the shape memory effect. Consequently, the crack surfaces came in close proximity, so that the reversible reaction between the furan and maleimide moieties at the scratch interface could occur. On the other hand, the reformation of the broken DA bonds was favored at 60 °C [11]. The optical microscopic images in Fig. 10a shows that after 24 h at 60 °C, the scratch almost healed, with only a scar due to interface mismatch. To confirm this, another scratch was made, and was then submitted to SEM analysis. The SEM images of the scratch before and after healing shown in Fig. 10b agree well with the optical microscopic result.

Figure 11 shows that the tensile performance of the scratched film after healing at 60 °C for 2 h was still low, as compared to the initial unscratched film. Accordingly, only a maximal stress recovery of 34 % was obtained. Nevertheless, a longer heating period of 24 h led to modulus and maximal stress recovery efficiencies of slightly above 70 % (Fig. 11 and Table 1).

Table 1 $\,$ Mechanical properties of the initial film and scratched film after healing at 60 °C for 2 and 24 h $\,$

| Property | Initial | 60 °C–2 h | 60 °C–24 h |
|------------------------------|-----------------|-----------|-----------------|
| Young's modulus (MPa) | 28.7±7.6 | 14.2±4.6 | 20.6±4.3 |
| Maximal tensile stress (MPa) | 1.47 ± 0.20 | 0.5±0.15 | 1.03 ± 0.16 |

Conclusions

A polymer network with healing capability at mild temperature conditions were successfully prepared via the Diels-Alder reaction between a furyl-telechelic polycaprolactone and a multi-maleimide crosslinker. Remendability was possible as a result of the simultaneous occurrence of two processes at 60 °C: a shape recovery effect induced by the melting of the crystallized crosslinked polycaprolactone chains, and a progressive reformation of the DA crosslink bonds.

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