

STABILITY OF ONE-PHASE STATES IN VARIATIONAL PROBLEM OF THE THEORY OF ELASTICITY IN TWO-PHASE MEDIA. THE MULTI-DIMENSIONAL CASE

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We study the phenomenon of loss of stability of one-phase critical points of the energy functional of a two-phase elastic medium under perturbations of the temperature.

Bibliography: 1 title.

1 Introduction

This section contains necessary preliminary information (cf. details in the survey [1]). In quadratic approximation, the strain energy density of an elastic medium is given by

$$F^\pm(M) = \langle A^\pm(e(M) - \zeta^\pm), e(M) - \zeta^\pm \rangle, \quad (1.1)$$

where $M \in R^{m \times m}$ is an $m \times m$ matrix, $m \geq 1$, $e(M) = 1/2(M + M^*)$, $\zeta^\pm \in R_s^{m \times m}$ are symmetric $m \times m$ matrices, $A^\pm : R_s^{m \times m} \rightarrow R_s^{m \times m}$ are linear mappings that are symmetric and positive definite with respect to the inner product $\langle \alpha, \beta \rangle = \text{tr } \alpha \beta$, $\alpha, \beta \in R_s^{m \times m}$, i.e.

$$\langle A^\pm \xi, \zeta \rangle = \langle \xi, A^\pm \zeta \rangle, \quad \nu |\xi|^2 \leq \langle A^\pm \xi, \xi \rangle \leq \nu^{-1} |\xi|^2, \quad |\xi|^2 = \langle \xi, \xi \rangle, \quad (1.2)$$

for all $\xi, \zeta \in R_s^{m \times m}$ and some $\nu \in (0, 1)$. Based on (1.1), we introduce the strain energy functional of a two-phase medium

$$I_0[u, \chi, t] = \int_{\Omega} \{ \chi(F^+(\nabla u) + t) + (1 - \chi)F^-(\nabla u) \} dx, \quad (1.3)$$

where $u = u(x)$, $x \in \Omega \subset R^m$ is an m -dimensional vector-valued function, ∇u is the matrix of coefficients $(\nabla u)_{ij} = u_{x_j}^i$, $i, j = 1, \dots, m$, $t \in R^1$, and $\chi = \chi(x)$ is a characteristic function whose support is occupied by the phase labeled by $+$. In applications, $u(x)$ is a displacement field, $e(\nabla u)$ is the strain tensor, ζ^\pm is the residual strain tensor of the corresponding phase, and t is the temperature (constant in Ω) of the two-phase medium. Let the functional (1.3) be defined on pairs of functions

$$u \in \mathbb{X} = \overset{\circ}{W}_2^1(\Omega, R^m), \quad \chi \in \mathbb{Z}', \quad (1.4)$$

where \mathbb{Z}' is the collection of all measurable characteristic functions. Sometimes, we indicate the dependence of the functional (1.3) and sets (1.4) on the domain Ω . Throughout the paper, Ω is assumed to be bounded.

By the *equilibrium state* of a two-phase elastic medium with fixed t we mean the solution to the variational problem

$$I_0[\widehat{u}_t, \widehat{\chi}_t, t] = \inf_{u \in \mathbb{X}, \chi \in \mathbb{Z}'} I_0[u, \chi, t], \quad \widehat{u}_t \in \mathbb{X}, \quad \widehat{\chi}_t \in \mathbb{Z}'. \quad (1.5)$$

The equilibrium state is *one-phase* if

$$\widehat{\chi}_t = \chi^+ \equiv 1 \quad \text{or} \quad \widehat{\chi}_t = \chi^- \equiv 0 \quad (1.6)$$

and *two-phase* in the opposite case. It is easy to see that the variational problems

$$I_0[\widehat{u}^+, \chi^+, t] = \inf_{u \in \mathbb{X}} I_0[u, \chi^+, t], \quad I_0[\widehat{u}^-, \chi^-, t] = \inf_{u \in \mathbb{X}} I_0[u, \chi^-, t], \quad \widehat{u}^\pm \in \mathbb{X}, \quad (1.7)$$

are uniquely solvable and their solutions are given by

$$\widehat{u}^+ \equiv 0, \quad \widehat{u}^- \equiv 0. \quad (1.8)$$

Therefore, one-phase equilibrium states are realized only with zero displacement field.

It is proved that for the problem (1.5) there exist the phase transition temperatures $t_\pm \in R^1$ independent of Ω

$$t_- \leq t^* \leq t_+, \quad t^* = -[\langle A\zeta, \zeta \rangle] \equiv -(\langle A^+\zeta^+, \zeta^+ \rangle - \langle A^-\zeta^-, \zeta^- \rangle) \quad (1.9)$$

(both identities in (1.9) are realized simultaneously) that are characterized by

in the case $t_- < t_+$,

a single solution to the problem (1.5) with $t < t_-$ is a pair $\widehat{u}_t = \widehat{u}^+$, $\widehat{\chi}_t = \chi^+$,
a single solution to the problem (1.5) with $t > t_+$ is a pair $\widehat{u}_t = \widehat{u}^-$, $\widehat{\chi}_t = \chi^-$,
for $t \in (t_-, t_+)$ the problem (1.5) has no one-phase equilibrium state

and

in the case $t_\pm = t^*$, the first two assertions in (1.10) hold,

for $t = t^*$ the unique solution to the problem (1.5) is the pair $\widehat{u}_{t^*} \equiv 0$, $\widehat{\chi}_{t^*}$,
where $\widehat{\chi}_{t^*}$ is an arbitrary element of \mathbb{Z}' .

In the case (1.10), for $t \in (t_-, t_+)$ the problem (1.5) can have a solution or be unsolvable. It turns out that

$$[A\zeta] \equiv (A^+\zeta^+ - A^-\zeta^-) = 0 \text{ is a criterion for equality of } t_- \text{ and } t_+. \quad (1.12)$$

We set

$$|\Omega|i(t) = \inf_{u \in \mathbb{X}, \chi \in \mathbb{Z}'} I_0[u, \chi, t, \Omega], \quad |\Omega|i_{\min}(t) = \inf_{u \in \mathbb{X}, \chi \in \{\chi^+, \chi^-\}} I_0[u, \chi, t, \Omega]. \quad (1.13)$$

The functions $i(t)$ and $i_{\min}(t)$ are continuous with respect to $t \in R^1$. By (1.8), the second infimum in (1.13) has the form

$$i_{\min}(t) = \begin{cases} I_0[\widehat{u}^+, \chi^+, t] = t + \langle A^+ \zeta^+, \zeta^+ \rangle, & t \leq t^*, \\ I_0[\widehat{u}^-, \chi^-, t] = \langle A^- \zeta^-, \zeta^- \rangle, & t \geq t^*. \end{cases} \quad (1.14)$$

It is obvious that $i_{\min}(t) \geq i(t)$. The equality $i_{\min}(t_0) = i(t_0)$ for some t_0 means that the problem (1.5) with $t = t_0$ possesses the equilibrium state \widehat{u}^+, χ^+ for $t_0 \leq t^*$ and \widehat{u}^-, χ^- for $t_0 \geq t^*$. Furthermore, $(t_-, t_+) = \mathcal{K}$, where

$$\mathcal{K} = \{t \in R^1 : i_{\min}(t) > i(t)\}, \quad (1.15)$$

and the pairs $\widehat{u}^\pm, \chi^\pm$ for all t are critical points of the energy functional (1.3).

2 The Main Results

Since $i_{\min}(t) = i(t)$ for $t \in R^1 \setminus \mathcal{K}$,

$$\begin{aligned} \widehat{u}^+, \chi^+ &\text{ is an equilibrium state only for } t \leq t_-, \\ \widehat{u}^-, \chi^- &\text{ is an equilibrium state only for } t \geq t_+ \end{aligned} \quad (2.1)$$

(cf. (1.6), (1.8)). For fixed t a pair $\widetilde{u} \in \mathbb{X}, \widetilde{\chi} \in \mathbb{Z}'$ is a W_2^1 -saddle point of the energy functional if for any $\delta > 0$ there are $v_\pm^\delta \in \mathbb{X}$ and $\psi_\pm^\delta \in \mathbb{Z}'$ such that $\|v_\pm^\delta\|_{\mathbb{X}} < \delta$, $\|\widetilde{\chi} - \psi_\pm^\delta\|_{L_1} < \delta$ and

$$\begin{aligned} I_0[\widetilde{u} + v_+^\delta, \psi_+^\delta, t] &> I_0[\widetilde{u}, \widetilde{\chi}, t], \\ I_0[\widetilde{u} + v_-^\delta, \psi_-^\delta, t] &< I_0[\widetilde{u}, \widetilde{\chi}, t]. \end{aligned} \quad (2.2)$$

Theorem 2.1. *If for a given t some pair $\widehat{u}^\pm, \chi^\pm$ is not a solution to the problem (1.5), then it is a W_2^1 -saddle point of the energy functional (1.3).*

The pair \widehat{u}^+, χ^+ is not an equilibrium state for the functional (1.3) only for $t > t_-$. Theorem 2.1 asserts that the stability of this pair is lost for $t > t_-$ under small energy perturbations of \widehat{u}^+ and small perturbations of χ^+ in $L_1(\Omega)$.

The pair \widehat{u}^-, χ^- is not an equilibrium state of the functional (1.3) only for $t < t_+$. Theorem 2.1 asserts that the stability of this pair is lost for $t < t_+$ under small energy perturbations of \widehat{u}^- and small perturbations of χ^- in the space $L_1(\Omega)$.

The perturbation v_-^δ providing instability can be obtained for every $\delta > 0$ in a constructive way. It satisfies the inclusion $v_-^\delta \in W_\infty^1(\Omega, R^m)$, but $\|v_-^\delta\|_{W_\infty^1}$ does not tend to zero as $\delta \rightarrow 0$. Therefore, the perturbation used in the proof of Theorem 2.1 below is not small in the W_∞^1 -norm.

We try to clarify whether the pairs $\widehat{u}^\pm, \chi^\pm$ are stable under small perturbations of \widehat{u}^\pm in the $W_\infty^1(\Omega, R^m)$ -norms and perturbations of χ^\pm in $L_1(\Omega)$. It turns out that such a relaxation of perturbations of \widehat{u}^\pm essentially changes the stability character for the pairs $\widehat{u}^\pm, \chi^\pm$.

We adapt the definition of a saddle point to the case of perturbations of \widehat{u}^\pm in W_∞^1 .

For fixed t a pair $\widetilde{u} \in \mathbb{X}, \widetilde{\chi} \in \mathbb{Z}'$ is a W_∞^1 -saddle point of the energy functional if for any $\delta > 0$ there are functions $v_\pm^\delta \in \mathbb{X} \cap W_\infty^1(\Omega, R^m)$, $\psi_\pm^\delta \in \mathbb{Z}'$ such that $\|v_\pm^\delta\|_{W_\infty^1} < \delta$, $\|\widetilde{\chi} - \psi_\pm^\delta\|_{L_1} < \delta$ and (2.2) holds.

Theorem 2.2. For $t < t^*$ the pair \widehat{u}^+, χ^+ is a local minimum of the energy functional under small perturbations of \widehat{u}^+ in $W_\infty^1(\Omega, R^m)$ and any perturbations of χ^+ in $L_1(\Omega)$, whereas for $t > t^*$ it is a W_∞^1 -saddle point of this functional. For $t > t^*$ the pair \widehat{u}^-, χ^- is a local minimum of the energy functional under small perturbations of \widehat{u}^- in $W_\infty^1(\Omega, R^m)$ and any perturbations of χ^- in $L_1(\Omega)$, whereas for $t < t^*$ it is a W_∞^1 -saddle point of this functional.

In the case $t_- < t_+$, $t = t^*$, the pairs $\widehat{u}^\pm, \chi^\pm$ are W_∞^1 -saddle points of the energy functional.

In Theorems 2.1 and 2.2, the loss of stability of one-phase states $\widehat{u}^\pm, \chi^\pm$ is caused (depending on the values of the temperature t) by perturbation of only distributions of phases χ^\pm or by consistent perturbation of displacement fields \widehat{u}^\pm and phase distribution χ^\pm .

3 Proof of the Main Results

Proof of Theorem 2.1. Since \widehat{u}^\pm is a unique solution to the problems (1.7), for any t the first inequality in (2.2) holds with $\widetilde{u} = \widehat{u}^\pm, \widetilde{\chi} = \psi_\pm^\delta = \chi^\pm, v_\pm^\delta \in \mathbb{X}, v_\pm^\delta \neq 0$. Since

$$I_0[\widehat{u}^+, \chi, t] = I_0[\widehat{u}^+, \chi^+, t] - (t - t^*) \int_\Omega (\chi^+ - \chi) dx,$$

$$I_0[\widehat{u}^-, \chi, t] = I_0[\widehat{u}^-, \chi^-, t] - (t^* - t) \int_\Omega (\chi - \chi^-) dx,$$

for every $t > t^*$ the second inequality in (2.2) holds with $\widetilde{u} = \widehat{u}^+, v_-^\delta = 0, \psi_-^\delta = \chi, \widetilde{\chi} = \chi^+$ for any $\chi \neq \chi^+$, whereas for each $t < t^*$ the same inequality holds with $\widetilde{u} = \widehat{u}^-, v_-^\delta = 0, \psi_-^\delta = \chi, \widetilde{\chi} = \chi^-$ for any $\chi \neq \chi^-$.

It remains to consider the case $t_- < t_+$ and for any sufficiently small $\delta > 0$ establish the existence of $v_-^\delta \in \mathbb{X}, \psi_-^\delta \in \mathbb{Z}'$ such that

$$I_0[\widehat{u}^+ + v_-^\delta, \psi_-^\delta, t] < I_0[\widehat{u}^+, \chi^+, t], \quad \|v_-^\delta\|_{\mathbb{X}} < \delta, \quad \|\chi^+ - \psi_-^\delta\|_{L_1} < \delta, \quad t \in (t_-, t^*),$$

$$I_0[\widehat{u}^- + v_-^\delta, \psi_-^\delta, t] < I_0[\widehat{u}^-, \chi^-, t], \quad \|v_-^\delta\|_{\mathbb{X}} < \delta, \quad \|\psi_-^\delta - \chi^-\|_{L_1} < \delta, \quad t \in [t^*, t_+).$$

Taking into account (1.14) and the inequality $i(t) < i_{\min}(t)$ for $t \in (t_-, t_+)$, we find $u_>, u_< \in \mathbb{X}(\Omega), \chi_>, \chi_< \in \mathbb{Z}'(\Omega)$ such that

$$I_0[u_<, \chi_<, t, \Omega] < |\Omega| i_{\min}(t) = I_0[\widehat{u}^+, \chi^+, t, \Omega], \quad t \in (t_-, t^*),$$

$$I_0[u_>, \chi_>, t, \Omega] < |\Omega| i_{\min}(t) = I_0[\widehat{u}^-, \chi^-, t, \Omega], \quad t \in [t^*, t_+).$$

By the strict inequality in (3.2), the functions $u_>, u_<$ do not vanish. By the continuity of $I_0[\cdot, \chi, t]$ in the space \mathbb{X} , we can assume that these functions belong to the class $C_0^\infty(\Omega, R^m)$.

For any sufficiently small $\lambda > 0$ we choose $\xi = \xi(\lambda)$ such that the domain $\Omega_\lambda = \Omega_{\xi(\lambda), \lambda}$ constructed by the rule $\Omega_{\xi, \lambda} = \{x \in R^m : x = \lambda \widetilde{x} + \xi, \widetilde{x} \in \Omega\}$, $\lambda > 0, \xi \in R^m$, lies, together with its closure, in Ω . We consider the pairs $u_<, \chi_<$ of functions $u_<^\lambda, \chi_<^\lambda$ defined by the rule

$$u_<^\lambda(x) = \lambda u_<(\widetilde{x}), \quad \chi_<^\lambda(x) = \chi_<(\widetilde{x}), \quad \widetilde{x} \in \Omega, \quad x \in \Omega_\lambda. \quad (3.3)$$

The change of variables yields

$$\frac{1}{|\Omega_\lambda|} I_0[u_<^\lambda, \chi_<^\lambda, t, \Omega_\lambda] = \frac{1}{|\Omega|} I_0[u_<, \chi_<, t, \Omega]. \quad (3.4)$$

We denote by $\tilde{u}_<^\lambda$ the extension of $u_<^\lambda$ by 0 and by $\tilde{\chi}_<^\lambda$ the extension of $\chi_<^\lambda$ by 1 from Ω_λ to Ω . Then $\tilde{u}_<^\lambda \in \mathbb{X}(\Omega)$ and $\tilde{\chi}_<^\lambda \in \mathbb{Z}'(\Omega)$. Using (3.4) and the first inequality in (3.2), we have

$$\begin{aligned} I_0[\tilde{u}_<^\lambda, \tilde{\chi}_<^\lambda, t, \Omega] &= I_0[u_<^\lambda, \chi_<^\lambda, t, \Omega_\lambda] + |\Omega \setminus \Omega_\lambda|(F^+(0) + t) = \frac{|\Omega_\lambda|}{|\Omega|} I_0[u_<, \chi_<, t, \Omega] + |\Omega \setminus \Omega_\lambda| i_{\min}(t) \\ &< |\Omega_\lambda| i_{\min}(t) + |\Omega \setminus \Omega_\lambda| i_{\min}(t) = |\Omega| i_{\min}(t) = I_0[\hat{u}^+, \chi^+, t, \Omega], \quad t \in (t_-, t^*] \end{aligned}$$

(the condition $t \in (t_-, t^*]$ was used to change $F^+(0) + t$ with $i_{\min}(t)$ and $|\Omega| i_{\min}(t)$ with $I_0[u^+, \chi^+, t, \Omega]$). Using the obtained inequality $I_0[\tilde{u}_<^\lambda, \tilde{\chi}_<^\lambda, t] < I_0[\hat{u}^+, \chi^+, t]$ and the relations

$$\begin{aligned} \|\tilde{u}_<^\lambda\|_{\mathbb{X}}^2 &= \int_{\Omega} |e(\nabla \tilde{u}_<^\lambda)|^2 dx = \int_{\Omega_\lambda} |e(\nabla u_<^\lambda)|^2 dx \\ &= \frac{|\Omega_\lambda|}{|\Omega_\lambda|} \int_{\Omega_\lambda} |e(\nabla u_<^\lambda)|^2 dx = \frac{|\Omega_\lambda|}{|\Omega|} \int_{\Omega} |e(\nabla u_<)|^2 dx, \end{aligned} \quad (3.5)$$

$$\int_{\Omega} |\chi^+ - \tilde{\chi}_<^\lambda| dx = \int_{\Omega_\lambda} |\chi^+ - \chi_<^\lambda| dx = \frac{|\Omega_\lambda|}{|\Omega_\lambda|} \int_{\Omega_\lambda} |\chi^+ - \chi_<^\lambda| dx = \frac{|\Omega_\lambda|}{|\Omega|} \int_{\Omega} |\chi^+ - \chi_<| dx,$$

$|\Omega_\lambda| |\Omega|^{-1} = \lambda^m$, we arrive at the first estimate in (3.1) with

$$v_-^\delta = \tilde{u}_<^\lambda - \hat{u}^+ = \tilde{u}_<^\lambda, \quad \psi_-^\delta = \tilde{\chi}_<^\lambda, \quad \delta = \lambda^{\frac{m}{2}} \|u_<\|_{\mathbb{X}(\Omega)} + \lambda^m \|\chi^+ - \chi_<\|_{L_1(\Omega)}. \quad (3.6)$$

The second estimate in (3.1) is proved in a similar way, but for $\tilde{\chi}_>^\lambda$ one should take the extension of $\chi_>^\lambda$ by 0 from Ω_λ to Ω . \square

By (3.6) and (3.3), for all δ the quantity $\|\nabla v_-^\delta\|_{L_\infty(\Omega)} = \|\nabla u_<\|_{L_\infty(\Omega)}$ is a positive constant independent of δ (recall that $u_< \not\equiv 0$). Therefore, the perturbation v_-^δ constructed in the proof of Theorem 2.1 is not small in the space $W_\infty^1(\Omega, R^m)$ as $\delta \rightarrow 0$.

Proof of Theorem 2.2. Since the functional is quadratic property (cf. (1.1)), we have

$$\begin{aligned} I_0[u, \chi, t] - I_0[\hat{u}^+, \chi^+, t] &= \frac{1}{2} F_{M_{ij} M_{kl}}^+ \int_{\Omega} e_{ij}(\nabla u) e_{kl}(\nabla u) dx \\ &\quad + \int_{\Omega} (1 - \chi)((t^* - t) - (F^+ - F^-)_{M_{ij}}(0)) e_{ij}(\nabla u) dx \\ &\quad - \frac{1}{2} \int_{\Omega} (1 - \chi)((F^+ - F^-)_{M_{ij} M_{kl}}) e_{ij}(\nabla u) e_{kl}(\nabla u) dx, \\ I_0[u, \chi, t] - I_0[\hat{u}^-, \chi^-, t] &= \frac{1}{2} F_{M_{ij} M_{kl}}^- \int_{\Omega} e_{ij}(\nabla u) e_{kl}(\nabla u) dx \\ &\quad + \int_{\Omega} \chi((t - t^*) + (F^+ - F^-)_{M_{ij}}(0)) e_{ij}(\nabla u) dx \\ &\quad + \frac{1}{2} \int_{\Omega} \chi((F^+ - F^-)_{M_{ij} M_{kl}}) e_{ij}(\nabla u) e_{kl}(\nabla u) dx. \end{aligned} \quad (3.7)$$

Then for all $u \in \mathbb{X} \cap W_\infty^1(\Omega, R^m)$, $\chi \in \mathbb{Z}'$ and some positive constant γ from the assumption (1.2) it follows that

$$\begin{aligned} I_0[u, \chi, t] - I_0[\widehat{u}^+, \chi^+, t] &\geq \nu \int_{\Omega} |e(\nabla u)|^2 dx \\ &\quad + \int_{\Omega} (1 - \chi)((t^* - t) - \gamma(1 + \|\nabla u\|_{L_\infty})\|\nabla u\|_{L_\infty}) dx, \end{aligned} \quad (3.8)$$

$$I_0[u, \chi, t] - I_0[\widehat{u}^-, \chi^-, t] \geq \nu \int_{\Omega} |e(\nabla u)|^2 dx + \int_{\Omega} \chi((t - t^*) - \gamma(1 + \|\nabla u\|_{L_\infty})\|\nabla u\|_{L_\infty}) dx$$

and for all $u \in \mathbb{X}$, $\chi \in \mathbb{Z}'$

$$\begin{aligned} I_0[u, \chi^+, t] - I_0[\widehat{u}^+, \chi^+, t] &= \frac{F_{M_{ij}M_{kl}}^+}{2} \int_{\Omega} e_{ij}e_{kl} dx, \\ I_0[\widehat{u}^+, \chi, t] - I_0[\widehat{u}^+, \chi^+, t] &= |\Omega|(1 - Q)(t^* - t), \\ I_0[u, \chi^-, t] - I_0[\widehat{u}^-, \chi^-, t] &= \frac{F_{M_{ij}M_{kl}}^-}{2} \int_{\Omega} e_{ij}e_{kl} dx, \\ I_0[\widehat{u}^-, \chi, t] - I_0[\widehat{u}^-, \chi^-, t] &= |\Omega|Q(t - t^*), \\ e = e(\nabla u), \quad Q &= \frac{1}{|\Omega|} \int_{\Omega} \chi dx. \end{aligned} \quad (3.9)$$

From (3.7) with $t = t^*$ and $e = e(\nabla u)$ we find

$$\begin{aligned} I_0[u, \chi, t^*] - I_0[\widehat{u}^+, \chi^+, t^*] &= \int_{\Omega} \{\chi \langle A^+ e, e \rangle + (1 - \chi) \langle A^- e, e \rangle + 2(\chi^+ - \chi) \langle [A\zeta], e \rangle\} dx, \\ I_0[u, \chi, t^*] - I_0[\widehat{u}^-, \chi^-, t^*] &= \int_{\Omega} \{\chi \langle A^+ e, e \rangle + (1 - \chi) \langle A^- e, e \rangle - 2(\chi - \chi^-) \langle [A\zeta], e \rangle\} dx. \end{aligned} \quad (3.10)$$

We study the stability of the pair \widehat{u}^+, χ^+ . Let $t < t^*$. Then (3.8) implies

$$I_0[u, \chi, t] > I_0[\widehat{u}^+, \chi^+, t]$$

for $\chi \in \mathbb{Z}'$, $u \in \mathbb{X} \cap W_\infty^1(0, l)$, $t^* - t > \gamma(1 + \|\nabla u\|_{L_\infty})\|\nabla u\|_{L_\infty}$, $\|\widehat{u}^+ - u\|_{\mathbb{X}} + \|\chi^+ - \chi\|_{L_1} > 0$.

Let $t > t^*$. Then (3.9) implies

$$\begin{aligned} I_0[u, \chi^+, t] &> I_0[\widehat{u}^+, \chi^+, t] \quad \forall u \in \mathbb{X}, \quad u \neq \widehat{u}^+, \\ I_0[\widehat{u}^+, \chi, t] &< I_0[\widehat{u}^+, \chi^+, t] \quad \forall \chi \in \mathbb{Z}', \quad \chi \neq \chi^+. \end{aligned}$$

Let $t = t^*$. Then (3.10) implies

$$I_0[u, \chi^+, t^*] > I_0[\widehat{u}^+, \chi^+, t^*] \quad \forall u \in \mathbb{X}, \quad u \neq \widehat{u}^+.$$

In the case $t_- < t_+$, we have $[A\zeta] \neq 0$ in view of (1.12). Therefore, there exists a function $u_0 \in C_0^\infty(\Omega, R^m)$, $\|u_0\|_{W_\infty^1} = 1$, such that $\langle [A\zeta], e(\nabla u_0) \rangle \neq 0$. Then, based on the equality

$$\int_{\Omega} \langle [A\zeta], e(\nabla u_0) \rangle dx = 0,$$

we conclude that the set $E_- = \{x \in \Omega : \langle [A\zeta], e(\nabla u_0(x)) \rangle < 0\}$ has positive measure. For every $\delta > 0$ we fix a function $\chi = \psi_-^\delta \in \mathbb{Z}'$ such that $\text{supp}(\chi^+ - \psi_-^\delta) \subset E_-$ and $\|\chi^+ - \psi_-^\delta\|_{L_1} < \delta$. By the first identity in (3.10), for all sufficiently small $\epsilon > 0$ we have

$$\begin{aligned} I_0[\epsilon u_0, \psi_-^\delta, t^*] - I_0[\widehat{u}^+, \chi^+, t^*] &= \epsilon^2 \int_{\Omega} \{\psi_-^\delta \langle A^+ e, e \rangle + (1 - \psi_-^\delta) \langle A^- e, e \rangle\} dx \\ &+ 2\epsilon \int_{\Omega} (\chi^+ - \psi_-^\delta) \langle [A\zeta], e \rangle dx < 0, \quad e = e(\nabla u_0). \end{aligned}$$

Thus, we obtain the second inequality in (2.2) for some $\epsilon = \epsilon(\delta) \in (0, \delta)$, $\widetilde{u} = \widehat{u}^+$, $\widetilde{\chi} = \chi^+$, $v_-^\delta = \epsilon(\delta)u_0$, and the above function ψ_-^δ . The pair \widehat{u}^- , χ^- is considered in a similar way. \square

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