#### ORIGINAL PAPER



# Latent variable indirect response modeling of clinical efficacy endpoints with combination therapy: application to guselkumab and golimumab in patients with ulcerative colitis

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## Abstract

Accurate characterization of longitudinal exposure-response of clinical trial endpoints is important in optimizing dose and dosing regimens in drug development. Clinical endpoints are often categorical, for which much progress has been made recently in latent variable indirect response (IDR) modeling with single drugs. However, such applications have not yet been used for trials employing multiple drugs administered concurrently. This study aims to demonstrate that the latent variable IDR approach provides a convenient longitudinal exposure-response modeling framework to assess potential interaction effects of combination therapies. This is illustrated by an application to the exposure-response modeling of guselkumab, a monoclonal antibody in clinical development that blocks the interleukin-23p19 subunit, and golimumab, a monoclonal antibody that binds with high affinity to tumor necrosis factor-alpha. A Phase 2a study was conducted in 214 patients with moderate-to severe active ulcerative colitis for which longitudinal assessments of disease severity based on patient-reported measures of rectal bleeding, stool frequency, and symptomatic remission were evaluated as categorical endpoints, and fecal calprotectin as a continuous endpoint. The modeling results suggested independent pharmacodynamic guselkumab and golimumab effects on fecal calprotectin as a continuous endpoint, as well as interaction effects on the categorical endpoints that may be explained by an additional pathway of competitive interaction.

Keywords Population pharmacokinetic/pharmacodynamic modeling · Synergy · Additivity · NONMEM · Model-informed drug development

## Introduction

Exposure-response (ER) modeling of clinical endpoints is important in drug development for facilitating informative dosing selection [[1\]](#page-10-0). Longitudinal ER modeling is especially informative for dose-regimen decisions [\[2](#page-10-0)]. Clinical

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endpoints are often ordered categorical, for which the most common analysis approach may be logistic regression [\[3](#page-10-0)]. Probit regression is another often-used approach, and its choice vs. the logistic regression is usually the analyst's preference [\[3](#page-10-0)]. A similarity between the two approaches has been suggested in a pharmacometric application [\[4](#page-10-0)]. A Markov transition approach has also been used and has the appeal of capturing individual response changes [\[5](#page-10-0)], although advantages of the mixed-effect logistic/probit regression have been argued [[6\]](#page-10-0).

For single drugs, a widely used class of longitudinal ER models is the indirect response (IDR) model [[7\]](#page-10-0) which can be applied to categorical clinical endpoints by the latent variable approach [\[6](#page-10-0)]. For multiple-drug combinations [\[8](#page-10-0)], longitudinal ER modeling has been evaluated in the context of fixed-dose drug combinations [[9\]](#page-10-0). Various mechanismbased drug interaction models have been used for continuous data. In particular, the Agonist – Partial Agonist model for drugs A and B with effect-site concentrations  $C_A$ 

<span id="page-1-0"></span>and  $C_B$  extends the commonly used  $E_{\text{max}}$  model shown below  $[10]$  $[10]$ :

$$
effect(C_A, C_B) = \frac{E_{max,A}IC_{50,B}C_A + E_{max,B}IC_{50,A}C_B}{IC_{50,A}IC_{50,B} + C_AIC_{50,B} + C_BIC_{50,A}}
$$
(1)

where  $E_{max,A}$ ,  $E_{max,B}$ ,  $IC_{50,A}$ , and  $IC_{50,B}$  are the maximum effect and potency parameters for A and B. A general pharmacodynamic interaction model has also been proposed that allows the explicit modeling of the influence of one drug on the  $E_{max}$  or  $IC_{50}$  parameter of the other drug [\[11](#page-10-0)]. Conversely, longitudinal ER modeling of categorical endpoints for combination drug therapy has not yet been conducted.

Guselkumab (TREMFYA®) is a monoclonal antibody that specifically blocks the interleukin-23p19 subunit approved worldwide for the treatment of psoriasis and psoriatic arthritis and is currently under study in ulcerative colitis (UC) and Crohn's disease [[12\]](#page-10-0). Golimumab (SIM- $PONI\circledR)$  is a monoclonal antibody that binds with high affinity to tumor necrosis factor alpha and is approved for the treatment of UC and other rheumatologic indications [\[13](#page-10-0)].

UC is a chronic immune-mediated gastrointestinal disorder of the colon characterized by symptoms of increased rectal bleeding, stool frequency, and abdominal pain. Disease activity and treatment response are frequently evaluated by patient-reported measures of rectal bleeding and stool frequency, with resolution of rectal bleeding and bowel habit normalization identified as important therapeutic targets for UC, in conjunction with endoscopic remission [\[14](#page-10-0)]. As endoscopy is an invasive and costly procedure, surrogate markers that reflect the severity of mucosal inflammation have been investigated. Fecal calprotectin, which can be readily measured from stool samples, is highly correlated with endoscopic and histologic activity and has been proposed as a reasonable surrogate for a treatment target [[15,](#page-10-0) [16](#page-10-0)].

Dose/exposure-response modeling of drug interaction has a long history with multiple definitions of additivity or synergy, each having its advantages and disadvantages [\[8](#page-10-0)]. Defining additivity or synergy is not the purpose of this manuscript; instead, the aim was to demonstrate that the latent variable IDR framework can be readily used for mechanism-based assessment of drug-drug interaction with both categorical and continuous clinical endpoints. Data from a Phase 2a clinical trial in patients with moderate-tosevere active UC [\[17](#page-10-0)] that evaluated combination therapy with guselkumab (TREMFYA®) and golimumab (SIM-PONI®) was used as an illustration.

# Methods

# Study design

A Phase 2a, randomized, double-blind, active-controlled, parallel-group, proof-of-concept trial (ClinicalTrials.gov number, NCT03662542) was conducted in patients with moderate-to-severe active UC. The trial consisted of 2 distinct phases: a 12-week combination therapy comparison phase followed by a 26-week monotherapy phase (total treatment period of 38 weeks with last study treatment administered at Week 34). At Week 0, patients were randomly assigned, in a 1:1:1 ratio, to receive (1) golimumab monotherapy: golimumab 200 mg subcutaneous (SC) injection at Week 0, followed by golimumab 100 mg SC at Week 2 and then golimumab 100 mg SC every 4 weeks (q4w), (2) guselkumab monotherapy: guselkumab 200 mg intravenous (IV) infusion at Weeks 0, 4, and 8 followed by guselkumab SC 100 mg q8w, or (3) combination therapy $\rightarrow$ guselkumab monotherapy: guselkumab 200 mg IV and golimumab 200 mg SC at Week 0; golimumab 100 mg SC at Weeks 2, 6, and 10; guselkumab 200 mg IV at Weeks 4 and 8 followed by guselkumab 100 mg SC q8w. Patients randomized to combination therapy received guselkumab monotherapy after Week 12. The study design is shown in Fig. [1](#page-2-0). More details have been described previously [[12\]](#page-10-0).

# Pharmacokinetic and longitudinal clinical efficacy assessments

During Weeks 0–12, guselkumab and golimumab serum samples were collected every 2 weeks. At visits when patients received treatment, a pre-dose sample was also collected. Additionally, for IV infusion-related visits (i.e., Weeks 0, 4, and 8), another sample was taken pre-dose and approximately 1 hour post-dose. Afterwards, serum samples of guselkumab and golimumab were collected at Weeks 14, 16, 24, 32, 34, 38, at early termination when applicable, and at safety follow-up.

Patient-reported scores for rectal bleeding and stool frequency (both having possible values of 0, 1, 2, or 3, with higher scores indicating greater disease severity) were collected at Weeks 0, 2, 4, 6, 8, 10, 12, 14, 16, 18, 22, 24, 26, 30, 32, 34, 38, at early termination, and at safety follow-up. Rectal bleeding and stool frequency were modeled as ordered categorical endpoints. In addition, a binary endpoint of symptomatic remission (yes/no) was evaluated at the same time points and was defined as achieving a rectal bleeding score of 0, a stool frequency score of 0 or 1, where stool frequency had not increased from baseline.

<span id="page-2-0"></span>

Fig. 1 Study Design Schema

Fecal calprotectin concentrations were evaluated at Weeks 0, 2, 4, 8, 12, 24 and 38. The final dataset contained data from 214 randomized patients overall, with 1958 guselkumab pharmacokinetic (PK) measurements from 142 patients, 1686 golimumab PK measurements from 143 patients, 3553 symptomatic remission outcomes, 3441 rectal bleeding and stool frequency scores, and 1378 fecal calprotectin concentration measurements.

## Population PK modeling

Population PK modeling following SC administration of guselkumab using a one-compartment model with firstorder absorption and first-order elimination has been described previously [\[18](#page-10-0)]. The availability of the data from IV administration allowed for a two-compartment population PK model, with body weight as the main covariate for both clearance and volume of distribution [\[19](#page-10-0)]. The typical clearance and absolute bioavailability were estimated as 0.34 L/day and 74%, respectively. Population PK modeling following SC administration of golimumab using a one-compartment model with first-order absorption and first-order elimination has been described previously [\[20](#page-10-0)]. Following a confirmatory analysis approach [[19\]](#page-10-0), with body weight as the main covariate for both clearance and volume of distribution, the typical clearance was estimated as 0.84 L/day. Exploratory analyses did not suggest additional covariates. The detailed results will be reported in a

separate publication. Individual empirical Bayesian PK parameter estimates based on the population PK model were obtained and used for the subsequent ER analysis.

# Categorical endpoint model and latent variable motivation

For the categorical endpoints of symptomatic remission, rectal bleeding, and stool frequency, the following mixedeffect logistic regression model was used:

$$
logit[prob(Y \le k)] = \alpha_k + f(t) + \eta
$$
\n(2)

where Y is the response,  $k = 0$  for symptomatic remission and  $k = 0$ , 1, or 2 for rectal bleeding and stool frequency scores,  $\alpha_k$  represents the intercept, t is time, and  $\eta$  is a normally distributed random variable representing between-subject variability (BSV). To stabilize parameter estimation,  $\alpha_k$  was re-parameterized as  $(\alpha_1, d_0, d_2)$  where  $d_0$ ,  $d_2 > 0$  are distances between intercepts such that  $\alpha_0 = \alpha_1 - d_0$ , and  $\alpha_2 = \alpha_1 + d_2$ . For symptomatic remission, there is only one intercept, namely  $\alpha_0$ , thus no reparameterization is needed.

The logistic regression model has a latent variable motivation. Let L(t) be a latent variable indicating the underlying disease severity such that when it drops below thresholds  $\{\alpha_k\}$ , the outcome Y = k is observed. That is,  $Y \le k \Leftrightarrow L(t) < \alpha_k$ . Assume that  $L(t)$  is modeled as  $L(t) = M(t) + \sigma \varepsilon$ , where  $M(t)$  is the model predictor,  $\varepsilon$  is error with a logistic distribution with standard deviation  $\sigma$ . It can then be shown that  $\sigma$  is not separately identifiable and may be set to 1 [[21\]](#page-10-0), and prob[Y  $\lt k$ ] = prob[L(t)  $\lt$  $\alpha_k$ ] = F[ $\alpha_k$  - M(t)], where F is the inverse logit function. This is the same as Eq. [2,](#page-2-0) with  $-M(t) = f(t) + \eta$ . While standard in statistical theory [\[3](#page-10-0)], in the context of applying IDR models this derivation was first given by Hutmacher et al. [\[21](#page-10-0)]. The derivation allows the interpretation of  $f(t)$  in Eq. [2](#page-2-0) as a physiological variable, and consequently the mechanistic consistency of applying IDR models. In this setting, Hu has proposed that  $f(t)$  be modeled in a reduction-from-baseline form [[6\]](#page-10-0).

The drug effect  $f(t)$  is given below separately for the scenarios of single and combination drugs.

### Basic latent variable indirect response model

At the single drug level, the same structural drug effect model for both guselkumab and golimumab was used as follows:

$$
\frac{dR(t)}{dt} = k_{in} \left( 1 - \frac{C}{IC_{50} + C} \right) - k_{out} R(t) \tag{3}
$$

where  $R(t)$  is a latent variable, C is drug concentration, and  $k_{in}$  (disease formation rate),  $IC_{50}$  (half-maximal inhibitory concentration), and  $k_{out}$  (disease amelioration rate) are parameters in a Type I IDR model that has previously been used for guselkumab in other disease areas and golimumab in UC [\[22](#page-10-0), [23](#page-11-0)]. It was further assumed that at baseline  $R(0) = 1$ , yielding  $k_{in} = k_{out}$ . The reduction of  $R(t)$  was assumed to drive the drug effect through:

$$
f(t) = DE[1 - R(t)]
$$
\n<sup>(4)</sup>

where *DE* is a parameter to be estimated that determines the magnitude of drug effect.

Theoretically, the representation of the drug effect in Eqs. 2–4 has been shown to be equivalent to a change-from-baseline latent-variable IDR model [[24](#page-11-0)], for which  $k_{out}$  may be empirically interpreted as the rate constant of drug effect onset and offset, and DE may be interpreted as the latent variable baseline prior to normalization. In this setting, the  $I_{max}/E_{max}$ parameter appearing in the general IDR model is usually not separately estimable and should be set to 1 as shown previously [\[6\]](#page-10-0). More details on the theoretical characteristics of latent variable IDR models are described elsewhere [[6\]](#page-10-0).

#### 2-Pathway (independence) model

Initially, guselkumab and golimumab effects were modeled independently as.

$$
f(t) = f_{gus}(t) + f_{gol}(t)
$$
\n<sup>(5)</sup>

where  $f_{\text{gas}}(t)$  and  $f_{\text{go1}}(t)$  follow the basic change-frombaseline latent-variable IDR form of Eqs. 3–4, namely

$$
f_{\text{gus}}(t) = DE_{\text{gus}}[1 - R_{\text{gus}}(t)]\tag{6}
$$

and

$$
\frac{dR_{gus}(t)}{dt} = k_{in,gus} \left( 1 - \frac{C_{gus}}{IC_{50,gus} + C_{gus}} \right) - k_{out,gus} R_{gus}(t)
$$
\n(7)

where  $k_{in, gas} = k_{out, gas}$ , and  $f_{gol}(t)$  is defined similarly. Equation 5 may seem empirical, but guselkumab and golimumab may be interpreted as affecting the latent variable L(t) through two separate pathways, with maximal effects on each pathway determined by  $DE_{\text{gus}}$  and  $DE_{\text{gol}}$ , respectively [\[6](#page-10-0)].

## Interaction model

It is not a priori clear whether guselkumab and golimumab would work independently in UC. To assess potential interaction effects, Eq. 5 was augmented with an additional component based on the Agonist – Partial Agonist model, which in this case appears more plausible than other interaction models given in [[10\]](#page-10-0):

$$
f(t) = f_{gus}(t) + f_{gol}(t) + f_{APA}(t)
$$
\n(8)

where

$$
f_{APA}(t) = DE_{APA}[1 - R_{APA}(t)] \tag{9}
$$

and

$$
\frac{dR_{APA}(t)}{dt} = k_{in,APA}(1 - IH) - k_{out,APA}R_{APA}(t)
$$
\n(10)

with  $k_{in, APA} = k_{out, APA}$  and *IH* taking the form of Eq. [1](#page-1-0) with  $A = gus$ ,  $B = gol$  for guselkumab and golimumab, respectively, and  $E_{max,gas} = E_{max,gol} = 0.5$ . Further refinement is possible by modeling the ratio of  $E_{max,gas}$  and  $E_{max,gol}$  as an additional parameter while keeping their sum at 1.

Under Eq. 8, guselkumab and golimumab may be interpreted as affecting endpoint Y through three separate pathways: one for guselkumab only, one for golimumab only, and one that is shared between both guselkumab and golimumab. The similarity of parameters, particularly  $IC_{50}$ s between the Agonist – Partial Agonist component and the guselkumab and golimumab only components, were also assessed.

## Continuous endpoint model

Fecal calprotectin, a non-invasive surrogate marker of colonic inflammation, was previously modeled in a single drug setting with a Type I IDR model [\[20](#page-10-0)]. Fecal calpro-

<span id="page-4-0"></span>tectin cut-off values, ranging from  $< 50$  ug/g to  $< 150$  ug/ g, have been identified to differentiate active versus quiescent colonic disease endoscopically [[12,](#page-10-0) [21](#page-10-0), [22\]](#page-10-0). Similar cut-offs have also been associated with histologic remission [\[23](#page-11-0), [24](#page-11-0)]. In this study, reduction of fecal calprotectin below 100  $\mu$ g/g was used as a surrogate for endoscopic improvement. The Type I IDR model was extended to describe the combined guselkumab – golimumab effect on  $F<sub>C</sub>(t)$ , the time course of fecal calprotectin, as

$$
\frac{dF_C(t)}{dt} = k_{in,FC}(1 - IH) - k_{out,FC}F_C(t)
$$
\n(11)

where

$$
IH = \left(1 - \frac{E_{max,gas}C_{gus}}{IC_{50FC,gus} + C_{gus}}\right) \left(1 - \frac{E_{max,gol}C_{gol}}{IC_{50FC,gol} + C_{gol}}\right)
$$
\n(12)

which may be viewed as a 1-pathway independence model. For ease of interpretation,  $k_{in,FC}$  is re-parameterized as  $B_{FC}$  $\cdot$   $k_{out,FC}$ , where  $B_{FC}$  is baseline fecal calprotectin and will be estimated instead. BSV was modeled on  $B_{FC}$  and explored on other parameters. A log-transform-both-sides approach was applied.

## Model estimation and evaluation

A sequential pharmacokinetic/pharmacodynamic (PK/PD) modeling approach was used by first fixing the individual empirical Bayesian PK parameter estimates. NONMEM Version 7.4 with the LAPLACE estimation option was used for all modeling [\[25](#page-11-0)]. A decrease in the NONMEM minimum objective function value (OFV) of 10.83, corresponding to a nominal p-value of 0.001 for a  $\chi^2$  distribution with 1 degree of freedom, was considered as the threshold criterion for including an additional model parameter. For categorical endpoints, residuals have been defined but are not practically useful [\[26](#page-11-0)]. Visual predictive check (VPC) with 500 replicates was used for model evaluation [\[27](#page-11-0)]. For categorical endpoints, the observed frequencies were overlayed with the VPC intervals (VPCI) constructed from the simulated median, 5%, and 95% percentiles of the model predicted frequencies [[28\]](#page-11-0). Note that percentiles, e.g., 5% and 95%, are not meaningful for raw observed categorical endpoints.

#### Results

#### Demographics and baseline characteristics

Baseline body weight, the only influential PK covariate, ranged between 40 and 145 kg, with a mean (SD) of 71 (18) kg. Mean rectal bleeding and stool frequency scores and median fecal calprotectin concentrations were similar among treatment groups as shown in Table [1](#page-5-0). More detailed demographics and baseline covariates were reported elsewhere [[17\]](#page-10-0).

#### Symptomatic remission

The 2-pathway independence model was fitted first. The parameter estimates and estimation precision were reasonable for the study size (data not shown). The VPC results are shown in Fig. [2](#page-5-0) (left column). The model notably overpredicted the combination treatment response but underpredicted the golimumab treatment response. This suggests potential pharmacodynamic interactions.

The Interaction model was fitted next. Compared with the 2-pathway independence model, the NONMEM OFV improved by more than 100, indicating significant improvement of the fit. An attempt of including the ratio of  $E_{max,gus}$  and  $E_{max,gol}$  as an additional parameter resulted in a point estimate of  $E_{max,gas} = 0.4$ , with an insignificant OFV change of 6 and convergence difficulties, thus it was not kept in the model. Subsequent exploration showed that neither individual component,  $f_{\text{gas}}(t)$  or  $f_{\text{go1}}(t)$ , could be removed from the model, suggesting that all 3 pathways contributed to the combination effect of guselkumab and golimumab. Setting  $DE$  and  $k_{out}$  parameters of different components to be equal mostly resulted in significantly worse fits. However, setting  $IC_{50,APA}$ ,  $_{eus} = IC_{50, gus}$  and  $IC_{50,APA, gol} = IC_{50, gol}$  resulted in a negligible NONMEM OFV change, indicating the lack of significant difference. This was considered the final model, and the parameter estimates are given in Table [2.](#page-6-0) Estimation precision was reasonable, with relative standard errors  $(RSEs) < 40\%$ except for those of  $IC_{50}$  parameters where difficulties with parameter estimation may be expected with only single drug doses used. The condition number of the model, calculated as the ratio between the largest and smallest eigenvalues of the variance-covariance matrix, was  $\sim 100$ which was reasonable. The VPC results improved notably from the independence model (Fig. [2](#page-5-0), right column) and appeared to reasonably describe the observed data.



#### <span id="page-5-0"></span>Table 1 Baseline characteristics



Fig. 2 Visual predictive check results of the symptomatic remission independence and interaction models by treatment group. Key: VPCI, visual predictive check interval; Independence: 2-way independence model; Interaction: 3-way interaction model

## Rectal bleeding score

The 2-pathway independence model was also fitted first. The parameter estimates and estimation precision were reasonable for the study size (not shown). The VPC results are shown in Fig. [3](#page-7-0) (left side). Also here, the model notably overpredicted the combination treatment response but underpredicted the single treatment responses. This suggests potential pharmacodynamic interactions.

Parameter	Unit	Description	Symptomatic remission $(\%$ RSE)	Rectal bleeding $(\%$ RSE)	Stool frequency $(\%$ RSE)	Fecal calprotectin
$\alpha_I$		Intercept	$-6.48(10)$	$-0.879(23.4)$	$-2.47(10.8)$	
$d_0$		Distance between intercepts		2.06(6.97)	3.32(4.86)	
$d_2$		Distance between intercepts		4.79 (12.5)	2.42(6.24)	
$Var(\eta)$		<b>BSV</b> variance	6.4(16.1)	5.23(19.5)	6.82(12.6)	2.13(6.26)
$k_{out, gus}$	1/day	Drug effect onset rate constant	0.00882(44.9)	0.0102(79.2)	0.00777(55.8)	0.0171(13.8)
$IC_{50, gus}$	$\mu$ g/mL	Potency	0.0805(53)	0.071(191)	0.217(71.5)	0.419(27.7)
$DE_{gus}$		Maximum drug effect on the latent variable scale	3.02(30.4)	2.33(52.2)	1.92(36.3)	
$k_{out, gol}$	1/day	Drug effect onset rate constant	0.0103(62.2)	0.0109(47.2)	0.00508(0.0729)	0.0494(23)
$IC_{50, \text{gol}}$	$\mu$ g/mL	Potency	0.387(64)	1.81(53.7)	1.13(65.3)	2.06(30.4)
$DE_{gol}$		Maximum drug effect on the latent variable scale	2.6(38.6)	4(37.8)	4.33(31.1)	
$k_{out,APA}$	1/day	Drug effect onset rate constant	0.0833(24.1)	0.0656(48.6)	0.0694(17.5)	
$DE_{APA}$		Maximum drug effect on the latent variable scale	11.8(14.3)	6.17(35.8)	7.1(14.4)	
$log(B_{FC})$	$\log(\mu g)$ g)	Baseline fecal calprotectin				7.08(1.56)
$Var(\varepsilon)$		Residual error on log scale				1.48(11.8)

<span id="page-6-0"></span>Table 2 Final exposure-response model parameter estimates

Key: RSE relative standard error, BSV between-subject variability

The Interaction model was fitted next. Compared with the 2-pathway independence model, the NONMEM OFV improved more than 60, indicating significant improvement of the fit. Subsequent exploration showed that neither individual component,  $f_{\text{gas}}(t)$  or  $f_{\text{gas}}(t)$ , could be removed from the model. However, setting  $IC_{50,APA, gus} = IC_{50, gus}$ and  $IC_{50,APA, gol} = IC_{50, gol}$  resulted in a NONMEM OFV increase of  $\lt 5$ , indicating the lack of significant difference. This was considered the final model, and the parameter estimates are given in Table 2. Estimation precision was reasonable, with relative standard errors  $(RSEs) < 40\%$  for most parameters except  $DE_{gus}$  and the independent pathway  $k_{out}$  and  $IC_{50}$  parameters. The condition number of the model was  $\sim 200$  which was rea-sonable. The VPC results, shown in Fig. [3](#page-7-0) (right side), notably improved from the independence model and, with the overall variability, appeared to reasonably describe the observed data.

## Fecal calprotectin

Equations [11–12](#page-4-0) were fitted to the data. Estimates of  $E_{max,gus}$  and  $E_{max,gol}$  approached 1 and thus were fixed at 1. No additional BSV effects were supported by the data. The final model parameter estimates are given in Table 2. Estimation precision was reasonable, with  $RSE < 30\%$  for all parameters. The condition number of the model was

 $<$  10 which was reasonable. No additional BSV terms were supported other than for the baseline. The VPC results for fecal calprotectin and the rate of achieving fecal calprotectin  $\lt$  100 µg/g are shown in Figs. [4](#page-8-0) and [5,](#page-9-0) respectively. The model reasonably described the observed data.

## **Discussion**

To our knowledge, longitudinal ER modeling of categorical clinical endpoints has not yet been conducted for combination drug therapy. We have shown that the latent variable IDR framework conveniently allows mechanismbased assessments. For the clinical symptoms endpoints (e.g., rectal bleeding score, stool frequency score and symptomatic remission), the 2-way independence models consistently overpredicted the combination treatment response but underpredicted the single treatment responses. This suggested potential pharmacodynamic interactions in the sense that, if the parameter estimations were to be changed to increase the single treatment predictions, the independence models would also push the combination treatment response prediction higher and thus even further away from the observed data. The findings that the

<span id="page-7-0"></span>

Fig. 3 Visual predictive check results of the rectal bleeding score independence and interaction models by treatment group. Key: VPCI, visual predictive check interval; Independence: 2-way independence model; Interaction: 3-way interaction model

combination treatment responses are less than the independence model-predicted single treatment responses are consistent with the Agonist – Partial Agonist mechanism. The 3-way interaction models notably improved the model fits as compared to the 2-way independence models. The estimated maximal effect and the rate of onset, i.e., DE and  $k_{out}$ , under the Agonist – Partial Agonist pathway were much larger than those under the single pathways, indicating strong interaction effects with faster onset. The fact that the guselkumab and golimumab  $IC_{50}$  parameters could not be separately estimated between the different

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pathways may be attributed to the lack of data. The similarity of the final model structures also lends additional confidence in the appropriateness of the selected models. Other mechanism-based models, such as those given in [\[11](#page-10-0)], may be used if deemed appropriate, especially if the mechanism of drug interaction is known.

As symptomatic remission is defined in terms of rectal bleeding and stool frequency scores, the question arises as to why not use the developed rectal bleeding and stool frequency models to predict symptomatic remission. However, an attempt of this resulted in substantial biases

<span id="page-8-0"></span>

Fig. 4 Visual predictive check results of the fecal calprotectin model by treatment group. Key: VPCI, visual predictive check interval

(data not shown), despite the apparent adequacy of the rectal bleeding and stool frequency models in describing the observed data. An attempt of jointly modeling rectal bleeding and stool frequency using the shared random effect framework [\[29](#page-11-0)] did not help either. This finding may be explained because rectal bleeding and stool frequency models must predict not only mean outcomes, but also BSVs of all model parameters and their correlations. It recently has been shown that this task is difficult to achieve with only categorical data, and the joint modeling with an additional endpoint with continuous data granularity would be necessary to facilitate effective identification of the important random effects [\[30](#page-11-0)]. For this reason, a separate model was developed for symptomatic remission, a commonly used stringent clinical endpoint for signs and symptoms in UC.

For the continuous clinical endpoint fecal calprotectin, the 1-way independence model reasonably described the data. Including an additional Agonist – Partial Agonist interaction term into the model resulted in no

<span id="page-9-0"></span>

#### 90% VPCI - - Observed - Predicted

Fig. 5 Visual predictive check results of the fecal calprotectin model on the proportion of subjects achieving  $\lt$  100 mg/kg by treatment group. Key: VPCI, visual predictive check interval

improvement, which further confirmed the adequacy of the 1-way independence model. The ability of the model to describe the rate of achieving fecal calprotectin  $\langle 100 \mu g /$ g added further confirmation, as a model may describe the originally fitted endpoint but fail to describe a derived endpoint [[31\]](#page-11-0).

Longitudinal ER modeling of clinical endpoints facilitates effective decisions in clinical development [\[2](#page-10-0)]. For combination studies, using the full exposure and clinical endpoint time course information can be particularly important to assess the potential mechanism of drug interaction and to more effectively inform on possible clinical outcomes under alternative dosing regimens. Due to the limited number of dose groups and patients in this application, the findings, including the interaction mechanism, should be considered exploratory.

## <span id="page-10-0"></span>Conclusion

The latent variable IDR approach provides a convenient framework which allows mechanism-based interaction assessment of combination therapies using categorical clinical endpoints. The approach also extends readily to continuous clinical endpoints.

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## **Declarations**

Conflict of interest C Hu, M Vetter, and D Ouellet are employees of Janssen Research & Development, LLC and own stock/stock options. A Vermeulen is an employee of Janssen Research & Development, a division of Janssen Pharmaceutica NV and owns stock/stock options.

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