

# **AAC: Adaptively Adjusting Concurrency by Exploiting Path Diversity in Datacenter Networks**

**Weimin Gao1,2 · Jiawei Huang1 · Shaojun Zou1 · Weihe Li1 · Jianxin Wang1 · Jianer Chen3**

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## **Abstract**

Recent datacenter load balancing designs make full use of all available multiple paths to achieve high bisection bandwidth and support the increasing traffic demands. However, a multitude of uncertainties, such as congestion and asymmetry, easily leads to long tailed latencies for unlucky fows on bad paths. In this paper, we aim at adjusting the maximum number of multiple paths used by existing load balancing designs to achieve good tradeof between the tailed latency and link utilization. Specifcally, we propose a packet-level load balancing called scheme Adaptively Adjusting Concurrency (AAC) to spread packets across the multiple paths, which are adaptively selected according to path diversity. AAC is deployed at switch, without any modifcations on end-hosts. The experimental results of NS2 simulation and Mininet implementation show that AAC signifcantly reduces the fow completion time by ∼21–56% over the state-of-the-art datacenter load balancing designs including MPTCP, LetFlow and RPS.

**Keywords** Datacenters · Path diversity · Flow completion times · Concurrency

## **1 Introduction**

Modern data centers with thousands of servers host a variety of large-scale data processing applications and services such as web search, cloud storage, and big-data analytics. A rich body of datacenter load balancing schemes has emerged to improve transmission performance for the increasing traffic over the multiple paths in multirooted tree topologies such as Fat-tree [[1\]](#page-22-0) and Clos [[2\]](#page-22-1).

Equal Cost MultiPath (ECMP) [\[3](#page-22-2)] is the standard load balancing scheme used in data center network. However, as randomly assigning fows across available paths

 $\boxtimes$  Jiawei Huang jiaweihuang@csu.edu.cn

Extended author information available on the last page of the article

using fow hashing, ECMP performs poorly due to hash collisions and low link utilization. To resolve the problem, many congestion-aware load balancing schemes are proposed. For example, MPTCP [\[4](#page-22-3)] uses parallel subfows to minimize packet reordering and obtain high link utilization. Random Packet Spraying (RPS) [\[5](#page-22-4)], DRILL [\[6](#page-22-5)] and Hermes [\[7](#page-22-6)] flexibly split flows at packet-level to make full use of all available paths.

Unfortunately, these state-of-the-art load balancing schemes do not consider the important feature that a multitude of uncertainties widely exist in production data centers. The traffic dynamic, topology asymmetry and switch failure arise as data center operate over time [[7,](#page-22-6) [8](#page-22-7)]. Under such uncertainties, the multiple paths become diverse or asymmetric. When load balancing schemes scatter packets on the bad or congested paths, the unlucky fows unavoidably experience unpredicted congestion and packet disordering, resulting in long tailed latency and suboptimal network goodput.

In this paper, we explore a self-adjusting approach AAC that selects the multiple paths used by existing load balancing designs to achieve both low tailed latency and high link utilization. To mitigate the impact of uncertainties under high path asymmetry, AAC shrinks the number of multiple paths to avoid high tailed latency and packet reordering. On the contrary, when the path asymmetry is low, AAC uses more paths to spread packets to achieve high utilization and network goodput. Moreover, AAC only needs to be deployed on switch, while making no modifcations on existing TCP/IP protocols at end hosts.

In summary, our major contributions are:

- We conduct an extensive simulation-based study to analyze the impact of path asymmetry on the load balancing performance. We demonstrate experimentally and theoretically why controlling number of paths is efective in avoiding large tailed fow completion time (FCT) and packet reordering under large path asymmetry.
- We propose a packet-level load balancing scheme AAC to spread packets across the multiple paths, which are adaptively selected according to path diversity. AAC rationally adjusts the number of paths to improve link utilization under small path asymmetry and reduce tailed latency under large path asymmetry.
- By using both Mininet testbed and large-scale NS2 simulations, we demonstrate that AAC performs remarkably better than the state-of-the-art load balancing designs under different realistic traffic workloads. Especially, AAC greatly reduces the tailed FCT by ∼21–56% over MPTCP, LetFlow and RPS.

The remainder of this paper is structured as follows. In Sect. [2,](#page-2-0) we describe our design motivation. The design detail of AAC is presented in Sect. [3](#page-4-0). In Sects. [4](#page-12-0) and [5](#page-20-0), we show the experimental results of NS2 simulation and Mininet implementation, respectively. In Sect. [6](#page-21-0), we demonstrate existing approaches. Finally, we conclude the paper in Sect. [7](#page-22-8).

#### <span id="page-2-0"></span>**2 Background and Motivation**

In this section, we present empirical studies to show the path asymmetry is very common in the modern data centers. Then, we analyze the impact of path asymmetry on load balancing performance and demonstrate that controlling number of paths is efective in reducing latency under large path asymmetry.

#### **2.1 Path Asymmetry in Production Data Center**

Modern data center networks use multi-rooted tree topologies such as Fat-tree and Clos to enable multiple paths between host pairs. However, the multiple paths become asymmetric under network uncertainties, such as traffic dynamic and switch failure  $[9-12]$  $[9-12]$  $[9-12]$ . In the following, we measure the round trip time of multiple paths in a production data center to show the wide existence of path asymmetry.

To investigate the path asymmetry, we analyze the network trace of an university data center in a leaf-spine architecture with 26 switches and 120 servers. There are 10 spine switches(Huawei Quidway S9306) and 16 leaf switches(Ruijie RGS-2928G), respectively. The uplink and downlink bandwidth of switches are 1Gbps. The university data center provides a variety of services including web service, distributed database system and E-mail service.In the test, one host sends 1000 ping packets to other 10 application servers under diferent leaf switches. Each application server responds with 100 pong messages. Then the host measures the average RTT of each path to application server. RTT distribution is shown in Fig. [1](#page-2-1)a. The path RTT varies from 25 to 1271 μs.

In the production network, the RTT distribution is efected by the locations of source and destination hosts [[13,](#page-23-1) [14\]](#page-23-2). The RTT between servers in the same rack is usually low. However, the network traffic changes greatly between racks, easily forming a long-tailed distribution. Figure [1b](#page-2-1) shows the RTT's CDF. Though the median RTT is 408 μs, there are also about 10% cases where the RTT value becomes larger than 1 *m*s.



<span id="page-2-1"></span>**Fig. 1** RTT measurement results in production data center

#### **2.2 Impact of Path Asymmetry on Load Balancing Performances**

In order to explore the impact of path asymmetry on load balancing performances, we use the NS2 simulation to test the performance of RPS, which is the typical datacenter load balancing design already implemented on the commodity switches. The test topology is a leaf-spine topology [\[15](#page-23-3)] with 10 spine switches and 2 leaf switches. The bandwidth of each path and bufer size of each switch are 1Gbps and 250 packets, respectively. Each sender sends a DCTCP fow to a single receiver via leaf switches with RPS scheme, which randomly spreads the arriving packets to all 10 paths. To produce the path asymmetry, we change the round trip propagation delay of each path.

Firstly, we measure the fow completion time of 10 short fows respectively transferring 20 packets (1500 Byte per packet). We set the round trip propagation delay of one path as 100  $\mu$ s and increase RTT by RTT difference  $D_t$ . The degree of path asymmetry increases with larger RTT diference. Figure [2](#page-3-0)a shows the fow completion time of each fow under diferent degree of path asymmetry. When the RTT difference is 0, all fows experience almost the same fow completion time. With larger degrees of path asymmetry, however, more packets are blocked on the slow paths, resulting in larger flow completion time.

Next, we set the RTT diference to 100 μs and change the number of paths used by RPS to test the average fow completion time (AFCT). Here, the used paths are randomly selected from 10 paths with diferent round trip propagation delay. The experiments are repeated for 20 times to measure AFCT. Figure [2b](#page-3-0) shows the test results with diferent number of fows. When the number of paths is small (i.e.,< 5), AFCT decreases with more paths because of the larger link utilization. However, when the number of paths is larger than 5, AFCT becomes larger with more paths, because the probability of packets scattered on slow paths also increases, eliminating the beneft of larger link utilization.

Finally, we test the impact of path asymmetry in the realistic workloads of web search and data mining. In the web search workload, about 30% fows are larger than 1MB, while in data mining less than 5% fows are larger than 35MB [\[16](#page-23-4), [17\]](#page-23-5). Figure [3](#page-4-1) shows the average and 99th percentile fow completion time with increasing



<span id="page-3-0"></span>**Fig. 2** FCT under diferent path asymmetry



<span id="page-4-1"></span>**Fig. 3** FCT under realistic workloads

number of paths. The RTT diference is 100 μs. Figure [3](#page-4-1)a shows that AFCT of both workloads frstly declines and then arises with the increasing number of paths. In Fig. [3b](#page-4-1), the 99th percentile FCT shows the similar trend. This result shows that, more paths reduce the tailed delay under small path asymmetry. However, under large path asymmetry, more packets experience large tailed delay with more used paths, resulting in large 99th percentile FCT.

#### **2.3 Summary**

Based on the above analysis, we draw the following conclusions that (i) more paths provide higher link utilization to reduce fow completion time under small path asymmetry, (ii) more paths easily increase the tailed delay under large path asymmetry. These conclusions motivate us to design a novel load balancing scheme that adjusts the maximum number of multiple paths to achieve good tradeoff between the tailed latency and link utilization. In the rest of this paper, we present our AAC scheme as well as a prototype implementation in real testbed system.

## <span id="page-4-0"></span>**3 Adaptively Adjusting Concurrency**

In this section, we will frstly describe the design overview of AAC. Then, we present the details on how to measure the path delay at switch. Moreover, we theoretically analyze how to obtain the optimal number of concurrent paths and give the details of adaptive adjusting the number of paths according to network congestion state. Finally we evaluate the accuracy of model analysis by comparing the results of theoretical analyze and simulation test.

## **3.1 Design Overview**

In Fig. [4](#page-5-0), we plot the architecture of AAC, which includes the congestion detection module and path adjustment module. Firstly, AAC measures the delay between the source and destination leaf switch (i.e., leaf-to-leaf delay) to refect the real-time



<span id="page-5-0"></span>**Fig. 4** The architecture of AAC

congestion state of end-to-end path. According to current and history delay information, the paths are divided into congested paths and uncongested ones. With the path states, AAC makes packet-level forwarding decisions for each arrival packet. Specifcally, AAC calculates the optimal number of paths *n* based on the path diversity. Then AAC spreads packets on *n* paths to balance the long tailed delay and high link utilization.

AAC design involves several key challenges. Firstly, AAC needs to gather the leaf-to-leaf delay to distinguish the congested and uncongested paths. Secondly, the path adjustment strategy should cope with the rapid changes in network dynamics with limited overhead. Finally, AAC should be compatible with existing transport layer protocols for large-scale deployment in production data centers.

#### **3.2 Leaf‑to‑leaf Delay Measurement**

Modern data center networks are usually organized in multi-rooted tree topologies, in which the load balancing schemes split fows across multiple paths between the source leaf switch and the destination one. To obtain the path congestion state, AAC should measure the leaf-to-leaf delay between the source and destination switch. However, though it is not hard for end host to measure the round-trip-time (RTT), leaf-to-leaf delay measurement at switch is still a challenging task.

Traditional, delay measurement can be divided into active and passive measurement. In the active measurement, the probe packets are proactively injected into network. Though the active measurement can obtain accurate results, it inevitably introduces additional traffic overhead. On the other hand, the passive measurement only monitors the network traffic and measures the delay without any traffic overhead. Due to its passive feature, however, the passive measurement potentially suffers from the accuracy loss [\[18](#page-23-6)].

In our design, we propose an efective yet simple scheme on the leaf switch to accurately measure the leaf-to-leaf delay without additional traffic overhead. Specifcally, the source leaf switch frstly records the sequence number and departure time of a data packet. Then, once receiving the corresponding ACK packet of the data packet, this switch calculates the path delay by subtracting the departure time of

the data packet from the receiving time of the ACK packet. To limit the computing and memory overhead, the leaf switch measures the path delay every 100 μs. Furthermore, since the congestion on the reverse path has negative efect on the measurement accuracy, AAC lets ACK packets have higher priority than data packets to reduce the queueing delay of ACK packets [\[19](#page-23-7)].

Figure [5](#page-6-0) illustrates how to measure the leaf-to-leaf delay at switch [\[20](#page-23-8)]. The leaf switch  $L_1$  scatters packets across multiple paths to the destination switch  $L_2$ . The 1st data packet is forwarded to the core switch  $C_2$ , while the 2nd data packet is sent to  $C_1$ . If  $L_1$  needs to measure the real-time delay of a path, it selects a data packet being forwarded to the path as the probe packet. For example, when the 2nd data packet arrives at  $L_1$ , it is chosen as probe packet to measure the delay of path  $L_1 \rightarrow C_1 \rightarrow$  $L<sub>2</sub>$ . Then  $L<sub>1</sub>$  records the sequence number and departure time of the 2nd data packet. When the ACK packet of the 2nd data packet is received by  $L_1$ ,  $L_1$  get the real-time delay of path  $L_1 \rightarrow C_1 \rightarrow L_2$  by subtracting the departure time of the data packet from the receiving time of the ACK packet. It is worth noting that the data packet and its corresponding ACK may be transmitted on diferent paths. Fortunately, whether data packet and its ACK are transmitted on the same path or not, our design can measure global path delay.

On the other hand, though  $L_2/L_3$  switch cannot track transport layer header information like sequence number, fortunately, the commonly used switch can obtain these information in current production data center network. For example, the default load balancing scheme at datacenter switch is ECMP, which hashes each fow to one path according to 5-tuple information in TCP and IP header. Therefore, AAC also utilizes the information of TCP header (i.e., sequence number) to measure the leaf-to-leaf delay.

#### **3.3 Tuning the Flow Concurrency**

In the multipath transmission, a large number of concurrent paths provide high link utilization to reduce fow completion time under small path asymmetry, while easily sufering from the long tailed delay under large path asymmetries. Therefore, AAC elaborately tunes the fow concurrency under diferent degrees of path asymmetry. In this section, we use the continuous-time absorption birth-death Markov model to analyze the optimal flow concurrency  $[21-23]$  $[21-23]$ .

We consider the typical case that *m* concurrent TCP flows are transferred on *m* paths, which include  $\delta$  bad paths and  $m-\delta$  good ones. For simplicity, we assume that the round trip time of good and bad paths are *BaseRTT* and *MaxRTT*

<span id="page-6-0"></span>**Fig. 5** RTT measurement on switch





, respectively. According to reference [[24](#page-23-11), [25](#page-23-12)], the empirical threshold for the *MaxRTT* is set as 2x average *RTT* of all paths. We summarize the key notations in Table [1.](#page-7-0)

In data center, most TCP fows are very small (normally less than 100KB [\[26\]](#page-23-13)) and usually can be fnished in their slow-start phase. For example, the transfer of a 40 KB fow only needs 5 rounds of RTT. During slow-start phase, the TCP congestion window exponentially increases in every round of RTT. Given the initial congestion window of *k* packets, the congestion window in the *k*-th round of RTT is  $2^k$  [\[27,](#page-23-14) [28\]](#page-23-15). Then, for a flow with its size as  $F_{size}$  packets, the total number of RTTs *r* to fnish transmission is

<span id="page-7-1"></span>
$$
r = \log_2\left(\frac{F_{\text{size}}}{k} + 1\right). \tag{1}
$$

We assume that there are *m* TCP flows arriving at the same output of source leaf switch and their arrival times follow a Poisson process. Given the average packet arrival rate  $\lambda$  and the service rate  $\mu$ , we get the flow intensity  $\rho$  as  $\rho = \frac{\lambda}{\mu}$ .

According to the history data trace, AAC can randomly select multiple paths, and the probability that each path becomes bad path is diferent. For example, according to reference  $[19]$ , each path has a less than  $10\%$  probability of becoming a bad path. To simplify the analysis, we assume that there are *m* paths from the source leaf switch to the destination leaf switch, and only one path is a bad path. When the switch selects *n* paths from *m* paths, and the probability that the switch selects bad paths is  $p = \frac{n}{m}$ .

We assume that the link capacity of one good path and bad one are *C* and  $\frac{C \times BaserRTT}{MaxRTT}$ , respectively. For *n* parallel paths, we get the average service rate  $\mu$  as

<b>Notations</b>	Implication
$F_{\mathit{size}}$	The size of flow
$\boldsymbol{p}$	Probability of selecting hotspot path from $m$ paths
<b>BaseRTT</b>	The round trip time of good paths
<b>MaxRTT</b>	The round trip time of bad paths
<b>FCT</b>	Flow completion time
$\bar{\lambda}$	Average arrival rate
$\mu$	Average service rate
$\rho$	Traffic intensity of each path
$\mathcal C$	Link bandwidth
k	Initial window size in slow-start
$\lambda_i$	Average arrival rate when packet amount is $i$ in the stationary state
$\mu_i$	Average service rate when packet amount is $i$ in the stationary state
$\rho_i$	The traffic intensity when packet amount is $i$ in the stationary state
$p_i$	State distribution after the system reaches equilibrium
X	Degree of path diversity

<span id="page-7-0"></span>**Table 1** Notations defnition

 $\epsilon$ 

$$
\mu = (1 - p) \times n \times C + p \times n \times C \times \frac{BaseRTT}{MaxRTT}.
$$
\n(2)

We define the degree of path diversity X as  $X = \frac{MaxRTT}{BaseRTT}$ , according to the birth and death process of queuing theory, the equilibrium equation in any state can be calculated as

$$
\begin{cases} \n\mu_1 \cdot p_1 = \lambda_0 \cdot p_0 & i = 0 \\ \n\lambda_{i-1} \cdot p_{i-1} + \mu_{i+1} \cdot p_{i+1} = (\lambda_i + \mu_i) \cdot p_i & i \ge 1, \n\end{cases}
$$
\n(3)

where  $i$  and  $p_i$  denotes the number of packets and the state distribution after the system reaches equilibrium, respectively [\[29](#page-23-16)].

According Eq.  $(3)$  $(3)$ , we have

<span id="page-8-0"></span>
$$
\begin{cases}\np_1 = \frac{\lambda_0}{\mu_0} \times p_0 & i = 0 \\
p_{i+1} = \frac{\lambda_i \lambda_{i-1} \dots \lambda_0}{\mu_{i+1} \mu_i \dots \mu_1} \times p_0 & i \ge 1.\n\end{cases} \tag{4}
$$

For the sake of description, we use  $C_i$  to denote  $\frac{\lambda_i \lambda_{i-1} \ldots \lambda_0}{\mu_{i+1} \mu_i \ldots \mu_1}$ . Then distribution of the stationary state can be expressed as

<span id="page-8-2"></span>
$$
p_i = C_i \times p_0, i \ge 1.
$$
 (5)

According to the probability distribution, we have

$$
\sum_{i=0}^{\infty} p_i = \left( 1 + \sum_{i=1}^{\infty} C_i \right) \times p_0 = 1.
$$
 (6)

Then we have

<span id="page-8-1"></span>
$$
p_0 = \frac{1}{1 + \sum_{i=1}^{\infty} C_i}.
$$
 (7)

For one queue, it follows M/M/1/ $\infty$ . Then we have  $\lambda_i = \lambda$  and  $\mu_i = \mu$  (*i* ≥ 0). Besides, the traffic intensity is  $\rho = \frac{\lambda}{\mu}$  and  $C_i$  can be expressed as  $(\frac{\lambda}{\mu})^i$ . Therefore, Eq.([7\)](#page-8-1) and  $(5)$  $(5)$  can be rewritten as

$$
p_0 = \frac{1}{1 + \sum_{i=1}^{\infty} \rho^i} = \left(\sum_{i=0}^{\infty} \rho^i\right)^{-1} = \left(\frac{1}{1 - \rho}\right)^{-1} = 1 - \rho,
$$
 (8)

$$
p_i = \rho^i \times p_0 = (1 - \rho) \times \rho^i, i \ge 1.
$$
 (9)

According to the distribution of queue length in the stationary state, the average queue length  $\bar{L}$  can be caculated as

$$
\bar{L} = \sum_{i=0}^{\infty} i \times p_i = \sum_{i=1}^{\infty} i \times (1 - p) \times \rho^i = \frac{\rho}{1 - \rho}.
$$
 (10)

Furthermore, we can leverage the Little formula to calculate the queueing delay  $t<sub>a</sub>$  of packets as

<span id="page-9-0"></span>
$$
t_q = \frac{\bar{L}}{\lambda} = \left(\frac{\rho}{1-\rho}\right) \times \frac{1}{\lambda} = \frac{1}{\mu - \lambda}.\tag{11}
$$

Combining Eq. [\(1](#page-7-1)) and [\(11](#page-9-0)), we can obtain the average flow completion time  $(FCT)$ as

$$
\overline{FCT} = r \times t_q = \frac{\log_2(\frac{F_{size}}{k} + 1)}{\mu - \lambda}.
$$
\n(12)

To get the optimal fow completion time, we calculate the derivative of *FCT* with respect to *n* as

<span id="page-9-1"></span>
$$
\frac{\mathrm{d} \overline{FCT}}{\mathrm{d} n} = \frac{\log_2(\frac{F_{size}}{k} + 1)}{(1 - \rho)} \times \frac{1}{\frac{\mathrm{d}\mu}{\mathrm{d} n}} \n= \frac{\log_2(\frac{F_{size}}{k} + 1)}{(\rho - 1) \times \mu^2} \times \frac{\mathrm{d}\mu}{\mathrm{d} n}.
$$
\n(13)

With Eq. (2), we calculate the derivative of  $\mu$  with respect to *n* as

$$
\frac{d\mu}{dn} = \frac{C}{m \times X} \times \frac{d((1 - X \cdot C) \cdot n^2 + X \cdot C \cdot n)}{dn}
$$
  
= 
$$
\frac{C}{m \times X} \times (2 \times n \times (1 - X) + m \times X).
$$
 (14)

Then we let  $\frac{d(\overline{FCT})}{dn} = 0$ . In the right side of Eq. ([13\)](#page-9-1), only  $\frac{d\mu}{d\theta} = 0$  can satisfy the condition. It is very easy to deduce  $(2 \times n \times (1 - X) + m \times X) = 0$ . Therefore, the optimal concurrency for AAC is given by:

$$
n = \begin{cases} \left\lfloor \frac{m \times X}{2 \times (X-1)} \right\rfloor & X > 2; \\ m & 1 \le X \le 2. \end{cases} \tag{15}
$$

We evaluate the correctness of the theoretical analysis by NS2 simulations. In this test, the sender leverages TCP as the underlying transport protocol. Besides, the fow size, *MaxRTT* and *BaseRTT* are 200 packets, 1000 μs and 100 μs, respectively. Other parameters are the same as the experimental scenario in Sect. II-B.

When the number of paths *n* increases from 1 to 10, we calculate the theoretical completion time FCT for a fow with size of 200 packets. The experimental value of FCT is consistent with the varying trend in NS2 simulation test (Fig.  $6$ ).

<span id="page-10-0"></span>

#### **3.4 AAC Algorithm**

Based on above analysis, we obtain the optimal number of transmission paths. However, under the dynamic network traffic, RTT may change dynamically. It is unreasonable to adopt a fixed flow concurrency. Thus, we design the adjustment strategy shown in Algorithm 1, which consists of path congestion detector module and concurrency optimization module.

**Algorithm 1:** Adaptive Adjusting Concurrency Algorithm **Input:**  $m$  /\*The number of paths in DCN between leaf switches\*/ **Output:**  $n$  /\*The number of paths will be selected\*/ 1 Initialization; 2  $p_i$ .mode  $\leftarrow \emptyset$ ; path $|| \leftarrow all paths$ ;  $3\ n \leftarrow m$ ;  $\delta \leftarrow 100\mu s$ ;  $\delta t \leftarrow 40\mu s$ ; 4 /\* Path congestion detection module \*/; 5 if the timer is timeout then  $T_{BaseRTT} = +\infty; T_{MaxRTT} = 0; Sum = 0;$ 6 for each path  $p_i$  $\epsilon$ path $\left[\right]$  do  $\overline{7}$  $T_{BaseRTT} = MIN(T_{BaseRTT}, p_i.rtt)$ ; 8  $T_{MaxRTT} = MAX(T_{MaxRTT}, p_i.rtt);$  $\ddot{\mathbf{9}}$  $Sum+=p_i.rtt;$ 10  $T_{avg} = \frac{Sum}{m};$ <br>  $X = \frac{T_{MaxRTT}}{T_{BaseRTT}};$ 11  $12$  $13$ **for** each path  $p_i$ **e** $path$  **do** if  $p_i.rtt > 2 \times T_{avg}$  then 14  $\vert p_i \rangle \mod{m} =$  congested; 15 else 16  $p_i$ .mode = uncongested; 17 18 /\* Concurrency Optimization Module \*/; 19 if the path state changes then  $n = \left\lfloor \frac{m \times X}{2 \times (X-1)} \right\rfloor$ 20 21 return  $n$ 

#### **3.4.1 Path Congestion Detector Module**

The path congestion detector at the sender side of AAC periodically (e.g.100 μs) sends probe packets to measure the congestion state. If a path has the RTT larger than 2X average RTT of all paths, this path is deemed abnormal. Then, the abnormal path is deleted from the available path set, and AAC recalculates the optimal number of transmission path.

#### **3.4.2 Concurrency Optimization Module**

When the path state changes, AAC recalculates the optimal number of paths based on the current number of available paths, the congested paths and uncongested paths.

As a typical fow-based multi-path algorithm, ECMP randomly hashes the fow to one of the equivalent paths [[30\]](#page-23-17). LetFlow uses fowlet as the switching granularity to randomly send fowlets to available paths. Thus, the time complexity of ECMP and Letfow is O(1). Since AAC needs to probe all *m* paths to adjust the fow concurrency, its time complexity is  $O(m)$ . Fortunately, in the typical leaf-spine topology, the number of leaf-to-leaf paths *m* is the number of leaf switches, which is not very large.

## <span id="page-12-0"></span>**4 Simulation Experiment**

In this section, we conduct large-scale NS2 simulations to evaluate AAC performance. Firstly, we conduct the feature test to evaluate the basic performance of AAC. Secondly, we test AAC performance under symmetric and asymmetric topologies. Finally, we compare performance of AAC with the other state-of-the-art load balancing schemes such as ECMP, RPS, MPTCP and LetFlow in realistic datacenter workloads.

#### **4.1 Feature Test**

We firstly conduct NS2 simulations to test how AAC and RPS deal with path diversity under the network uncertainty. The experimental topology is shown in Fig. [7](#page-12-1) [\[31](#page-23-18)]. There are 4 available paths path1 path4 from the one leaf switch to another leaf switch. The RTT of each path is 100 μs.The downlink and uplink bandwidth of leaf switch is 1 Gbps.

In this test, one sending host under one leaf switch sends a fow to a receiving host under another leaf switch. Path<sub>1</sub> is hotspot path with latency of 500 μs. Here, we compare the performances of RPS and AAC on the source leaf switch.

Figure [8](#page-13-0) shows the instantaneous throughputs on path1–path4. As shown in the Fig. [8](#page-13-0)a, RPS randomly spreads packets across all paths. Thus, the instantaneous throughputs of 4 paths are almost equal. However, though path1 experiences



<span id="page-12-1"></span>**Fig. 7** Simple test topology



<span id="page-13-0"></span>**Fig. 8** RPS vs. AAC path throughput comparisons

link failure, RPS still sends packets on all paths, resulting in throughputs loss on all paths. The reason is that, when some packets are transferred on the slow path by RPS, these unlucky packets may lead to out-of-order issue at the receiver and thus unnecessary reduction of TCP congestion window at the sender side. As a result, even when only one path deteriorates, the instantaneous throughputs of four paths are decreased.

In contrast, as shown in Fig. [8b](#page-13-0), AAC adaptively decreases the fow concurrency once a hotspot path occurs. After detecting the RTT diversity, AAC decreases the number of paths to 3 until it fnally selects the fast paths. Since the packets automatically avoids the slow path, the instantaneous throughputs of the remaining three paths increase, showing good adaptability in load balancing.

Next, since most flows are short ones in data center traffic, we compare the performances of short fows under AAC and RPS. We test 10 short fows with 100 packets in a leaf-spine topology, which has 10 paths between two leaf switches. The round trip propagation delay of 9 paths and one hotspot path are 70 μs and 700 μs, respectively. Figure [9a](#page-14-0) shows that, since the data packets of each fow are evenly scattered on 10 paths under RPS, the completion time of each fow is almost same. Under AAC scheme, since the numbers of data packets passing



<span id="page-14-0"></span>**Fig. 9** RPS vs. AAC comparisons

through the hotspot path are diferent in each fow, the completion time of each fow is diferent. Figure [9b](#page-14-0) shows, with the increasing number of fows, AFCT of RPS and AAC increases accordingly. Nonetheless, AAC performs much better than RPS.

#### **4.2 Performance Under Symmetric and Asymmetric Topologies**

We test ACC performance under symmetric and asymmetric topologies. We use the leaf-spine topology with four paths between any pair of hosts. Figure [10](#page-14-1)a shows the symmetric topology, i.e., all paths have 20 μs latency and 1Gbps bandwidth. Figure [10](#page-14-1)b shows the asymmetric topology due to latency or bandwidth diference between paths. Under the RTT asymmetry, one path has the large RTT of 800 μs, while the others have 20 μs. For bandwidth asymmetry, only one path experiences the link failure and its bandwidth is decreased to 200Mbps. The switch bufer size is 100 packets.

We compare AAC with ECMP, MPTCP and RPS. ECMP is the standard fowlevel load balancing mechanism in data center. Based on the hash result of the five-tuple in packet header, an outgoing port is selected for each flow. MPTCP [[3\]](#page-22-2)



<span id="page-14-1"></span>**Fig. 10** Leaf-spine topology



<span id="page-15-0"></span>**Fig. 11** Comparison of AFCT under diferent scenarios



<span id="page-15-1"></span>**Fig. 12** AFCT of diferent workload

divides a TCP flow into 2 subflows. Each subflow has its own congestion window and uses ECMP to select its path independently.

In Figs. [11](#page-15-0) and [12,](#page-15-1) we increase the number of flows and network load to test AFCTs of diferent protocols, respectively. Figures [11](#page-15-0)a and [12a](#page-15-1) shows the test results under symmetric topology. The average fow completion times (AFCTs) of all schemes increase with larger fow amount and network load. Since MPTCP and ECMP are fow-level load balancing schemes, they are hard to efectively make full use of the available paths when some fows fnish their transmissions, resulting in low link utilizations and large AFCT. As the packet-level load balancing schemes, RPS and AAC fully utilize the link resources and achieve low AFCT under the symmetric topology. Ensure TCP (in the state of the performance of AAC under RIT symmetry. Due to the performance of TCP friendliness. Here, we compare the performance of TCP friendliness. Here, we compare the performance of TCP friendliness

Figure[s11](#page-15-0)b and [12](#page-15-1)b show the test results under bandwidth asymmetry while Figs. [11c](#page-15-0) and [12](#page-15-1)c evaluate performance of AAC under RTT asymmetry. Due to the out-of-order problem under bandwidth and RTT asymmetry, RPS experiences long AFCT. MPTCP obtains lower AFCT than RPS and ECMP, because the sublfows adjust their congestion windows according to congestion state on each path, thus achieving traffic balance without out-of-order issue. Since AAC adjusts the flow concurrency according to path diversity, it mitigates the impact of slow path and achieves the lowest AFCT.

#### **4.3 Performance of TCP Friendliness**

When load balancing schemes use multiple paths to transfer fows, it is important to



<span id="page-16-0"></span>**Fig. 13** Topology for testing fairness



<span id="page-16-1"></span>**Fig. 14** Performance comparison of TCP friendliness

under AAC and MPTCP+OLIA  $[32]$  $[32]$ . We build the topology with the shared bottleneck shown in Fig.  $13$ . The path delay is set to 100  $\mu$ s, and the link bandwidth is 1 Gbps. Firstly, two MPTCP subflows with 1000 packets are sent from  $S_1$  to  $R_1$ . A single TCP flow is sent from  $S_2$  to  $R_2$ .

The simulation results are shown in Fig. [14a](#page-16-1). Though two subflows share the bottleneck link  $L_2 \rightarrow L_3$  with the single TCP flow, with the help of OLIA, MPTCP senders couples the two subflows after detecting the shared bottleneck link. Thus, the total throughput of two subfows is not much larger than of the single TCP fow. Secondly, two TCP flows  $f_1$  and  $f_2$  are respectively sent from  $S_1$  and  $S_2$ , competing at the bottleneck link  $L_2 \rightarrow L_3$ . AAC works at  $L_1$  to balance the traffic from  $f_1$  and *f*<sub>2</sub>. Figure [14](#page-16-1)b shows that, on the bottleneck link of  $L_2 \rightarrow L_3$ , the throughput of  $f_1$  is almost equal to  $f_2$ , exhibiting good TCP friendliness.

#### **4.4 Large‑Scale Simulation Test**

We conduct large-scale simulation test to evaluate AAC's performance under realistic datacenter workloads. We use a leaf-spine topology with 16 core switches and 16 leaf switches. Each leaf connects 20 end-hosts. The link capacity, the round trip propagation delay and bufer size of switches are 1Gbps, 100 μs and 200 packets, respectively. We set the round trip propagation delay of one randomly selected path as 1000 μs to produce delay asymmetry. In this test, we also test the performance of LetFlow, which uses the fowlet as the switching unit. In LetFlow, the switch randomly assigns a available path to a new fowlet when time interval between two adjacent packets belonging to the same fow is larger than a threshold, which is set as 500 μs [\[8](#page-22-7)].

We use realistic workloads in production data centers. We consider the web search [\[24](#page-23-11)] and data mining [[15\]](#page-23-3) workloads, both of which exhibit heavy-tailed characteristics with a mixture of small and long fows. In the web search workload, over 95% of the bytes are from 30% of fows larger than 1MB. In the data mining workload, 95% of all bytes are from 3.6% fows that are larger than 35MB, while more than 80% of fows are less than 10KB. Flows are generated between random pairs of hosts following a Poisson process with load varying from 0.1 to 0.8 to thoroughly evaluate AACs performance in different traffic conditions.

Similar to previous work, we use fow completion time (FCT) as the primary performance metric. In addition to the overall average FCT, we also take the FCT for small flows  $(< 100 \text{ KB})$  and large flows  $(> 10 \text{ MB})$  into consideration for better understanding of performance. The 99th percentile FCT of small fows is also an important performance metric for tailed latency.

Figures [15](#page-18-0) and [16](#page-19-0) show the FCT of small and large fows in web search and data mining workloads, respectively. As shown in Figs. [15](#page-18-0)a and [16a](#page-19-0), for short flows, AAC reduces the average FCT by 40–45% compared with the other schemes when the load increases from 0.1 to 0.8. The results demonstrate the advantage of AAC in adjusting fow concurrency under path diversity. ECMP obtains larger AFCT than the other solutions, especially at high load, since some fows sufer from hash collisions and cause low link utilization.

Figures [15](#page-18-0)b and [16b](#page-19-0) show the average FCT of short flows with varying workload. Under the web search workload, AAC reduces AFCT by 21–56%. Under the data mining workload, AAC reduces AFCT by 40–66%. We observe that, compared with the other load balancing schemes, MPTCP performs poorly. The reason is that, when MPTCP makes full use of equal-cost multipath between end hosts to achieve high throughput, the short flows may be blocked by long flows, resulting in large AFCT for short flows.

Figures [15](#page-18-0)c and [16c](#page-19-0) show that tailed FCT of short flows. AAC significantly reduces the tail FCT by around 16–51% and 7–56% under data mining and web



<span id="page-18-0"></span>**Fig. 15** FCT statistics of web search workload

search load, respectively. Since the percent of long fows in web search is larger than data mining, the probability that short flows in web search are blocked by long flows is higher. Therefore, tailed FCT of short flows in web search is larger than that in data mining.

Finally, we plot the AFCT of long flows in Figs. [15](#page-18-0)d and [16d](#page-19-0). The results show that, by adjusting the fow concurrency, AAC efectively avoids the packet reordering and low link utilization, therefore achieving low FCT for long flows.

#### **4.5 Comparison with State‑of‑the‑Art Approaches**

In recent years, researchers have proposed many load balancing solutions, such as AG [[33](#page-23-20)], Intfow [[34](#page-23-21)], CAPS [[25\]](#page-23-12), Luopan [\[35](#page-23-22)]. Here, we conduct test to compare AAC with these schemes. The experiment topology is the same as that in Sect. IV-C. The state-of-the-art approaches are as follows.

• Hermes: Hermes [[36\]](#page-24-0) makes the switching decisions at packet level for long fows according to congestion conditions, and reroutes the short fows at a flow level. Due to the inflexibility feature, however, the flow-based mechanisms may lead to congestion and load imbalance.



<span id="page-19-0"></span>**Fig. 16** FCT statistics of data mining workload

- CAF: CAF [[37\]](#page-24-1) proactively measures available bandwidth at the end-hosts. Based on the measurement result, CAF sets a proper congestion window that matches the state of the new path.
- AG: AG [[33\]](#page-23-20) adaptively adjusts switching granularity under diferent degree of topology asymmetry. To achieve fexibility and resiliency to asymmetry, AG is sensitive to path latency and periodically adjusts its switching granularity. AG randomly selects paths for each switching unit.
- SPLB: SPLB [[38\]](#page-24-2) employs a dual channel architecture, which logically partitions a physical link into control and data channels. SPLB determines the appropriate transmission route of each packet immediately, without introducing any control loop durations.
- Intflow: Intflow [\[34](#page-23-21)] integrates per-packet and per-flowlet switching. IntFlow reacts to congestion and failures timely based on fow status to achieve proactive rerouting, while performing cautious rerouting for fowlet switching.
- HMMLB: HMMLB [\[39](#page-24-3)] utilizes the hidden Markov Model to select paths for data fows with small time cost, and approximates the same network throughput rate as a traditional centralized load balancing algorithm.

We use the 8×8 leaf-spine network topology to test FCT of different number of fows, which are generated between random pairs of hosts following a Poisson process. We evaluate the performance of recent proposed approaches and AAC.

Figure [17a](#page-20-1) shows that, AAC obtains 18–57% AFCT improvements compared with the other schemes. The overall performance SPLB is relatively poor, since it needs stand-in packets to probe the congestion state. As shown in Fig. [17b](#page-20-1), AAC also obtains the lowest tail FCT. The reason is two-fold. On the one hand, AAC makes switching decisions according to the global network congestion. On the other hand, AAC adjusts the number of paths according to congestion conditions to efectively alleviate packet reordering and link under-utilization.

#### <span id="page-20-0"></span>**5 Mininet Implementation**

We implement AAC on Mininet, a high-fdelity network emulation framework built on Linux container based virtualization [[36](#page-24-0)]. Mininet creates a virtual network, running real Linux kernel, switch and application code on a single machine. We use Mininet 2.3.0 to create the leaf-spine topology with 20 equal cost paths between the leaf and spine switches. We respectively set the link bandwidth to 20Mbps and delay to 5ms as recommended in  $[40]$  $[40]$  $[40]$ . BMv2 is installed as the software programmable switch with the buffer size of 256 packets. The overall traffic obeys the heavy-tailed distribution in web server workload as illustrated in [[40](#page-24-4)]. Besides, We set the delay of two paths as 100ms to produce asymmetric topology.

Figure [18a](#page-21-1) shows that, with the increasing number of fows, AAC reduces the AFCT of all fows by 32–46%, 26–42%, 13–29% over ECMP, RPS and LetFlow, respectively. As shown in Fig. [17b](#page-20-1) and c, AAC efectively improves the AFCT and tailed FCT of short fows over ECMP, RPS and LetFlow. Figure [18d](#page-21-1) shows that AAC improves the FCT of long flows by  $32-44\%$ ,  $25-41\%$ ,  $12-26\%$  over ECMP, RPS and LetFlow, respectively. With more flows, AAC achieves better performance compared with the other schemes by adaptively adjusting the fow concurrency. ECMP and LetFlow sufer from the long-tailed delay and low link utilization because of the inability to fexibly reroute fows. The packet reordering issue degrades RPS's performance.



<span id="page-20-1"></span>**Fig. 17** Comparison with recent approaches



**(b)** 99*th*-ile AFCT of overall flows



<span id="page-21-1"></span>**Fig. 18** FCT on mininet implementation

## <span id="page-21-0"></span>**6 Releated Work**

With the rapid increase of traffic, load balancing has become a hot research issue in data center networks. To provide large bisection bandwidth and achieve good performance, a wide range of solutions are proposed to balance traffic across multiple paths. The existing load balancing schemes are based on fow granularity, fowlet granularity and packet granularity.

The representative of per-packet load balancing scheme is RPS [[5\]](#page-22-4), which splits flows at packet level to make full use of all available paths. Data packets are randomly scattered on the switch to all equal cost paths. RPS has fewer out-of-order packets, good load balancing performance, and high link utilization under symmetrical topology. However, RPS is prone to experience packet disorder under an asymmetric topology, leading to suboptimal performance.

DRILL [[6\]](#page-22-5) makes per-packet decisions to distribute load at each switch based on local queue occupancies. However, under asymmetric topology, DRILL is prone to experience packet reordering due to the diference between the local and end-to-end congestion states [[34\]](#page-23-21). MMPTCP [\[26](#page-23-13)] uses a combined transmission method of RPS and MPTCP to improve network utilization. It dynamically adjusts the fast retransmission threshold according to the topology information to prevent false retransmissions caused by disorder. Detail [\[13](#page-23-1)] is a cross-layer scheme that considers packet

balancing mechanism based on queuing delay. FastPass [\[42](#page-24-6)] uses a central controller to allocate transmission time slot and transmission path for each packet. As a per-packet load balancing scheme, Hermes also easily leads to reordering especially under the asymmetric topology [[43\]](#page-24-7).

In a word, these packet-based multipath schemes potentially make short fows experience queueing delay and easily lead to reordering especially under the asymmetric topology $[5, 26, 44]$  $[5, 26, 44]$  $[5, 26, 44]$  $[5, 26, 44]$  $[5, 26, 44]$  $[5, 26, 44]$  $[5, 26, 44]$ . Unlike aforementioned solutions, when rerouting event occurs due to path congestion, our approach AAC proactively measures path congestion information at the sending leaf swtiches. According to path diversity, AAC rationally adjusts the number of paths to improve link utilization under small path asymmetry and reduce tail latency under large path asymmetry.

## <span id="page-22-8"></span>**7 Conclusion**

We proposed a novel asymmetry-aware load balancing scheme AAC that reduces fow completion time and simultaneously improves link utilization. Specifcally, AAC measures the leaf-to-leaf delay at leaf switch to adjust the fow concurrency according to the degree of path asymmetry. Moreover, AAC is deployed only at the leaf switches, without modifcation at thousands of servers.

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**Weimin Gao** received the B.E. degrees from Nanhua University, China, in 1999 and the master's degrees from the School of Information Science and Engineering, Hunan University, China, He is currently pursuing the Ph.D. degree with the School of Computer Science and Engineering, Central South University, China. His current research interest is data center network.

**Jiawei Huang** (M'07) received the bachelor's degree from the School of Computer Science, Hunan University, in 1999, and the master's and Ph.D. degrees from the School of Computer Science and Engineering, Central South University, China, in 2004 and 2008, respectively. He is currently a Professor with the School of Computer Science and Engineering, Central South University. His research interests include performance modeling, analysis, and optimization for data center networks.

**Shaojun Zou** is currently working toward the Ph.D. degree in the Department of Computer Science and Engineering, Central South University, Changsha, China. His current research interests include congestion control and data center networks.

**Weihe Li** is a Master Student in the School of Computer Science and Engineering, Central South University, China. His research interests include video streaming and data center networks.

**Jianxin Wang** (SM'12) received the B.E. and M.E. degrees in computer engineering and the Ph.D. degree in computer science from Central South University, Changsha, China, in 1992, 1996, and 2001 respectively. He is currently a Professor with the School of Computer Science and Engineering, Central South University. His current research interests include algorithm analysis and optimization, parameterized algorithm, bioinformatics, and computer network.

**Jianer Chen** (Senior Member, IEEE) received the Ph.D. degree in computer science from the Courant Institute, New York University, in 1987, and the Ph.D. degree in mathematics from Columbia University in 1990. He is currently a Professor of computer science with Texas A&M University at College Station. His main research interest includes computer algorithms and their applications. His current research projects include exact and parameterized algorithms, computer graphics, computer networks, and computational biology.

## **Authors and Afliations**

**Weimin Gao1,2 · Jiawei Huang1 · Shaojun Zou1 · Weihe Li1 · Jianxin Wang1 · Jianer Chen3**

Weimin Gao gwm@hnit.edu.cn

Shaojun Zou zoushj@csu.edu.cn

Weihe Li weiheli@csu.edu.cn

Jianxin Wang jxwang@csu.edu.cn

Jianer Chen chen@cse.tamu.edu

- <sup>1</sup> School of Computer Science and Engineering, Central South University, Changsha 410083, China
- <sup>2</sup> Department of Computer and Information Science, Hunan Institute of Technology, Hengyang 421002, China
- <sup>3</sup> Department of Computer Science and Engineering, Texas A&M University, College Station, TX 77843, USA