



# Penalty-Free Any-Order Weak Galerkin FEMs for Linear Elasticity on Quadrilateral Meshes

Ruishu Wang<sup>1</sup> · Zhuoran Wang<sup>2</sup> · Jianguo Liu<sup>3</sup>

Received: 22 October 2022 / Revised: 27 January 2023 / Accepted: 4 February 2023 /  
Published online: 22 February 2023

© The Author(s), under exclusive licence to Springer Science+Business Media, LLC, part of Springer Nature 2023

## Abstract

This paper develops a family of new weak Galerkin (WG) finite element methods (FEMs) for solving linear elasticity in the primal formulation. For a convex quadrilateral mesh, degree  $k \geq 0$  vector-valued polynomials are used independently in element interiors and on edges for approximating the displacement. No penalty or stabilizer is needed for these new methods. The methods are free of Poisson-locking and have optimal order  $(k + 1)$  convergence rates in displacement, stress, and dilation (divergence of displacement). Numerical experiments on popular test cases are presented to illustrate the theoretical estimates and demonstrate efficiency of these new solvers. Extension to cuboidal hexahedral meshes is briefly discussed.

**Keywords** Arbogast-Correa spaces · Linear elasticity · Locking-free · Quadrilaterals · Weak Galerkin

**Mathematics Subject Classification** 65N30 · 65Y99 · 74B05 · 74G15 · 74S05

## 1 Introduction

This paper concerns finite element methods for linear elasticity formulated as

$$\begin{cases} -\nabla \cdot \sigma = \mathbf{f}(\mathbf{x}), & \mathbf{x} \in \Omega, \\ \mathbf{u}|_{\Gamma^D} = \mathbf{u}_D, \quad (\sigma \mathbf{n})|_{\Gamma^N} = \mathbf{t}_N, \end{cases} \quad (1)$$

---

✉ Zhuoran Wang  
wangzhr25@mail.sysu.edu.cn

Ruishu Wang  
wangrs\_math@jlu.edu.cn

Jianguo Liu  
liu@math.colostate.edu

<sup>1</sup> School of Mathematics, Jilin University, Changchun 130012, Jilin, China

<sup>2</sup> School of Mathematics (Zhuhai), Sun Yat-sen University, Zhuhai 519082, Guangdong, China

<sup>3</sup> Department of Mathematics, Colorado State University, Fort Collins, CO 80523-1874, USA

where  $\Omega \in \mathbb{R}^2$  is a bounded open and connected domain with a Lipschitz continuous boundary  $\Gamma = \partial\Omega$ ,  $\mathbf{u}$  is the solid displacement,  $\varepsilon(\mathbf{u}) = \frac{1}{2}(\nabla\mathbf{u} + (\nabla\mathbf{u})^T)$  is the strain tensor,  $\sigma = 2\mu\varepsilon(\mathbf{u}) + \lambda(\nabla \cdot \mathbf{u})\mathbb{I}$  is the stress tensor with  $\mathbb{I}$  being the order-2 identity matrix,  $\mathbf{f}$  is a known body force,  $\mathbf{u}_D, \mathbf{t}_N$  are Dirichlet and Neumann boundary data on the Dirichlet and Neumann boundaries  $\Gamma^D$  and  $\Gamma^N$ , which form a non-overlapping decomposition of  $\Gamma$ . Furthermore, the Lamé constants

$$\lambda = \frac{E\nu}{(1+\nu)(1-2\nu)}, \quad \mu = \frac{E}{2(1+\nu)}$$

are defined by the elasticity modulus  $E > 0$  and the Poisson ratio  $\nu \in (0, \frac{1}{2})$ .

Development of efficient and robust numerical solvers for linear elasticity is an important task for scientific computing. Robustness is reflected as uniform convergence of such solvers with respect to the Poisson ratio  $\nu$  when spatial meshes are refined. Some linear solvers are subject to the so-called *Poisson-locking*, which often appears as loss of convergence rates in displacement or spurious behaviors in stress or other quantities, when  $\lambda \rightarrow \infty$ . This corresponds to the case when the Poisson ratio  $\nu \rightarrow \frac{1}{2}$ . Namely, the elastic material becomes nearly incompressible. Such phenomenon is mainly caused by the fact that the approximation space cannot remain the optimal approximation under the incompressible constraint  $\nabla \cdot \mathbf{u} = 0$  [17, 18]. It is well known that the classical continuous Galerkin FEMs with linear or bilinear/trilinear shape functions on simplicial or 2d/3d-rectangular meshes are subject to Poisson-locking [13].

The mixed finite element methods based on the Hellinger-Reissner formulation are locking-free by design. In such formulation, the displacement vector field and the stress tensor field are approximated simultaneously. It is nontrivial to devise stable element pairs for displacement and symmetric stress. Some nice results can be found in [3, 26, 27]. However, the mixed FEMs need more unknowns and result in saddle-point problems.

Nonconforming finite elements for linear elasticity have been developed. In [27], the simplest nonconforming FEs were developed in the mixed formulation for rectangular grids of any dimension. In [12, 21], nonconforming FEs were investigated along with the introduction of pseudo-pressure.

Hybridizable discontinuous Galerkin (HDG) methods have been investigated for linear elasticity. The first HDG method was presented in [35], the HDG method for elasto-dynamics was introduced in [33], *a priori* error analysis was presented in [23], the HDG method for linear elasticity with strong symmetric stress was presented in [34].

Virtual element methods (VEMs) have also been developed for linear elasticity [7–9, 24]. High order linear and nonlinear VEMs were developed in [4, 5]. A detailed account of VEMs for linear elasticity can be found in [10].

The WG methodology was first introduced in [40]. The key characteristic of the WG methods is the use of weak functions and weak differential operators, which make the WG methods flexible and easy to construct. WG methodology has been applied to many problems, for instance, the elliptic problems [19, 39, 41], the Stokes flow [22], the Darcy flow [30, 31], the Maxwell equation [37], the div-curl systems [28], the Cahn-Hilliard equation [42], the poroelasticity problems [44], and the linear elasticity problems [16, 43].

There have been efforts on developing WG FEMs for linear elasticity in the primal formulation. In [38], WG FEMs were developed on polygonal and polyhedral meshes. Degree  $k \geq 1$  polynomials were used in element interiors whereas degree  $k - 1$  polynomials were used on edges/faces. Their discrete weak gradient and discrete weak divergence were constructed as degree  $k - 1$  matrices and scalars, respectively. This requires a penalty term to handle the discrepancy between the shape functions in element interiors and on edges/faces. Lowest-

order WG FEMs have been developed for linear elasticity on simplicial meshes [46] and 2d- or 3d- rectangular meshes [25]. These methods utilized the matrix version of the classical Raviart-Thomas spaces for constructing discrete weak gradients needed for approximation of strain in elasticity. The methods in [25] can be extended to quadrilateral and hexahedral meshes that are asymptotically parallelogram or parallelepiped [20].

For many finite element methods, penalty terms are needed to enforce weak continuity of shape functions. Such penalty terms may not have clear physical meaning but require additional efforts in implementation. However, for WG finite element methods, when shape functions and spaces for gradient reconstruction are properly chosen, no penalty term is needed [22, 32]. In this paper, we focus on development of penalty-free WG finite element schemes.

The Arbogast-Correa (AC) spaces (to be reviewed in Sect. 2) were first constructed in [1] and used for solving elliptic problems in the mixed finite element framework. The AC spaces are constructed as  $H(\text{div})$ -subspaces on quadrilaterals. They inherit the spirit of the classical Raviart-Thomas spaces for 2d/3d-rectangles but apply to more general convex quadrilaterals. The local AC spaces has been incorporated with the WG methodology to develop penalty-free any order finite element methods for Darcy flow or elliptic boundary value problems that are efficient, easy-to-use, and respect important physical properties [32]. This paper continues the efforts in [32], aiming at development of a family of new WG finite methods for linear elasticity on general convex quadrilateral meshes in the primal formulation. These solvers are free of Poisson-locking and practically useful.

When a pure Dirichlet boundary condition is considered, we have  $\Gamma^N = \emptyset$ . In this case, the grad-div PDE for elasticity reads [25, 46]

$$\begin{cases} -\mu \Delta \mathbf{u} - (\mu + \lambda) \nabla(\nabla \cdot \mathbf{u}) = \mathbf{f}, & \mathbf{x} \in \Omega, \\ \mathbf{u}|_{\Gamma} = \mathbf{u}_D. \end{cases} \tag{2}$$

The rest of this paper is organized as follows. Section 2 discusses the local Arbogast-Correa spaces  $AC_k$  and their bases. Based on that, Sect. 3 develops WG finite elements  $(P_k^2, P_k^2; AC_k^2, P_k)$ , which are then used for developing WG FEMs for linear elasticity in Sect. 4. Section 5 presents a rigorous analysis for these WG FEMs. Section 6 presents numerical experiments on popular test cases to demonstrate the accuracy and usefulness of these new methods. The paper is concluded with remarks in Sect. 7.

## 2 Arbogast-Correa Spaces $AC_k (k \geq 0)$ on Quadrilaterals

The  $AC_k (k \geq 0)$  spaces for vector-valued functions on quadrilaterals were introduced in [1]. These spaces extend the Raviart-Thomas spaces  $RT_{[k]}$  for rectangles [14] to general convex quadrilaterals. For a rectangle and the lowest order case, these two types of spaces agree, that is,  $AC_0(E) = RT_{[0]}(E)$ , when  $E$  is a rectangle. Otherwise, they are different. For example, when  $E$  is indeed a quadrilateral,  $AC_k(E)$  contains rational vector-valued functions, but  $RT_{[0]}(E)$  contains only polynomial vector-valued functions.

As discussed in [45], there are actually 3 types of Arbogast-Correa spaces on quadrilaterals.

- (i) The *local* space  $AC_k(E)$  on an individual quadrilateral  $E$ ;
- (ii) The *broken* space  $\mathcal{AC}_k(\mathcal{E}_h)$  on a quadrilateral mesh  $\mathcal{E}_h$ , which is simply the Cartesian product of all local AC spaces;
- (iii) The *global* space  $AC_k(\mathcal{E}_h)$  is understood as  $\mathcal{AC}_k(\mathcal{E}_h) \cap H(\text{div}, \Omega)$ , which implies normal continuity for the vector functions in  $AC(\mathcal{E}_h)$ .

This paper uses the local AC spaces and their matrix version

Let  $E$  be a convex quadrilateral and  $\mathcal{F}$  be the bilinear mapping from the unit square  $\hat{E} = [0, 1]^2$  to  $E$ . Let  $\mathbf{J}$  be the Jacobian matrix for this bilinear mapping and  $J$  be the Jacobian determinant. Then  $\mathcal{P}_E = \mathbf{J}/J$  is the Piola transformation, which maps a vector field on the unit square  $\hat{E}$  to a vector field on the quadrilateral  $E$  via matrix–vector multiplication.

Let  $(\hat{x}, \hat{y}) \in \hat{E}$  and  $(x, y) \in E$ . We call  $X = x - x_c, Y = y - y_c$  normalized coordinates [29], where  $(x_c, y_c)$  is the geometric center of  $E$ .

For  $k = 0$ ,  $\dim(AC_0(E)) = 4 = 2 + 1 + 1$  and one has

$$AC_0(E) = \text{Span} \left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} X \\ Y \end{bmatrix}, \mathcal{P}_E \begin{bmatrix} \hat{x} \\ -\hat{y} \end{bmatrix} \right\}.$$

Similarly, for  $k = 1$ ,  $\dim(AC_1) = 10 = 6 + 2 + 2$ , and one could use the following ten (10) vector-valued functions as its local basis [32]:

$$\begin{aligned} & \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} X \\ 0 \end{bmatrix}, \begin{bmatrix} Y \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ X \end{bmatrix}, \begin{bmatrix} 0 \\ Y \end{bmatrix}, \\ & \begin{bmatrix} X^2 \\ XY \end{bmatrix}, \begin{bmatrix} XY \\ Y^2 \end{bmatrix}, \mathcal{P}_E \begin{bmatrix} 1 - \hat{x}^2 \\ 2\hat{x}\hat{y} \end{bmatrix}, \mathcal{P}_E \begin{bmatrix} 2\hat{x}\hat{y} \\ 1 - \hat{y}^2 \end{bmatrix}. \end{aligned}$$

In general, we have

$$AC_k(E) = \mathbb{P}_k(E)^2 + \tilde{\mathbb{P}}_k(E)\mathbf{x} + \mathbb{S}_k(E), \tag{3}$$

where  $\mathbb{P}_k(E)^2$  is the subspace of vector-valued polynomials with total degree at most  $k$ ,  $\tilde{\mathbb{P}}_k(E)$  is the subspace of homogeneous scalar-valued polynomial with degree =  $k$ , and  $\mathbb{S}_k(E)$  is the subspace of rational functions obtained via Piola transformation.

Roughly speaking, for a given vector field on a convex quadrilateral  $E$ ,

- $\mathbb{P}_k(E)^2$  offers an approximation based on degree  $k$  polynomials;
- $\tilde{\mathbb{P}}_k(E)\mathbf{x}$  takes care of approximation for its divergence;
- $\mathbb{S}_k$  offers a divergence-free supplement.

Note that  $\dim(\mathbb{P}_k^2) = (k + 1)(k + 2)$ ,  $\dim(\tilde{\mathbb{P}}_k) = k + 1$ , but

$$s_k = \dim(\mathbb{S}_k) = \begin{cases} 1 & \text{if } k = 0; \\ 2 & \text{if } k \geq 1. \end{cases} \tag{4}$$

$$\dim(AC_k(E)) = (k + 1)(k + 3) + s_k. \tag{5}$$

One might have also noticed that

- (i)  $(k + 1)(k + 3) = \dim(RT_k)$ , the dimension of the Raviart-Thomas space for a triangle;
- (ii) whereas  $s_k$  represents the additional degrees of freedom needed for augmenting the Raviart-Thomas space on a quadrilateral.

Furthermore,  $\mathbb{S}_k = \mathcal{P}_E\hat{\mathbb{S}}_k$ , where  $\hat{\mathbb{S}}_k$  is defined on  $\hat{E} = [0, 1]^2$ .

- For  $k = 0$ , one has

$$\hat{\mathbb{S}}_0 = \text{Span}\{\mathbf{curl}(\hat{x}\hat{y})\}; \tag{6}$$

- For  $k \geq 1$ , there holds

$$\hat{\mathbb{S}}_k = \text{Span}\{\mathbf{curl}(\hat{x}^{k-1}\hat{y}(1 - \hat{x}^2)), \mathbf{curl}(\hat{y}^{k-1}\hat{x}(1 - \hat{y}^2))\}. \tag{7}$$

**Lemma 1** *Let  $E$  be a convex quadrilateral. For any  $\mathbf{w} \in AC_k(E)$ ,*

- (i)  $\nabla \cdot \mathbf{w} \in P_k(E)$ ;
- (ii)  $(\mathbf{w} \cdot \mathbf{n})|_e \in P_k(e)$  for any edge  $e$  on the boundary of  $E$ .

**Proof** These can be found in [1]. □

The global AC spaces were used in [1] within the framework of mixed finite element methods for solving elliptic boundary value problems. This approach involves technical construction of global basis functions on the whole mesh. However, the AC spaces can also be utilized within the framework of WG FEMs for solving elliptic problems or Darcy flow [32]. The latter involves only local bases of the AC spaces in a much simpler way.

The local AC spaces were used in [45] for development of pressure-robust Stokes solvers. In this paper, we use them for developing locking-free solvers for elasticity problems.

### 3 $WG(P_k^2, P_k^2; AC_k^2, P_k)$ ( $k \geq 0$ ) Finite Elements on Quadrilaterals

In this paper, we use the local AC spaces for developing new WG finite elements. What we need are actually local matrix spaces based on the AC spaces.

Let  $E$  be a convex quadrilateral. We use  $AC_k^2(E)$  ( $k \geq 0$ ) to denote the space of matrix-valued functions whose row vectors are in  $AC_k(E)$ .

We consider  $WG(P_k^2, P_k^2)$ -type vector-valued discrete weak functions defined on a convex quadrilateral  $E$ . Such a function  $\mathbf{v} = \{\mathbf{v}^\circ, \mathbf{v}^\partial\}$  has two parts:  $\mathbf{v}^\circ$  is a vector-valued function defined in the element interior  $E^\circ$ , each component is a bivariate polynomial of total degree at most  $k$ ; on the other hand,  $\mathbf{v}^\partial$  is a vector-valued function defined piecewise on each edge of the element boundary  $E^\partial$  and each component is a univariate polynomial of degree at most  $k$ .

Similar to what has been discussed in [25], we define discrete weak gradients and discrete weak divergences for such vector-valued discrete weak functions.

**Definition 1** (Discrete weak gradient). Let  $\mathbf{v} = \{\mathbf{v}^\circ, \mathbf{v}^\partial\}$  be a  $WG(P_k^2, P_k^2)$ -type discrete weak function. We establish its discrete weak gradient  $\nabla_w \mathbf{v}$  in  $AC_k^2(E)$  via integration by parts

$$\int_E (\nabla_w \mathbf{v}) : W = \int_{E^\partial} \mathbf{v}^\partial \cdot (W \mathbf{n}) - \int_{E^\circ} \mathbf{v}^\circ \cdot (\nabla \cdot W), \quad \forall W \in AC_k^2(E), \tag{8}$$

where  $:$  is the standard colon product for matrices and  $\mathbf{n}$  is the outward unit normal vector on the element boundary  $E^\partial$ .

Clearly, one can utilize the aforementioned local basis functions for  $AC_k(E)$  to construct a local basis for  $AC_k^2(E)$ . Then express  $\nabla_w \mathbf{v}$  as a linear combination of these basis functions. Such linear combination coefficients can be obtained by solving a small-size SPD linear system. This solving process is parallel in nature.

**Definition 2** (Discrete weak divergence). Again let  $\mathbf{v} = \{\mathbf{v}^\circ, \mathbf{v}^\partial\}$  be a  $WG(P_k^2, P_k^2)$ -type discrete weak function. We establish its discrete weak divergence  $\nabla_w \cdot \mathbf{v}$  in  $P_k(E)$  via integration by parts

$$\int_E (\nabla_w \cdot \mathbf{v}) w = \int_{E^\partial} \mathbf{v}^\partial \cdot (w \mathbf{n}) - \int_{E^\circ} \mathbf{v}^\circ \cdot (\nabla w), \quad \forall w \in P_k(E). \tag{9}$$

Similarly, this involves solving small-size SPD linear systems.

Now we define discrete weak strain as

$$\varepsilon_w(\mathbf{v}) = \frac{1}{2} \left( \nabla_w \mathbf{v} + (\nabla_w \mathbf{v})^T \right),$$

which will be used in the next section for establishing WG FEMs for linear elasticity.

### 4 WG FE Schemes for Linear Elasticity on Quadrilateral Meshes

Let  $\mathcal{E}_h$  be a quadrilateral partition of  $\Omega$  and  $\Gamma_h$  be the set of all edges in  $\mathcal{E}_h$ . Accordingly,  $\Gamma_h^D = \Gamma^D \cap \Gamma_h$  and  $\Gamma_h^N = \Gamma^N \cap \Gamma_h$ . For any  $E \in \mathcal{E}_h$ , let  $h_E$  be the diameter of the circumscribed circle of  $E$  and  $h = \max_{E \in \mathcal{E}_h} h_E$  be the mesh size. For any  $e \in \Gamma_h$ ,  $h_e$  is the length of  $e$ . Define function spaces as follows.

$$\begin{aligned} \mathbf{V}_h &= \{\mathbf{v} = \{\mathbf{v}^\circ, \mathbf{v}^\partial\} : \mathbf{v}^\circ|_{E^\circ} \in P_k(E^\circ)^2, \mathbf{v}^\partial|_e \in P_k(e)^2, \forall E \in \mathcal{E}_h, \forall e \in \Gamma_h\}, \\ \mathbf{V}_h^0 &= \{\mathbf{v} \in \mathbf{V}_h : \mathbf{v}^\partial|_{\Gamma_h^D} = \mathbf{0}\}, \\ W_h &= \{q : q|_E \in P_k(E), \forall E \in \mathcal{E}_h\}, \\ W_h^0 &= W_h \cap L_0^2(\Omega). \end{aligned} \tag{10}$$

**WG finite element scheme in the strain-div formulation.** The weak Galerkin  $(P_k^2, P_k^2; AC_k^2, P_k)$  scheme in the strain-div formulation for the linear elasticity problem (1) is formulated as: Seek  $\mathbf{u}_h \in \mathbf{V}_h$  such that  $\mathbf{u}_h|_{\Gamma_h^D} = \mathbf{Q}_h^\partial(\mathbf{u}_D)$  and

$$\mathcal{A}_h^{SD}(\mathbf{u}_h, \mathbf{v}) = \mathcal{F}_h^{SD}(\mathbf{v}), \quad \forall \mathbf{v} \in \mathbf{V}_h^0, \tag{11}$$

where

$$\mathcal{A}_h^{SD}(\mathbf{u}_h, \mathbf{v}) = 2\mu \sum_{E \in \mathcal{E}_h} (\varepsilon_w(\mathbf{u}_h), \varepsilon_w(\mathbf{v}))_E + \lambda \sum_{E \in \mathcal{E}_h} (\nabla_w \cdot \mathbf{u}_h, \nabla_w \cdot \mathbf{v})_E, \tag{12}$$

and

$$\mathcal{F}_h^{SD}(\mathbf{v}) = \sum_{E \in \mathcal{E}_h} (\mathbf{f}, \mathbf{v}^\circ)_{E^\circ} + \sum_{\gamma \in \Gamma_h^N} \langle \mathbf{t}_N, \mathbf{v}^\partial \rangle_\gamma. \tag{13}$$

**WG finite element scheme in the grad-div formulation.** The weak Galerkin  $(P_k^2, P_k^2; AC_k^2, P_k)$  scheme in the grad-div formulation for the linear elasticity problem (2) is formulated as: Seek  $\mathbf{u}_h \in \mathbf{V}_h$  such that  $\mathbf{u}_h|_{\Gamma_h^D} = \mathbf{Q}_h^\partial(\mathbf{u}_D)$  and

$$\mathcal{A}_h^{GD}(\mathbf{u}_h, \mathbf{v}) = \mathcal{F}_h^{GD}(\mathbf{v}), \quad \forall \mathbf{v} \in \mathbf{V}_h^0, \tag{14}$$

where

$$\mathcal{A}_h^{GD}(\mathbf{u}_h, \mathbf{v}) = \mu \sum_{E \in \mathcal{E}_h} (\nabla_w \mathbf{u}_h, \nabla_w \mathbf{v})_E + (\mu + \lambda) \sum_{E \in \mathcal{E}_h} (\nabla_w \cdot \mathbf{u}_h, \nabla_w \cdot \mathbf{v})_E, \tag{15}$$

and

$$\mathcal{F}_h^{GD}(\mathbf{v}) = \sum_{E \in \mathcal{E}_h} (\mathbf{f}, \mathbf{v}^\circ)_{E^\circ}. \tag{16}$$

**Remarks** Now we introduce a semi-norm on the weak function space  $\mathbf{V}_h$  by

$$\|\mathbf{v}\|^2 := \sum_{E \in \mathcal{E}_h} \|\nabla_w \mathbf{v}\|_E^2. \tag{17}$$

- (i) No penalty term is needed for either of the above two WG FE schemes.
- (ii) Both schemes can be applied to general convex quadrilateral meshes. But analysis is performed for the grad-div scheme only.

**Lemma 2** *The semi-norm  $\|\cdot\|$  is a norm on space  $\mathbf{V}_h^0$ .*

**Proof** For any scalar-valued functions  $v^\circ \in P_k(E^\circ)$  and  $v^\partial \in P_k(E^\partial)$  satisfying  $\int_{E^\circ} v^\circ = \int_{E^\partial} v^\partial$ , we consider  $\mathbf{q} \in AC_k(E)$  satisfying

$$\nabla \cdot \mathbf{q} = v^\circ, \tag{18}$$

$$\mathbf{q} \cdot \mathbf{n} = v^\partial. \tag{19}$$

Since  $\nabla \cdot \mathbf{q} \in P_k(E^\circ)$ ,  $\mathbf{q} \cdot \mathbf{n} \in P_k(E^\partial)$ , and  $\dim(AC_k(E)) = (k + 1)(k + 3) + 1$  or 2 is more than or equal to  $\frac{(k+1)(k+2)}{2} + 4*(k + 1) - 1$ , which is the number of the independent equations in (18)-(19), we claim that Problem (18)-(19) has at least one solution. This implies that for any  $\mathbf{v}^\circ \in P_k(E)^\circ$ , we have a  $\tau_0 \in AC_k^2(E)$  such that

$$\nabla \cdot \tau_0 = \mathbf{v}^\circ - \overline{\mathbf{v}^\circ}, \quad \tau_0 \mathbf{n} = \mathbf{0},$$

where  $\overline{\mathbf{v}^\circ} = \frac{1}{|E|} \int_{E^\circ} \mathbf{v}^\circ$  is the average of function  $\mathbf{v}^\circ$  on  $E^\circ$ . Assume that  $\|\mathbf{v}\| = 0$  for  $\mathbf{v} = \{\mathbf{v}^\circ, \mathbf{v}^\partial\} \in \mathbf{V}_h^0$ . Then

$$\begin{aligned} 0 &= (\nabla_w \mathbf{v}, \tau_0)_E = \langle \mathbf{v}^\partial, \tau_0 \mathbf{n} \rangle_{E^\partial} - (\mathbf{v}^\circ, \nabla \cdot \tau_0)_{E^\circ} \\ &= -(\mathbf{v}^\circ, \mathbf{v}^\circ - \overline{\mathbf{v}^\circ})_{E^\circ} = -\|\mathbf{v}^\circ - \overline{\mathbf{v}^\circ}\|_{E^\circ}^2. \end{aligned}$$

This implies that  $\mathbf{v}^\circ = \overline{\mathbf{v}^\circ}$ . Then we arrive at

$$0 = \langle \mathbf{v}^\partial, \tau \mathbf{n} \rangle_{E^\partial} - (\mathbf{v}^\circ, \nabla \cdot \tau)_{E^\circ} = \langle \mathbf{v}^\partial - \mathbf{v}^\circ, \tau \mathbf{n} \rangle_{E^\partial},$$

for any  $\tau \in AC_k^2(E)$ . By taking  $\tau = \tau_1$  such that  $\tau_1 \mathbf{n} = \mathbf{v}^\partial - \mathbf{v}^\circ$  in the above equation, we obtain  $\mathbf{v}^\partial = \mathbf{v}^\circ$ , which means that  $\mathbf{v}$  is a constant vector. Moreover, since  $\mathbf{v}^\partial|_{\Gamma^D} = \mathbf{0}$ , we get  $\mathbf{v} = \mathbf{0}$ . So  $\|\cdot\|$  is a norm in  $\mathbf{V}_h^0$ . □

**Lemma 3** (Coercivity). *There exists a positive constant  $\alpha_1$  such that*

$$\alpha_1 \|\mathbf{v}\|^2 \leq \mathcal{A}_h^{GD}(\mathbf{v}, \mathbf{v}), \quad \forall \mathbf{v} \in \mathbf{V}_h^0.$$

**Theorem 1** *The weak Galerkin finite element scheme (14) in the grad-div formulation has a unique solution.*

**Proof** This follows from Lemma 3 and the Lax-Milgram Theorem [13]. □

### 5 Analysis

For ease of presentation, we consider the finite element scheme in the grad-div formulation with a homogeneous Dirichlet boundary condition. We use  $A \lesssim B$  to denote  $A \leq CB$  with  $C$  being a generic positive constant that is independent of  $h$  and  $\lambda$ . The  $L^2$ -norms of the errors of displacement and stress, namely,  $\|\mathbf{u} - \mathbf{u}_h\|$  and  $\|\sigma - \sigma_h\|$ , are also considered in this section.

#### 5.1 Projection Operators and Some Preliminary Results

**Definition 3** (Local projection operators). Let  $E \in \mathcal{E}_h$ . We define

- (i)  $Q_h$  as the  $L^2$ -projection from  $L^2(E)$  to the space  $P_k(E)$ ;

- (ii)  $\mathbf{Q}_h = \{\mathbf{Q}_h^\circ, \mathbf{Q}_h^\partial\}$ , where  $\mathbf{Q}_h^\circ$  is the local  $\mathbf{L}^2$ -projection from  $L^2(E^\circ)^2$  to the space of  $P_k(E^\circ)^2$  and  $\mathbf{Q}_h^\partial$  is the local  $\mathbf{L}^2$ -projection from  $L^2(E^\partial)^2$  to the space of  $P_k(E^\partial)^2$ ;
- (iii)  $\mathbb{Q}_h$  as the local  $L^2$ -projection from  $L^2(E)^{2 \times 2}$  to the space of  $AC_k^2(E)$ .

**Lemma 4** (Commuting identities). *Let  $E \in \mathcal{E}_h$ .*

- (i) For any  $\mathbf{u} \in H^1(E)^2$ , there holds  $\nabla_w(\mathbf{Q}_h \mathbf{u}) = \mathbb{Q}_h(\nabla \mathbf{u})$ ;
- (ii) For any  $\mathbf{u} \in H^1(E)^2$ , there holds  $\nabla_w \cdot (\mathbf{Q}_h \mathbf{u}) = \mathbb{Q}_h(\nabla \cdot \mathbf{u})$ .

**Proof** Applying the definition of the discrete weak gradient, integration by parts, and the definitions of the projection operators, we obtain, for any  $\tau \in AC_k^2(E)$ ,

$$\begin{aligned} (\nabla_w(\mathbf{Q}_h \mathbf{u}), \tau)_E &= \langle \mathbf{Q}_h^\partial \mathbf{u}, \tau \mathbf{n} \rangle_{E^\partial} - \langle \mathbf{Q}_h^\circ \mathbf{u}, \nabla \cdot \tau \rangle_{E^\circ} \\ &= \langle \mathbf{u}, \tau \mathbf{n} \rangle_{E^\partial} - \langle \mathbf{u}, \nabla \cdot \tau \rangle_{E^\circ} \\ &= (\nabla \mathbf{u}, \tau)_E \\ &= (\mathbb{Q}_h(\nabla \mathbf{u}), \tau)_E, \end{aligned} \tag{20}$$

which implies the 1st identity (i). The 2nd identity can be proved similarly. □

From the fact that  $\|\cdot\|$  defines a norm on  $\mathbf{V}_h^0$ , we know that the discrepancy between the interior and edge values of a discrete weak function is bounded by this norm. A more precise statement is expressed in the lemma below.

**Lemma 5** *The following property holds true*

$$\sum_{E \in \mathcal{E}_h} h^{-1} \|\mathbf{v}^\partial - \mathbf{v}^\circ\|_{E^\partial}^2 \lesssim \|\mathbf{v}\|^2, \quad \forall \mathbf{v} \in \mathbf{V}_h^0. \tag{21}$$

**Proof** Let  $E \in \mathcal{E}_h$ . We list the degrees of freedom for space  $AC_k^2(E)$  as follows.

$$\begin{aligned} \langle \tau \mathbf{n}, \mathbf{v} \rangle_e, & \quad \forall \mathbf{v} \in P_k(e)^2, \quad \forall e \subset \partial E, \\ \langle \tau, \nabla \mathbf{w} \rangle_E, & \quad \forall \mathbf{w} \in P_k(E)^2, \\ \langle \tau, \nu \rangle_E, & \quad \forall \nu \in \mathbb{B}_k^2(E), \end{aligned}$$

where  $\mathbb{B}_k^2(E)$  is a space of matrix-valued functions whose row vectors are in the space  $\mathbb{B}_k(E)$  consisting of divergence-free bubble functions, see [1] for details. Denote by  $D_{E,k}(e)$  the subspace of  $AC_k^2(E)$  such that all degrees of freedom vanish except  $(\tau \mathbf{n})|_e$ . It is known that  $D_{E,k}(e)$  is a dual of  $P_k(e)^2$ . It is also known that

$$\|\mathbf{v}^\partial - \mathbf{v}^\circ\|_e = \sup_{\tau \in D_{E,k}(e)} \frac{\int_e (\mathbf{v}^\partial - \mathbf{v}^\circ) \cdot (\tau \mathbf{n})}{\|\tau \mathbf{n}\|_e}. \tag{22}$$

For  $\tau \in D_{E,k}(e)$ , by the definition of discrete weak gradient, we have

$$\int_E (\nabla_w \mathbf{v}) : \tau = \int_e (\mathbf{v}^\partial - \mathbf{v}^\circ) \cdot (\tau \mathbf{n}).$$

Combining the Cauchy-Schwarz inequality and (22) with the fact that  $\|\tau\|_E \lesssim h^{\frac{1}{2}} \|\tau \mathbf{n}\|_e$  (see [14] for the scaling argument), we have

$$\|\mathbf{v}^\partial - \mathbf{v}^\circ\|_e \lesssim \sup_{\tau \in D_{E,k}(e)} \frac{\|\nabla_w \mathbf{v}\|_E \|\tau\|_E}{\|\tau \mathbf{n}\|_e} \lesssim h^{\frac{1}{2}} \|\nabla_w \mathbf{v}\|_E.$$

Summing the above inequality over all edges  $e \subset E^\partial$  and all elements  $E \in \mathcal{E}_h$ , we complete the proof. □



**Lemma 6** Let  $E \in \mathcal{E}_h$  and  $\mathbf{v} \in \mathbf{V}_h$ .

(i) For any matrix  $W \in AC_k^2(E)$ , there holds

$$(W, \nabla_w \mathbf{v})_E = (W, \nabla \mathbf{v}^\circ)_{E^\circ} + \langle W \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial}. \tag{23}$$

(ii) For any scalar  $w \in W_h$ , there holds

$$(w, \nabla_w \cdot \mathbf{v})_E = (w, \nabla \cdot \mathbf{v}^\circ)_{E^\circ} + \langle w \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial}. \tag{24}$$

**Proof** First apply the definition of discrete weak gradient or discrete weak divergence for the discrete weak function  $\mathbf{v}$ . Then apply integration by parts for  $W$  or  $w$ .  $\square$

**Lemma 7** Assume  $\mathbf{u} \in H^1(\Omega)^2$  and  $\mathbf{v} \in \mathbf{V}_h$ . Let  $E \in \mathcal{E}_h$ . Then

$$\begin{aligned} (\nabla_w(\mathbf{Q}_h \mathbf{u}), \nabla_w \mathbf{v})_E &= (\nabla \mathbf{u}, \nabla \mathbf{v}^\circ)_{E^\circ} + \langle (\mathbf{Q}_h(\nabla \mathbf{u})) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial}, \\ (\nabla_w \cdot (\mathbf{Q}_h \mathbf{u}), \nabla_w \cdot \mathbf{v})_E &= (\nabla \cdot \mathbf{u}, \nabla \cdot \mathbf{v}^\circ)_{E^\circ} + \langle (\mathbf{Q}_h(\nabla \cdot \mathbf{u})) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial}. \end{aligned} \tag{25}$$

**Proof** Applying Lemma 4(i), the definition of discrete weak gradient, integration by parts, and properties of the projection operators, we obtain

$$\begin{aligned} (\nabla_w(\mathbf{Q}_h \mathbf{u}), \nabla_w \mathbf{v})_E &= (\mathbf{Q}_h(\nabla \mathbf{u}), \nabla_w \mathbf{v})_E \\ &= \langle \mathbf{Q}_h(\nabla \mathbf{u}) \mathbf{n}, \mathbf{v}^\partial \rangle_{E^\partial} - (\nabla \cdot \mathbf{Q}_h(\nabla \mathbf{u}), \mathbf{v}^\circ)_{E^\circ} \\ &= \langle \mathbf{Q}_h(\nabla \mathbf{u}) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial} + \langle \mathbf{Q}_h(\nabla \mathbf{u}), \nabla \mathbf{v}^\circ \rangle_{E^\circ} \\ &= \langle \mathbf{Q}_h(\nabla \mathbf{u}) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial} + (\nabla \mathbf{u}, \nabla \mathbf{v}^\circ)_{E^\circ}. \end{aligned} \tag{26}$$

Similarly, we use Lemma 4(ii), the definition of discrete weak gradient, integration by parts, and projection properties to obtain the 2nd equality.  $\square$

### 5.2 Error Equation and Error Estimates

**Lemma 8** (Error equation). Let  $\mathbf{u} \in H^{k+2}(\Omega)^2$  be the exact solution of (2) and  $\mathbf{u}_h \in \mathbf{V}_h$  be the numerical solution of (14). There holds

$$A_h^{GD}(\mathbf{u}_h - \mathbf{Q}_h \mathbf{u}, \mathbf{v}) = \mu \mathcal{G}_1(\mathbf{u}, \mathbf{v}) + (\mu + \lambda) \mathcal{G}_2(\mathbf{u}, \mathbf{v}), \tag{27}$$

where

$$\mathcal{G}_1(\mathbf{u}, \mathbf{v}) = \sum_{E \in \mathcal{E}_h} \langle (\nabla \mathbf{u} - \mathbf{Q}_h \nabla \mathbf{u}) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial} \tag{28}$$

and

$$\mathcal{G}_2(\mathbf{u}, \mathbf{v}) = \sum_{E \in \mathcal{E}_h} \langle (\nabla \cdot \mathbf{u} - \mathbf{Q}_h(\nabla \cdot \mathbf{u})) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial}. \tag{29}$$

**Proof** Let  $\mathbf{v} = \{\mathbf{v}^\circ, \mathbf{v}^\partial\} \in \mathbf{V}_h$ . Testing Equation (2) with  $\mathbf{v}$  on each  $E \in \mathcal{E}_h$ , we obtain

$$\begin{aligned} (\mathbf{f}, \mathbf{v}^\circ)_{E^\circ} &= (-\mu \Delta \mathbf{u} - (\mu + \lambda) \nabla(\nabla \cdot \mathbf{u}), \mathbf{v}^\circ)_{E^\circ} \\ &= -\mu \langle (\nabla \mathbf{u}) \mathbf{n}, \mathbf{v}^\circ \rangle_{E^\partial} + \mu (\nabla \mathbf{u}, \nabla \mathbf{v}^\circ)_{E^\circ} \\ &\quad - (\mu + \lambda) \langle (\nabla \cdot \mathbf{u}) \mathbf{n}, \mathbf{v}^\circ \rangle_{E^\partial} + (\mu + \lambda) (\nabla \cdot \mathbf{u}, \nabla \cdot \mathbf{v}^\circ)_{E^\circ}. \end{aligned} \tag{30}$$

We sum the above result over the whole mesh. We also make an assumption on normal continuity of the exact solution across edges. Then a combination with the homogeneous Dirichlet boundary condition implies

$$\sum_{E \in \mathcal{E}_h} \langle (\nabla \mathbf{u}) \mathbf{n}, \mathbf{v}^\partial \rangle_{E^\partial} = 0, \quad \sum_{E \in \mathcal{E}_h} \langle (\nabla \cdot \mathbf{u}) \mathbf{n}, \mathbf{v}^\partial \rangle_{E^\partial} = 0. \tag{31}$$

Thus

$$\begin{aligned} \sum_{E \in \mathcal{E}_h} (\mathbf{f}, \mathbf{v}^\circ)_{E^\circ} &= \sum_{E \in \mathcal{E}_h} \left( \mu \langle (\nabla \mathbf{u}) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial} + \mu (\nabla \mathbf{u}, \nabla \mathbf{v}^\circ)_{E^\circ} \right. \\ &\quad \left. + (\mu + \lambda) \langle (\nabla \cdot \mathbf{u}) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial} + (\mu + \lambda) (\nabla \cdot \mathbf{u}, \nabla \cdot \mathbf{v}^\circ)_{E^\circ} \right). \end{aligned} \tag{32}$$

Combining these together with (25), we arrive at

$$\begin{aligned} \mathcal{A}_h^{GD}(\mathbf{Q}_h \mathbf{u}, \mathbf{v}) &= \sum_{E \in \mathcal{E}_h} (\mathbf{f}, \mathbf{v}^\circ)_E - \mu \sum_{E \in \mathcal{E}_h} \langle (\nabla \mathbf{u} - \mathbb{Q}_h \nabla \mathbf{u}) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial} \\ &\quad - (\mu + \lambda) \sum_{E \in \mathcal{E}_h} \langle (\nabla \cdot \mathbf{u} - \mathbb{Q}_h (\nabla \cdot \mathbf{u})) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial}. \end{aligned} \tag{33}$$

Subtracting (33) from (14), we get

$$\begin{aligned} \mathcal{A}_h^{GD}(\mathbf{u}_h - \mathbf{Q}_h \mathbf{u}, \mathbf{v}) &= \mu \sum_{E \in \mathcal{E}_h} \langle (\nabla \mathbf{u} - \mathbb{Q}_h \nabla \mathbf{u}) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial} \\ &\quad + (\mu + \lambda) \sum_{E \in \mathcal{E}_h} \langle (\nabla \cdot \mathbf{u} - \mathbb{Q}_h (\nabla \cdot \mathbf{u})) \mathbf{n}, \mathbf{v}^\partial - \mathbf{v}^\circ \rangle_{E^\partial}, \end{aligned} \tag{34}$$

which is the error equation stated in the lemma. □

**Lemma 9** Assume  $\mathbf{u} \in H^{k+2}(\Omega)^2$  and  $\mathbf{v} \in \mathbf{V}_h$ . Then

$$\begin{aligned} \mathcal{G}_1(\mathbf{u}, \mathbf{v}) &\lesssim h^{k+1} \|\mathbf{u}\|_{k+2} \|\mathbf{v}\|, \\ \mathcal{G}_2(\mathbf{u}, \mathbf{v}) &\lesssim h^{k+1} \|\nabla \cdot \mathbf{u}\|_{k+1} \|\mathbf{v}\|. \end{aligned} \tag{35}$$

**Proof** It follows from the Cauchy-Schwarz inequality, an inverse estimate, a trace inequality, a projection inequality, and (21) that

$$\begin{aligned} \mathcal{G}_1(\mathbf{u}, \mathbf{v}) &= \sum_{E \in \mathcal{E}_h} \langle (\nabla \mathbf{u} - \mathbb{Q}_h \nabla \mathbf{u}) \mathbf{n}, \mathbf{v}^\circ - \mathbf{v}^\partial \rangle_{E^\partial} \\ &\leq \left( \sum_{E \in \mathcal{E}_h} h \|\nabla \mathbf{u} - \mathbb{Q}_h \nabla \mathbf{u}\|_{E^\partial}^2 \right)^{\frac{1}{2}} \left( \sum_{E \in \mathcal{E}_h} h^{-1} \|\mathbf{v}^\partial - \mathbf{v}^\circ\|_{E^\partial}^2 \right)^{\frac{1}{2}} \\ &\lesssim \left( \sum_{E \in \mathcal{E}_h} (\|\nabla \mathbf{u} - \mathbb{Q}_h \nabla \mathbf{u}\|_E^2 + h^2 \|\nabla (\nabla \mathbf{u} - \mathbb{Q}_h \nabla \mathbf{u})\|_E^2) \right)^{\frac{1}{2}} \\ &\quad \left( \sum_{E \in \mathcal{E}_h} h^{-1} \|\mathbf{v}^\partial - \mathbf{v}^\circ\|_{E^\partial}^2 \right)^{\frac{1}{2}} \\ &\lesssim h^{k+1} \|\mathbf{u}\|_{k+2} \|\mathbf{v}\|. \end{aligned} \tag{36}$$

Similarly, we have

$$\begin{aligned}
 \mathcal{G}_2(\mathbf{u}, \mathbf{v}) &= \sum_{E \in \mathcal{E}_h} \langle (\nabla \cdot \mathbf{u} - Q_h(\nabla \cdot \mathbf{u}))\mathbf{n}, \mathbf{v}^\circ - \mathbf{v}^\partial \rangle_{E^\partial} \\
 &\leq \left( \sum_{E \in \mathcal{E}_h} h \|\nabla \cdot \mathbf{u} - Q_h(\nabla \cdot \mathbf{u})\|_{E^\partial}^2 \right)^{\frac{1}{2}} \left( \sum_{E \in \mathcal{E}_h} h^{-1} \|\mathbf{v}^\partial - \mathbf{v}^\circ\|_{E^\partial}^2 \right)^{\frac{1}{2}} \\
 &\lesssim \left( \sum_{E \in \mathcal{E}_h} (\|\nabla \cdot \mathbf{u} - Q_h(\nabla \cdot \mathbf{u})\|_E^2 + h^2 \|\nabla(\nabla \cdot \mathbf{u} - Q_h(\nabla \cdot \mathbf{u}))\|_E^2) \right)^{\frac{1}{2}} \\
 &\quad \left( \sum_{E \in \mathcal{E}_h} h^{-1} \|\mathbf{v}^\partial - \mathbf{v}^\circ\|_{E^\partial}^2 \right)^{\frac{1}{2}} \\
 &\lesssim h^{k+1} \|\nabla \cdot \mathbf{u}\|_{k+1} \|\mathbf{v}\|,
 \end{aligned} \tag{37}$$

which concludes the proof. □

We assume that the exact solution  $\mathbf{u} \in H^{k+2}(\Omega)^2$  and satisfies the following regularity estimate [13]

$$\|\mathbf{u}\|_{k+2} + \lambda \|\nabla \cdot \mathbf{u}\|_{k+1} \lesssim \|\mathbf{f}\|_k. \tag{38}$$

**Theorem 2** *Let  $\mathbf{u} \in H^{k+2}(\Omega)^2$  be the exact solution of (2) and  $\mathbf{u}_h \in \mathbf{V}_h$  be the numerical solution obtained from the finite element scheme (14). Then*

$$\mu \sum_{E \in \mathcal{E}_h} \|\nabla \mathbf{u} - \nabla_w \mathbf{u}_h\|_E^2 + (\mu + \lambda) \sum_{E \in \mathcal{E}_h} \|\nabla \cdot \mathbf{u} - \nabla_w \cdot \mathbf{u}_h\|_E^2 \lesssim h^{2(k+1)} \|\mathbf{f}\|_k^2. \tag{39}$$

**Proof** Apply Lemma 4 (the commuting identities), we decompose the elementwise errors into the projection errors and the discretization errors as follows

$$\begin{aligned}
 \|\nabla \mathbf{u} - \nabla_w \mathbf{u}_h\|_E &\lesssim \|\nabla \mathbf{u} - Q_h(\nabla \mathbf{u})\|_E + \|Q_h(\nabla \mathbf{u}) - \nabla_w \mathbf{u}_h\|_E, \\
 \|\nabla \cdot \mathbf{u} - \nabla_w \cdot \mathbf{u}_h\|_E &\lesssim \|\nabla \cdot \mathbf{u} - Q_h(\nabla \cdot \mathbf{u})\|_E + \|Q_h(\nabla \cdot \mathbf{u}) - \nabla_w \cdot \mathbf{u}_h\|_E.
 \end{aligned}$$

The projection errors are determined by the approximation capacity of the spaces  $AC_k^2$  and  $P_k$ , respectively. In other words, we have

$$\begin{aligned}
 \sum_{E \in \mathcal{E}_h} \|\nabla \mathbf{u} - Q_h(\nabla \mathbf{u})\|_E^2 &\lesssim h^{2(k+1)} \|\mathbf{u}\|_{k+2}^2, \\
 \sum_{E \in \mathcal{E}_h} \|\nabla \cdot \mathbf{u} - Q_h(\nabla \cdot \mathbf{u})\|_E^2 &\lesssim h^{2(k+1)} \|\nabla \cdot \mathbf{u}\|_{k+1}^2.
 \end{aligned} \tag{40}$$

For the discretization errors between the finite element solution and the projection, we set  $\mathbf{v} = \mathbf{u}_h - Q_h \mathbf{u}$  in (27) and then apply (36) and (37) to obtain

$$\begin{aligned}
 &\mu \sum_{E \in \mathcal{E}_h} \|Q_h(\nabla \mathbf{u}) - \nabla_w \mathbf{u}_h\|_E^2 + (\mu + \lambda) \sum_{E \in \mathcal{E}_h} \|Q_h(\nabla \cdot \mathbf{u}) - \nabla_w \cdot \mathbf{u}_h\|_E^2 \\
 &\lesssim h^{k+1} (\mu \|\mathbf{u}\|_{k+2} + (\mu + \lambda) \|\nabla \cdot \mathbf{u}\|_{k+1}) \left( \sum_{E \in \mathcal{E}_h} \|Q_h(\nabla \mathbf{u}) - \nabla_w \mathbf{u}_h\|_E^2 \right)^{\frac{1}{2}}.
 \end{aligned} \tag{41}$$

Under the assumption (38) and the fact that  $\mu$  is bounded, we obtain

$$\sum_{E \in \mathcal{E}_h} \|\mathbb{Q}_h(\nabla \mathbf{u}) - \nabla_w \mathbf{u}_h\|_E^2 \lesssim h^{2(k+1)} \|\mathbf{f}\|_k^2. \tag{42}$$

Plugging (42) into (41), we get

$$(\mu + \lambda) \sum_{E \in \mathcal{E}_h} \|\mathbb{Q}_h(\nabla \cdot \mathbf{u}) - \nabla_w \cdot \mathbf{u}_h\|_E^2 \lesssim h^{2(k+1)} \|\mathbf{f}\|_k^2. \tag{43}$$

With the help of the assumption (38), the desired error estimate in the theorem follows from combining (40) and (42)-(43) through a triangle inequality

$$\begin{aligned} \mu \sum_{E \in \mathcal{E}_h} \|\nabla \mathbf{u} - \nabla_w \mathbf{u}_h\|_E^2 &\lesssim h^{2(k+1)} \|\mathbf{f}\|_k^2, \\ (\mu + \lambda) \sum_{E \in \mathcal{E}_h} \|\nabla \cdot \mathbf{u} - \nabla_w \cdot \mathbf{u}_h\|_E^2 &\lesssim h^{2(k+1)} \|\mathbf{f}\|_k^2, \end{aligned}$$

which concludes the proof. □

### 5.3 Error Estimates in the $L^2$ -norm

Next we establish an  $L^2$ -norm estimate using a standard duality argument.

**Lemma 10** *Let  $\mathbf{u} \in H^{k+2}(\Omega)^2$  be the exact solution of the PDE problem (2) and  $\mathbf{u}_h \in \mathbf{V}_h$  be the numerical solution obtained from the finite element scheme (14). Let  $\mathbf{e}_h = \mathbf{u}_h - \mathbf{Q}_h \mathbf{u}$ . Then there holds*

$$\|\mathbf{e}_h^\circ\|_0 \lesssim h^{k+1} \|\mathbf{f}\|_k. \tag{44}$$

**Proof** Assume that  $\Phi$  is the solution of the dual problem

$$-\mu \Delta \Phi - (\mu + \lambda) \nabla(\nabla \cdot \Phi) = \mathbf{e}_h^\circ, \tag{45}$$

$$\Phi|_{\Gamma_h^D} = \mathbf{0}. \tag{46}$$

The following dual solution regularity holds true

$$\|\Phi\|_2 + \lambda \|\nabla \cdot \Phi\|_1 \lesssim \|\mathbf{e}_h^\circ\|_0. \tag{47}$$

Using  $\mathbf{e}_h^\circ$  to test the dual equation, we obtain

$$\begin{aligned} \|\mathbf{e}_h^\circ\|^2 &= \sum_{E \in \mathcal{E}_h} (\mu \nabla \Phi, \nabla \mathbf{e}_h^\circ)_E + \sum_{E \in \mathcal{E}_h} ((\mu + \lambda) \nabla \cdot \Phi, \nabla \cdot \mathbf{e}_h^\circ)_E \\ &\quad - \sum_{E \in \mathcal{E}_h} \langle \mu \nabla \Phi \mathbf{n}, \mathbf{e}_h^\circ \rangle_{E^\partial} - \sum_{E \in \mathcal{E}_h} \langle (\mu + \lambda) \nabla \cdot \Phi, \mathbf{e}_h^\circ \mathbf{n} \rangle_{E^\partial}. \end{aligned} \tag{48}$$

It follows from the commuting identities that

$$\begin{aligned} A_h^{GD}(\mathbf{e}_h, \mathbf{Q}_h \Phi) &= \sum_{E \in \mathcal{E}_h} (\mu \nabla \mathbf{e}_h^\circ, \nabla \Phi)_E + \sum_{E \in \mathcal{E}_h} \mu \langle \mathbf{e}_h^\partial - \mathbf{e}_h^\circ, \mathbb{Q}_h \nabla \Phi \mathbf{n} \rangle_{E^\partial} \\ &\quad + \sum_{E \in \mathcal{E}_h} (\mu + \lambda) (\nabla \cdot \mathbf{e}_h^\circ, \nabla \cdot \Phi)_E + \sum_{E \in \mathcal{E}_h} (\mu + \lambda) \langle \mathbf{e}_h^\partial - \mathbf{e}_h^\circ, \mathbb{Q}_h(\nabla \cdot \Phi) \mathbf{n} \rangle_{E^\partial}. \end{aligned}$$

Together with Lemma 8, this implies that

$$\begin{aligned} \|\mathbf{e}_h^\circ\|^2 &= \sum_{E \in \mathcal{E}_h} \mu \langle (\nabla \mathbf{u} - Q_h \nabla \mathbf{u}) \mathbf{n}, \mathbf{Q}_h^\partial \Phi - \mathbf{Q}_h^\circ \Phi \rangle_{E^\partial} \\ &\quad + \sum_{E \in \mathcal{E}_h} (\mu + \lambda) \langle (\nabla \cdot \mathbf{u} - Q_h(\nabla \cdot \mathbf{u})) \mathbf{n}, \mathbf{Q}_h^\partial \Phi - \mathbf{Q}_h^\circ \Phi \rangle_{E^\partial} \\ &\quad - \sum_{E \in \mathcal{E}_h} \mu \langle \mathbf{e}_h^\partial - \mathbf{e}_h^\circ, (Q_h \nabla \Phi - \nabla \Phi) \mathbf{n} \rangle_{E^\partial} \\ &\quad - \sum_{E \in \mathcal{E}_h} (\mu + \lambda) \langle \mathbf{e}_h^\partial - \mathbf{e}_h^\circ, (Q_h(\nabla \cdot \Phi) - \nabla \cdot \Phi) \mathbf{n} \rangle_{E^\partial}. \end{aligned}$$

It follows from the Cauchy-Schwarz inequality, a trace inequality, and a projection inequality that

$$\begin{aligned} &\sum_{E \in \mathcal{E}_h} \langle (\nabla \mathbf{u} - Q_h \nabla \mathbf{u}) \mathbf{n}, \mathbf{Q}_h^\partial \Phi - \mathbf{Q}_h^\circ \Phi \rangle_{E^\partial} \\ &\leq \left( \sum_{E \in \mathcal{E}_h} h \|\nabla \mathbf{u} - Q_h \nabla \mathbf{u}\|_{E^\partial}^2 \right)^{\frac{1}{2}} \left( \sum_{E \in \mathcal{E}_h} h^{-1} \|\mathbf{Q}_h^\circ \Phi - \Phi\|_{E^\partial}^2 \right)^{\frac{1}{2}} \\ &\lesssim h^k \|\mathbf{u}\|_{k+1} h \|\Phi\|_2 \lesssim h^{k+1} \|\mathbf{u}\|_{k+1} \|\mathbf{e}_h^\circ\|_0. \end{aligned}$$

Similarly, we have

$$\begin{aligned} &\sum_{E \in \mathcal{E}_h} \langle (\nabla \cdot \mathbf{u} - Q_h(\nabla \cdot \mathbf{u})) \mathbf{n}, \mathbf{Q}_h^\partial \Phi - \mathbf{Q}_h^\circ \Phi \rangle_{E^\partial} \\ &\leq \left( \sum_{E \in \mathcal{E}_h} h \|\nabla \cdot \mathbf{u} - Q_h(\nabla \cdot \mathbf{u})\|_{E^\partial}^2 \right)^{\frac{1}{2}} \left( \sum_{E \in \mathcal{E}_h} h^{-1} \|\mathbf{Q}_h^\circ \Phi - \Phi\|_{E^\partial}^2 \right)^{\frac{1}{2}} \\ &\lesssim h^k \|\nabla \cdot \mathbf{u}\|_k h \|\Phi\|_2 \lesssim h^{k+1} \|\nabla \cdot \mathbf{u}\|_k \|\mathbf{e}_h^\circ\|_0. \end{aligned}$$

Using the Cauchy-Schwarz inequality, a trace inequality, a projection inequality, and (47), we obtain

$$\begin{aligned} &\sum_{E \in \mathcal{E}_h} \langle \mathbf{e}_h^\circ - \mathbf{e}_h^\partial, (Q_h \nabla \Phi - \nabla \Phi) \mathbf{n} \rangle_{E^\partial} \\ &\leq \left( \sum_{E \in \mathcal{E}_h} h^{-1} \|\mathbf{e}_h^\circ - \mathbf{e}_h^\partial\|_{E^\partial}^2 \right)^{\frac{1}{2}} \left( \sum_{E \in \mathcal{E}_h} h \|\mathbf{Q}_h \nabla \Phi - \nabla \Phi\|_{E^\partial}^2 \right)^{\frac{1}{2}} \\ &\lesssim \|\mathbf{e}_h^\circ\|_0 h \|\Phi\|_2 \lesssim h^{k+1} (\|\mathbf{u}\|_{k+2} + (\mu + \lambda) \|\nabla \cdot \mathbf{u}\|_{k+1}) \|\mathbf{e}_h^\circ\|_0. \end{aligned}$$

Similarly, we have

$$\begin{aligned} &\sum_{E \in \mathcal{E}_h} (\mu + \lambda) \langle \mathbf{e}_h^\circ - \mathbf{e}_h^\partial, (Q_h(\nabla \cdot \Phi) - \nabla \cdot \Phi) \mathbf{n} \rangle_{E^\partial} \\ &\leq \left( \sum_{E \in \mathcal{E}_h} h^{-1} \|\mathbf{e}_h^\circ - \mathbf{e}_h^\partial\|_{E^\partial}^2 \right)^{\frac{1}{2}} (\mu + \lambda) \left( \sum_{E \in \mathcal{E}_h} h \|\mathbf{Q}_h(\nabla \cdot \Phi) - \nabla \cdot \Phi\|_{E^\partial}^2 \right)^{\frac{1}{2}} \end{aligned}$$

$$\begin{aligned} &\lesssim \|\mathbf{e}_h\| h(\mu + \lambda) \|\nabla \cdot \mathbf{u}\|_1 \\ &\lesssim h^{k+1} (\|\mathbf{u}\|_{k+2} + (\mu + \lambda) \|\nabla \cdot \mathbf{u}\|_{k+1}) \|\mathbf{e}_h^\circ\|_0. \end{aligned}$$

Then we have

$$\|\mathbf{e}_h^\circ\|_0 \lesssim h^{k+1} \left( \|\mathbf{u}\|_{k+2} + (\mu + \lambda) \|\nabla \cdot \mathbf{u}\|_{k+1} \right). \tag{49}$$

Combined with assumption (38), this finishes the proof. □

**Theorem 3** (*L<sup>2</sup>-norm estimates for displacement and stress*). *Let  $\mathbf{u}$  be the exact solution of the PDE problem (2) and  $\mathbf{u}_h$  be the numerical solution obtained from the finite element scheme (14). Then*

$$\begin{aligned} \|\mathbf{u} - \mathbf{u}_h^\circ\|_{L^2(\Omega)} &\lesssim h^{k+1} \|\mathbf{f}\|_k, \\ \|\sigma - \sigma_h\| &\lesssim h^{k+1} \|\mathbf{f}\|_k, \end{aligned} \tag{50}$$

where the numerical stress is computed as  $\sigma_h = 2\mu\varepsilon_w(\mathbf{u}_h) + \lambda(\nabla_w \cdot \mathbf{u}_h)\mathbb{I}$ .

**Proof** Applying the projection property and (44) with a triangle inequality, we arrive at

$$\|\mathbf{u} - \mathbf{u}_h^\circ\|_{L^2(\Omega)} \leq \|\mathbf{u} - \mathbf{Q}_h^\circ \mathbf{u}\|_{L^2(\Omega)} + \|\mathbf{Q}_h^\circ \mathbf{u} - \mathbf{u}_h^\circ\|_{L^2(\Omega)} \lesssim h^{k+1} \|\mathbf{f}\|_k.$$

From the definition of discrete weak divergence, we know that  $\nabla_w \cdot (\mathbf{u}_h - \mathbf{Q}_h \mathbf{u}) \in L^2_0(\Omega)$ . There is a function  $\zeta \in \mathbf{H}^1_0(\Omega)$  such that

$$\begin{aligned} \nabla \cdot \zeta &= \nabla_w \cdot (\mathbf{u}_h - \mathbf{Q}_h \mathbf{u}), \\ \|\zeta\|_1 &\leq \|\nabla_w \cdot (\mathbf{u}_h - \mathbf{Q}_h \mathbf{u})\|. \end{aligned}$$

Then from Lemma 8 and Lemma 9, we derive

$$\begin{aligned} &\lambda \|\nabla_w \cdot (\mathbf{u}_h - \mathbf{Q}_h \mathbf{u})\|^2 \\ &= \lambda \sum_{E \in \mathcal{E}_h} (\nabla_w \cdot (\mathbf{u}_h - \mathbf{Q}_h \mathbf{u}), \nabla_w \cdot (\mathbf{u}_h - \mathbf{Q}_h \mathbf{u}))_E \\ &= \lambda \sum_{E \in \mathcal{E}_h} (\nabla_w \cdot (\mathbf{u}_h - \mathbf{Q}_h \mathbf{u}), \mathcal{Q}_h(\nabla \cdot \zeta))_E \\ &= \lambda \sum_{E \in \mathcal{E}_h} (\nabla_w \cdot (\mathbf{u}_h - \mathbf{Q}_h \mathbf{u}), \nabla_w \cdot (\mathbf{Q}_h \zeta))_E \\ &\leq \mathcal{A}_h^{GD}(\mathbf{e}_h, \mathbf{Q}_h \zeta) - \mu \sum_{E \in \mathcal{E}_h} (\nabla_w(\mathbf{u}_h - \mathbf{Q}_h \mathbf{u}), \nabla_w(\mathbf{Q}_h \zeta))_E \\ &\lesssim h^{k+1} \|\mathbf{f}\|_k \|\zeta\|_1. \end{aligned}$$

Combining Theorem 2 with (40)(ii) and (38), we obtain

$$\begin{aligned} \|\sigma - \sigma_h\| &\lesssim \|\nabla \mathbf{u} - \nabla_w \mathbf{u}_h\| + \lambda (\|\nabla \cdot \mathbf{u} - \mathcal{Q}_h \nabla \cdot \mathbf{u}\| + \|\nabla_w \cdot (\mathbf{u}_h - \mathbf{Q}_h \mathbf{u})\|) \\ &\lesssim h^{k+1} \|\mathbf{f}\|_k, \end{aligned}$$

which finishes the proof. □

## 6 Numerical Experiments

This section presents numerical experiments on three widely tested examples to demonstrate the convergence rates and usefulness of the WG finite element solvers developed in this paper.

**Table 1** Example 1: Results of WG  $(P_0^2, P_0^2; AC_0^2, P_0)$  solver on uniform rectangular meshes

$1/h$	$\lambda = 1$					
	$\ \mathbf{u} - \mathbf{u}_h^o\ $	Rate	$\ \sigma - \sigma_h\ $	Rate	$\ \nabla \cdot \mathbf{u} - \nabla_w \cdot \mathbf{u}_h\ $	Rate
8	3.2581E-01	–	2.1477E+0	–	1.7761E-01	–
16	1.6288E-01	1.00	1.0393E+0	1.04	8.8978E-02	0.99
32	8.1414E-02	1.00	5.1499E-01	1.01	4.4511E-02	0.99
64	4.0703E-02	1.00	2.5690E-01	1.00	2.2258E-02	0.99
128	2.0351E-02	1.00	1.2837E-01	1.00	1.1129E-02	1.00
$\lambda = 10^6$						
8	3.2095E-01	–	2.1426E+0	–	3.6035E-07	–
16	1.6041E-01	1.00	1.0359E+0	1.04	1.7889E-07	1.01
32	8.0173E-02	1.00	5.1313E-01	1.01	8.9176E-08	1.00
64	4.0081E-02	1.00	2.5594E-01	1.00	4.4540E-08	1.00
128	2.0040E-02	1.00	1.2789E-01	1.00	2.2262E-08	1.00

**Example 1** (Locking-free). This example is adopted from [12]. Similar problems have been tested in [15, 25]. Here  $\Omega = (0, 1)^2$ ,  $\lambda = 1$  or  $\lambda = 10^6$ , and  $\mu = 1$ . A Dirichlet boundary condition is specified on the whole boundary using a known exact solution for the displacement

$$\mathbf{u} = \begin{bmatrix} -(1 - \cos(2\pi x)) \sin(2\pi y) \\ (1 - \cos(2\pi y)) \sin(2\pi x) \end{bmatrix} + \frac{1}{1 + \lambda} \begin{bmatrix} \sin(\pi x) \sin(\pi y) \\ \sin(\pi x) \sin(\pi y) \end{bmatrix}. \tag{51}$$

We solve the linear elasticity problem using the WG  $(P_k^2, P_k^2; AC_k^2, P_k)$  methods with  $k = 0, 1$  on a sequence of uniform rectangular meshes. The numerical results shown in Tables 1 and 2 clearly exhibit optimal order  $(k + 1)$  convergence rates in the  $L^2$ -norms of the errors in displacement, dilation, and stress. *The convergence rates do not deteriorate for large  $\lambda$  values.*

**Example 2** (Low regularity). This example is similar to [25] Example 2. Specifically, we have an L-shaped domain with vertices at  $(0, 0), (1, 1), (0, 2), (-2, 0), (0, -2), (1, -1)$ . A Dirichlet boundary condition is posed on the boundary of the whole domain using a known exact solution for displacement as shown below

$$\mathbf{u} = \left[ A \cos \theta - B \sin \theta, A \sin \theta + B \cos \theta \right]^T, \tag{52}$$

where  $(r, \theta)$  are the polar coordinates and

$$\begin{cases} A = \frac{r^\alpha}{2\mu} \left( -(1 + \alpha) \cos((1 + \alpha)\theta) + C_1(C_2 - (1 + \alpha)) \cos((1 - \alpha)\theta) \right), \\ B = \frac{r^\alpha}{2\mu} \left( (1 + \alpha) \sin((1 + \alpha)\theta) - C_1(C_2 - (1 - \alpha)) \sin((1 - \alpha)\theta) \right), \end{cases} \tag{53}$$

with  $\alpha, C_1, C_2$  being some constants. The most important constant is the *critical exponent*  $\alpha \approx 0.544483$ . More details about the analytical expressions for the dilation and stress can be found in [25]. It is known from [6, 46] that the exact solution has low regularity

$$\mathbf{u} \in (H^{1+\alpha-\varepsilon}(\Omega))^2, \quad \sigma \in (H^{\alpha-\varepsilon}(\Omega))^{2 \times 2}$$

**Table 2** Example 1: Results of WG ( $P_1^2, P_1^2; AC_1^2, P_1$ ) solver on uniform rectangular meshes

$1/h$	$\lambda = 1$					
	$\ \mathbf{u} - \mathbf{u}_h^o\ $	Rate	$\ \sigma - \sigma_h\ $	Rate	$\ \nabla \cdot \mathbf{u} - \nabla_w \cdot \mathbf{u}_h\ $	Rate
8	4.8140E-02	–	2.1941E-01	–	2.0838E-02	–
16	1.2206E-02	1.97	5.2258E-02	2.06	4.5199E-03	2.20
32	3.0626E-03	1.99	1.2889E-02	2.01	1.0753E-03	2.07
64	7.6636E-04	1.99	3.2111E-03	2.00	2.6516E-04	2.01
128	1.9163E-04	1.99	8.0206E-04	2.00	6.6054E-05	2.00
$\lambda = 10^6$						
8	4.7823E-02	–	2.2031E-01	–	4.3895E-08	–
16	1.2131E-02	1.97	5.2311E-02	2.07	9.2414E-09	2.24
32	3.0440E-03	1.99	1.2886E-02	2.02	2.1676E-09	2.09
64	7.6173E-04	1.99	3.2090E-03	2.00	5.3181E-10	2.02
128	1.9048E-04	1.99	8.0148E-04	2.00	1.3248E-10	2.00

**Table 3** Example 2 ( $\nu = 0.3$ ): Results of WG( $P_0^2, P_0^2; AC_0^2, P_0$ ) solver on quadrilateral meshes

$1/h$	$\ \mathbf{u} - \mathbf{u}_h^o\ $	Rate	$\ \sigma - \sigma_h\ $	Rate	$\ \nabla \cdot \mathbf{u} - \nabla_w \cdot \mathbf{u}_h\ $	Rate
8	6.5249E-06	–	9.5987E-01	–	4.6790E-06	–
16	3.2721E-06	0.99	6.6324E-01	0.53	3.2194E-06	0.53
32	1.6371E-06	0.99	4.5648E-01	0.53	2.2117E-06	0.54
64	8.1824E-07	1.00	3.1358E-01	0.54	1.5182E-06	0.54
128	4.0883E-07	1.00	2.1522E-01	0.54	1.0416E-06	0.54

for any small  $\varepsilon > 0$ . Furthermore, we have (for the same small  $\varepsilon > 0$ )

$$\mathbf{u}_D \in (H^{\alpha+\frac{1}{2}-\varepsilon}(\partial\Omega))^2.$$

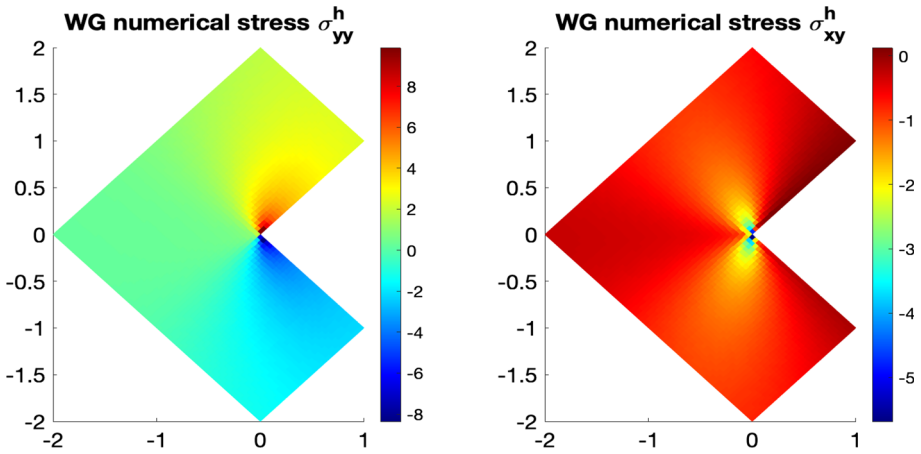
Other physical parameters take values  $E = 10^5$  and  $\nu = 0.3$ .

The low regularity implies that, from an approximation theory viewpoint, there is really no need to use higher order finite elements. For numerical solutions, we use quadrilateral meshes that align with the domain boundary. So we are utilizing the AC spaces that are indeed different than the Raviart-Thomas spaces used in [25].

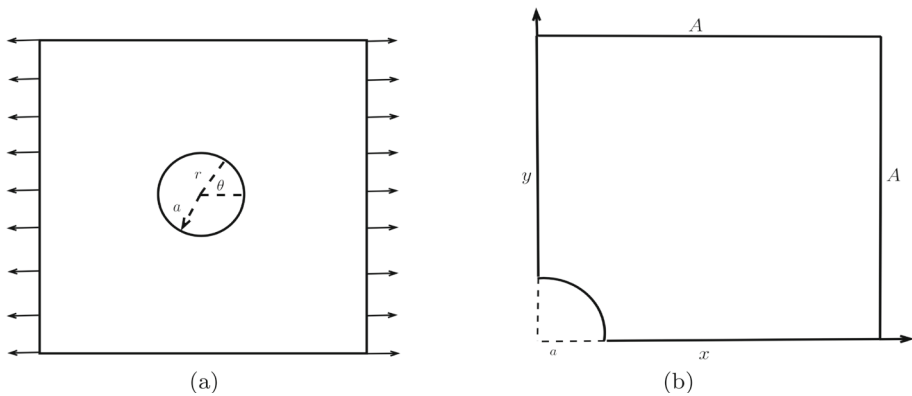
The numerical errors reported in Table 3 from applying the lowest-order WG( $P_0^2, P_0^2; AC_0^2, P_0$ ) solver clearly demonstrate the 1st order convergence in displacement, since constant vectors are used in the WG scheme for approximating the displacement. The convergence rate for the errors in stress or dilation each approaches 0.544, which reflects the *critical exponent* or the regularity order of the exact solution. The corner singularity in stress is also faithfully captured by our new WG method, as demonstrated in Fig. 1. Since the exact solution has low regularity, there is no need for applying the higher order method WG( $P_1^2, P_1^2; AC_1^2, P_1$ ).

**Example 3** (A square plate with a circular hole). Ideally, we should consider an infinite isotropic elastic plate with a circular hole at the center that has radius  $a$ . Assume a horizontal traction with magnitude  $S$  is posed on the far-left and far-right sides, whereas the top and





**Fig. 1** Example 2 with  $\nu = 0.3$ : Profiles of numerical stress obtained from applying the  $WG(P_0^2, P_0^2; AC_0^2, P_0)$  solver with  $h = 1/32$ . *Left*: Numerical normal stress  $\sigma_{yy}^h$ ; *Right*: Numerical shear stress  $\sigma_{xy}^h$



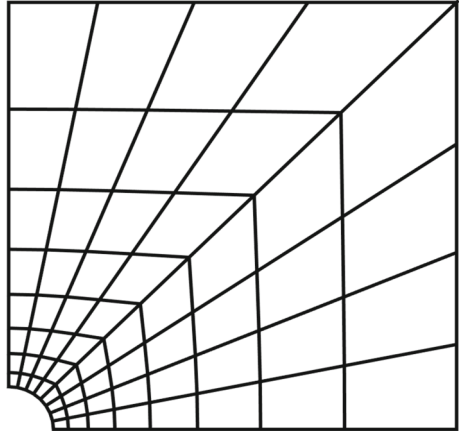
**Fig. 2** Example 3 illustration: **a** A plate with a circular hole; **b** The first quadrant of the plate

bottom sides are traction-free. Due to symmetry, we consider only the first quadrant of this plate. See Fig. 2 for an illustration of the problem.

The exact solution for the displacement in the Cartesian coordinates is known as [47]

$$\begin{aligned}
 u_1 &= \frac{a}{8\mu} \left( \frac{r}{a}(\kappa + 1) \cos \theta + 2\frac{a}{r} \left( (\kappa + 1) \cos \theta + \cos(3\theta) \right) - 2\frac{a^3}{r^3} \cos(3\theta) \right), \\
 u_2 &= \frac{a}{8\mu} \left( \frac{r}{a}(\kappa - 3) \sin \theta + 2\frac{a}{r} \left( (1 - \kappa) \sin \theta + \sin(3\theta) \right) - 2\frac{a^3}{r^3} \sin(3\theta) \right),
 \end{aligned} \tag{54}$$

**Fig. 3** Example 3: Quadrilateral meshes (numbers of partitions  $N_r, N_\theta$ ) are used for numerical experiments



where  $\kappa = 3 - 4\nu$ . The exact solution for the stress in Cartesian coordinates is [10, 11]

$$\begin{cases} \sigma_{xx} = \frac{S}{2} \left( 2 - 3\frac{a^2}{r^2} \cos 2\theta + \left(-2\frac{a^2}{r^2} + 3\frac{a^4}{r^4}\right) \cos 4\theta \right), \\ \sigma_{yy} = \frac{S}{2} \left( -\frac{a^2}{r^2} \cos 2\theta + \left(2\frac{a^2}{r^2} - 3\frac{a^4}{r^4}\right) \cos 4\theta \right), \\ \sigma_{xy} = \frac{S}{2} \left( -\frac{a^2}{r^2} \sin 2\theta + \left(-2\frac{a^2}{r^2} + 3\frac{a^4}{r^4}\right) \sin 4\theta \right). \end{cases} \tag{55}$$

When expressed in the polar coordinates, the stress components are [36]

$$\begin{cases} \sigma_{rr} = \frac{S}{2} \left( 1 - \frac{a^2}{r^2} \right) + \frac{S}{2} \left( 1 - 4\frac{a^2}{r^2} + 3\frac{a^4}{r^4} \right) \cos(2\theta), \\ \sigma_{\theta\theta} = \frac{S}{2} \left( 1 + \frac{a^2}{r^2} \right) - \frac{S}{2} \left( 1 + 3\frac{a^4}{r^4} \right) \cos(2\theta), \\ \sigma_{r\theta} = -\frac{S}{2} \left( 1 + 2\frac{a^2}{r^2} - 3\frac{a^4}{r^4} \right) \sin(2\theta). \end{cases} \tag{56}$$

Indeed, the mechanical quantities of interest decay fast as one moves away from the center of the hole, i.e., the origin [36, 47]. Far away from the hole (as  $r \rightarrow \infty$ ), we have

$$\sigma_{rr} = S \cos^2 \theta, \quad \sigma_{\theta\theta} = S \sin^2 \theta, \quad \sigma_{r\theta} = -S \cos \theta \sin \theta. \tag{57}$$

But on the rim of the circular hole, we have

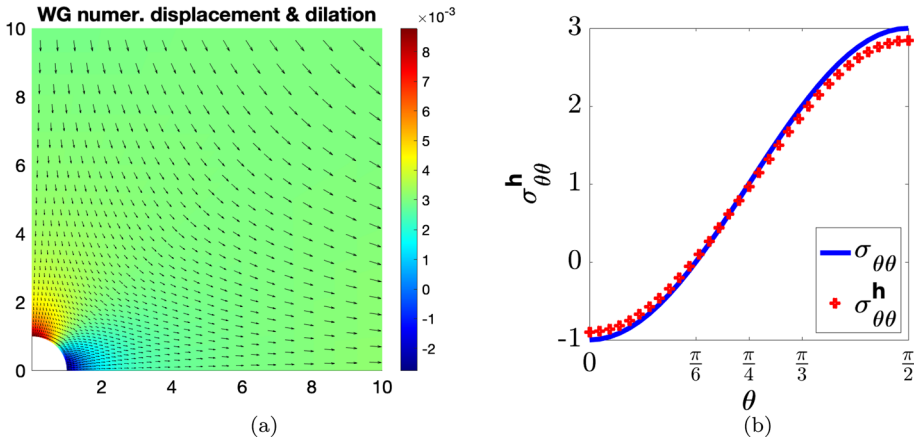
$$\sigma_{rr} = 0, \quad \sigma_{\theta\theta} = S(1 - 2 \cos(2\theta)), \quad \sigma_{r\theta} = 0. \tag{58}$$

Clearly, as one travels on the rim from  $\theta = 0$  via  $\frac{\pi}{4}$  to  $\frac{\pi}{2}$ , the stress  $\sigma_{\theta\theta}$  changes from  $-S$  via  $S$  to  $3S$ .

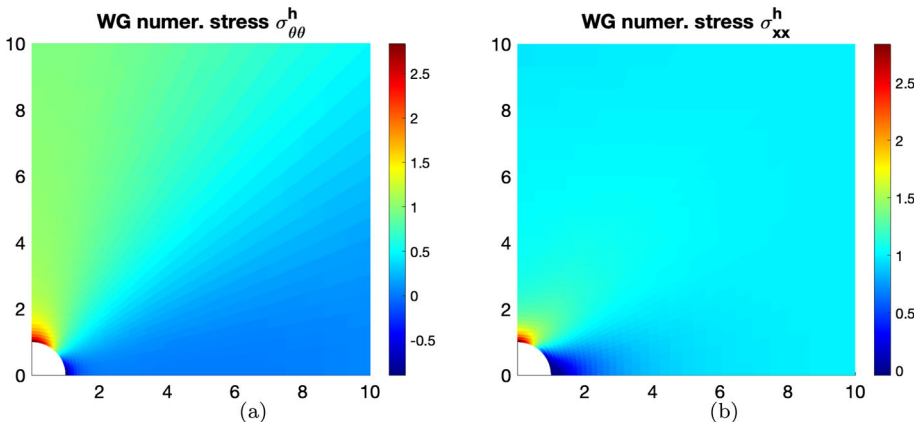
It is useful, especially for numerical experiments, to know the stress component conversion formulas between the Cartesian and polar coordinates, as shown below

$$\begin{bmatrix} \sigma_{rr} \\ \sigma_{\theta\theta} \\ \sigma_{r\theta} \end{bmatrix} = \begin{bmatrix} \cos^2 \theta & \sin^2 \theta & \sin(2\theta) \\ \sin^2 \theta & \cos^2 \theta & -\sin(2\theta) \\ -\cos \theta \sin \theta & \cos \theta \sin \theta & \cos(2\theta) \end{bmatrix} \begin{bmatrix} \sigma_{xx} \\ \sigma_{yy} \\ \sigma_{xy} \end{bmatrix}. \tag{59}$$

To apply FEMs, we restrict this quadrant to a square with side-length  $A$ . Furthermore,



**Fig. 4** Example 3 (circular hole radius  $a = 1$ , Poisson ratio  $\nu = 0.499$ ). Results by  $WGAC_0$  with  $N_r = N_\theta = 32$ : **a** Numerical displacement and dilation; **b** Exact stress  $\sigma_{\theta\theta}$  and numerical stress  $\sigma_{\theta\theta}^h$  on the rim



**Fig. 5** Example 3 (circular hole radius  $a = 1$ , Poisson ratio  $\nu = 0.499$ ). Results by the  $WGAC_0$  solver with  $N_r = N_\theta = 32$ : **a** Numerical stress  $\sigma_{\theta\theta}^h$  over the whole mesh; **b** Numerical stress  $\sigma_{xx}^h$  over the whole mesh

- (i) No body force is presented to the system, i.e.,  $\mathbf{f} = (0, 0)$ .
- (ii) There is a Neumann boundary condition  $\mathbf{t}_N = (1, 0)$  on the right boundary.
- (iii) Due to symmetry of the problem, a partial Dirichlet boundary condition  $u_1 = 0$  (for displacement  $\mathbf{u} = (u_1, u_2)$ ) is posed on the left boundary  $x = 0$ ; whereas a partial Dirichlet boundary condition  $u_2 = 0$  is posed on the bottom boundary  $y = 0$ .
- (iv) No boundary condition is posed on the rim or the top side of the plate.

For numerical experiments, we set  $a = 1$ ,  $A = 10$ ,  $S = 1$ , and  $\nu = 0.499$ .

Figure 4 shows results obtained from applying the  $WG(P_0^2, P_0^2; AC_0^2, P_0)$  solver on a mesh with  $N_r = N_\theta = 32$ . Panel (a) shows numerical displacement and dilation; Panel (b) shows the exact stress  $\sigma_{\theta\theta}$  along with the numerical stress  $\sigma_{\theta\theta}^h$  on the rim. From Panel (b), it is observed that the numerical stress approximates the exact stress very well. On the rim,

- When  $\theta = 0$ , the numerical stress  $\sigma_{\theta\theta}^h$  approaches to  $-1$ .
- When  $\theta = \frac{\pi}{2}$ , the numerical stress  $\sigma_{\theta\theta}^h$  approaches to  $3$ .

- In between when  $\theta = \frac{\pi}{4}$ , the numerical stress  $\sigma_{\theta\theta}^h$  approaches to 1.

These numerical results capture the features of the exact stress shown in (58).

Figure 5 shows numerical stresses in polar and Cartesian (calculated at element centers), respectively. It is clear from Panel (b) that  $\sigma_{xx}^h$  is very close to the value 1 along the right side, reflecting the traction condition  $\mathbf{t}_N = (1, 0)$ .

## 7 Concluding Remarks

In this paper, we have developed a family of penalty-free any-order weak Galerkin finite element methods for linear elasticity on convex quadrilateral meshes. A complete account of rigorous analysis is presented to validate the locking-free property and optimal order convergence rates (in displacement, stress, and dilation) of the new methods. This claim is further supported by numerical experiments on several popular test examples.

It is clear that the methods in this paper are more efficient than those based on the  $WG(Q_k^2, Q_k^2; RT_{[k]}^2, Q_k)$  elements [25], since the new methods use less degrees of freedom and apply to more general quadrilateral meshes.

*Extension to cuboidal hexahedral meshes.* The WG methods developed in this paper can be extended to 3-dim by utilizing the Arbogast-Tao spaces  $AT_k (k \geq 0)$  constructed in [2]. Here we use  $AT_0$  to briefly explain the main ideas. Let  $E$  be a cuboidal hexahedron. It is known that  $\dim(AT_0) = 6$ . Shown below is a local basis for  $AT_0$ :

$$\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}, \mathcal{P}_E \begin{bmatrix} \hat{x} \\ -\hat{y} \\ 0 \end{bmatrix}, \mathcal{P}_E \begin{bmatrix} 0 \\ \hat{y} \\ -\hat{z} \end{bmatrix}, \tag{60}$$

where  $X, Y, Z$  are the normalized coordinates,  $\hat{x}, \hat{y}, \hat{z}$  are the coordinates for the reference element unit cubic, and  $\mathcal{P}_E$  is the Piola mapping. Based on this, we define  $AT_0^3$  as the space of all  $3 \times 3$  matrices whose rows are in  $AT_0$ .

The finite element methods developed in this paper have been implemented in `Matlab` and the code modules are included in our package `DarcyLite`, which is publicly available at the 3rd author’s webpage. Efficient implementation of these methods on the `deal.II` platform is currently being investigated and will be reported in our future work.

Clearly, the WG discretization for linear elasticity developed in this paper can be combined with the WG solvers for Darcy flow investigated in [32] to develop penalty-free any order solvers for poroelasticity problems. This is currently under our investigation and will also be reported in our future work.

**Funding** J. Liu was supported in part by US National Science Foundation under grant DMS-2208590. R. Wang was partially supported by the National Natural Science Foundation of China (grant No. 12001230, 11971198), the National Key Research and Development Program of China (grant No. 2020YFA0713602), and the Key Laboratory of Symbolic Computation and Knowledge Engineering of Ministry of Education of China housed at Jilin University. Z. Wang was partially supported by the National Natural Science Foundation of China (grant No.12101626).

**Data Availability** The code used in this work will be made available upon request to the authors.

## Declarations

**Conflict of interest** The authors have no relevant financial or non-financial interests to disclose.

## References

1. Arbogast, T., Correa, M.R.: Two families of  $H(\text{div})$  mixed finite elements on quadrilaterals of minimal dimension. *SIAM J. Numer. Anal.* **54**, 3332–3356 (2016)
2. Arbogast, T., Tao, Z.: Construction of  $H(\text{div})$ -conforming mixed finite elements on cuboidal hexahedra. *Numer. Math.* **142**, 1–32 (2019)
3. Arnold, D.N., Awanou, G., Qiu, W.: Mixed finite elements for elasticity on quadrilateral meshes. *Adv. Comput. Math.* **41**, 553–572 (2015)
4. Artioli, E., Beirao da Veiga, L., Lovadina, C., Sacco, E.: Arbitrary order 2D virtual elements for polygonal meshes: part I, elastic problem. *Comput. Mech.* **60**, 355–377 (2017)
5. Artioli, E., Beirao da Veiga, L., Lovadina, C., Sacco, E.: Arbitrary order 2D virtual elements for polygonal meshes: part II, inelastic problem. *Comput. Mech.* **60**, 643–657 (2017)
6. Babuska, I., Suli, M.: The h-p version of the finite element method with quasiuniform meshes. *Math. Model. Numer. Anal.* **21**, 199–238 (1987)
7. Beirao da Veiga, L., Brezzi, F., Cangiani, A., Manzini, G., Marini, L.D., Russo, A.: Basic principles of virtual element methods. *Math. Models Methods Appl. Sci.* **23**, 199–214 (2013)
8. Beirao da Veiga, L., Brezzi, F., Marini, L.D.: Virtual elements for linear elasticity problems. *SIAM J. Numer. Anal.* **51**(2), 794–812 (2013)
9. Beirao da Veiga, L., Lovadina, C., Mora, D.: A virtual element method for elastic and inelastic problems on polytope meshes. *Comput. Methods Appl. Mech. Eng.* **295**, 327–346 (2015)
10. Berbatov, K.B., Lazarov, S., Jivkov, A.P.: A guide to the finite and virtual element methods for elasticity. *Appl. Numer. Math.* **169**, 351–395 (2021)
11. Bower, A.F.: *Applied Mechanics of Solids*. CRC Press, London (2010)
12. Brenner, S.: A nonconforming mixed multigrid method for the pure displacement problem in planar linear elasticity. *SIAM J. Numer. Anal.* **30**, 116–135 (1993)
13. Brenner, S., Scott, L.: *The Mathematical Theory of Finite Element Methods*. Texts in Applied Mathematics, vol. 15, 3rd edn. Springer, New York (2008)
14. Brezzi, F., Fortin, M.: *Mixed and Hybrid Finite Element Methods*. Springer, Berlin (1991)
15. Carstensen, C., Schedensack, M.: Medius analysis and comparison results for first-order finite element methods in linear elasticity. *IMA J. Numer. Anal.* **35**, 1591–1621 (2015)
16. Chen, G., Xie, X.: A robust weak Galerkin finite element method for linear elasticity with strong symmetric stresses. *Comput. Methods Appl. Math.* **16**(3), 389–408 (2016)
17. Chen, L., Huang, X.: A finite element elasticity complex in three dimensions. *Math. Comput.* **91**, 2095–2127 (2022)
18. Chen, L., Huang, X.: Finite elements for div- and divdiv-conforming symmetric tensors in arbitrary dimension. *SIAM J. Numer. Anal.* **60**(4), 1932–1961 (2022)
19. Chen, L., Wang, J., Ye, X.: A posteriori error estimates for weak Galerkin finite element methods for second order elliptic problems. *J. Sci. Comput.* **59**, 496–511 (2014)
20. Chou, S.-H., He, S.: On the regularity and uniformness conditions on quadrilateral grids. *Comput. Meth. Appl. Mech. Eng.* **191**, 5149–5158 (2002)
21. Falk, R.S.: Nonconforming finite element methods for the equations of linear elasticity. *Math. Comput.* **57**(196), 529–550 (1991)
22. Feng, Y., Liu, Y., Wang, R., Zhang, S.: A stabilizer-free weak Galerkin finite element method for the Stokes equations. *Adv. Appl. Math. Mech.* **14**(1), 181–201 (2021)
23. Fu, G., Cockburn, B., Stolarski, H.: Analysis of an HDG method for linear elasticity. *Int. J. Numer. Methods Eng.* **102**(3–4), 551–575 (2015)
24. Gain, A., Talischi, C., Paulino, G.: On the virtual element method for three-dimensional linear elasticity problems on arbitrary polyhedral meshes. *Comput. Methods Appl. Mech. Eng.* **282**, 132–160 (2014)
25. Harper, G., Liu, J., Tavener, S., Zheng, B.: Lowest-order weak Galerkin finite element methods for linear elasticity on rectangular and brick meshes. *J. Sci. Comput.* **78**, 1917–1941 (2019)
26. Hu, J.: A new family of efficient conforming mixed finite elements on both rectangular and cuboid meshes for linear elasticity in the symmetric formulation. *SIAM J. Numer. Anal.* **53**(3), 1438–1463 (2015)
27. Hu, J., Man, H., Wang, J., Zhang, S.: The simplest nonconforming mixed finite element method for linear elasticity in the symmetric formulation on n-rectangular grids. *Comput. Math. Appl.* **71**(7), 1317–1336 (2016)
28. Li, J., Ye, X., Zhang, S.: A weak Galerkin least-squares finite element method for div-curl systems. *J. Comput. Phys.* **363**, 79–86 (2018)
29. Liu, J., Cali, R.: A note on the approximation properties of the locally divergence-free finite elements. *Int. J. Numer. Anal. Model.* **5**, 693–703 (2008)

30. Liu, J., Sadre-Marandi, F., Wang, Z.: DarcyLite: a Matlab toolbox for Darcy flow computation. *Procedia Comput. Sci.* **80**, 1301–1312 (2016)
31. Liu, J., Tavener, S., Wang, Z.: Lowest-order weak Galerkin finite element method for Darcy flow on convex polygonal meshes. *SIAM J. Sci. Comput.* **40**(5), B1229–B1252 (2018)
32. Liu, J., Tavener, S., Wang, Z.: Penalty-free any-order weak Galerkin FEMs for elliptic problems on quadrilateral meshes. *J. Sci. Comput.* **83**(3), 1–19 (2020)
33. Nguyen, N.C., Peraire, J., Cockburn, B.: High-order implicit hybridizable discontinuous Galerkin methods for acoustics and elastodynamics. *J. Comput. Phys.* **230**(10), 885 (2011)
34. Qiu, W., Shen, J., Shi, K.: An HDG method for linear elasticity with strong symmetric stresses. *Math. Comput.* **87**(309), 69–93 (2018)
35. Soon, S.-C., Cockburn, B., Stolarski, H.K.: A hybridizable discontinuous Galerkin method for linear elasticity. *Int. J. Numer. Methods Eng.* **80**(8), 1058–1092 (2009)
36. Timoshenko, S.P., Goodier, J.N.: *Theory of Elasticity*. McGraw-Hill, New York (1970)
37. Wang, C.: New discretization schemes for time-harmonic Maxwell equations by weak Galerkin finite element methods. *J. Comput. Appl. Math.* **341**, 127–143 (2018)
38. Wang, C., Wang, J., Wang, R., Zhang, R.: A locking-free weak Galerkin finite element method for elasticity problems in the primal formulation. *J. Comput. Appl. Math.* **307**, 346–366 (2016)
39. Wang, J., Wang, R., Zhai, Q., Zhang, R.: A systematic study on weak Galerkin finite element methods for second order elliptic problems. *J. Sci. Comput.* **74**(3), 1369–1396 (2018)
40. Wang, J., Ye, X.: A weak Galerkin finite element method for second order elliptic problems. *J. Comput. Appl. Math.* **241**, 103–115 (2013)
41. Wang, J., Ye, X.: A weak Galerkin mixed finite element method for second-order elliptic problems. *Math. Comput.* **83**, 2101–2126 (2014)
42. Wang, J., Zhai, Q., Zhang, R., Zhang, S.: A weak Galerkin finite element scheme for the Cahn-Hilliard equation. *Math. Comput.* **88**, 211–235 (2019)
43. Wang, R., Zhang, R.: A weak Galerkin finite element method for the linear elasticity problem in mixed form. *J. Comput. Math.* **36**, 469–491 (2018)
44. Wang, Z., Tavener, S., Liu, J.: Analysis of a 2-field finite element solver for poroelasticity on quadrilateral meshes. *J. Comput. Appl. Math.* **393**, 113539 (2021)
45. Wang, Z., Wang, R., Liu, J.: Robust weak Galerkin finite element solvers for Stokes flow based on a lifting operator. *Comput. Math. Appl.* **125**, 90–100 (2022)
46. Yi, S.-Y.: A lowest-order weak Galerkin method for linear elasticity. *J. Comput. Appl. Math.* **350**, 286–298 (2019)
47. Zhang, Y., Wang, S., Chan, D.: A new five-node locking-free quadrilateral element based on smoothed FEM for near-incompressible linear elasticity. *Int. J. Numer. Meth. Eng.* **100**, 633–668 (2014)

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Springer Nature or its licensor (e.g. a society or other partner) holds exclusive rights to this article under a publishing agreement with the author(s) or other rightsholder(s); author self-archiving of the accepted manuscript version of this article is solely governed by the terms of such publishing agreement and applicable law.