

# The adjoints of DNA graphs\*

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In order to read a DNA sequence, we propose a method which induces the concept of DNA graph. In this paper, by discussing the adjoints of DNA graphs, we obtain more DNA graphs from known DNA graphs.

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## 1. Introduction

Since Watson and Crick [1] proposed the helical structure of DNA, many problems about this structure are posed. An important problem is how to read a DNA sequence, one method is hybridization and reconstruction. All short fragments of nucleic acids (oligonucleotides) of length  $l$  (a library composed of  $4^l$  subchains) are used in the hybridization experiment and thus, the formation of the complex indicates the occurrence of a sequence complementary to the oligonucleotides in the DNA chain. It is detected by a nuclear or spectroscopic detector. As a result of the experiment one gets a set (called spectrum) of all  $l$ -long oligonucleotides which are used to hybridize with the investigating DNA sequence of length  $n$ .

Now raising a new problem: in what order to reconstruct these fragments? Lysov et al. [2] proposed a method which is to formulate the problem of finding original DNA sequence as looking for a Hamiltonian path. Later, Pevzner et al. [3] refined this problem as looking for a Eulerian trail. The above approach raised some interesting questions in graph theory. They are concerned with the labeling graph which will be referred to as DNA graph. About previous results please see [2–5].

However, when we use oligonucleotides to hybridize with the investigating DNA sequence, we may make mistakes. How to check whether the operation of

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the experiment is proper? We transfer this problem to the conception of graph theory as follows: how to identify a graph being a DNA graph? In this paper, by discussing the adjoint of DNA graph, we obtain more DNA graphs from known DNA graphs. In this way, we obtain more checking methods. The organization of the paper is as follows. In section 2, for convenience, we present some definitions. Section 3 is devoted to the main results.

## 2. Preliminaries

In this paper, when we say a directed graph, we mean a directed 1-graph without directed loops. Because the purpose of our study is to look for a sequence of A, T, G, C, we consider directed loops and  $p$ -graphs ( $p \geq 2$ ) is valueless for the arrangement of a DNA sequence.

**Definition 2.1.** [6] A directed graph is a  $p$ -graph if given any ordered pair  $x, y$  of vertices ( $x$  possibly equal to  $y$ ), there are at most  $p$  parallel arcs from  $x$  to  $y$ .

**Definition 2.2.** [4] Let  $k > 1$  and  $\alpha > 0$  be two integers. We say that a directed graph  $D = (V, A)$  can be  $(\alpha, k)$ -labeled if it is possible to assign a label  $(l_1(x), \dots, l_k(x))$  of length  $k$  to each vertex  $x$  of  $D$  such that

1.  $l_i(x) \in \{1, \dots, \alpha\}, \forall i \forall x \in V$ ;
2. all labels are different, that is  $(l_1(x), \dots, l_k(x)) \neq (l_1(y), \dots, l_k(y))$  if  $x \neq y$ ;
3. If  $x \neq y, (x, y) \in A \Leftrightarrow (l_2(x), \dots, l_k(x)) = (l_1(y), \dots, l_{k-1}(y))$ .

**Definition 2.3.** [4]. Given two integers  $k > 1$  and  $\alpha > 0$ ,  $L_k^\alpha$  is the class of directed graphs that can be  $(\alpha, k)$ -labeled.

**Definition 2.4.** [4]. A directed graph  $D$  is a DNA graph if and only if  $\exists k > 1$  such that  $D \in L_k^4$ .

**Definition 2.5.** [4]. The adjoint  $D' = (V, U)$  of a graph  $D = (X, V)$  is the directed graph with vertex set  $V$  and such that there is an arc from a vertex  $x$  to a vertex  $y$  in  $D'$  if and only if the terminal endpoint of the arc  $x$  in  $D$  is the initial endpoint of arc  $y$  in  $D$ .

A graph  $D'$  is an adjoint if there exists some graph  $D$  such that  $D'$  is the adjoint of  $D$ .

**Definition 2.6.** Let  $D'$  be the adjoint of  $D$ . If  $D$  is isomorphic to  $D'$ , we call  $D$  self-adjoint.

**Definition 2.7.** Define  $S_{v_j,m,i}$  as follows:

$$V(S_{v_j,m,i}) = \{v_j, x_1, x_2, \dots, x_m, y_1, y_2, \dots, y_i\},$$

$$A(S_{v_j,m,i}) = \{(x_1, v_j), (x_2, v_j), \dots, (x_m, v_j), (v_j, y_1), (v_j, y_2), \dots, (v_j, y_i)\},$$

where  $m \geq 0, i \geq 0$ .

Let  $C_n$  be a directed  $n$ -cycle:

$$V(C_n) = \{v_1, v_2, \dots, v_n\},$$

$$A(C_n) = \{(v_1, v_2), (v_2, v_3), \dots, (v_{n-1}, v_n), (v_n, v_1)\},$$

where  $n \geq 2$ . Then, we paste  $S_{v_j,m,0}$  to  $C_n$  such that  $V(S_{v_j,m,0}) \cap V(C_n) = \{v_j\}$ , where  $j = 1, 2, \dots, n$ , we obtain a directed graph, denoted  $C(v_1, v_2, \dots, v_n)$ .

For example, one of  $C(v_1, v_2, v_3)$  is as follows:

$$V(C(v_1, v_2, v_3)) = \{v_1, v_2, v_3, x_{11}, x_{12}, x_{21}, x_{22}, x_{31}\},$$

$$A(C(v_1, v_2, v_3)) = \{(v_1, v_2), (v_2, v_3), (v_3, v_1), (x_{11}, v_1), (x_{12}, v_1), (x_{21}, v_2), (x_{22}, v_2), (x_{31}, v_3)\}.$$

Define  $A_i$  as follows:

$A_0 = C_n$ , a directed  $n$ -cycle;  $A_1 = C(v_1, v_2, \dots, v_n)$ ; defined above. Suppose  $A_i$  is constructed, where  $i \geq 1$ , we construct  $A_{i+1}$  as follows:

For every vertex  $u \in V(A_i)$  with  $d_{A_i}^+(u) = 1$  and  $d_{A_i}^-(u) = 0$ , we paste  $S_{u,m,0}$  at vertex  $u, \forall u \in V(A_i), m \geq 0$ , for different  $u \in A_i, m$  may be different,  $V(S_{u,m,0}) \cap V(A_i) = \{u\}$ .

**Definition 2.8.** [7]. The converse  $\overleftarrow{D}$  of  $D$  is the directed graph obtained from  $D$  by reversing the orientation of each arc.

The main results of this paper are as follows:

**Theorem 3.1.** Let  $\{D\}$  denote the set of DNA graphs and  $\{D'\}$  denote the set of adjoints of DNA graphs in  $\{D\}$ , then  $\{D'\}$  is a proper subset of  $\{D\}$ .

**Theorem 3.7.** The connected self-adjoints are  $A_n$  and  $\overleftarrow{A}_n$ , where  $A_n$  is defined in definition 2.7,  $\overleftarrow{A}_n$  is defined in definition 2.8.

### 3. Results

**Theorem 3.1.** Let  $\{D\}$  denote the set of DNA graphs and  $\{D'\}$  denote the set of adjoints of DNA graphs in  $\{D\}$ , then  $\{D'\}$  is a proper subset of  $\{D\}$ .

*Proof.* Let  $D$  be a DNA graph and  $D'$  its adjoint. Suppose  $u, v \in V(D)$  and  $a = (u, v) \in A(D)$ . Since  $D$  is a DNA graph, by definitions 2.2 and 2.4, we have

$$\begin{aligned} l(u) &= (l_1(u), l_2(u), \dots, l_k(u)), \\ l(v) &= (l_2(u), \dots, l_k(u), l_k(v)), \end{aligned}$$

we define the label of  $a \in V(D')$  as follows:

$$l(a) = (l_1(u), l_2(u), \dots, l_k(u), l_k(v)).(*)$$

In the following, we prove that by (\*) we give  $D'$  a proper  $(4, k + 1)$ -labeling, hence,  $D'$  is also a DNA graph.

Let  $x, y, u, v \in V(D)$ ,  $x \neq v$ ,  $a_1 = (x, y) \in A(D)$  and  $a_2 = (u, v) \in A(D)$ . Since  $x \neq v$ , we have  $l(a_1) \neq l(a_2)$ .

**Case 1.**  $y = u$ . Let  $l(x) = (l_1(x), l_2(x), \dots, l_k(x))$ , by definition 2.2 we have

$$\begin{aligned} l(y) &= (l_2(x), \dots, l_k(x), l_k(y)), \\ l(v) &= (l_3(x), \dots, l_k(x), l_k(y), l_k(v)). \end{aligned}$$

By (\*), we obtain the labels of  $a_1 \in V(D')$  and  $a_2 \in V(D')$  as follows:

$$\begin{aligned} l(a_1) &= (l_1(x), l_2(x), \dots, l_k(x), l_k(y)), \\ l(a_2) &= (l_2(x), \dots, l_k(x), l_k(y), l_k(v)). \end{aligned}$$

By Definition 2.2 we have  $(a_1, a_2) \in A(D')$ , which is what definition 2.5 requested.

If  $(a_2, a_1) \in A(D')$ , by definition 2.2 we have

$$(l_3(x), \dots, l_k(x), l_k(y), l_k(v)) = (l_1(x), l_2(x), \dots, l_k(x)),$$

thus,  $l(v) = l(x)$ , which contradicts with definition 2.2. Thus,  $(a_2, a_1)$  is not an arc of  $D'$ , which is what definition 2.5 requested.

**Case 2.**  $y \neq u$ .

Similar as case 1, we obtain

$$\begin{aligned} l(x) &= (l_1(x), l_2(x), \dots, l_k(x)), \\ l(y) &= (l_2(x), \dots, l_k(x), l_k(y)), \\ l(u) &= (l_1(u), l_2(u), \dots, l_k(u)), \\ l(v) &= (l_2(u), \dots, l_k(u), l_k(v)), \\ l(a_1) &= (l_1(x), l_2(x), \dots, l_k(x), l_k(y)), \\ l(a_2) &= (l_1(u), l_2(u), \dots, l_k(u), l_k(v)), \end{aligned}$$

where  $y, u \in V(D)$ ,  $a_1, a_2 \in V(D')$ .

Since  $y \neq u$ , by definition 2.2 we have

$$(l_2(x), \dots, l_k(x), l_k(y)) \neq (l_1(u), l_2(u), \dots, l_k(u)).$$

By definition 2.2 there is no arc from  $a_1$  to  $a_2$  in  $D'$ , which is what definition 2.5 requested. Thus,  $D'$  is a DNA graph.

Define  $W'$  as follows:

$$V(W') = \{x, y, z, u\},$$

$$A(W') = \{(x, y), (y, z), (z, u), (u, x), (x, z)\}.$$

We label the vertices of  $W'$  as follows:

$$l(x) = (2, 1, 1), l(y) = (1, 1, 1),$$

$$l(z) = (1, 1, 2), l(u) = (1, 2, 1).$$

Thus,  $W'$  is a DNA graph.

**Claim.** There is no DNA graph whose adjoint is  $W'$ .

By contradiction. Suppose  $W'$  is the adjoint of DNA graph  $W$ . Because there are 4 vertices in  $W'$ , by definition 2.5 there are exactly 4 arcs in  $W$ . Let  $A(W) = \{a_1, a_2, a_3, a_4\}$ . Because the directed triangle  $xzu$  is contained in  $W'$ , by definition 2.5 we have

$$a_1 = (v_1, v_2), a_2 = (v_2, v_3), a_3 = (v_3, v_1).$$

By the symmetry of  $v_1, v_2, v_3$ , we have four cases to consider:

**Case 3.**  $a_4 = (v_4, v_5)$ .

By definition 2.5  $a_4$  corresponds to an isolated vertex in  $W'$ , which is a contradiction.

Similarly, we can prove that cases 4–6 are impossible.

**Case 4.**  $a_4 = (v_4, v_1)$ .

**Case 5.**  $a_4 = (v_1, v_4)$ .

**Case 6.**  $a_4 = (v_2, v_1)$ .

The theorem follows.

**Remark.** Theorem 3.1 provides a general method, using it we obtain more DNA graphs from known results, as demonstrated in theorems 3.3 and 3.4.

By theorem 3.1 the following corollary is obvious.

**Corollary 3.2.** Let  $D_{i+1}$  be the adjoint of  $D_i$ , where  $i = 0, 1, 2, \dots, n$ ,  $n$  is an arbitrary integer number. If  $D_0$  is a DNA graph, then  $D_n$  is a DNA graph.

**Theorem 3.3.** The adjoint of a directed path is a DNA graph.

*Proof.* **Claim.**  $P_n$  is a DNA graph, where  $P_n$  is a directed path with  $n$  vertices.

Let  $P_n = (v_1, v_2, \dots, v_n)$ . We label vertex  $v_i$  as follows:

$$(1, 1, \dots, 1, 2, 2, \dots, 2),$$

where the number of 1 is  $(3n + 1 - i)$  and the number of 2 is  $(i - 1)$ .

It is easy to see that all labels are different, and there exists an arc from  $v_i$  to  $v_{i+1}$ . We claim that there is no arc  $(v_i, v_j) \in P_n$ , where  $|i - j| \geq 2$ . In fact, without loss of generality, let  $j \geq 2 + i$ . We have

$$(l_2(v_i), \dots, l_{3n}(v_i)) = (1, 1, 1, \dots, 1, 2, 2, \dots, 2),$$

where the number of 1 is  $(3n - i)$  and the number of 2 is  $(i - 1)$ .

$$(l_1(v_j), \dots, l_{3n-1}(v_j)) = (1, 1, \dots, 1, 2, 2, \dots, 2),$$

where the number of 1 is  $(3n + 1 - j)$  and the number of 2 is  $(j - 2)$ . Since  $j \geq 2 + i$ , we have

$$3n - i > 3n + 1 - j.$$

By definition 2.2, there is no arc from  $v_i$  to  $v_j$ . By theorem 3.1 the theorem follows.

**Theorem 3.4.** The adjoint of a directed cycle is a DNA graph.

*Proof.* **Claim:**  $C_n$  is a DNA graph, where  $C_n$  is a directed cycle.

In this proof, if  $i \equiv 0 \pmod{4}$ , we denote  $i \equiv 4 \pmod{4}$ . Define  $C_n = (v_1, v_2, \dots, v_n)$ .

**Case 1.** Suppose  $4|n$ , let  $n = 4m$ . We label the vertices of  $C_n$  as follows:

Step 1: Define

$$(l_1(v_1), l_2(v_1), \dots, l_n(v_1)) = (1, 1, \dots, 1, 2, 2, \dots, 2, 3, 3, \dots, 3, 4, 4, \dots, 4),$$

where the number of  $k$  is  $m$ ,  $k = 1, 2, 3, 4$ .

Step 2: Suppose that the label of  $v_i$  is  $(l_1(v_i), l_2(v_i), \dots, l_n(v_i))$ , define the label of  $v_{i+1}$  as follows:

$$(l_1(v_{i+1}), l_2(v_{i+1}), \dots, l_{n-1}(v_{i+1}), l_n(v_{i+1})) = (l_2(v_i), l_3(v_i), \dots, l_n(v_i), l_1(v_i)).$$

By this definition we have  $l_j(v_i) \in \{1, 2, 3, 4\}$ , where  $i = 1, 2, \dots, n$ ,  $j = 1, 2, \dots, n$ . Obviously, all labels are different, there exists an arc from  $v_i$  to  $v_{i+1}$ , where  $i = 1, 2, \dots, n - 1$ . Since

$$(l_1(v_n), l_2(v_n), \dots, l_n(v_n)) = (4, 1, 1, \dots, 1, 2, 2, \dots, 2, 3, 3, \dots, 3, 4, 4, \dots, 4),$$

$$(l_1(v_1), l_2(v_1), \dots, l_n(v_1)) = (1, 1, \dots, 1, 2, 2, \dots, 2, 3, 3, \dots, 3, 4, 4, \dots, 4),$$

we know that there is an arc from  $v_n$  to  $v_1$ .

Suppose there exists an arc from  $v_i$  to  $v_j$ . Let the label of  $v_i$  be

$$(l_1(v_i), l_2(v_i), \dots, l_n(v_i)).$$

Thus, the label of  $v_j$  is

$$(l_2(v_i), l_3(v_i), \dots, l_n(v_i), l_n(v_j)).$$

By the symmetry of 1, 2, 3 and 4, without loss of generality, let  $l_1(v_i) = 1$ . By step 1 and step 2 we know that in every label of vertex the number of  $k$  is  $m$ , thus,  $l_n(v_j) = 1 = l_1(v_i)$ . Since we have proved that all the labels are different, and the label of  $v_{i+1}$  is

$$(l_2(v_i), l_3(v_i), \dots, l_n(v_i), l_1(v_i)),$$

we know that  $j = i + 1$ . Thus, there exists an arc from  $v_i$  to  $v_{i+1}$  only. Therefore,  $C_n$  is a DNA graph, where  $n = 4m$ .

**Case 2.** Suppose  $n \not\equiv 0 \pmod{4}$ . Define the label of  $v_i$  as follows:

$$l_j(v_i) = \begin{cases} i + j \pmod{4}, & \text{if } i + j \leq n, \\ i + j - n \pmod{4}, & \text{if } i + j > n, \end{cases}$$

where  $i = 1, 2, \dots, n; j = 1, 2, \dots, n$ .

It is easy to see that  $l_j(v_i) \in \{1, 2, 3, 4\}$ , where  $i = 1, 2, \dots, n, j = 1, 2, \dots, n$ . Assume that there exist two vertices  $v_i$  and  $v_{i+p}$  such that

$$(l_1(v_i), l_2(v_i), \dots, l_n(v_i)) = (l_1(v_{i+p}), l_2(v_{i+p}), \dots, l_n(v_{i+p})),$$

where  $1 \leq p \leq n - i$ . Thus,  $l_n(v_i) = l_n(v_{i+p}) \pmod{4}$ , that is,  $i \equiv i + p \pmod{4}$ , we have  $4|p$ .

Similarly,  $l_{n+1-p-i}(v_{i+p}) = l_{n+1-p-i}(v_i) \pmod{4}$ , that is,  $1 \equiv n + 1 - p \pmod{4}$ . Because we have proved that  $4|p$ , we have  $4|n$ , which contradicts with  $n \not\equiv 0 \pmod{4}$ . Hence, all labels are different.

By the definition of  $l_j(v_i)$ , we have

$$(l_2(v_i), l_3(v_i), \dots, l_n(v_i)) = (l_1(v_{i+1}), l_2(v_{i+1}), \dots, l_{n-1}(v_{i+1})).$$

Hence, there exists an arc from  $v_i$  to  $v_{i+1}$ , where  $1 \leq i \leq n - 1$ .

Similarly, the label of  $v_n$  is as follows:

$$(l_1(v_n), l_2(v_n), \dots, l_t(v_n), \dots, l_n(v_n)) = (1, 2, \dots, t \pmod{4}, \dots, n \pmod{4}),$$

the label of  $v_1$  is as follows:

$$(l_1(v_1), l_2(v_1), \dots, l_{t-1}(v_1), \dots, l_{n-1}(v_1), l_n(v_1)) = (2, 3, \dots, t \pmod{4}, \dots, n \pmod{4}, 1).$$

By definition 2.2 there is an arc from  $v_n$  to  $v_1$ .

Suppose there is an arc from  $v_i$  to  $v_j$ , let the label of  $v_i$  be  $(l_1(v_i), l_2(v_i), \dots, l_n(v_i))$ . By definition 2.2 the label of  $v_j$  is as follows:  $(l_2(v_i), \dots, l_n(v_i), l_n(v_j))$ . By mathematical induction and the definition of  $l_j(v_i)$  defined above, we know that the number of  $i$ 's in every label of vertex is constant, where  $i = 1, 2, 3, 4$ . Thus,  $l_n(v_j) = l_1(v_i)$ . Therefore, the label of  $v_j$  is as follows:

$$(l_2(v_i), \dots, l_n(v_i), l_1(v_i)),$$

which is the label of  $v_{i+1}$ . Because we have proved that all labels are different, we obtain  $j = i + 1$ . Hence,  $C_n$  is a DNA graph. By theorem 3.1 the theorem follows.

The following theorem is clear.

**Theorem 3.5.** Let  $D$  be a directed graph,  $a = (u, v) \in A(D)$ ,  $D'$  be the adjoint of  $D$ , we have

$$d_D^+(v) = d_{D'}^+(a), d_D^-(u) = d_{D'}^-(a).$$

By Theorem 3.5 we have the following corollary.

**Corollary 3.6.** Let  $D'$  be the adjoint of  $D$ , we have

$$\begin{aligned} \Delta^+(D) &\geq \Delta^+(D'), \Delta^-(D) \geq \Delta^-(D'), \\ \delta^+(D) &\leq \delta^+(D'), \delta^-(D) \leq \delta^-(D'), \end{aligned}$$

where  $\Delta^+(D)$  stands for the maximum outdegree of  $D$ ,  $\Delta^-(D)$  stands for the maximum indegree of  $D$ ,  $\delta^+(D)$  stands for the minimum outdegree of  $D$ ,  $\delta^-(D)$  stands for the minimum indegree of  $D$ . Similar meanings for  $D'$ .

**Theorem 3.7.** The connected self-adjoints are  $A_n$  and  $\overleftarrow{A}_n$ , where  $A_n$  is defined in definition 2.7,  $\overleftarrow{A}_n$  is defined in definition 2.8.

*Proof.* **Claim 1.** If  $D$  is self-adjoint, we have

$$|V(D)| = |A(D)|.$$

In fact, let  $D'$  be the adjoint of  $D$ , we have

$$|V(D')| = |A(D)|.$$

Because  $D'$  is isomorphic to  $D$ , we have

$$|V(D')| = |V(D)|.$$

Hence,

$$|V(D)| = |A(D)|.$$

The claim holds.

Since  $D$  is connected, we know that the underlying graph of  $D$  contains a spanning tree  $T$ . Because  $|E(T)| = |V(D)| - 1$ , we have

$$|E(T)| = |A(D)| - 1.$$

Thus, the underlying graph of  $D$  contains a unique cycle  $v_1v_2, \dots, v_p$  with subtrees  $T(v_1), T(v_2), \dots, T(v_p)$ , where  $T(v_j)$  is a subtree rooted at  $v_j$  and

$$V(T(v_j)) \cap \{v_1, v_2, \dots, v_p\} = \{v_j\},$$

where  $j = 1, 2, \dots, p$ .

**Claim 2.** Let  $T'(v_j)$  be the adjoint of  $T(v_j)$ , then the underlying graph of  $T'(v_j)$  contains no cycle.

Otherwise, suppose there exists a cycle  $C \in T'(v_j)$ , for every vertex  $a \in C$ , by Definition 2.5 vertex  $a$  corresponds to an arc  $(u, v) \in A(T(v_j))$ , in this way along the cycle  $C \in T'(v_j)$  we find a cycle in the underlying graph of  $T(v_j)$ , which is a contradiction.

**Claim 3.**  $D$  contains a unique directed cycle  $(v_1, v_2, \dots, v_p)$ .

By contradiction. Suppose the underlying graph of  $D$  contains a unique cycle  $v_1v_2, \dots, v_p$  which is not a directed cycle. Without loss of generality, let  $a_1 = (v_1, v_2)$ ,  $a_2 = (v_3, v_2)$ . By definition 2.5 we have

$$(a_1, a_2) \notin A(D'), \quad (a_2, a_1) \notin A(D').$$

Hence, there is no cycle in the underlying graph of  $D'$  formed by the arcs in the unique cycle  $v_1v_2, \dots, v_p$  of  $D$ .

Because  $D'$  is isomorphic to  $D$  and  $D$  contains a unique cycle, then  $D'$  must contain a unique cycle. By claim 2 and the above analysis, we know that the unique cycle in  $D'$  must be formed by some arcs from some  $T(v_j)$ s and some arcs of the unique cycle  $v_1v_2, \dots, v_p \in D$ . Let  $C$  be the unique cycle  $v_1v_2, \dots, v_p$  in the underlying graph of  $D$ .

**Case 1.** Suppose  $D$  contains  $a_i = (v_i, v_{i+1}) \in C$ ,  $a_{i-1} = (v_{i-1}, v_i) \in C$ ,  $b_1 = (x_1, v_i) \in T(v_i)$ ,  $b_2 = (v_i, x_2) \in T(v_i)$ . By definition 2.5 we have

$$(b_1, a_i) \in D', \quad (b_1, b_2) \in D', \quad (a_{i-1}, b_2) \in D', \quad (a_{i-1}, a_i) \in D'.$$

Hence, the underlying graph of  $D'$  contains a unique cycle  $b_1a_ia_{i-1}b_2$ . Since  $D'$  is isomorphic to  $D$ , we know that 4-cycle  $b_1a_ia_{i-1}b_2 \in D'$  must be isomorphic to the unique cycle  $C \in D$ . Because  $C \in D$  contains a directed 3-path  $(v_{i-1}, v_i, v_{i+1})$ , 4-cycle  $b_1a_ia_{i-1}b_2 \in D'$  contains no directed 3-path, which is a contradiction.

**Case 2.** Suppose there exist  $a_i = (v_i, v_{i+1}) \in C$ ,  $a_{i-1} = (v_i, v_{i-1}) \in C$ ,  $b_1 = (x_1, v_i) \in T(v_i)$ ,  $b_2 = (v_i, x_2) \in T(v_i)$ , then the underlying graph of  $D'$  contains no cycle formed by  $a_i, a_{i-1}, b_1$  and  $b_2$ .

If there were  $a_i = (v_i, v_{i+1}) \in C$ ,  $a_{i-1} = (v_i, v_{i-1}) \in C$ ,  $b_1 = (x_1, v_i) \in T(v_i)$ ,  $b_2 = (v_i, x_2) \in T(v_i)$ ,  $b_3 = (x_3, v_i) \in T(v_i)$ , by definition 2.5 there would be at least two cycles in the underlying graph of  $D'$ :  $b_3a_ib_1a_{i-1}$  and  $b_3a_ib_1b_2$ . Because the underlying graph of  $D$  contains exactly one cycle, we know that  $D'$  cannot be isomorphic to  $D$ , which is a contradiction.

If there were  $a_i = (v_i, v_{i+1}) \in C$ ,  $a_{i-1} = (v_i, v_{i-1}) \in C$ ,  $b_1 = (x_1, v_i) \in T(v_i)$ ,  $b_2 = (v_i, x_2) \in T(v_i)$ ,  $b_4 = (v_i, x_4) \in T(v_i)$ , we can discuss similarly.

**Case 3.** Suppose  $m = 0$  or  $k = 0$  for every  $S_{v_i, m, k}$ , where  $S_{v_i, m, k}$  is defined in definition 2.7.

Because the cycle  $C$  of  $D$  is not a directed cycle, we know that the unique cycle in the underlying graph of  $D'$  must contain some vertices formed from arcs of  $T(v_i) \in D$  and all arcs of  $C \in D$ . Thus, the cycle in the underlying graph of  $D'$  would be longer than the cycle in the underlying graph of  $D$ , which is a contradiction. Thus,  $D'$  can not be isomorphic to  $D$ , which is a contradiction. claim 3 holds.

By claim 3 we denote  $a_1 = (v_1, v_2), a_2 = (v_2, v_3), \dots, a_j = (v_j, v_{j+1}), \dots, a_p = (v_p, v_1)$ . By the definition of subtree  $T(v_j)$  in this proof, we know that  $T(v_j)$  is constructed recursively as follows:

Step 1:  $S_{v_j, m_j, i_j} \subseteq T(v_j)$ , where  $S_{v_j, m_j, i_j}$  is defined in definition 2.7,  $m_j \geq 0, i_j \geq 0, v_j$  is the vertex of directed cycle  $(v_1, v_2, \dots, v_p)$ .

In fact, there must be  $m_j = 0$  or  $i_j = 0$ . Otherwise, suppose  $m_j \geq 1$  and  $i_j \geq 1$ . Without loss of generality, let  $a_{j1j} = (x_{j1}, v_j) \in T(v_j)$  and  $a_{jj1} = (v_j, y_{j1}) \in T(v_j)$ . By definition 2.5 we know that there are two cycles in the underlying graph of  $D'$ :  $a_1 a_2, \dots, a_p$  and  $a_{j1j} a_j a_{j-1} a_{jj1}$ . By claim 3 we know that  $D$  cannot be isomorphic to  $D'$ , which is a contradiction.

Step 2: For every  $u \in T(v_j)$ , if  $d^+(u) = 1$  and  $d^-(u) = 0$  or  $d^-(u) = 1$  and  $d^+(u) = 0$ , we paste  $S_{u, m, i}$  at  $u$  of  $T(v_j)$ , where  $m \geq 0, i \geq 0, V(S_{u, m, i}) \cap V(D) = \{u\}$ .

**Claim 4.** In step 2, if  $d^-(u) = 1$  with  $d^+(u) = 0$ , and  $S_{u, m, i}$  is pasted to  $T(v_j)$  at  $u, i \neq 1$ , we have  $m = 0$ .

**Case 1.** Suppose  $i = 0$ . If  $m \geq 1$ , then the underlying graph of  $D'$  is disconnected, which is a contradiction. Hence,  $m = 0$ .

**Case 2.** Suppose  $i \geq 2$ . If  $m \geq 1$ , there exist  $b_1 = (x, u) \in S_{u, m, i}, b_2 = (u, y_1) \in S_{u, m, i}, b_3 = (u, y_2) \in S_{u, m, i}$ . Since  $d^-(u) = 1$ , there exists  $b = (v, u) \in T(v_j)$ . Thus, in the underlying graph of  $D'$  there are two cycles:  $bb_2b_1b_3$  and  $a_1 a_2, \dots, a_p$ , which contradicts with claim 3. Hence,  $m = 0$ . Claim 4 holds.

Similarly, we have

**Claim 5.** In step 2, if  $d^+(u) = 1$  with  $d^-(u) = 0$ , and  $S_{u, m, i}$  is pasted to  $T(v_j)$  at  $u, m \neq 1$ , we have  $i = 0$ .

Define

$$d_D(S_{u, m, i} \rightarrow u) = \sum_{v \in S_{u, m, i}} d_D(u, v) = m + i,$$

$$d_D(T(v_j) \rightarrow C) = \sum_{v \in T(v_j)} d_D(v, v_j),$$

$$d_D(T \rightarrow C) = \sum_{v_j \in C} d_D(T(v_j), C),$$

where  $C$  is the unique directed cycle  $(v_1, v_2, \dots, v_p) \in D, C \cap T(v_j) = \{v_j\}, d_D(x, y)$  is the distance between vertices  $x$  and  $y$  in the underlying graph of  $D$ .

Similarly, we define  $d_{D'}(S'_{a,m,i} \rightarrow a)$ ,  $d_{D'}(T(a_j) \rightarrow C')$  and  $d_{D'}(T' \rightarrow C')$ , where  $C'$  is the unique cycle  $(a_1, a_2, \dots, a_p)$  in  $D'$ ,  $T' = D' - \{(a_1, a_2), (a_2, a_3), \dots, (a_p, a_1)\}$ .

**Claim 6.** If  $D$  contains one of the following cases: (1).  $d^-(u) = 1$  with  $d^+(u) = 0$ , and  $S_{u,m,i} \subseteq T(v_j)$ , where  $i = 1, m \geq 1$ . (2).  $d^+(u) = 1$  with  $d^-(u) = 0$ , and  $S_{u,m,i} \subseteq T(v_j)$ , where  $m = 1, i \geq 1$ , we have

$$d_D(T \rightarrow C) < d_{D'}(T' \rightarrow C').$$

In fact, let  $V(S_{u,m,i}) = \{u, x_1, x_2, \dots, x_m, y_1, y_2, \dots, y_i\}$ ,  $A(S_{u,m,i}) = \{a_{x_1}, a_{x_2}, \dots, a_{x_m}, a_{y_1}, a_{y_2}, \dots, a_{y_i}\}$ , where  $a_{x_k} = (x_k, u)$ ,  $a_{y_h} = (u, y_h)$ ,  $1 \leq k \leq m, 1 \leq h \leq i$ .

Suppose  $d^-(u) = 1$  with  $d^+(u) = 0$ , and  $S_{u,m,i} \subseteq T(v_j)$ . Because  $d^-(u) = 1$ , there exists an arc  $a = (v, u) \in D$ . Let  $S_{u,m,i} \in D$  correspond to  $S'_{a,m,i} \in D'$ :

$$\begin{aligned} V(S'_{a,m,i}) &= \{a, a_{x_1}, \dots, a_{x_m}, a_{y_1}, \dots, a_{y_i}\}, \\ A(S'_{a,m,i}) &= \{(a, a_{y_1}), \dots, (a, a_{y_i}), (a_{x_1}, a_{y_1}) \\ &\quad, \dots, (a_{x_1}, a_{y_i}), \dots, (a_{x_m}, a_{y_1}), \dots, (a_{x_m}, a_{y_i})\} \end{aligned}$$

When  $i \neq 1$ , by claim 4 we have  $m = 0$ . It is easy to see that

$$d_D(S_{u,m,i} \rightarrow u) = d_{D'}(S'_{u,m,i} \rightarrow a).$$

When  $i = 1$ , it is easy to see that

$$d_D(S_{u,m,i} \rightarrow u) < d_{D'}(S'_{a,m,i} \rightarrow a).$$

Similarly, suppose  $d^+(u) = 1$  with  $d^-(u) = 0$ , and  $S_{u,m,i} \subseteq T(v_j)$ .

When  $m \neq 1$ , we have

$$d_D(S_{u,m,i} \rightarrow u) = d_{D'}(S'_{a,m,i} \rightarrow a).$$

When  $m = 1$ , we have

$$d_D(S_{u,m,i} \rightarrow u) < d_{D'}(S'_{a,m,i} \rightarrow a).$$

Because we have proved that  $T(v_j)$  is constructed by  $S_{u,m,i}$  recursively in steps 1 and 2,  $T$  is composed by  $T(v_j)$  adhered to a cycle  $C = (v_1, v_2, \dots, v_p)$ , it follows that

$$d_D(T \rightarrow C) < d_{D'}(T' \rightarrow C').$$

Hence, claim 6 holds.

By claims 4–6 we know that  $T(v_j)$  is consisted either by some  $S_{u,m,0}$  completely or by some  $S_{u,0,i}$  completely. Thus, if we know the structure of  $S_{v_j,m_j,i_j}$ , where  $v_j$  is a vertex of cycle  $C = (v_1, v_2, \dots, v_p)$ , the structure of  $T(v_j)$  is known. Hence, for simplicity, in the following we assume that  $D$  is composed as follows:

- (1) A directed cycle  $(v_1, v_2, \dots, v_p)$ .
- (2)  $T(v_j) = S_{v_j, m_j, i_j}$ , where  $j = 1, 2, \dots, p$ .

**Claim 7.** For every  $S_{v_j, m_j, i_j}$ , we have  $m_j = 0$  or  $i_j = 0$ , where  $j = 1, 2, \dots, p$ .

In fact, we have proved this claim in step 1 following claim 3.

By claim 7 we know that  $D$  is composed as follows:

A directed cycle  $C_p = (v_1, v_2, \dots, v_p)$  patched at vertex  $v_j$  with  $T(v_j)$ ,  $j = 1, 2, \dots, p$ , where  $T(v_j) = S_{v_j, m_j, 0}$  or  $T(v_j) = S_{v_j, 0, i_j}$ . Denote  $a_1 = (v_1, v_2)$ ,  $a_2 = (v_2, v_3), \dots, a_p = (v_p, v_1)$ . Let  $T(v_k) \in D$ , define the distance between  $T(v_k)$  and  $T(v_j)$  as follows:

$$d(T(v_k), T(v_j); D) = \min\{|k - j|, p - |k - j|\}.$$

Then,

$$d(T(v_k), D) = \sum_{v_j \in C_p} d(T(v_k), T(v_j); D).$$

$$d(D) = \sum_{v_k \in C_p} d(T(v_k), D).$$

Similarly, we define  $d(T(a_k), T(a_j); D')$ ,  $d(T(a_k), D')$  and  $d(D')$ . Because  $T(v_j) = S_{v_j, m_j, 0} \in D$  is isomorphic to  $T(a_i) \in D'$ , for convenience, we use  $T(v_j)$  to replace  $T(a_i) \in D'$ . Similarly, when  $i_j \neq 0$  we use  $T(v_j) = S_{v_j, 0, i_j} \in D$  to replace  $T(a_{i-1}) \in D'$ ; when  $i_j = 0$  we use  $T(v_j) = S_{v_j, 0, i_j} \in D$  to replace  $T(a_i) \in D'$ .

By definition 2.5, the following claim is clear.

**Claim 8.**

- (1). If  $T(v_k) = S_{v_k, m_k, 0}$ ,  $T(v_j) = S_{v_j, 0, i_j}$ ,  $i_j \neq 0$ , then,

$$d(T(v_k), T(v_j); D) = \begin{cases} d(T(v_k), T(v_j); D'), & \text{if } 2|k - j| = p + 1, \\ d(T(v_k), T(v_j); D') + 1, & \text{otherwise.} \end{cases}$$

- (2). If  $T(v_k) = S_{v_k, m_k, 0}$ ,  $T(v_j) = S_{v_j, m_j, 0}$ , then

$$d(T(v_k), T(v_j); D) = d(T(v_k), T(v_j); D').$$

- (3). If  $T(v_k) = S_{v_k, 0, i_k}$ ,  $T(v_j) = S_{v_j, 0, i_j}$ , then

$$d(T(v_k), T(v_j); D) = d(T(v_k), T(v_j); D').$$

By claim 8 the following claim is obvious.

**Claim 9.** If there are  $T(v_l) = S_{v_l, m_l, 0} \in D$  and  $T(v_j) = S_{v_j, 0, i_j} \in D$ , where  $m_l \geq 1, i_j \geq 1$ , we have

$$d(T(v_k), D) \geq d(T(v_k), D').$$

Especially, for  $k = |l - j| + \min\{l, j\} - 1$ , we have

$$d(T(v_k), D) \geq d(T(v_k), D') + 1.$$

**Claim 10.** Let  $D'$  be the adjoint of  $D$ . If  $D$  is self-adjoint, then  $D$  must be  $A_n$  or  $\overleftarrow{A}_n$ .

Otherwise, suppose  $T(v_l) = S_{v_l, m_l, 0} \in D$  and  $T(v_j) = S_{v_j, 0, i_j} \in D$ , where  $m_l \geq 1, i_j \geq 1$ . By claim 9 we have

$$d(D) > d(D').$$

Thus,  $D$  can not be isomorphic to  $D'$ , which is a contradiction. Claim 10 holds.

**Claim 11.**  $A_n$  is self-adjoint, where  $A_n$  is defined in definition 2.7.

We use mathematical induction to prove this claim.

- (1). Suppose  $n = 0, A_0 = C_p$ . Let  $V(C_p) = \{v_1, v_2, \dots, v_p\}, A(C_p) = \{a_1, a_2, \dots, a_p\}$ , where  $a_i = (v_i, v_{i+1}), i = 1, 2, \dots, p$ .

We define two mappings from  $A_0$  to  $A'_0$  as follows:

$$\begin{aligned} f_0: V(A_0) &\rightarrow V(A'_0), \\ v_i &\rightarrow a_i. \\ g_0: A(A_0) &\rightarrow A(A'_0), \\ a_i &\rightarrow (a_i, a_{i+1}), \end{aligned}$$

where  $i = 1, 2, \dots, p$ . It is easy to see that  $f_0$  and  $g_0$  are two bijections. Hence,  $A_0$  is self-adjoint.

Similarly, we can prove that  $A_1$  is self-adjoint.

- (2). Suppose  $A_t$  is self-adjoint, where  $t \geq 1$ . That is, there exist two bijections  $f_t: V(A_t) \rightarrow V(A'_t)$  and  $g_t: A(A_t) \rightarrow A(A'_t)$ .

Now we consider  $A_{t+1}$ . We define two mappings  $f_{t+1}$  and  $g_{t+1}$  as follows:

At first, let  $f_{t+1}|_{A_t} = f_t, g_{t+1}|_{A_t} = g_t$ .

Second, by definition 2.7 we paste  $S_{u, m, 0}$  to  $A_t$  for every vertex  $u \in V(A_t)$  with  $d_{A_t}^+(u) = 1$  and  $d_{A_t}^-(u) = 0$ . Let  $a = (u, v) \in A(A_t), V(S_{u, m, 0}) = \{u, x_1, x_2, \dots, x_m\}, A(S_{u, m, 0}) = \{a_{x_1 u}, a_{x_2 u}, \dots, a_{x_m u}\}$ , where  $a_{x_i u} = (x_i, u), i = 1, 2, \dots, m$ .

We define  $f_{t+1}|_{S_{u, m, 0}}: V(S_{u, m, 0}) \rightarrow V(S'_{u, m, 0})$  and  $g_{t+1}|_{S_{u, m, 0}}: A(S_{u, m, 0}) \rightarrow A(S'_{u, m, 0})$  as follows:

$$\begin{aligned} f_{t+1}|_{S_{u, m, 0}}: x_i &\rightarrow a_{x_i u}, u \rightarrow a, \\ g_{t+1}|_{S_{u, m, 0}}: a_{x_i u} &\rightarrow (a_{x_i u}, a), \end{aligned}$$

where  $i = 1, 2, \dots, m$ .

It is easy to see that  $f_{t+1}$  and  $g_{t+1}$  are two bijections from  $A_{t+1}$  to  $A'_{t+1}$ . Hence,  $A_n$  is self-adjoint.

Similarly, we can prove that  $\overleftarrow{A}_n$  is self-adjoint. The theorem follows.

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