

# **Thin Films of Quantum Fluids: History, Phase Transitions, and Wetting**

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# **Abstract**

Thin films of quantum fluids, i.e.,  ${}^{4}$ He,  ${}^{3}$ He, and H<sub>2</sub>, have played an important role in understanding the phenomenology of quantum fuids and the role of spatial dimension on the development of long-range order in condensed matter. Standard experimental probes used to study these systems include heat capacity measurements, torsional oscillators, third sound, quartz crystal microbalances, and x-ray and neutron scattering. We describe the historical development of important models and experiments in quantum flms which underpin our understanding of superfuid onset in helium, phases, and phase transitions in adsorbed flms, and wetting and growth of bulk phases.

**Keywords** Helium · Film · Hydrogen · Wetting

# **1 Early Work and Background**

The first quantum fluid that was seriously investigated was <sup>4</sup>He. Researchers in the 1920s and 1930s measured the thermodynamic properties of bulk <sup>4</sup> He such as the heat capacity and latent heat and determined that the liquid behaved quite diferently above and below  $T_{\lambda} = 2.17$  K. The high temperature state of the liquid was called He I, and the low temperature state was called He II. The frst transport measurements were carried out in the late 1930s by Kapitza [\[1](#page-24-0)] and by Allen and Meisner [[2\]](#page-24-1). Kapitza showed that the viscosity below  $T_{\lambda}$  was remarkably low, and coined the word "superfuid" and suggested an analogy to superconductors, which had been discovered many years earlier. Allen and Meisner were the frst to demonstrate that the hydrodynamics was not classical so the viscosity could not be defned. The history of this era is described in  $[3, 4]$  $[3, 4]$  $[3, 4]$  $[3, 4]$ . Even in the early days, the behavior of thin films of helium was of great interest  $[5, 6]$  $[5, 6]$  $[5, 6]$  $[5, 6]$ . An experiment first carried out in 1939 [\[6](#page-24-5)] and repeated many times since then involves a beaker partially flled with

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liquid helium suspended over a bath. When the helium is in the normal state, the level of fluid in the beaker remains constant for hours. Below  $T_i$ , the liquid in the beaker drains into the bath via a superfuid flm of microscopic thickness and provides a particularly simple and dramatic illustration of superfuidity in thin flms. Explaining this phenomenon requires understanding the reason the flm (either superfuid or normal) forms on the walls of the container and the diference between the super fuid and normal fuid dynamics.

Thin adsorbed flms form when a vapor is in difusive contact with a (typically solid) substrate. All molecules have a long-range attractive force due to an induced dipole–dipole interaction whose strength is related to the product of the polarizability of the molecules. A low polarizability atom like helium can reduce its potential energy by being in close proximity to a dense polarizable material typical of most solid substrates. This attractive interaction is the zeroth-order reason that a flm of helium coats the walls of the beaker in Fig. [1](#page-2-0). A more detailed analysis requires minimizing the free energy of the system. Because the bulk liquid, vapor, and the adsorbed flm are all in difusive contact, the fundamental rule that determines the equilibrium thickness *d* of the flm is the requirement that the chemical potential of the vapor  $\mu_{\nu}(P, T)$  is equal to the chemical potential of the film of thickness *d*,  $\mu_f(P, T, d)$ . Calculating the chemical potential of the vapor, particularly in the ideal gas limit, is an elementary result of statistical mechanics; for <sup>4</sup>He, the result is

$$
\mu_4 = k \, T \, \log \left( \frac{(2\pi)^{3/2} \hbar^3 P}{m^{3/2} (k \, T)^{5/2}} \right) \tag{1}
$$

Calculating the chemical potential of the flm for arbitrary *d* is a subtle and complex problem, but in the limit  $d \to \infty$ , the film becomes indistinguishable from the bulk phase, in which case we have  $\mu_v(P_{sat}(T), T) = \mu_{bulk}(P_{sat}(T), T)$  which defines the saturated vapor pressure of the bulk phase as a function of *T*. For pressures below  $P_{\text{sat}}(T)$ , the bulk liquid phase cannot stably exist, but a film of finite thickness can be stabilized in the attractive potential  $V(z)$  generated by the substrate, where z is the distance normal to the substrate. Calculating  $V(z)$  in general is also a very complicated problem [\[7](#page-24-6), [8](#page-24-7)], but for simple atoms and molecules and in a restricted range of 1 nm  $\lt z$   $\lt$  50 nm,  $V(z)$  is dominated by van der Waals-type forces with  $V(z) = -\frac{C_3}{z^3}$ , where  $C_3$  measures the strength of the long-range attractive potential. This form of the potential is the frst term of an asymptotic series which contains higher inverse powers of *d*. The form of the potential has been experimentally verifed in detail for helium [\[9](#page-24-8)].

A naive, simple, but nevertheless useful thermodynamic description of the flm is to assume that the flm has the same properties as the bulk condensed phase with a correction for the surface potential:

$$
\mu_{\rm v}(P,T) = \mu_{\rm bulk}(P_{\rm sat},T) - \frac{C_3}{d^3}
$$
 (2)

Because the chemical potential is logarithmic in *P*, the flm thickness is given by

<span id="page-2-0"></span>**Fig. 1** Beaker of superfuid suspended over a bath of superfuid. A flm forms on the walls of the beaker and fows up and over the lip and eventually empties the beaker. From [[6\]](#page-24-5)



<span id="page-2-1"></span>

$$
d = \left(\frac{-C_3}{\ln(P/P_{\text{sat}})}\right)^{1/3} \tag{3}
$$

This relationship between *d* and *P* is sometimes called the Frenkel–Halsey–Hill isotherm. In a container on Earth, the pressure in a fuid is not uniform, but rather varies as  $\rho gh$ , where *h* is the height above some reference level. Due to this hydrostatic head effect, the pressure near the top of the beaker in Fig. [1](#page-2-0) is slightly lower than the saturated vapor pressure. Inserting this pressure variation into Eq. [3](#page-2-1) implies that the flm thickness will also vary with height. In practice, the flm is a few microns thick near the bulk liquid level in the beaker and a few nanometers thick near the top of the beaker. The expression for *d* in Eq. [3](#page-2-1) makes no reference to the superfuid or normal nature of the flm, so superfuidity does not afect the equilibrium flm thickness. The dramatic diference in the behavior of superfuid flms is due to the kinetics and transport properties of the superfuid, not the equilibrium properties. The beaker shown in Fig. [1](#page-2-0) is not strictly in equilibrium because the gravitational potential of the system would be reduced if the contents fowed into the bath.

The standard phenomenological description of superfuids is known as the two-fuid model [\[10\]](#page-24-9). This theoretical description of superfuids was developed within a few years of the experimental discovery of superfuidity and arose from a creative competition between Tiza and Landau  $[11]$  $[11]$  $[11]$ . In this model, the mass density of the fluid  $\rho$  is divided into a normal part with density  $\rho_n$  and a superfluid part with density  $\rho_s$ , where  $\rho = \rho_s + \rho_n$ . The superfluid fraction  $\rho_s / \rho_n$  is zero above  $T_{\lambda}$  but increases continuously but rapidly below  $T_{\lambda}$  and reaches approximately 0.9 at  $T = 1.4$  K. This continuous increase in the order parameter is the hallmark of a second-order phase transition. The two fuids are regarded as interpenetrating, each described by an independent velocity  $v_s$  and  $v_n$ . The normal

component obeys a Navier–Stokes equation with an efective viscosity and noslip boundary conditions at a solid wall; in most situations, thin flms of normal fuid can be regarded as viscously clamped to the substrate. The superfuid component obeys an inviscid Euler equation with perfect slip boundary conditions. A superfuid will respond to a chemical potential gradient by accelerating up to a velocity known as the critical velocity, where the fow becomes unstable to formation of vortices (see Sect. [4\)](#page-6-0); typical critical velocities are of the order 10 cm/s. Because of the no-slip boundary condition, it can maintain this velocity even a fraction of a nanometer away from the substrate. Although the chemical potential gradient due to the hydrostatic pressure head in the beaker experiment is very small, it will drive superfow in the adsorbed flm at the critical velocity [[12](#page-24-11)]. The superfow removes mass from the beaker, but not entropy, so then entropy per unit mass increases which generates a temperature gradient. It is this complex nonequilibrium fow that accounts for the phenomena illustrated in Fig. [1.](#page-2-0)

Once a flm becomes very thin, one might reasonably expect it to behave as a two-dimensional material. A paradox which infuenced early work is that elementary arguments suggest that phases, and therefore phase transitions, cannot exist in 2D. For example, a standard homework problem in statistical mechanics requires one to show that although Bose condensation of an ideal gas in 3D occurs at a temperature remarkably (and somewhat serendipitously) close to  $T_i$ , Bose condensation does not occur at any fnite temperature in 2D. Since Bose condensation is related to superfuidity, the observation of superfuidity in flms only a few atoms thick was puzzling. More generally, the Mermin–Wagner theorem asserts that long-range order (e.g., a crystal lattice) can exist in 3D but not in 2D. Trying to understand the role of dimensionality on phase transitions was an important goal of early work on quantum flms [[13](#page-24-12)].

## **2 Third Sound, Critical Velocities, and Persistent Currents**

Although the beaker emptying experiment shown in Fig. [1](#page-2-0) provides a simple and dramatic illustration of superfuidity in thin flms, it is not well suited for careful quantitative measurements. Among the frst probes of superfuidity in thin flms was a phenomenon called third sound. Conventional sound is a wave with peaks and troughs of pressure and density, and within the two-fuid model is known as frst sound. First sound can be excited with, e.g., a piezo transducer, and its properties in bulk fluid are similar above and below  $T_i$ ; in the superfuid and normal densities oscillate in phase. Second sound is a propagating wave peculiar to superfuids in which the total density is constant, but the oscillations of the superfuid density and the normal density are out of phase. Because the superfuid fraction carries no entropy, the second sound wave can be regarded as an entropy wave or, equivalently, as a temperature wave, and the standard means of generating second sound is to periodically pulse a heater immersed in the fuid. In a normal fuid, heat transport is difusive and a spatial modulation of temperature is rapidly smoothed out. In a superfuid, the thermal second sound wave has

Third sound is a sound mode in a thin flm in which the normal component is clamped by viscosity and the superfuid density oscillates. This results in modulation of the surface height and the temperature, as shown in Fig. [2](#page-4-0). Third sound is typically generated with a thin flm heater on a substrate. The height oscillations in the waves were frst detected using ellipsometry [[14\]](#page-24-13), but most subsequent measurements  $[15]$  $[15]$  used a thin film bolometer to detect the temperature oscillations. The velocity  $[15-17]$  $[15-17]$  $[15-17]$  $[15-17]$  of third sound  $c_3$  measures a combination of the superfluid fraction  $\rho_s/\rho$  and the chemical potential offset from coexistence  $-C_3/d^3$  and is given approximately by:

<span id="page-4-1"></span>
$$
c_3 = \frac{\rho_s}{\rho} \frac{C_3}{d^3} \tag{4}
$$

The superfuid fraction of the bulk is a smooth function of temperature, and both  $C_3$  and *d* can be reliably estimated. Equation [4](#page-4-1) predicts many aspects of the experimental data  $[18]$  $[18]$  except for the fact that the third sound signal abruptly becomes undetectable for sufficiently thin films. This seems to imply that  $\rho_s/\rho$  is a discontinuous function of *d*, which in turn suggests some kind of frst-order transition. The critical  $d$  required for superfluidity of a  ${}^4$ He film is shown in Fig. [3.](#page-5-0)

If nonuniform forces are applied to an ideal dissipationless Euler fuid, it will accelerate indefnitely. In contrast, when a superfuid is subject to a thermodynamic stress such as a gradient of temperature or pressure, it will flow at a steady fnite velocity, even though there is no intrinsic dissipation mechanism such as viscosity. This behavior is apparent in fows through small capillaries and porous media  $[19-22]$  $[19-22]$ . The work done on the fluid by the thermodynamic gradient is



<span id="page-4-0"></span>**Fig. 2** Schematic diagram of a third sound wave showing peaks and troughs due to motion of the superfuid component; the normal component is localized by viscous interaction with the substrate. At the peaks, the superfuid fraction is high and the efective temperature is low, so condensation from the vapor takes place there. The opposite is true at the troughs. From [\[16](#page-24-18)]

removed by the flow of vorticity  $[23]$  $[23]$ . It is also possible to prepare a superfluid system in a state with no thermodynamic gradients, but a fnite velocity. These socalled persistent currents have a lifetime that is determined by the rate at which vortices are nucleated. Since this a thermally activated process, lifetimes of persistent currents depend exponentially on temperature and can be efectively infnite at low temperature. Similar phenomena are observed in flm fow: for a fnite driving force, a thin superfuid flm will fow at constant velocity [[12\]](#page-24-11) that is independent of the driving force, and the lifetime of persistent currents depends in a systematic way on temperature and flm thickness [[24\]](#page-25-2). In both of these experiments, third sound is used as a probe of the flm fow velocity. Equation [4](#page-4-1) gives the speed of third sound in a static flm, but in a fowing flm, the speed is increased if the wave travels with the fow and is decreased if it travels against it. This Doppler shift of the wavefronts provides a means of detecting the velocity of the background fow.

## **3 Mechanical Oscillators**

Another important probe of thin flm growth in general and superfuidity in particular is transverse mechanical oscillators; the two types in widespread use are torsional oscillators and quartz crystal microbalances (QCM). Both cases can be modeled as a damped driven harmonic oscillator with an efective mass *M*, a spring constant *K*, and a quality factor *Q*. A generic equation of motion for the driven oscillator is:

$$
M\ddot{x} = -Kx - M\frac{\sqrt{K/M}}{\pi Q}\dot{x} + f\cos(\omega t)
$$
 (5)

The resonant frequency  $f_0 = 2\pi \omega_0 = 2\pi \sqrt{\left(\frac{K}{M}\right)}$  is typically 1 KHz for torsional oscillators and 5–20 MHz for QCMs. *Q* is a dimensionless number which measures the number of cycles required for the oscillator to lose 1/*e* of its kinetic energy; *Q* is in the range  $10^4$ – $10^6$  for both types of oscillators. The spring constant *K* is



<span id="page-5-0"></span>**Fig. 3** Total <sup>4</sup>He thickness (including the dead layer) required to observe superfluid onset. Even at  $T = 0$ , slightly less than 1nm of total thickness is required to observe superfuidity. From [\[25](#page-25-3)]

determined by the properties of the materials used to construct the oscillator and can usually be considered to be constant (see, however, [[26\]](#page-25-4)). The behavior of the oscillator is thus determined by *M* and *Q*, and the oscillator can be used to measure these properties. If the drive frequency  $\omega$  is varied, there will be a sharp maximum in the amplitude response at the resonance frequency  $\omega_0$ . The width of the resonance is of order  $\omega_0/Q$ , so the resonant frequency can be determined with a precision of about 1 part in 10<sup>9</sup> , which provides a very sensitive way of detecting changes in *M*. *Q* can be determined by measuring the width of the resonance or, equivalently, by measuring the out-of-phase response.

A QCM is a piezoelectric quartz disk about a centimeter in diameter and 0.3 mm thick with gold electrodes on each fat face. Applying a voltage causes a shear motion so that the fat faces move in opposite directions with a typical amplitude of a fraction of a nanometer. The restoring force is the elastic stifness of the quartz plate, and the efective mass is the mass of the disk plus the mass of the fuid that is set into motion by the oscillating disk. Since the QCM is about 1 million atoms thick, the adsorption of an additional layer on the surface makes an easily detectable change in frequency. A torsional oscillator consists of a cylindrical cell of centimeter dimensions on the end of a torsion rod which can be metal or other materials and provides the restoring torque. A driving torque can be applied using capacitive electrodes. The efective moment of inertia is determined by the mass and geometry of the contents of the cell and whatever fuid is dragged along with it. Because torsional oscillators are bulkier and have a lower surface-to-volume ratio, it is usually impossible to detect a single monolayer on the surface. To increase the surface-tovolume ratio, many torsional oscillator experiments use a cell flled with a high surface area material such as Vycor glass [[27,](#page-25-5) [28](#page-25-6)] or graphite [[29\]](#page-25-7). A solid oscillating with frequency  $\omega$  parallel to itself in a fluid which obeys no-slip boundary conditions will generate a highly damped transverse wave into the fuid. The characteristic length scale of the fuid motion depends on the frequency and is called the viscous penetration depth  $\delta = \sqrt{\frac{2\eta}{\omega \rho}}$  where  $\eta$  is the viscosity. The viscous stresses produce a retarding force on the oscillator and reduce the *Q*. For a superfuid, one would naively expect perfect slip boundary conditions,  $\delta = 0$ , and no decrease in *Q*. Further details on the experimental implementation of QCMs are given in refs [[25,](#page-25-3) [30,](#page-25-8) [31](#page-25-9)] and for torsional oscillators in refs [\[29](#page-25-7), [32](#page-25-10)].

#### <span id="page-6-0"></span>**4 Vortices and the KT Transition**

Vortices play an important role in understanding the properties of 3D superfuids. In a singly connected volume, the superfuid velocity is curl-free, but the fuid can change its topology by forming a line-like singularity called a vortex [[33\]](#page-25-11). The superfuid can fow around the singularity with a velocity that drops of like 1/r from the vortex core, and because the fow is dissipationless, this fow can last indefinitely. The strength of the vortex, or its circulation, is not arbitrary; it is  $\hbar/2$  m, which is required by angular momentum quantization. In 3D, vortex lines must close on themselves to form a smoke ring-like structure, or the ends of the vortex can

terminate on the walls of the container. Vortices are dynamic structures and respond to externally imposed flows and the flows generated by other vortices [[33\]](#page-25-11). The mathematical description of superfuid fow and electromagnetic felds is similar  $(\nabla \cdot B = 0, \nabla \cdot v = 0, \nabla \times B = 0, \nabla \times v = 0)$ , so electromagnetic analogies between the magnetic field  $B$  and the superfluid velocity  $v$  are helpful to understand superfuid fows. In this analogy, a current carrying wire is like a vortex, and the magnetic feld circling around the wire is like the superfuid fow around the vortex. Both the forces on the wire from external magnetic felds and the force on the vortex from external flows are given by a Biot–Savart type formula.

Vortices in 2D are point-like singularities with a quantized circulation which can be clockwise or counterclockwise. Because of the no-slip boundary condition, there is no dissipation due to viscous coupling to the substrate, except perhaps with the vortex core, which has radius  $a_0$  of atomic dimensions. If there are no external forces on a vortex, it will drift with the local velocity of the superfuid and the fow will remain dissipationless. Dissipative forces, due, for example, to core interactions with the substrate or inelastic collisions with phonons or rotons, can generate a drag force which will cause the vortex velocity to difer from the local superfuid velocity. The superfuid will respond to this velocity diference by generating a Magnus force transverse to the external superfow, and the vortex will drift across the fow lines, so a drag force, the Magnus force, and motion across the fow lines are all related. The energy required to move the vortex comes from the background superfuid, so if mobile vortices are present, a fnite pressure or temperature gradient is required to maintain a steady flow, similar to the flow of an ordinary viscous fluid.

To understand the behavior of thin flms of superfuid, we need to know about the density of vortices, but where do vortices come from and what determines their density? The detailed answer is complicated, but a simple argument illustrates the basic physics. A vortex is a quantized excitation of the superfuid which can either increase or decrease the free energy of the superfuid. The kinetic energy of a vortex, which involves an integral of the 1/*r* velocity field, is  $E_v = \frac{\pi \hbar^2 \sigma}{m^2} \ln(L/a_0)$ , where  $\sigma$ is the mass density per unit area and is linearly proportional to the film thickness. The integral requires a short distance cutoff of  $a_0$  and a large distance cutoff of  $L$ , which is the characteristic system size. The entropy associated with the vortex is proportional to the logarithm of the number of ways of placing a vortex core of area  $a_0^2$  in a region of size *L*<sup>2</sup>, so  $S_v = 2kln(L/a_0)$ , where *k* is Boltzmann's constant. The free energy is thus  $F_v = E_v - TS_v$ . Since both the energy and the entropy are proportional to ln( $L/a_0$ ), there is a temperature at which the free energy changes sign:

<span id="page-7-0"></span>
$$
T_{\rm KT} = \frac{\pi \hbar^2 \sigma}{2m^2 k} \tag{6}
$$

Above this temperature, the system lowers its free energy by adding vortices, and they will proliferate and destroy the superfluid state. Below  $T_{KT}$ , which is called the Kosterlitz–Thouless transition temperature, free vortices will be exponentially suppressed. For an approximately 2D quantum flm, Eq. [6](#page-7-0) predicts an abrupt frstorder-like transition between a superfuid and normal state at a temperature which depends linearly on the flm thickness. Furthermore, the transition temperature is independent of the interactions of helium with itself or with the underlying substrate. All of these predictions are supported by a variety of experiments.

The model which leads to Eq. [6](#page-7-0) is extremely simple and naive, so it is somewhat remarkable that a much more realistic and technically complicated calculation [[34,](#page-25-12) [35](#page-25-13)] leads to the same conclusions (see also the article by M. Kosterlitz in this Special Issue). To be physically realistic, it is important to include many vortices. Vortices of opposite circulation have an attractive interaction, and a pair of such vortices has a lower energetic cost than a single vortex. Many vortices of both circulations interact in a way that is precisely analogous to a plasma of 2D positive and negative charges, and most of the theoretical literature is written in terms of this analogy. Bound vortex pairs can have any size, and it is possible for large pairs to have smaller pairs between them, which can afect the interaction. Solving the complete many-body problem of interacting vortices was an early and important application of renormalization group techniques which were cited in the 2016 Nobel Prize in Physics which was shared by Kosterlitz [\[36](#page-25-14)], Thouless and Haldane.

Recall that in 3D, the superfuid fraction increases smoothly from zero to one as the temperature is reduced below the transition temperature. In contrast, the KT theory predicts an abrupt jump in the superfuid density at the transition, and the size of the jump depends linearly on the temperature. This qualitative behavior was seen in early third sound  $[37]$  $[37]$  and QCM experiments  $[38]$  $[38]$ , but it was difficult to rule out other explanations which invoked the heterogeneity of realistic substrates or 2D liquid–vapor transitions  $[39, 40]$  $[39, 40]$  $[39, 40]$  $[39, 40]$ . On a heterogeneous substrate, it seems likely that "puddles" of thicker liquid might be nucleated and pinned by cracks and crevices and that even if the puddles were superfuid, a macroscopic probe would not detect superfuidity until the puddles percolated into a cluster that spanned the experimental region. Even on a perfectly smooth surface, islands of 2D liquid would coexist with a 2D vapor, and a similar percolation transition would be necessary to observe macroscopic superfuidity. In both of these scenarios, the "superfuid fraction" would appear to abruptly jump from zero to some fnite value. The comparison of theory and experiment was further complicated by the fact that although experiments indicated a rapid variation in the superfuid fraction around the transition, the variation always had a fnite slope and was not convincingly frst order.

A decisive test to distinguish between the vortex unbinding and the percolation models came from a detailed theory of the dynamics (in contrast to the thermodynamics) of superfuid flms [[41\]](#page-25-19) which was developed at roughly the same time as the frst measurements of the dissipation in torsional oscillator experiments [[42\]](#page-25-20). These experiments showed that there was a distinct enhancement of the dissipation which was coincident with the superfluid transition as detected by mass decoupling (see Fig. [4\)](#page-9-0). The dissipation peak is a uniquely 2D phenomenon and does not occur in bulk superfuid [[30\]](#page-25-8) or in percolation models. The theory for the mechanical response of a substrate covered with a superfuid flm exploits the analogy between a 2D superfuid and a 2D plasma, and the response is characterized by a frequencydependent dielectric constant  $\epsilon(\omega)$ . In the fluid language,  $\epsilon(\omega)$  is the ratio of the normal fuid velocity to the velocity diference between the normal and superfuid components, so  $\epsilon(\omega) \to 1$  means the superfluid is not moving, while  $\epsilon(\omega) \to \infty$  means the super and normal components move together. The motion of the two components is not generally in phase, which means that  $\epsilon(\omega)$  is complex. The out-of-phase component, measured by  $\text{Im}(\epsilon(\omega))$ , determines the dissipation or, equivalently, the *Q* of the oscillator.

The detailed calculation [[41\]](#page-25-19) leads to the prediction of a dissipation peak at the transition. Although the calculations are rather technical and opaque, a few physical insights help to make the result plausible. The primary objects in the model are bound pairs of vortices with opposite sense of rotation separated by a distance *d*. The energy of such a pair is proportional to  $log(d)$ , so near thermal equilibrium, there are more small, tightly bound vortices than big ones, but the concentration of all sizes increases with temperature. In an otherwise static fuid, vortex pairs with separation *d* move together in a straight line with velocity proportional to 1/*d*. In an externally imposed fow, the vortex pairs will readjust their size *d* and therefore their energy, with the energy going up if the pair is moving with the external fow and going down if it is moving against it. In a periodic oscillatory fow, if the relaxation of the vortex separation was in phase with the oscillatory fow, there would be



<span id="page-9-0"></span>**Fig. 4** Data from a torsional oscillator with a (2D) Mylar substrate coiled into a roll with <sup>4</sup>He dose of about three layers. The flled circles show the period shift as a function of temperature which shows the superfuid mass that decouples from the oscillator. The thinness of the flm depresses the superfuid transition to about 1.216 K. The open circles show 1/*Q* due to the flm as a function of temperature. Increased dissipation near the transition leads to a peak in 1/*Q*. From [[42\]](#page-25-20)

no net dissipation. In addition to deterministic mechanical forces, the vortices are also subject to random Brownian motion characterized by a diffusion coefficient *D* whose magnitude on dimensional grounds should be of order  $\hbar/m$ . The out-of-phase response and therefore the dissipation is maximized when the distance the vortex diffuses in one cycle  $\sqrt{D}/\omega$  is of order *d*. For a given oscillator frequency, the Arrhenius temperature dependence of the vortex size distribution together with the phase matching requirement leads to a sharp increase in the dissipation as a function of temperature from the low temperature side. On the high temperature side where the flm is efectively normal (due to a proliferation of unbound vortices), the flm is viscously locked so there is no relative motion of the flm and the substrate and therefore no dissipation. Measurements of the thermal conductance of thin flms are another way to probe vortex dynamics. The theory predicts an abrupt change at the transition with characteristic power law and exponential behavior above and below the transition; these behaviors were observed in experiments [\[43](#page-25-21)[–46](#page-25-22)].

#### **5 Solid and Liquid Phases in 2D**

The KT theory is the answer to the question of how the superfuid transition works in 2D and how the 2D and 3D transition difers. This is one example of a broader set of questions [\[47](#page-25-23)]: What is the relationship between phase transitions in 3D matter and matter in quasi 2D flms? What is the efect of an inert substrate on these phase transitions? How do phase transitions evolve from 2D to 3D as the flm thickness is increased? These issues overlap with surface physics, crystal growth, and materials science, and experiments on quantum flms have played an important role.

The simplest phase transitions in 3D condensed matter are between the familiar solid, liquid, and gas phases. Recall that the Mermin–Wagner type of theorems prohibits long-range order in 2D, so one of the early questions was to experimentally establish whether solid monolayers exist in nature. The main experimental challenge is that in any conventional cell or substrate, the number of atoms in a 2D adlayer is small compared to the number of atoms in the substrate or cell walls, so probes of 2D transitions have to be extremely surface sensitive, or some strategy must be devised to enhance the surface contribution and suppress the substrate and cell contribution to the experimental signal. A characteristic feature of a frst-order bulk phase transition is a peak in the heat capacity/latent heat at the transition. Quantum flms on high specifc area substrates are convenient experimental systems for calorimetric measurements because the Debye temperature of the cell and substrate materials is quite high, so the heat capacity at a few Kelvin is deep into the  $T^3$  regime and is quite low, while the heat capacity of the quantum flm is still near its classical high temperature value. Early experiments used various powders and porous solids as substrates [[48\]](#page-25-24) and provided preliminary evidence for 2D solid an 2D gas phases in both <sup>3</sup>He and <sup>4</sup>He, but it was soon realized that the atomic-scale cracks and steps in a disordered substrate presented such a heterogeneous adsorption potential that interpretation of the calorimetric data was unreliable (Fig. [5\)](#page-11-0).

An experimental breakthrough came with the commercial availability of grafoil, an exfoliated high specific area (approximately  $25 \text{ m}^2/\text{g}$ ) version of graphite which has crystallites with a characteristic size of 200 Å. A broad overview of calorimetric measurements for the monolayer regime of <sup>4</sup> He on Grafoil substrates is shown in Fig. [6](#page-12-0). Although graphite binds helium strongly ( $E_{\text{binding}} = 143 \text{ K}$ ), for most of the regions with  $T > 3$  K, the monolayer behaves like a classical gas with a heat capacity of 1 k/atom. The strongest efect of the substrate is the feature near  $T=3K$  and a coverage of 6 atoms/nm<sup>2</sup>, which is due to a second-order Ising-like transition to a commensurate solid phase with one helium atom for every three carbon hexagons in the substrate. The ridge near  $8$  atoms/nm<sup>2</sup> is a transition to an incommensurate 2D solid. <sup>3</sup>He has a similar phase diagram in this regime  $[49]$  $[49]$ .

Calorimetric measurements [[52–](#page-26-0)[56,](#page-26-1) [56\]](#page-26-1) were complimented by theoretical modeling [\[57](#page-26-2)[–62](#page-26-3)] and neutron scattering measurements [[63,](#page-26-4) [64\]](#page-26-5). The picture that emerges is that as the coverage is increased from zero, there is a 2D gas, a coexistence with a 2D liquid that exists at low temperature and has a critical point, a commensurate solid, and an incommensurate solid. There is a reasonably sharp transition to a second layer where the sequence of phase transitions repeats itself. An example of the phase diagram is shown in Fig. [7.](#page-13-0)

In bulk liquid <sup>4</sup>He, the heat capacity has a lambda-shaped singularity which is the origin of the name of the superfuid transition. As the coverage is decreased, the total heat capacity and the heat capacity per atom decrease. The peaks become truncated, and the superfuid onset temperature decreases. Torsional oscillator [\[29](#page-25-7)] and third sound [\[50](#page-26-6)] using graphite substrates have provided more detailed information about the interaction of superfuidity and other 2D phase transitions. On graphite, even though the frst layer has a liquid phase, it is not superfuid even at 20 mK. Superfuidity is observed in the second layer, but it is strongly afected by 2D liquid–vapor coexistence and solidifcation. These efects are weaker in the third layer and become insignificant in higher layers. Preplating graphite with  $H<sub>2</sub>$  or  $HD$  forms a composite substrate which preserves the uniformity of the graphite, but has a much

<span id="page-11-0"></span>**Fig. 5** <sup>4</sup>He vapor pressure isotherm on HOPG graphite. The steps are indicative of layer-by-layer growth. The inset shows the frst two layers. The smooth curves are derived from the FHH isotherm equation [3](#page-2-1) From [[50\]](#page-26-6)





<span id="page-12-0"></span>**Fig. 6** Contour plot of the heat capacity of <sup>4</sup>He adsorbed on Grafoil in the coverage–temperature plane in the monolayer regime (1 layer  $\approx 12$  atom/nm<sup>2</sup>). From [[51\]](#page-26-17)

weaker adsorption potential for helium. On HD preplated graphite, superfuidity is also not observed in the frst layer of helium but is observed in the second layer [[65\]](#page-26-7). Where superfuidity is observed, there is no corresponding feature in the heat capacity, which is consistent with the higher coverage data of Fig. [8.](#page-14-0)

 $^{4}$ He and  $^{3}$ He are chemically identical, but  $^{4}$ He has no nuclear spin and obeys Bose statistics, while  ${}^{3}$ He has a nuclear spin of  $1/2$  and obeys Fermi statistics. Despite this diference, the structure of the bulk solid–liquid–gas phase transitions in the two isotopes is qualitatively similar. <sup>3</sup>He is less tightly bound than <sup>4</sup>He, so the liquid–vapor critical temperature is lower  $(3.3 \text{ K}$  versus  $5.2 \text{ K}$ ), and the minimum pressure required for solidification at  $T = 0$  is higher (3.2 MPa versus 2.5 MPa). Quantum statistics profoundly afects superfuidity in the two isotopes. The superfuid transition temperature of pure  ${}^{3}$ He is approximately 1000 times lower than in  ${}^{4}$ He and involves a spin pairing mechanism.

The non-superfluid phase of thin films of  ${}^{3}$ He on graphite [[49,](#page-25-25) [54](#page-26-8), [66](#page-26-9)] is also similar to those in  ${}^{4}$ He. One possible difference in the behavior of the two isotopes is the question of the existence of a 2D liquid–vapor transition, which is unambiguous in <sup>4</sup>He but is controversial  $[66, 67]$  $[66, 67]$  $[66, 67]$  $[66, 67]$  in <sup>3</sup>He. Recent work  $[68, 69]$  $[68, 69]$  $[68, 69]$  $[68, 69]$  supports the picture of 2D liquid–gas coexistence in the frst three layers. Other experiments have utilized preplating the graphite surface with either hydrogen  $[70, 71]$  $[70, 71]$  $[70, 71]$  $[70, 71]$  or <sup>4</sup>He to study  $3$ He films in a weaker and more uniform substrate [\[72](#page-26-15)].

In addition to phase transitions between superfuid, solid, liquid, and gas, bulk <sup>3</sup>He–<sup>4</sup>He mixtures have a miscibility gap with a tricritical point [\[73](#page-26-16)] at  $T = 0.87$  K



<span id="page-13-0"></span>**Fig. 7** Phase diagram for <sup>4</sup>He on grafoil: **a** First layer,  $G = gas$ ,  $F = fluid$ ,  $C = commensurate solid$ ,  $DWF =$  domain wall fluid,  $SIC =$  striped incommensurate solid. **b** Second layer,  $S =$  second layer solid. From [[29\]](#page-25-7)

and  ${}^{3}$ He concentration of 70%. Bulk mixtures with  ${}^{3}$ He concentration greater than  $6\%$  at temperatures below 0.87 K will separate into  ${}^{3}$ He rich phase that floats on top of a <sup>4</sup>He rich phase; the <sup>4</sup>He rich phase is superfluid, but the <sup>3</sup>He rich phase is not. Even outside the miscibility gap region where the bulk phases are completely miscible, addition of  ${}^{3}$ He to  ${}^{4}$ He strongly affects the liquid–vapor surface tension.  $3$ He has a larger zero point energy than  $4$ He, so  $3$ He will preferentially adsorb to the surface of a dilute solution and occupy the so-called Andreev bound state. The resulting 2D Fermi gas produces a pressure which reduces the surface tension. Experiments on third sound propagation in mixture flms a few layers thick at temperatures below 0.5 K have been interpreted in terms of a phase separated sandwich structure  $[74-76]$  $[74-76]$  in which the <sup>3</sup>He phase floats on top of the <sup>4</sup>He-rich phase. Heat capacity measurements on dilute solutions provide evidence [[77\]](#page-26-20) for lateral phase separation, i.e., 2D "puddles" of the  ${}^{3}$ He rich phase.

# **6 Film Growth and Wetting**

Before the 1990s, experiments on 2D quantum flms were carried out primarily on disordered substrates such as glass or Mylar, or on crystallographically ordered graphite. In both of these cases, the interaction of the helium with the substrate is large compared to the interactions of the helium with itself. On graphite, the strong substrate potential produces registered solid phases and distinct layer-by-layer growth which involves a sequence of 2D phase transitions in the frst few layers, as discussed above. On disordered substrates, the strong potential washes out the distinct layers and forms 2–3 layers of solid which is often referred to by experimentalists as "dead layers," while theorists  $[28, 78]$  $[28, 78]$  $[28, 78]$  $[28, 78]$  often use the more sophisticated term "Bose glass." Since these substrates obviously afect superfuidity in thin flms,



<span id="page-14-0"></span>**Fig. 8** Heat capacity peak associated with superfuidity for a series of helium coverages measured in layers denoted by *n*. At 11 layers, the lambda shape of the 3D heat capacity is well established, while at  $n = 3.62$ , the peak is greatly reduced. The arrows locate the temperature at which the thermal time constant goes to zero, indicating superfuid onset. Note that superfuid onset and the heat capacity peaks do not coincide. From [\[53](#page-26-21)]

efforts were made to produce weaker substrates by preplating disordered materials with hydrogen [\[79](#page-27-1)[–81](#page-27-2)], with the goal of more closely approximating ideal 2D behavior. A lattice gas model [[82\]](#page-27-3) characterized by an atom–substrate energy and an atom–atom interaction energy provided a quantitative way to distinguish between strong and weak substrates and the surface phase transitions that occur on each of them. The strong substrate case shown in Fig. [9](#page-15-0) has a series of first-order phase transitions that separate flms of 0, 1, 2… layers. The layering transitions end in critical points. Isotherms below the critical temperatures give distinct steps at layer completion, while isotherms above the layering critical temperatures give smooth isotherms. In either case, the flm thickness approaches infnity as the chemical potential approaches the bulk coexistence value. Another word used to describe this situation is that the adsorbate "wets" the substrate.

The opposite case in which the atom–atom interaction is larger than the interaction with the substrate is shown in Fig. [10.](#page-16-0) Somewhat surprisingly, there is a temperature  $T_w$  which separates regions of essentially zero thickness from a region of infinite thickness at coexistence, so the substrate is wet above  $T_w$  and dry below.  $T_{\rm w}$  is called the wetting temperature, and the transition between wet and dry states

at coexistence is a frst-order wetting transition. Even more surprisingly, this frstorder phase transition persists into the bulk gas region of the phase diagram where the substrate is in equilibrium with unsaturated vapor. This phase transition, which is called the prewetting transition, extends from  $T_w$  at coexistence to a critical point  $T_{\text{PC}}$ , as shown in Fig. [10.](#page-16-0) If the pressure or chemical potential is varied at constant temperature, the adsorbed flm will make an abrupt transition from a thin state to a thick state as it crosses the prewetting line. The size of the jump in flm thickness varies along the prewetting line; at  $T_w$ , the jump is infinite, while at  $T_{\text{PC}}$ , the jump goes to zero. Exactly on the prewetting line, flms of two diferent thicknesses can coexist, which is roughly analogous to the coexistence of low density vapor and high density liquid in 3D.

This scenario of the wetting behavior of a fuid on a "weak" substrate was initially worked out in detail for a lattice gas model, but it is quite general. In particular, it does not depend on quantum properties or quantum mechanics; it only requires that the interaction of the fuid with itself is large compared to the interaction with the substrate. Helium has a particularly weak interaction with itself, as indicated by its very low critical temperature, so helium was widely regarded as a "universal wetting agent," because its interaction with any known substrate was stronger than the helium–helium interaction. For these reasons, helium was an unlikely fuid to use in a study of wetting transitions.

This changed in 1991 when Cheng et al. [\[83](#page-27-4)] had two insights. The frst was to work out the wetting properties of a fuid interacting with the substrate with a van der Waals-type potential

$$
V(z) = \frac{4C_3^3}{27D^2z^9} - \frac{C_3}{z^3}
$$
 (7)



<span id="page-15-0"></span>**Fig. 9** Lattice gas phase diagram in the  $\mu$ -T plane (left) and associated coverage isotherms (right) in the strong substrate limit.  $\mu_0$  is the bulk coexistence chemical potential. There is a sequence of layering transitions labeled 0,1,2.. which end in critical points  $T_c(1)$ ,  $T_c(2)$ ...The layer critical points approach the roughening temperature  $T_R$  at bulk coexistence. For temperatures below the layering critical points, such as *A*, the isotherm will have distinct steps. For temperatures above the layering critical points, such as *B*, the isotherm will be smooth. From [[82\]](#page-27-3)



<span id="page-16-0"></span>**Fig. 10** Lattice gas phase diagram in the  $\mu$ -T plane (left) and associated coverage isotherms (right) in the weak substrate limit.  $\mu_0$  corresponds to bulk coexistence. There is a wetting temperature  $T_w$  below which the substrate is essentially dry. Emanating from  $T_w$  is a first-order phase transition line called "prewetting" that separates a thin flm phase from a thick flm phase. The prewetting line ends in a critical point at  $T_{\text{PC}}$ . For temperatures below the wetting temperature  $T_w$ , such as *A*, the coverage isotherm will remain at very small values even at bulk coexistence. For temperatures between  $T_w$  and  $T_{PC}$ , such as indicated by arrow *B*, the isotherm will have a discontinuous jump in coverage as the chemical potential crosses the prewetting line; after the discontinuity, the coverage smoothly goes to infnity at bulk coexistence, i.e., the fluid wets the substrate. At temperatures above  $T_{PC}$ , such as *C*, the coverage is a smooth function of the chemical potential which goes to infnity at bulk coexistence. From [\[82](#page-27-3)]

where  $C_3$  measures the long-range interaction of an atom with the substrate, and D measures the depth of the minimum of the potential.<sub>1</sub>A thick film will lower its energy by an amount  $\int_{z \text{min}}^{\infty} \rho_1 V(z) dz$ , where  $z \text{min} = (\frac{2C_3}{3D})^{\frac{1}{3}}$  is the position of the minimum of  $V(z)$  and  $\rho_1$  is the density of the liquid. The energetic cost of forming a film is the energy required to form the liquid–vapor interface and the liquid–substrate interface. The liquid–vapor interfacial energy is simply the experimentally measured surface tension  $\sigma_{\text{lv}}$ .  $\sigma_{\text{lv}}$  has a value of approximately 0.003 J/m<sup>2</sup> at  $T = 0$ , is approximately constant up to  $T = 2$  K, and then monotonically decreases to zero at the bulk critical point  $T_c = 5.2$  K. The liquid–substrate surface tension  $\sigma_{ls}$  is not easy to measure directly, so the authors of Ref. [\[83](#page-27-4)] made the approximation  $\sigma_{sl} = \sigma_{lv}$ ; this approximation is somewhat justifed by the observation that zmin for a weak substrate is quite large, so the physical environment at the liquid–vapor interface and the liquid–substrate interface is actually quite similar. Using this approximation, the criterion for a wetting transition at  $T_w$  is

<span id="page-16-1"></span>
$$
2\sigma_{\text{IV}}(T_{\text{w}}) = \int_{z_{\text{min}}}^{\infty} \rho_1 V(z) \text{d}z \tag{8}
$$

Because  $\sigma_{\rm iv}$  is a monotonically decreasing function of temperature, we expect that for  $T < T_w$ , the cost of forming the interfaces exceeds the gain of the dense phase falling into the substrate potential, so the substrate will be dry, while at higher temperatures, a thick flm will be stable.

The second insight was that the value of *D* for helium interacting with alkali metals, and cesium in particular, was exceptionally low. The physical reason for this is that cesium is the biggest atom in the periodic table with the lowest ionization

energy, which refects the fact that the outer electrons are weakly bound in large orbitals. This means that the electron cloud extends unusually far from a cesium surface, so the balance between the long-range attraction and the Pauli repulsion occurs at an unusually large distance, which results in a low binding energy. The binding energy is so low that they predicted that <sup>4</sup>He would not wet cesium.

It was experimentally challenging to test this prediction, because cesium is extremely chemically reactive, which is directly related to its loosely bound outer electrons. It is a silver-colored metal that melts at slightly above room temperature, and spontaneously combusts in air, so preparing a clean *Cs* surface requires special care. Nacher and Dupont-Roc [[84\]](#page-27-5) used a sealed glass tube with a small amount of helium with the two ends separated by a cesium ring. They measured the thermal conductance of the tube, which is ordinarily dominated by the superfuid flm, but in their setup, the superfuid flm was interrupted by the cesium ring (but not by a sodium or potassium ring). They concluded that cesium was not wet by <sup>4</sup>He at 1.8 K. Ketola and Hallock [\[85](#page-27-6)] prepared a cesium barrier on a glass slide using a commercial getter. They found that third sound would not propagate across the barrier at 1.4K if the helium flm thickness was less than about ten layers. Rutledge and Taborek [[86,](#page-27-7) [87](#page-27-8)] used a quartz microbalance technique, which did not rely on superfuidity and could detect the "dead layer," the normal fraction in the superfuid state, and the normal fuid flm for a wide range of flm thickness or vapor pressure. They made high-quality cesium flms by evaporating elemental metal from a small oven at 4K. The cesium was loaded into the oven in a sealed glass ampule which was broken at low temperature. Adsorption isotherms on these surfaces were very unusual. At low temperatures, there was no "dead layer" and essentially no adsorption from zero pressure up to the saturated vapor pressure. At higher temperatures, there was no adsorption until a critical pressure below saturation was reached, and then, a flm abruptly formed which continued to thicken as saturation was approached. A detailed analysis of these isotherms showed that the wetting temperature was approximately 1.95K, above which the substrate became wet via a prewetting transition which ended in a critical point at 2.5K, although a rounded step in the isotherm could be seen even above 4K. This was the frst experimental realization of the prewetting type of phase diagram [\[88](#page-27-9)] illustrated in Fig. [10.](#page-16-0)

Wetting is a frst-order phase transition that involves a discontinuity in the order parameter (the flm thickness). First-order phase transitions are typically hysteretic, because the phase transition requires the nucleation of a small region of the new phase which has a free energy barrier because of the interface which must be formed. Nucleation is a thermally activated process, and experimentally observable rates of nucleation require a fnite amount of supercooling or superheating, so, for example, the solid→liquid transition and the liquid→solid transition take place at slightly diferent temperatures. Wetting is an extreme example of this type of hysteresis because the nucleation barrier itself becomes singular at coexistence where the film thickness diverges. If a dry cesium surface initially below  $T_w$  is heated in contact with helium vapor along the coexistence curve, near  $T_w$  it is relatively easy to nucleate small drops of liquid that eventually grow and cover the surface with a thick film of liquid. On the other hand, if a cesium surface initially above  $T_w$  which is covered by a thick film of liquid is cooled below  $T_w$  along the coexistence curve,

nucleation of even a small dry patch requires the formation of a large amount of liquid–vapor interface which is energetically prohibitive. Experiments show that a thick helium flm on cesium will persist indefnitely [\[87](#page-27-8)] even when cooled far below  $T<sub>w</sub>$ , and this metastability is explained by a detailed analysis of the nucleation rates [[89\]](#page-27-10) (Fig. [11](#page-19-0)).

A wetting transition with its associated prewetting transition is generic features of a fuid interacting with a "weak" substrate [\[90](#page-27-11), [91\]](#page-27-12). The details of the phase diagram depend on the strength of the interaction between the fuid and the substrate. A convenient way to tune this interaction is to form a composite substrate of a semiinfnite "strong" material such as quartz or gold with a thin flm of a "weak" material, e.g., cesium. For cesium thickness greater than about 50 layers, the substrate behaves like bulk cesium, but for thinner layers, the efective interaction is stronger, which has the effect of lowering the wetting temperature [\[92](#page-27-13)]. On thick cesium substrates, the wetting temperature and the bulk lambda temperature almost coincide, which means that thick prewetted flms are almost always normal, and also raises the suspicion that wetting and superfuidity are somehow coupled. Experiments on thin cesium [[91,](#page-27-12) [93](#page-27-14)] for which the wetting temperature is decisively below  $T_i$  show that the K–T transition and prewetting are distinct independent transitions. As shown in Fig. [12](#page-20-0), a thick superfuid flm can be formed by crossing the prewetting line at low temperature, in which case the thick flm is "born" superfuid, while at higher temperatures, the flm makes distinct transitions from thin→thick normal and thick normal→thick superfuid.

Although cesium is the weakest substrate for  ${}^{4}$ He, all of the alkali metals are much weaker than conventional substrates like graphite or glass. Theoretical calculations [\[94,](#page-27-15) [95\]](#page-27-16) suggested that thick substrates of cesium, rubidium, and potassium should all have a finite <sup>4</sup>He wetting temperature, while sodium was expected to be on the borderline and lithium was expected to wet at all temperatures. Calculations show that Cs and Rb should have almost identical wetting properties, but this prediction was not corrobo-rated by experiment. Most experiments [[96](#page-27-17)[–98\]](#page-27-18) show that <sup>4</sup>He on Rb has a prewettinglike thin→thick transition, but Rb is always wet at coexistence, implying that  $T_w = 0$ (see, however, [[99](#page-27-19)] which concludes  $T_w > 300$  mK). Rb, Na, and K all behave similarly [[97,](#page-27-20) [100,](#page-27-21) [101\]](#page-27-22) in the sense that at pressures substantially below the saturated vapor pressure, the surfaces are essentially dry and there is no "dead layer" of strongly bound solid. They all have an abrupt prewetting transition which occurs near bulk coexistence (within a few percent of the saturated vapor pressure) at temperatures below the prewetting critical point. In contrast to the thin cesium case, for the lighter alkali metals the KT transition line appears to hit the prewetting line exactly at the prewetting critical point, forming a tricritical point. Lithium appears to be a true intermediate case between conventional strong substrates and the heavy alkalis. Although there is no solid layer at low pressure, there is also no abrupt prewetting transition; a fuid flm grows continuously and almost linearly as the vapor pressure is increased [\[102\]](#page-27-23), corresponding to a binding energy of approximately 13K. Superfuid onset in this system is a particularly clean and simple example of the KT transition which is not obscured by either solid-like underlayers or by prewetting.

Probes such as adsorption isotherms, third sound, and heat transport measurements are designed to work with fat, uniform liquid flms which can exist only above the



<span id="page-19-0"></span>**Fig. 11** Frequency shift of a QCM covered with a thick (100 layers) film of cesium as a function of <sup>4</sup>He vapor pressure measured as a fraction of the saturated vapor pressure  $P_0$  for three different temperatures. 1 Hz of frequency shift corresponds to 5 atomic layers of helium. At high temperatures in (**a**), more than 40 layers are adsorbed at  $P = P_0$ . At low temperatures below the wetting temperature in (c), less than five layers are adsorbed at  $P = P_0$ . Near but below the wetting temperature in (b), the coverage is very low until  $P = 0.995P_0$  where the film abruptly thickens to about 20 layers; this is the prewetting step. All of these isotherms stand in distinct contrast to those on a strong substrate as shown in Fig. [5.](#page-11-0) From [[87\]](#page-27-8)

wetting temperature. Although the thermodynamically stable phase below the wetting temperature is an essentially dry substrate (with perhaps a 2D adsorbed gas), long-lived metastable states of liquid in contact with the substrate can be prepared in the form of droplets with a fnite contact angle. Droplets on a substrate are never in equilibrium with bulk fuid because the Laplace pressure due to their curvature implies that the internal pressure is always higher than the saturated vapor pressure; because of this pressure diference, all droplets will eventually evaporate. Evaporation can be quite slow, and on shorter time scales, a drop minimizes its surface energy  $E<sub>s</sub>$  subject to an approximate constraint of constant volume. For the axisymmetric case specifed by a drop height *h*(*r*), the energy functional is



<span id="page-20-0"></span>**Fig. 12** P–T phase diagram for <sup>4</sup> He adsorbed on a thin cesium substrate 4.2 layers thick for which the wetting temperature has been depressed to  $T_w = 1.5$  K. The circles show the position of the prewetting thin→thick transition, and the triangles show the position of the KT transition. From [\[93](#page-27-14)]

$$
E_{\rm S} = \int_0^R \sigma_{\rm ly} 2\pi r \sqrt{1 + h'(r)^2} + (\sigma_{\rm ls} - \sigma_{\rm sv}) 2\pi r + \lambda 2\pi r h(r) \mathrm{d}r \tag{9}
$$

 $\sigma_{\rm iv}, \sigma_{\rm iv}, \sigma_{\rm sv}$  are the surface energy of the liquid–vapor, liquid–solid, and solid–vapor interfaces, respectively.  $\lambda$  is a Lagrange multiplier to enforce volume conservation. The contact line is at  $r = R$ , so  $h(R) = 0$ . Minimizing this functional is a standard problem of calculus of variations with variable endpoints because *R* is not specifed a priori. Functional minimization yields a nonlinear diferential equation for the drop profle

<span id="page-20-2"></span>
$$
h''(r) = \frac{-(h'(r) + h'(r)^3)}{r} + \frac{\lambda(1 + h'(r)^2)^{3/2}}{\sigma_{\text{lv}}}
$$
(10)

and an endpoint condition

<span id="page-20-3"></span><span id="page-20-1"></span>
$$
\frac{1}{\sqrt{1 + h'(R)^2}} = \cos \theta_c = \frac{\sigma_{sv} - \sigma_{sl}}{\sigma_{lv}}
$$
(11)

The physical content of Eq. [10](#page-20-1) is that the mean curvature of the drop surface is a constant that depends on the drop volume; this implies that the drop shape is a spherical cap. This is strictly true only if gravitational forces are negligible compared to surface forces, which is quantified by saying that  $R < \sqrt{2\sigma_{\text{iv}}/(\rho g)}$ . If gravity is not negligible, a gravitational potential energy term must be added to [9](#page-20-2), and the drop profles become "pancakes" rather than spherical caps [\[103](#page-27-24)]. Equation [11,](#page-20-3)

605

which is known as the Young equation, says that the contact angle  $\theta_c$  is determined by various interfacial energies and is independent of the drop volume; this result is valid for both spherical cap and "pancake" drops.

The Young equation [11](#page-20-3) provides a way to understand the wetting transition as it is approached from the dry state where  $\theta_c > 0$ . For quantum fluids,  $\sigma_{sv} - \sigma_{sl} > 0$ , which means the substrate prefers the liquid to the vapor. Despite the preference for the liquid, a drop will not spread out indefinitely as long as  $\sigma_{\text{iv}}(T) > \sigma_{\text{sv}} - \sigma_{\text{sl}}$ , which means that the potential beneft of spreading out on the substrate is more than canceled by the cost of forming liquid–vapor interface. In this case, the fuid does not completely wet the substrate, and the drop will spread to a fnite radius *R* with a contact angle  $\theta_c > 0$  given by Eq. [11.](#page-20-3) Although all of the surface energies in Eq. [11](#page-20-3) in principle depend on temperature, the temperature dependence of  $\sigma_{1v}(T)$  is the dominant effect, with  $d\sigma_{1v}(T)/dT < 0$  and  $\sigma_{1v}(T_c) = 0$ . If the temperature of a drop with a finite contact angle is raised, eventually a temperature  $T_w$  will be reached at which  $\sigma_{\text{iv}}(T_w) = \sigma_{\text{sv}} - \sigma_{\text{sl}}$  and  $\theta_c = 0$  which corresponds to a flat film of liquid which completely wets the substrate. Measuring the temperature dependence of the contact angle and locating the temperature at which  $\theta_c = 0$  are an alternative way of finding the wetting temperature  $T_w$  and for determining the temperature dependence of the surface energies.

Measurements  $[104-107]$  $[104-107]$  of the contact angle of <sup>4</sup>He on Cs as a function of temperature are summarized in Fig. [13](#page-22-0). Although the details of the method of Cs surface preparation and contact angle measurement afect the quantitative results, qualitatively all of the data show that the contact angle goes to zero near  $T = 2$  K with an approximately square root dependence on temperature, as expected from Eq. [11](#page-20-3).

The wetting criterion defned in Eq. [8](#page-16-1) involves a balance between the substrate surface potential and the surface tension of the fuid, so another way to tune the wetting properties is to change the surface tension. This can be carried out by considering isotopic mixtures of  ${}^{3}$ He and  ${}^{4}$ He. The surface tension of  ${}^{3}$ He is substantially lower than <sup>4</sup>He, so pure <sup>3</sup>He wets Cs at all temperatures [[108\]](#page-28-0). Although mixtures of the isotopes are miscible in the bulk at all concentrations for temperatures above 0.8 K, the interfaces represent a perturbation which typically prefers one component or another. <sup>3</sup>He has a higher zero point energy than <sup>4</sup>He, so a mixture can lower its energy by pushing <sup>3</sup>He to the vapor interface where it is less confined. Because of this effect, a dilute solution of  ${}^{3}$ He will form a 2D gas of  ${}^{3}$ He at the liquid–vapor interface. <sup>3</sup> He has a binding energy of about 2.2K to the interface, so the population of the bound state (sometimes called the Andreev state) varies with temperature in this range. The 2D gas at the interface exerts a negative pressure and reduces the surface tension by an amount that depends on concentration and temperature. These efects have surprising consequences for wetting of helium mixtures, which were frst worked out in reference [[109\]](#page-28-1) and experimentally verifed in reference [[110\]](#page-28-2). Dilute solutions of  ${}^{3}$ He in  ${}^{4}$ He on Cs have two wetting temperatures and exhibit reentrant wetting. At high temperatures, the mixture wets because the surface tension is low for conventional thermal reasons. As the temperature is lowered, the surface tension increases and the film makes a transition from wet→non-wet. As the temperature is lowered further, the  ${}^{3}$ He bound states are populated which lowers the surface tension so much that the interface goes from non-wet→wet. A phase



<span id="page-22-0"></span>diagram which shows this behavior is shown in Fig. [14.](#page-23-0) In order to reconcile theory and experiment, it is necessary to include the effects of  ${}^{3}$ He bound states and a 2D gas at the liquid–substrate interface as well as the liquid–vapor interface; this further supports the idea that the liquid–substrate interface is very similar to the liquid–vapor interface.

#### **7 Hydrogen Films**

Hydrogen has a low mass and a large zero point energy, so quantum efects strongly infuence its phase diagram, but hydrogen behaves quite diferently than helium. Perhaps the biggest diference is that the low temperature ground state is a solid instead of a liquid; the triple point of hydrogen where solid, liquid, and vapor coexist is  $T<sub>t</sub> = 13.8$  K. The stability of the solid state is due to the much stronger interparticle interactions in hydrogen as compared to helium. Solidifcation precludes superfluidity(at least naively, see, however,  $[111, 112]$  $[111, 112]$  $[111, 112]$  $[111, 112]$ ), so attempts to search for superfuid hydrogen have focused on metastable states. Under normal conditions, hydrogen is a molecule  $H_2$  which can exist in two nuclear spin states called ortho (nuclear spins aligned) and para (nuclear spins anti parallel) hydrogen. Near the triple point, liquid hydrogen is almost entirely in the para form which is a boson and might be expected to become superfuid near 3K if it could be prevented from freezing [[113,](#page-28-5) [114\]](#page-28-6), but this has not been successful so far in macroscopic or even mesoscopic samples. Two exotic types of hydrogenic superfuidity have been observed: 16 parahydrogen molecules around an organic molecule [[115](#page-28-7)] and in extremely dilute spin polarized atomic hydrogen gas [[116](#page-28-8)].

Despite the absence of conventional superfuidity, hydrogen is an interesting quantum material that has been used in several studies of flm growth and wetting, both as a substrate and as an adsorbate. Although hydrogen is much more polarizable than helium, solid hydrogen is a weak substrate because its density is very low. Third sound experiments using  ${}^{4}$ He on hydrogen [[79,](#page-27-1) [80\]](#page-27-27) show that helium wets solid hydrogen, but the dead layer is much lower than in conventional substrates and superfuidity can be observed in helium flms of submonolayer coverage adsorbed on hydrogen. Forming solid hydrogen substrates is experimentally challenging

<span id="page-23-0"></span>

because solid hydrogen, and indeed any solid material, will not wet another solid (in the sense of grow to infnite thickness at coexistence) even if the attractive interaction is strong. The reason for this is that even on a crystallographically perfect substrate there typically is a lattice mismatch elastic strain energy that makes the free energy cost of a thick solid flm very high [\[117](#page-28-9)]. On a realistically rough substrate, bending strain energy further adds to the cost of forming a solid flm on a solid substrate [\[118](#page-28-10)]. Near the triple point where the free energy of solid and liquid phases becomes similar, compound flms which contain both phases can grow to modest thickness [[119\]](#page-28-11), and above the triple point, the liquid phase will fully wet a strong substrate. This scenario is called triple point wetting [\[120](#page-28-12)] and has been observed for hydrogen on silver [\[121](#page-28-13), [122\]](#page-28-14). Another counterintuitive aspect of solid hydrogen flms is that they are remarkably mobile even at temperatures far below the triple point [[123–](#page-28-15)[125\]](#page-28-16), so quench condensed flms do not retain their morphology.

On weak substrates, liquid hydrogen is expected to have a wetting phase diagram similar to helium, but shifted to higher temperatures  $[126]$  $[126]$ . Adsorption of  $H<sub>2</sub>$ on rubidium shows prewetting features and a wetting temperature near 18K [[101\]](#page-27-22). The wetting temperature of hydrogen on cesium is approximately 20.6K, which was determined using adsorption isotherms above the wetting temperature and optical imaging of drops below the wetting temperature [\[127](#page-28-18)].

#### **8 Conclusion**

In this review, we have attempted to provide an elementary introduction to the experimental tools and theoretical models which have historically been used to study films of quantum fluids. Superfluidity in films of <sup>4</sup>He is the most obvious and spectacular manifestation of quantum effects. Even after the basic phenomena of flm fow and third sound were well established, it took nearly 20 years of concerted effort by a large group of researchers to unravel some of the conflicting theoretical and experimental information to eventually come to a consensus on the nature of 2D superfuidity. The quantum constant *ℏ* enters the analysis in the strength of a quantized vortex, but the statistical mechanics of the phase transition is essentially classical; the essential ingredient is 2D dimensionality, not quantum mechanics. Although helium flms were the frst experimental system in which the hallmark features of the KT transition were observed, this classical phase transition has subsequently been seen in room temperature systems as diverse as colloids, magnets, and ultracold gases [\[128](#page-28-19)[–132](#page-28-20)]. The extreme purity of helium and the particularly low background signal and high sensitivity of experimental probes in the cryogenic environment have been repeatedly exploited to make initial studies of phase transitions which were widely applicable in other systems. Examples include solid, liquid and vapor monolayer phases and the phase transitions between them, and the existence of wetting transitions and prewetting, which have also been seen in classical fuids [\[133](#page-28-21), [134\]](#page-28-22). Many of the basic issues in thin flm superfuidity and thin flm growth have been resolved, and future work will explore the properties of quantum flms on substrates with novel chemical or structural properties [[26,](#page-25-4) [135](#page-28-23)[–137](#page-29-0)] and utilize the remarkable properties of thin quantum flms to manipulate electrons and other particles [\[138](#page-29-1)[–141](#page-29-2)].

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