



Reducibility of 1-D Quantum Harmonic Oscillator with New Unbounded Oscillatory Perturbations

Jin Xu¹ · Jiawen Luo¹ · Zhiqiang Wang¹ · Zhenguo Liang¹

Received: 28 February 2022 / Revised: 26 April 2022 / Accepted: 5 May 2022 /
Published online: 31 May 2022

© The Author(s), under exclusive licence to Springer Science+Business Media, LLC, part of Springer Nature 2022

Abstract

Enlightened by Lemma 1.7 in Liang and Luo (J Differ Equ 270:343–389, 2021), we prove a similar lemma which is based upon oscillatory integrals and Langer’s turning point theory. From it we show that the Schrödinger equation

$$i\partial_t u = -\partial_x^2 u + x^2 u + \epsilon \langle x \rangle^\mu \sum_{k \in \Lambda} (a_k(\omega t) \sin(k|x|^\beta) + b_k(\omega t) \cos(k|x|^\beta)) u,$$
$$u = u(t, x), \quad x \in \mathbb{R}, \quad \beta > 1,$$

can be reduced in $\mathcal{H}^1(\mathbb{R})$ to an autonomous system for most values of the frequency vector ω , where $\Lambda \subset \mathbb{R} \setminus \{0\}$, $|\Lambda| < \infty$ and $\langle x \rangle := \sqrt{1 + x^2}$. The functions $a_k(\theta)$ and $b_k(\theta)$ are analytic on \mathbb{T}_σ^n and $\mu \geq 0$ will be chosen according to the value of β . Comparing with Liang and Luo (J Differ Equ 270:343–389, 2021), the novelty is that the phase functions of oscillatory integral are more degenerate when $\beta > 1$.

Keywords Reducibility · 1D quantum harmonic oscillator · Langer’s turning point theory

1 Introduction of the Main Results

1.1 Main Theorem

Following [25] we continue to consider the reducibility for the time dependent Schrödinger equation

✉ Zhenguo Liang
zgliang@fudan.edu.cn

Jin Xu
19110180005@fudan.edu.cn

Jiawen Luo
20110180010@fudan.edu.cn

Zhiqiang Wang
19110180010@fudan.edu.cn

¹ Key Laboratory of Mathematics for Nonlinear Science, School of Mathematical Sciences, Fudan University, Shanghai 200433, China

$$\begin{aligned}
 i\partial_t u &= H_\epsilon(\omega t)u, \quad x \in \mathbb{R}, \\
 H_\epsilon &:= -\partial_{xx} + x^2 + \epsilon X(x, \omega t),
 \end{aligned}
 \tag{1.1}$$

where

$$X(x, \theta) = \langle x \rangle^\mu \sum_{k \in \Lambda} (a_k(\theta) \sin(k|x|^\beta) + b_k(\theta) \cos(k|x|^\beta))$$

with $\Lambda \subset \mathbb{R} \setminus \{0\}$, $|\Lambda| < \infty$ and $\langle x \rangle := \sqrt{1 + x^2}$. The functions $a_k(\theta)$ and $b_k(\theta)$ are analytic on $\mathbb{T}_\sigma^n = \{a + bi \in \mathbb{C}^n / 2\pi\mathbb{Z}^n : |b| < \sigma\}$ with $\sigma > 0$ and $\beta > 1$ and $\mu \geq 0$ will be chosen in the following. We first introduce some functions and spaces.

Hermite Functions The harmonic oscillator $T = -\partial_{xx} + x^2$ has eigenfunctions $(h_m)_{m \geq 1}$, so called the Hermite functions, namely

$$Th_m = (2m - 1)h_m, \quad \|h_m\|_{L^2(\mathbb{R})} = 1, \quad m \geq 1.
 \tag{1.2}$$

Linear Spaces For $s \geq 0$ denote by \mathcal{H}^s the domain of $T^{\frac{s}{2}}$ endowed by the graph norm. For $s < 0$, the space \mathcal{H}^s is the dual of \mathcal{H}^{-s} . Particularly, for $s \geq 0$ a integer we have

$$\mathcal{H}^s = \{f \in L^2(\mathbb{R}) : x^\alpha \partial^\beta f \in L^2(\mathbb{R}), \forall \alpha, \beta \in \mathbb{N}_0, \alpha + \beta \leq s\}.$$

We also define the complex weighted- ℓ^2 -space $\ell_s^2 := \{\xi = (\xi_m \in \mathbb{C}, m \geq 1) : \sum_{m \geq 1} m^s |\xi_m|^2 < \infty\}$. To a function $u \in \mathcal{H}^s$ we associate the sequence ξ of its Hermite coefficients by the formula $u = \sum_{m \geq 1} \xi_m h_m(x)$. In the following we will identify the space \mathcal{H}^s with ℓ_s^2 by endowing both space the norm

$$\|u\|_{\mathcal{H}^s} = \|\xi\|_s = \left(\sum_{m \geq 1} m^s |\xi_m|^2 \right)^{\frac{1}{2}}.$$

Define

$$l_* = l(\beta, \mu) = \begin{cases} \frac{1}{4} \left(\frac{\beta}{6} - \mu \right), & 1 < \beta < 2, \\ \frac{1}{4} \left(\frac{2}{9} - \mu \right), & \beta = 2, \\ \frac{1}{4} \left(\frac{\beta-2}{4\beta-2} - \mu \right), & \beta > 2. \end{cases}
 \tag{1.3}$$

Then we can state our main theorem.

Theorem 1.1 *Assume $a_k(\theta)$ and $b_k(\theta)$ are analytic on \mathbb{T}_σ^n with $\sigma > 0$ and $\beta > 1$ and μ satisfies*

$$0 \leq \mu < \begin{cases} \frac{\beta}{6}, & 1 < \beta < 2, \\ \frac{2}{9}, & \beta = 2, \\ \frac{\beta-2}{4\beta-2}, & \beta > 2. \end{cases}
 \tag{1.4}$$

There exists $\epsilon_ > 0$ such that for all $0 \leq \epsilon < \epsilon_*$ there is a closed set $D_\epsilon \subset D_0 = [0, 2\pi]^n$ of asymptotically full measure such that for all $\omega \in D_\epsilon$, the linear Schrödinger equation (1.1) reduces to a linear autonomous equation in \mathcal{H}^1 .*

More precisely, for any $\omega \in D_\epsilon$ there exists a linear isomorphism $\Psi_{\omega, \epsilon}^\infty(\theta) \in \mathcal{L}(\mathcal{H}^{s'})$ with $0 \leq s' \leq 1$, analytically dependent on $\theta \in \mathbb{T}_{\sigma/2}^n$ and unitary on $L^2(\mathbb{R})$, where $\Psi_{\omega, \epsilon}^\infty - \text{Id} \in \mathcal{L}(\mathcal{H}^0, \mathcal{H}^{2l_}) \cap \mathcal{L}(\mathcal{H}^{s'})$ and a bounded Hermitian operator $Q \in \mathcal{L}(\mathcal{H}^1)$ such that*

$t \mapsto u(t, \cdot) \in \mathcal{H}^1$ satisfies (1.1) if and only if $t \mapsto v(t, \cdot) = \Psi_{\omega, \epsilon}^\infty u(t, \cdot) \in \mathcal{H}^1$ satisfies the autonomous equation

$$i\partial_t v = -v_{xx} + x^2 v + \epsilon Q(v),$$

furthermore, there are constants $C, K > 0$ such that

$$\begin{aligned} \text{Meas}(D_0 \setminus D_\epsilon) &\leq C \epsilon^{\frac{3l_*}{2(2l_*+5)(2l_*+1)}}, \\ \|Q\|_{\mathcal{L}(\mathcal{H}^p, \mathcal{H}^{p+4l_*})} + \|\partial_\omega Q\|_{\mathcal{L}(\mathcal{H}^p, \mathcal{H}^{p+4l_*})} &\leq K, \quad \omega \in D_\epsilon, \quad p \in \mathbb{N}, \\ \|\Psi_{\omega, \epsilon}^\infty(\theta) - \text{Id}\|_{\mathcal{L}(\mathcal{H}^0, \mathcal{H}^{2l_*})}, \|\Psi_{\omega, \epsilon}^\infty(\theta) - \text{Id}\|_{\mathcal{L}(\mathcal{H}^{s'}, \mathcal{H}^{s'})} &\leq C \epsilon^{\frac{1}{3}}, \quad (\omega, \theta) \in D_\epsilon \times \mathbb{T}_{\sigma/2}^n. \end{aligned}$$

Consequently, Theorem 1.1 follows in the considered range of parameters the \mathcal{H}^1 norms of the solutions are all bounded forever and the spectrum of the corresponding operator is pure point.

1.2 Related Results and a Critical Lemma

In the following we recall some relevant reducibility results. For 1-D quantum harmonic oscillators (‘QHO’ for short) with periodic or quasi-periodic in time bounded perturbations see [11, 15, 23, 28, 39, 40].

In [5] Bambusi and Graffi proved the reducibility of 1-D Schrödinger equation with an unbounded time quasiperiodic perturbation in which the potential grows at infinity like $|x|^{2l}$ with a real $l > 1$ and the perturbation is bounded by $1 + |x|^\beta$ with $\beta < l - 1$. The reducibility in the limiting case $\beta = l - 1$ was proved by Liu and Yuan in [30]. Recently, the results in [5, 30] have been improved by Bambusi in [1, 2], in which he firstly obtained the reducibility results for 1-D QHO with unbounded perturbations.

It seems that the reducibility method in [1, 2] is hard to be applied for 1-D Schrödinger equations with the unbounded oscillatory perturbations (see remark 2.7 in [1]). The authors [25, 27] solved this problem by Langer’s turning point and oscillatory integral estimates. We remark that the critical step in [25] is to build up a decay estimate of the integral $\int_{\mathbb{R}} \langle x \rangle^\mu e^{ikx} h_m(x) \overline{h_n(x)} dx$, in which the phase functions of oscillatory integral are $\phi_{mn}(x) := \zeta_m(x) - \zeta_n(x) + kx$, where $\zeta_m(x) = \int_{X_m}^x \sqrt{\lambda_m - t^2} dt$ with $X_m = \sqrt{2m - 1} = \sqrt{\lambda_m}$.

Comparing with [25], in this paper the phase functions $\Psi_{mn}(x) := \zeta_m(x) - \zeta_n(x) + kx^\beta$ with $\beta > 1$ are more degenerate. For $1 < \beta \leq 2$, we use a similar method as [25]. The most difficult part as [25] is the integral $\int_{X_m^{\frac{2}{3}} - X_m^{\nu_2}} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx$ where $\nu_2 = 1 - \frac{\beta}{3}$ for $1 < \beta < 2$ and $\nu_2 = \frac{5}{9}$ when $\beta = 2$. We have to discuss different cases in order to obtain a suitable lower bound of the derivatives of the phase function. For $\beta > 2$ we find a new simple proof which follows from Corollary 3.2 in [24], Lemma 6.1 and a straightforward computation. As Lemma 1.7 in [25] we have the following.

Lemma 1.2 Assume $h_m(x)$ satisfies (1.2). For any $k \neq 0$,

$$\left| \int_{\mathbb{R}} \langle x \rangle^\mu e^{ik|x|^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq C \cdot C_{k, \beta}(mn)^{-l(\beta, \mu)}, \quad m, n \geq 1$$

for some absolute constant $C > 0$, where $\mu \geq 0, \beta > 1, l(\beta, \mu)$ defined in (1.3) and

$$C_{k,\beta} = \begin{cases} |\beta(\beta - 1)(\beta - 2)k|^{-\frac{1}{3}} \vee |k|^{-1} \vee |k|^{-\frac{1}{4-2\beta}}, & 1 < \beta < 2, \\ |k|^{-1} \vee 1, & \beta = 2, \\ |\beta k|^{-1} \vee 1, & \beta > 2. \end{cases}$$

Remark 1.3 In fact $l(1, \mu) = \frac{1}{12} - \frac{\mu}{4}$ has been proved in [25].

In the end we review some relative results. Eliasson–Kuksin [13] initiated to prove the reducibility for PDEs in high dimension. See [22, 26] for higher-dimensional QHO with bounded potential. The first reducibility result for n-D QHO was proved in [7] by Bambusi–Grébert–Maspero–Robert. Towards other PDEs with unbounded perturbations see the reducibility results by Montalto [35] for linear wave equations on \mathbb{T}^d and Bambusi, Langella and Montalto [3] for transportation equations [18]. Feola and Grébert [19] set up a reducibility result for a linear Schrödinger equation on the sphere S^n with unbounded potential [20].

The reducibility results usually imply the boundedness of Sobolev norms. Delort [12] constructed a $t^{s/2}$ - polynomial growth for 1-D QHO with certain time periodic perturbation [32]. Basing on a Mourre estimate, Maspero [33] proved similar results for 1-D QHO and half-wave equation on \mathbb{T} and the instability is stable in some sense. For a polynomial periodic or quasi-periodic perturbations relative with 1-D QHO we refer to [7, 21, 29, 31]. For 2-D QHO with perturbation which is decaying in t , Faou-Raphaël [17] constructed a solution whose \mathcal{H}^1 -norm presents logarithmic growth with t . For 2-D Schrödinger operator Thomann [38] constructed explicitly a traveling wave whose Sobolev norm presents polynomial growth with t , based on the study in [36] for linear Lowest Landau equations (LLL) with a time-dependent potential. There are also many literatures, e.g. [4, 6, 8–10, 16, 34, 41], which are closely relative to the upper growth bound of the solution in Sobolev space.

Our article is organized as follows: in Sect. 2 we state the reducibility theorem, i.e. Theorem 2.1. In Sect. 3, through checking all the assumptions in Theorem 2.1 we prove Theorem 1.1. In Sect. 4 we prove Lemma 1.2 for $1 < \beta \leq 2$ and the case for $\beta > 2$ is delayed in Sect. 5. Some auxiliary lemmas are presented in the ‘‘Appendix’’.

Notation We use the notations $\mathbb{N}_0 = \{0, 1, 2, \dots\}, \mathbb{N} = \{1, 2, \dots\}, \mathbb{T}^n = \mathbb{R}^n/2\pi\mathbb{Z}^n$ and $\mathbb{T}^n_\sigma = \{a + bi \in \mathbb{C}^n/2\pi\mathbb{Z}^n : |b| < \sigma\}$. For Hilbert spaces $\mathcal{H}_1, \mathcal{H}_2$ we denote by $\mathfrak{L}(\mathcal{H}_1, \mathcal{H}_2)$ the space of bounded linear operators from \mathcal{H}_1 to \mathcal{H}_2 and write $\mathfrak{L}(\mathcal{H}_1, \mathcal{H}_1)$ as $\mathfrak{L}(\mathcal{H}_1)$ for simplicity.

2 A KAM Theorem

Following [14, 23] we introduce the KAM Theorem from [26] especially for 1-D case.

2.1 Setting

Linear spaces. For $p \geq 0$ we define $X_p := \ell^2_p \times \ell^2_p = \{\zeta = (\zeta_a = (\xi_a, \eta_a)) \in \mathbb{C}^2\}_{a \in \mathbb{N}}, \|\zeta\|_p < \infty\}$ with $\|\zeta\|_p^2 = \sum_{a \in \mathbb{N}} a^p (|\xi_a|^2 + |\eta_a|^2)$. We equip the space with the symplectic structure $i \sum_{a \in \mathbb{N}} d\xi_a \wedge \eta_a$.

Infinite matrices. Denote by \mathcal{M}_α the set of infinite matrices $A : \mathbb{N} \times \mathbb{N} \mapsto \mathbb{C}$ with the norm $|A|_\alpha := \sup_{a,b \in \mathbb{N}} (ab)^\alpha |A^b_a| < \infty$. We also denote \mathcal{M}^+_α be the subspace of \mathcal{M}_α satisfying that an infinite matrix $A \in \mathcal{M}^+_\alpha$ if $|A|_{\alpha+} := \sup_{a,b \in \mathbb{N}} (ab)^\alpha (1 + |a - b|) |A^b_a| < \infty$.

In fact one can prove that for all $\alpha > 0$, a matrix in \mathcal{M}_α^+ defines a bounded operator on ℓ_0^2 . However, when $\alpha \in (0, \frac{1}{2})$, we can't insure that $\mathcal{M}_\alpha \subset \mathfrak{L}(\ell_0^2, \ell_s^2)$ for any $s \in \mathbb{R}$. This means that Px makes no sense when the perturbation operator $P \in \mathcal{M}_\alpha$ and $x \in \ell_0^2$. Fortunately, from Lemma 2.1 in [22] or Lemma 2.2 in [26] one can show $\mathcal{M}_\alpha \subset \mathfrak{L}(\ell_1^2, \ell_{-1}^2)$ and thus the reducibility in \mathcal{H}^1 can be built up in Theorem 1.1 instead of L^2 .

Parameters. In this paper ω will play the role of a parameter belonging to $D_0 = [0, 2\pi]^n$. All the constructed maps will depend on ω with C^1 regularity. When a map is only defined on a Cantor subset of D_0 the regularity is understood in Whitney sense.

A class of quadratic Hamiltonians. Let $D \subset D_0, \alpha > 0$ and $\sigma > 0$. We denote by $\mathcal{M}_\alpha(D, \sigma)$ the set of mappings as $\mathbb{T}_\sigma^n \times D \ni (\theta, \omega) \mapsto Q(\theta, \omega) \in \mathcal{M}_\alpha$ which is real analytic on $\theta \in \mathbb{T}_\sigma^n$ and C^1 continuous on $\omega \in D$. And we endow this space with the norm $[Q]_\alpha^{D, \sigma} := \sup_{\omega \in D, |\Im \theta| < \sigma, |k|=0,1} |\partial_\omega^k Q(\theta, \omega)|_\alpha$.

The subspace of $\mathcal{M}_\alpha(D, \sigma)$ formed by $F(\theta, \omega)$ such that $\partial_\omega^k F(\theta, \omega) \in \mathcal{M}_\alpha^+, |k| = 0, 1$, is denoted by $\mathcal{M}_\alpha^+(D, \sigma)$ and endowed with the norm $[F]_{\alpha+}^{D, \sigma} := \sup_{\omega \in D, |\Im \theta| < \sigma, |k|=0,1} |\partial_\omega^k F(\theta, \omega)|_{\alpha+}$. Besides, the subspace of $\mathcal{M}_\alpha(D, \sigma)$ that are independent of θ will be denoted by $\mathcal{M}_\alpha(D)$ and for $N \in \mathcal{M}_\alpha(D)$,

$$[N]_\alpha^D := \sup_{\omega \in D, |k|=0,1} |\partial_\omega^k N(\omega)|_\alpha.$$

2.2 The Reducibility Theorem

In this section we present an abstract reducibility theorem for a quadratic Hamiltonian quasiperiodic in time of the form

$$H(t, \xi, \eta) = \langle \xi, N\eta \rangle + \epsilon \langle \xi, P(\omega t)\eta \rangle, \quad (\xi, \eta) \in X_1 \subset X_0, \tag{2.1}$$

and the corresponding Hamiltonian system

$$\begin{cases} \dot{\xi} = -iN\xi - i\epsilon P^T(\omega t)\xi, \\ \dot{\eta} = iN\eta + i\epsilon P(\omega t)\eta, \end{cases} \tag{2.2}$$

where $N = \text{diag}\{\lambda_a, a \in \mathbb{N}\}$ satisfies the following spectrum assumptions:

Hypothesis A1-Asymptotics There exist positive constants c_0, c_1, c_2 such that

$$c_1 a \geq \lambda_a \geq c_2 a \text{ and } |\lambda_a - \lambda_b| \geq c_0 |a - b|, \quad \forall a, b \in \mathbb{N}.$$

Hypothesis A2-Second Melnikov Condition in Measure Estimates There exist positive constants α_1, α_2 and c_3 such that the following holds: for each $0 < \kappa < \frac{1}{4}$ and $K > 0$ there exists a closed subset $D' = D'(\kappa, K) \subset D_0$ with $\text{Meas}(D_0 \setminus D') \leq c_3 K^{\alpha_1} \kappa^{\alpha_2}$ such that for all $\omega \in D', k \in \mathbb{Z}^n$ with $0 < |k| \leq K$ and $a, b \in \mathbb{N}$ we have $|k \cdot \omega + \lambda_a - \lambda_b| \geq \kappa(1 + |a - b|)$.

Then we can state our reducibility results.

Theorem 2.1 *Given a nonautonomous Hamiltonian (2.1), we assume that $(\lambda_a)_{a \in \mathbb{N}}$ satisfies Hypothesis A1–A2 and $P(\theta) \in \mathcal{M}_\alpha(D_0, \sigma)$ with $\alpha, \sigma > 0$. Let $\gamma_1 = \max\{\alpha_1, n + 3\}$ and $\gamma_2 = \frac{\alpha\alpha_2}{2\alpha\alpha_2 + 5}$, then there exists $\epsilon_* > 0$ such that for all $0 \leq \epsilon < \epsilon_*$ there are*

- (i) a Cantor set $D_\epsilon \subset D_0$ with $\text{Meas}(D_0 \setminus D_\epsilon) \leq C\epsilon^{\frac{3\delta\alpha}{2\alpha+1}}$ for a $\delta \in (0, \frac{\gamma_2}{24})$;
- (ii) a C^1 family in $\omega \in D_\epsilon$ (in Whitney sense), linear, unitary, analytically dependent on $\theta \in \mathbb{T}_{\sigma/2}^n$ and symplectic coordinate transformation $\Phi_\omega^\infty(\theta) : X_0 \mapsto X_0, (\omega, \theta) \in D_\epsilon \times \mathbb{T}_{\sigma/2}^n$,

of the form

$$(\xi_+, \eta_+) \mapsto (\xi, \eta) = \Phi_\omega^\infty(\theta)(\xi_+, \eta_+) = (\overline{M}_\omega(\theta)\xi_+, M_\omega(\theta)\eta_+),$$

where $\Phi_\omega^\infty(\theta) - \text{Id}$ satisfies for $0 \leq s' \leq 1$

$$\|\Phi_\omega^\infty(\theta) - \text{Id}\|_{\mathcal{L}(X_0, X_{2\omega})}, \|\Phi_\omega^\infty(\theta) - \text{Id}\|_{\mathcal{L}(X_{s'})} \leq C\epsilon^{\frac{1}{3}};$$

(iii) a C^1 family of autonomous quadratic Hamiltonian in normal forms

$$H_\infty(\xi_+, \eta_+) = \langle \xi_+, N_\infty(\omega)\eta_+ \rangle = \sum_{m \geq 1} \lambda_m^\infty \xi_{+,m} \eta_{+,m}, \quad \omega \in D_\epsilon,$$

where $N_\infty(\omega) = \text{diag}\{\lambda_m^\infty, m \in \mathbb{N}\}$ is diagonal and is close to N in the sense of

$$\|N_\infty(\omega) - N\|_\alpha^{D_\epsilon} \leq C\epsilon,$$

such that

$$H(t, \Phi_\omega^\infty(\omega t)(\xi_+, \eta_+)) = H_\infty(\xi_+, \eta_+), \quad t \in \mathbb{R}, (\xi_+, \eta_+) \in X_1, \omega \in D_\epsilon.$$

3 Application to the Quantum Harmonic Oscillator

In this section we will prove Theorem 1.1 by applying Theorem 2.1 to the original Eq. (1.1). Following the strategies in [13], we expand u on the Hermite basis $(h_m)_{m \geq 1}$ as well as \bar{u} by the following formula

$$u = \sum_{m \geq 1} \xi_m h_m, \quad \bar{u} = \sum_{m \geq 1} \eta_m \bar{h}_m.$$

Therefore the Eq. (1.1) is equivalent to a nonautonomous Hamiltonian system

$$\begin{cases} \dot{\xi}_m = -i \frac{\partial H}{\partial \eta_m} = -i(2m - 1)\xi_m - i\epsilon (P^T(\omega t)\xi)_m, \\ \dot{\eta}_m = i \frac{\partial H}{\partial \xi_m} = i(2m - 1)\eta_m + i\epsilon (P(\omega t)\eta)_m, \end{cases} \quad m \geq 1, \tag{3.1}$$

where

$$H(t, \xi, \eta) = \langle \xi, N\eta \rangle + \epsilon \langle \xi, P(\omega t)\eta \rangle, \quad (\xi, \eta) \in X_1 \subset X_0,$$

and $N = \text{diag}\{2m - 1, m \geq 1\}$ and

$$\begin{aligned} P_m^n(\omega t) &= \sum_{k \in \Lambda} a_k(\omega t) \int_{\mathbb{R}} \langle x \rangle^\mu \sin k|x|^\beta h_m(x) \overline{h_n(x)} dx \\ &\quad + \sum_{k \in \Lambda} b_k(\omega t) \int_{\mathbb{R}} \langle x \rangle^\mu \cos k|x|^\beta h_m(x) \overline{h_n(x)} dx, \end{aligned} \tag{3.2}$$

where the frequencies $\omega \in D_0 = [0, 2\pi]^n$ are the external parameters.

The spectrum assumptions can be easily checked by the following two lemmas.

Lemma 3.1 *When $\lambda_a = 2a - 1, a \in \mathbb{N}$, Hypothesis A1 holds true with $c_0 = c_2 = 1$ and $c_1 = 2$.*

Lemma 3.2 *When $\lambda_a = 2a - 1, a \in \mathbb{N}$, Hypothesis A2 holds true with $\alpha_1 = n + 1, \alpha_2 = 1, c_3 = c(n)$ and $D_0 = [0, 2\pi]^n$,*

$$D' = \{\omega \in [0, 2\pi]^n : |k \cdot \omega + j| \geq \kappa(1 + |j|), \forall j \in \mathbb{Z}, k \in \mathbb{Z}^n \setminus \{0\}\}.$$

The following lemma is a direct corollary of Lemma 1.2.

Lemma 3.3 *Assume that $a_k(\theta)$ and $b_k(\theta)$ are analytic on \mathbb{T}_σ^n for any nonzero $k \in \Lambda$ with $\sigma > 0$ and $\beta > 1$ and μ satisfies (1.4), then there exists $\alpha = l(\beta, \mu) > 0$ such that the matrix function $P(\theta)$ defined by (3.2) is analytic from \mathbb{T}_σ^n into \mathcal{M}_α .*

Proof of Theorem 1.1. Expanding the Hermite basis $(h_m)_{m \geq 1}$, the Schrödinger equation (1.1) becomes Hamiltonian system (3.1), which is the form of Eq. (2.2) with $\lambda_a = 2a - 1$. By lemmas above, we can apply Theorem 2.1 to (3.1) with $\gamma_1 = n + 3$, $\gamma_2 = \frac{\alpha}{2\alpha+5}$ and $\delta = \frac{\gamma_2}{48}$. This follows Theorem 1.1.

More precisely, in new variables given in Theorem 2.1, $(\xi, \eta) = (\overline{M}_\omega \xi_+, M_\omega \eta_+)$, system (3.1) is conjugated into an autonomous system of the form:

$$\begin{cases} \dot{\xi}_{+,a} = -i\lambda_a^\infty(\omega)\xi_{+,a}, \\ \dot{\eta}_{+,a} = i\lambda_a^\infty(\omega)\eta_{+,a}, \end{cases} \quad a \in \mathbb{N}.$$

Therefore the solution subject to the initial datum $(\xi_+(0), \eta_+(0))$ reads

$$(\xi_+(t), \eta_+(t)) = (e^{-itN_\infty} \xi_+(0), e^{itN_\infty} \eta_+(0)), \quad t \in \mathbb{R},$$

where $N_\infty = \text{diag}\{\lambda_a^\infty, a \geq 1\}$. Then the solution of (1.1) with the initial datum $u_0(x) = \sum_{a \geq 1} \xi_a(0)h_a(x) \in \mathcal{H}^1$ is formulated by $u(t, x) = \sum_{a \geq 1} \xi_a(t)h_a(x)$ with $\xi(t) = \overline{M}_\omega(\omega t)e^{-itN_\infty}M_\omega^T(0)\xi(0)$, where we use the fact $(\overline{M}_\omega)^{-1} = M_\omega^T$ since M is unitary.

Now we define the coordinate transformation $\Psi_\omega^\infty(\theta)$ by

$$\Psi_\omega^\infty(\theta) \left(\sum_{a \geq 1} \xi_a h_a(x) \right) := \sum_{a \geq 1} \left(M_\omega^T(\theta)\xi \right)_a h_a(x) = \sum_{a \geq 1} \xi_{+,a} h_a(x).$$

Then we have $u(t, x)$ satisfies (1.1) if and only if $v(t, x) = \Psi_\omega^\infty(\omega t)u(t, x)$ satisfies the autonomous equation $i\partial_t v = -v_{xx} + x^2v + \epsilon Q(v)$, where

$$\epsilon Q \left(\sum_{a \geq 1} \xi_a h_a(x) \right) = \sum_{a \geq 1} ((N_\infty - N_0)\xi)_a h_a(x) = \sum_{a \geq 1} (\lambda_a^\infty - \lambda_a)\xi_a h_a(x).$$

The rest estimates are standard (see Lemma 3.4 in [25] for the details). □

4 Proof of Lemma 1.2 When $1 < \beta \leq 2$

For reader’s convenience, we will use the notations in [25]. In the whole section we will always suppose $\mu \geq 0$ and don’t point it out in the following lemmas.

The eigenfunction of the quantum oscillator operator T is $h_n(x) = (n!2^n \pi^{\frac{1}{2}})^{-\frac{1}{2}} e^{-\frac{1}{2}x^2} H_n(x)$, where $H_n(x)$ is the n th Hermite polynomial. Since $h_n(x)$ is an even (or odd) function when n is odd (or even), we only need to estimate

$$\int_0^{+\infty} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx, \quad 1 \leq m \leq n. \tag{4.1}$$

By Lemma 4.4 and Remarks 4.5, 4.6 in [25], when $m > m_0$,

$$h_m(x) = (\lambda_m - x^2)^{-\frac{1}{4}} \left(\frac{\pi \zeta_m}{2} \right)^{\frac{1}{2}} H_{\frac{1}{3}}^{(1)}(\zeta_m)$$

$$\begin{aligned}
 &+(\lambda_m - x^2)^{-\frac{1}{4}} \left(\frac{\pi \zeta_m}{2}\right)^{\frac{1}{2}} H_{\frac{1}{3}}^{(1)}(\zeta_m) O\left(\frac{1}{\lambda_m}\right) \\
 &:= \psi_1^{(m)}(x) + \psi_2^{(m)}(x),
 \end{aligned}$$

where $\zeta_m(x) = \int_{X_m}^x \sqrt{\lambda_m - t^2} dt$ with $X_m^2 = \lambda_m (X_m > 0)$. Otherwise, when $m \leq m_0$, then $h_m(x) = \psi_1^{(m)}(x) + \psi_2^{(m)}(x)$ for $x > 2X_{m_0}$, where $\psi_1^{(m)}(x) = (\lambda_m - x^2)^{-\frac{1}{4}} \left(\frac{\pi \zeta_m}{2}\right)^{\frac{1}{2}} H_{\frac{1}{3}}^{(1)}(\zeta_m)$ and $|\psi_2^{(m)}(x)| \leq \frac{C}{x^2} |\psi_1^{(m)}(x)|$. Following the same strategies in [25] we distinguish 3 cases to estimate (4.1):

- I. $m, n < C_0 := 2^8 m_0^3$;
- II. $m \leq m_0$ and $n \geq C_0$;
- III. $m, n > m_0$.

4.1 The Estimates for Case I and Case II

Lemma 4.1 *When $n, m < C_0$,*

$$\left| \int_0^{+\infty} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{(mn)^{\frac{1}{4}(\frac{\beta}{6} - \mu)}}.$$

Proof When $x \leq X_0$, from Hölder inequality and $n, m < C_0$, we have

$$\left| \int_0^{X_0} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq X_0^\mu \leq \frac{C}{(mn)^{\frac{1}{4}(\frac{\beta}{6} - \mu)}}.$$

where X_0 is a positive constant depending on C_0 only. When $x > X_0$, $|X_m^2 - x^2|^{-\frac{1}{4}} < 1$, we have $\left| \sqrt{\frac{\pi \zeta_m}{2}} H_{\frac{1}{3}}^{(1)}(\zeta_m) \right| \leq e^{-|\zeta_m|}$ by Lemma 5.4 in [25]. By Lemma 5.5 in [25] we have $|\zeta_m| \geq \frac{2\sqrt{2}}{3} X_m^{\frac{1}{2}} (x - X_m)^{\frac{3}{2}} \geq x - X_0$ for $x > X_0$. Thus

$$\left| \int_{X_0}^{+\infty} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \int_{X_0}^{+\infty} \langle x \rangle^\mu e^{-2(x-X_0)} dx \leq C e^{2X_0} \leq \frac{C}{(mn)^{\frac{1}{4}(\frac{\beta}{6} - \mu)}}.$$

□

Lemma 4.2 *For $m \leq m_0$ and $n \geq C_0$ and $\mu \geq 0$,*

$$\left| \int_0^{+\infty} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{(mn)^{\frac{1}{4}(\frac{\beta}{6} - \mu)}}.$$

Proof We divide the integral into two parts.

$$\int_0^{+\infty} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx = \int_0^{X_n^{\frac{1}{3}}} + \int_{X_n^{\frac{1}{3}}}^{+\infty}.$$

Since $x > 2X_{m_0}$, we have

$$|h_m(x)| \leq 2(x^2 - X_m^2)^{-\frac{1}{4}} \left| \sqrt{\frac{\pi \zeta_m}{2}} H_{\frac{1}{3}}^{(1)}(\zeta_m) \right| \leq 2e^{-|\zeta_m|}.$$

On the other hand, for $x \in [0, X_n^{\frac{1}{3}}]$, one has $|h_n(x)| \leq C(X_n^2 - x^2)^{-\frac{1}{4}}$. Note $1 < \beta < 2$, it follows

$$\begin{aligned} \left| \int_0^{X_n^{\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| &\leq C \int_0^{X_n^{\frac{1}{3}}} \langle x \rangle^\mu (X_n^2 - x^2)^{-\frac{1}{4}} dx \leq C X_n^{-\frac{1}{6} + \frac{\mu}{3}} \\ &\leq \frac{C}{(mn)^{\frac{1}{4}(\frac{\beta}{6} - \mu)}}. \end{aligned}$$

When $x \geq X_n^{\frac{1}{3}} \geq 2X_{m_0}$, from Lemma 5.5 in [25], $e^{-|\zeta_m|} \leq e^{-C(x - X_m)}$. Note $\|h_n(x)\|_{L^2} = 1$, from Hölder inequality,

$$\left| \int_{X_n^{\frac{1}{3}}}^{+\infty} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq C \left(\int_{X_n^{\frac{1}{3}}}^{+\infty} \langle x \rangle^{2\mu} e^{-Cx} dx \right)^{\frac{1}{2}} \leq e^{-CX_n^{\frac{1}{3}}}.$$

□

4.2 The Estimate for Case III

In the following we will turn to the complicated case when $m, n > m_0$. We divide the integral into two parts $\int_0^{+\infty} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx = \int_0^{X_n} + \int_{X_n}^{+\infty}$. We first go to the latter case $\int_{X_n}^{+\infty}$.

4.2.1 The Integral on $[X_n, +\infty)$

Lemma 4.3 For $m_0 < m \leq n$,

$$\left| \int_{X_n}^{+\infty} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{\frac{1}{12} - \frac{\mu}{4}} n^{\frac{1}{12} - \frac{\mu}{4}}} \leq \frac{C}{(mn)^{\frac{1}{4}(\frac{\beta}{6} - \mu)}}.$$

We first estimate the integral on $[2X_n, +\infty)$. The following result is clear from [25].

Lemma 4.4 For $m_0 < m \leq n$,

$$\left| \int_{2X_n}^{+\infty} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq e^{-Cn}.$$

For the integral on $[X_n, 2X_n]$, we prove that

Lemma 4.5 For $m_0 < m \leq n$,

$$\left| \int_{X_n}^{2X_n} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{\frac{1}{12} - \frac{\mu}{4}} n^{\frac{1}{12} - \frac{\mu}{4}}}.$$

Proof As [25], we only need to estimate the following integral $I := \int_{X_n}^{2X_n} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx$ since the rest three ones are higher order. I can be divided into two parts as

$$|I| = \left| \left(\int_{X_n + X_n^{\frac{1}{3}}}^{2X_n} + \int_{X_n}^{X_n + X_n^{\frac{1}{3}}} \right) \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right|$$

$$\leq C X_n^\mu \left(\int_{X_n+X_n^{\frac{1}{3}}}^{2X_n} + \int_{X_n}^{X_n+X_n^{\frac{1}{3}}} \right) \left| \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} \right| dx.$$

From Lemma 5.5 in [25], when $x \geq X_n + X_n^{\frac{1}{3}}$, $|\zeta_n| \geq \frac{2\sqrt{2}}{3} X_n^{\frac{1}{2}}(x - X_n)^{\frac{3}{2}} \geq \frac{2\sqrt{2}}{3} X_n$. Thus

$$\begin{aligned} \int_{X_n+X_n^{\frac{1}{3}}}^{2X_n} \left| \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} \right| dx &\leq C \int_{X_n+X_n^{\frac{1}{3}}}^{2X_n} (x^2 - \lambda_m)^{-\frac{1}{4}} (x^2 - \lambda_n)^{-\frac{1}{4}} e^{-|\zeta_n|} dx \\ &\leq C e^{-\frac{2\sqrt{2}}{3} X_n} \int_{X_n+X_n^{\frac{1}{3}}}^{2X_n} (x^2 - \lambda_n)^{-\frac{1}{2}} dx \leq C e^{-\frac{2\sqrt{2}}{3} X_n}. \end{aligned}$$

For the second part,

$$\begin{aligned} &\int_{X_n}^{X_n+X_n^{\frac{1}{3}}} \left| \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} \right| dx \\ &\leq C \int_{X_n}^{X_n+X_n^{\frac{1}{3}}} (x^2 - \lambda_m)^{-\frac{1}{4}} (x^2 - \lambda_n)^{-\frac{1}{4}} dx \leq C \int_{X_n}^{X_n+X_n^{\frac{1}{3}}} (x^2 - \lambda_n)^{-\frac{1}{2}} dx \\ &\leq C X_n^{-\frac{1}{2}} \int_{X_n}^{X_n+X_n^{\frac{1}{3}}} (x - X_n)^{-\frac{1}{2}} dx \leq C X_n^{-\frac{1}{3}}. \end{aligned}$$

It follows $|I| \leq C X_n^{\mu-\frac{1}{3}} \leq \frac{C}{m^{\frac{1}{12}-\frac{\mu}{4}} n^{\frac{1}{12}-\frac{\mu}{4}}}$. □

Combining with the above two lemmas we finish Lemma 4.3.

In the following we will estimate the integral on $[0, X_n]$, which is the most complicated case. Note $m_0 < m \leq n$, the following two cases have to be considered respectively: I. $X_n > 2X_m$; II. $X_m \leq X_n \leq 2X_m$.

4.2.2 The Integral Estimate on $[0, X_n]$ When $X_n > 2X_m$

Our aim in this part is to build the following

Lemma 4.6 *For $k \neq 0$, if $X_n > 2X_m$ and $1 < \beta \leq 2$, then*

$$\left| \int_0^{X_n} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C(|k|^\iota \vee 1)}{m^{\frac{1}{8}-\frac{\mu}{4}} n^{\frac{1}{12}-\frac{\mu}{4}}},$$

where $m_0 < m \leq n$ and $\iota = \begin{cases} \frac{1}{4-2\beta}, & 1 < \beta < 2, \\ 0, & \beta = 2. \end{cases}$

As [25] we will use the following notation in the remained parts. We denote $f_m(x) = \int_0^\infty e^{-t} t^{-\frac{1}{6}} \left(1 + \frac{it}{2\zeta_m}\right)^{-\frac{1}{6}} dt$ and $f_n(x) = \int_0^\infty e^{-t} t^{-\frac{1}{6}} \left(1 + \frac{it}{2\zeta_n}\right)^{-\frac{1}{6}} dt$. When $x \in [0, X_m]$, from a straightforward computation we have

$$\begin{aligned} \psi_1^{(m)}(x) &= (X_m^2 - x^2)^{-\frac{1}{4}} \sqrt{\frac{\pi \zeta_m}{2}} H_{\frac{1}{3}}^{(1)}(\zeta_m) \\ &= (X_m^2 - x^2)^{-\frac{1}{4}} \frac{e^{i(\zeta_m - \frac{\pi}{6} - \frac{\pi}{4})}}{\Gamma(\frac{5}{6})} \int_0^\infty e^{-t} t^{-\frac{1}{6}} \left(1 + \frac{it}{2\zeta_m}\right)^{-\frac{1}{6}} dt \end{aligned}$$

$$= C(X_m^2 - x^2)^{-\frac{1}{4}} e^{i\zeta_m(x)} f_m(x).$$

Similarly, $\overline{\psi_1^{(n)}}(x) = C(X_n^2 - x^2)^{-\frac{1}{4}} e^{-i\zeta_n(x)} \overline{f_n(x)}$. For $x \in [0, X_m]$, denote $\Psi(x) = (X_m^2 - x^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} \cdot f_m(x) \overline{f_n(x)}$ and $g(x) = (\zeta_n(x) - \zeta_m(x) - kx^\beta)' = \sqrt{X_n^2 - x^2} - \sqrt{X_m^2 - x^2} - k\beta x^{\beta-1}$, then

$$\begin{aligned} \Psi'(x) &= \frac{1}{2}x(X_m^2 - x^2)^{-\frac{5}{4}}(X_n^2 - x^2)^{-\frac{1}{4}} \cdot f_m(x) \overline{f_n(x)} \\ &\quad + \frac{1}{2}x(X_m^2 - x^2)^{-\frac{1}{4}}(X_n^2 - x^2)^{-\frac{5}{4}} \cdot f_m(x) \overline{f_n(x)} \\ &\quad + (X_m^2 - x^2)^{-\frac{1}{4}}(X_n^2 - x^2)^{-\frac{1}{4}} \cdot (f'_m(x) \overline{f_n(x)} + f_m(x) \overline{f'_n(x)}). \end{aligned}$$

When $x \in [0, X_m]$, $|f_m(x)| \leq \Gamma(\frac{5}{6})$ and $|f_n(x)| \leq \Gamma(\frac{5}{6})$. Thus,

Corollary 4.7 For $x \in [0, X_m)$ and $m \leq n$,

$$\begin{aligned} |\Psi'(x)| &\leq C\left(x(X_m^2 - x^2)^{-\frac{5}{4}}(X_n^2 - x^2)^{-\frac{1}{4}} + x(X_m^2 - x^2)^{-\frac{1}{4}}(X_n^2 - x^2)^{-\frac{5}{4}}\right. \\ &\quad \left. + \frac{(X_m^2 - x^2)^{\frac{1}{4}}(X_n^2 - x^2)^{-\frac{1}{4}}}{X_m(X_m - x)^3} + \frac{(X_m^2 - x^2)^{-\frac{1}{4}}(X_n^2 - x^2)^{\frac{1}{4}}}{X_n(X_n - x)^3}\right) \\ &= C(J_1 + J_2 + J_3 + J_4) \leq C(J_1 + J_3). \end{aligned}$$

We first estimate the integral on $[0, X_m - X_m^{-\frac{1}{3}}]$.

Lemma 4.8 For $k \neq 0, 1 < \beta < 2$, if $X_n > 2X_m$, then

$$\left| \int_0^{X_m - X_m^{-\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C(|k|^{\frac{1}{4-2\beta}} \vee 1)}{m^{\frac{1}{8} - \frac{\mu}{4}} n^{\frac{1}{8} - \frac{\mu}{4}}},$$

where $m_0 < m \leq n$.

Proof First we estimate the main term of the integral

$$\int_0^{X_m - X_m^{-\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} \overline{\psi_1^{(m)}}(x) \psi_1^{(n)}(x) dx = C \int_0^{X_m - X_m^{-\frac{1}{3}}} \langle x \rangle^\mu e^{i(\zeta_m - \zeta_n + kx^\beta)} \Psi(x) dx,$$

by method of oscillating integral estimate, where $\Psi(x) = (X_m^2 - x^2)^{-\frac{1}{4}}(X_n^2 - x^2)^{-\frac{1}{4}} \cdot f_m(x) \overline{f_n(x)}$. We discuss two different cases.

Case 1: $k \leq \frac{X_n^{2-\beta}}{8}$. In this case, we have

$$g(x) \geq \sqrt{X_n^2 - x^2} - \sqrt{\frac{X_n^2}{4} - x^2} - \beta k X_n^{\beta-1} \geq \frac{X_n}{2} - 2 \cdot \frac{X_n^{2-\beta}}{8} X_n^{\beta-1} \geq \frac{X_n}{4}.$$

Thus, by Lemma 6.1,

$$\left| \int_0^{X_m - X_m^{-\frac{1}{3}}} e^{i\frac{\zeta_m - \zeta_n + kx^\beta}{X_n}} X_n \langle x \rangle^\mu \Psi(x) dx \right|$$

$$\begin{aligned} &\leq C X_n^{-1} \left(\left| \langle x \rangle^\mu \Psi \left(X_m - X_m^{-\frac{1}{3}} \right) \right| + \int_0^{X_m - X_m^{-\frac{1}{3}}} \left| \langle x \rangle^\mu \Psi \right)'(x) \right| dx \Big) \\ &\leq C X_n^{-1} \left(X_m^\mu \left| \Psi \left(X_m - X_m^{-\frac{1}{3}} \right) \right| + \int_0^{X_m - X_m^{-\frac{1}{3}}} \left(2 \langle x \rangle^\mu (J_1 + J_3) + \mu \langle x \rangle^{\mu-1} \frac{x}{\langle x \rangle} |\Psi(x)| \right) dx \right) \\ &\leq C X_n^{-1} X_m^\mu \left(\left| \Psi \left(X_m - X_m^{-\frac{1}{3}} \right) \right| + \int_0^{X_m - X_m^{-\frac{1}{3}}} (J_1 + J_3) dx \right) \\ &\quad + C \mu X_n^{-1} \int_0^{X_m - X_m^{-\frac{1}{3}}} \langle x \rangle^{\mu-1} |\Psi(x)| dx. \end{aligned}$$

Clearly,

$$\begin{aligned} X_m^\mu \left| \Psi \left(X_m - X_m^{-\frac{1}{3}} \right) \right| &\leq C X_m^\mu \left(X_m^2 - \left(X_m - X_m^{-\frac{1}{3}} \right)^2 \right)^{-\frac{1}{4}} \left(X_n^2 - \left(X_m - X_m^{-\frac{1}{3}} \right)^2 \right)^{-\frac{1}{4}} \\ &\leq C X_m^{-\frac{1}{3} + \mu}, \end{aligned}$$

and

$$\begin{aligned} \int_0^{X_m - X_m^{-\frac{1}{3}}} \mu \langle x \rangle^{\mu-1} |\Psi(x)| dx &\leq C \left(X_m^2 - \left(X_m - X_m^{-\frac{1}{3}} \right)^2 \right)^{-\frac{1}{4}} \\ &\quad \left(X_n^2 - \left(X_m - X_m^{-\frac{1}{3}} \right)^2 \right)^{-\frac{1}{4}} \int_0^{X_m} \mu x^{\mu-1} dx \\ &\leq C \left(X_m^2 - \left(X_m - X_m^{-\frac{1}{3}} \right)^2 \right)^{-\frac{1}{4}} \left(X_n^2 - \left(X_m - X_m^{-\frac{1}{3}} \right)^2 \right)^{-\frac{1}{4}} X_m^\mu \leq C X_m^{-\frac{1}{3} + \mu}, \end{aligned}$$

together with

$$\int_0^{X_m - X_m^{-\frac{1}{3}}} J_1 dx \leq C \int_0^{X_m - X_m^{-\frac{1}{3}}} x \left(X_m^2 - x^2 \right)^{-\frac{5}{4}} \left(X_m^2 - x^2 \right)^{-\frac{1}{4}} dx \leq C X_m^{-\frac{1}{3}},$$

and

$$\int_0^{X_m - X_m^{-\frac{1}{3}}} J_3 dx \leq C X_m^{-1} \int_0^{X_m - X_m^{-\frac{1}{3}}} \left(X_m - x \right)^{-3} dx \leq C X_m^{-\frac{1}{3}}.$$

So we obtain $\left| \int_0^{X_m - X_m^{-\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \leq C X_m^{-\frac{1}{3} + \mu} X_n^{-1}$. Now we turn to remained three terms. Since $m_0 < m \leq n$,

$$\begin{aligned} \left| \int_0^{X_m - X_m^{-\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} \psi_2^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| &\leq C \int_0^{X_m - X_m^{-\frac{1}{3}}} X_m^{-2 + \mu} \left(X_m^2 - x^2 \right)^{-\frac{1}{4}} \left(X_n^2 - x^2 \right)^{-\frac{1}{4}} dx \\ &\leq C X_m^{-\frac{3}{2} + \mu} X_n^{-\frac{1}{2}} \leq C n^{-\frac{1}{4} + \frac{\mu}{2}}. \end{aligned}$$

Similarly, when $m_0 < m \leq n$, we have

$$\left| \int_0^{X_m - X_m^{-\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_2^{(n)}(x)} dx \right| \leq Cn^{-1 + \frac{\mu}{2}}$$

and

$$\left| \int_0^{X_m - X_m^{-\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} \psi_2^{(m)}(x) \overline{\psi_2^{(n)}(x)} dx \right| \leq Cn^{-1 + \frac{\mu}{2}}.$$

Thus,

$$\left| \int_0^{X_m - X_m^{-\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{n^{\frac{1}{4} - \frac{\mu}{2}}} \leq \frac{C}{m^{\frac{1}{8} - \frac{\mu}{4}} n^{\frac{1}{8} - \frac{\mu}{4}}}, \quad m_0 < m \leq n.$$

Case 2: $k > \frac{X_n^{2-\beta}}{8} > 0$.

Since $m \leq n$, we have $2n \leq (8k)^{\frac{2}{2-\beta}} + 1$. It follows that

$$\left| \int_0^{X_m - X_m^{-\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq CX_m^\mu \leq CX_m^\mu \frac{m^{\frac{1}{8}} n^{\frac{1}{8}}}{m^{\frac{1}{8}} n^{\frac{1}{8}}} \leq \frac{Ck^{\frac{1}{4-2\beta}}}{m^{\frac{1}{8} - \frac{\mu}{4}} n^{\frac{1}{8} - \frac{\mu}{4}}}.$$

Combining with these two cases we finish the proof. □

Lemma 4.9 For $k \neq 0$, if $X_n > 2X_m$, then

$$\left| \int_0^{X_m - X_m^{\frac{2}{3}}} \langle x \rangle^\mu e^{ikx^2} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{\frac{1}{8} - \frac{\mu}{4}} n^{\frac{1}{8} - \frac{\mu}{4}}},$$

where $m_0 < m \leq n$.

Proof We first estimate the main part of the integral. By the oscillating integral estimate,

$$\int_0^{X_m - X_m^{\frac{2}{3}}} \langle x \rangle^\mu e^{ikx^2} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx = C \int_0^{X_m - X_m^{\frac{2}{3}}} \langle x \rangle^\mu e^{i(\zeta_m - \zeta_n + kx^2)} \Psi(x) dx,$$

where $\Psi(x) = (X_m^2 - x^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} \cdot f_m(x) \overline{f_n(x)}$. Since $g''(x) \geq g''(0) = \frac{1}{X_m} - \frac{1}{X_n} \geq \frac{1}{2} X_m^{-1}$, by Lemma 6.1,

$$\begin{aligned} & \left| \int_0^{X_m - X_m^{\frac{2}{3}}} e^{i \frac{\zeta_m - \zeta_n + kx^2}{X_n} X_n} \langle x \rangle^\mu \Psi(x) dx \right| \\ & \leq CX_m^{\frac{1}{3}} \left(\left| ((x)^\mu \Psi)(X_m - X_m^{\frac{2}{3}}) \right| + \int_0^{X_m - X_m^{\frac{2}{3}}} \left| ((x)^\mu \Psi)'(x) \right| dx \right) \end{aligned}$$

$$\begin{aligned} &\leq C X_m^{\frac{1}{3}} \left(X_m^\mu \left| \Psi(X_m - X_m^{\frac{2}{3}}) \right| + \int_0^{X_m - X_m^{\frac{2}{3}}} \left(2\langle x \rangle^\mu (J_1 + J_3) + \mu \langle x \rangle^{\mu-1} \frac{x}{\langle x \rangle} |\Psi(x)| \right) dx \right) \\ &\leq C X_m^{\frac{1}{3}} X_m^\mu \left(\left| \Psi(X_m - X_m^{\frac{2}{3}}) \right| + \int_0^{X_m - X_m^{\frac{2}{3}}} (J_1 + J_3) dx \right) \\ &\quad + C \mu X_m^{\frac{1}{3}} \int_0^{X_m - X_m^{\frac{2}{3}}} \langle x \rangle^{\mu-1} |\Psi(x)| dx. \end{aligned}$$

The estimate comes from three terms. Clearly, for $x \in [0, X_m - X_m^{\frac{2}{3}}]$ we have

$$\begin{aligned} |\Psi(x)| &\leq C(X_m^2 - x^2)^{-\frac{1}{4}}(X_n^2 - x^2)^{-\frac{1}{4}} \\ &\leq C(X_m X_n)^{-\frac{1}{4}}(X_m - x)^{-\frac{1}{4}}(X_n - x)^{-\frac{1}{4}} \leq C X_m^{-\frac{5}{12}} X_n^{-\frac{1}{2}}. \end{aligned}$$

It follows that

$$X_m^\mu \left| \Psi(X_m - X_m^{\frac{2}{3}}) \right| \leq C X_m^{\mu - \frac{5}{12}} X_n^{-\frac{1}{2}},$$

and

$$\int_0^{X_m - X_m^{\frac{2}{3}}} \mu \langle x \rangle^{\mu-1} |\Psi(x)| dx \leq C X_m^{-\frac{5}{12}} X_n^{-\frac{1}{2}} \int_0^{X_m} \langle x \rangle^{\mu-1} dx \leq C X_m^{\mu - \frac{5}{12}} X_n^{-\frac{1}{2}},$$

together with

$$\int_0^{X_m - X_m^{\frac{2}{3}}} J_1 dx \leq C \int_0^{X_m - X_m^{\frac{2}{3}}} x(X_m^2 - x^2)^{-\frac{5}{4}}(X_n^2 - x^2)^{-\frac{1}{4}} dx \leq C X_m^{-\frac{5}{12}} X_n^{-\frac{1}{2}},$$

and

$$\int_0^{X_m - X_m^{\frac{2}{3}}} J_3 dx \leq C X_m^{-\frac{3}{4}} X_n^{-\frac{1}{2}} \int_0^{X_m - X_m^{\frac{2}{3}}} (X_m - x)^{-\frac{1}{4}} dx \leq C X_m^{-\frac{5}{12}} X_n^{-\frac{1}{2}},$$

we obtain $\left| \int_0^{X_m - X_m^{\frac{2}{3}}} \langle x \rangle^\mu e^{ikx^2} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \leq C X_m^{-\frac{1}{12} + \mu} X_n^{-\frac{1}{2}} \leq C(X_m X_n)^{\frac{\mu}{2} - \frac{1}{4}}$. The estimate of rest parts of the integral is similar with Lemma 4.8. Thus,

$$\left| \int_0^{X_m - X_m^{\frac{2}{3}}} \langle x \rangle^\mu e^{ikx^2} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{\frac{1}{8} - \frac{\mu}{4}} n^{\frac{1}{8} - \frac{\mu}{4}}}, \quad m_0 < m \leq n.$$

□

Lemma 4.10 *If $X_n > 2X_m$ and $1 < \beta \leq 2$, then*

$$\left| \int_{X_m - X_m^{\frac{1}{3}}}^{X_m} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{\frac{3}{16} - \frac{3}{16}\nu_1 - \frac{\mu}{4}} n^{\frac{3}{16} - \frac{3}{16}\nu_1 - \frac{\mu}{4}}},$$

where $m_0 < m \leq n$ and

$$\nu_1 = \begin{cases} -1/3, & 1 < \beta < 2, \\ 2/3, & \beta = 2. \end{cases}$$

Proof First,

$$\begin{aligned} \left| \int_{X_m - X_m^{\nu_1}}^{X_m} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| &\leq C \int_{X_m - X_m^{\nu_1}}^{X_m} \langle x \rangle^\mu (X_m^2 - x^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} dx \\ &\leq C X_m^{-\frac{1}{4} + \mu} (X_n^2 - X_m^2)^{-\frac{1}{4}} \int_{X_m - X_m^{\nu_1}}^{X_m} (X_m - x)^{-\frac{1}{4}} dx \\ &\leq C X_m^{-\frac{1}{4} + \mu} (X_n^2 - \frac{X_m^2}{4})^{-\frac{1}{4}} X_m^{\frac{3}{4} \nu_1} \leq C X_m^{-\frac{3}{8} + \frac{3}{8} \nu_1 + \frac{\mu}{2}} X_n^{-\frac{3}{8} + \frac{3}{8} \nu_1 + \frac{\mu}{2}}. \end{aligned}$$

Similarly,

$$\left| \int_{X_m - X_m^{\nu_1}}^{X_m} \langle x \rangle^\mu e^{ikx^\beta} \psi_{j_1}^{(m)}(x) \overline{\psi_{j_2}^{(n)}(x)} dx \right| \leq C X_m^{-\frac{3}{8} + \frac{3}{8} \nu_1 + \frac{\mu}{2}} X_n^{-\frac{3}{8} + \frac{3}{8} \nu_1 + \frac{\mu}{2}}, \quad j_1, j_2 \in \{1, 2\}.$$

Thus we finish the proof. □

Lemma 4.11 When $X_n > 2X_m$ and $1 < \beta \leq 2$,

$$\left| \int_{X_m}^{X_n} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{\frac{1}{8} - \frac{\mu}{4}} n^{\frac{1}{12} - \frac{\mu}{4}}},$$

where $m_0 < m \leq n$.

Proof When $X_n > 2X_m$, $X_m + X_m^{-\frac{1}{3}} \leq \frac{X_n}{2} + 1 \leq \frac{3}{4}X_n$. It follows

$$\begin{aligned} &\left| \int_{X_m}^{X_m + X_m^{-\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \\ &\leq C X_m^\mu \int_{X_m}^{X_m + X_m^{-\frac{1}{3}}} (x^2 - X_m^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} dx \\ &\leq C X_m^{-\frac{1}{4} + \mu} \left(X_n^2 - (X_m + X_m^{-\frac{1}{3}})^2 \right)^{-\frac{1}{4}} \int_{X_m}^{X_m + X_m^{-\frac{1}{3}}} (x - X_m)^{-\frac{1}{4}} dx \\ &\leq C X_m^{-\frac{1}{2} + \frac{\mu}{2}} X_n^{-\frac{1}{2} + \frac{\mu}{2}}. \end{aligned}$$

From $X_n > 2X_m$, we have $X_n - X_n^{-\frac{1}{3}} \geq \frac{3}{2}X_m$, together with Lemma 5.5 in [25], thus

$$\begin{aligned} &\left| \int_{X_m + X_m^{-\frac{1}{3}}}^{\frac{3}{2}X_m} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \\ &\leq C X_m^\mu \int_{X_m + X_m^{-\frac{1}{3}}}^{\frac{3}{2}X_m} (x^2 - X_m^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} e^{i\zeta_m} dx \\ &\leq C X_m^{-\frac{1}{4} + \mu} \left(X_n^2 - (X_n - X_n^{-\frac{1}{3}})^2 \right)^{-\frac{1}{4}} \int_{X_m + X_m^{-\frac{1}{3}}}^{\frac{3}{2}X_m} (x - X_m)^{-\frac{1}{4}} e^{-(x - X_m)} dx \end{aligned}$$

$$\leq C X_m^{-\frac{1}{4}+\mu} X_n^{-\frac{1}{6}} \int_0^\infty t^{-\frac{1}{4}} e^{-t} dt \leq C X_m^{-\frac{1}{4}+\frac{\mu}{2}} X_n^{-\frac{1}{6}+\frac{\mu}{2}}.$$

When $x \geq \frac{3}{2} X_m$, $x - X_m \geq \frac{1}{3} x$, it follows

$$\begin{aligned} & \left| \int_{\frac{3}{2} X_m}^{X_n - X_n^{-\frac{1}{3}}} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \\ & \leq C \int_{\frac{3}{2} X_m}^{X_n - X_n^{-\frac{1}{3}}} \langle x \rangle^\mu (x^2 - X_m^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} e^{i\zeta_m} dx \\ & \leq C X_m^{-\frac{1}{4}} \left(X_n^2 - (X_n - X_n^{-\frac{1}{3}})^2 \right)^{-\frac{1}{4}} \int_{\frac{3}{2} X_m}^{X_n - X_n^{-\frac{1}{3}}} (x - X_m)^{-\frac{1}{4}+\mu} e^{-(x-X_m)} dx \\ & \leq C X_m^{-\frac{1}{4}} X_n^{-\frac{1}{6}} \int_0^\infty t^{-\frac{1}{4}+\mu} e^{-t} dt \leq C X_m^{-\frac{1}{4}} X_n^{-\frac{1}{6}}. \end{aligned}$$

Thus,

$$\begin{aligned} & \left| \int_{X_n - X_n^{-\frac{1}{3}}}^{X_n} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \\ & \leq C \int_{X_n - X_n^{-\frac{1}{3}}}^{X_n} x^\mu (x^2 - X_m^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} e^{i\zeta_m} dx \\ & \leq C \left((X_n - X_n^{-\frac{1}{3}})^2 - X_m^2 \right)^{-\frac{1}{4}} X_n^{-\frac{1}{4}} \int_{X_n - X_n^{-\frac{1}{3}}}^{X_n} (X_n - x)^{-\frac{1}{4}} x^\mu e^{-\frac{1}{3}x} dx \\ & \leq C X_m^{-\frac{1}{2}} X_n^{-\frac{1}{4}} \int_{X_n - X_n^{-\frac{1}{3}}}^{X_n} (X_n - x)^{-\frac{1}{4}} dx \\ & \leq C X_m^{-\frac{1}{2}} X_n^{-\frac{1}{2}} \leq C (X_m X_n)^{-\frac{1}{2}+\frac{\mu}{2}}. \end{aligned}$$

Combining with all the above, we have $\left| \int_{X_m}^{X_n} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \leq \frac{C}{m^{\frac{1}{8}} n^{\frac{\mu}{4}} n^{\frac{1}{12}} n^{\frac{\mu}{4}}}$.
 The rest estimates are similar as above. □

Combining with Lemmas 4.8, 4.9, 4.10, 4.11, we finish the proof of Lemma 4.6.

4.2.3 The Integral Estimate on $[0, X_n]$ When $X_m \leq X_n \leq 2X_m$

One can split the integral into

$$\int_0^{X_n} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx = \left(\int_0^{X_m^{\frac{2}{3}}} + \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{v_2}} + \int_{X_m - X_m^{v_2}}^{X_n} \right) \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx,$$

and estimate them respectively, where

$$v_2 = \begin{cases} 1 - \frac{\beta}{3}, & 1 < \beta < 2, \\ \frac{5}{9}, & \beta = 2. \end{cases}$$

Our main aim in this part is to build the following two lemmas.

Lemma 4.12 For $X_m \leq X_n \leq 2X_m$ and $k \neq 0$,

$$\left| \int_0^{X_n} \langle x \rangle^\mu e^{ikx^2} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C(|k|^{-1} \vee 1)}{m^{\frac{1}{18} - \frac{\mu}{4}} n^{\frac{1}{18} - \frac{\mu}{4}}},$$

where $C > 0$, $m_0 < m \leq n$.

Lemma 4.13 For $X_m \leq X_n \leq 2X_m$, $k \neq 0$ and $1 < \beta < 2$,

$$\left| \int_0^{X_n} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C(|k|^{-1} \vee |\beta(\beta - 1)(\beta - 2)k|^{-\frac{1}{3}} \vee 1)}{m^{\frac{\beta}{24} - \frac{\mu}{4}} n^{\frac{\beta}{24} - \frac{\mu}{4}}},$$

where $C > 0$, $m_0 < m \leq n$.

From a straightforward computation we have

Lemma 4.14 For $X_m \leq X_n \leq 2X_m$ and $1 < \beta \leq 2$,

$$\left| \int_0^{X_m^{\frac{2}{3}}} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{\frac{7}{12} - \frac{\mu}{4}} n^{\frac{1}{12} - \frac{\mu}{4}}},$$

where $C > 0$, $m_0 < m \leq n$.

Next we estimate the integral on $[X_m^{\frac{2}{3}}, X_m - X_m^{\frac{5}{9}}]$, for which we discuss different cases as the following.

Lemma 4.15 If $X_m \leq X_n \leq 2X_m$, when $k > 0$ and $0 \leq X_n^2 - X_m^2 \leq kX_m^{\frac{4}{3}}$, then

$$\left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^\mu e^{ikx^2} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{Ck^{-\frac{1}{2}}}{m^{\frac{7}{36} - \frac{\mu}{4}} n^{\frac{7}{36} - \frac{\mu}{4}}},$$

where $m_0 < m \leq n$.

Proof We first estimate

$$\left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^\mu e^{ikx^2} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| = \left| C \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^\mu e^{i(\zeta_m - \zeta_n + kx^2)} \Psi(x) dx \right|.$$

Notice that

$$\begin{aligned} g'(x) &\leq \frac{kX_m^{\frac{4}{3}}X_m}{\sqrt{2X_m^{\frac{14}{9}} - X_m^{\frac{10}{9}}}\sqrt{2X_m^{\frac{14}{9}} - X_m^{\frac{10}{9}}}(\sqrt{2X_m^{\frac{14}{9}} - X_m^{\frac{10}{9}}} + \sqrt{2X_m^{\frac{14}{9}} - X_m^{\frac{10}{9}}})} - 2k \\ &\leq \frac{kX_m^{\frac{7}{3}}}{2X_m^{\frac{7}{3}}} - 2k = -\frac{3}{2}k, \end{aligned}$$

and by straightforward computation, $g''(x) \geq 0$. It follows $|g'(x)| \geq \frac{3}{2}k$ on $x \in [X_m^{\frac{2}{3}}, X_m - X_m^{\frac{5}{9}}]$. By Lemma 6.1,

$$\left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^\mu e^{i\frac{2}{3k}(\zeta_m - \zeta_n + kx^2) \cdot \frac{3k}{2}} (X_m^2 - x^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} \cdot f_m(x) \overline{f_n(x)} dx \right|$$

$$\begin{aligned} &\leq Ck^{-\frac{1}{2}} \left[\left| \langle x \rangle^\mu \Psi(X_m - X_m^{\frac{5}{9}}) \right| + \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} | \langle x \rangle^\mu \Psi'(x) | dx \right] \\ &\leq Ck^{-\frac{1}{2}} \left[X_m^\mu \left| \Psi(X_m - X_m^{\frac{5}{9}}) \right| + \langle X_m \rangle^\mu \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} |\Psi'(x)| dx \right. \\ &\quad \left. + \mu \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^{\mu-1} |\Psi(x)| dx \right]. \end{aligned}$$

We estimate the above terms one by one. Clearly,

$$\left| \Psi(X_m - X_m^{\frac{5}{9}}) \right| \leq C \left(X_m^2 - (X_m - X_m^{\frac{5}{9}})^2 \right)^{-\frac{1}{4}} \left(X_n^2 - (X_m - X_m^{\frac{5}{9}})^2 \right)^{-\frac{1}{4}} \leq CX_m^{-\frac{7}{9}},$$

and $\int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^{\mu-1} |\Psi(x)| dx \leq CX_m^{-\frac{7}{9} + \mu}$. Besides, we have $|\Psi'(x)| \leq C(J_1 + J_3)$ and

$$\int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} J_1 dx \leq C \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} x(X_m^2 - x^2)^{-\frac{5}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} dx \leq CX_m^{-\frac{7}{9}},$$

also, $\int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} J_3 dx \leq CX_m^{-\frac{7}{9}}$. Combining with all the estimates, we obtain

$$\left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^\mu e^{ikx^2} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \leq Ck^{-\frac{1}{2}} X_m^{-\frac{7}{9} + \mu}.$$

The estimates for the rest three terms are easier. In fact, by $m > m_0$,

$$\begin{aligned} \left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^\mu e^{ikx^2} \psi_2^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| &\leq CX_m^{-2 + \mu} \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} (X_m^2 - x^2)^{-\frac{1}{2}} dx \\ &\leq CX_m^{-\frac{5}{2} + \mu} \int_0^{X_m - X_m^{\frac{5}{9}}} (X_m - x)^{-\frac{1}{2}} dx \leq CX_m^{-\frac{7}{9} + \mu}. \end{aligned}$$

The estimates for the other two are similar. Thus,

$$\left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^\mu e^{ikx^2} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{Ck^{-\frac{1}{2}}}{m^{\frac{7}{36} - \frac{\mu}{4}} n^{\frac{7}{36} - \frac{\mu}{4}}}.$$

□

Lemma 4.16 For $k > 0$, $X_m \leq X_n \leq 2X_m$, if $kX_m^{\frac{4}{3}} \leq X_n^2 - X_m^2$, then

$$\left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^\mu e^{ikx^2} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{Ck^{-\frac{1}{3}}}{m^{\frac{1}{18} - \frac{\mu}{4}} n^{\frac{1}{18} - \frac{\mu}{4}}},$$

where $m_0 < m \leq n$.

Proof For $kX_m^{\frac{4}{3}} \leq X_n^2 - X_m^2$, straightforward computation shows that $g'''(x) \geq 0$. So

$$g''(x) \geq g''(0) = \frac{1}{X_m} - \frac{1}{X_n} = \frac{X_n^2 - X_m^2}{X_m X_n (X_m + X_n)} \geq \frac{kX_m^{\frac{4}{3}}}{3X_m X_n X_n} \geq \frac{k}{6} X_m^{-\frac{5}{3}}.$$

Then by Lemma 6.1,

$$\begin{aligned} & \left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^\mu e^{i \frac{\zeta_m - \zeta_n + kx^2}{kX_m^{\frac{5}{3}}} kX_m^{-\frac{5}{3}}} (X_n^2 - x^2)^{-\frac{1}{4}} (X_m^2 - x^2)^{-\frac{1}{4}} f_m(x) \overline{f_n(x)} dx \right| \\ & \leq Ck^{-\frac{1}{3}} X_m^{\frac{5}{9}} \left[\left| \langle (x)^\mu \Psi \rangle (X_m - X_m^{\frac{5}{9}}) \right| + \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} | \langle (x)^\mu \Psi \rangle'(x) | dx \right] \\ & \leq Ck^{-\frac{1}{3}} X_m^{\frac{5}{9}} \left[X_m^\mu \left| \Psi(X_m - X_m^{\frac{5}{9}}) \right| + X_m^\mu \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} | \Psi'(x) | dx \right. \\ & \quad \left. + \mu \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{5}{9}}} \langle x \rangle^{\mu-1} | \Psi(x) | dx \right]. \end{aligned}$$

The rest part of the proof is similar with Lemma 4.15. □

Lemma 4.17 For $\forall k < 0, X_m \leq X_n \leq 2X_m$, we have

$$\left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{v_2}{2}}} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C(|k|^{-1} \vee 1)}{m^{\frac{1}{6}\beta - \frac{1}{24} + \frac{v_2}{8} - \frac{\mu}{4}} n^{\frac{1}{6}\beta - \frac{1}{24} + \frac{v_2}{8} - \frac{\mu}{4}}},$$

where $m_0 < m \leq n$.

Proof We first estimate

$$\left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{v_2}{2}}} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| = \left| C \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{v_2}{2}}} \langle x \rangle^\mu e^{i(\zeta_m - \zeta_n + kx^\beta)} \Psi(x) dx \right|.$$

Notice that $g(x) = \sqrt{X_n^2 - x^2} - \sqrt{X_m^2 - x^2} - \beta kx^{\beta-1} \geq -kX_m^{\frac{2}{3}(\beta-1)}$ and $g'(x) > 0$, then by Lemma 6.1,

$$\begin{aligned} & \left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{v_2}{2}}} \langle x \rangle^\mu e^{i \frac{\zeta_m - \zeta_n + kx^\beta}{|k|X_m^{\frac{2}{3}(\beta-1)}} |k|X_m^{\frac{2}{3}(\beta-1)}} (X_m^2 - x^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} f_m(x) \overline{f_n(x)} dx \right| \\ & \leq \frac{C}{|k|} X_m^{-\frac{2}{3}(\beta-1)} \left[\left| \langle (x)^\mu \Psi \rangle (X_m - X_m^{\frac{v_2}{2}}) \right| + \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{v_2}{2}}} | \langle (x)^\mu \Psi \rangle'(x) | dx \right] \\ & \leq C|k|^{-1} X_m^{-\frac{2}{3}(\beta-1)} \left[X_m^\mu \left| \Psi(X_m - X_m^{\frac{v_2}{2}}) \right| + X_m^\mu \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{v_2}{2}}} | \Psi'(x) | dx \right. \\ & \quad \left. + \mu \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\frac{v_2}{2}}} \langle x \rangle^{\mu-1} | \Psi(x) | dx \right]. \end{aligned}$$

The rest part of the proof is similar with Lemma 4.15. □

Finally, we have

Lemma 4.18 For $k > 0, 1 < \beta < 2, X_m \leq X_n \leq 2X_m$, we have

$$\left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\nu_2}} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C|\beta(\beta - 1)(\beta - 2)k|^{-\frac{1}{3}}}{m^{\frac{\beta}{24} - \frac{\mu}{4}} n^{\frac{\beta}{24} - \frac{\mu}{4}}},$$

where $m_0 < m \leq n$.

Proof First we estimate

$$\left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\nu_2}} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| = \left| C \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\nu_2}} \langle x \rangle^\mu e^{i(\zeta_m - \zeta_n + kx^\beta)} \Psi(x) dx \right|.$$

Since

$$g''(x) \geq -\beta(\beta - 1)(\beta - 2)kx^{\beta-3} \geq -\beta(\beta - 1)(\beta - 2)kX_m^{\beta-3},$$

then by Lemma 6.1,

$$\begin{aligned} & \left| \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\nu_2}} \langle x \rangle^\mu e^{i(\zeta_m - \zeta_n + kx^\beta)} (X_m^2 - x^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} \cdot f_m(x) \overline{f_n(x)} dx \right| \\ & \leq C|\beta(\beta - 1)(\beta - 2)k|^{-\frac{1}{3}} X_m^{1 - \frac{\beta}{3}} \left[|(\langle x \rangle^\mu \Psi)(X_m - X_m^{\nu_2})| + \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\nu_2}} |(\langle x \rangle^\mu \Psi)'(x)| dx \right] \\ & \leq C|\beta(\beta - 1)(\beta - 2)k|^{-\frac{1}{3}} X_m^{1 - \frac{\beta}{3}} \left[X_m^\mu |\Psi(X_m - X_m^{\nu_2})| + X_m^\mu \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\nu_2}} |\Psi'(x)| dx \right. \\ & \quad \left. + \mu \int_{X_m^{\frac{2}{3}}}^{X_m - X_m^{\nu_2}} \langle x \rangle^{\mu-1} |\Psi(x)| dx \right]. \end{aligned}$$

The rest part of the proof is similar with Lemma 4.15. □

For the last part of the integral, we have

Lemma 4.19 For $\forall k \neq 0, X_m \leq X_n \leq 2X_m, 1 < \beta < 2$,

$$\left| \int_{X_m - X_m^{\nu_2}}^{X_m} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{\frac{1}{8} - \frac{\mu}{4} - \frac{\nu_2}{8}} n^{\frac{1}{8} - \frac{\mu}{4} - \frac{\nu_2}{8}}}.$$

Here $m_0 < m \leq n$.

Proof First,

$$\begin{aligned} \left| \int_{X_m - X_m^{\nu_2}}^{X_m} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| & \leq C \int_{X_m - X_m^{\nu_2}}^{X_m} \langle x \rangle^\mu (X_m^2 - x^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} dx \\ & \leq CX_m^\mu \int_{X_m - X_m^{\nu_2}}^{X_m} (X_m^2 - x^2)^{-\frac{1}{2}} dx \\ & \leq CX_m^{-\frac{1}{2} + \mu} \int_{X_m - X_m^{\nu_2}}^{X_m} (X_m - x)^{-\frac{1}{2}} dx \\ & \leq CX_m^{-\frac{1}{2} + \mu} X_m^{\frac{\nu_2}{2}} \leq \frac{C}{m^{\frac{1}{8} - \frac{\mu}{4} - \frac{\nu_2}{8}} n^{\frac{1}{8} - \frac{\mu}{4} - \frac{\nu_2}{8}}}. \end{aligned}$$

It follows $\left| \int_{X_m - X_m^{\nu_2}}^{X_m} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{\frac{1}{8} - \frac{\mu}{4} - \frac{\nu_2}{8}} n^{\frac{1}{8} - \frac{\mu}{4} - \frac{\nu_2}{8}}}$. □

Lemma 4.20 For $k \neq 0$, $X_m \leq X_n \leq 2X_m$ and $1 < \beta \leq 2$, we have

$$\left| \int_{X_m}^{X_n} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{-\frac{\nu_2}{16} + \frac{1}{8}} - \frac{\mu}{4} n^{-\frac{\nu_2}{16} + \frac{1}{8}} - \frac{\mu}{4}}.$$

Proof For the integral on $[X_m, X_n]$, we discuss two different cases:

Case 1. $X_n - X_n^{-\nu_2} \geq X_m + X_m^{-\nu_2}$. We split the integral into three parts. First,

$$\begin{aligned} & \left| \int_{X_m}^{X_m + X_m^{-\nu_2}} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \\ & \leq \int_{X_m}^{X_m + X_m^{-\nu_2}} \langle x \rangle^\mu (x^2 - X_m^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} dx \\ & \leq C X_m^\mu X_m^{-\frac{1}{4}} X_n^{-\frac{1}{4}} (X_n - X_m - X_m^{-\nu_2})^{-\frac{1}{4}} \int_{X_m}^{X_m + X_m^{-\nu_2}} (x - X_m)^{-\frac{1}{4}} dx \\ & \leq C X_m^\mu X_m^{-\frac{1}{4}} X_n^{-\frac{1}{4}} X_n^{\frac{\nu_2}{4}} X_m^{-\frac{3}{4}\nu_2} \leq C n^{-\frac{1}{8} - \frac{\nu_2}{8} + \frac{\mu}{4}} m^{-\frac{1}{8} - \frac{\nu_2}{8} + \frac{\mu}{4}}. \end{aligned}$$

When $x \geq X_m + X_m^{-\nu_2}$, we have $i\zeta_m \leq -(x - X_m)$. It follows

$$\begin{aligned} & \left| \int_{X_m + X_m^{-\nu_2}}^{X_n - X_n^{-\nu_2}} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \\ & \leq C \int_{X_m + X_m^{-\nu_2}}^{X_n - X_n^{-\nu_2}} \langle x \rangle^\mu (x^2 - X_m^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} e^{i\zeta_m} dx \\ & \leq C (2X_m)^\mu X_m^{-\frac{1}{4}} (X_n^2 - (X_n - X_n^{-\nu_2})^2)^{-\frac{1}{4}} \int_{X_m + X_m^{-\nu_2}}^{X_n - X_n^{-\nu_2}} (x - X_m)^{-\frac{1}{4}} e^{i\zeta_m} dx \\ & \leq C (2X_m)^\mu X_m^{-\frac{1}{4}} X_n^{\frac{\nu_2 - 1}{4}} \int_0^\infty t^{-\frac{1}{4}} e^{-t} dt \leq C n^{-\frac{1}{8} + \frac{\nu_2}{16} + \frac{\mu}{4}} m^{-\frac{1}{8} + \frac{\nu_2}{16} + \frac{\mu}{4}}. \end{aligned}$$

Finally, from

$$\begin{aligned} & \left| \int_{X_n - X_n^{-\nu_2}}^{X_n} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right| \\ & \leq \int_{X_n - X_n^{-\nu_2}}^{X_n} \langle x \rangle^\mu (x^2 - X_m^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} dx \\ & \leq C (2X_m)^\mu ((X_n - X_n^{-\nu_2})^2 - X_m^2)^{-\frac{1}{4}} X_n^{-\frac{1}{4}} \int_{X_n - X_n^{-\nu_2}}^{X_n} (X_n - x)^{-\frac{1}{4}} dx \\ & \leq C n^{-\frac{1}{8} - \frac{\nu_2}{8} + \frac{\mu}{4}} m^{-\frac{1}{8} - \frac{\nu_2}{8} + \frac{\mu}{4}}, \end{aligned}$$

it follows $\left| \int_{X_m}^{X_n} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{(nm)^{\frac{1}{8} - \frac{\mu}{4} - \frac{\nu_2}{16}}}.$

Case 2. $X_n - X_n^{-\nu_2} < X_m + X_m^{-\nu_2}$. In fact, notice that the function $(x - X_m)^{-\frac{1}{4}} (X_n - x)^{-\frac{1}{4}}$ is symmetric on $[X_m, X_n]$, we obtain

$$\left| \int_{X_m}^{X_n} \langle x \rangle^\mu e^{ikx^\beta} \psi_1^{(m)}(x) \overline{\psi_1^{(n)}(x)} dx \right|$$

$$\begin{aligned} &\leq \int_{X_m}^{X_n} \langle x \rangle^\mu (x^2 - X_m^2)^{-\frac{1}{4}} (X_n^2 - x^2)^{-\frac{1}{4}} dx \\ &\leq C(2X_m^\mu) X_m^{-\frac{1}{4}} X_n^{-\frac{1}{4}} \int_{X_m}^{X_n} (x - X_m)^{-\frac{1}{4}} (X_n - x)^{-\frac{1}{4}} dx \\ &\leq C(2X_m^\mu) X_m^{-\frac{1}{4}} X_n^{-\frac{1}{4}} \int_{X_m}^{X_n} (x - X_m)^{-\frac{1}{2}} dx \\ &\leq C X_m^\mu X_m^{-\frac{1}{4}} X_n^{-\frac{1}{4}} (X_n - X_m)^{\frac{1}{2}} \leq (nm)^{\frac{1}{4}} \mu^{-\frac{1}{8}} - \frac{1}{4} \nu_2. \end{aligned}$$

Thus, $\left| \int_{X_m}^{X_n} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{m^{\frac{1}{8} + \frac{\nu_2}{8}} - \frac{\mu}{4} n^{\frac{1}{8} + \frac{\nu_2}{8}} - \frac{\mu}{4}}$. Combining with the above two cases, we finish the proof. □

Lemmas 4.12 and 4.13 follow directly by the lemmas in Sect. 4.2.3. Combining with all the lemmas in this section we finish the proof of Lemma 1.2 for $1 < \beta \leq 2$.

5 Proof of Lemma 1.2 When $\beta > 2$

In the following we will suppose that $m \leq n$ without losing the generality. As the case $1 < \beta \leq 2$ we only need to estimate the integral on $[0, \infty]$. We first apply Theorem 3.1 in [24] to obtain the integral estimates on $[2X_m, \infty)$ as follows.

Lemma 5.1 *For $\mu \geq 0$, then we have*

$$\left| \int_{2X_m}^\infty \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{(mn)^{\frac{1}{4}} \left(\frac{1}{3} - \mu\right)}.$$

Proof By Theorem 3.1 in [24] we have

$$\begin{aligned} &\left| \int_{2X_m}^\infty \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \\ &\leq C \int_{2X_m}^\infty \langle x \rangle^{-1} \langle x \rangle^{\mu+1} |h_m(x)| |h_n(x)| dx \\ &\leq C \|\langle x \rangle^{-1}\|_{L^2(\mathbb{R})}^{\frac{1}{2}} \cdot \|\langle x \rangle^{\mu+1} h_m(x)\|_{L^2(\{x: |x| \geq 2X_m\})}^{\frac{1}{2}} \cdot \|h_n\|_{L^\infty(\mathbb{R})} \\ &\leq C X_m^{-\frac{1}{6}} X_n^{-\frac{1}{6}} \leq C(mn)^{\frac{1}{4}} \left(\mu - \frac{1}{3}\right). \end{aligned}$$

□

Next we consider the integral on $[0, 2X_m]$. Define $\nu_3 = \frac{5\beta - 4}{2(\beta - 1)(2\beta - 1)} \in (0, 1)$ when $\beta > 2$. In the following we will discuss two different cases depending on whether $X_n^{\nu_3} \geq 2X_m$ or not.

The Case $X_n^{\nu_3} \geq 2X_m$ In this case we directly estimate the rest integral on $[0, 2X_m]$.

Lemma 5.2 *For $X_n^{\nu_3} \geq 2X_m$ and $\beta > 2$, then*

$$\left| \int_0^{2X_m} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{(mn)^{\frac{1}{4}} \left(\frac{\beta - 2}{4\beta - 2} - \mu\right)}.$$

Proof From Lemma 6.2,

$$\begin{aligned} & \left| \int_0^{2X_m} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \\ & \leq \int_0^{2X_m} \langle x \rangle^\mu |h_m(x)| |h_n(x)| dx \leq CX_m^\mu \int_0^{X_n^{\nu_3}} |h_m(x)| |h_n(x)| dx \\ & \leq CX_m^\mu \|h_m(x)\|_{L^2} \|h_n(x)\|_{L^p} \left(\int_0^{X_n^{\nu_3}} dx \right)^{\frac{1}{q}} \\ & \leq CX_m^\mu X_n^{-\left(\frac{1}{2}-\frac{1}{p}\right)} X_n^{\frac{\nu_3}{q}} \leq C(mn)^{\frac{1}{4}} \left(\mu - \frac{\beta-2}{4\beta-2}\right), \end{aligned}$$

where $\frac{1}{p} + \frac{1}{q} = \frac{1}{2}$, $q = \frac{4\beta-3}{\beta-1} > 4$. □

The Case $X_n^{\nu_3} < 2X_m$ In this case we split the rest integral into two parts as follows.

Lemma 5.3 For $k \neq 0$, $X_n^{\nu_3} < 2X_m$ and $\beta > 2$, then

$$\left| \int_{X_n^{\nu_3}}^{2X_m} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C(|k\beta|^{-1} \vee 1)}{(mn)^{\frac{1}{4}} \left(\frac{\beta-2}{4\beta-2} - \mu\right)}.$$

Proof Denote $\psi(x) = \langle x \rangle^\mu h_m(x) h_n(x)$, $\phi(x) = x^\beta$. Notice that when $x \in [X_n^{\nu_3}, 2X_m]$, $|\phi'(x)| \geq \beta X_n^{\nu_3(\beta-1)}$. So by Lemma 6.1,

$$\left| \int_{X_n^{\nu_3}}^{2X_m} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq C|k\beta|^{-1} X_n^{-\nu_3(\beta-1)} \left[|\psi(2X_m)| + \int_{X_n^{\nu_3}}^{2X_m} |\psi'(x)| dx \right],$$

where $|\psi(2X_m)| \leq CX_m^\mu$, and $|\int_{X_n^{\nu_3}}^{2X_m} \langle x \rangle^{\mu-1} h_m(x) \overline{h_n(x)} dx| \leq CX_m^{\mu-1}$. By Lemma 6.2, $\|h'_m(x)\|_{L^2} \leq CX_m$. Thus,

$$\begin{aligned} & \left| \int_{X_n^{\nu_3}}^{2X_m} \langle x \rangle^\mu h'_m(x) \overline{h_n(x)} dx \right| \leq CX_m^\mu X_m = CX_m^{\mu+1}, \\ & \left| \int_{X_n^{\nu_3}}^{2X_m} \langle x \rangle^\mu h_m(x) \overline{h'_n(x)} dx \right| \leq CX_m^\mu X_n \leq CX_m^\mu X_n. \end{aligned}$$

Combining with all the conclusions we have

$$\begin{aligned} & \left| \int_{X_n^{\nu_3}}^{2X_m} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq C|k\beta|^{-1} X_n^{-\nu_3(\beta-1)+1} X_m^\mu \leq C|k\beta|^{-1} (X_m X_n)^{\frac{\mu}{2}} X_n^{-\frac{\beta-2}{4\beta-2}} \\ & \leq C|k\beta|^{-1} (mn)^{\frac{1}{4}} \left(\mu - \frac{\beta-2}{4\beta-2}\right). \end{aligned}$$
□

Lemma 5.4 For $X_n^{\nu_3} < 2X_m$ and $\beta > 2$, then

$$\left| \int_0^{X_n^{\nu_3}} \langle x \rangle^\mu e^{ikx^\beta} h_m(x) \overline{h_n(x)} dx \right| \leq \frac{C}{(mn)^{\frac{1}{4}} \left(\frac{\beta-2}{4\beta-2} - \mu\right)}.$$

Proof The proof is similar as Lemma 5.2, we omit it. □

Hence, combining the above four Lemmas we obtain Lemma 1.2 when $\beta > 2$.

Acknowledgements The authors were partially supported by Natural Science Foundation of Shanghai (19ZR1402400) and NSFC Grant (12071083).

6 Appendix

In the following we will introduce two technical lemmas without proof. The first lemma provides an estimate of oscillatory integral. For more details, see [37].

Lemma 6.1 [37] *Suppose ϕ is real-valued and smooth in (A, B) , ψ is complex-valued, and that $|\phi^{(k)}(x)| \geq 1$ for all $x \in (A, B)$. Then*

$$\left| \int_A^B e^{i\phi(x)} \psi(x) dx \right| \leq c_k \lambda^{-1/k} \left[|\psi(B)| + \int_A^B |\psi'(x)| dx \right]$$

holds when:

- (i) $k \geq 2$, or
- (ii) $k = 1$ and $\phi'(x)$ is monotonic. The bound c_k is independent of ϕ, ψ and λ .

The second lemma shows that the L^p -norm of the eigenfunction of harmonic oscillating operator can be controlled by its L^2 -norm.

Lemma 6.2 [24] *Suppose that $h(x)$ is the eigenfunction of Herimite operator with the corresponding eigenvalue μ^2 . Then*

$$\|h\|_{L^p} \leq \mu^{\rho(p)} \|h\|_{L^2},$$

where

$$\rho(p) = \begin{cases} -(\frac{1}{2} - \frac{1}{p}), & 2 \leq p < 4, \\ -\frac{1}{3} + \frac{1}{3}(\frac{1}{2} - \frac{1}{p}), & 4 < p \leq \infty. \end{cases}$$

References

1. Bambusi, D.: Reducibility of 1-d Schrödinger equation with time quasiperiodic unbounded perturbations. II. Commun. Math. Phys. **353**, 353–378 (2017)
2. Bambusi, D.: Reducibility of 1-d Schrödinger equation with time quasiperiodic unbounded perturbations. I. Trans. Am. Math. Soc. **370**, 1823–1865 (2018)
3. Bambusi, D., Langella, D., Montalto, R.: Reducibility of non-resonant transport equation on with unbounded perturbations. Ann. Henri Poincaré **20**, 1893–1929 (2019)
4. Bambusi, D., Langella, D., Montalto, R.: Growth of Sobolev norms for unbounded perturbations of the Laplacian on flat tori. [arXiv:2012.02654](https://arxiv.org/abs/2012.02654)
5. Bambusi, D., Graffi, S.: Time quasi-periodic unbounded perturbations of Schrödinger operators and KAM methods. Commun. Math. Phys. **219**, 465–480 (2001)
6. Bambusi, D., Grébert, B., Maspero, A., Robert, D.: Growth of Sobolev norms for abstract linear Schrödinger equations. J. Eur. Math. Soc. **23**, 557–583 (2021)
7. Bambusi, D., Grébert, B., Maspero, A., Robert, D.: Reducibility of the quantum harmonic oscillator in d -dimensions with polynomial time-dependent perturbation. Anal. PDE **11**, 775–799 (2018)
8. Berti, M., Maspero, A.: Long time dynamics of Schrödinger and wave equations on flat tori. J. Differ. Equ. **267**(2), 1167–1200 (2019)
9. Bourgain, J.: Growth of Sobolev norms in linear Schrödinger equations with smooth time dependent potentials. J. Anal. Math. **77**, 315–348 (1999)
10. Bourgain, J.: Growth of Sobolev norms in linear Schrödinger equations with quasi-periodic potential. Commun. Math. Phys. **204**(1), 207–247 (1999)

11. Combescur, M.: The quantum stability problem for time-periodic perturbations of the harmonic oscillator. *Ann. Inst. H. Poincaré Phys. Théor.* **47**(1), 63–83 (1987); Erratum: *Ann. Inst. H. Poincaré Phys. Théor.* **47**(4), 451–454 (1987)
12. Delort, J.-M.: Growth of Sobolev norms for solutions of time dependent Schrödinger operators with harmonic oscillator potential. *Commun. PDE* **39**, 1–33 (2014)
13. Eliasson, H.L., Kuksin, S.B.: On reducibility of Schrödinger equations with quasiperiodic in time potentials. *Commun. Math. Phys.* **286**, 125–135 (2009)
14. Eliasson, H.L., Kuksin, S.B.: KAM for the nonlinear Schrödinger equation. *Ann. Math* **172**, 371–435 (2010)
15. Enns, V., Veselic, K.: Bound states and propagating states for time-dependent Hamiltonians. *Ann. IHP* **39**(2), 159–191 (1983)
16. Fang, D., Zhang, Q.: On growth of Sobolev norms in linear Schrödinger equations with time dependent Gevrey potentials. *J. Dyn. Differ. Equ.* **24**(2), 151–180 (2012)
17. Faou, E., Raphaël, P.: On weakly turbulent solutions to the perturbed linear harmonic oscillator. [arXiv: 2006.08206](https://arxiv.org/abs/2006.08206) (2020)
18. Feola, R., Giuliani, F., Montalto, R., Procesi, M.: Reducibility of first order linear operators on tori via Moser’s theorem. *J. Funct. Anal.* **276**(3), 932–970 (2019)
19. Feola, R., Grébert, B.: Reducibility of Schrödinger equation on the sphere. *Int. Math. Res. Not.* **2021**, 15082–15120 (2021)
20. Feola, R., Grébert, B., Nguyen, T.: Reducibility of Schrödinger equation on a Zoll manifold with unbounded potential. *J. Math. Phys.* **61**(7), 071501 (2020)
21. Graffi, S., Yajima, K.: Absolute continuity of the Floquet spectrum for a nonlinearly forced harmonic oscillator. *Commun. Math. Phys.* **215**(2), 245–250 (2000)
22. Grébert, B., Paturel, E.: On reducibility of quantum harmonic oscillator on \mathbb{R}^d with quasiperiodic in time potential. *Annales de la Faculté des sciences de Toulouse?: Mathématiques* **28**, 977–1014 (2019)
23. Grébert, B., Thomann, L.: KAM for the quantum harmonic oscillator. *Commun. Math. Phys.* **307**, 383–427 (2011)
24. Koch, H., Tataru, D.: L^p eigenfunction bounds for the Hermite operator. *Duke Math. J.* **128**, 369–392 (2005)
25. Liang, Z., Luo, J.: Reducibility of 1-d quantum harmonic oscillator equation with unbounded oscillation perturbations. *J. Differ. Equ.* **270**, 343–389 (2021)
26. Liang, Z., Wang, Z.: Reducibility of quantum harmonic oscillator on \mathbb{R}^d with differential and quasiperiodic in time potential. *J. Differ. Equ.* **267**, 3355–3395 (2019)
27. Liang, Z., Wang, Z.-Q.: Reducibility of 1-d Schrödinger equation with unbounded oscillation perturbations. Accepted by Israel Journal of Mathematics. [arXiv: 2003.13022v3](https://arxiv.org/abs/2003.13022v3)
28. Liang, Z., Wang, Z.-Q.: Reducibility of 1-D quantum harmonic oscillator with decaying conditions on the derivative of perturbation potentials. [arXiv:2111.11679](https://arxiv.org/abs/2111.11679)
29. Liang, Z., Zhao, Z., Zhou, Q.: 1-D quasi-periodic quantum harmonic oscillator with quadratic time-dependent perturbations: reducibility and growth of Sobolev norms. *J. Math. Pures Appl.* **146**, 158–182 (2021)
30. Liu, J., Yuan, X.: Spectrum for quantum duffing oscillator and small-divisor equation with large-variable coefficient. *Commun. Pure Appl. Math.* **63**, 1145–1172 (2010)
31. Luo, J., Liang, Z., Zhao, Z.: Growth of Sobolev norms in 1-D quantum harmonic oscillator with polynomial time quasi-periodic perturbation. *Commun. Math. Phys.* **392**, 1–23 (2022)
32. Maspero, A.: Lower bounds on the growth of Sobolev norms in some linear time dependent Schrödinger equations. *Math. Res. Lett.* **26**, 1197–1215 (2019)
33. Maspero, A.: Growth of Sobolev norms in linear Schrödinger equations as a dispersive phenomenon. [arXiv:2101.09055](https://arxiv.org/abs/2101.09055)
34. Maspero, A., Robert, D.: On time dependent Schrödinger equations: global well-posedness and growth of Sobolev norms. *J. Funct. Anal.* **273**(2), 721–781 (2017)
35. Montalto, R.: A reducibility result for a class of linear wave equations on \mathbb{T}^d . *Int. Math. Res. Not.* **2019**(6), 1788–1862 (2019)
36. Schwinte, V., Thomann, L.: Growth of Sobolev norms for coupled Lowest Landau Level equations. *Pure Appl. Anal.* **3**, 189–222 (2021)
37. Stein, E.: *Harmonic Analysis: Real-Variable Methods. Orthogonality and Oscillatory Integrals.* Princeton University Press, Princeton (1993)
38. Thomann, L.: Growth of Sobolev norms for linear Schrödinger operators. To appear in *Pure Appl. Anal.* [arXiv:2006.02674](https://arxiv.org/abs/2006.02674)
39. Wang, Z., Liang, Z.: Reducibility of 1D quantum harmonic oscillator perturbed by a quasiperiodic potential with logarithmic decay. *Nonlinearity* **30**, 1405–1448 (2017)

40. Wang, W.-M.: Pure point spectrum of the Floquet Hamiltonian for the quantum harmonic oscillator under time quasi-periodic perturbations. *Commun. Math. Phys.* **277**, 459–496 (2008)
41. Wang, W.-M.: Logarithmic bounds on Sobolev norms for time dependent linear Schrödinger equations. *Commun. Partial Differ. Equ.* **33**(12), 2164–2179 (2008)

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.