

# **Polynomial algorithms for sparse spanners on subcubic graphs**

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## **Abstract**

Let *G* be a connected graph and  $t \geq 1$  a (rational) constant. A *t*-*spanner* of *G* is a spanning subgraph of *G* in which the distance between any pair of vertices is at most *t* times its distance in *G*. We address two problems on spanners. The first one, known as the *minimum t*-*spanner problem* (MinS*t*), seeks in a connected graph a *t*-spanner with the smallest possible number of edges. In the second one, called *minimum cost tree t*-*spanner problem* (MCTS*t*), the input graph has costs assigned to its edges and seeks a *t*-spanner that is a tree with minimum cost. It is an optimization version of the *tree t*-*spanner problem* (TreeS*t*), a decision problem concerning the existence of a *t*spanner that is a tree. MINS<sub>t</sub> is known to be NP-hard for every  $t \geq 2$ . On the other hand, TREES<sub>t</sub> admits a polynomial-time algorithm for  $t < 2$  and is NP-complete for  $t > 4$ ; but its complexity for  $t = 3$  remains open. We focus on the class of subcubic graphs. First, we show that for such graphs  $MINS<sub>3</sub>$  can be solved in polynomial time. These results yield a practical polynomial algorithm for TREES<sub>3</sub> that is of a combinatorial nature. We also show that  $MCTS<sub>2</sub>$  can be solved in polynomial time. To obtain this last result, we prove a complete linear characterization of the polytope defined by the incidence vectors of the tree 2-spanners of a subcubic graph. A recent result showing that MINS<sub>3</sub> on graphs with maximum degree at most 5 is NP-hard, together with the current result on subcubic graphs, leaves open only the complexity of  $MINS<sub>3</sub>$  on graphs with maximum degree 4.

**Keywords** Spanner · Tree spanner · Subcubic graph · Polyhedra

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## **1 Introduction**

Throughout this text, we consider that the input graph is always connected (even if this is not stated explicitly). The *distance* between two vertices *u* and v in a graph *G*, denoted by  $d_G(u, v)$ , is the minimum length of a path between *u* and *v*. For a (rational) constant  $t \geq 1$ , a *t*-*spanner* of a graph *G* is a spanning subgraph *H* of *G* in which

$$
d_H(u, v) \le t \cdot d_G(u, v), \text{ for all } u, v \in V(G).
$$

A synchronizer is a technique in distributed computing, proposed by Awerbuc[h](#page-19-0) [\(1985\)](#page-19-0), that transforms a synchronous algorithm into an asynchronous one. Motivated by this work, Peleg and Ullma[n](#page-20-0) [\(1989\)](#page-20-0) introduced the concept of spanner, and discovered its relation with the efficiency of a synchronizer of a network. As a result, they showed how to construct an optimal synchronizer for the hypercube. Since then, spanners have raised attention, both from theoretical and practical point-of-view, by its wide range of applicability. It has applications in areas such as distributed systems and communication networks (synchronization, building succinct and efficient routing tables (Peleg and Upfa[l](#page-20-1) [1989\)](#page-20-1), distance oracles (Thorup and Zwic[k](#page-20-2) [2005;](#page-20-2) Baswana and Se[n](#page-19-1) [2006](#page-19-1)), roadmap planning (Wang et al[.](#page-20-3) [2015](#page-20-3)), computational geometry, robotics, etc. The reader may refer to Ahmed et al. [\(2020\)](#page-19-2) for a survey that gives an overview of the results regarding many different variants of graph spanners (Euclidean, weighted, on directed graphs, etc), mentioning applications and providing a list of open problems.

We consider here two problems regarding *t*-spanners. In the first one, the MINIMUM  $t$ - SPANNER PROBLEM ( $MINS_t$ ), we are given a graph and we wish to find a *t*-spanner of this graph with the smallest possible number of edges. The second problem, called MINIMUM COST TREE  $t$ - SPANNER PROBLEM  $(MCTS<sub>t</sub>)$ , is a natural generalization of the TREE  $t$ - SPANNER PROBLEM (TREES<sub>t</sub>). In TREES<sub>t</sub>, we are interested in deciding whether a graph admits a  $t$ -spanner that is a tree. In  $MCTS_t$ , we are given a graph with edge-costs and we wish to find a tree *t*-spanner of minimum total cost (if one exists).

In 1989, Peleg and Schäffe[r](#page-20-4) [\(1989\)](#page-20-4) introduced  $MINS_t$  and proved that  $MINS_2$  is NP-hard. Later, Ca[i](#page-20-5) [\(1994](#page-20-5)) extended this result for every  $t \ge 2$ . In 1997, Venkatesan et al[.](#page-20-6) [\(1997](#page-20-6)) improved further this result showing that MINS<sub>t</sub> is NP-hard for  $t \geq 2$ even if the graph is chordal. Searching for classes of graphs for which  $MINS<sub>t</sub>$  can be so[l](#page-20-7)ved efficiently, Cai and Keil [\(1994\)](#page-20-7) studied graphs of bounded degree. Let  $\Delta(G)$ (or simply  $\Delta$ , when the graph under consideration is clear from the context) denote the maximum degree of a graph *G*. Cai and Keil designed a polynomial-time algorithm for MINS<sub>2</sub> on graphs with  $\Delta \leq 4$ . Moreover, they proved that MINS<sub>t</sub> is NP-hard when  $t \ge 2$  and  $\Delta \le 9$ . Kobayash[i](#page-20-8) [\(2018\)](#page-20-8) showed that  $MINS_t$  remains NP-hard on planar graphs in the following cases: (a)  $t = 2$  and  $\Delta \le 8$ ; and (b)  $3 \le t \le 4$  and  $\Delta \le 6$ . Recently, Gómez et al[.](#page-20-9) [\(2023](#page-20-9)) proved that the maximum degree condition can be slightly lowered: MINS<sub>t</sub> remains NP-hard on planar graphs when  $t = 2$  and  $\Delta \le 7$ , when  $t = 3$  and  $\Delta \le 5$ , and when  $t \ge 4$  and  $\Delta \le 4$ . Some of these results are summarized in the next table.



Table 1 Computational complexity of MnS<sub>t</sub> on graphs with maximum degree at most k **Table 1** Computational complexity of MinS*t* on graphs with maximum degree at most *k*

<span id="page-2-0"></span>*k*

Regarding tree *t*-spanners, the following results are known. Cai and Cornei[l](#page-20-10) [\(1995\)](#page-20-10) showed a linear-time algorithm for TREES<sub>t</sub> when  $t \leq 2$ , and also showed that it becomes NP-complete when  $t \geq 4$ . The complexity status of TREES<sub>3</sub> has not been established[.](#page-20-11) For bounded-degree graphs, in contrast to  $MINS_t$ , Fomin et al. [\(2011\)](#page-20-11) showed a polynomial-time algorithm for  $TREES_t$ . It is also known that  $TREES_3$  admits a polynomial-time algorithm on several classes of graphs such as planar graphs (Fekete and Kreme[r](#page-20-12) [2001\)](#page-20-12), convex graphs (Venkatesan et al[.](#page-20-6) [1997](#page-20-6)), split graphs (Venkatesan et al[.](#page-20-6) [1997](#page-20-6)), line graphs (Couto et al[.](#page-20-13) [2021\)](#page-20-13), etc. We summarize some of these results in Table [2.](#page-4-0) The minimization version of  $TREES_t$ , denoted here  $MCTS_t$ , has not been investigated in the literature. We present here a result for  $MCTS<sub>2</sub>$ .

In this text, we focus on subcubic graphs (those with maximum degree 3). Our contributions to these problems are the following:

- <span id="page-3-0"></span>(1) A simple polynomial-time algorithm for  $MINS<sub>3</sub>$ ;
- <span id="page-3-1"></span>(2) A simple practical algorithm for  $TREES<sub>3</sub>$ ;
- (3) A polynomial-time algorithm for  $MCTS<sub>2</sub>$ .

To obtain the result [\(1\)](#page-3-0), we designed an algorithm that is based on a technique introduced by Cai and Kei[l](#page-20-7) [\(1994](#page-20-7)) for  $MINS<sub>2</sub>$  on graphs of maximum degree 4. These authors believed that such a technique would yield a polynomial-time algorithm for  $MINS<sub>3</sub>$  on subcubic graphs. The result shown here confirms this, but the proof turned out to be quite involved, as many different graphs were obtained with this approach and we had to characterize (the families of) these graphs. This was the hardest part of our proof, but we were able to characterize which are the 11 small graphs and the 6 simple classes of graphs that we may obtain, and for each of them we could state explicitly how to find a minimum 3-spanner.

Let us turn now our attention to tree spanners. We observe that our algorithm for  $MINS<sub>3</sub>$  also gives a solution for TREES<sub>3</sub>[.](#page-20-11) For this problem, Fomin et al. [\(2011\)](#page-20-11) proposed a linear-time algorithm on bounded-degree graphs based on Courcelle's theorem (Courcelle and Engelfrie[t](#page-20-14) [2012\)](#page-20-14). Although its complexity is theoretically optimal, it is not efficient in practice. Moreover, Papoutsaki[s](#page-20-15) [\(2018](#page-20-15)) also proposed a dynamicprogramming based polynomial-time algorithm; however, its implementation is quite involved (see further comments on Sect. [3\)](#page-6-0). Our contribution is an alternative efficient (practical) polynomial-time algorithm for  $TREES<sub>3</sub>$  on subcubic graphs.

Recent works have investigated the problems related to sparse spanners from a practical point-of-view (Álvarez-Miranda and Sinn[l](#page-19-3) [2019;](#page-19-3) Ahmed et al[.](#page-19-4) [2019](#page-19-4)). Some linear formulations have been proposed for  $TREES_t$ , however the experimental results were not very promising. Motivated by this fact, we studied the polytope associated with the incidence vectors of the tree 2-spanners of a graph. We obtained a complete linear description of the polytope associated with these vectors, which in turn implies [\(3\)](#page-3-1).

We conclude this section describing the organization of this text. In Sect. [2,](#page-5-0) we introduce some concepts and the terminology that is used in the text. We define a partition of the edges of a graph *G*, denoted  $C_t(G)$ , which is the central idea used to tackle  $MINS_3$  and  $MCTS_2$  on subcubic graphs. In Sect. [3,](#page-6-0) we focus on  $MINS_3$ . This section is devoted to characterizing the subgraphs that arise from the partition  $C_3(G)$ when *G* is a subcubic graph. From this characterization, we derive a polynomialtime algorithm for  $MINS<sub>3</sub>$ . This result also implies a simple algorithm for TREES<sub>3</sub>



<span id="page-4-0"></span>

on this class of graphs. After that, we turn our attention to  $MCTS<sub>2</sub>$  in Sect. [4.](#page-16-0) We focus on the polytope associated with the feasible solutions of  $TREES<sub>2</sub>$ , and show a linear description of this polytope. This result implies a polynomial-time algorithm for MCTS<sup>2</sup> on subcubic graphs. To the best of our knowledge, this is a novel approach and result. In Sect. [5,](#page-18-0) we mention some final remarks and possible directions for further research.

A preliminary version of this work (Gómez et al[.](#page-20-20) [2022\)](#page-20-20) was presented at the 16th International Conference and Workshops on Algorithms and Computation (walcom 2022).

## <span id="page-5-0"></span>**2 Preliminaries**

When we refer to a graph, say *G*, without specifying explicitly its vertex set and edge set, these are supposed to be  $V(G)$  and  $E(G)$ . The *length* of a path or cycle in a graph is its number of edges. Moreover, if a path (resp. cycle) has length *k*, we say that it is a *k*-path (resp. *k*-cycle). We use the notation  $\deg_G(v)$  for the *degree* of a vertex v in a graph *G*.

The following result gives an equivalent definition for *t*-spanner. It is very useful, as it tells us that, a spanning subgraph *H* of a graph *G* is a *t*-spanner of *G* if the distance condition in *H* holds for pairs of adjacent vertices in *G* (see also Cai and Cornei[l](#page-20-10)  $(1995)$ .

**Proposition 1** (Peleg and Schäffe[r](#page-20-4) [\(1989](#page-20-4))) *Let H be a spanning subgraph of a graph*  $G = (V, E)$ . Then, H is a t-spanner of G if and only if for every edge  $uv \in E$ ,  $d_H(u, v) \leq t$ .

In what follows, we define a partition of the edges of a graph that helps us subdivide  $MINS<sub>t</sub>$  (and  $TREES<sub>t</sub>$ ) into (possibly) smaller subproblems. This idea was introduced by Cai and Kei[l](#page-20-7) [\(1994\)](#page-20-7) for the case of 2-spanners. This partition is motivated by the following observation. Let  $G = (V, E)$  be a graph, and let *H* be a *t*-spanner of *G*. Consider an edge  $e \in E \setminus E(H)$ . Then, there exists in *H* a path *P* linking the ends of *e* such that  $|P| \le t$ . Thus, the edge *e* is contained in a cycle of length at most  $t + 1$ . Let now *L* be the graph defined from *G* as follows.

> $V(L) = \{v_e : e \in E\},\$  $E(L) = \{v_e v_f : e, f \in E \text{ belong to a } k\text{-cycle in } G, k \leq t+1\}.$

Finally, let us denote by  $C_t(G)$  the partition of E defined as follows. Two edges *e*, *f* ∈ *E* belong to the same class of  $C_t(G)$  if and only if  $v_e$  and  $v_f$  belong to the same connected component of *L*. Observe that, if an edge  $uv \in E$  does not belong to *H*, the edges of a *k*-path,  $k \le t$ , linking *u* and *v* in *H* belong to the class that contains *uv* in  $C_t(G)$ .

The key idea behind the a[l](#page-20-7)gorithm of Cai and Keil  $(1994)$  $(1994)$  for MINS<sub>2</sub> on graphs of maximum degree 4 is to characterize the graphs in  $C_2(G)$ . We follow this approach and study the partition  $C_3(G)$  on subcubic graphs. We show in Fig. [1](#page-6-1) an example of a graph *G*, its associated graph *L*, and the classes in  $C_2(G)$ . The vertices of *L* are



<span id="page-6-1"></span>**Fig. 1 a** A graph *G*; **b** the graph *L* (its vertices are shaded rectangles, and its edges are depicted by full black edges); **c** the five classes in  $C_2(G)$  (represented by five different types of edges) (Color figure online)

depicted by full squares, and its edges are depicted by (full) black edges. The classes in  $C_2(G)$  are represented by different types of edges. For simplicity, we consider each class in  $C_t(G)$  as a subgraph of *G*.

<span id="page-6-2"></span>The following result is very useful, since it reduces  $MINS<sub>t</sub>$  to finding a minimum *t*-spanner for each graph in  $C_t(G)$ .

**Proposition 2** *A subgraph S of a graph G is a t-spanner if and only if*  $S \cap H$  *is a t*-spanner of H, for every  $H \in C_t(G)$ .

*Proof* Let *S* be a subgraph of *G*. First, let us prove that if *S* is a *t*-spanner of *G*, then *S* ∩ *H* is a *t*-spanner of *H*, for each *H* in  $\mathcal{C}_t(G)$ . Take  $H \in \mathcal{C}_t(G)$  and  $uv \in$  $E(H) \setminus E(S)$ . As  $uv \notin E(S)$ , there exists in *S* a path, say *P*, between *u* and *v* such that  $|P| \le t$ . Note that *P* is also a path in *H* since  $P + e$  is a *k*-cycle with  $k \le t$ . Thus, *P* is a path in  $S \cap H$ , and therefore  $S \cap H$  is a *t*-spanner of *H*.

Let us prove now that if *S* ∩ *H* is a *t*-spanner of *H*, for every  $H \in C_t(G)$ , then *S* is a *t*-spanner of *G*. Let  $uv \in E(G) \setminus E(S)$ . Since  $C_t(G)$  is a collection of subgraphs that partitions  $E(G)$ , there exists a subgraph  $H \in C_t(G)$  such that  $uv \in E(H)$ . As *S* ∩ *H* is a *t*-spanner of *H*, there exists a *k*-path,  $k \le t$ , between *u* and *v* in *S* ∩ *H*. Therefore, *S* is a *t*-spanner of *G*.

Proposition [2](#page-6-2) tells us that a *t*-spanner of a graph *G* is composed of *t*-spanners for each subgraph  $H \in C_t(G)$ . This approach is also valid for tree *t*-spanners, however we have to carry out a final test: the union of the minimum *t*-spanners of each graph in  $C_t(G)$  needs to be a tree. Finally, we note that the partition  $C_t(G)$  can be obtained in polynomial time. This follows from the fact that determining whether two edges belong to the same class (in  $C_t(G)$ ) reduces to finding the length of a shortest cycle in *G* containing these edges.

## <span id="page-6-0"></span>**3 Minimum 3-spanner on subcubic graphs**

In this section, we focus on 3-spanners. In particular, we are interested in characterizing the graphs in  $C_3(G)$ , for any subcubic graph *G*. Throughout this section, we denote by  $G = (V, E)$  a connected subcubic graph.



<span id="page-7-0"></span>**Fig. 2** Graphs obtained after applying operation (1), (2), (3), or (4)

We follow a constructive approach to characterize the graphs in  $C_3(G)$ . More formally, we define four operations and show that, for any graph  $H \in C_3(G)$ , there exists a sequence of graphs, say  $H_0, H_1, \ldots, H_n$ , such that  $H_0 \cong K_2, H_n \cong H$  and  $H_{i+1}$  is obtained by applying one of these operations to  $H_i$ , for  $i = 0, 1, \ldots, n - 1$ .

Let  $H_i$  be a subcubic graph, and let  $u$  and  $v$  be distinct vertices of degree at most two in  $H_i$ . The first three operations are the following.

- <span id="page-7-2"></span><span id="page-7-1"></span>(1) Add to  $H_i$  an edge linking *u* and *v*, if  $uv \notin E(H_i)$  and  $d_{H_i}(u, v) \leq 3$ .
- <span id="page-7-3"></span>(2) Add to  $H_i$  a 2-path between *u* and *v*, if  $d_{H_i}(u, v) \leq 2$ .
- (3) Add to  $H_i$  a 3-path between *u* and *v*, if  $uv \in E(H_i)$ .

To state the last operation, first we introduce the following definition. Let *u*v and *xy* be distinct edges in  $E(H_i)$  such that *u*, *v*, *x* and *y* have degree two in  $H_i$ . Moreover, suppose that  $ux, vy \notin E(H_i)$  or  $uy, vx \notin E(H_i)$ . We call any of these pairs of edges a *matching* between *u*v and *x y*.

<span id="page-7-4"></span>(4) Add to *Hi* a matching between the edges *u*v and *x y*.

Note that, each operation increases the degree of at least two vertices in the graph. Moreover, since  $H_0 \cong K_2$ , then  $H_1$  is either a 3-cycle or a 4-cycle. Thus,  $\delta(H_i) \geq 2$ , for  $i = 1, \ldots, n$ . (The notation  $\delta(H)$  stands for the minimum degree of a graph *H*.) In Fig. [2,](#page-7-0) we show examples of the graphs that we obtain after applying these operations. We depict by solid vertices and wavy edges the vertices and edges that are added to *H<sub>i</sub>*, respectively.

<span id="page-7-5"></span>The next result gives us a constructive characterization of the graphs in  $C_3(G)$ . With this lemma at hand, we will be able to list the graphs in  $C_3(G)$ .

**Lemma 3** Let  $H \in C_3(G)$ . Then, there exists a sequence of graphs  $H_0, \ldots, H_n$  such *that*  $H_0 \cong K_2$ ,  $H_n \cong H$ , and  $H_{i+1}$  *is obtained from*  $H_i$  *by applying one of the operations* [\(1\)](#page-7-1), [\(2\)](#page-7-2), [\(3\)](#page-7-3) or [\(4\)](#page-7-4)*.*

*Proof* First, if *H* is a single edge there is nothing to prove, since  $H_0 \cong K_2$ . So suppose that  $H \neq K_2$ . Observe that *H* contains a cycle of length at most four by the definition of  $C_3(G)$ . Let *H'* be a maximal subgraph of *H* for which such sequence of graphs exists. As *H* contains a 3-cycle or 4-cycle, we have that  $H' \neq K_2$ , and therefore  $\delta(H')\geq 2.$ 

Let us now suppose, by contradiction, that  $H' \neq H$ . First, we show that there is a cycle *C* of length at most four, in *H*, such that  $E(C) \cap E(H') \neq \emptyset$  and  $E(C) \setminus E(H') \neq$  $\emptyset$ . To show the previous claim, we recall that  $C_3(G)$  is obtained from a graph L, defined from *G*, such that there exists a vertex  $v_e \in V(L)$  for each edge  $e \in E(G)$ . Moreover, the edge  $v_e v_f \in E(L)$  if  $e, f \in E(G)$  belong to a cycle in G of length at most four (see Sect. [2\)](#page-5-0). Consider now the connected component in  $L$ , say  $L_H$ , that corresponds to  $E(H)$ . Since  $H' \neq H$  and  $L_H$  is connected, there exist edges  $e \in E(H) \setminus E(H')$ and  $f \in E(H')$  such that  $v_e$  is adjacent to  $v_f$  in  $L_H$ . Then, by the definition of  $E(L)$ , there exists a cycle *C* in *G* of length at most four that contains *e* and *f* . Moreover, *E*(*C*) ⊆ *E*(*H*). This implies that *E*(*C*) ∩ *E*(*H*<sup> $\prime$ </sup>) ≠ ∅ and *E*(*C*)  $\setminus$  *E*(*H*<sup> $\prime$ </sup>) ≠ ∅. Let *C* be one such cycle. We distinguish three cases:

**Case 1:**  $|E(C) \cap E(H')| = 3$ 

In this case,  $V(C) \subseteq V(H')$  and  $|C| = 4$ . Let  $C = \langle x, y, x', y' \rangle$ , such that  $xy' \notin C$  $E(H')$ . Thus,  $\deg_{H'}(x) \leq 2$ ,  $\deg_{H'}(y') \leq 2$  and  $d_{H'}(x, y') \leq 3$ . Therefore, if we apply operation [\(1\)](#page-7-1) on vertices *x* and *y*', we obtain the graph  $H' \cup C$ , which contradicts the maximality of *H* .

**Case 2:**  $|E(C) \cap E(H')| = 2$ 

First, suppose that  $|E(C) \cap E(H')|$  is a matching. This implies that *C* is a 4-cycle. Let  $C = \langle x, y, x', y' \rangle$ , such that *xy* and *x'y'* belong to *H'*. As the edges *xy'* and *x'y* do not belong to  $H'$ , these edges are a matching of *xy* and  $x'y'$ . Thus, if we apply operation [\(4\)](#page-7-4) on *xy* and  $x'y'$  we obtain the graph  $H' \cup C$ , a contradiction.

Suppose now that  $E(H') \cap E(C)$  is a 2-path, and consider that  $C = \langle x, y, x', y' \rangle$ . Furthermore, suppose that the edges  $xy$  and  $yx'$  belong to  $H'.$  We claim that the vertex  $y'$  does not belong to  $H'$ . If this is not the case, as the edges  $xy'$  and  $x'y'$  do not belong to *H'* and  $\Delta(H) \leq 3$ , we have that  $\deg_{H'}(y') \leq 1$ . But this contradicts the fact that  $\delta(H') \geq 2$ . Therefore, if we apply operation [\(2\)](#page-7-2) on vertices *x* and *x'*, we obtain  $H' \cup C$ , a contradiction.

On the other hand, if *C* is a 3-cycle, we have that  $V(C) \subseteq V(H')$ . In this case, if we apply operation  $(1)$ , we also obtain a contradiction.

**Case 3:**  $|E(C) \cap E(H')| = 1$ 

Without loss of generality, suppose that  $|C| = 3$  (the other case is analogous). Let  $C = \langle x, y, z \rangle$  such that  $xy \in H'$ . Since  $\Delta(H) \leq 3$  and the edges  $xz$  and  $yz$  do not belong to  $E(H')$ , we have that  $z \notin V(H')$ . As  $\deg_{H'}(x) \leq 2$  and  $\deg_{H'}(y) \leq 2$ , we can apply operation [\(3\)](#page-7-3) to obtain the graph  $H' \cup C$ , a contradiction.

Therefore,  $H' = H$ .

In what follows, we define some classes of graphs that are part of our characterization of  $C_3(G)$ . The *k*-*ladder* graph, denoted by  $L_k$ , is the cartesian product of a *k*-path with  $K_2$ . That is,  $L_k$  is obtained from the union of two copies of a  $k$ -path by adding a perfect matching between the corresponding vertices. We note that  $L_k$  has exactly four vertices of degree two, say  $x_1$ ,  $x_2$ ,  $y_1$  and  $y_2$ , such that  $x_1y_1$ ,  $x_2y_2$  belong to  $E(L_k)$ (see Fig. [3a](#page-9-0)). We define now four graphs related to  $L_k$ . Let  $M_k$  (resp.  $N_k$ ) be the graph obtained from  $L_k$  by adding the edges  $x_1 y_2$  and  $x_2 y_1$  (resp.  $x_1 x_2$  and  $y_1 y_2$ ), for  $k \ge 2$ . On the other hand,  $T_k^1$  is the graph obtained from  $L_k$  by adding a 2-path between  $x_1$ and *y*<sub>1</sub>. Moreover, if we also add a 2-path between  $x_2$  and  $y_2$ , we obtain the graph  $T_k^2$ . Figure [3](#page-9-0) shows an example of those graphs for  $k = 2$ .



<span id="page-9-0"></span>**Fig. 3 a**  $L_2$ ; **b**  $M_2$ ; **c**  $N_2$ ; **d**  $T_2^1$ ; **e**  $T_2^2$ 



<span id="page-9-1"></span>**Fig. 4 a**  $M_2 - e$ ; **b**  $N_2 - e$ ; **c**  $G_1$ ; **d**  $G_2$ ; **e**  $G_3$ 

We denote by  $M_k - e$  (resp.  $N_k - e$ ) the graph obtained from  $M_k$  (resp.  $N_k$ ) by removing the edge  $x_1 y_2$  (resp.  $y_1 y_2$ ). We show an example of  $M_2 - e$  and  $N_2 - e$  in Fig. [4.](#page-9-1) In this figure, we also show three graphs  $G_1$ ,  $G_2$  and  $G_3$  that are part of our characterization.

Let  $\mathcal{F}_3$  be the family that consists of the following graphs (see Fig. [5\)](#page-10-0):



<span id="page-9-2"></span>We show now the main result of this section.

**Theorem 4** *Let G be a connected subcubic graph. If*  $H \in C_3(G)$ *, then*  $H \in \mathcal{F}_3$ *.* 

*Proof* Let  $H \in C_3(G)$ . By Lemma [3,](#page-7-5) there is a sequence of graphs  $H_0, \ldots, H_n$  such that

- $\bullet$  *H*<sub>0</sub>  $\cong$  *K*<sub>2</sub>,
- $\bullet$   $H_n = H$ ,
- $H_{i+1}$  is obtained from  $H_i$  by applying one of the operations [\(1\)](#page-7-1), [\(2\)](#page-7-2), [\(3\)](#page-7-3) or [\(4\)](#page-7-4).

In what follows, we show that  $H_i \in \mathcal{F}_3$ , for  $i = 0, \ldots, n$ . For this, if it is possible to apply an operation to  $H_i$ , we will analyse each case. In particular, we will suppose that  $H \neq H_i$ , and consider each possibility for  $H_{i+1}$ . First, since  $H_0 = K_2 \in \mathcal{F}_3$ , the



<span id="page-10-0"></span>**Fig. 5** In red are depicted minimum 3-spanners of some representative graphs in  $\mathcal{F}_3$ . The graphs depicted in **l**, **m** and **n** are  $L_2$ ,  $M_3$  and  $N_3$ , respectively. The graphs depicted in **o**, **p**, **q** and **r** are  $T_2^1$ ,  $T_2^2$ ,  $M_2$  and *N*2, respectively (Color figure online)

graph  $H_1$  is the result of applying operation [\(2\)](#page-7-2) or operation [\(3\)](#page-7-3) to  $H_0$ . We distinguish these two cases.

#### **Case 1:**  $H_1 \cong K_3$

Note that we cannot apply operations [\(1\)](#page-7-1) or [\(4\)](#page-7-4) to  $H_1$ . Thus,  $H_2$  is obtained by applying either operation [\(2\)](#page-7-2) or operation [\(3\)](#page-7-3) to *H*<sub>1</sub>. In the first case,  $H_2 \cong K_4 - e$ ; and in the second case,  $H_2 \cong T_1^1$ .

## **Case 1.1:**  $H_2 \cong K_4 - e$

In this case, *H*<sup>2</sup> contains only two vertices of degree two. Since these vertices are not adjacent, the graph  $H_3$  is obtained by applying operations [\(1\)](#page-7-1) or [\(2\)](#page-7-2) to  $H_2$ . Thus, either  $H_3 \cong K_4$  or  $H_3 \cong G_1$  (see Fig. [4\)](#page-9-1). Since, in both cases  $H_3$  has at most one vertex of degree two, we have that  $H = H_3 \in \mathcal{F}_3$ . **Case 1.2:**  $H_2 \cong T_1^1$ 

Let *a*, *b* and *c* be the vertices of degree two in  $H_2$  such that the neighbors of *a* have degree three (see Fig. [6](#page-11-0) a). Note that  $H_3$  is obtained by applying [\(1\)](#page-7-1), [\(2\)](#page-7-2) or [\(3\)](#page-7-3) to  $H_2$ . In case we apply operation [\(1\)](#page-7-1),  $H_3$  is obtained by adding the edge *ab* or *ac* to  $H_2$ . In both cases, we have that  $H_3 \cong G_1$  (see Fig. [6b](#page-11-0)). Moreover, we have that  $H = H_3 \in \mathcal{F}_3$ , since it has only one vertex of degree two.

Suppose now that  $H_3$  is obtained by applying operation [\(2\)](#page-7-2) to  $H_2$ . We can apply this operation on vertices *a* and *b* (or *c*), or on vertices *b* and *c*. In the first case, we have that *H*<sub>3</sub>  $\cong$  *N*<sub>2</sub> − *e* (see Fig. [6c](#page-11-0)). In the second case, we have that *H*<sub>3</sub>  $\cong T_1^2$ . Finally, we



<span id="page-11-0"></span>**Fig. 6 a**  $H_2 \cong T_1^1$ ; **b**  $H_3 \cong G_1$ ; and **c**  $H_3 \cong N_2 - e$ 



<span id="page-11-1"></span>**Fig. 7 a**  $H_3 \cong N_2$ ; and **b**  $H_3 \cong G_3$ 

can only apply operation [\(3\)](#page-7-3) on vertices *b* and *c*. In this case,  $H_3 \cong T_2^1$ . We analyse these three cases for  $H_3$ .

## **Case 1.2.1:**  $H_3 \cong N_2 - e$

In this case,  $H_3$  contains only two vertices of degree two. Note that, we can only apply operation [\(1\)](#page-7-1) or [\(2\)](#page-7-2) to obtain *H*<sub>4</sub>. In the first case, we have  $H_4 \cong N_2$ , and in the second case,  $H_4 \cong G_3$ . In either case  $H_4$  has at most one vertex of degree two, thus  $H = H_4 \in \mathcal{F}_3$ .

**Case 1.2.2:**  $H_3 \cong T_1^2$ 

As in the previous case,  $H_3$  has only two vertices of degree two. By distance constraints, we can only apply operation [\(1\)](#page-7-1) to  $H_3$  on those vertices. Therefore,  $H_4 \cong$ *N*<sub>2</sub> (see Fig. [7a](#page-11-1)). As *N*<sub>2</sub> is cubic, we have that  $H = H_4 \in \mathcal{F}_3$ . **Case 1.2.3:**  $H_3 \cong T_2^1$ 

Let *a*, *b* and *c* be the three vertices of degree two in  $T_2^1$  such that *b* is adjacent to *c*. Note that, we can apply operations  $(1)$ ,  $(2)$  or  $(3)$  to  $H_3$ . First, suppose that we apply operation  $(1)$  to  $H_3$ . By distance constraints, we can only apply this operation on vertices *a* and *b* (or *c*). In either case, we have that  $H_4 \cong G_3$  (see Fig. [7b](#page-11-1)). As  $G_3$ has only one vertex of degree two, we have that  $H = H_4 \in \mathcal{F}_3$ .

Suppose now that we apply  $(2)$  to  $H_3$ . In this case, we have to apply this operation on vertices *b* and *c* and, thus  $H_4 \cong T_2^2$ . Observe that, we cannot apply any operation to  $T_2^2$ . Therefore,  $H = H_4 \in \mathcal{F}_3$ .

Finally, suppose that we apply operation [\(3\)](#page-7-3) to *H*3. We can apply this operation only on vertices *b* and *c*; therefore,  $H_4 \cong T_3^1$ . Furthermore, we can only apply operations [\(2\)](#page-7-2) and [\(3\)](#page-7-3) to  $T_3^1$ . Thus, by analogous arguments as before,  $H \cong T_k^2$ , for  $k \ge 3$ . **Case 2:**  $H_1 \cong L_1$ 



<span id="page-12-0"></span>**Fig. 8 a**  $H_3 \cong G_1$ ; and **b**  $H_3 \cong M_2 - e$ 

In this case, we can apply any of the four operations to  $H_1$ . First observe the following

- (i) if we apply operation [\(1\)](#page-7-1), we have that  $H_2 \cong K_4 e$  (as in Case 1.1.).
- (ii) if we apply operation [\(2\)](#page-7-2) on adjacent vertices, we have that  $H_2 \cong T_1^1$  (as in Case 1.2.).
- (iii) if we apply operation [\(4\)](#page-7-4), then  $H_2 \cong K_4$  (as  $H_2$  is cubic, we have that  $H = H_2$ ).

Since we have already considered those cases, let us suppose that  $H_2$  was obtained by applying  $(2)$  on nonadjacent vertices, or by applying  $(3)$  to  $H<sub>1</sub>$ . In the first case, we have  $H_2 \cong K_{2,3}$ , and in the second case  $H_2 \cong L_2$ . We distinguish these two cases. **Case 2.1:**  $H_2 \cong K_{2,3}$ 

In this case, we can only apply operation  $(1)$  or  $(2)$  to  $H_2$ . If we apply  $(1)$ , we have that  $H_3 \cong G_1$  (see Fig. [8a](#page-12-0)). Since  $G_1$  has just one vertex of degree two, we have that  $H = H_3$ . Otherwise, suppose that  $H_3$  was obtained by applying [\(2\)](#page-7-2). In this case, we have that  $H_3 \cong M_2 - e$ . (see Fig. [8b](#page-12-0)). Observe that, if  $H ≠ H_3$ , then we have that  $H \cong M_2$ .

#### **Case 2.2:**  $H_2 \cong L_2$

In this case, we can apply any of the four operations to  $H_2$ . First, if we apply [\(1\)](#page-7-1), we have that  $H_3 \cong M_2 - e$  or  $H_3 \cong N_2 - e$ . If  $H \neq H_3$ , then  $H \in \{M_2, N_2, G_3\}$ . Next, if we apply operation [\(4\)](#page-7-4) to  $H_2$ , we have that  $H \cong M_2$  or  $H \cong N_2$ .

Suppose now that we apply operation  $(2)$  to  $H_2$ . In this case, if we choose two adjacent vertices, we have  $H_3 \cong T_2^1$  (as in Case 1.2.3). Otherwise, we have  $H_3 \cong G_2$ . Finally, if we apply operation [\(3\)](#page-7-3), we have that  $H_3 \cong L_3$ . We distinguish these last two cases.

#### **Case 2.2.1:**  $H_3 \cong G_2$

Consider that *x*, *y* and *z* are the vertices of degree two, in  $H_3$ , as in Fig. [9a](#page-13-0). Observe that, we can only apply  $(1)$  or  $(2)$  to  $H_3$ . First, suppose that we apply operation  $(1)$  to obtain *H*4. Observe that

- if we apply [\(1\)](#page-7-1) on the vertices *y* and *z*, we have  $H_4 \cong G_3$ .
- Moreover, if we choose vertices *x* and *y* (or *z*), we also obtain  $H_4 \cong G_3$  (see Fig. [9b](#page-13-0)).

Since  $G_3$  has only one vertex of degree two, we have that  $H = H_4 \in \mathcal{F}_3$ .

Suppose that we apply  $(2)$  to  $H_3$ . Observe that, if we apply this operation either on *x* and *y*, or on *y* and *z*, we have  $H_4 \cong N_3 - e$ . Both cases are depicted in Fig. [10.](#page-13-1) In case  $H \neq H_3$ , we have that  $H \cong N_3 \in \mathcal{F}_3$ .



<span id="page-13-0"></span>**Fig. 9 a**  $H_3 \cong G_2$ ; and **b**  $H_4 \cong G_3$ 



<span id="page-13-1"></span>**Fig. 10** Adding a 2-path  $H_3$  between **a** *x* and *y*; and **b** *y* and *z* 

 $Case 2.2.2: H<sub>3</sub> ≅ L<sub>3</sub>$ 

In this case, we can apply any of the four operations to  $H_3$ . First, suppose that we apply [\(1\)](#page-7-1). Observe that, we can only apply this operation on vertices that are at distance three in *L*<sub>3</sub>. Thus,  $H_4 \cong N_3 - e$ . Moreover, if  $H \neq H_4$ , then  $H \cong N_3 \in \mathcal{F}_3$ .

Suppose now that we apply operation [\(4\)](#page-7-4) to *H*<sub>3</sub>. Then, either  $H_4 \cong M_3$  or  $H_4 \cong N_3$ . Since  $M_3$  and  $N_3$  are cubic, we have that  $H = H_4$ .

Finally, suppose that we apply [\(2\)](#page-7-2) or [\(3\)](#page-7-3) to *H*3. Note that, we can only apply these operations on adjacent vertices of degree two. Thus, we have that  $H_4 \cong T_3^1$ or  $H_4 \cong L_4$ . We distinguish these last two cases.

Case 2.2.2.1: 
$$
H_4 \cong T_3^1
$$

**Case 2.2.2.1:** *H*<sub>4</sub>  $\cong T_3^1$ <br>By the same arguments given at the end of Case 1.2.3, we have that *H*  $\cong T_k^2 \in \mathcal{F}_3$ , for  $k > 3$ .

#### $Case 2.2.2.2: H<sub>4</sub> ≅ L<sub>4</sub>$

First, observe that we cannot apply [\(1\)](#page-7-1) to  $L_k$ , for  $k \geq 4$ . If we apply [\(2\)](#page-7-2) to  $H_4$ , we have that  $H_4 \cong T_4^1$ . By using arguments similar to the previous case, we have that  $H \cong T_k^2$ , for  $k \ge 4$ . If we apply [\(4\)](#page-7-4) to  $H_4$ , we have that  $H \cong M_4$  or  $H \cong N_4$ . To conclude, observe that, if we apply [\(3\)](#page-7-3) to  $H_4$ , we obtain  $H_5 \cong L_5$ . Since this is analogous to the case in which  $H_4 \cong L_4$ , we have that  $H \cong T_k^2$ ,  $H \cong M_k$  or  $H \cong N_k$ , for  $k \geq 5$ .

Proposition [2](#page-6-2) and Theorem [4](#page-9-2) reduce  $MINS<sub>3</sub>$  on subcubic graphs to the problem of finding a minimum 3-spanner for each subgraph in  $\mathcal{F}_3$ . Figure [5](#page-10-0) shows a minimum 3-spanner (in solid edges) for each of these subgraphs.

We observe that most of the graphs in  $\mathcal{F}_3$  admit a tree 3-spanner (which is clearly a minimum 3-spanner). The only exceptions are  $M_k$  and  $N_k$ , for  $k \geq 3$ . In what follows, we show how to construct a tree 3-spanner of  $L_k$ . We will use this construction later to obtain minimum 3-spanners for  $M_k$  and  $N_k$ .

Let  $x_1, y_1, x_2$  and  $y_2$  be the vertices of degree two in  $L_k$ , such that  $x_i$  is adjacent to  $y_i$ , for  $i = 1, 2$ . Moreover, let *P* be the *k*-path between  $x_1$  and  $x_2$  in  $L_k$ . Finally, let *S* be the tree obtained from *P* by linking every vertex  $v \in V(L_k) \setminus V(P)$  to its neighbor in *P*. Since the ends of any edge in  $L_k$  are at distance at most three, in *S*, this is a tree 3-spanner of  $L_k$ . We show an example of this construction in Fig. 51. Observe that, we can obtain a tree 3-spanner for  $T_k^1$  and  $T_k^2$  in a similar way. For this, we add the edges that link each vertex in  $V(T_k^i) \setminus V(L_k)$  to *P*. This construction is depicted in Fig. [5o](#page-10-0) and p.

We show next how to obtain a 3-spanner of  $M_k$  and  $N_k$ , for  $k \geq 3$ , with  $|V(L_k)|$ edges. Recall that  $M_k$  and  $N_k$  arise from  $L_k$ . After that, we show that this construction is optimal. Consider the tree 3-spanner *S* of  $L_k$  that was constructed above. Note that, if we add the edge  $x_1 y_2$  (resp.  $x_1 x_2$ ) to *S*, we obtain a 3-spanner of  $M_k$  (resp.  $N_k$ ), since the distance between the vertices  $x_2$  and  $y_1$  (resp.  $y_1$  and  $y_2$ ) in the resulting graph is three. In Fig. [5m](#page-10-0) and n, we show an example for the case  $k = 3$ . Also, we note that for the case  $k = 2$ , the graphs  $M_2$  and  $N_2$  admit a tree 3-spanner (see Fig. [5q](#page-10-0). and r).

In what follows, we show that any minimum 3-spanner of  $M_k$  and  $N_k$ ,  $k \geq 3$ , needs at least  $|V(L_k)|$  edges. First, as  $N_k$  is the Cartesian product of a  $(k + 1)$ -cycle with  $K_2$ , a result obtai[n](#page-20-21)ed by Lin and Lin [\(2020](#page-20-21)) implies that  $N_k$  does not admit a tree 3-spanner for  $k \geq 3$ , and therefore any 3-spanner of  $N_k$  must contain at least  $|V(L_k)|$ edges. It remains now to prove that  $M_k$  does not admit a tree 3-spanner when  $k \geq 3$ .

#### **Lemma 5** *If*  $k \geq 3$ *, then the graph*  $M_k$  *does not admit a tree* 3-spanner.

*Proof* Take  $k \geq 3$ . We consider that  $L_k$  is a subgraph of  $M_k$ . In particular,  $V(L_k) =$ *V*( $M_k$ ). Let  $x_1, x_2, y_1$  and  $y_2$  be the vertices of degree two, in  $L_k$ , such that  $x_1y_1$  and  $x_2y_2$  are edges in  $L_k$ . Suppose by contradiction that  $M_k$  admits a tree 3-spanner, *S*. Observe that  $E(S) \cap \{x_1 y_2, y_1 x_2\} \neq \emptyset$ . Otherwise, *S* is a subgraph of  $L_k$ . This implies that the distance between  $x_1$  and  $y_2$  in *S* is at least  $k + 1$ , a contradiction, since  $k \geq 3$ .

By symmetry, let us suppose that the edge  $x_1 y_2$  belongs to  $E(S)$ , and consider the forest  $S' = S - x_1 y_2$ . In what follows, we show that every pair of adjacent vertices in  $L' = L_k - \{x_1 y_1, x_2 y_2\}$  belongs to the same connected component in *S'*. Since  $L'$  is a spanning subgraph of  $M_k$ , this implies that *S'* is also connected which is a contradiction.

Let  $uv \in E(L')$ . Suppose by contradiction that the path *P* linking *u* and *v* in *S* contains the edge  $x_1 y_2$ . Now, consider the cycle  $C = P + uv$ . Since  $x_1 y_2$  belongs to *P*, then  $P' = C - x_1 y_2$  is a path between  $x_1$  and  $y_2$  in *S* such that  $|P| = |P'|$ . To conclude, we consider two cases. If *P'* is contained in  $L_k$ , then  $|P|=|P'| \geq k+1 \geq 4$ , a contradiction. Otherwise,  $P'$  contains the edge  $x_2y_1$ . But, this implies that  $P$  contains both  $x_1 y_2$  and  $x_2 y_1$ . Since  $uv \neq x_1 y_1$  and  $uv \neq x_2 y_2$ , we have that  $|P| \geq 4$  which contradicts the fact that *S* is a 3-spanner of  $M_k$ .

Therefore,  $V(L') = V(M_k)$  induces a connected component in *S'*. But, this implies that *S* contains a cycle, a contradiction.

Since the 3-spanners of  $M_k$  and  $N_k$ ,  $k \geq 3$ , that we constructed previously use  $|V(L_k)|$  edges, we have the following result.

**Corollary 6** *Let*  $S^*$  *be a minimum* 3*-spanner of*  $M_k$  *(or N<sub>k</sub>). Then*  $S^*$  *has*  $|V(L_k)|$ *edges, for*  $k > 3$ *.* 

<span id="page-15-0"></span>We are now ready to show the main result of this section.

**Theorem 7** MINS<sub>3</sub> *can be solved in polynomial time on subcubic graphs.* 

*Proof* Let  $G = (V, E)$  be a subcubic graph. First, we find  $C_3(G)$ . We have shown how to find a minimum 3-spanner for each graph in  $\mathcal{F}_3$ . Thus, given  $H \in C_3(G)$ , we just need to recognize which graph, in  $\mathcal{F}_3$ , it is isomorphic to. For the case  $|V(H)| \leq 9$ , this is done by a brute-force algorithm. Suppose now that  $|V(H)| \ge 10$ . In this case, the only graphs left are  $L_k$ ,  $T_k^1$ ,  $T_k^2$ ,  $M_k$  and  $N_k$ , for  $k \geq 4$ . Let *d* be the number of vertices of degree two in *H*. If  $d = 4$ , then  $H \cong L_k$ ; if  $d = 3$ , then  $H \cong T_k^1$ ; if  $d = 2$ , then  $H \cong T_k^2$ . Finally, if  $d = 0$ , then  $H \cong M_k$  or  $H \cong N_k$ .

We distinguish between  $M_k$  and  $N_k$  as follows. Let  $E'$  be the set of edges in *H* that belong to just one 4-cycle. In the case  $H \cong M_k$ , the set *E'* induces a Hamiltonian cycle of *H*. Otherwise, *E'* induces two disjoint  $(k + 1)$ -cycles.

To conclude this section, we comment on the implication Theorem [7](#page-15-0) has for TREES3. Fomin et al[.](#page-20-11)  $(2011)$  showed that TREES<sub>t</sub> can be solved in polynomial time on the class of bounded-degree graphs. For this, they showed that if a graph *G* has a tree *t*-spanner, then its treewidth is at most  $\Delta(G)^t$ . Thus, if *G* has maximum degree *d*, then TREES<sub>*t*</sub> can be solved in linear time, as follows:

- <span id="page-15-2"></span><span id="page-15-1"></span>(1) check whether *G* has treewidth at most  $d^t$ ; and
- (2) look for a tree *t*-spanner if (1) holds.

Bodlaende[r](#page-19-6) [\(1996](#page-19-6)) showed how to test [\(1\)](#page-15-1) in linear time. Step [\(2\)](#page-15-2) can be solved in linear time via Courcelle's theorem (Courcelle and Engelfrie[t](#page-20-14) [2012\)](#page-20-14), since *G* has bounded treewidth (by [\(1\)](#page-15-1)) and the property of admitting a tree *t*-spanner is expressible in monadic second order logic (Fomin et al[.](#page-20-11) [2011\)](#page-20-11). Although, this algorithm has the best time complexity, it is quite inefficient in practice. The algorithm that tests [\(1\)](#page-15-1) has a very large constant factor, even for checking whether a graph has treewidth at most 4. In our case,  $d^t = 9$ , so its constant factor is too large for practical purposes.

Recently, Papoutsaki[s](#page-20-15) [\(2018\)](#page-20-15) showed a dynamic programming algorithm that solves TREES<sub>t</sub> in polynomial time for fixed  $t$  and maximum degree. Each state of this dynamic programming saves a complete subgraph of the input graph. This makes its implementation quite involved and also memory inefficient.

We observe that, by Proposition [2,](#page-6-2) our approach on  $MINS<sub>3</sub>$  gives an alternative simple algorithm for  $TREES<sub>3</sub>$  on subcubic graphs. First, we find a minimum 3-spanner for each  $H \in C_3(G)$ . Let T be the union of these minimum 3-spanners. Then, G admits a 3-tree spanner if and only if  $T$  is a tree.



<span id="page-16-1"></span>**Fig. 11 a**  $K_2$ ; **b**  $K_3$ ; **c**  $K_4 - e$ ; and **d**  $K_4$ 

## <span id="page-16-0"></span>**4 Polytope of the TREE 2-SPANNERS**

Throughout this section  $G = (V, E)$  denotes a connected subcubic graph. Let  $F \subseteq E$ . The vector  $\chi^F \in \mathbb{R}^E$  denotes the *incidence vector* of the set *F*, in other words, the binary vector whose nonzero entries correspond to the elements in *F*. For simplicity, if *H* is a subgraph of *G*, we write  $\chi^H$  instead of  $\chi^{E(H)}$ . This section is devoted to the study of the following polytope:

 $\mathcal{T}_2(G) := \text{conv}(\lbrace \chi^T \in \mathbb{R}^E : T \text{ is a tree 2-spanner of } G \rbrace)$ ,

where  $conv(X)$  denotes the convex hull of the vectors in *X*. Our aim is to find a set of linear inequalities that define  $\mathcal{T}_2(G)$ . By Proposition [2,](#page-6-2) we only need to describe the set  $\mathcal{T}_2(H)$ , for each  $H \in C_2(G)$ , and combine those inequalities. The set  $C_2(G)$ was characterized by Cai and Kei[l](#page-20-7) [\(1994](#page-20-7)) for graphs of maximum degree 4. When restricted to subcubic graphs, their characterization yields the following result.

**Lemma 8** (Cai and Kei[l](#page-20-7) [1994\)](#page-20-7) *Let G be a graph such that*  $\Delta(G) \leq 3$ *. If*  $H \in C_2(G)$ *, then H is isomorphic to K*<sub>2</sub>*, K*<sub>3</sub>*, K*<sub>4</sub> − *e, or K*<sub>4</sub>*.* 

The graphs in  $C_2(G)$  are shown in Fig. [11.](#page-16-1) Our formulation consists mainly of two sets of inequalities that are based on the following observations. In case *H* is a complete graph, then any tree 2-spanner of *H* has diameter at most two. Thus, such tree is a star, so any tree 2-spanner has no matching of size two. Consider now a graph *G* that contains a 4-cycle *C*. Note that, no tree 2-spanner of *G* contains three edges in *G*. Otherwise, such edges induce a 3-path between two vertices of *C*, which violates the 2-spanner condition.

In what follows, we present our formulation. For this, consider the decision variables  $x \in \mathbb{R}^E$  such that  $x_e = 1$  if and only if *e* belongs to the solution. Let  $P(G)$  be the polytope defined by the following set of inequalities.

 $x(E(G)) = |V(G)| - 1$ ,  $x(E(H)) = |V(H)| - 1$ ,  $\forall H \in C_2(G)$ ,<br> $x(F) \le 1$ ,  $\forall F \subseteq E(H)$ .  $x(F) \le 1$ ,  $\forall F \subseteq E(H)$ , *F* matching,  $H \in C_2(G)$ , *H* clique,  $x(C) < 2$ ,  $\forall C \subseteq E(H)$ , *C* is a 4-cycle,  $H \in C_2(G)$ .  $C \leq Z$ ,  $\forall C \subseteq E(H)$ , *C* is a 4-cycle,  $H \in C_2(G)$ ,  $x_e \leq 1$ ,  $\forall e \in E$ ,  $\forall e \in E,$   $\forall e \in E.$  $x_e \geq 0$ ,

<span id="page-16-2"></span>We show now the main result of this section.

**Theorem 9** *Let*  $G = (V, E)$  *be a connected subcubic graph. Then* 

$$
\mathcal{T}_2(G) = P(G).
$$

*Proof* First, we show that  $\mathcal{T}_2(G) \subseteq P(G)$ . Let *T* be a tree 2-spanner of *G*, and let *H* be a subgraph in  $C_2(G)$ . Consider that  $T^H = H \cap T$ . By Proposition [2,](#page-6-2)  $T^H$  is a tree 2-spanner of *H*. Since  $T^H$  is a tree, we have that  $\chi^T(E(H)) = |V(H)| - 1$ . Now, let *C* be a 4-cycle in *H*. Observe that  $\chi^T(C) \leq 3$ . We will show that  $\chi^T(C) \neq 3$ . Suppose by contradiction that  $\chi^T(C) = 3$ , and let  $uv \in E(C)$  be the unique edge that is not in the support of  $\chi^T$ . Since *T* is a tree, the unique path that links *u* and *v*, in *T*, is precisely  $C - uv$ . But, this implies that  $d_T(u, v) = 3$ , which contradicts the fact that *T* is a 2-spanner of *G*. Thus,  $\chi^T(C)$  < 2. Finally, if *H* is a clique, then  $T^H$  has diameter at most two, so it is a star. As all the edges in a star share a common vertex, then  $\chi^T(F) \leq 1$ , for any matching *F* in *H*. Therefore, we have that  $\mathcal{T}_2(G) \subseteq P(G)$ .

We show now that  $P(G) \subseteq T_2(G)$ . For this, it suffices to show that every vertex of  $P(G)$  has integer coordinates. Let  $x^*$  be a vertex of  $P(G)$ . We say that an edge  $e \in E$ is *fractional* if  $0 < x_e^* < 1$ . Moreover, we say that an edge *e* is *full* if  $x_e = 1$ . Let *e* ∈ *E*, and let *H* ∈  $C_2(G)$  be the subgraph that contains *e*. To show that  $x_e^* = 0$  or  $x_e^* = 1$ , we distinguish four cases.

**Case 1:**  $H \cong K_2$ 

In this case,  $E(H) = \{e\}$ . Then,  $x^*(E(H)) = x_e^* = 1$ . **Case 2:**  $H \cong K_3$ 

By contradiction, suppose that *e* is fractional. Since  $x^*(E(H)) = 2$ , we have that  $x^*(E(H)) - x_e^* > 1$ . This implies that there is another fractional edge  $f \in E(H)$ . Let

$$
\varepsilon = \min\{x_e^*, x_f^*, 1 - x_e^*, 1 - x_f^*\}.
$$

Consider the vectors  $x^1$  and  $x^2$  defined as follows:

$$
x_k^1 = \begin{cases} x_e^* - \varepsilon, & \text{if } k = e, \\ x_f^* + \varepsilon, & \text{if } k = f, \\ x_k^*, & \text{otherwise.} \end{cases} \qquad x_k^2 = \begin{cases} x_e^* + \varepsilon, & \text{if } k = e, \\ x_f^* - \varepsilon, & \text{if } k = f, \\ x_k^*, & \text{otherwise.} \end{cases}
$$

Note that  $x^1(E(H)) = x^2(E(H)) = 2$ . Furthermore, by the definition of  $\varepsilon$ , all the other inequalities are satisfied. Thus  $x^1$  and  $x^2$  belong to  $P(G)$ . But this contradicts the fact that  $x^*$  is a vertex, since  $x^* = \frac{1}{2}(x^1 + x^2)$ . **Case 3:**  $H \cong K_4 - e$ 

Let *C* be the 4-cycle in *H*. First, we show the following claim.

**Claim 10** *If*  $C$  contains a fractional edge, then  $x^*(C) < 2$ .

*Proof of Claim* First, we will show that *C* has at most one fractional edge. Suppose by contradiction that there exist edges *f* , *g*  $\in E(C)$  such that  $0 < x_f^*$ ,  $x_g^* < 1$ . Let

$$
\varepsilon = \min\{x_f^*, x_g^*, 1 - x_f^*, 1 - x_g^*\}.
$$

Define now vectors  $x^1$  and  $x^2$  as in Case 2. Then,  $x^1(E(H)) = x^2(E(H)) =$  $x^*(E(H))$  and  $x^1(C) = x^2(C) = x^*(C)$ . Thus,  $x^1$  and  $x^2$  belong to  $P(G)$ . But this contradicts the fact that  $x^*$  is a vertex.

Therefore, the vector  $x^*$  restricted to  $C$  contains at most one fractional edge. Now suppose that *C* contains a fractional edge, say *f*. Since  $x^*(C) \leq 2$ , there is at most one full edge in  $E(C) - f$ . Therefore,  $x^*(C) < 2$ .

Since  $E(H) \setminus E(C)$  contains a unique edge, the previous claim implies that *C* has no fractional edge, otherwise we would have  $x^*(E(H)) < 3$ , a contradiction. Finally, since  $x^*(E(H)) = 3$  and  $x^*(C) \le 2$ , the unique edge in  $E(H) \setminus E(C)$  is also integral. **Case 4:**  $H \cong K_4$ 

Let *f* be an edge of *H*. We will denote by  $f'$  the unique edge in *H* such that  $\{f, f'\}$ is a matching. In this case, we first show the following claim.

**Claim 11** If  $x_f^*$  is fractional, then  $x_{f'}^* = 0$ .

*Proof of Claim* If  $x_f^*$  is fractional, then  $x_{f'}^* < 1$ . Suppose by contradiction that  $x_{f'}^* > 0$ , and let  $\varepsilon = \min\{x_f^*, x_{f'}^*, 1 - x_f^*, 1 - x_{f'}^*\}$ . Then, if we define vectors  $x^1, x^2$  as in Case 2, we have that  $x^*(E(H)) = x^1(E(H)) = x^2(E(H))$ , and also, for any 4-cycle *C*, we have  $x^*(C) = x^1(C) = x^2(C)$ . Finally, by the way we defined  $x^1$  and  $x^2$ , we have that  $x^1(F) \le 1$  and  $x^2(F) \le 1$ , for any matching *F* in *H*. Therefore, both vectors  $x^1$ and  $x^2$  belong to  $P(G)$ , a contradiction.

Consider that  $E(H) = \{e, e', f, f', g, g'\}$ . As  $x^* \in P(G)$ , we have that  $x_e^* + x_{e'}^* \le$  $1, x_f^* + x_{f'}^* \leq 1$ , and  $x_g^* + x_{g'}^* \leq 1$ . Since  $x^*(E(H)) = 3$ , all the previous inequalities must be satisfied with equality. Therefore, the above claim implies that *H* has no fractional edge.

Since each graph in  $C_2(G)$  has constant size, the polytope  $P(G)$  consists of  $O(|E|)$ inequalities and, therefore, we can find an optimal solution of  $P(G)$  in polynomial time on the size of the input graph *G* (Dantzig and Thap[a](#page-20-22) [2003\)](#page-20-22).

Suppose now that *G* has costs  $c_e \in \mathbb{R}$ ,  $e \in E$ , assigned to its edges. Let us consider the *minimum cost tree t-spanner problem* ( $MCTS<sub>t</sub>$ ) that seeks a tree *t*-spanner of minimum total cost. We observe that, when measuring the distance between two vertices *u* and *v*, we disregard the costs of the edges. So,  $d_G(u, v)$  is the minimum length of a path linking *u* and v in *G*.

Theorem [9](#page-16-2) implies that an optimal solution of min{ $cx : x \in P(G)$ } induces a tree 2-spanner of G that is an optimal solution for MCTS<sub>2</sub>. Therefore, we obtain the following result.

**Theorem 12** MCTS<sub>2</sub> *can be solved in polynomial time on subcubic graphs.* 

## <span id="page-18-0"></span>**5 Concluding remarks**

We showed a polynomial-time algorithm for  $MINS<sub>3</sub>$  on subcubic graphs. This result answers partially an open question regarding the complexity of MinS*<sup>t</sup>* on boundeddegree graphs. This algorithm also yields an alternative algorithm for  $TREES<sub>3</sub>$  on this

class of graphs[.](#page-20-9) Gómez et al.  $(2023)$  have proved recently that  $MINS<sub>3</sub>$  on (planar) graphs of maximum degree at least 5 is an NP-hard problem. Thus, for boundeddegree graphs, it remains only to establish the complexity of  $MINS<sub>3</sub>$  on graphs with maximum degree 4. Such a result would solve the unique open question for  $t = 3$  (see Table [1\)](#page-2-0). We consider this a challenging and interesting problem.

We also investigated  $TREES<sub>t</sub>$  from a polyhedral point-of-view. In particular, we focused on the incidence vectors of the tree 2-spanners of a subcubic graph, and studied the polytope defined by the convex hull of these vectors. We showed a complete linear description of this polytope (of polynomial size). As a byproduct, we obtained a polynomial-time algorithm for  $MCTS<sub>2</sub>$  on subcubic graphs. As far as we know, this is a novel approach and result for this problem. It was motivated by the results obtained by Álvarez-Miranda and Sinn[l](#page-19-3) [\(2019\)](#page-19-3), and by Ahmed et al[.](#page-19-4) [\(2019\)](#page-19-4) on (mixed) integer linear formulations for MinS*<sup>t</sup>* (and its variants). They are able to solve only small instances in a reasonable amount of time. Thus, finding strong and tight inequalities for the relaxed formulations may lead to approaches with better performance. However, it seems to be a hard and challenging problem.

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## **Declarations**

**Conflict of interest** The authors declare that they have no conflict of interest. The authors have no relevant financial or non-financial interests to disclose.

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