A parameterized perspective on packing paths of length two

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Abstract We study (vertex-disjoint) packings of paths of length two (i.e., of P_2 's) in graphs under a parameterized perspective. Starting from a maximal P_2 -packing \mathcal{P} of size j we use extremal combinatorial arguments for determining how many vertices of \mathcal{P} appear in some P_2 -packing of size (j + 1) (if such a packing exists). We prove that one can 'reuse' 2.5j vertices. We also show that this bound is asymptotically sharp. Based on a WIN-WIN approach, we build an algorithm which decides, given a graph, if a P_2 -packing of size at least k exists in time $\mathcal{O}^*(2.448^{3k})$.

Keywords Path packing · Parameterized algorithms · Extremal combinatorics

1 Introduction and definitions

Mathematical motivation

We consider a natural generalization of the well-known matching problem in graphs. A maximum matching is a maximum cardinality set of vertex disjoint edges, i.e., a packing with paths of length one. We are going to study packings by paths of length two (abbreviated as P_2). More formally, we consider the following problem, called P_2 -PACKING:

Given: A graph G = (V, E), and the parameter k. We ask: Is there a set of k vertex-disjoint P_2 's in G?

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Kirkpatrick and Hell (1978), Hell and Kirkpatrick (1982) proved \mathcal{NP} -completeness for this problem. In fact, they showed that general MAXIMUM *H*-PACKING is \mathcal{NP} complete. Here, *H* is a graph with at least three vertices in some connected component. Notice that *P*₂-PACKING attracts attention as it is \mathcal{NP} -hard, whereas the classical matching problem, which is *P*₁-PACKING, is solvable in polynomial time.

Applications

Test cover There is a strong link to the TEST COVER (TC) problem (De Bontridder et al. 2003) with applications ranging from fault testing and diagnosis, pattern recognition to biological identification. The input to TC is a hypergraph H = (G, E)and one wishes to identify a subset $E' \subseteq E$ (the *test cover*) such that, for any distinct $i, j \in V$, there is an $e' \in E'$ with $|e' \cap \{i, j\}| = 1$. Tests are modeled by hyperedges e; $x \in e$ means that the individual (vertex) x passes the test. TC models identification problems: Given a set of individuals and a set of binary attributes, we search for a minimum subset of attributes that identifies each individual distinctly. For the special yet important case TCP2, for all $e \in E$, we have $|e| \le 2$. This means that at most two individuals can pass a certain test. For TCP2, De Bontridder et al. (2003) could show the following two assertions. (1) If H has a test cover of size τ , then there is a P₂-packing of size $n - \tau - 1$ that leaves at least one vertex isolated. (2) If H has a maximal P_2 -packing of size π that leaves at least one vertex isolated, then there is a test cover of size $n - \pi - 1$. This also establishes a close relation between TEST COVER and TOTAL EDGE COVER. So we can employ our algorithms to solve the TCP2 case of TEST COVER by using an initial catalytic branch that determines the one vertex that should be isolated.

General packing of paths of length d (called P_d -packing) can be Vehicle routing motivated by a vehicle routing problem. Suppose there is a number of customers such that to everyone the same kind of item should be delivered. Delivering is done by the same kind of vehicle. Assume in every such vehicle exactly d items fit in. Now we want to assign the customers to the vehicles in a way that delivery times are minimized. We model this by a graph G: The customers are vertices and two vertices are joined by an edge if their distance is less than some $\epsilon > 0$. In practice ϵ is some reasonable constant which permits to travel from one customer to the other in short time. Now assume we can perfectly pack a set of P_d 's in G (perfectly means covering every vertex). Then every P_d corresponds to some vehicle. The vehicle will start at an endpoint and serve every customer along the path. This way we minimize the maximum time a vehicle needs to serve all its assigned customers (not regarding travel time to the first customer site). If d is small (like d = 2 in this paper), think of the items being whole containers of goods, but a truck could only deliver up to three containers a time. This approach has been reported in Bazgan et al. (2005), Monnot and Toulouse (2007), where actually a partitioning (not a packing) of the graph into paths was asked for to model the quest for serving all customers. However, as those problems typically arise on a dynamic day-to-day basis (where the underlying graph also varies day by day), we might ask for such a packing that models the optimal use of all k available trucks on a given day, leaving the remaining vertices (customers) to be delivered the other day, with possible new deliver requests coming up (and hence enlarging the graph again).

Our framework: parameterized complexity

A parameterized problem *P* is a subset of $\Sigma^* \times \mathbb{N}$, where Σ is a fixed alphabet and \mathbb{N} is the set of all non-negative integers. Therefore, each instance of the parameterized problem *P* is a pair (*I*, *k*), where the second component *k* is called the *parameter*. The language L(P) is the set of all YES-instances of *P*. We say that the parameterized problem *P* is *fixed-parameter tractable* (Downey and Fellows 1999) if there is an algorithm that decides whether an input (*I*, *k*) is a member of L(P) in time $f(k)|I|^c$, where *c* is a fixed constant and f(k) is a function independent of the overall input length |I|. We will also write $\mathcal{O}^*(f(k))$ for this run-time bound. Equivalently, one can define the class of fixed-parameter tractable problems as follows: strive to find a polynomial-time transformation that, given an instance (*I*, *k*), produces another instance (*I'*, *k'*) of the same problem, where |I'| and *k'* are bounded by some function g(k); in this case, (*I'*, *k'*) is also called a (*problem*) *kernel*.

Discussion of related work

Hassin and Rubinstein (2006) found a randomized $\frac{35}{67}$ -approximation for finding a maximum P_2 -packing. De Bontridder et al. (2003) studied deterministic approximation algorithms, considering a series of heuristics H_{ℓ} . H_{ℓ} starts from a maximal P_2 -packing \mathcal{P} and tries to improve it by replacing ℓ P_2 's by $\ell + 1$ P_2 's. The corresponding approximation ratios ρ_{ℓ} are as follows: $\rho_0 = \frac{1}{3}$, $\rho_1 = \frac{1}{2}$, $\rho_2 = \frac{5}{9}$, $\rho_3 = \frac{7}{11}$ and $\rho_{\ell} = \frac{2}{3}$ for $\ell \ge 4$.

As any P_2 -PACKING instance can be transformed into a 3-SET PACKING instance one can use the algorithm of Liu et al. (2006) which needs $\mathcal{O}^*(4.61^{3k})$ steps, or the very recent algorithm of Wang and Feng (2008) running in time $\mathcal{O}(3.52^{3k})$. This is the culmination point of a sequence of papers subsequently improving on the running time of this problem. Alternatively, we can use randomized parameterized algorithms; Koutis (2008) has developed a randomized parameterized algorithm for this problem that runs in time $\mathcal{O}^*(2^{3k})$. The first paper to individually study P_2 -PACKING under a parameterized view was Prieto and Sloper (2004). The authors were able to prove a 15*k*-kernel. Via a clever midpoint search on the kernel they could achieve a deterministic run time of $\mathcal{O}^*(3.403^{3k})$. Another special case of 3-SET PACKING studied from a parameterized perspective is 3-DIMENSIONAL MATCHING, see Liu et al. (2006) for a deterministic algorithm of run time $\mathcal{O}^*(2.77^{3k})$. Recently, Wang et al. (2008) found a kernel of size 7*k* for *P*₂-PACKING, resulting in a deterministic $\mathcal{O}^*(2.61^{3k})$ -algorithm for this problem.

Our contributions

We mention that the presented results where partly already published in form of a conference paper (Fernau and Raible 2008) and as a technical report (Chen et al. 2008). Actually, Fernau and Raible (2008) and Wang et al. (2008) are spin-offs of Chen et al. (2008). The main achievements of this paper are:

(1) We present an iterative-augmentation-type algorithm which solves this problem in time $\mathcal{O}^*(2.448^{3k})$. (2) We exhibit an extremal combinatorial argument to show that, given a maximal P_2 -packing of size j and provided that a larger packing exists, we can reuse 2.5j vertices of the known packing. This improves a similar result for general 3-SET PACKING (Liu et al. 2006) where only 2j elements are known to be reusable. (3) We present a graph family such that the before mentioned reusability result is asymptotically sharp. (4) Another novelty is that in this algorithm, the inductive augmentation step is interleaved with kernelization. This pays off not only heuristically but also asymptotically by a specific form of combinatorial analysis. Thereby we can completely skip the time consuming color-coding which was needed in Liu et al. (2006) for 3-SET PACKING. (5) We show that WIN-WIN games can be played with two different brute-force algorithms to finally achieve the claimed running time. We believe that especially the idea of saving colors by extremal combinatorial arguments could be applied in other situations, as well. (6) We derive a new linear kernel result for the related problem of TOTAL EDGE COVER and can also give lower bounds on the kernel size.

Additionally, we must admit that in the previous versions of this paper (Fernau and Raible 2008 and Chen et al. 2008) there is a mistake. Due to complexity reasons the search for the endpoint pairs cannot be undertaken. Thus, only a run time of $\mathcal{O}^*(17.44^k)$ can be claimed instead of $\mathcal{O}^*(15.285^k)$. Nevertheless, in this paper we achieve a run time for the problem which beats the one which was originally claimed.

Some notations and definitions

We only consider undirected graphs G = (V, E). For a subgraph H of G, denote by N(H) the set of vertices that are not in H but adjacent to at least one vertex in H, i.e., $N(H) = (\bigcup_{v \in H} N(\{v\})) \setminus H$. The subgraph H is *adjacent* to a vertex vif $v \in N(H)$. A P_2 in G is a path which consists of three vertices and two edges. For any path p of this kind we consider the vertices as numbered such that $p = p_1 p_2 p_3$ (where the roles of p_1 and p_3 might be interchanged). At some points we consider p as a tuple (p_1, p_2, p_3) if necessary. For a path p, V(p) (E(p), resp.)denotes the set of vertices (edges, resp.) of p. Likewise, for a set of paths $\mathcal{P}, V(\mathcal{P}) :=$ $\bigcup_{p \in \mathcal{P}} V(p)$ $(E(\mathcal{P}) := \bigcup_{p \in \mathcal{P}} E(p), resp.)$. A vertex v is called \mathcal{P} -midpoint if there is a $p = p_1 p_2 p_3 \in \mathcal{P}$ with $p_2 = v$. Let $\mathcal{M}_{\mathcal{P}} := \{p_2 \mid \exists p \in \mathcal{P} : p = p_1 p_2 p_3\}$ contain the \mathcal{P} -midpoints. Vertices from $V(\mathcal{P})$ that are not \mathcal{P} -midpoints are called \mathcal{P} -endpoints.

Organization of the paper

In Sect. 2, we discuss the notion of parametric duality in the context of the P_2 -PACKING problem, deriving lower and upper bounds on the kernel size of TOTAL EDGE COVER. Section 3 presents the combinatorial results we derived for P_2 -packings, in particular concerning the reusability of vertices and, more specifically, of midpoints in the attempt to construct larger packings from smaller ones. In Sect. 4, we present the iterative augmentation algorithm, including its analysis. Section 5 is mostly devoted to displaying examples of graph families that show that new rules and insights are necessary to further improve our algorithm along the lines of thought.

2 Issues from parameterized complexity

In parameterized complexity, the (parametric) dual of a vertex-selection problem, parameterized by a bound k on the solution size (the *natural parameter* of the problem), is defined by reversing the parameter, i.e., by considering $k_d = |V| - k$ instead of k as the parameter of the problem. This notion should not be confused with the classical notion of duality known from linear programming. However, in analogy with that area, the problem parameterized by k is then called the *primal* problem. For example, the classical VERTEX COVER problem is such a vertex-selection problem. The natural parameter k is upper-bounding the size of an acceptable solution (vertex cover). As it is well-known, a graph G = (V, E) has a vertex cover of size |V| - k if and only if G has an independent set of size k. Hence, VERTEX COVER and INDEPENDENT SET (using the natural parameterizations that bound the solution sets) are (parametric) duals to each other. While VERTEX COVER is in \mathcal{FPT} , INDEPENDENT SET (on general graphs) is likely not to be (both problems considered with the natural parameterizations). Similarly, while DOMINATING SET is fixed-parameter intractable on general graphs, its parametric dual, called NONBLOCKER, is fixed-parameter tractable (Dehne et al. 2006). In fact, many problems which are parameterized tractable become intractable when the parameter is "turned around" (see Fellows 2002; Khot and Raman 2002; Prieto and Sloper 2003). However, knowing that both primal and parametric dual are in \mathcal{FPT} (and hence kernelizable) allows to state lower-bound results on kernel sizes, see Chen et al. (2007), which makes such problem pairs very interesting for parameterized complexity.

An *edge cover* is a set of edges $EC \subseteq E$ that cover all vertices of a given graph G = (V, E). A *matching* is a set of edges $M \subseteq E$ of a given graph G = (V, E), where each two edges e, e' from M have no common endpoint. According to the well-known theorem of Gallai (1959), the size of a maximum matching of a graph G = (V, E) plus the size of a minimum edge cover of G equals |V|. In a somewhat generalized fashion as discussed above, this means that EDGE COVER and MATCHING can be viewed as parametric duals. By matching techniques, the problem of finding an edge cover of size at most k is hence solvable in polynomial time, see Micali and Vazirani (1980). (It is known (see Plesník 1984) that even MINIMUM WEIGHTED EDGE COVER can be optimally solved in polynomial (cubic) time.)

Fernau and Manlove (2006) discovered a similar relation of P_2 -PACKING to TO-TAL EDGE COVER, which we now describe. An edge cover is called *total* if every component in *G*[*EC*] has at least two edges. This type of constraint for covering problems is motivated by modeling clustering properties within cover sets, see Fernau and Manlove (2006). However, the following Gallai-type identity (Fernau and Manlove 2006) proves that finding total edge covers of size at most *k* is \mathcal{NP} -hard: The sum of the number of P_2 's in a maximum P_2 -packing and the size of a minimum total edge cover equals n = |V|. The proof of the mentioned Gallai-type identity used only the fact that the covers and packings were minimal and maximal, respectively, with respect to (edge) set inclusion, although the statement of the identity refers to minimum and maximum solutions, respectively. Hence, observing that the covers and packings in question could be minimal and maximal, we can infer: **Theorem 1** Let G = (V, E) be a graph. G contains a P_2 -packing of size at least k if and only if there is a total edge cover of size at most |V| - k in G.

Fernau and Manlove (2006) also showed that TOTAL EDGE COVER is fixedparameter tractable (or: lies in \mathcal{FPT} , for short). Hence, both the P_2 -PACKING problem (being in the focus of this paper), asking for a set of k vertex-disjoint P_2 's in the given graph G = (V, E), and the question to find (|V| - k) vertex-disjoint P_2 's in the given graph G = (V, E), with parameter k, respectively, are in \mathcal{FPT} . Equivalently, both the question of finding a total edge cover of size k_d in the given graph G = (V, E) (i.e., TOTAL EDGE COVER) and the question of finding a total edge cover of size $(|V| - k_d)$ in G, with parameter k_d , are in \mathcal{FPT} . This is quite interesting since there are few natural, unrestricted problems where both the primal and the dual variant are known to lie in \mathcal{FPT} .

We mention here that the (more general results) of Fernau and Manlove (2006) on kernel sizes of variants of edge-cover problems can be improved for the parametric dual (in the sense of Theorem 1) TOTAL EDGE COVER, parameterized by k_d upper-bounding the edge cover size:

Theorem 2 TOTAL EDGE COVER admits a kernel with at most $1.5k_d$ vertices.

Proof Since we aim at a total edge cover, the largest number of vertices that can be covered by k_d edges is $1.5k_d$ (namely, if the edge cover is a P_2 -packing). Hence, if the graph contains more than $1.5k_d$ vertices, we can reject. This leaves us with a kernel with at most $1.5k_d$ vertices.

Based on the work of Prieto and Sloper (2004), the authors Chen et al. (2008), Wang et al. (2008) exhibited the following result:

Theorem 3 (Wang et al. 2008) *P*₂-PACKING admits a kernel with at most 7k vertices.

This also allows us to state lower bounds for the kernel sizes, based on works of Chen et al. (2007):

Corollary 1 Trivially, P_2 -PACKING does not admit a kernel with less than 3k vertices. TOTAL EDGE COVER does not admit a kernel with less than $\alpha_a k_d$ vertices for any $\alpha_d < (7/6)$, unless $\mathcal{P} = \mathcal{NP}$.

Proof A P_2 -packing of size k is only possible in a graph with at least 3k vertices. Due to Theorem 3 and (Chen et al. 2007, Theorem 3.1), there does not exist a kernel of size $\alpha_d k_d$ for TOTAL EDGE COVER under the assumption that $\mathcal{P} \neq \mathcal{NP}$ if $(7-1)(\alpha_d - 1) < 1$.

3 Combinatorial properties of P2-packings

This section is devoted to proving the following combinatorial result by extremal combinatorial arguments. Notice that $\Omega_{(2)}$ denotes a specific family of P_2 -packings

of size (j + 1). The exact definition of $\mathfrak{Q}_{(2)}$ will be given later in this section, but its understanding is not necessary to appreciate the algorithmic consequences of this result at this stage: Having obtained (somehow) a maximal P_2 -packing \mathcal{P} of size j, it is sufficient to find (0.5j + 3) more vertices from $V \setminus V(\mathcal{P})$ to built a P_2 -packing \mathcal{Q} of size (j + 1), if possible.

Theorem 4 Let \mathcal{P} be a maximal P_2 -packing of size j. If there is a P_2 -packing of size (j + 1), then there is also a packing $\mathcal{Q} \in \mathfrak{Q}_{(2)}$ with $|V(\mathcal{P}) \cap V(\mathcal{Q})| \ge 2.5j$.

The combinatorial properties of Q will be used in the next section by the inductive step of our algorithm for P_2 -PACKING. So, in our induction hypothesis we assume that we already know a (with respect to inclusion) maximal P_2 -packing P. Among all maximal P_2 -packings of size (j + 1), we will consider those packings that recycle as many paths from P as possible. More formally, we consider those Q that maximize

$$|\{p \in \mathcal{P} \mid \exists q \in \mathcal{Q} : E(p) = E(q)\}|.$$
(1)

We call the set of these packings $\mathfrak{Q}_{(1)}$.¹ From Liu et al. (2006), we know:

Lemma 1 (Liu et al. 2006) $|V(p) \cap V(Q)| \ge 2$ for any $p \in \mathcal{P}$ and $Q \in \mathfrak{Q}_{(1)}$.

Proof If there is $p \in \mathcal{P}$ with $|V(p) \cap V(\mathcal{Q})| = 1$, then replace the path $q \in \mathcal{Q}$ that intersects with p by p. In the case where $|V(p) \cap V(\mathcal{Q})| = 0$, simply replace an arbitrary $q \in \mathcal{Q} \setminus \mathcal{P}$, that must exist by pigeon-hole, by p. In both cases, we obtain a packing \mathcal{Q}' of the same size as \mathcal{Q} , but recycling more paths, contradicting $\mathcal{Q} \in \mathfrak{Q}_{(1)}$.

A slightly sharper version is the next assertion:

Corollary 2 If $Q \in \mathfrak{Q}_{(1)}$, then for any $p \in \mathcal{P}$ with $p \notin Q$, there are $q_1, q_2 \in Q$, $q_1 \neq q_2$, with $|V(p) \cap V(q_i)| \ge 1$ (i = 1, 2).

Proof Suppose it exists $p \in \mathcal{P}$ and only one $q \in \mathcal{Q}$ with $|V(p) \cap V(q)| \ge 2$ (Lemma 1). Then $\mathcal{Q} \setminus \{q\} \cup \{p\}$ improves on property (1), contradicting $\mathcal{Q} \in \mathfrak{Q}_{(1)}$. \Box

We sharpen this combinatorial bound by considering from the set $\mathfrak{Q}_{(1)}$ only those P_2 -packings \mathcal{Q}' which maximize the following second property:

$$|E(\mathcal{P}) \cap E(\mathcal{Q}')|. \tag{2}$$

The set of the remaining P_2 -packings will be called $\mathfrak{Q}_{(2)}$. So, in $\mathfrak{Q}_{(2)}$ are those packings from $\mathfrak{Q}_{(1)}$ which share the maximum number of edges from \mathcal{P} .

In contrast to the general situation with 3-SET PACKING, paths are more concrete objects that can be shifted or folded along the given graph. These geometric ideas

¹The notation $\mathfrak{Q}_{(1)}(\mathcal{P})$ might be more appropriate, since $\mathfrak{Q}_{(1)}$ is depending on \mathcal{P} , but we preferred to stay with this simpler notation.



Fig. 1 The *black vertices* and *solid edges* indicate the P_2 -packing \mathcal{P} . The polygons contain the P_2 's of the packing \mathcal{Q} . *Dotted line* are not contained in $E(\mathcal{P})$



Fig. 2 The *black vertices* and *solid edges* indicate the P_2 -packing \mathcal{P} . The polygons contain the P_2 's of the packing \mathcal{Q} . *Dotted line* are not contained in $E(\mathcal{P})$

will be used to finally prove our claimed combinatorial theorem. We formalize these intuive notions of folding and shifting of paths in the following definition, which should be easier to follow when looking at the examples provided in Fig. 1.

Definition 1

- 1. We call $q = q_1q_2q_3 \in \mathcal{Q}$ foldable on $p = p_1p_2p_3 \in \mathcal{P}$ if, for $q_2 \in V(p) \cap V(q)$, we have $p_s = q_2$, $s \in \{1, 2, 3\}$, and either $p_{s+1} \notin V(\mathcal{Q})$ or $p_{s-1} \notin V(\mathcal{Q})$, see Fig. 1(a).
- 2. If q is foldable on p, then substituting q by $(q \setminus \{q_i\}) \cup \{p_{s\pm 1}\}$ with $i \in \{1, 3\}$ and $q_i \notin V(p)$, will be called $(q_i, p_{s\pm 1})$ -folding, see Fig. 1(b).
- 3. We call $q = q_1q_2q_3 \in Q$ shiftable with respect to q_1 (or q_3 , resp.) on $p = p_1p_2p_3 \in P$ if the following holds: $q_1 \in V(p) \cap V(q)$ (or $q_3 \in V(p) \cap V(q)$, resp.) and either $p_{s+1} \notin V(Q)$ or $p_{s-1} \notin V(Q)$ where $p_s = q_1$ (or $p_s = q_3$, resp.) and $s \in \{1, 2, 3\}$, see Fig. 1(c).
- 4. If q is shiftable on p with respect to $t \in \{q_1, q_3\}$, then substituting q by $q \setminus \{g\} \cup \{p_{s+1}\}$ (or by $q \setminus \{g\} \cup \{p_{s-1}\}$, resp.), $g \in \{q_1, q_3\} \setminus \{t\}$, will be called (g, p_{s+1}) -shifting (or (g, p_{s-1}) -shifting, resp.), see Fig. 1(d).

Lemma 2 If $q = q_1q_2q_3 \in \mathcal{Q}$ with $\mathcal{Q} \in \mathfrak{Q}_{(2)}$ is shiftable on $p \in \mathcal{P}$ with respect to one of the endpoints q_e , $(e \in \{1, 3\})$, then there is some $p' \in \mathcal{P}$ with $p' \neq p$ with $\{q_{e'}, q_2\} \in E(p')$ $(e' \in (\{1, 3\} \setminus \{e\}))$, see Fig. 2(a).

Proof W.l.o.g., discuss e = 1. We examine the case where $V(p) \cap V(q) = \{q_1\}$ and, w.l.o.g., $p_{s+1} \notin V(Q)$. Now assume the contrary. Then by (q_3, p_{s+1}) -shifting, we obtain a P_2 -packing Q'. Comparing Q and Q' with respect to property (1), Q' is no

worse than Q. But Q' improves on property (2), as we gain $\{p_s, p_{s+1}\}$ without losing an edge from \mathcal{P} . But this contradicts $Q \in \mathfrak{Q}_{(2)}$.

Lemma 3 If $Q \in \mathfrak{Q}_{(2)}$, then no $q \in Q$ is foldable.

Proof Suppose some $q \in Q$ is foldable on p and, w.l.o.g., $p_{s+1} \notin V(Q)$. Then by (q_1, p_{s+1}) -folding q we could improve on property (2) (without weakening property (1)), contradicting $Q \in \mathfrak{Q}_{(2)}$.

Suppose there is a path p with $|V(p) \cap V(Q)| = 2$. Then p shares exactly one vertex $p_{q'}, p_{q''}$ with paths $q', q'' \in Q$ due to Corollary 2. In the following $p_{q'}$ and $p_{q''}$ will always refer to the two cut vertices of the paths $q', q'' \in Q$ which cut a path p with $|V(p) \cap V(Q)| = 2$.

Lemma 4 Let $Q \in Q_{(2)}$. Consider $p \in P$ with $|V(p) \cap V(Q)| = 2$ and neither $p_{q'}$ nor $p_{q''}$ are Q-endpoints. Then one of q', q'' is foldable.

Proof Let $i, j \in \{1, 2, 3\}$ such that $p_{q'} = p_i$ and $p_{q''} = p_j$. Then for $f \in \{1, 2, 3\} \setminus \{i, j\}$, we have $p_f \notin V(Q)$. W.l.o.g., $\{p_i, p_f\} \in E(p)$. Then q' is (q'_1, p_f) -foldable.

Corollary 3 Let $Q \in \mathfrak{Q}_{(2)}$ and $p \in \mathcal{P}$ with $|V(p) \cap V(Q)| = 2$. Then one of $p_{q'}, p_{q''}$ must be a Q-endpoint.

Proof Assume the contrary. Then by using Lemmas 3 and 4 we derive a contradiction. \Box

We define $\mathcal{P}_i(\mathcal{Q}) := \{ p \in \mathcal{P} \mid i = |p \cap V(\mathcal{Q})| \}$. Now we are ready to prove the main theorem.

Proof of Theorem 4 Suppose there is a path $p \in \mathcal{P}$ with $|V(p) \cap V(\mathcal{Q})| < 3$. By Corollary 2 we must have $|V(p) \cap V(\mathcal{Q})| = 2$. By Corollary 3, w.l.o.g. $p_{q'}$ is a \mathcal{Q} -endpoint. For $p_{q''}$ there are two possibilities:

- (a) $p_{q''}$ is also a Q-endpoint. Let $\{p_f\} = V(p) \setminus \{p_{q'}, p_{q''}\}$. Then, w.l.o.g., $\{p_{q'}, p_f\} \in E(p)$. Therefore $p_{q'}$ is shiftable.
- (b) $p_{q''}$ is a Q-midpoint.

Claim $p_{q''} \neq p_2$: Suppose the contrary. Then w.l.o.g., $p_{q'} = p_1$ and thus q'' is foldable on p by a (q''_1, p_3) -folding. This contradicts Lemma 3. The claim follows.

W.l.o.g., we assume $p_{q''} = p_1$, see Fig. 2(b). Then it follows that $p_{q'} = p_2$, as otherwise a (q_1'', p_2) -folding would contradict Lemma 3 again. From $p_{q'} = p_2$ and $p_3 \notin V(Q)$ we can derive that also in this case $p_{q'}$ is shiftable.

We now examine for both cases the implications of the shiftability of $p_{q'}$. W.l.o.g., we suppose that $p_{q'} = q'_1$. Due to Lemma 2 there is a $p' \in \mathcal{P}$ with $\{q'_3, q'_2\} \in E(p')$. From Corollary 2, it follows that there must be a $\bar{q} \in \mathcal{Q} \setminus \{q'\}$ with $|V(p') \cap V(\bar{q})| = 1$,



Fig. 3 The *black vertices* and *solid edges* indicate the P_2 -packing \mathcal{P} . The polygons contain the P_2 's of the packing \mathcal{Q} . *Dotted line* are not contained in $E(\mathcal{P})$

see Fig. 2(c). Hence, $|V(p') \cap V(Q)| = 3$. Note that q' is the only path in Q with $|V(q') \cap V(p')| = 2$. Summarizing, we can say that for any $p \in \mathcal{P}$ with $|V(p) \cap V(Q)| = 2$ we find a distinct $p' \in \mathcal{P}$ (via q') such that $|V(p') \cap V(Q)| = 3$. So, there is a total injection γ from $\mathcal{P}_2(Q)$ to $\mathcal{P}_3(Q)$. From $|\mathcal{P}_2(Q) \cup \mathcal{P}_3(Q)| = j$ and the existence of γ we derive $|\mathcal{P}_2(Q)| \le 0.5j$. This implies $|V(\mathcal{P}) \cap V(Q)| = 2|\mathcal{P}_2(Q)| + 3|\mathcal{P}_3(Q)| \ge 2.5j$.

In a further step we will prove that also a considerable amount of old midpoints (vertices in $\mathcal{M}_{\mathcal{P}}$) will also be new midpoints (vertices in $\mathcal{M}_{\mathcal{Q}}$). For this reason we introduce a third priority. We only consider P_2 -packings $\mathcal{Q} \in \mathfrak{Q}_{(2)}$ which maximize the following property (3) and subsume them in $\mathfrak{Q}_{(3)}$.

$$|\{q \in \mathcal{Q} \mid \exists p, p' \in \mathcal{P} : |V(p) \cap V(q)| = 1, p \in \mathcal{P}_2(\mathcal{Q}), |E(p') \cap E(q)| = 1\}|.$$
 (3)

Property (3) refers to maximizing situations depicted in Fig. 3(a).

Lemma 5 Let $Q \in \mathfrak{Q}_{(3)}$ such that $|V(\mathcal{P}) \cap V(Q)| \le (3 - \ell) \cdot j$ for some arbitrary natural number j and some number $\ell \in [0, 0.5]$. Then, $|\mathcal{M}_{\mathcal{P}} \cap \mathcal{M}_{\mathcal{Q}}| \ge \ell j$.

Note that we can assume $0 \le \ell \le 0.5$ due to Theorem 4.

Proof We want to show the following relation: for every vertex $m \in V(Q) \setminus V(P)$ we find a unique midpoint $m' \in \mathcal{M}_P \cap \mathcal{M}_Q$.

Browsing the proof of Theorem 4 we find that there are ℓj paths p with $|V(p) \cap V(Q)| = 2$. For every such path p we can find a path $p' =: p^{1'}$ (via $q' =: q^0$) such that not only $|V(p^{1'}) \cap V(Q)| = 3$ but also $|E(p^{1'}) \cap E(q^0)| = 1$. (1) Let $\{e\} = E(p^{1'}) \cap E(q^0)$. Suppose $e = \{q_2^0, q_3^0\}$ with $q_2^0 = p_2^{1'}$ and $q_3^0 = p_1^{1'}$

(1) Let $\{e\} = E(p^{1'}) \cap E(q^0)$. Suppose $e = \{q_2^0, q_3^0\}$ with $q_2^0 = p_2^{1'}$ and $q_3^0 = p_1^{1'}$ (see Fig. 3(a)) then $p_2^{1'} \in \mathcal{M}_{\mathcal{P}} \cap \mathcal{M}_{\mathcal{Q}}$ and the lemma follows for this case as $p_1^{1'}$ is distinct due to $|E(p^{1'}) \cap E(q^0)| = 1$.

(2) The remaining case is $q_2^0 = p_1^{1'}$ and $q_3^0 = p_2^{1'}$. (a) Suppose now there is $q^1 \in (\mathcal{Q} \setminus \{q^0\})$ with $|V(q^1) \cap V(p^{1'})| = 1$ (Corollary 2) and $V(q^1) \cap V(p^{1'}) \in \mathcal{M}_{\mathcal{Q}}$ (see Fig. 3(b)). Then by substituting q by $\bar{q} := p_1 q_1^0 q_2^0$ (shifting) we obtain a packing

 Q^1 with $|Q^1| = |Q|$ such that the path q^1 becomes foldable (see Fig. 3(c)) which contradicts Lemma 3.

(b) Hence we must have $V(q^1) \cap V(p^{1'}) = \{q_1^1\} = \{p_3^{1'}\}$ (see Fig. 3(d)). Consider $\mathcal{Q}^1: q^1$ must be shiftable on $p^{1'}$ and hence there is $p^{2'} \in \mathcal{P}$ with $|E(p^{2'}) \cap E(q^1)| = 1$ and a $q^2 \in \mathcal{Q}$ with $|V(q^2) \cap V(p^{2'})| = 1$.

If we have $q_2^1 = p_2^{2'}$ and $q_3^1 = p_1^{2'}$ then \mathcal{Q}^1 improves property (3) with respect to \mathcal{Q} . Hence $\mathcal{Q} \notin \mathfrak{Q}_{(3)}$ which is a contradiction to the premise. Therefore we have $q_2^1 = p_1^{2'}$ and $q_3^1 = p_2^{2'}$. If $V(q^2) \cap V(p^{2'}) \in \mathcal{M}_{\mathcal{Q}}$ we derive a contradiction similar to case 2(a). Hence $V(q^2) \cap V(p^{2'}) = \{q_1^2\} = \{p_3^{2'}\}$.

By shifting q^1 (i.e., substituting q^1 by $\bar{q}^1 := p_2^{1'} q_1^1 q_2^1 = p_2^{1'} p_3^{1'} p_1^{1'}$; we call this *chain-shifting*) we obtain a packing Q^2 . By continued chain-shifting (i.e., substituting q^i by $\bar{q}^i = p_2^{i'} q_1^i q_3^i = p_2^{i'} p_3^{i'} p_1^{(i+1)'}$) we get a sequence of packings QQ^1Q^2 ... from $\Omega_{(3)}$ and paths $\bar{q}\bar{q}^1\bar{q}^2$... and $p^{0'}p^{1'}p^{2'}p^{3'}$... (where $p^{0'} := p$). We prove two claims to finally determine a contradiction in case 2(b).

Claim (1) For all $u \ge 1$ and $0 \le s < u$ we have $\bar{q}^s \ne q^u$.

Proof of Claim (1) Suppose the contrary that there are $u \ge 1$ and $0 \le s < u$ with $\bar{q}^s = q^u$. Choose *u* as small as possible which means that q^u will be the first P_2 from the sequence which will be shifted for the second time.

For a packing Q^h and for any k < h we have: $V(\bar{q}^k) \cap V(p^{k'}) = \{\bar{q}_1^k, \bar{q}_2^k\} = \{p_2^{k'}, p_3^{k'}\} (|E(\bar{q}^k) \cap E(p^{k'})| = 1, \text{ resp.}) \text{ and } V(\bar{q}^k) \cap V(p^{(k+1)'}) = \{\bar{q}_3^k\} = \{p_1^{(k+1)'}\}.$ For $z \ge h$ we have $V(q^z) \cap V(p^{z'}) = \{q_1^z\} = \{p_3^{z'}\}$ and $V(q^z) \cap V(p^{(z+1)'}) = \{q_2^z, q_3^z\} = \{p_1^{(z+1)'}, p_2^{(z+1)'}\} (|E(q^z) \cap E(p^{(z+1)'})| = 1, \text{ resp.}), \text{ see Fig. 3(e) for the case } h = 2.$

Let us fix Q^u . (a) s = u - 1: We have $V(\bar{q}^{u-1}) \cap V(p^{u'}) = \{p_1^{u'}\}$ and $V(q^u) \cap V(p^{u'}) = \{p_3^{u'}\}$. Due to $\bar{q}^{u-1} = q^u$ this implies $p_1^{u'} = p_3^{u'}$, a contradiction.

(b) s < u - 1: Here we have $|V(\bar{q}^s) \cap V(p^{(s+1)'})| = 1$ but also $|V(q^u) \cap V(p^{u'})| = 1$. Due to $\bar{q}^s = q^u$ and the fact that q^u shares with exactly one path from \mathcal{P} exactly one vertex, it follows $p^{(s+1)'} = p^{u'}$. In \mathcal{Q}^s we must have $|V(q^s) \cap V(p^{(s+1)'})| = 2$ as q^s has not been chain-shifted yet. But due to the same reason we also must have $|V(q^{u-1}) \cap V(p^{(u)'})| = 2$. But as $p^{(s+1)'} = p^{u'}$ this means that $V(q^{u-1}) \cap V(q^s) \neq \emptyset$. Due to $q^s \neq q^{u-1}$ this contradicts the fact that \mathcal{Q}^s is indeed a P_2 -packing.

Claim (2) All the elements in the sequence $\bar{q}^0 \dots \bar{q}^{u-1} q^u$ are pairwise different.

Proof of Claim (2) We show the claim by induction on u. For u = 1 suffices Claim (1). Now suppose for some u Claim (2) holds. Then also $\bar{q}^0 \dots \bar{q}^{u-1} \bar{q}^u$ are pairwise different as they also form a P_2 -packing. Now by the preceding claim we know that $q^{u+1} \neq \bar{q}^s$ where $0 \le s < u + 1$ which closes the inductive step. \Box

We finally can deduce a contradiction in the case 2(b). Take the packing Q^{n+1} where due Claim (2) the P_2 's $\bar{q}^0 \dots \bar{q}^n q^{n+1}$ form a packing in *G* and hence contain 3(n+1) different vertices. This contradicts the fact that |V(G)| = n.



Fig. 4 Rule 1 and Rule 2. We use *solid circles* and *thick lines* for vertices and edges, respectively, in the P_2 -packing \mathcal{P} , and *hollow circles* and *thin lines* for vertices and edges not in \mathcal{P} . Hence, *two hollow circles* linked by a thin line represents a Q_1 -edge

4 The algorithm

The overall algorithm we propose has two core building blocks: kernelization and exhaustive search (based on the combinatorial properties derived in the previous section). Actually, both approaches are intertwined in a way that makes it necessary to first present, in very concise way, the kernelization results obtained in other papers. Moreover, it enables us to present the overall algorithm in a self-contained fashion.

4.1 Kernel results

Theorem 3 was obtained by optimizing the use of fat and double crowns through local improvements, called **Rule 1** (see Fig. 4(a)) and **Rule 2** (see Fig. 4(b)). Given a maximal packing \mathcal{P} then $R := V \setminus V(\mathcal{P})$ consists of single vertices (found in the set Q_0) and single edges (found in the set Q_1).

As we will use some properties of the kernel in the analysis of forthcoming algorithm we give a top level description of it.

A *double crown decomposition* of a graph G is a decomposition (H, C, R) of the vertices in G such that

- 1. H (the head) separates C and R;
- 2. $C = C_0 \cup C' \cup C''$ (the crown) is an independent set such that |C'| = |H|, |C''| = |H|, and there exist a perfect matching between C' and H, and a perfect matching between C'' and H.

A *fat crown decomposition* of a graph G is a decomposition (H, C, R) of the vertices in G such that

- 1. H (the head) separates C and R;
- 2. the induced subgraph G(C) is a collection of pairwise disjoint K_2 's;
- 3. there is a perfect matching M between H and a subset of vertices in C such that each connected component in G(C) has at most one vertex in M.

Lemma 6 (Prieto and Sloper 2004) A graph G with a double crown (fat crown, resp.) (H, C, R) has a P₂-packing of size k if and only if the graph G - H - C has a P₂-packing of size k - |H|.

Lemma 6 is applied in Algorithm 1 in lines 7 and 9. Here the lines 1–12 correspond to the kernelization algorithm of Chen et al. (2008), Wang et al. (2008), except that in the 'else'-part of line 12 we return the current kernelized graph G_{ker} (and omit the exponential part). G_{ker} consists of a maximal P_2 -packing \mathcal{P} of size i < k - 1 and of *R*. Now Chen et al. (2008), Wang et al. (2008) proved the following:

Lemma 7 (Chen et al. 2008; Wang et al. 2008) In line 9 of Algorithm 1 when we return G_{ker} we have:

1. $|Q_0| \leq 2k \text{ and } 2$. $|Q_1| \leq k$.

We mention that if the bounds given in Lemma 7 are not met then it is proven that a fat or double crown can be applied (see lines 6–7 and 8–9 in Algorithm 1). Putting things together we have that $|V(G_{ker})| \le 7k$.

4.2 Combining kernel and combinatorial properties

Basically, Algorithm 1 inductively improves over its current packing \mathcal{P} by using exhaustive search as detailed in Algorithm 2 and justified in the preceding section. To obtain the claimed run time bounds, it actually first kernelizes and then tries to augment its solution by exhaustive search.

We are going to discuss three main aspects of Algorithm 2: (1) how matching techniques can be used in the WIN-WIN-approach, (2) why the algorithm is yielding a correct solution, and (3) how the run time is estimated.

Algorithm 1 An Algorithm for *P*₂-PACKING.

```
1: \mathcal{P} = \overline{\emptyset}.
2: Greedily augment \mathcal{P} by one more P_2.
3: If step 2 succeeded then Goto 2.
4: Apply Rule 1. If it applied Goto 2.
5: Apply Rule 2. If it applied Goto 2.
6: if |Q_0| \ge 2|\mathcal{P}| then
        construct a double crown (H, C, R); k \leftarrow k - |H|; G \leftarrow G - H - C, Goto 1;
7:
8: else if |Q_1| \ge |\mathcal{P}| then
        construct a fat crown (H, C, R); k \leftarrow k - |H|; G \leftarrow G - H - C; Goto 1;
9:
10: else if k \leq 0 then
        return YES
11:
12: else
       Try to construct a P_2-packing \mathcal{P}' from \mathcal{P} with |\mathcal{P}| + 1 = |\mathcal{P}'| using Algo-
13:
       rithm 2.
       if Step 13 failed then
14:
           return NO.
15:
       else
16:
           \mathcal{P} \leftarrow \mathcal{P}'.
17:
           Goto 2.
18:
```

Algorithm 2 An Algorithm for augmenting a maximal P_2 -packing \mathcal{P} .

1: $i \leftarrow |\mathcal{P}|$. 2: **for** $\ell = 0$ to 0.25 *j* **do** for all $S_i \subseteq V(\mathcal{P})$, $S_o \subseteq V \setminus V(\mathcal{P})$ with $|S_i| = (j+1) - \ell$ and $|S_o| = \ell$ do 3: Try to construct a P_2 -packing \mathcal{P}' with $S_i \cup S_o$ as midpoints. 4: if Step 4 succeeded then 5: return \mathcal{P}' . 6: 7: **for** $\bar{\ell} = 0.25 j$ to 0.5 j + 3 **do** for $r = \overline{\ell}$ to $(j+1) - \overline{\ell}$ do 8: for all $B_o \subseteq V \setminus V(\mathcal{P}), X \subseteq \mathcal{M}_{\mathcal{P}}, B_i \subseteq (V(\mathcal{P}) \setminus \mathcal{M}_{\mathcal{P}})$ with $|B_o| = \overline{\ell}$, 9: |X| = r and $|B_i| = (j+1) - \bar{\ell} - r$ do Try to construct a P_2 -packing \mathcal{P}' with $B_i \cup B_o \cup X$ as midpoints. 10: if Step 9 succeeded then 11: return \mathcal{P}' . 12: 13: return failure.

4.3 Used matching techniques

We would like to point out the following fact about P_2 -packings. If a graph has a P_2 -packing $\mathcal{P} = \{p^1, \ldots, p^k\}$, then it suffices to know the set of midpoints $\mathcal{M}_{\mathcal{P}} = \{p_2^1, \ldots, p_2^k\}$ to construct a P_2 -packing of size k (which is possibly \mathcal{P}) in polynomial time. This fact was discovered by Prieto and Sloper (2004) and basically can be achieved by bipartite matching techniques. Details on the mentioned matching technique can be found in the following proposition.

Proposition 1 Let the vertex set $\mathcal{M} = \{m_1, \ldots, m_j\}$ contain all the midpoints of some P_2 -packing \mathcal{P} in a graph G(V, E). Then we can construct a P_2 -packing \mathcal{P}' of size j in polynomial time.

Proof Use the following algorithm:

- Find a maximum matching *M* in the auxiliary bipartite graph G' = (V', E'), where $V' = A \cup B$ is the bipartition with $A = \mathcal{M} \times \{1, 2\}$ and $B = V \setminus \mathcal{M}$, $E' = \{\{(u, i), w\} \mid 1 \le i \le 2, (u, i) \in A, w \in B, \{u, w\} \in E\}.$
- If all elements of A are matched in M, then we have found a packing \mathcal{P}' of G as follows: $\mathcal{P}' = \{(x, y, z) \mid \{\{(y, 1), x\}, \{(y, 2), z\}\} \subseteq M\}.$

Note that $M_{\mathcal{P}} = \{\{(p_2, 1), p_3\}, \{(p_2, 2), p_1\}\} \mid p_1 p_2 p_3 \in \mathcal{P}\}$ matches A into B in G'. Thus, \mathcal{P}' must exist and is of size j.

4.4 Correctness

The correctness of the kernelization part is shown in Chen et al. (2008), Wang et al. (2008). If a P_2 -packing \mathcal{P}' with $|\mathcal{P}'| = j + 1$ exists, we can partition the midpoints $\mathcal{M}_{\mathcal{P}'}$ in a part which lies within $V(\mathcal{P})$ and one which lies outside. We call them $\mathcal{M}_{\mathcal{P}'}^i := \mathcal{M}_{\mathcal{P}'} \cap V(\mathcal{P})$ and $\mathcal{M}_{\mathcal{P}'}^o := \mathcal{M}_{\mathcal{P}'} \cap O$, respectively with $O := V(\mathcal{P}') \setminus V(\mathcal{P})$. Theorem 4 yields $|O| \le 0.5j + 3$ and thus $|\mathcal{M}_{\mathcal{P}'}^o| \le 0.5j + 3$.

Basically, we can find an integer ℓ with $0 \leq \ell \leq 0.5j + 3$ such that $|\mathcal{M}_{\mathcal{P}'}^i| = (j+1) - \ell$ and $|\mathcal{M}_{\mathcal{P}'}^o| = \ell$. In step 2 of Algorithm 2, we run through every such ℓ until we reach 0.25*j*. For any choice of ℓ , in step 3 of Algorithm 2, we cycle through all possibilities of choosing sets $S_i \subseteq V(\mathcal{P})$ and $S_o \subseteq V \setminus V(\mathcal{P})$ such that $|S_i| = (j+1) - \ell$ and $|S_o| = \ell$. Here S_i and S_o are candidates for $\mathcal{M}_{\mathcal{P}'}^i$, and $\mathcal{M}_{\mathcal{P}'}^o$, respectively. For any choice of S_i and S_o , we try to construct a P_2 -packing. If we succeed once, we can return the desired larger P_2 -packing.

Otherwise, we reach the point where $\ell = 0.25j$. Due to Lemma 5 we know that if $|\mathcal{M}_{\mathcal{P}'}^o| = \overline{\ell}$ then also $|\mathcal{M}_{\mathcal{P}} \cap \mathcal{M}_{\mathcal{P}'}| =: r \ge \overline{\ell}$. Then due to Theorem 4 we can find an $0.25j \le \overline{\ell} \le 0.5j + 3$ and $\overline{\ell} \le r \le (j+1) - \overline{\ell}$ such that $|\mathcal{M}_{\mathcal{P}'}^o| = \overline{\ell}, |\mathcal{M}_{\mathcal{P}} \cap \mathcal{M}_{\mathcal{P}'}| = r$ and $|\mathcal{M}_{\mathcal{P}'}^i \setminus \mathcal{M}_{\mathcal{P}}| = (j+1) - \overline{\ell} - r$. In step 8 of Algorithm 2 we cycle through all candidate sets B_o , B_i for $\mathcal{M}_{\mathcal{P}'}^o$, $(\mathcal{M}_{\mathcal{P}'}^i \setminus \mathcal{M}_{\mathcal{P}})$ but also through X which is a candidate for $\mathcal{M}_{\mathcal{P}} \cap \mathcal{M}_{\mathcal{P}'}$. As we are already looking for $\overline{\ell} + r$ midpoints in $\mathcal{M}_{\mathcal{P}'}^o \cup (\mathcal{M}_{\mathcal{P}} \cap \mathcal{M}_{\mathcal{P}'})$ we only have to find $(j+1) - \overline{\ell} - r$ midpoints in $(V(\mathcal{P}) \setminus \mathcal{M}_{\mathcal{P}}) \supseteq (\mathcal{M}_{\mathcal{P}'}^i \setminus \mathcal{M}_{\mathcal{P}})$.

4.5 Running time

The only exponential run time contribution comes from the **for**-loops in Algorithm 2. *k*. For any ℓ we execute step 3 of Algorithm 2 at most $\binom{3j}{(j+1)-\ell}\binom{qj}{\ell} \in \mathcal{O}\left(\binom{3j}{j-\ell}\binom{qj}{\ell}\right)$ times, since $|V(\mathcal{P})| = 3j$ and $|V \setminus V(\mathcal{P})| = qj$ ($0 \le q \le 4$) due to Theorem 3. Likewise, $\mathcal{O}\left(\binom{qj}{\ell}\binom{j}{r}\binom{2j}{j-\ell-r}\right)$ upper-bounds step 9 of Algorithm 2 as we have $|V \setminus V(\mathcal{P})| = qj$, $|\mathcal{M}_{\mathcal{P}}| = j$, and $|V(\mathcal{P}) \setminus \mathcal{M}_{\mathcal{P}}| = 2j$.

Lemma 8 Let $A(q, z)[j] := {3j \choose j-zj} {qj \choose zj}$ with $0 \le q \le 4, 0 \le z \le 0.25$ and $z \le q$. Then, for all admitted values of q, z, we have $A(q, z)[j] \in \mathcal{O}(14.67^j)$.

Proof We are going to show that $\binom{3j}{j-b}\binom{4j}{b} < \binom{3j}{j-(b+1)}\binom{4j}{b+1}$ for $0 \le b \le 0.5j - 1$. We have: $\binom{3j}{j-(b+1)}\binom{4j}{b+1} - \binom{3j}{j-b}\binom{4j}{b} = \frac{(3j)!(4j)!((j-b)!(4j-b)-(2j+b+1)(b+1))!}{(j-b)!(2j+b+1)!(b+1)!(4j-b)!}$. It follows that

$$((j-b)(4j-b) - (2j+b+1)(b+1)) = 4j^2 - jb7 - 2j - 2b - 1$$

$$\stackrel{b=0.5j-1}{\geq} 0.5j^2 + 4j + 1 > 0.$$

Hence, $A(q, z)[j] \le {\binom{3j}{j-zj}} {\binom{4j}{zj}} \le {\binom{3j}{0.75j}} {\binom{4j}{0.25j}} \in \mathcal{O}(13.77^j).$

Lemma 9 Let $B(q, z, s)[j] := {qj \choose zj} {2j \choose sj} {2j \choose j-(z+s)j}$ with $0 \le q \le 4$, $0.25 \le z \le 0.5$, $z \le s \le 1-z$ and $z \le q$. Then, for all admitted values of q, z, s, we have $B(q, z, s) \in \mathcal{O}(14.67^j)$.

Proof 1. We first show $B(q, z, z)[j] \ge B(q, z, s)[j]$. If we are able to prove $w := \binom{j}{b}\binom{2j}{j-zj-b} - \binom{j}{b+1}\binom{2j}{j-zj-(b+1)} > 0$ for any given $0.25 \le z \le 0.5$ and any integer

 $zj \le b \le (1-z)j - 1$ we are done. We have

$$w = \frac{j!(2j)!((b+1)(j+zj+b+1)-(j-b)(j-zj-b))}{(b+1)!(j-b)!(j-zj-b)!(j+zj+b+1)!}.$$

It follows

$$w' = z(j^{2} + j) + 3jb - j^{2} + j + 2b + 1$$

$$\stackrel{b=zj}{\geq} z(4j^{2} + 3j) - j^{2} + j + 1 \stackrel{z \ge 0.25}{\geq} \frac{7}{4}j + 1 > 0.$$

2. Secondly, we show $B(4, \tau, \tau)[j] \ge B(4, z, z)[j]$ with $0.25 \le z \le 0.5$ and $\tau = 0.327528803767482$. Due to the relation $\binom{q_j}{p_j} \in \mathcal{O}(\left(\frac{q}{p}\right)^{p_j} \left(\frac{q}{q-p}\right)^{(q-p)j})$ (see Fernau 2005) B(4, z, z)[j] is asymptotically upper-bounded by the expression:

$$\left(\overbrace{\left(\frac{4}{z}\right)^{z}\left(\frac{4}{4-z}\right)^{(4-z)}\left(\frac{1}{z}\right)^{z}\left(\frac{1}{1-z}\right)^{(1-z)}\left(\frac{2}{1-2z}\right)^{(1-2z)}\left(\frac{2}{1+2z}\right)^{(1+2z)}}\right)^{j}.$$

By taking the derivative of $\lambda(z)$ and calculating its zeros we find that $\lambda(z)$ is maximum at the point τ . Here we made use of a computer algebra system. So, the result is correct modulo standard numerical errors.

Using subitems 1. and 2. we conclude: $B(q, z, s)[j] \le B(q, z, z)[j] \le B(4, z, z)[j] \le B(4, \tau, \tau)[j] \in \mathcal{O}(14.67^{j})$.

Theorem 5 *P*₂-PACKING can be solved in time $\mathcal{O}(2.448^{3k}k^{5.5} + k^2n^2)$.

Proof In lines 4 and 10 of Algorithm 2, we solve a bipartite matching problem which takes $\mathcal{O}(j^{2.5})$ time due to Hopcroft and Karp (1973). By Lemmas 8 and 9 the run times of steps 3 and 9 of Algorithm 2 are both upper-bounded by $\mathcal{O}^*(14.67^j)$. Taking also steps 2, 7 and 8 into account, which each need less than *j* steps, Algorithm 2 alone consumes $\mathcal{O}(2.448^{3k}k^{4.5})$ time.

Considering Algorithm 1, we are able to construct a fat or double crown (C, H, R) such that C consists either of Q_1 -edges or Q_0 -vertices (steps 7 and 9 in Algorithm 1) in linear time (see Prieto and Sloper 2004). Both crown detections together will only be applied k times and the total amount of time is therefore $\mathcal{O}(kn)$ for all invoked crown reductions.

Rule 2 can only be applied k times and checking whether it applies takes O(kn) steps. Greedy augmentation can be done in n steps in the following manner: If an unpacked vertex v has two unpacked neighbors, we immediately have a new P_2 . If v has only one unpacked neighbor u, check whether u has a third unpacked neighbor. If v has no unpacked neighbor, then delete v.

The most difficult part is to estimate the time for greedy augmentation (line 2) and **Rule 1**. First **Rule 1** can only be applied n/2 times repeatedly as every time two

 Q_0 -vertices disappear, see Chen et al. (2008). We will divide the executions of line 2 into two parts: the actual augmentations by one P_2 (*aug*), which can only happen k times, and the non-augmentations (*no-aug*).

We claim that after at most n/2 + 1 no-augs without disruption of augs, we either decrement the parameter k or \mathcal{P} will be augmented. Note that after a no-aug we immediately check if **Rule 1** applies. If it does not apply, then either **Rule 2**, a double or fat crown or the invocation of Algorithm 2 applies and the claim follows. If it applies, we jump back to line 2. But after n/2 + 1 alternations between no-augs and **Rule 1**, **Rule 1** can not apply anymore and the claim follows. Hence, the time spent for no-augs is $\mathcal{O}(kn^2)$. Similarly we see that **Rule 1** takes a total of $\mathcal{O}(k^2n^2)$ steps. As Algorithm 2 is invoked at most k times we obtain an overall run time of $\mathcal{O}(2.448^{3k}k^{5.5} + kn + k^2n + kn^2 + k^2n^2)$.

Notice the asymptotic speed-up we achieve by changing the strategy (WIN-WIN). If we would skip the search for the old midpoints which are also new midpoints, we would have to count ℓ up to 0.5j + 3 in step 3. Then, we had that $\binom{3j}{0.5j}\binom{4j}{0.5j} \in \mathcal{O}(17.44^j)$ (for *j* up to *k*), which is also not a big improvement compared to a brute force search for the midpoints on the 7*k*-kernel. Namely, this would take $\mathcal{O}^*(17.66^k)$ steps.

We also like to point out that Lemma 5 is crucial to obtain the run time. Namely, without this result we would not have a lower bound $|\mathcal{M}_{\mathcal{P}} \cap \mathcal{M}_{\mathcal{P}'}| \ge |V(\mathcal{P}') \cap V(\mathcal{P})| - 3$. Hence, we would have to take into account expressions like B(4, z, r)[j] where r < z. For example, if z = 0.5 and r = 0.17, then $B(4, z, r)[j] \in \mathcal{O}(17.44^j)$.

5 Lower bound & subcubic graphs

The main topic of this section is to provide examples of families of (reduced) graphs for which the obtained reusability results are optimal. Some thoughts on degreebounded graphs in a first subsection complement these findings.

5.1 Subcubic graphs

A graph is called *subcubic* if the degree of any vertex is no greater than three. Kosowski et al. (2005) obtained a linear time algorithm that for any subcubic graph outputs a P_2 -packing of size at least $\frac{n}{5}$. For a subcubic graphs without vertices of degree one (so called (2, 3)-graphs) this results can be even improved to $\frac{n}{4}$. We can use this results to obtain better results for these graph classes.

Theorem 6 P_2 -PACKING on subcubic graphs can be solved in time $\mathcal{O}^*(1.4534^{3k})$ and on (2, 3)-graphs in $\mathcal{O}^*(1.2318^{3k})$.

Proof Subcubic graphs: We first compute a packing \mathcal{P} containing $\frac{3}{5}n$ vertices as mentioned above. If $k \leq \frac{3}{5}n$ we are done. Otherwise we have $n < \frac{5}{3}k$. Finding the k midpoints then takes $\mathcal{O}({\frac{5}{3}k}) \subseteq \mathcal{O}(1.4534^{3k})$ steps.



Fig. 5 The graphs A^{ℓ} and B^{ℓ} and the non-optimal P_2 -packing \mathcal{P} (*dotted rectangles* in (**b**) and the optimal P_2 -packing $\tilde{\mathcal{P}}$ (*solid rectangles* in (**b**)) of B^3 are depicted

(2, 3)-graphs: We now are able to compute a packing with at least $\frac{3}{4}n$ vertices. Similarly we can compute a packing of size k if one exists in $\mathcal{O}(\binom{4k}{k}) \subseteq \mathcal{O}(1.2839^{3k})$.

5.2 Lower bound for vertex reusability

In this section, we show that Theorem 4 is indeed sharp for general graphs and a general maximal P_2 -packing \mathcal{P} . We will expose a graph family B^{ℓ} such that any P_2 -packing of size j + 1, where $j = |\mathcal{P}|$, will asymptotically recycle at most 2.5 *j* out of the 3 *j* vertices in $V(\mathcal{P})$.

A lower bound example

The graph family is defined the following way: $B^1 = B$, where *B* is the graph in Fig. 5(a) and the vertices are indexed by the indicated grid. Here the vertical axis corresponds to the first entry and the horizontal axis to the second entry. The graph B^{ℓ} will emerge if we take B^1 and $B^{\ell-1}$ and connect vertex (3, 1) of $B^{\ell-1}$ with vertex (2, 4) of B^1 , see Fig. 5(b) for an example of B^3 . The vertices of B^{ℓ} will be indexed according to the grid in Fig. 5(b). The parts of B^{ℓ} which correspond to *B* will be indexed from the left to the right as B_i^{ℓ} .

We call a *pending* P_2 an induced P_2 which is attached to the rest of the graph G by exactly one edge. In Fig. 5(a) the three left most vertices form a pending P_2 . Note that a pending P_2 is a double crown if it is attached to G via the midpoint and a fat crown if it is attached via one of its endpoints. Therefore, the next reduction rule is sound:

Pending Let p be a pending P_2 . Then delete P_2 and decrease k by one.

Lemma 10 Let \mathcal{P}' be a maximum P_2 -packing of B^{ℓ} . Then $|\mathcal{P}'| = 2\ell$.

Proof We proceed by induction on ℓ . For $\ell = 1$ the claim is true as two **pending** applications with respect to B^1 show. Let $\ell > 1$, then pick B_1^{ℓ} . The reduction rule **pending** applies to the P_2 induced by (3, 1), (3, 2), (3, 3) and afterwards also to the P_2 ((1, 3), (2, 3), (2, 4)). As these two P_2 will be deleted the remaining graph is a $B^{\ell-1}$ and an isolated K_1 . Now the proof follows by induction.

We introduce another graph family A^{ℓ} . It is also defined recursively: $A^1 = A$, see Fig. 5(c) and the vertices are indexed by indicated grid. A^{ℓ} is generated by taking A^1 and $A^{\ell-1}$ and connecting the vertex (2, 3) of A^1 with the vertex (2, 1) of $A^{\ell-1}$, see Fig. 5(d) for an example of A^3 . The vertices of A^{ℓ} will be indicated according to the grid in Fig. 5(d). The parts of A^{ℓ} which correspond to A will be indexed from the left to the right as A_i^{ℓ} . We prove now the next Lemma as it is used as an intermediate step.

Lemma 11 Let \mathcal{P}' be a maximum P_2 -packing of A^{ℓ} . Then $|\mathcal{P}'| = 2\ell$.

Proof We proceed by induction on ℓ . For $\ell = 1$ the claim is true as two **pending** applications with respect to A^1 show. Let $\ell > 1$, then pick A_1^{ℓ} . The reduction rule **pending** applies to the P_2 induced by (2, 2), (2, 1), (3, 1) and afterwards also to the P_2 ((3, 2), (3, 3), (2, 3)). As these two P_2 will be deleted the remaining graph is a $A^{\ell-1}$ and a K_1 . Now the proof follows by induction.

Theorem 7 For the graph family B^{ℓ} defined above, there is a P_2 -packing \mathcal{P} of size $2\ell - 1$ such that for every P_2 -packing \mathcal{P}' of size 2ℓ we have $|V(\mathcal{P}) \cap V(\mathcal{P}')| \le 5\ell - 2$.

Proof First we define $\mathcal{P} := \tau_1 \cup \tau_2$ where $\tau_1 := \{((3, 3+3i), (2, 3+3i), (1, 3+3i)) \mid 0 \le i \le \ell - 1\}$ and $\tau_2 := \{((3, 4+3j), (2, 4+3j), (2, 5+3j)) \mid 0 \le j \le \ell - 2\}$, see Fig. 5(b) where the elements of \mathcal{P} are framed by dotted rectangles in B^3 .

Let $\tilde{\mathcal{P}} := \tilde{\tau}_1 \cup \tilde{\tau}_2 \cup \alpha$ where $\tilde{\tau}_1 := \{((3, 1+3i), (3, 2+3i), (3, 3+3i)) \mid 0 \le i \le \ell - 1\}, \tilde{\tau}_2 := \{((2, 3+3j), (2, 4+3j), (2, 5+3j)) \mid 0 \le j \le \ell - 2\}$ and $\alpha := \{((1, 3\ell), (2, 3\ell), (2, 1+3\ell))\}$, see Fig. 5(b) where the elements of $\tilde{\mathcal{P}}$ are framed by solid rectangles in B^3 .

Observe that $\tilde{\mathcal{P}}$ exactly reuses $5\ell - 2$ out of the $6\ell - 3$ vertices of \mathcal{P} . The vertices of the P_2 's in τ_2 will appear entirely in $V(\tilde{\mathcal{P}})$. Of each P_2 in τ_1 exactly two vertices are reused by $\tilde{\mathcal{P}}$ except for the one to the very right. Here all vertices are recycled. Summing up $3(\ell - 1) + 2(\ell - 1) + 3 = 5\ell - 2$ vertices are recycled.

Claim Let \mathcal{P}' be an P_2 -packing of size greater than $2\ell - 1$ for B^ℓ such that $|V(\mathcal{P}) \cap V(\mathcal{P}')|$ is maximum. Then $|V(\mathcal{P}) \cap V(\mathcal{P}')| = |V(\mathcal{P}) \cap V(\tilde{\mathcal{P}})|$.

Proof We must have $|\mathcal{P}'| = 2\ell$ due to Lemma 10. Again we use induction on ℓ . if $\ell = 1$ then $\tilde{\mathcal{P}} = \{((3, 1), (3, 2), (3, 3)), ((1, 3), (2, 3), (2, 4))\}$ and $|V(\mathcal{P}) \cap V(\tilde{\mathcal{P}})| = 3$ which is optimal and hence shows the lemma for $\ell = 1$.

Let $\ell > 1$. Consider the set $L := \{(3, 1), (3, 2), (3, 3)\}$. We must have $L \cap V(\mathcal{P}') \neq \emptyset$ as \mathcal{P}' is maximal. We will now distinguish cases with respect to the expression $L \cap V(\mathcal{P}')$. It can be easily seen that the case distinction is indeed complete.

- $L \cap V(\mathcal{P}') = \{(3,3)\}$: (a) If $h := ((3,3), (2,3), (1,3)) \in \mathcal{P}'$, then $G[V \setminus V(h)]$ consists of an $A^{\ell-1}$ and an isolated K_2 . Due to Lemma 11, any maximum P_2 -packing for $A^{\ell-1}$ has size $2(\ell-1)$. Hence, $|\mathcal{P}' \setminus \{p\}| = |\{p \in \mathcal{P}' \mid V(p) \subseteq V(A^{\ell-1})\}| \le 2(\ell-1)$. Therefore, $|\mathcal{P}'| \le 2\ell 1$, a contradiction to the optimality of \mathcal{P}' .
- (b) If $g := ((3, 3), (2, 3), (2, 4)) \in \mathcal{P}'$, then $G[V \setminus V(g)]$ consists of two isolated K_1 's, a isolated K_2 's and a $B^{\ell-1}$. With Lemma 10 (similarly to subitem (a)), it follows that $|\mathcal{P}'| \le 2\ell 1$, which is again a contradiction.
- $L \cap V(\mathcal{P}') = \{(3, 2), (3, 3)\}$: Then, $f := ((3, 2), (3, 3), (2, 3)) \in \mathcal{P}'$. $G[V \setminus V(f)]$ consists of two isolated K_1 's and an $A^{\ell-1}$. With Lemma 11 (similarly to subitem (a)) in the previous item), it follows that $|\mathcal{P}'| \le 2\ell 1$, a contradiction.
- $L \cap V(\mathcal{P}') = \{(3, 1), (3, 2), (3, 3)\}$: Then $d := ((3, 1), (3, 2), (3, 3)) \in \mathcal{P}'$. Now consider the set $Q =: \{(1, 3), (2, 3), (2, 4), (2, 5)\}$. Due to the topology of B^{ℓ} , at most one P_2 from \mathcal{P}' can share a vertex with Q. As \mathcal{P}' is maximal, there must be exactly one P_2 , say $p \in \mathcal{P}'$, that shares a vertex with Q.

Let $\hat{\mathcal{P}} = (\mathcal{P}' \setminus \{p\}) \cup c$ where $c := \{((2, 3), (2, 4), (2, 5))\}$. As we have $Q \subseteq V(\mathcal{P})$ it follows that $|V(\mathcal{P}) \cap V(\mathcal{P}')| = |V(\mathcal{P}) \cap V(\hat{\mathcal{P}})|$. Now, $G[V \setminus (V(d) \cup V(c))]$ is a $B^{\ell-1}$ and an isolated K_1 . Let $\tilde{\mathcal{P}}_{\ell-1}$ contain only those P_2 's of $\tilde{\mathcal{P}}$ which are entirely contained in $B^{\ell-1}$. Let $\hat{\mathcal{P}}_{\ell-1}$ be defined the same way with respect to \hat{P} .

By induction, $|V(\mathcal{P}) \cap V(\hat{\mathcal{P}}_{\ell-1})| \ge |V(\mathcal{P}) \cap V(\hat{\mathcal{P}}_{\ell-1})|$. As we have $\tilde{\mathcal{P}} = \tilde{\mathcal{P}}_{\ell-1} \cup \{d, c\}$ and $\hat{P} = \hat{P}_{\ell-1} \cup \{d, c\}$ we see that $|V(\mathcal{P}) \cap V(\tilde{\mathcal{P}})| \ge |V(\mathcal{P}) \cap V(\tilde{\mathcal{P}})| = |V(\mathcal{P}) \cap V(\mathcal{P}')|$.

From the previous claim follows that no P_2 -packing of size j + 1 can reuse more vertices of \mathcal{P} than $\tilde{\mathcal{P}}$. This proves the theorem finally.

From Theorem 7 we see that for any P_2 -packing $\overline{\mathcal{P}}$ of size j + 1 we have $\frac{|V(\mathcal{P})|}{|V(\underline{\mathcal{P}}) \cap V(\overline{\mathcal{P}})|} \leq \frac{6\ell-3}{5\ell-2}$ and therefore $\lim_{\ell \to \infty} \frac{6\ell-3}{5\ell-2} = \frac{6}{5} = \frac{3j}{2.5j}$.

We see that Theorem 4 is indeed sharp. We point out that our example is also irreducible with respect to the 7*k*-kernel. With respect to B^{ℓ} and \mathcal{P} we have $|Q_0| = \ell - 1$. But as $|\mathcal{P}| = 2\ell - 1$ the double crown reduction rule does not apply (line 6 of Algorithm 1). The same is true for fat crowns as $|Q_1| = 2$ (line 8 of Algorithm 1).

A serious drawback is that the **pending** reduction rule suffices to solve P_2 -PACKING on B^{ℓ} . Thus, if we enrich the kernelization part by **pending** such a worst case instance never could show up in Algorithm 2. In the last section we will give a slightly modified graph family which is also irreducible with respect to **pending**.

An irreducible lower bound example

Let $C^{\ell} := G(V(B^{\ell}) \setminus \{(2, 2+3\ell)\}, (E(B^{\ell}) \setminus \{\{(2, 1+3\ell), (2, 2+3\ell)\}\}) \cup \{\{(3, 1), (2, 3\ell)\}\}$, thus we simply delete the vertex $(2, 2+3\ell)$ and add the edge $\{(3, 1), (2, 3\ell)\}$ to B^{ℓ} , see Fig. 6. Due to this modification **pending** cannot be applied to C^{ℓ} . Nevertheless, we can find the packings \mathcal{P} and $\tilde{\mathcal{P}}$ in C^{ℓ} .

Theorem 8 Given C^{ℓ} and the P_2 -packing \mathcal{P} of size $2\ell - 1$ for every P_2 -packing \mathcal{P}' of size 2ℓ we have the relation $|V(\mathcal{P}) \cap V(\mathcal{P}')| \le 5\ell - 2$.

Fig. 6 The graph C^3



Proof Suppose there is P_2 -packing \mathcal{P}' of size j + 1 with $|V(\mathcal{P}) \cap V(\mathcal{P}')| > 5\ell - 2$. Assume that there is no $p \in \mathcal{P}'$ such that covered, i.e., $\{(3, 1), (2, 3\ell)\} \in E(p)$. Then \mathcal{P}' is also a packing for B^{ℓ} . But this contradicts Theorem 7. Hence, there are two remaining possibilities:

(a) $((3, 2), (3, 1), (2, 3\ell)) \in \mathcal{P}'$. Consider $G' := G[V \setminus \{(3, 2), (3, 1), (2, 3\ell)\}]$. One can show by induction that after $2\ell - 2$ applications of **pending**, the remaining graph consists of isolated K_1 's and K_2 's. We conclude $|\mathcal{P}'| = 2\ell - 1$, which is a contradiction to the premise.

(b) $((3, 1), (2, 3\ell), (2, 1+3\ell)) \in \mathcal{P}'$ or $((3, 1), (2, 3\ell), (1, 3\ell)) \in \mathcal{P}'$: Similarly to (a), this would result in the contradictory consequence $|\mathcal{P}'| = 2\ell - 1$.

By Theorem 8, we now have a graph family which is irreducible with respect to hitherto reduction rules, and this shows that Theorem 4 is (asymptotically) sharp. We would like to share the intuition that degree-one vertices which are attached to a vertex of degree greater one are crucial for our lower-bound example. This is in accordance with Sect. 5.1: The guaranteed P_2 -packing for (2, 3)-graphs has greater size than the one for general subcubic graphs.

6 Future work

One possible direction of research is that one tries to overcome the bound on the number of reusable vertices of 2.5j. There are two main possibilities to tackle this problem. Firstly, one could try to modify the greedy P_2 -packing by further 'local optimization rules' similar to **Rule 1** and **Rule 2**. Secondly, due to newly invented reduction rules it might be possible that our lower bound examples do not occur anymore. This could lead to an improvement of Theorem 4.

It would be nice to derive smaller kernels than 7k or 1.5k for P_2 -PACKING or TOTAL EDGE COVER, resp., in view of the mentioned lower bound results (Chen et al. 2007).

A closely related problem is MAXIMUM P_3 -PACKING for which Hassin and Rubinstein (1997) found a $\frac{3}{4}$ -approximation. We are trying to apply extremal combinatorial methods to save colors for P_d -packings for $d \ge 3$. First results seem to be promising. So, a detailed combinatorial (extremal structure) study of (say graph) structure under the perspective of a specific combinatorial problem seems to pay off not only for kernelization (see Estivill-Castro et al. 2005), but also for iterative approaches. In view of new kernelization results for quite general packing problems (Moser 2009), it would be also interesting to apply these ideas in broader contexts.

Developing exact algorithms for MAXIMUM P_2 -PACKING would be interesting. Dynamic programming yields an $\mathcal{O}^*(2^n)$ -algorithm. Enumerating all possible midpoints takes $\sum_{i=1}^{n/3} {n \choose i} \subseteq \mathcal{O}^*(1.8899^n)$. By Theorem 2, TOTAL EDGE COVER can be solved in time $\mathcal{O}^*(1.8899^{1.5k}) \subseteq \mathcal{O}^*(2.5981^k)$. Improving on exact, nonparameterized algorithmics would also improve on the parameterized algorithm for TOTAL EDGE COVER. Alternatively, finding for example a search-tree algorithm for TOTAL EDGE COVER would be interesting.

We finally mention that H. Fernau, J. Kneis and P. Rossmanith could show that also the general TEST COVER problem is in \mathcal{FPT} , a bit surprising in view of the fact that the quite similar FEATURE SET problem is W[2]-complete (Cotta and Moscato 2002, 2003). However, the general algorithm is far from practical and needs to be improved.

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