ORIGINAL RESEARCH



# Comparison of end-tidal $CO_2$ measured by transportable capnometer (EMMA<sup>TM</sup> capnograph) and arterial pCO<sub>2</sub> in general anesthesia

Kyung Woo Kim<sup>1</sup> · Hey Ran Choi<sup>1</sup> · Si Ra Bang<sup>1</sup> · Jeong-wook Lee<sup>1</sup>

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Abstract An end-tidal CO<sub>2</sub> monitor (capnometer) is used most often as a noninvasive substitute for PaCO<sub>2</sub> in anesthesia, anesthetic recovery, and intensive care. Additionally, the wide spread on-site use of portable capnometers in emergency and trauma situations is now observed. This study was conducted to compare PaCO<sub>2</sub> measurement between the EMMA<sup>TM</sup> portable-capnometer and sidestream capnometry. End-tidal CO<sub>2</sub> (portable capnometer: EMMA<sup>TM</sup> capnograph, side stream capnometry module: Datex-Ohmeda S5 Anesthesia Monitor) levels were recorded at the time of arterial blood gas sampling of patients undergoing general anesthesia. Data were compared using the Bland and Altman method, and by evaluating the clinical significance performed by calculating the percent error (%). A total of 100 data were obtained from 35 patients. The bias of PaCO<sub>2</sub> and portable capnometer was 6.0 mmHg, where the upper and lower limits of the agreement were 11.8 and 0.3 mmHg, respectively. The percent error was 18.0 %. The bias of side stream capnometry and portable capnometer was 2.2 mmHg, where the upper and the lower limits of the agreement were 6.0 and -1.6 mmHg, respectively. The percent error was 13.0 %. Significant differences between the PETCO2 and PaCO<sub>2</sub> values of the EMMA<sup>TM</sup> portable-capnometer were not observed for patients undergoing general anesthesia.

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**Keywords** Blood gas analysis · Capnometry · Carbon dioxide analysis · Cardiopulmonary resuscitation

Si Ra Bang sira1045@naver.com

#### **1** Introduction

End-tidal  $CO_2$  (P<sub>ET</sub>CO<sub>2</sub>) measurement is a continuous, non-invasive method for estimating blood carbon dioxide levels. It has been widely used in operating rooms, and is now also used to evaluate patients' respiration in intensive care units (ICU) and emergency rooms [1-3]. The 2010 CPR Guidelines of the American Heart Association (AHA) also recommended PETCO2 monitoring for the confirmation of tracheal intubation, as well as to assess the appropriateness of thoracic compression [4]. Capnometers are generally included in anesthesia machines, but not in the ventilators used in ICU or emergency rooms. It is more challenging to monitor the P<sub>ET</sub>CO<sub>2</sub> values in patient in the wards or outside of hospitals because they are expensive and cumbersome [5, 6]. Therefore, there is a need for light capnometers that can be easily used in ICU, emergency rooms, and outside of hospitals. EMMA<sup>TM</sup> capnograph (Masimo, danderyd, Sweden) is easy to carry around as they are small and light  $(5.2 \times 3.9 \times 3.9 \text{ cm} \text{ and } 59.5 \text{ g})$ with batteries); also, a routine calibration is not required before the device is used and the device can be warmed up in a lesser amount of time. Also it detects and display P<sub>ET</sub>CO<sub>2</sub> values and capnogram from a single respiration [1], and only require 15 s to present accurate  $P_{ET}CO_2$ values and respiratory rates. Moreover, it can easily be connected to endotracheal tubes or facial masks, which can be used mainly in a variety of situation at patient wards, recovery rooms, ICU and emergency rooms. Despite this usefulness, only a few studies have focused on verifying the accuracy of transportable capnometers [1, 7]. We therefore compare the PETCO2 measured with an EMMA<sup>TM</sup> capnograph (EMMA) and arterial carbon dioxide values in patients receiving mechanical ventilation during general anesthesia.

<sup>&</sup>lt;sup>1</sup> Seoul Paik Hospital, College of Medicine, Inje University, 9, Marunnai-ro, Jung-gu, Seoul, Korea

## 2 Methods

The study enrolled 35 consecutive patient classified as American Society of Anesthesiologists physical status 1-2 who were scheduled for general anesthesia, with arterial catheter inserted to analysis arterial blood gas. The patients with pulmonary disease or undergoing lung surgery or laparoscopic surgery were excluded. The research was approved by the clinical research ethics committee of the hospital, and written consent was obtained from all patients after informing them of the purpose of the study. In the operating room, the patients were monitored with an ECG, NIBP (non-invasive blood pressure), and pulse oximetry. The patients were premedicated with 0.004 mg/kg glycopyrrolate intramuscularly 30 min before induction of the anaesthesia. To induce anesthesia a 1.5-2 mg/kg dose of propofol and 0.5-1 mg/kg/min dose of remifentanil were used. For muscle relaxation, 0.6 mg/kg of rocuronium were administered before the endotracheal intubation, after inducing the anesthesia, a 22G catheter was inserted for arterial blood sampling and blood pressure monitoring in the radial artery after performing the Modified Allen's test. The anesthesia was maintained with sevoflurane 1-2 vol%, and  $0.05-2 \mu g/kg/min$  of remifentanil were continuously infused.

The EMMA was connected between the endotracheal tube and Y piece, and a sidestream sample line was connected to the Y-piece as the capnometry module (Datex-Ohmeda S/5<sup>TM</sup> Anesthesia Monitor, Datex-Ohmeda, Finland). Both monitors were confirmed to continuously show the capnogram and P<sub>ET</sub>CO<sub>2</sub> values. A fully-charged battery was used for the EMMA.

When analyzing the arterial blood gas during the surgery, we first confirmed that the capnogram and P<sub>ET</sub>CO<sub>2</sub> values on both monitors remained stable for at least 5 min, then recorded the PETCO2 values and collected the arterial blood at the same time in order to record the PaCO<sub>2</sub> value. The vital signs were maintained during the sampling period. All the blood gas analyses were performed immediately, using a nearby blood gas analyzer (GEM Premier 3000, Instrumentation Laboratory, MA, USA).

#### 2.1 Statistical analysis

The data were compared using the Bland and Altman method and an evaluation of the clinical significance by calculating the percent error (%). The Bland-Altman analysis was used to evaluate the degree of agreement between the measured CO<sub>2</sub> values provided by the two monitors [8]. The evaluation of the clinical significance of the results obtained by the Bland-Altman analysis was performed through calculation of the percent error (%) based on Critchlev and Critchlev's formula [9], which doubles the standard deviations (SD) of the difference between the two measurements and divides this value by the mean value of the two measurements, as follows: Percentage error =  $(2 \times \text{SD/mean CO}_2) \times 100$ .

The results of the two methods were considered to be equivalent when the percent error was <30 %. All the statistical analyses were performed using the MedCalc software package, version 14.8.1 (MedCalc Software, Ostend, Belgium) for Windows<sup>®</sup>.

## **3 Results**

The demographic data for the 35 patients are presented in Table 1. A total of 100 measurements were collected for each method (the results, according to the time of the operation, are: 7 patients, 4 samples; 12 patients, 3 samples; and 18 patients, 2 samples). The mean value for each measurement method was as follows: PaCO<sub>2</sub>:  $35.1 \pm 3.8$  mmHg, EMMA:  $29.1 \pm 2.5$  mmHg, and side stream:  $31.3 \pm 2.4$  mmHg. The Bland-Altman analysis produced the graphs shown in Figs. 1, 2 and 3. The bias of the PaCO<sub>2</sub> and EMMA measurements was 6.0 mmHg, with an upper agreement limit of 11.8 mmHg and a lower agreement limit of 0.3 mmHg. The percent error was 18 %. The bias of the PaCO<sub>2</sub> and side stream measurements was 3.8 mmHg, with an upper agreement limit of 10.2 mmHg and a lower agreement limit of -2.5 mmHg. The percent error was 20 %. The bias of the side stream and EMMA measurements was 2.2 mmHg, with an upper agreement limit of 6.0 mmHg and a lower agreement limit of -1.6 mmHg. The percent error was 13 %. (Table 2).

#### **4** Discussion

In our study, EMMA represents reliable P<sub>ET</sub>CO<sub>2</sub> values compared to PaCO<sub>2</sub> in patients undergoing general anesthesia. The mean bias for the  $PaCO_2$  and  $P_{ET}CO_2$  values measured by the EMMA was 6.0 mmHg. It might appear to be tolerated, as the difference between the  $PaCO_2$  and  $P_{ET}$ CO<sub>2</sub> in normal respiration is generally around 2–5 mmHg

<b>Table 1</b> Demographic data $(n = 35)$	Characteristic	Value	
	Age (year)	$54.2 \pm 14.2$	
	Sex (M/F)	15/20	
	Height (cm)	$161.5 \pm 8.8$	
	Weight (kg)	$62.0 \pm 11.1$	
	Values are mean $\pm$ SD	expressed as	



Fig. 1 Bland–Altman plot of difference between  $CO_2$  measurements by arterial sample and EMMA. Bias = 6.0 (*solid line*), *dashed lines* indicate the upper and lower limits of agreement (1.96 times the SD)



Fig. 2 Bland–Altman plot of difference between  $CO_2$  measurements by arterial sample and side stream. Bias = 3.8 (*solid line*), *dashed lines* indicate the upper and lower limits of agreement (1.96 times the SD)

[10]. Moreover, the  $P_{ET}CO_2$  values collected by the EMMA could be regarded as significantly similar to those of the PaCO<sub>2</sub>, with a percent error of 18 %. The mean bias for the PaCO<sub>2</sub> and  $P_{ET}CO_2$  values measured by the side stream was 3.8 mmHg, with a percent error of 20 %, exhibiting high similarity. Both capnometers could therefore be used to predict the PaCO<sub>2</sub> values with regard to the general difference between the PaCO<sub>2</sub> and  $P_{ET}CO_2$ . Furthermore, the side stream and EMMA showed high similarity, with a mean bias of 2.2 mmHg and a percent error of 13 %, indicating that these two monitors represented appropriate alternatives to one another. They can also be used to predict the PaCO<sub>2</sub> values in operating rooms and postoperative care units when a sidestream capnometer is unavailable.



Fig. 3 Bland–Altman plot of difference between  $CO_2$  measurements by side stream EMMA. Bias = 2.2 (*solid line*), *dashed lines* indicate the upper and lower limits of agreement (1.96 times the SD)

CO<sub>2</sub> measurement by the EMMA is based on the fact that different gas components absorb infrared light at specific wavelengths. A beam of infrared light passes through the airway adapter, some of the light is absorbed by the gas mixture. The amount of absorbed light is measured by a miniaturized two-channel spectrometer positioned to receive the infrared light beam. The spectrometer converts the light beam into an electrical signal, which is converted into a digital value [11]. The measured absorption of  $CO_2$  can, however, be altered by cross-interference and collision broadening due to the presence of gases such as nitrogen, nitrous oxide, and oxygen. Cross-interference, the overlapping of the absorption bands of other gases, can occur with nitrous oxide due to the presence of strong absorption bands that slightly overlap both edges of the carbon dioxide band, while collision broadening is a complex function of the total pressure and presence of other gases [12]. An infrared-based device with a selective narrow-band filter is therefore used to reduce the impact of cross-interference and, if the concentration of the interfering gases is measured, a contemporary multi-gas analyzer can automatically compensate for the effect of collision broadening [13]. The design of the EMMA consists of narrow-band optical filters and automatic-compensation technology for the management of crossinterference and broadening effects (manufacturer's homepage: http://www.masimo.com/capnography/emmacapnograph.htm).

Mainstream capnometers such as the EMMA used in this study do not represent certain disadvantages of sidestream capnometers (e.g., the accumulation of water vapor in the measuring chamber after the vapor is first condensed in the sample tubing; response-time delays caused by the gas samples forcibly traveling to the measuring cell **Table 2** Comparison of CO2measured by arterial sample andEMMA and side stream

	PaCO <sub>2</sub> -EMMA	PaCO <sub>2</sub> -side stream	Side stream-EMMA	
Bias (mm Hg)	6.0	3.8	2.2	
Upper limits of agreement	11.8 (10.8–12.8)	10.2 (9.0–11.3)	6.0 (5.4-6.7)	
Lower limits of agreement	0.3 (-0.7 to 1.3)	-2.5 (-3.7 to4)	-1.6 (-2.3 to -0.9)	
Percent error (%)	18	20	13	

through the sample tubing; the diffusion of CO<sub>2</sub> outside of the sample tubing; and gas leakages at the tubing-connection site) [14]. However, mainstream capnometers are prone to expanding the endotracheal dead space due to their airway adaptors and to causing endotracheal tube movements, or to increasing the direct weight on the airways due to the direct connection to the endotracheal tube [15]. The dead space created by the EMMA used in this study was 6 ml, which does not generally represent a threat to adult patients respiration. However, due to the lack of pediatric patient-specific adaptors, the use of these capnometers on pediatrics should be performed with caution (note: there are infant-specific adaptors). The weight of the capnometer (59.5 g) is heavy enough to slant the intubated endotracheal tube to one side. Therefore, the endotracheal tubes must be firmly fixed and the connection and disconnection of the capnometers should be performed with caution in order to prevent airway injury or unintentional extubation. The display of the EMMA is attached to the device, but it may be difficult to confirm the displayed values in, for example, a head-surgery situation. During long uses, there have been incidences of capnogram display errors caused by an accumulation of moisture on the airway adapter windows. In those situations, the adapters should therefore be changed to new, dry adapters. Furthermore, the risk of adapter windows becoming clouded by secretions should also be considered.

# **5** Limitations

The data used in this study were only collected in situations of stable and normal respiration under general anesthesia. Due to an increase in the difference between the  $P_{ET}CO_2$ and  $PaCO_2$  values in situations where dead space, a pulmonary ventilation/perfusion ratio, or a pulmonary shunt is present, it is therefore difficult to predict the  $PaCO_2$  values accurately through the  $P_{ET}CO_2$  values for patients with severe pulmonary or major systemic diseases [16]. It should thus be noted that the limitations affecting the prediction of the  $PaCO_2$  values are in accordance with the condition of the patient. This represents a limitation, as the accuracy of capnometers in cases of severe hypercapnia or hypocapnia, or of severe reduction of the cardiac output, is therefore inconclusive from this study's findings. In addition, because same-sized adapters used for both adults and children, further research regarding application in children is therefore necessary. Also, it is necessary to verify the accuracy according to the remaining battery level, as these capnometers are likely to be used outside hospitals.

# 6 Conclusion

EMMA capnometers are a viable and lighter weight alternatives to legacy capnometers, as they produce capnographs and reliable  $P_{ET}CO_2$  values rapidly despite their small size and light weight.

#### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

Financial disclosure The authors do not have any financial relationship.

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