

# The Long Elusive IgM Fc Receptor, Fc $\mu$ R

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Received: 11 March 2014 / Accepted: 19 March 2014 / Published online: 3 May 2014  
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**Abstract** IgM exists as both a monomer on the surface of B cells and a pentamer secreted by plasma cells. Both pre-immune “natural” and antigen-induced “immune” IgM antibodies are important for protective immunity and for immune regulation of autoimmune processes by recognizing pathogens and self-antigens. Effector proteins interacting with the Fc portion of IgM, such as complement and complement receptors, have thus far been proposed but fail to fully account for the IgM-mediated protection and regulation. A major reason for this deficit in our understanding of IgM function seems to be lack of data on a long elusive Fc receptor for IgM (Fc $\mu$ R). We have recently identified a *bona fide* Fc $\mu$ R in both

humans and mice. In this article we briefly review what we have learned so far about Fc $\mu$ R.

**Keywords** IgM · Fc receptor · chronic lymphocytic leukemia · B cells · T cells

## Introduction

Antibody is composed of a pair of heavy and light chains and has two biological activities: one is antigen binding via the N-terminal variable regions and the other is the effector functions mediated by the C-terminal constant regions of its heavy chains such as interaction with Fc receptors (FcRs). FcRs for IgG (Fc $\gamma$ RI to Fc $\gamma$ RIV), IgE (Fc $\epsilon$ RI) or IgA (Fc $\alpha$ R) have been characterized extensively at both protein and DNA levels [1–4], but FcR for IgM (Fc $\mu$ R) has been defied its genetic identification, although its existence on various cell types (B, T, NK and myeloid cells) was suggested in both humans and rodents more than four decades ago (see Ref. 5). In this article we describe Fc $\mu$ R, the newest member of the classical FcR family [5], in terms of its brief history, structural aspects, cellular distribution including some new data, and potential functions. Human Fc $\mu$ R is mostly emphasized, but the recent findings on *Fc $\mu$ R*-deficient mice [6] are also briefly described.

## Fc $\mu$ R cDNA Cloning

The initial series of studies on Fc $\mu$ R dealt with helper function of Fc $\mu$ R-bearing T cells, called T $\mu$  cells, in pokeweed mitogen-induced polyclonal B cell responses in humans as reported by many different investigators in the 1970's [7]. When monoclonal antibodies (mAbs) specific for CD4 or CD8 became available, flow cytometric analysis of T $\mu$  cells, which were separated by rosette formation with ox

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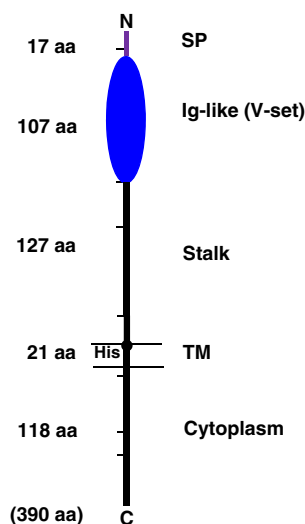
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erythrocytes pre-coated with IgM antibodies, revealed that the T $\mu$  cell fraction contained both CD4 T and CD8 T cell subsets [8]. The second wave of Fc $\mu$ R studies was the biochemical characterization of an activation antigen on B cells recognized by BAC-1 mAb of IgM isotype [9–11]. Sheila Sanders, then a post-doctoral fellow in the laboratory of Max Cooper, identified a sialoglycoprotein with a  $M_r$  of 60 kDa that precipitated with IgM mAbs irrespective of their antigen-binding specificity from lysates of surface iodinated, phorbol myristate acetate (PMA)-activated, but not resting, blood B cells. Since the 60 kDa glycoprotein was not precipitated by mAbs of other isotypes with the same antigen-binding specificity, it was suggested as an IgM-binding protein [9]. The 60 kDa IgM binding protein was also identified on freshly-prepared chronic lymphocytic leukemia (CLL) B cells and PMA-activated, human pre-B leukemia cell line 697 [9, 10]. The IgM binding by PMA-activated, normal blood B or 697 pre-B cells as well as by CLL B cells could be demonstrated by flow cytometric analysis using highly purified IgM preparations [9, 10]. A similar 60 kDa IgM binding protein was also identified on T cells after short-term culture in IgM-free media with protease inhibitors [11].

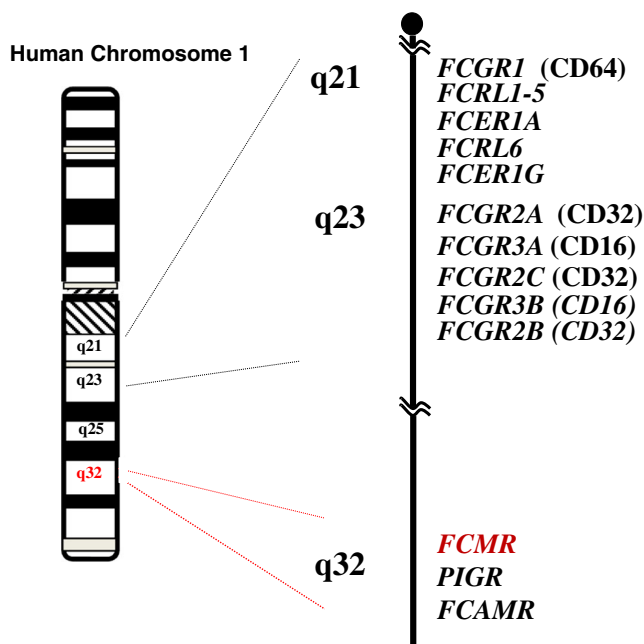
Given these precedents and the successful establishment of retroviral cDNA library-based functional cloning by Eiji Takayama in my laboratory, Ikuko Torii generated two cDNA libraries from human B-lineage cells, one from CLL B cells and the other from PMA-activated 697 pre-B cells, ligated them into a retroviral expression vector and introduced them into mouse thymoma line BW5147 which lacks IgM binding. Transduced cells exhibiting IgM binding in each library were enriched thrice by magnetic-activated cell sorting and then by fluorescence-activated cell sorting before subcloning by limiting dilution. Nucleotide sequence analyses of the insert cDNA responsible for IgM binding from both cDNA libraries revealed an identical 1,173-bp open reading frame which was predicted to encode a 390-aa type I membrane protein [5]. After cleavage of a 17-aa signal peptide, the predicted Fc $\mu$ R consists of a 107-aa V-set Ig-like domain responsible for IgM binding, an additional 127-aa extracellular region with no known domain structure (called “stalk” region in this paper), a 21-aa transmembrane segment containing a charged His residue, and a relatively long (118-aa) cytoplasmic tail (see Fig. 1). There are no N-linked glycosylation motifs in the extracellular region, consistent with our previous biochemical characterization of the Fc $\mu$ R [10]. Vire et al. have recently reported that there are several O-linked glycosylation sites in the stalk region and some of the potential Ser and Thr residues are indeed responsible for O-linked glycosylation as determined by point mutational analyses [12]. The core peptide is predicted to have a  $M_r$  of ~41 kDa and an isoelectric point (pI) of ~9.9. *FCMR* is a single copy gene located on chromosome 1q32.2, adjacent to two other IgM-binding receptor genes, polymeric Ig receptor (*PIGR*) and FcR for IgA and IgM (*FCAMR*) [5] (Fig. 2).



**Fig. 1** Predicted protein structure of human Fc $\mu$ R. The human Fc $\mu$ R cDNA encodes a type I transmembrane protein of 390 aa and with a peptide core of ~41 kDa. Numbers indicate aa residues in each region: signal peptide (SP), a single Ig-like domain (V-set), remaining extracellular (stalk), transmembrane (TM); between the two lines) and cytoplasmic region. Hatch marks indicate exon boundaries in the *FCMR* gene. A small closed circle within the transmembrane segment indicates a charged histidine residue (His)

### Structural Aspects

- 1) *Ligand specificity*: Satoshi Oka determined the Ig-binding specificity of Fc $\mu$ R cDNA-transduced cells by flow cytometric analysis of the quantitative inhibition of various Ig isotypes and IgM fragments as inhibitors for biotin-



**Fig. 2** Schematic chromosomal localization of *FCMR*. Partial chromosome 1 linkage map showing a cluster of three IgM-binding receptors (*FCMR*, *PIGR*, *FCAMR*) in 1q32 in relation to other FcR genes in 1q21–1q23

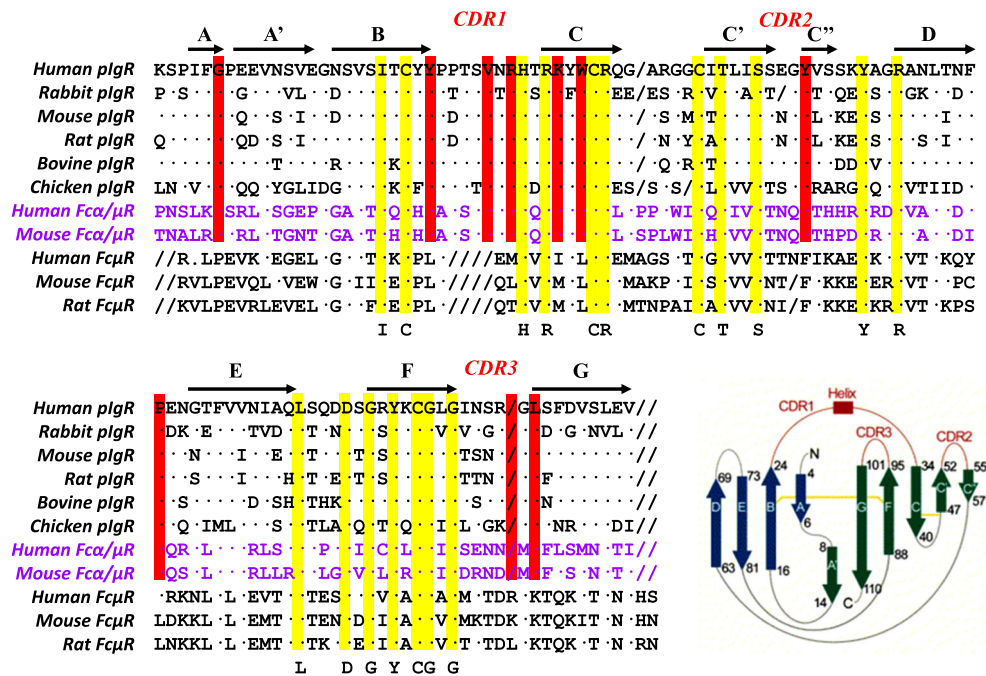
labeled IgM. Pentameric IgM and its Fc $\mu$  fragments consisting mostly of C $\mu$ 3/C $\mu$ 4 domains inhibited the IgM binding in a dose-dependent manner, whereas the Fab $\mu$  fragments and other Ig isotypes (IgG1-4, IgA1,2, IgD and IgE) did not, thereby confirming the Fc $\mu$  specificity of the Fc $\mu$ R [5]. The inability of Fc $\mu$ R to bind IgA polymers clearly indicates that Fc $\mu$ R is distinct from pIgR and Fc $\alpha$ / $\mu$ R, both of which are shown to bind IgM and IgA polymers. IgM pentamers bound better to Fc $\mu$ R than IgM monomers. Curiously, mouse IgM bound better to the human Fc $\mu$ R than human IgM. The IgM binding was observed in the absence of Ca<sup>2+</sup>/Mg<sup>2+</sup>, distinct from the early observation with rosette formation. Pretreatment of Fc $\mu$ R<sup>+</sup> cells with neuraminidase slightly enhanced IgM binding, suggesting a role of sialic acid in the ligand binding as suggested by others [13]. The avidity of IgM/Fc $\mu$ R binding was found to be strikingly high, ~10 nM by Scatchard plot analysis with an assumption of a 1:1 stoichiometry of pentameric IgM ligand to Fc $\mu$ R [5]. Although Nguyen et al. [14] have claimed the lack of IgM-ligand binding with their transductant transiently expressing Toso, an initial designation of Fc $\mu$ R [15] (see below), the results from subsequent studies by us [16] and others [12, 17] clearly indicate that our isolated cDNA encodes an authentic Fc $\mu$ R with exclusive and high affinity binding specificity for the Fc portion of IgM.

- 2) *Ig-like domain*: A comparison of the amino acid sequence of Ig-binding domains of three IgM-binding receptors (Fc $\mu$ R, pIgR, Fc $\alpha$ / $\mu$ R) provided some potential insight into ligand binding when the pIgR structural data reported by Hamburger et al. were also integrated [18]. As shown in Fig. 3, in addition to a disulfide bond linking the two  $\beta$  sheets (B and F strands), a second disulfide bond linking the C and C' strands is also conserved in all three receptors. Many other residues shown in yellow are also completely conserved, but several other residues shown in red are conserved in pIgR and Fc $\alpha$ / $\mu$ R, and not in Fc $\mu$ R. A major difference between Fc $\mu$ R and the other two receptors is in the complementarity-determining region 1 (CDR1), which consists of 9 aa for pIgR and Fc $\alpha$ / $\mu$ R, whereas Fc $\mu$ R has 5 aa and a non-charged residue (Met, Leu, or Thr) at the position corresponding to Arg that is predicted to interact directly with polymeric IgA with pIgR [18]. These findings suggest a structural basis for the distinct mode of IgM interaction with Fc $\mu$ R versus pIgR and Fc $\alpha$ / $\mu$ R.
- 3) *Biochemical nature*: Yoshiki Kubagawa determined the biochemical nature of Fc $\mu$ R expressed on the surface of Fc $\mu$ R cDNA-transduced cells as well as PMA-activated 697 pre-B cells, CLL B cells and normal blood mononuclear cells (MNCs) using both receptor-specific mAbs and IgM ligands. Regardless of cell source, the surface

Fc $\mu$ R was resolved as an ~60 kDa sialoglycoprotein on sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE), and was more efficiently identified by receptor-specific mAbs than IgM ligands [5]. Since the predicted core peptide is ~41 kDa, one third of the  $M_r$  of Fc $\mu$ R is found to constitute carbohydrate moiety. While the pI is predicted as ~9.9, the ~60 kDa Fc $\mu$ R was resolved into a spot with a pI of ~5 by two-dimensional gel electrophoresis, suggesting that mature Fc $\mu$ R contains many sialic acids, consistent with our previous findings [10]. An additional minor protein of ~40 kDa (p40) was often co-precipitated with the Fc $\mu$ R, but it remains unclear whether p40 represents another membrane protein non-covalently associated with Fc $\mu$ R or an unglycosylated form of Fc $\mu$ R [5]. Sequential precipitation analysis with receptor-specific mAbs and IgM-ligands revealed that pre-incubation of membrane lysates of Fc $\mu$ R<sup>+</sup> cells with mAbs completely removed the IgM-reactive 60 kDa protein, whereas the reverse did not efficiently remove the mAb-reactive 60 kDa protein, suggesting that mAbs are better than IgM ligands in the detection of Fc $\mu$ R.

In our earlier biochemical analysis, Fc $\mu$ R on PMA-activated 697 pre-B cells could be attached to the plasma membrane via a glycosylphosphatidylinositol (GPI) linkage, but the structure predicted by the cDNA is a transmembrane protein [10]. [Extensive search for a cDNA encoding a potential GPI-linked form of Fc $\mu$ R failed.] Satoshi Oka reexamined this issue using a highly purified GPI-specific phospholipase C (GPI-PLC) and found that after GPI-PLC treatment, the surface levels of Fc $\mu$ R and CD19 on PMA-activated 697 pre-B cells were unchanged, whereas the expression of GPI-anchored CD73 was reduced by ~50 %, indicating an authentic transmembrane protein of Fc $\mu$ R [5]. However, it is difficult to explain some biochemical data in our earlier studies where GPI-PLC was not used. Namely, when cell-surface iodinated, PMA-activated 697 pre-B cells were incubated at 37 °C even without GPI-PLC, significant amounts of the ~60 kDa IgM-binding protein was also released into the medium [10]. Furthermore, the ~60 kDa IgM-binding protein was unequivocally precipitated from the culture supernatants of metabolically labeled, PMA-activated 697 pre-B cells by IgM-coupled beads [10]. Taken together, these findings highly suggest the possibility that Fc $\mu$ R is released as small vesicles or exosomes from the plasma membrane upon activation.

Another intriguing issue in FcR fields is the inducibility of FcRs by exposure to the corresponding Ig ligands, although such Ig-binding molecules have defied biochemical characterization. For example, IgA-binding capacity of mouse T cells was induced by exposure to IgA in vivo and in vitro [19]; however, this binding must be mediated by a non-Fc $\alpha$ R/CD89 molecule, because mice lack the human Fc $\alpha$ R/CD89



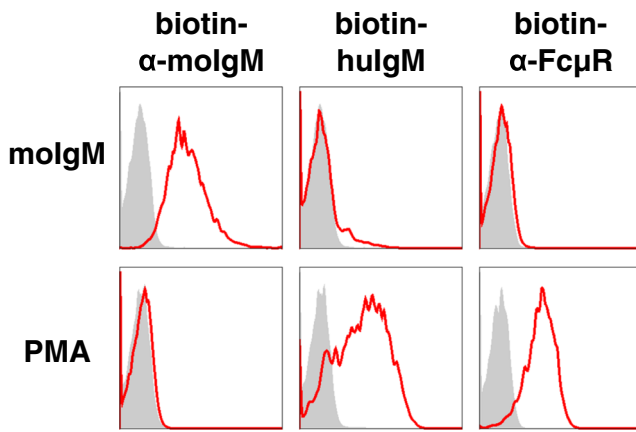
**Fig. 3** Amino acid sequence alignment of IgM-binding receptors. The Ig-binding domains of pIgR, Fcα/μR and FcμR from several species are aligned with each other. Amino acid identity is indicated by dots (·) and a deletion by slashes (/). Residues conserved in all three receptors and in pIgR and Fcα/μR are highlighted in yellow and red, respectively. Accession codes for these sequences are: pIgR of human (P01833), rabbit (P01832), mouse (070570), rat (P15083), bovine (P81265), and chicken (AAP69798); Fcα/μR of human (AAL51154) and mouse (NP\_659209);

and FcμR of human (NP\_005440), mouse (NP\_081252), and rat (Q5M871). Crystallographically determined secondary structure elements and the topology diagram of human pIgR, which are determined by Hamburger et al. [18], are shown above the sequences and in a right lower corner, respectively. The β strands A, B, E, and D are shown in blue, β strands C', C', C, F, G, and A' are in green, and three CDR loops (including the α helix within CDR1) are red. The permission to incorporate the structural data has been obtained from Dr. Pamela Bjorkman

homolog gene [20, 21]. Similarly, both mouse and human IgM induced the IgM receptor on 697 pre-B cells in a dose-dependent manner without a plateau, and IgM receptor expression was maximal within 30 min after exposure, whereas longer exposure to PMA was required for maximal IgM binding [10]. The upregulation of IgM receptor was dependent on the continuous presence of the ligand, as removal of IgM from the culture resulted in a time-dependent decline of IgM receptor expression by 697 pre-B cells. Yoshiki Kubagawa reexamined this dichotomy and concluded that such ligand-induced IgM receptor on 697 pre-B cells was not the FcμR as determined by receptor-specific mAbs (Fig. 4). It is thus suggested that another molecule such as certain lectins is responsible for the above IgM binding. In this regard, CD22 (siglec-2), a B cell membrane-bound lectin recognizing glycan ligands containing α2,6-linked sialic acid, was recently shown to interact with glycan ligands on soluble IgM/antigen complexes, thereby negatively regulating BCR signaling similar to FcγRIIB [22, 23]. Other IgM-binding proteins have also been demonstrated in other cell types. For example, tripartite motif-containing protein 21 (TRIM21)/Ro52 binds antibody-opsonized pathogens and targets them to proteasomal degradation in phagocytes, and thus, the TRIM21/Ro52 behaves as a cytosolic Fc receptor for IgG and IgM [24, 25] (see the article of Leo James in this volume).

Apoptosis inhibitor of macrophage (AIM)/Spα, a member of the group B scavenger receptor cysteine-rich superfamily, is a soluble protein of ~45 kDa produced by macrophages (Mφs) and is known to bind serum IgM but not IgG or IgA, in addition to support the survival of Mφs [26–28]. Recent data from analysis of *Aim*-deficient mice suggest that AIM plays an important role in obesity-associated natural IgM autoantibody process in Fcα/μR-bearing follicular dendritic cells (FDCs) [29].

4) *Conserved Tyr and Ser residues*: The following common feature is observed with many paired receptors having a similar extracellular region but transmitting opposite signal potentials, such as FcγRs and NK cell receptors. One type has a short cytoplasmic tail but a charged aa in the transmembrane segment through which another transmembrane protein carrying immunoreceptor Tyr-based activation motifs (ITAMs) non-covalently associates with. The other type has a regular hydrophobic transmembrane and a long cytoplasmic tail containing immunoreceptor Tyr-based inhibitory motifs (ITIMs). In this regard, FcμR is unique, because it has a charged His residue in the transmembrane segment and a long cytoplasmic tail containing conserved Tyr and Ser residues, when compared with FcμRs from six different species



**Fig. 4** Effect of IgM exposure and PMA treatment on the expression of IgM receptor by the 697 pre-B cell line. Cells were incubated for 16 h at 37 °C with mouse IgM (0.3 mg/ml; *top* panel) or PMA (10 nM; *bottom* panel), washed, then assessed for IgM binding by flow cytometric analysis using biotin-labeled, rat anti-mouse  $\mu$  mAb (*left* column), human IgM (*middle* column), mouse anti-human Fc $\mu$ R or isotype-matched control mAb (*right* column). The bound biotin-labeled reagents were detected by addition of phycoerythrin-labeled streptavidin (PE-SA). In the left two columns, the red lines are the reactivity of the indicated biotin reagents to cells preincubated with mouse IgM or PMA and the shaded histograms are that to cells preincubated with medium only as controls. In the right column, the red lines and shaded histograms are the reactivity of cells with anti-Fc $\mu$ R or isotype-matched control mAb, respectively. Note that mouse IgM-exposed 697 pre-B cells display already-bound mouse IgM and minimal binding of human IgM, but are negative for Fc $\mu$ R. By contrast, PMA-treated 697 pre-B cells clearly exhibit IgM binding and are positive for Fc $\mu$ R

(Fig. 5). This suggests that Fc $\mu$ R has a dual signaling ability: one from a potential adaptor protein non-covalently associating with Fc $\mu$ R via the His residue, similar to the association of FcR common  $\gamma$  chain with Fc $\gamma$ RI [1], and the other from its own Tyr and/or Ser residues in the cytoplasmic tail. While we have not yet identified a potential adaptor protein associated with the 60 kD ligand-binding chain of Fc $\mu$ R, Yoshiki Kubagawa found that Fc $\mu$ R ligation with preformed IgM immune complexes induced phosphorylation of both Tyr and Ser residues of the receptor [5]. Intriguingly, phosphorylated Fc $\mu$ R migrated faster on SDS-PAGE than unphosphorylated Fc $\mu$ R, suggesting that either phosphorylation-induced conformational changes or receptor ligation-induced proteolytic cleavage could be responsible for such migration behavior of the receptor. None of the Tyr residues correspond to an ITAM (D/Ex<sub>2</sub>Yx<sub>2</sub>L/Yx<sub>6-8</sub>Yx<sub>2</sub>L/I; x indicates any aa residues), ITIM (I/VxYx<sub>2</sub>L/V) or switch motif (TxYx<sub>2</sub>V/I) [30–35], but the C-terminal Tyr matches the recently described Ig tail Tyr (ITT) motif (DYxN) in IgG and IgE isotypes [36]. The two carboxyl terminal Tyr residues were found to be involved in the Fc $\mu$ R-mediated endocytosis in CLL B cells [12]. It was also shown that Fc $\mu$ R ligation on NK cell transductants or primary NK cells with IgM immune

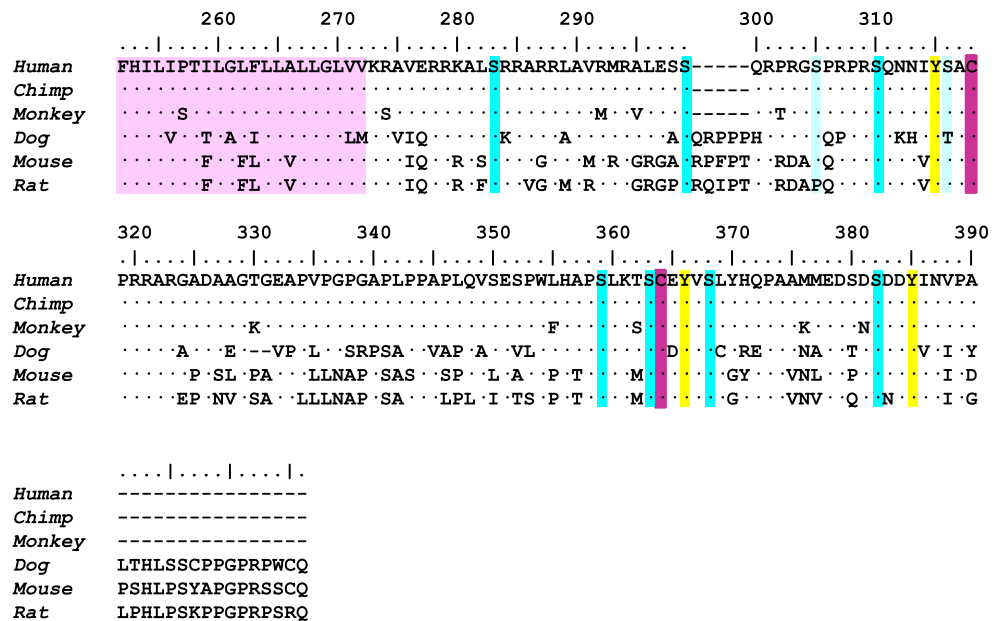
complexes induced phosphorylation of PLC $\gamma$  and ERK1/2 [17].

#### Cellular Distribution of Fc $\mu$ R

Reverse transcription-polymerase chain reaction (RT-PCR) analysis of various tissues and a panel of representative cell lines revealed that Fc $\mu$ R transcripts were restricted to hematopoietic and lymphoid tissues, including the bone marrow, blood, spleen, tonsils and appendix [5]. Fc $\mu$ R transcripts were detected in both CD4<sup>+</sup> and CD8<sup>+</sup> T cells and in all subsets of tonsillar B cells, although the transcript levels appeared higher in the follicular and memory B cells than in the pre-germinal and germinal center B cells, consistent with data reported by others [37]. Gene array data suggested that CD4<sup>+</sup> central memory (CD45RO<sup>+</sup> CD27<sup>+</sup> CCR7<sup>+</sup>) T cells expressed higher levels of Fc $\mu$ R transcripts than CD4<sup>+</sup> effector memory (CD45RO<sup>+</sup> CD27<sup>-</sup> CCR7<sup>-</sup>) T cells [38]. Among the cell lines, a couple of pro-B/pre-B cell lines and many B cell lines including Epstein Barr virus (EBV)-transformed lines also contained Fc $\mu$ R mRNA, but myeloid and erythroid lines did not. Interestingly, 697 pre-B cells expressed Fc $\mu$ R transcripts but did not constitutively express cell-surface Fc $\mu$ R proteins [5].

Satoshi Oka examined the cell surface expression of Fc $\mu$ R by flow cytometric analysis using a panel of ten receptor specific mAbs and IgM ligands. Unlike earlier findings using rosette formation with IgM-coated erythrocytes, Fc $\mu$ R expression in humans is restricted to adaptive immune cells, both B and T lymphocytes, but not to innate immune cells [5]. The lack of Fc $\mu$ R expression by myeloid cells was also the case when they were activated with various stimuli including PMA/ionomycin, LPS, mitogens and several cytokines. Neither erythrocytes nor platelets expressed Fc $\mu$ R. NK cells were the only known exception for Fc $\mu$ R expression by cells other than B and T cells in humans [5, 17], but are now implicated to have features of both adaptive and innate cells [39]. Fc $\mu$ R is thus the only FcR constitutively expressed on T cells, which are generally negative for the expression of other FcRs. For B cells, Fc $\mu$ R is the only IgM-binding receptor expressed; although Fc $\alpha/\mu$ R was initially shown to be expressed by B cells [40], our subsequent analyses revealed that the major cell type expressing Fc $\alpha/\mu$ R is a FDC in both humans and mice [41]. Clearly, mAb reactivity was a more sensitive assay for the detection of Fc $\mu$ R than ligand binding [5]. Notably, preincubation of blood MNCs with IgM-free media enhanced Fc $\mu$ R expression especially by T cells, consistent with our previous findings [11]. Intriguingly, this enhancement was more evident in cell preparations from tonsils and spleen than from blood. Freshly prepared tonsillar MNCs, including both B and T cells, had no reactivity with either anti-Fc $\mu$ R mAbs or

**Fig. 5** Amino acid sequence alignment of the transmembrane and cytoplasmic regions of FcμRs. The transmembrane and cytoplasmic regions of FcμR from six species are aligned with each other. Amino acid identity is indicated by dots (·) and a deletion by dashes (–). The predicted transmembrane region is colored in pink. Conserved Tyr, Ser and Cys residues are also highlighted in yellow, dark or light blue, and purple, respectively. Light blue indicate conservation of Ser residues in five species. The numbers indicate the aa position from the first Met residue of human FcμR



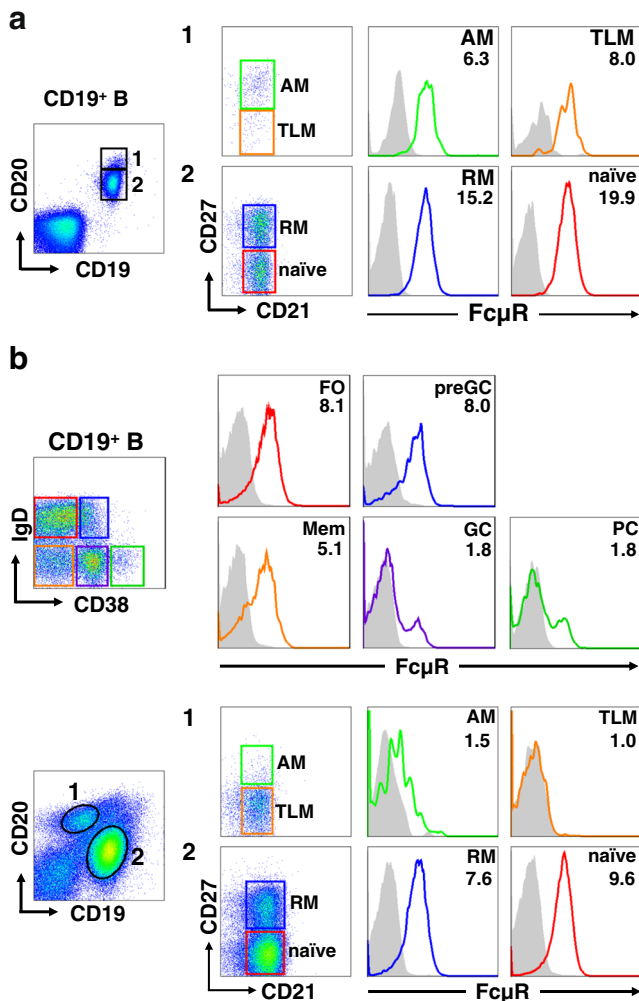
IgM ligands, but after pre-incubation, there was clear-cut expression of FcμR on the surface of CD19<sup>+</sup> B, CD4<sup>+</sup> T and CD8<sup>+</sup> T cells [5]. Since many other cell-surface antigens were easily detectable in those freshly isolated preparations, this in vivo down-modulation of FcμR was not due to an artifact of tissue manipulation, but rather to certain consequences. One possibility is that the IgM concentration in the interstitial spaces of such intact tissues, which has never been assessed, may be much higher than in blood (>~2 mg/ml). Alternatively, the tissue microenvironment (e.g., proteases) or cellular activation status may cleave the cell surface FcμR or may release FcμR-containing small membrane vesicles (or exosomes) from the plasma membrane.

In addition to the common classification of memory (CD27<sup>+</sup>) versus naïve (CD27<sup>-</sup>) blood B cells, the revised version has been suggested from the analysis of blood B cells in human immunodeficiency virus (HIV)-infected individuals. The increased levels of inhibitory receptors including FcR-like 4 are demonstrated on a unique memory B cell subset called tissue-like memory (CD20<sup>hi</sup> CD21<sup>lo</sup> CD27<sup>lo</sup>) in the blood from HIV-viremic individuals as compared with HIV-aviremic and -negative individuals and are thought to contribute to poor antibody responses against HIV in infected individuals [42]. Dewitt Jones and Kazuhito Honjo utilized this revised classification to determine the surface FcμR levels on various B cell subsets in the healthy adult blood and found the following hierarchy: naïve (CD20<sup>lo</sup> CD21<sup>+</sup> CD27<sup>-</sup>) > resting memory (CD20<sup>lo</sup> CD21<sup>+</sup> CD27<sup>+</sup>) > tissue-like memory (CD20<sup>hi</sup> CD21<sup>+</sup> CD27<sup>lo/-</sup>) and activated memory (CD20<sup>hi</sup> CD21<sup>+</sup> CD27<sup>+</sup>) (Fig. 6a). In tonsils from individuals with chronic tonsillitis, most follicular (IgD<sup>+</sup> CD38<sup>-</sup>), memory (IgD<sup>-</sup> CD38<sup>-</sup>) and pregerminal center (IgD<sup>+</sup> CD38<sup>+</sup>) B cells expressed FcμR, whereas only subpopulations of germinal

center (GC; IgD<sup>-</sup> CD38<sup>+</sup>) B cells and plasma cells (CD38<sup>hi</sup>) expressed FcμR (Fig. 6b upper); the results are consistent with RT-PCR and microarray data by us and others [5, 37]. Among the memory B cell compartment, a similar trend of FcμR levels seen in the blood was also observed: resting > activated memory or tissue-like memory (Fig. 6b lower).

For blood T cells, cell surface FcμR levels were slightly higher on α/β T cells than on γδ T cells and on CD4 T cells than on CD8 T cells. The hierarchy of FcμR levels on various T cell subsets was as follows: naïve (CD45RA<sup>+</sup> CD27<sup>+</sup> CCR7<sup>+</sup>) > central memory (CM; CD45RA<sup>-</sup> CD27<sup>+</sup> CCR7<sup>+</sup>) > effector memory (EM; CD45RA<sup>-</sup> CD27<sup>+</sup> CCR7<sup>lo/-</sup>) > effector (CD45RA<sup>-</sup> CD27<sup>-</sup> CCR7<sup>-</sup>) in both CD4 T and CD8 T cell subsets in the adult blood (Fig. 7a). In tonsils, the similar hierarchy of FcμR levels to that in the adult blood was also observed: naïve > CM > EM > effector (CD45RA<sup>-</sup> CD27<sup>-</sup> CCR7<sup>-</sup>) for CD4 T cells and naïve > CM > EMRA (CD45RA<sup>+</sup> CD27<sup>+</sup> CCR7<sup>+</sup>) > EM for CD8 T cells (Fig. 7b). As to FcμR expression during ontogeny, Naonori Nishida, Toshio Miyawaki and Kazuhito Honjo compared the expression of FcμR between cord and adult blood MNCs and found that FcμR levels on B cells were significantly lower in cord blood than adult blood, whereas FcμR levels on other cell types (CD4 T, CD8 T, Treg, and NK cells) were comparable.

In adult bone marrow, a small subpopulation (~20 %) of the pro-B/pre-B cells (CD19<sup>+</sup> surface IgM<sup>-</sup>) expressed low levels of FcμR on their cell surface, whereas ~40 % of the B cells expressed slightly higher levels of FcμR, indicating that FcμR expression begins at the pro-B/pre-B cell stage in B-lineage differentiation. Collectively, these findings indicate that in striking contrast to FcRs for switched Ig isotypes, human FcμR is predominantly expressed by the adaptive immune cells and that the cell surface levels of FcμR are sensitive to



**Fig. 6** FcμR expression by B cell subsets in blood and tonsils. MNCs from adult blood (a) and tonsils (b) were first incubated with FcγR-blocking reagents and then with biotin-labeled, anti-FcμR (HM14; γ1κ) or isotype-matched control mAb, before developing with PE-SA. PE-stained cells were counterstained with fluorochrome-labeled mixture of four or three mAbs with specificity for: CD19, CD20, CD21 or CD27 (a and b lower panel) or CD19, IgD or CD38 (b upper panel), including fluorochrome-labeled, corresponding isotype-matched control mAbs for background setting. Stained cells were analyzed by BD LSR II (a and b lower) and Accuri C6 (b upper) flow cytometries. Cells in boxes with numbers or different color frames were examined for the reactivity with FcμR-specific (solid lines) or control (shaded histograms) mAb. Numbers indicate the mean fluorescence intensity (MFI) ratios defined as (MFI of anti-FcμR mAb ÷ MFI of control mAb). AM activated memory; TLM tissue-like memory; RM resting memory; FO follicular; preGC pregerminal center; Mem memory; GC germinal center; PC plasma cell

IgM ligand concentration, tissue milieu and cellular activation status.

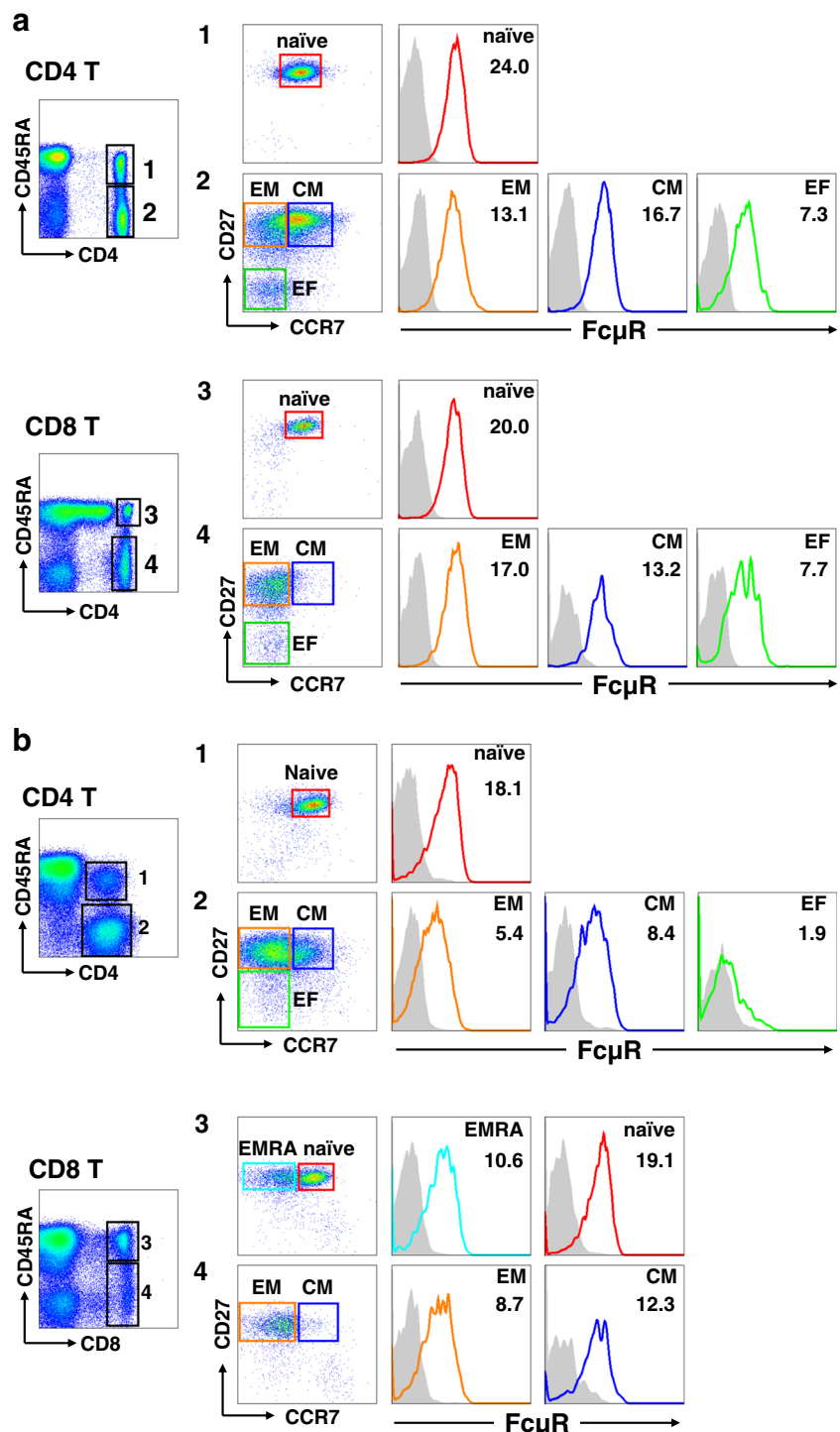
### Enhanced Expression of FcμR in Patients with CLL

Consistent with previous findings from us and others [5, 9, 10, 43–50], CLL B cells (CD5<sup>+</sup> CD19<sup>+</sup>) clearly expressed

increased levels of FcμR on their cell surface compared with B cells from normal individuals as determined by receptor-specific mAbs [51]. This enhanced expression was more evident in Ig heavy chain variable region (*IGHV*)-mutated, CD38<sup>-</sup> or early Rai-stage CLL than *IGHV*-unmutated, CD38<sup>+</sup> or advanced Rai-stage CLL. Intriguingly, surface FcμR levels also were significantly elevated in the non-CLL B cells (CD5<sup>-</sup> CD19<sup>+</sup>) and T cells (CD5<sup>+</sup> CD19<sup>-</sup>), especially in patients with *IGHV*-mutated CLL, when compared with the corresponding populations in normal individuals. The increase in FcμR expression on T cells in CLL patients is unique, because normal T cells activated ex vivo with anti-CD3 mAb or PMA down-modulate the surface expression of FcμR, whereas B cells activated with mitogenic anti-μ mAb or PMA up-regulate surface FcμR [5]. CLL patients also had high serum titers of FcμR compared with healthy donors as determined by sandwich ELISA using two different receptor-specific mAbs. Serum FcμR levels correlated well with circulating lymphocyte numbers but not with the *IGHV* mutation status or Rai stage. The serum FcμR was resolved as an ~40 kDa protein, distinct from the cell surface FcμR of ~60 kDa, was produced by both CLL B and non-CLL B cells and shown by proteomic analysis to be a soluble form of the receptor encoded by an alternatively spliced FcμR transcript. The molecular basis for such soluble FcμR production in CLL patients, however, remains unclear as does the clinical effect of such levels as a decoy receptor on the immune responses of CLL patients. These findings indicate enhanced levels of both membrane-bound and soluble forms of FcμR in CLL patients [51].

Recent seminal findings from the laboratory of Hassan Jumaa have suggested that in contrast to other B cell malignancies, CLL-derived BCR ligates each other via interactions between the Ig heavy chain CDR3 of one BCR and an intrinsic motif (**WVRQ**xPG; bold fonts indicate critical aa residues) in the framework region 2 of another, thereby generating antigen-independent cell-autonomous signaling [52]. This antigen-independent self-ligation of BCR on CLL cells is consistent with the well-known findings of reduced levels of cell surface IgM and IgD on CLL cells and may induce up-regulation of cell surface FcμR as observed with ex vivo BCR cross-linkage with anti-μ mAbs. Many CLL patients contain significant amounts of CLL-derived IgM in their sera as determined by using CLL BCR idiotype-specific reagents [53–55]. As CLL-derived IgM often reacts with self-antigens [56], the following proposed scenario would be conceivable. Subpopulations of CLL B cells undergo differentiation into plasma cells that secrete IgM. Secreted IgM in turn binds self-antigens of either soluble or membrane-associated form, and the resultant soluble IgM immune complexes co-ligate BCR and FcμR or the Fc portion of IgM bound to self-antigens on CLL cells binds FcμR *in cis*, thereby providing a survival signal to CLL B cells via FcμR (Fig. 8).

**Fig. 7**  $Fc\mu R$  expression by T cell subsets in blood and tonsils. MNCs from blood (a) and tonsils (b) were similarly stained as in Fig. 6 for  $Fc\mu R$  and counterstained with fluorochrome-labeled anti-CD4 (upper) or anti-CD8 (lower) mAb, along with other fluorochrome-labeled mAbs specific for CD45RA, CD27 or CCR7. Stained cells were similarly analyzed by BD LSR II flow cytometry as described in the Fig. 6 legend. *EM* effector memory; *CM* central memory; *EF* effector; *EMRA* effector memory  $CD45RA^+$

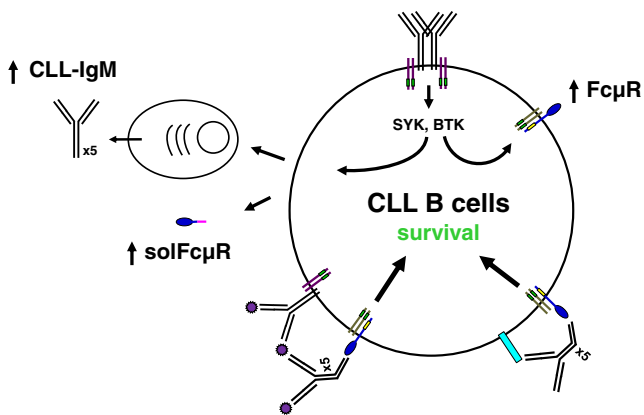


### Potential Functions

- 1) *Toso/FAIM3* versus  $Fc\mu R$ : When we isolated the  $Fc\mu R$  cDNA from human B-lineage cDNA libraries by a functional cloning strategy and analyzed its nucleotide sequence with the basic local alignment search technique database, the  $Fc\mu R$  cDNA was identical to that of human Fas apoptotic inhibitory molecule 3 (FAIM3), except for

one nucleotide difference at a position reported as a synonymous single nucleotide polymorphism. [CLL- and PMA-activated 697 pre-B cell-derived  $Fc\mu R$  cDNA and FAIM3 cDNA are available from GenBank/EMBL/DDB under accession nos. GQ160900, GQ160901 and NM\_005449, respectively.] FAIM3 was also identified in a similar retroviral cDNA library-based functional assay as a potent inhibitor of Fas/CD95-induced apoptosis in





**Fig. 8** Hypothetical role of Fc $\mu$ R in CLL. Our current working hypothesis of the role of Fc $\mu$ R in CLL is as follows. Antigen-independent self-ligation of BCR on CLL cells activates SYK and BTK tyrosine kinases and induces up-regulation of the cell surface expression of Fc $\mu$ R/adaptor protein complex. Subpopulations of CLL cells differentiate into plasma cells that secrete pentameric IgM antibodies. Secreted IgM antibodies recognize soluble (purple spiky small circles) or lymphocyte membrane (light blue rectangle) self-antigens. The colligation of Fc $\mu$ R and BCR by soluble IgM/self-antigen immune complexes or the *cis* interaction of Fc $\mu$ R and lymphocyte membrane self-antigen by secreted IgM provides a survival signal to CLL cells through Fc $\mu$ R. BCR is depicted as black Y shape heavy and light chain lines with Ig $\alpha$ / $\beta$  adaptor proteins (purple lines) carrying ITAM (small green rectangles). Fc $\mu$ R ligand-binding chain is depicted as a blue tennis racket shape with a small yellow rectangle indicating three conserved intracytoplasmic Tyr residues and associates with an unknown adaptor protein (gray lines) possibly carrying ITAM (small green rectangles). Soluble Fc $\mu$ R, an alternative splice variant, is also markedly elevated in CLL patients, but its biological function remains unknown

Jurkat T cells and was originally designated as Toso, after a Japanese liquor drunk on New Year's day to celebrate long life and eternal youth [15]. However, this Toso/FAIM3 designation was incorrect, as the mouse IgM anti-Fas mAb (CH11) was used for induction of Fas-mediated apoptosis. In fact, Fas ligation with the CH11 IgM mAb induced robust apoptosis in control (Fc $\mu$ R<sup>-</sup>) Jurkat cells, but not in Fc $\mu$ R<sup>+</sup> Jurkat cells, consistent with the previously reported anti-apoptotic activity of Toso/FAIM3 [15]. However, Fas ligation with an IgG3 mAb (2R2) or a recombinant Fas ligand induced apoptosis in both control and Fc $\mu$ R<sup>+</sup> cells [5, 16]. Essentially identical results were also obtained with EBV-transformed B cell lines expressing both endogenous Fc $\mu$ R and Fas on their cell surface. Thus, Toso/FAIM3 per se has no intrinsic activity to inhibit Fas-mediated apoptosis and is an authentic IgM Fc-binding molecule, Fc $\mu$ R.

- 2) *Mouse Fc $\mu$ R*: Kazuhito Honjo determined the cellular distribution of mouse Fc $\mu$ R using a panel of five receptor-specific mAbs. Unlike humans, the expression of mouse Fc $\mu$ R was restricted to B-lineage cells only. The Fc $\mu$ R expression began at the early immature B cell stage in bone marrow and continued through to plasmablast

stage of differentiation, accompanied by transient down-modulation during GC reactions [6]. Contrary to this, Lang et al. recently reported that Ly6G<sup>+</sup> bone marrow granulocytes and M $\phi$ s expressed Fc $\mu$ R at extremely low density on their cell surface [57]. Strangely, Ly6G<sup>-</sup> bone marrow cells which should contain a significant number of Fc $\mu$ R-expressing B cells, were negative with their B68 mAb [57]. In this regard, we reexamined extensively and found that none of our mAbs reacted specifically with the cell surface of these phagocytes and that Fc $\mu$ R transcripts were clearly detectable in B-lineage cells but not in the double sorted Ly6G<sup>+</sup> granulocytes or in *Rag1*-deficient splenocytes, which were devoid of B and T cells but contained abundant granulocytes and M $\phi$ s, even after 35 cycles of amplification [6, 58]. Thus, these findings conclusively demonstrate at both protein and RNA levels that Fc $\mu$ R is not expressed by myeloid cells. This conclusion is very important when we consider the role of Fc $\mu$ R in bacterial infection models as described in ref. 57.

- 3) *Fc $\mu$ R-deficiency*: *Fc $\mu$ R* KO mice have been independently generated by two laboratories, our collaborator Hiroshi Ohno at RIKEN in Yokohama and Tak Mak at Ontario Cancer Institute in Toronto, and recently have been characterized by four different groups of investigators with clear differences in the reported phenotypes [6, 57, 59, 60]. Although the basis for these discrepancies requires further investigations, it might be due in part to: (i) different strategies for gene targeting (i.e., deletion of exon 2 to 4 [6, 59] versus exon 2 to 8 [57, 60] and/or the absence [6, 59] versus presence of the *Neo* gene [57, 60] in the mouse genome) as well as the extent of the 129-origin DNA around the *Fc $\mu$ R* gene remaining after backcrossing onto C57BL/6, (ii) investigators' concepts of the function of Fc $\mu$ R/Toso as an IgM binding protein versus an anti-apoptotic protein, and/or (iii) other factors (e.g., mouse ages, environments including intestinal microbiota or reagents used). Nevertheless, the abnormal phenotypes commonly observed (in at least two laboratories) in *Fc $\mu$ R* KO mice are: (i) increase in pre-immune serum IgM [6, 59], (ii) alterations in B cell subpopulations [6, 59, 60], (iii) dysregulation of humoral immune responses [6, 59, 60], (iv) impairment of B cell proliferation upon ligation of BCR *in vitro* [59, 60], and (v) predisposition to autoantibody production [6, 59, 60].

Notably, many abnormalities in *Fc $\mu$ R* KO mice mirror those observed in  $\mu$ s exon-deleted mice ( $\mu$ s<sup>-/-</sup>) that are unable to secrete IgM but are able to express surface IgM and other Ig isotypes on B cells and to secrete all other classes of Igs [61]. Studies with these  $\mu$ s<sup>-/-</sup> mice have reinforced the importance of both pre-immune "natural" and antigen-induced "immune" IgM antibodies in protection against infectious and autoimmune diseases [62, 63]. Such  $\mu$ s<sup>-/-</sup> mutant mice cannot

control viral, bacterial or fungal infections, likely due to their unexpected inability to mount a protective IgG antibody response [64–66]. On the other hand, autoimmune pathology associated with IgG autoantibodies is exacerbated in these  $\mu s^{-/-}$  mutant mice, possibly due to the absence of protective IgM natural antibodies, resulting in impaired clearance of autoantigen-containing apoptotic cells [61, 67, 68]. Secreted IgM can thus profoundly influence immune responses to pathogens and self-antigens and the role of Fc $\mu$ R in such effector functions has just begun to be explored. Although *FCMR* deficiency has not yet been identified in humans, it seems likely that the phenotype will be much more complex and profound than the *Fcmr* deficiency in mice, because human Fc $\mu$ R is expressed by additional cell types, namely T and NK cells.

**Acknowledgments** The studies described in this article are accomplished based on the earlier studies on Fc $\mu$ R by Dr. Tatsuharu Ohno and Dr. Tetsuya Nakamura in the laboratory of Dr. Max Cooper and the authors wish to express our gratitude to them for their solid work and to Dr. Max Cooper for his great mentorship. This work was supported in part by National Institute of Health, National Institute of Allergy and Infectious Diseases Grants AI52243, R56AI82249, and R21AI94624 (to HK). Regretfully, Dr. Toshio Miyawaki, Professor Emeritus of Toyama University, was diseased on February 26, 2014, and we would like to offer our deepest sympathy.

**Conflict of interest** The authors declare no conflicting financial interests.

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