#### **ORIGINAL ARTICLE**



# **The island mass efect: a study of wind‑driven nutrient upwelling around reef islands**

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#### **Abstract**

Using the method of process-oriented modelling, this study explores wind-driven upwelling features around reef islands of the tropical Pacifc Ocean. The three-dimensional hydrodynamic model is coupled to a nutrient-phytoplankton (NP) model to simulate the creation of phytoplankton blooms initiated by the wind-driven upwelling of nutrients into the euphotic zone. Findings demonstrate that short-lived wind events of 2–5 days in duration, which are typical of tropical regions, can lead to signifcant phytoplankton blooms near reef islands. This fnding agrees with observational evidence. Comparison studies reveal that the total phytoplankton production increases for higher wind speeds, longer durations of wind events and larger reef islands, and that it decreases with stronger static stability of the pycnocline. Overall, our fndings indicate that winddriven nutrient upwelling supports the ecosystem functioning around larger tropical reef islands.

**Keywords** Wind-driven upwelling · Tropical oceanography · Island mass efect · Reef islands · Subsurface phytoplankton blooms · Process-oriented modelling

# **1 Introduction**

An island is a body of land surrounded by water. Geographically, the Pacifc Ocean has most of the islands in the world. According to Nunn et al. [\(2016\)](#page-12-0) the Pacifc has 1779 islands with a surface area  $> 0.01$  km<sup>2</sup>, mainly located in tropical and subtropical regions. Most common are reef islands (36%) and volcanic high islands (31%). Recently, Messié et al. ([2022\)](#page-12-1) identifed 11,449 islands and 4602 shallow reefs in the Pacifc region from high-resolution bathymetry data; however, many of these islands form part of island groups that Nunn et al.  $(2016)$  counted as single islands. Reef islands include atolls that are circular or irregularly shaped, isolated oceanic reef structures at or near sea level that enclose a lagoon usually tens of meters deep (see Goldberg [2016](#page-12-2)). A key feature of atolls is the existence of steep reef fronts with slopes of up to 45°. Goldberg ([2016\)](#page-12-2) reported a global total of 439 atolls globally. The diameter of atolls ranges from 2.5 to nearly 100 km, but the mean

 $\boxtimes$  Jochen Kämpf jochen.kaempf@finders.edu.au https://www.finders.edu.au/people/jochen.kaempf value is about 20 km (Schwartz and King [2019\)](#page-12-3). There is considerable variation in the geometry of reef crests around the perimeter of the central lagoon, ranging from continuous to highlight fractured. This study focusses on reef islands with a diameter <40 km and steep reef fronts.

Groups of islands are often associated with enhanced plankton productivity due to various coupled physicalbiogeochemical mechanisms, often summarized as "island mass effect" (Doty and Oguri [1956\)](#page-12-4). These mechanisms include the interaction of oceanic fows with the island topography (Mann and Lazier [2005\)](#page-12-5), tidal mixing around islands (Kodaira and Waseda [2019](#page-12-6)), tidally induced internal waves (Grifn et al. [1987](#page-12-7)), turbulent mixing in island wakes (Hasegawa et al. [2021](#page-12-8)), and other factors such as nutrient intake from island runoff (Bell [1992](#page-12-9)), atoll flushing (Gove et al. [2016\)](#page-12-10), groundwater discharge (Hwang et al. [2005](#page-12-11); Street et al. [2008\)](#page-13-0) and human activities that increase nearshore nutrient concentrations above natural levels (Vitousek et al. [1997](#page-13-1)). It has also been demonstrated that wind-driven Ekman layers can create coastal upwelling on one side of an island and downwelling on the other side (Spall and Pedlosky [2013](#page-12-12)). In how much this wind-driven upwelling process can induce phytoplankton blooms around reef islands has not been systematically studied in the past.

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The island mass effect is often inferred from satellitederived chlorophyll-*a* (chl-*a*) levels as a proxy for surface phytoplankton concentrations. The surface chl-*a* signals near islands are generally 1–2 order of magnitude smaller than those characterizing major coastal upwelling systems  $(5-10 \text{ mg/m}^3 \text{ in chl-}a)$ . For example, ship data around Madeira Island, Northeast Atlantic indicated maximum surface chl- $a$  values of 0.15 mg/m<sup>3</sup> (Caldeira et al. [2002](#page-12-13)). Despite low surface levels, Messié et al. ([2022\)](#page-12-1) could identify surface chl-*a* enrichments near 99% of islands and submerged reefs of the tropical Pacifc from satellite-derived data. On the other hand, phytoplankton biomass often increases deeper in the water column, reaching a subsurface maximum that can be far greater than in surface waters. For instance, Furuya [\(1990\)](#page-12-14) detected enhanced chl-*a* levels of 0.3–0.4 mg/m<sup>3</sup> at a depth of  $\sim$  100 m in the western Pacific. Findings by Gove et al. [\(2016](#page-12-10)) showed a signifcant increase of depth-integrated chl-*a* levels (dominated by subsurface signals) within 10 km from the coast from 25 in-situ surveys across 21 Pacifc coral reef islands and atolls with values in a range of  $1-10$  mg/m<sup>2</sup>.

There is indirect evidence of enhanced biological productivity near reef islands. For instance, a preliminary study on the fsheries catches in South China Sea using light falling nets (Wu et al. [2016\)](#page-13-2) documented signifcant squid and tuna stocks around both the Paracel Islands and the Spratly Islands, which are regions of numerous atolls and shoals. With a net spanning an area of  $3150 \text{ m}^2$  (equivalent to 63 m) by 50 m), the average fshing rate was~470 kg/h with purpleback fying squid (*Stenouteuthis oualaniensis*) comprising~60% and yellowfn tuna (*Thunnus albacares*), skipjack tuna (*Katsuwonus pelamis*) and mackerel scads (*Decapterus maruadsi*) together comprising ~ 40% of the total catch. The "island mass efect" around the Paracel Islands and the Spratly Islands may explain why the South China Sea supports annual fsh catches of the same order of magnitude as the world's most productive upwelling system off the coasts of Peru and Chile (Kämpf and Chapman [2016](#page-12-15)). Other indirect evidence comes from the feeding behaviour of baleen

whales. For instance, pygmy blue whales (*Balaenoptera musculus brevicauda*) migrate from their feeding grounds located both near a coastal upwelling centre along the Bonney Coast (South Australia/Victoria, Australia) and the Perth Canyon (Western Australia) to Indonesian waters (Möller et al. [2020](#page-12-16)). Satellite tracks then show some whales near the Java coastal upwelling region and others near islands of the Inner Banda Arc—a volcanic arc of the Banda Sea (see Möller et al. [2020\)](#page-12-16). Through wind-driven upwelling and other island-mass efects, these volcanic islands may provide a feeding ground for the whales.

The hypothesis of this work is that short-lived wind events induce localized upwelling near a reef island (Fig. [1a](#page-1-0)). This upwelling operates to lifts nutrient-enriched subsurface water into lower portions of the euphotic zone, where it triggers the creation of subsurface phytoplankton blooms. Strong upwelling or additional effects (e.g., enhanced vertical mixing) may trigger surface phytoplankton blooms. During and following a wind event, the zone of enriched nutrients and phytoplankton may spread away from the reef island under the efect of ambient currents over a distance that may exceed the island diameter (Fig. [1](#page-1-0)b).

Using the method of process-oriented hydrodynamic modelling, this work addresses the following scientifc questions: (i) Are short-lived wind events with speeds of up to 10 m/s and durations of 3–6 days typical for tropical oceans? (ii) Can short-lived wind events near a reef island trigger the formation of substantial phytoplankton blooms? (iii) If so, which factors control the magnitude of this response?

# **2 Methodology**

## <span id="page-1-1"></span>**2.1 Conceptual model**

Our model assumes that a transient wind event creates a surface Ekman layer of a volume transport of  $U_{ek}$  (m<sup>2</sup>/s) that moves surface water away from the reef island (Fig. [2](#page-2-0)). The magnitude of the Ekman transport is given as follows:

<span id="page-1-0"></span>**Fig. 1** Schematics of localized nutrient enrichment due to wind-driven upwelling. **a** Localized formation of a subsurface plankton layer near a reef island. **b** Its subsequent spreading under the effect of ambient currents over horizontal distances that may exceed the island size





<span id="page-2-0"></span>**Fig. 2** Schematic illustrating the wind-driven upwelling process around a reef island of diameter *D*. The wind event creates an offshore Ekman volume transport  $U_{ek}$  that is balanced by an upward volume transport over a distance *R* associated with a vertical upwelling velocity *W*

$$
U_{ek} = \frac{|\tau|}{(\rho_o |f|)},\tag{1}
$$

where  $\tau$  is wind stress,  $\rho_{\rm o} \approx 1026 \text{ kg/m}^3$  is an average seawater density, and *f* is the Coriolis parameter. This assumption is not valid in close vicinity  $(< 100$  km) of the equator where the Coriolis force vanishes, and it may not fully apply on timescales shorter than the inertial period, which is  $\sim$  36 h at a geographical latitude of 20 $\degree$ N. Ekman transport is perpendicular to the wind direction. Next, we assume that this Ekman transport is fully balanced by upwelling of subsurface water rather than by horizontal infows. Based on volume conservation, the average upwelling speed *W* is then given as follows:

$$
W = U_{ek}/R = \frac{|\tau|}{(\rho_o f R)},\tag{2}
$$

where  $R$  is the width of the upwelling zone. Over the duration of a wind event,  $t_w$ , this vertical speed operates to lift the nutricline upward by a distance of the following:

$$
\Delta h = t_w W = t_w |\tau| / (\rho_o f R)
$$
\n(3)

For an ocean with a flat, deep bottom, *R* can be represented by the internal Rossby radius of deformation (Cushman-Roisin and Beckers [2011\)](#page-12-17). For a two-layer ocean with a thin surface layer of thickness *h* and density anomaly Δ*ρ*, the internal deformation radius is given by  $R = \sqrt{g'h/f}$  with  $g' = \Delta \rho / \rho_0 g$  where *g* is acceleration due to gravity, and we yield the following:

$$
\Delta h = t_w |\tau| / \left( \rho_o \sqrt{g'h} \right) \tag{4}
$$

Given that Δ*h* and *R* are inversely related to each other, increased density stratification operates to reduce the vertical displacement while widening the upwelling zone. Let us consider the example of a reef island with a diameter of  $D = 20$  km located at a geographical latitude of  $20^{\circ}$ N corresponding to  $f = +0.5 \times 10^{-4}$  s<sup>-1</sup>. Assume a surface mixed layer with  $h = 50$  m and  $\Delta \rho = 1$  kg/m<sup>3</sup>, corresponding to  $R = 13.8$  km. According to Eq.  $(4)$  $(4)$  $(4)$ , a wind event of  $\tau$ =0.054 Pa (wind speed is 6 m/s) lasting for  $t_w$ =4 days induces a vertical displacement of  $\Delta h \approx 26$  m that can lift nutrient-enriched water into the euphotic zone.

Based on the above, we expect that both the vertical displacement  $(\Delta h)$  and the horizontal extent of the upwelling zone (*D*) are primary physical controls of the initial amount of phytoplankton biomass produced by the wind-driven upwelling process. Accordingly, we expect that the latter increases for (i) longer and stronger wind events and (ii) larger islands, and that it decreases for enhanced static stability of the water column.

#### **2.2 Model description**

#### **2.2.1 Overview**

This study applies a fully coupled simplifed physical-biological model to predict nutrient upwelling and phytoplankton production around a reef island under the action of a short-lived wind event (2–5 days in duration) over a timescale of two weeks. For simplicity, additional effects due to zooplankton grazing and nutrient-recycling by bacteria are ignored. The biological model is used as diagnostic tool to derive the amount of nitrate available for photosynthesis and the production rate of phytoplankton for the comparison of diferent model scenarios.

#### **2.2.2 Physical model**

<span id="page-2-2"></span><span id="page-2-1"></span>This study applies the hydrodynamic model COHERENS (Luyten et al. [1999\)](#page-12-18). The governing equations are the fnitediference forms of conservation equations for momentum, heat, volume and scalars (salinity) for an incompressible fluid on the *f* plane cast in terrain-following sigma coordinates. COHERENS is based on the same physical laws and coordinate transformation and similar numerical algorithms that govern other sigma coordinate models such as POM (Blumberg and Mellor [2013](#page-12-19)) or ROMS (Shchepetkin and McWilliams [2005\)](#page-12-20). The Coriolis parameter is set to  $f = +0.5 \times 10^{-4}$  s<sup>-1</sup>, corresponding to a geographical latitude of 20°N. Variations of *f* yielded similar results (not shown). Horizontal turbulence is parameterized using the closure scheme by Smagorinsky ([1963\)](#page-12-21). Vertical turbulence is parameterized with the *k*-*ε* turbulence closure using standard parameter settings (see Luyten et al. [1999](#page-12-18)). The use of the level 2.5 closure of Mellor and Yamada [\(1982\)](#page-12-22) with the modifcations introduced by Galperin et al. ([1988](#page-12-23)) yielded

qualitatively similar results (not shown). Eddy viscosities are assumed to be the same magnitude as eddy difusivities. Bottom friction is disabled. Variations of turbulence parameters had no signifcant infuence on the results. Under the assumption of a linear equation of state and ignoring doubledifusive processes, density anomalies are predicted from an advection–difusion equation that, which the same eddy difusivities, is also used to predict changes in phytoplankton and nitrate concentrations.

#### **2.2.3 Biological model**

The biological model is directly coupled with the physical model sharing the same numerical grid and time step. It is derived from a Nitrogen-Phytoplankton (NP) model adopted from the Nitrogen-Phytoplankton-Zooplankton-Detritus (NPZD) model described by Ji et al. ([2007](#page-12-24)). The NP model focusses exclusively on the initial growth rate of phytoplankton based on the combination of photosynthetically available radiation (PAR) and nutrient levels. The conservation equations for dissolved nitrogen concentration (*N*) and phytoplankton concentration (*P*) are given by the following:

$$
\frac{\partial N}{\partial t} + \text{Adv}(N) = \text{Diff}(N) - \mu P,\tag{5}
$$

$$
\frac{\partial P}{\partial t} + \text{Adv}(P) = \text{Diff}(P) + (\mu - \lambda)P,\tag{6}
$$

where  $t$  is time,  $Adv(.)$  and  $Diff(.)$  are three-dimensional advection and diffusion operators,  $\mu$  is the in-situ phytoplankton growth rate and  $\mu$  is the phytoplankton mortality rate.

The NP model is driven by photosynthetically available radiation, *I*, that is approximated by the classical exponential light-attenuation curve:

$$
I(t,z) = I_o(t)e^{-a_w z},\tag{7}
$$

where  $I_0$  is surface radiance, *z* is depth and  $a_w$  is light attenuation coefficient, set to  $a_w = 0.1 \text{ m}^{-1}$ . Effects due to self-shading by particles are ignored here. Surface radiance varies as function of the day–night sunlight cycle which is taken as:

$$
I_o(t) = \max [I_{oo} \sin(2\pi t/T), 0], \qquad (8)
$$

where  $I_{\text{oo}}$  is set to 500 W m<sup>-2</sup>, *t* is time, and *T* is the duration of a day. The magnitude of  $I_{\text{oo}}$  is based on PAR measurements in the tropical zone of the South China Sea (Hanelt [1992\)](#page-12-25). The phytoplankton growth rate  $(\mu)$ , which appears as a decay parameter in the nitrogen Eq. ([5\)](#page-3-0), can then by formulated by (e.g., Ji et al. [2007](#page-12-24)):

$$
\mu = \mu_* \frac{N}{\left(K_N + N\right)} \text{with } \mu_* = \mu_{\text{max}} \left(1 - e^{-\hat{\alpha}t}\right) e^{-\hat{\beta}t},\tag{9}
$$

where  $\mu_{\text{max}}$  is largest possible phytoplankton growth rate under both saturated light and nutrient conditions, *N* is the *in-situ* nitrogen concentration,  $K_N$  is the half saturation constant of phytoplankton uptake of nitrogen, taken as  $K_N$ =1.0 µM-N,  $\hat{\alpha}$  = 0.025 (Wm<sup>-2</sup>)<sup>-1</sup> is the initial slope of the P-I curve normalized to  $\mu_{\text{max}}$ ,  $\hat{\beta} = 0.001$  (Wm<sup>-2</sup>)<sup>-1</sup> is the light inhibition coefficient, and  $I$  is the photosynthetically available radiation (PAR). Furnas ([1990\)](#page-12-26) reported measured or estimated growth rates of phytoplankton assemblages for tropical and subtropical oceans in a large range from 0.1 to 2.5 day<sup> $-1$ </sup>. Based on this range, this study uses values of  $\mu_{\text{max}}=1.5 \text{ day}^{-1}$  in conjunction with a mortality rate of  $\lambda$ =0.05 day<sup>-1</sup>. Equation [\(9](#page-3-1)) includes the effect of photoinhibition of photosynthesis of marine phytoplankton, frst derived by Platt et al. ([1980\)](#page-12-27).

The daily average of  $\mu$ <sup>\*</sup>, denoted as  $\langle \mu$ <sup>\*</sup>), peaks at~0.55 day<sup>-1</sup> at a depth of 10 m (Fig. [3](#page-4-0)a). Net phytoplankton growth is impossible below a depth of 47 m, where **⟨**μ**⟩** \*<*λ* irrespective of nutrient levels. On the other hand, from the condition  $\langle \mu \rangle > \lambda$ , we can infer minimum nitrogen values required to support net phytoplankton growth at shallower depths from the following relation:

<span id="page-3-0"></span>
$$
N_{\min} = \begin{cases} \frac{K_N \lambda}{(\langle \mu_* \rangle - \lambda)} & \text{for } \langle \mu_* \rangle > \lambda \\ \infty & \text{otherwise} \end{cases}
$$
 (10)

Figure [3b](#page-4-0) shows the vertical profile of  $N_{\text{min}}$  for the confguration of this study. In the following, we defne the photosynthetically available nitrogen (PAN) as follows:

<span id="page-3-3"></span>
$$
PAN = \max(N - N_{\min}, 0) \tag{11}
$$

Chlorophyll-*a* levels are calculated on the basis of an average C:chl-*a* ratio of 50:1 (see Jakobsen and Markager [2016](#page-12-28)) and a Redfeld ratio of C:N of 106:16. This yields a conversion ratio between phytoplankton in units of μM-N and chlorophyll-a in units of mg/m<sup>3</sup> of 1:1.59. All experiments assume a small initially uniform ambient chl-*a* concentration of  $0.1 \text{ mg/m}^3$ .

#### <span id="page-3-2"></span>**2.3 Experimental design**

<span id="page-3-1"></span>The model bathymetry of the control experiment is a simplifed circular reef island, 20 km in diameter (Fig. [4](#page-4-1)). It is cast in a model domain of 80 km by 80 km in horizontal extent, resolved by a horizontal grid spacing of  $\Delta x = \Delta y = 500$  m. On this horizontal resolution, the reef island is represented in the physical model as a vertical cylinder. Strong static stability of the pycnocline below a depth of 50 m suppresses the creation of deeper fows. This feature allows us to limit the maximum water depth to 100 m, which signifcantly reduces the CPU time of model simulations. Test studies doubling the maximum depth gave similar results (not shown). The

<span id="page-4-0"></span>**Fig. 3** Vertical profles of **a** daily averaged value **⟨**.**⟩** of the largest possible phytoplankton growth rate under saturated nutrient conditions,  $\mu$ <sup>\*</sup> (day<sup>-1</sup>), for the confguration of this study. The dashed line displays the mortality rate; **b** the minimum nitrogen concentration  $N_{\text{min}}$  ( $\mu$ M) required to induce phytoplankton growth in the euphotic zone

 $\sum_{-100}$ 



 $(a)$ 

<span id="page-4-1"></span>study. Arrows indicate the wind direction

vertical extent of the water column is resolved by 20 sigma layers, yielding a vertical grid spacing of 5 m. The island diameter is varied between 10 and 40 km in comparison studies.

The initial ocean's density field is represented by a 50 m-thick surface mixed layer followed by a stably stratifed pycnocline characterized by a linear density increase (Fig. [5](#page-4-2)a). The surface mixed layer is initially devoid of nitrogen. Dissolved nitrate concentration increases below the surface mixed layer linearly to a maximum of 10 μM at a depth of 75 m, with constant values underneath (Fig. [5](#page-4-2)b). This setting remains unchanged in all simulations. The static stability of the pycnocline is based on feld observations

<span id="page-4-2"></span>**Fig. 5** Model confguration. Initial vertical profles of **a** seawater density excess ( $\sigma_T$ ; kg/m<sup>3</sup>) relative to 1000 kg/m<sup>3</sup> (the black line shows the confguration of the control experiment; dashed lines show variations), and **b** nitrogen as dissolved nitrate concentration  $(\mu M)$ 

from the tropics (Sprintall and Tomczak [1992](#page-12-29)). The pycnocline in the control experiment has a stability frequency of  $M = 0.0183$  s<sup>-1</sup> (defined by  $M^2 = -g/\rho_o d\rho/dz$ ) corresponding to a density increase of 1.83 kg/m<sup>3</sup> over the 50-m thickness of the pycnocline. The stability frequency is varied in comparison studies between  $M = 0.0155$  s<sup>-1</sup> and 0.0214 s<sup>-1</sup>, corresponding to density increases ranging from 1.25 to

 $(b)$ 

<span id="page-5-0"></span>**Table 1** List of experiments

Experiment	$W$ (m/s) <sup>a</sup>	$D \text{ (km)}^{\text{b}}$	$t_w$ (days) <sup>c</sup>	$M (s^{-1})^d$
Control	10	20		0.018
1a,b,c,d	10	20	1, 2, 3, 5	0.018
2a,b,c,d	6, 7, 8, 9	20		0.018
3a,b,c	10	10, 30, 40	4	0.018
4a.b	10	20		0.015, 0.021

a Wind speed

<sup>b</sup>Island diameter

c Duration of wind event

d Stability frequency of pyncocline

2.4  $\text{kg/m}^3$  over the 50-m thickness of the pycnocline. For simplicity, the effect of barrier layers (see Sprintall and Tomczak [1992,](#page-12-29) Cronin and McPhaden [2002](#page-12-30)) is not considered in this study.

All simulations begin with a state at rest; that is, ambient currents are ignored in this study. All experiments are forced by a uniform easterly wind, blowing antiparallel to the *x*-direction. Results are independent of the wind direction. The wind speed is linearly increased from zero to a constant value over the frst simulation day to avoid the generation of unwanted gravity waves. The wind forcing is turned off after a certain duration  $t_w$ . Wind speeds and durations of wind events are varied in comparison studies in a range of 6–10 m/s and 1–5 days, respectively, based on the analysis of wind data presented in Sect. [3.1.](#page-3-2) Wind stress components are calculated from wind velocity using standard quadratic bulk formulae with a wind drag coefficient of  $c<sub>D</sub> = 0.0012$ . Zerogradient conditions are used at all horizontal boundaries. In addition, Rayleigh damping is applied to sea level anomalies within 5 km from lateral boundaries with a damping



<span id="page-5-1"></span>**Fig. 6** Time series of daily wind speed *W* (m/s) at selected locations in the western Pacifc Ocean for the year 2000. Data: Ifremer 6-hourly Long Time Series Satellite Surface Wind Analyses, avail-

coefficient that linearly increases to a maximum value of 0.1 at the boundaries. The coupled physical-biological model is run with a time step of  $\Delta t = 7.8$  s (constrained by stability criteria) over a total of 14 days with daily data outputs.

Experiments comprise 14 diferent scenarios considering variations of wind speed (*W*), the diameter of the circular reef island (*D*), the duration of wind events  $(t_w)$  and the stability frequency of the pycnocline (*M*) (Table [1](#page-5-0)).

#### **2.4 Analysis**

Apart from analysing surface distributions of all variables, we also calculate vertically integrated chlorophyll-*a* concentrations (mg/m<sup>2</sup>), the total amount of chlorophyll- $a$  (kg) produced and total phytoplankton production rates *Φ* (kg/day). In addition, the total amount of photosynthetically available nitrogen (PAN) is calculated using Eq. ([11\)](#page-3-3). Vertical nitrate fuxes are derived from temporal changes of PAN and the estimated area of the upwelling zone. To avoid biases arising from the integration of small values over a large volume, these calculations ignore grid elements where phytoplankton concentrations are at or below the initial value.

# **3 Results and discussion**

#### **3.1 Wind variability in selected tropical regions**

To understand the characteristic timescale of wind events in tropical marine regions associated with tropical storms, we selected four locations in the western Pacifc Ocean, including one location in the South China Sea  $\sim 11^{\circ}$ N, 115.4 $\textdegree$ E) and three locations at  $\sim$  140 $\textdegree$ E in the Philippine



able at [http://apdrc.soest.hawaii.edu/data/data.php.](http://apdrc.soest.hawaii.edu/data/data.php) Wind speeds of 6, 8 and 10 m/s are marked by dashed red lines



<span id="page-6-0"></span>**Fig. 7** Histogram of wind data shown in the panels **a**–**d** of Fig. [6](#page-5-1)

Sea at geographical latitudes of  $\sim 10^{\circ}$ N,  $\sim 15^{\circ}$ N and  $\sim 20^{\circ}$ N. Figure [6](#page-5-1) shows daily wind speed data (at 10 m above sea level) for the year 2000 for these locations. The wind variability for other years is statistically similar (not shown).

The histogram of the wind data reveals that wind speeds in the range 6–8 m/s are most common in these regions occurring on~ 100 days per year (Fig. [7\)](#page-6-0). Stronger winds > 8 m/s occur on ~ 50 days per year. A detailed event analysis shows that all regions experience a large number (>8) of prolonged periods (>7 days) of lower wind speeds around 6 m/s (Fig. [8](#page-6-1)). However, stronger wind events are rarer. In the South China Sea, for instance, we can identify a total of 7 wind events exceeding a speed of 10 m/s and lasting between 2 and 8 days (Fig. [8](#page-6-1)a). Figure [7a](#page-6-0) shows the timing of these events, some of which occur shortly after each other. Strong wind bursts of *W*>10 m/s are rarer at 10°N in the Philippine Sea compared with the other locations, but there are still relatively strong wind events (8–10 m/s speed) lasting up to a week. In summary, the event analysis for the regions confrms that stronger wind events of durations between 1 and 7 days are a common feature of the tropical Pacific. While the wind direction can vary by  $> 90^\circ$ during a wind event, there are many instances during which the wind direction remains relatively steady (Fig. [9](#page-7-0)). This justifes the use of a constant wind direction in the model simulations.

## **3.2 Control experiment**

The wind forcing creates a surface Ekman layer characterized by northwestward surface currents (which are characteristic of the classical Ekman spiral) within the frst couple of simulation days (Fig. [10\)](#page-7-1). In interaction with the island, the Ekman drift creates zones of horizontal fow divergence or convergence that are refected in sea-surface anomalies. Analogous to the wind-driven coastal upwelling/downwelling process, negative sea level anomalies (of up to − 0.4 cm here) are aligned with the upwelling zone and positive anomalies mark the downwelling zone (see Spall and



<span id="page-6-1"></span>**Fig. 8** Event analysis of the wind data shown in Fig. [7](#page-6-0), displaying the number of continuous events (*y*-axis) of a certain duration (*x*-axis) that exceed a certain threshold wind speed (legend). Events<1 day are not shown



<span id="page-7-0"></span>**Fig. 9** Selected 2 months periods of the year 2000 displaying variations of wind speed,  $W(m/s)$ , and wind direction, Wdir (°). Wind direction is based on the meteorologic convention that northerlies



<span id="page-7-1"></span>**Fig. 10** Control experiment. Sea-level anomalies, *η* (cm) (thin lines and color shading), and currents in the surface layer (arrows, every 10th vector is shown) after 4 days of simulation. Maximum current speed is 0.15 m/s

Pedlosky [2013](#page-12-12)). Due to geostrophic adjustment, the associated horizontal pressure-gradient force leads to defections of surface currents near the reef island.

The horizontal structure of the wind-driven upwelling zone becomes obvious from the development of the surface distributions of dissolved nitrogen. These increase to





(easterlies) correspond to a direction of 0° (90°). Arrows indicate wind events that display little  $( $\pm 20^{\circ}$ )$  variation of the wind direction

concentrations  $>1 \mu M$  within the upwelling zone during the period of wind forcing (Fig.  $11a$  $11a$ ). The zone of nutrient enrichment forms on the northern side of the reef island oriented perpendicular to the wind direction, which is consistent with Ekman theory underpinning our conceptual model (see Sect. [2.1\)](#page-1-1). After 3 days of simulation, the zone of nutrient enrichment extents an area of approximately  $400 \text{ km}^2$ .

After cessation of the wind forcing, the upwelling zone becomes deformed under the continued action of ambient currents, and it develops two distinct features (Fig. [11](#page-8-0)b–d). One feature is the spreading of the coastal signal clockwise around the reef island in form of Kelvin waves. After 12 days of simulation, seawater with elevated nitrate concentrations  $> 0.3$  μM surrounds the entire island within  $\sim 5$  km from the coast. The other feature is a stationary cyclonic eddy,  $\sim$  8 km in diameter, forming at a distance  $\sim$  10 km from the east coast of the reef island, trapping nutrient-enriched seawater in its centre.

Under the efects of vertical turbulence, the upwelled nitrogen becomes vertically evenly distributed throughout the surface mixed layer (Fig. [12](#page-9-0)). This difers from our conceptual model (see Sect. [2.1](#page-1-1)) that does not account for mixing efects. The strong static stability of the pycnocline counteracts the upwelling process such that the level of upwelled nitrogen in the surface mixed layer remains relatively low  $(-1 \mu M)$ . Nevertheless, this nitrogen anomaly

exceeds the minimum value (see Fig. [3](#page-4-0)b) and hence is sufficient to induce phytoplankton growth. To this end, the winddriven nutrient upwelling process facilitates the production of phytoplankton in the upwelling zones of nutrient enrichment (Fig. [13](#page-9-1)). After two weeks of simulation, chlorophyll-*a* concentrations attain vertically integrated values of up to 10 mg/m<sup>2</sup> and surface values of up to 0.2 mg/m<sup>3</sup> in the offshore eddy and in a 10-km wide near-coastal patch. Note that the region of peak phytoplankton production is eventually located on the upwind side of the island.

The coastal upwelling process leads to sharp increase of photosynthetically available nitrogen (PAN) in the euphotic zone (Fig. [14](#page-10-0)b). The total amount of PAN increases from

zero to ~  $4.5 \times 10^6$  kg between days 2 and 4 of the simulation. Based on an estimated upwelling area of  $400 \text{ km}^2$ , this change in PAN corresponds to a vertical nitrate fux of ~ 500 mmol/m<sup>2</sup>/day (Fig. [14c](#page-10-0)), which is within the order of values  $(1-1000 \text{ mmol/m}^2/\text{day})$  reported for a turbulent island wake (Hasegawa et al. [2021](#page-12-8)). After cessation of the wind forcing, the total amount of PAN remains relatively constant (see Fig. [14](#page-10-0)b), which implies a signifcant drop in vertical nutrient fuxes (see Fig. [14](#page-10-0)c). The upwellinginduced nutrient-enrichment triggers a steady increase in phytoplankton biomass that commences after 3 days of simulation and results in the production of  $\sim$  1060 kg of chlorophyll-a after 14 days of simulation (Fig. [14](#page-10-0)a). By this time,



<span id="page-8-0"></span>**Fig. 11** Control experiment. Surface distributions of nitrogen concentrations, *N* (µM), after **a** 3 days, **b** 6 days, **c** 9 days, and **d** 12 days of simulation. The insert in (**c**) shows horizontal currents around the cyclonic eddy (arrows, every 2nd vector is shown)



<span id="page-9-0"></span>**Fig. 12** Control experiment after 3 days of simulation. Vertical transects at  $x_0$ =47 km (see Fig. [11\)](#page-8-0) of dissolved nitrogen concentration,  $N$  ( $\mu$ M). Colour shading shows concentrations up to 1  $\mu$ M. Lines are contours with an interval of 1 μM

the nitrate loss due to photosynthesis amounts to  $\sim$  45 mmol/  $m^2$ /day (see Fig. [14](#page-10-0)c), which is still too small to influence PAN concentrations in the euphotic zone.

## **3.3 Variation experiments**

In the following the change in total chlorophyll-*a* biomass over the second simulation week is taken as a measure of the average phytoplankton production rate *Φ* (kg/day) to compare diferent model scenarios. Overall, the derived value of *Φ* is strongly correlated to the total amount of PAN created during the upwelling period (Fig. [15](#page-10-1)). Hence, there is a strong relationship between the initial vertical nitrate fux during the upwelling phase and the phytoplankton production that follows from it. Accordingly, *Φ* and PAN are interchangeable properties in the following analysis.

The duration of wind events,  $t_w$ , has a strong effect on the phytoplankton biomass produced from the nutrient upwelling (Fig. [16](#page-11-0)a). A wind duration of a day does not induce a phytoplankton response in the control experiment. Once this threshold is exceeded, the phytoplankton production rate  $\Phi$  increases linearly with  $t_w$ , which is consistent with Eq. ([3\)](#page-2-2) of our conceptual model. Hence, a longer wind event triggers a larger vertical displacement of the nutricline, which leads to increased nutrient levels and increased phytoplankton grow rates. The delay time of a day is caused by several factors. First, due to linear adjustment, the wind feld is weaker during the frst simulation day. Second, it takes several hours of simulation for surface Ekman layers to become stationary in response to the uniform wind forcing. Third, there is a delay in the time it takes for the initial nutricline located at depths  $>$  [5](#page-4-2)0 m (see Fig. 5b) to reach the euphotic zone of enhanced growth rate at depths  $<$  40 m (see Fig. [3](#page-4-0)a).

The magnitude of the wind stress,  $\tau$ , has also a strong effect on  $\Phi$  (Fig. [16](#page-11-0)b). With a duration of 4 days, a relatively weak wind of 6 m/s, corresponding to a wind stress of  $\tau \approx$ 0.05 Pa, only results in a small value of  $\Phi \approx 4.3$  kg/day, whereas a 10-m/s wind ( $\tau \approx 0.15$  Pa) triggers a substantial increase in production rates to  $\Phi \approx 100$  kg/day. Note that phytoplankton blooms do not develop for winds weaker than 0.05 Pa where reduced upwelling speeds fail to move nutrient-enriched water into the euphotic zone within the given time limit. Again, this is related to the choice of initial conditions, and it is not an indicator of a nonlinear relationship between  $\Phi$  and  $\tau$ . If we ignore this delay feature, the relationship between  $\tau$  and  $\Phi$  can be approximated by a linear function, which is consistent with Eq. ([3\)](#page-2-2).

The diameter of the reef island, *D*, also strongly infuences the phytoplankton production rate *Φ* with an approximately linear relationship (Fig. [16c](#page-11-0)). A small island with a diameter of 10 km produces only little phytoplankton biomass, whereas larger reef islands with a diameter of 40 km



<span id="page-9-1"></span>**Fig. 13** Control experiment. Vertically integrated chlorophyll- $a$  concentration (mg/m<sup>2</sup>) after 14 days of simulation



<span id="page-10-0"></span>**Fig. 14** Time series of **a** total amount (kg) of chl-*a* produced, **b** total amount of photosynthetically available nitrogen (PAN, 10<sup>6</sup> kg) created by oceanic processes, and **c** (black line) the nutrient fux (mol/  $m^2$ /day) based on the values shown in (**b**) and an estimated upwelling area of  $400 \text{ km}^2$  in size. The dashed red line shows the nitrate loss from photosynthesis calculated from the last term in Eq. [\(5](#page-3-0))



<span id="page-10-1"></span>**Fig. 15** Comparison between the amount of PAN created by the end of the wind-forcing period and the average phytoplankton production rate  $\Phi$  (kg/day), derived from changes in total phytoplankton biomass during the second simulation week, for all experiments

trigger significant rates of  $\Phi \approx 400$  kg/day. Our conceptual model is consistent with a linear relationship between *Φ* and *D*, but it does not explain why wind-driven nutrient upwelling does not develop for smaller islands  $\ll 10$  km in diameter). The explanation of this feature remains for future investigations.

Moreover, the predicted phytoplankton production rate *Φ* is also inversely proportional to the static stability of the pycnocline; that is,  $\Phi \sim 1/M$  (Fig. [16](#page-11-0)d), which is also consistent with our conceptual model. Note that phytoplankton growth is largely suppressed for stability frequencies  $M > 1/35$  s<sup>-1</sup> ≈ 0.029 s<sup>-1</sup>. In this situation, the upwelling speed remains too low to lift nutrient-enriched water into the euphotic zone within the given time limit.

In summary, the phytoplankton production rate *Φ* increases with increasing wind stress (*τ*), prolonged duration of a wind event  $(t_w)$ , and larger island diameter  $(D)$ , and it decreases with a stronger static stability of the pycnocline (*M*), which is consistent with the conceptual model presented in Sect. [2.1](#page-1-1). The functional relationship between these parameters can be written as follows:

$$
\phi \sim \tau D M^{-1} t_w,\tag{12}
$$

<span id="page-10-2"></span>which is consistent with the model predictions (Fig. [17](#page-11-1)).

In addition to the functional relationships outlined above, we expect that  $\Phi$  also depends on surface radiance  $(I_{\infty})$ , mixed layer depth (*h*), the vertical nutrient gradient within the pycnocline, additional density efects due to presence of a barrier layer, and additional biological efects (e.g., zooplankton grazing), not considered in this study.

## **3.4 Final discussion**

We show that, on a timescale of 14 days, short-lived wind events can create chlorophyll- $a$  concentrations of  $\sim 0.2$  mg/  $m<sup>3</sup>$  and vertical integrated values of ~ 10 mg/m<sup>2</sup> (see Fig. [13](#page-9-1)). The predicted values are of the order of magnitude of observational evidence by Gove et al. ([2016](#page-12-10)) and Messié et al. ([2022](#page-12-1)). Indeed, these values will continue to change over time under the efects of (i) gradual nutrient exhaustion or new nutrient enrichment from subsequent wind events, and (ii) zooplankton grazing (not considered here). Nevertheless, our fndings confrm that wind-driven nutrient upwelling is a plausible mechanism behind the observed phytoplankton blooms.

Wind-driven upwelling around reef islands develops irrespective of the wind direction, which is diferent to the classical wind-driven coastal upwelling mechanism along continental margins (see Kämpf and Chapman [2016\)](#page-12-15). Hence, it is possible that reef islands support wind-driven phytoplankton production throughout the year.





<span id="page-11-0"></span>Fig. 16 Variation experiments (see Table [1\)](#page-5-0). Average phytoplankton production rates, *Φ* (kg/day), as a function of **a** duration of wind events,  $t_w$  (days), **b** wind stress,  $\tau$  (Pa), **c** diameter of the reef island, *D* (km), and the inverse of the stability frequency of the pycnocline, 1/*N*



<span id="page-11-1"></span>**Fig. 17** Comparison of phytoplankton production rates *Φ* (kg/ day) with values calculated from Eq.  $(12)$  $(12)$  $(12)$  for all experiments (see Table [1](#page-5-0)). The dashed line is the linear regression curve. Values of *Φ* are derived from changes in total phytoplankton biomass during the second simulation week

(s). Values of  $\Phi$  are derived from changes in total phytoplankton biomass during the second simulation week. Dashed lines indicate linear trends

# **4 Conclusions**

Using the method of process-oriented modelling, this study has enhanced the understanding of physical mechanisms inducing phytoplankton blooms in the oceans. There are several scientifc questions that remain for future investigations. How does the wind-driven upwelling process interact with ambient geostrophic fows and island wakes? How do barrier layers infuence the upwelling process? How do the topography of volcanic islands and the existence of shallow bathymetry around islands modify the upwelling process? Sea-level rise of  $1-2$  m on timescales  $>100$  years, further enhanced by wave erosion, will lead to the submergence of some barrier reefs (e.g., Bramante et al. [2020\)](#page-12-31). Will this submergence decrease their ability to create phytoplankton blooms?

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**Author contributions** All authors contributed to the study conception and design. JK developed the computer models used in the study. All authors contributed to the analysis and interpretation of model results. In addition, AS and CC conducted comprehensive literature reviews of the island mass efect and ecological features associated with atoll reefs. The frst draft of the manuscript was written by JK and all authors commented on previous versions of the manuscript. All authors read and approved the fnal manuscript.

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**Data availability** This work is purely theoretical and does not include new data collection. The model code used is available from the corresponding author.

## **Declarations**

**Conflict of interest** None of the authors of this paper has fnancial or nonfnancial interests that are directly or indirectly related to the work submitted for publication.

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