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Coupled 1‑D physical–biological model study of phytoplankton production at two contrasting time‑series stations in the western North Pacific

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Abstract A vertical one-dimensional physical–biological model is applied to clarify the mechanisms controlling the seasonality and interannual variability of primary production in the surface layer at two contrasting time-series stations, K2 (in the subarctic gyre) and S1 (in the subtropical gyre), in the western North Pacific. Using forcing based on realistic atmospheric and oceanic data, the model reproduces seasonal differences in the degree to which different controlling factors affect primary production between these two stations, primarily as a result of differences in the physical environment. At station K2, light intensity is an important factor controlling primary production in summer. After April, the mixed layer depth (MLD) becomes shallow, resulting in higher average light intensity, and the water column remains stratified until September; these sustain high primary production during this period. In contrast, at station S1, the supply of nutrients via entrainment is vital

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to sustaining production, because light intensity remains sufficient throughout the year. In summer, the relationship between nutricline depth and euphotic layer is a controlling factor. The simulations forced by the different atmospheric conditions for each year, respectively, show different MLD. In the 2012 simulation, the deep winter MLD (200 m) enhances primary production in the surface layer as compared to the other two years (2010 and 2011) simulations.

Keywords Primary production · Seasonality · Interannual variability · A vertical one-dimensional physical–biological model · Western North Pacific

1 Introduction

Satellite imagery captures the large seasonal difference in surface phytoplankton production between the subarctic and subtropical gyre regions in the western North Pacific (e.g., Sasaoka [2002](#page-17-0); Goes [2004\)](#page-16-0). In this region, the strong western boundary currents, Kuroshio (subtropical gyre) and Oyashio (subarctic gyre), dominate. In the subarctic gyre, the peak of phytoplankton bloom occurs in the late spring or early summer. In the subtropical gyre, the phytoplankton bloom starts at the end of winter and the peak occurs at the beginning of spring (Siswanto [2014](#page-17-1)).

Differences in production between the two gyres result from differences in the physical environment (light intensity, ocean currents, and mixing), biogeochemical cycle (atmospheric $CO₂$ uptake, nutrients cycle, and biological pump), and physiology. In the subarctic gyre, the predominance of diatoms is a main reason why the biological pump and atmospheric $CO₂$ uptake are greater compared with the subtropical gyre (e.g., Honda [2002](#page-16-1); Buesseler [2007](#page-16-2); Honda and Watanabe [2010](#page-16-3)). From the 1990s, two

subarctic time-series stations, station KNOT (44°N, 155°E) at the southwestern edge of subarctic gyre and station K2 (47◦ N, 160◦ E) (hereafter, Stn. K2) at the center of subarctic gyre after the end of observation at KNOT, have been operated in order to quantify $CO₂$ drawdown by the physical and biological pumps, and to understand the mechanisms underlying the biogeochemical cycle (Honda [2006](#page-16-4); Buesseler [2007;](#page-16-2) Kawakami and Honda [2007](#page-16-5)). In the subtropical gyre, although shipboard observations have been carried out by Japan Meteorological Agency research vessels, multivariate biogeochemical observations typical of timeseries stations were limited before the recent observation campaign from 2010 to 2013, the K2S1 project (Honda et al. [2015,](#page-16-6) this volume, a) (Fig. [1](#page-1-0)). The subtropical timeseries station S1 (30°N, 145°E) (hereafter, Stn. S1) has been conducted from 2010 as part of this project. This comparative study has been conducted to examine the response of biogeochemical cycles and the lower-trophic ecosystem to different oceanic environments and climate change in the subarctic and subtropical gyre systems.

Before this project, no such comprehensive time-series study including simultaneous measurements of carbonate chemistry, phyto/zooplankton, primary productivity, and physical parameters in the western North Pacific had yet been performed in the subtropical gyre region of Stn. S1. Shipboard observation and sediment trap deployments (e.g., Honda [2015](#page-16-6), this volume, b; Kawakami [2014](#page-16-7); Wakita et al. [2015](#page-16-6), this volume) as part of this project have captured seasonal differences and the different response of biogeochemical tracers to external forcing. Some results of this project have been reported concerning the characteristics of biogeochemical cycles in the subarctic and subtropical gyres.

At Stn. K2 in the subarctic gyre, Matsumoto ([2014\)](#page-17-2) reported that the mean depth-integrated primary production is highest in summer and lowest in winter. The deep mixed layer in winter inhibits primary production by limiting light availability, whereas the primary production in summer increases under the greater average light intensity in the shallow mixed layer. Fujii [\(2014](#page-16-8)) investigated the role of iron availability for the phytoplankton community using a chemotaxonomy algorithm, microscopy, and fastrepetition-rate fluorometry around Stn. K2. The subarctic gyre in the North Pacific is well known as a high nutrient, low chlorophyll (HNLC) region (e.g., Martin [1994;](#page-17-3) Tsuda [2003](#page-17-4); Harrison [2004\)](#page-16-9), in which iron is known to limit biological production. They pointed out that the seasonal variability of phytoplankton community is mainly controlled by iron, with light and temperature limitation occurring in the winter and early spring.

At Stn. S1 in the subtropical gyre, the mean depth-integrated primary production is highest in winter, and is low in summer (Matsumoto et al. [2015,](#page-16-6) this volume). Strong winter mixing supplies nutrients from the subsurface layer to the euphotic layer, and therefore primary production increases. After the spring bloom depletes nutrients, the supply from the subsurface layer is weak, which leads to the formation of the subsurface chlorophyll maximum

Fig. 1 Study location and map of two oceanic time-series stations (Stn. K2: 47°N, 160°E and Stn. S1: 30°N, 145°E) in the western North Pacific

near the nutricline depth in summer. Matsumoto et al. also reported that annually averaged primary production in the surface layer was of the same level at Stns. K2 and S1, despite the large difference in the seasonal variation of primary production. Kawakami ([2014\)](#page-16-7) investigated the sinking fluxes of particulate organic carbon (POC) estimated from 210Po and 210Pb radioactivity. The result implied that the efficiency of the biological pump is larger at Stn. K2 than at Stn. S1. The estimation of particle sinking flux using the sediment traps showed the same results (Honda [2015](#page-16-6), this volume b).

The comparative time-series observations at Stns. K2 and S1 revealed two characteristics: (1) annual primary production in the surface layer at Stn. K2 was of the same level as at Stn. S1, despite different physical and biogeochemical environments. However, (2) the biological pump at Stn. K2 was more efficient than at Stn. S1. In this study, we applied a simple one-dimensional (1-D) coupled physical–biological model to clarify the two mechanisms above. Modeling is an effective approach to study biogeochemical cycles, by testing the effects of different physical and biological processes at time-series stations. Several previous studies have applied ecosystem models to time-series stations in the North Pacific to examine ecosystem dynamics (e.g., Kawakami [1997](#page-16-10); Fujii [2002](#page-16-11); Kishi et al. [2007;](#page-16-12) Shigemitsu [2012](#page-17-5)). In addition, several recent biogeochemical modeling studies have been conducted incorporating the iron cycle into their marine ecosystem models, to clarify the relationships among carbon, nutrients, and iron fluxes and to investigate the role of iron in limiting biological productivity (e.g., Moore [2004](#page-17-6); Parekh et al. [2002;](#page-17-7) Shigemitsu [2012](#page-17-5)). However, observational data of iron needed to constrain biogeochemical models are limited, because it is difficult to accurately measure dissolved iron concentrations, and because of the large uncertainties that remain about key processes within the iron cycle (e.g., Boyd and Ellwood [2010](#page-16-13)) and about how iron affects different phytoplankton groups (Boyd [2010\)](#page-16-14). Our model does not include the iron cycle explicitly, given that iron concentrations have not been observed at these time-series stations. Alternatively, by optimizing parameters associated with biological productivity for Stns. K2 and S1, based on data from in situ observations, the model implicitly accounts for the limitation of biological productivity by iron at Stn. K2.

Our study objective is to investigate the mechanisms controlling the seasonality and interannual variability of primary production in the surface layer at Stn. K2 (subarctic gyre) and Stn. S1 (subtropical gyre) in the western North Pacific. We concentrate on the role of light availability and nutrient supply for surface primary production as controlled by the development of the mixed layer, through comparison of observation data obtained in this project. We focus on the 1-D physical process of vertical winter mixing

as it impacts primary production, without explicitly considering 3-D physical processes.

2 Methods

A 1-D mixed layer model is applied to simulate the seasonal variability of the upper ocean at two time-series stations (Stns. K2 and S1) in the western North Pacific. The mixed layer model is a Mellor–Yamada level 2.5 (Mellor and Yamada [1982](#page-17-8)), which resolves 105 vertical levels, each of 5 m thickness, near the surface and increasing to 250 m at the bottom (5500 m) of the model domain. Initial conditions for temperature and salinity in the mixed layer model are taken from the World Ocean Atlas 2009 (WOA09) (Antonov [2010](#page-16-15), Locarnini [2010](#page-16-16)). The model is forced by wind stress, heat flux, and fresh water flux from a Japanese 25-year reanalysis (JRA25) (Onogi [2007](#page-17-9)) from 2010 to 2012 (Fig. [2](#page-3-0)) and the model time step is 15 min.

A nitrogen-based pelagic plankton ecosystem model (Kawakami [1997;](#page-16-10) Yoshikawa et al. [2005\)](#page-17-10) was modified by adding diatoms and the associated silicate cycle (Fig. [3](#page-3-1)), resulting in nine compartments (nitrate, NO₃; ammonium, NH4; silicic acid, Si; two categorized phytoplankton: small phytoplankton, PS and large phytoplankton, PL; one zooplankton, Z; particulate organic nitrogen, PON; dissolved organic nitrogen, DON; and bio-silicate, BSi). In the North Pacific, silicate is an important limiting nutrient for diatoms (PL). The model represents cycles of nitrogen and silicon simultaneously. Phytoplankton growth rate is formulated as a function of light intensity (from JRA25, above), temperature, and $NO₃$, $NH₄$, and Si concentrations. The other biological processes are formulated as functions of temperature as well as nitrogen and silicon concentrations. The PON and BSi consist of mortality of phytoplankton and zooplankton and egestion of zooplankton (see "Appendix" for details).

Initial estimates for biological parameters were from Kishi et al. ([2007\)](#page-16-12). Parameter values (Table [1\)](#page-4-0) were then tuned to reproduce the seasonal variability of nutrients (NO3, NH4, and Si), chlorophyll, and primary production in the upper ocean simultaneously based on the K2S1 project in situ observation data. The evolution of each biological tracer concentration governed by a vertical mixing is calculated by a vertically 1-D mixed layer model (no vertical advection), with source-minus-sink terms as described in the "Appendix". The initial $NO₃$ and Si are taken from the climatological data of WOA09 (Garcia [2010\)](#page-16-17). The initial NH₄ is set to 1.0 mmol N m⁻³ in this study. The initial values of PS, PL, and Z are set to 0.2 mmol N m⁻³ at the surface, decreasing exponentially with a scale depth of 100 m (Sarmiento [1993](#page-17-11)). PON, DON, and BSi are initialized to 0.1 mmol N m⁻³ at every depth (0–5500 m). The **Fig. 2** Time series of **a** surface short wave radiation (W m^{-2}) and **b** wind speed $(m s^{-1})$ at two oceanic time-series station (*black line* for Stn. K2 and *red line* for Stn. S1) from JRA25

(14)Decomposition

Fig. 3 Schematic diagram of ecosystem model. *Solid black arrows* indicate nitrogen flow (1–17) and *dashed black arrows* indicate silicate flows (19–24). *Dotted black arrows* are sinking particles (18 and 25). Biological model equations are described in the "Appendix"

coupled 1-D physical–biological model was integrated for a 5-year spin-up period using data from 2010 from the JRA25 data set. The values during last year of that coupled 5-year integration were then used as the initial conditions for all biological tracers, and the coupled model was forced by JRA25 from 2010 to 2012. Three years (2010–2012)

Table 1 Parameters of ecosystem model

These parameters used for each biological process equation in "Appendix"

#, Parameter values are estimated from in situ observation data and other parameter values are taken from Kishi et al. ([2007\)](#page-16-12)

were averaged to obtain one seasonal cycle, which was compared with in situ observation data (e.g., Honda [2015,](#page-16-6) this volume b; Matsumoto [2014](#page-17-2), this volume; Wakita et al. this volume).

Although iron availability is known to limit phytoplankton productivity near Stn. K2 (Fujii [2014\)](#page-16-8), we have not explicitly incorporated equations to represent the iron cycle, because of the lack of quantitative observations of biologically available iron concentrations at these sites and the large uncertainty about its rate of supply (Takeda [2006](#page-17-12); Smith [2009](#page-17-13); Shigemitsu [2012\)](#page-17-5). Our focus here is on the seasonal and interannual variations in biological production, for which the hypothesis can be largely represented by light and the upwelling of nutrients from deeper waters. Iron is upwelled together with nitrogen, silicic acid and other nutrients, which suggests that it may not be necessary to explicitly resolve the iron cycle in order to capture the overall trends in chlorophyll and primary production, as found in other studies (Takeda [2006](#page-17-12); Smith et al. [2010](#page-17-14)). We have therefore implicitly represented iron limitation at Stn. K2 by applying a lower maximum growth rate compared to that applied for Stn. S1 (Table [1\)](#page-4-0). Thus, for Stn. K2, we effectively assumed a constant degree of iron limitation throughout the seasonal cycle, just as in previous studies applied to other locations (Denman and Peña [1999](#page-16-18); Denman [2006](#page-16-19)). This degree of

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effective iron limitation is tunable by adjusting the maximum growth rate.

3 Results and discussion

3.1 Physical environment

 $\boldsymbol{0}$

100

50

The 3-year mean (2010–2012) of simulated physical and biological tracer distributions at Stn. K2 (subarctic region) and Stn. S1 (subtropical region) in the western North Pacific compared with data from in situ observations are shown in Figs. [4,](#page-5-0) [5](#page-6-0) and [6](#page-7-0). Simulated vertical distribution of temperature and mixed layer depth (MLD, which is defined as the depth at which the temperature becomes 0.2 ℃ less than the SST) clearly reproduce the seasonality at Stns. K2 and S1 (Fig. [4\)](#page-5-0). At Stn. K2, sea surface temperature decreases by approximately $3 \,^{\circ}\text{C}$ as the MLD deepens to a maximum of 150 m depth in winter, and summer temperature reaches 14 ℃ with the surface heating. Summer MLD is 10 m. This is similar to the seasonal variability of observed temperature: 2 ℃ in winter with a maximum MLD of 150 m, and 12 ℃ in summer with a minimum MLD of 10 m. However, the observed temperature presented about 1 ℃ around 100 m (Fig. [4a](#page-5-0)) through the year; the model does not reproduce the low temperature because the model cooling might

12 14 8 10 4 6 $\mathbf 0$ 2 $rac{30}{28}$ 24 26 $\frac{22}{20}$ 16 18 12 14 8 10 4 6 ϵ 2 32 **(d)** Model Temp. (˚C) & MLD at Stn.S1 2010-2012 avg. 200 150 Ω 100 50 200 150 0 60 120 180 240 300 360

Fig. 4 Seasonal cycle of vertical temperature (◦ C) from **a**, **b** in situ observation and **c**, **d** 1-D model at Stns.K2 and Stn. S1. *White line* in the model temperature indicates mixed layer depth (m). *Circles* are

observed values at each day of the year between 2005 and 2013 at Stn. K2, and between 2010 and 2013 at Stn. S1 and depth

Fig. 5 Seasonal cycle of nitrate concentration (μM) and ammonium (µM) from **a**, **b**, **e**, **f** in situ observation and **c**, **d**, **g**, **h** 1-D model at Stns.K2 and S1. Color interval of nitrate concentration is from 0 to

be weak. At Stn. S1, both the modelled winter MLD (175 m depth) and the observed value of 200 m in February (Wakita et al. this volume) are deeper than at Stn. K2. Seasonal variability of modelled temperature at Stn. S1 varies from 20 °C in winter to 28 °C in summer in the surface layer. The observed temperature shows that warm water $(24 \degree C)$ extends to 75 m depth, but the stretch of simulated warm water is somewhat more shallow because the 1-D mixed

50 µM at Stn. K2 and from 0 to 6 µM at Stn. S1. *Circles* are observed values at each day of the year between 2005 and 2013 at Stn. K2, and between 2010 and 2013 at Stn. S1 and depth.

layer model does not include 3-D advection and diffusion processes, only extending down to 50 m depth (Fig. [4](#page-5-0)b, d).

3.2 Seasonal nutrient dynamics

Simulated vertical distribution of nutrients (NO₃, NH₄, and Si) and chlorophyll presents similar seasonal variability as seen in the observations at Stns. K2 and S1 (Figs. [5,](#page-6-0)

Fig. 6 Seasonal cycle of silicate concentration (μM) and chlorophyll $(\mu \bar{d}^{-1})$ from **a**, **b**, **e**, **f** observation and **c**, **d**, **g**, **h** 1-D model at Stns.K2 and S1. Color interval of silicate concentration is from 10 to 120 μ

[6](#page-7-0), Wakita et al. this volume). At both stations, in winter, nutrient concentrations increase with the development of MLD before the spring bloom. From spring through summer, nutrients are depleted in the surface layer as phytoplankton takes up nutrients for growth. The subsurface maximum of NH_4 in summer and autumn is formed by the balance of nitrification, photosynthesis minus respiration (decrease of NH4), and decomposition (increase of

M at Stn. K2, and from 1 to 6 µM at Stn. S1. *Circles* are observed values at each day of the year between 2005 and 2013 at Stn. K2, and between 2010 and 2013 at Stn. S1 and depth

NH4). In the subsurface maximum depth, the decomposition flux in the subsurface layer is larger than nitrification and photosynthesis minus respiration fluxes. At Stn. K2, modelled NO₃ in the surface layer therefore decreases from 24 μ M in winter to 10 μ M in summer, and modelled NH₄, which is maximal in the subsurface, increases from 0.1 μ M in winter to $2.4 \mu M$ in summer, which is slightly higher than the observed subsurface maximum of NH₄ (1.8 μ M).

The surface observed NO_3 in summer decreases to 6–8 μ M, but the minimum of modelled $NO₃$ is 10 μ M. Modelled Si also decreases from $32 \mu M$ in winter to $20 \mu M$ in summer, but the minimum of modelled Si is slightly larger than observed. In the surface layer (within the shallow MLD), modelled high chlorophyll $(>1.4 \mu g I^{-1})$ appears from May to August. The bloom season is well reproduced in the model. Although there is no observation of chlorophyll in May, the decrease of $NO₃$ and Si corresponds to the increase of chlorophyll in May (Figs. [5](#page-6-0)a, c, [6](#page-7-0)a, c, e, g). At Stn. $S1$, high $NO₃$ and Si in the surface layer are controlled by the winter MLD, and decreased by the biological production in spring. Modelled $NO₃$ in winter rapidly increases from 0.1 to 1.2 μ M. At the same time, simulated Si also increases from 2.4 to 4.0 μ M with the development of MLD. The seasonal variability of modelled $NO₃$ and Si in the surface layer is similar to observed $NO₃$ and Si. But, the decrease of Si after spring is not reproduced. The modelled Si cycle is only connected with PL and BSi. In the model, phytoplankton may not take up enough Si to sufficiently deplete its concentration, although this is not clear based on the sparse observation of Si. Maximal NH⁴ in the subsurface layer occurs from May to November, but the modelled values are larger than observed. Modelled chlorophyll shows the high concentration (>1.0 μ g l⁻¹) in late winter and spring and a subsurface maximum (0.3 μ) $g \mid l^{-1}$) layer around 50 m in late spring and summer. The pattern of seasonal variability is strongly reflected by the variability of vertical nutrient distributions. Observed subsurface maximum chlorophyll layer forms around 75 m, and the maximum concentration is close to 1.0 μ g l⁻¹ (Fig. [6](#page-7-0)f). However, the modelled maximum chlorophyll layer is around 50 m (Fig. [6h](#page-7-0)), because the modelled 1.2μ M of $NO₃$ line (50 m, hereafter, this line is nutricline in the model) is shallower than observed (75 m) (Fig. [5](#page-6-0)d).

The seasonal change of MLD directly works the variability of vertical nutrient distributions (Figs. [5](#page-6-0), [6](#page-7-0)) at both stations. In areas deeper than MLD, the modelled vertical distribution of nutrients does not change because this 1-D mixed layer model does not include 3-D physical processes (advection and diffusion) and because the vertical mixing is weak below the MLD (Figs. [5c](#page-6-0), d, [6c](#page-7-0), d). Because the vertical distribution of nutrients below MLD does not change, the modelled period of high chlorophyll is shorter than observed during summer at Stn. K2, and the modelled subsurface maximum chlorophyll layer at Stn. S1 is shallower than observed. The depletion of nutrients in summer and autumn in the surface layer is mainly controlled by biological production (or phytoplankton growth rate). Based on tuning of the model parameters to match model output to the observations and considering that phytoplankton are iron limited at Stn. K2 (Fujii [2014](#page-16-8)), we applied maximum growth rates for phytoplankton at Stn. K2, which were approximately half their values applied at Stn. S1 (Table [1](#page-4-0)). This suppresses the uptake of the macronutrients nitrate and silicic acid by a constant factor throughout the year at Stn. K2 and allows the model to reproduce the low concentrations of those macronutrients during summer. Iron limitation can also increase the Si:N ratio of diatoms, and thus affect the drawdown of Si and patterns of nutrient limitation (Takeda [2006;](#page-17-12) Smith et al. [2010](#page-17-14)). Our model includes only one compartment of diatoms, PL, with a constant Si:N ratio, and biogenic silica, BSi. This is likely the reason why the model agrees better with the observed concentrations of nitrate, compared to those of silicate.

3.3 Factors controlling primary production

To investigate the factors that control primary production, hereafter considered as gross primary production minus respiration (the first and second terms of Eqs. [1](#page-14-0), [2](#page-14-1) in "Appendix"), we have focused on its relationships to the limitation factors for light intensity, L*I*, nutrient concentration, L_N , and temperature, L_T , integrated over depth within the near-surface layer (Fig. [7\)](#page-9-0). Matsumoto ([2014\)](#page-17-2) reported that light intensity is an important control factor for the seasonal variability of primary production and phytoplankton biomass in the western Pacific subarctic gyre. Similarly, we compare the surface light intensity (PAR) with depth-integrated primary production (Figs. [7](#page-9-0)a–d). At Stn. K2, depthintegrated (0–150 m) primary production increases with the surface light intensity (Figs. [7](#page-9-0)a, c), in both the observations and model. Previous results in the western Pacific subarctic region have likewise found a strong positive relation between primary production and light intensity (Matsumoto [2014](#page-17-2)). Our model results also reveal the seasonal variability of primary production. From January to April, primary production is light-limited because of the deep MLD, which keeps PAR low throughout the water column. The limitation factor, L*I*, for phytoplankton growth rate in the model (Fig. [7e](#page-9-0), Eqs. [10,](#page-15-0) [11](#page-15-1) in "Appendix") has a similar effect (when PAR is low, light-limited growth rate is small), and its effect changes seasonally. Hence, the chlorophyll concentration remains low (Fig. [6](#page-7-0)g) throughout the water column (Fig. [4](#page-5-0)c) until April. After April, the MLD becomes shallow and stratification develops, which raises light intensity sufficiently for primary production to increase and remains high from May through November (Figs. [4](#page-5-0)c, [7](#page-9-0)c). L*I* (Fig. [7](#page-9-0)e) is also high and L*T* (Fig. [7](#page-9-0)i) increases from winter to summer. The initial slope of relation between primary production and light intensity becomes steep from winter (black triangle) to summer (green triangle) in Fig. [7c](#page-9-0). The nitrate concentration within the MLD does not limit primary production at Stn. K2 (Figs. [5a](#page-6-0), c, [6](#page-7-0)e, g, [7](#page-9-0)g), because nitrate remains sufficient and nitrate-limitation factor, L*N*, of growth rate changes little. Therefore, the **Fig. 7** Relationship between depth-integrated (from 0–150 m at Stn. K2 and 0–200 m at Stn. S1) primary production $\left(\text{mg C m}^{-2} \text{day}^{-1}\right)$ and surface PAR $(W m^{-2})$ at Stns. K2 and S1: **a**, **b** in situ observations, and **c**, **d** the 1-D model. Breakdown of the depthintegrated limitation factors for of phytoplankton growth rate (and hence primary production) from the model plotted versus surface PAR at Stns. K2 and S1: **e**, **f** light limitation factor, L*I*, **g**, **h** nutrient limitation factor, L*N* , and **i**, **j** Temperature limitation factor, L*T*. Modelled primary production is proportional to the product of these three limitation factors and the phytoplankton concentration (see growth rate Eqs. [10,](#page-15-0) [11](#page-15-1) in "Appendix"). All limitation factors are plotted with a logarithmic (log_{10}) scale on the vertical axis

seasonal variability of light intensity mainly controls primary production.

Conversely, at Stn. S1, depth-integrated (0–200 m) primary production is not strongly related to surface light intensity during winter and spring (Fig. [7b](#page-9-0), d), because both the degree of nutrient limitation and the MLD vary during this time. However, during summer and autumn when production is low because of relatively constant nutrient limitation, and while the MLD remains relatively shallow, production is more strongly related to light intensity. Nitrate, which does not vary much over the seasonal cycle, is the primary limiting factor (Fig. [7](#page-9-0)h). When PAR is close to 120 (observed) or 150 (model) W m^{-2} in winter (black triangle), the integrated primary production in both observation and model is over 600 mg C m⁻² day⁻¹. Growth rate is already saturated with respect to light at around 150 W m⁻²; however, growth rate still increases with increasing nitrate (Fig. [7](#page-9-0)f, h). The comparison of the vertical nitrate and chlorophyll distributions also shows that nitrate concentration is an important limiting factor for primary production (Figs. [5,](#page-6-0) [6\)](#page-7-0). During winter, nitrate, which is supplied by the deepening of the MLD correlates strongly with primary production. After April, chlorophyll in the subsurface maximum, which appears from May to November, also correlates strongly with the nutricline depth (Figs. [4](#page-5-0)d, [5](#page-6-0)d, [6h](#page-7-0)). Depth-integrated primary production and PAR are therefore positively correlated. In contrast to light and temperature, growth rate is not correlated with L_{*T*} (Fig. [7f](#page-9-0), j). Changes in nitrate have a greater impact on growth rate than do changes in light levels, and therefore the nutricline depth is a key factor for primary production. Matsumoto et al. (this volume) found a significant negative correlation between the depth of nitrate depletion and depth-integrated primary production, and the depth of nitrate depletion deepened with time after winter. The degree of L*N* therefore depended very much on the season. The potential photosynthetic activity, quantified in terms of Fv/Fm, was remarkably reduced in the surface stratified water during summer and autumn (Fujiki et al. this volume). This implies that nutrient limitation was enhanced due to the development of stratification. The seasonal variability of modelled nutrients and chlorophyll are consistent with the observation-based results at Stn. S1.

3.4 Variability of primary production

Interannual variability of primary production (in situ observation, satellite data, and model), MLD (in situ observation and model), modelled *f*-ratio [the ratio of NO₃ uptake to total N ($NO₃ + NH₄$) uptake] and modelled *e*-ratio (the ratio of export production to depth-integrated primary production) are shown in Fig. [8](#page-12-0). The model captures the weak inter-annual variation of primary production as a function of the inter-annual differences in physical forcing, in agreement with the observations. in situ MLD also shows weak inter-annual variation. During the 3-year simulations (2010–2012), the surface short wave radiation and wind speed at Stns. K2 and S1 show clear seasonality and interannual variability (Fig. [2](#page-3-0)), and the simulated MLD shows corresponding variability (Fig. [8i](#page-12-0), j).

At Stn. K2, the in situ observations capture the peak of depth-integrated (0–150 m) primary production (about [8](#page-12-0)00 mg C m⁻² day⁻¹) during summer (Fig. 8a), and its magnitude is similar for all 3 years. The peak productivity of the satellite-based estimates occurs in late summer (over 1200 mg m−2 day−¹ in Fig. [8c](#page-12-0)), and its seasonal variability is similar for all 3 years. The model reproduces similar seasonal variability of observed primary production (Fig. [8](#page-12-0)a, e); however, the modelled primary production is overestimated throughout the annual cycle. The maximum primary production in the model is close to 1200 mg C m⁻² day⁻¹ (50 % greater than observed and close to satellite data) and the peaks appear in the late spring and autumn. The first peak of primary production occurs during late spring when nutrients are abundant, and in terms of nitrogen, it is mostly new production (f ratio $= 0.7$ in Fig. [8](#page-12-0)k). In the model, the second (during summer or autumn) peak of primary production is supported by N-recycling (f ratio $= 0.4$) in Fig. [8](#page-12-0)k). The efficiency of the biological pump is high in winter and autumn and is low in spring and summer (Fig. [8m](#page-12-0)). The efficiency in winter is related to new production and the efficiency in summer is linked to N-recycling (Fig. [8](#page-12-0)k, m). Although our implicit assumption of a constant degree of iron limitation at Stn. K2, as embodied in a lower maximum growth rate compared to Stn. S1, allows a reasonable reproduction of the spring bloom and overall annually averaged production, it also results in an over-estimate of production during the autumn. This is because iron is depleted starting with the spring bloom through summer, and iron limitation becomes more severe during the autumn (Fujii [2014\)](#page-16-8). Therefore, in order to resolve the detailed seasonal pattern of production in the subarctic gyre, it may be necessary to explicitly model the concentration of bioavailable iron and the iron cycle. Modelled primary production has only weak interannual variability. The model also reproduces a similar *f*-ratio and *e*-ratio for all three years, and the changes in nitrate concentration driven by differences in MLD have little effect.

The comparison of seasonally averaged depth-integrated primary production between in situ observations and the model is listed in Table [2](#page-12-1) (Stn. K2). Averages for the model were calculated using the same dates as the corresponding observations, for each season (Fig. [8](#page-12-0)a, b, e, f). The observed primary production is maximal in summer and minimal in winter. Although the model reproduces the seasonal variation of primary production, it overestimates its value by

Fig. 8 Time series of depth-integrated primary production (mg C ◂m^{−2} day⁻¹) from **a**, **b** in situ observation, **c**, **d** satellite-based estimates and **e**, **f** 1-D model, **g**, **h** in situ observation MLD (m), **i**, **j** 1-D model MLD (m), **k**, **l** *f*-ratio of 1-D model, and **m**, **n** *e*-ratio of 1-D model at Stns.K2 and S1 for respective years 2010, 2011 and 2012. Vertical scale of e -ratio m , n is logarithmic (log₁₀). Satellite primary production is computed with the vertically generalized production model (VGPM) of Behrenfeld and Falkowski [\(1997](#page-16-20)). Satellite data (chlorophyll-a, PAR, and sea surface temperature) in VGPM were obtained from NASA Ocean Color Web site ([http://oceancolor.gsfc.](http://oceancolor.gsfc.nasa.gov) [nasa.gov\)](http://oceancolor.gsfc.nasa.gov) and the Remote Sensing Systems ([http://www.remss.com/](http://www.remss.com/measurements/sea-surface-temperature) [measurements/sea-surface-temperature\)](http://www.remss.com/measurements/sea-surface-temperature)

about 20–70 % compared to in situ observation over the three years simulated. Especially, after summer (July), this is largely because the model predicts unrealistic summer and autumn blooms, which result from the assumption of a constant degree of iron limitation throughout the year at Stn. K2. In addition, nutrient $(NH_3$ and $NH_4)$

concentrations in this model are high because of fast N recycling (Figs. $5c$ $5c$, g, $8e$, k).

At Stn. S1, the observations show large seasonal variability of primary production. However, the inter-annual variability of primary production and MLD are unclear because of the limited number of in situ observations (Figs. [8b](#page-12-0), h). The satellite-based estimates show one peak in early spring (1000 mg C m⁻² day⁻¹ in Fig. [8d](#page-12-0)) and relatively large magnitude of primary production during autumn compared with in situ observation and model. The model captures the large interannual variability of primary production (Fig. [8f](#page-12-0)), which results from year-to-year variations in the simulated MLD (Fig. [8](#page-12-0)j). Simulated primary production peaks in winter and early spring. The first peak is consistent with the observations, but the depth-integrated primary production in the model (1200–1600 mg C m⁻² day⁻¹) is greater than observed (1000 mg C m⁻² day⁻¹). In 2011 and 2012, the MLD is 50 m deeper than in 2010,

Fig. 8 continued

Table 2 Seasonal and annual averages of depthintegrated primary production $\left(\text{mg C m}^{-2} \text{day}^{-1}\right)$ from in situ observation and model at Stn. $K₂$

In situ observed primary production averaged from 2005 to 2013 for each season (Matsumoto et al. this volume). Averaged number of model is the same as observed date for each season. Depth-integration of observed primary production is from surface to 0.1 % light depth. Modelled primary production is depthintegrated, 0–150 m

Table 3 Seasonal and annual averages of depthintegrated primary production $\left(\text{mg C m}^{-2} \text{day}^{-1}\right)$ from in situ observation and model at Stn. S1

In situ observed primary production averaged from 2010 to 2013 for each season (Matsumoto et al. this volume). Averaged number of model is the same as observed date for each season. Depth-integrated of observed primary production is from surface to 0.1 % light depth. Modelled primary production is depthintegrated, 0–200 m.

and the greater nutrient supply results in greater simulated primary production (from 1200 to 2000 mg C m⁻² day⁻¹) (Fig. [8](#page-12-0)j) and an increase in *f*-ratio from 0.2 to 0.6 (Fig. [8l](#page-12-0)). In winter, the nutrient supply by the deepening of MLD is an important factor for the surface primary production. After May, the depth-integrated primary production settles between 200 and 400 mg C m m⁻² day⁻¹. In the surface layer, chlorophyll disappears after the spring bloom. Then, the subsurface maximum chlorophyll layer forms around the nutricline depth $(1.2 \mu M NO₃)$ line is around 50 m) and primary production remains low. The efficiency of biological pump at Stn. S1 is lower than at Stn. K2 (Fig. [8m](#page-12-0), n).

The comparison of seasonally averaged depth-integrated primary production between in situ observations and the model is listed in Table [3](#page-13-0) (Stn. S1). The observed primary production is maximal in winter and minimal in autumn, and the modelled primary production agrees with the seasonal variation of observed primary production. From late winter to early spring, the supply of nutrients by the deepening of the MLD is an important factor for sustaining primary production (Fig. [8f](#page-12-0), j, l). In 2012, the largest modelled primary production occurs because this year had the deepest MLD of all three years that were simulated. From summer to autumn, the model underestimates primary production by 20–80 % compared to the in situ observations. After the MLD becomes shallow (Fig. [8h](#page-12-0), j), the supply of nutrients from the subsurface layer by vertical mixing decreases. One reason for the model's underestimation of summertime production may be that this 1-D physical model only reproduces vertical mixing, while the observed high primary production in summer and autumn may in fact be supported by nutrient supply from 3-D physical processes (horizontal advection and associated upwelling). In the subtropical gyre of the western North Pacific, previous studies have reported that nutrient supply via vertical advection and upwelling associated with mesoscale eddies plays an important role for sustaining primary production in low nutrient seasons (summer and autumn) (e.g., Sasai [2010](#page-17-15); Kouketsu [2015\)](#page-16-21).

Modelled primary production at Stn. K2 is greater than that at Stn. S1, and is also greater than the mean of the observations, which is similar for the two stations. This is because of (1) our assumption of a constant degree of iron limitation throughout the seasonal cycle that results in relatively high values of modelled primary production in summer and fall at Stn. K2, and (2) the sparse coverage of the observations, which do not fully resolve the seasonal cycle, and therefore provide only a rough estimate of the annual average. Further observations are needed to clarify the seasonality of primary production, and to determine precisely how our parameterization of iron limitation in the model may need to be revised.

4 Conclusions

As part of the comparative study, K2S1 project , we have applied a one-dimensional physical–biological model to investigate the mechanisms of seasonal variability of phytoplankton productivity at two contrasting time-series stations in the western North Pacific. The model represents the contrasting seasonal and inter-annual patterns of primary production for these two time-series observation stations, based on the prescribed physical forcing, even though it does not explicitly represent iron limitation, which is known to be important at Stn. K2. This is possible because (1) upwelling of nutrients from below will supply iron as well as macro-nutrients such as nitrate and silicic acid, and (2) we have implicitly parameterized some degree of iron limitation by lowering the maximum growth rate for the model applied to Stn. K2 (Table [1](#page-4-0)). However, our implicit assumption of a constant degree of iron limitation throughout the year also resulted in an unrealistic autumn bloom at Stn. K2 in the model. Thus, this study has revealed the limits of our approach of assuming a constant degree of iron limitation. More accurate and detailed reproduction of the seasonality of production at locations such as Stn. K2, where there is a seasonal pattern of iron limitation (Fujii [2014](#page-16-8)), will require explicit modeling of the concentration of bioavailable iron and the iron cycle, with its large associated uncertainties (Boyd and Ellwood [2010](#page-16-13); Boyd [2010](#page-16-14)). Our model does not account for nitrogen fixation, which

may also impact the dynamics at stn. S1, because there is evidence that it occurs in this region. Future studies should also consider the effects of nitrogen fixation in subtropical North Pacific.

The model reproduces two observed characteristics at Stns. K2 and S1: (1) the seasonal variability of primary production at both stations, and (2) the difference in the strength of the biological pump between the two stations. Even though annual primary production at Stn. K2 is comparable to that at Stn. S1, the modelled biological pump at Stn. K2 was more efficient (higher *e*-ratio in autumn and winter) than at Stn. S1 (Fig. [8k](#page-12-0)–n).

In our model, the difference in primary productivity between Stns. K2 and S1 results primarily from differences in the physical environment at these two contrasting locations, coupled with the iron limitation at Stn. K2. At Stn. K2 in the subarctic gyre, the light intensity is an important factor limiting primary production in summer. In contrast, at Stn. S1 in the subtropical gyre, the supply of nutrients via entrainment is vital to sustaining production in winter. In summer, the relationship between nutricline depth and euphotic layer is a controlling factor. In addition, the simulations forced by the different atmospheric conditions for each year show different MLD. Deep winter MLD enhances primary production later in the year in the surface layer. Our results show how variations in environmental forcing on the interannual scale, through mechanistic connections, drive interannual differences in primary production at these two contrasting time-series sites.

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Appendix: Ecosystem model

The simple nitrogen- and silicon-based plankton ecosystem model, consisting of nine compartments, is coupled with a 1-D physical model of the oceanic mixed layer. The compartments (biological tracers) are nitrate $(NO₃)$, ammonium (NH4), silicate (Si), two categories of phytoplankton (small phytoplankton, PS and large phytoplankton, PL), zooplankton (Z), dissolved organic nitrogen (DON), particulate organic nitrogen (PON), and bio-silicate (BSi is opal). The evolution of each biological tracer concentration is determined by vertical diffusive mixing using the diffusivity as calculated by the mixed layer model (Mellor and Yamada [1982](#page-17-8)), and biogeochemical source-minus-sink (sms) terms. The sms terms resulting from biological activity are shown in Fig. [3.](#page-3-1) Their equations for each individual biological tracer (NO₃, NH₄, Si, PS, PL, Z, DON, PON, and BSi) are:

$$
\text{sms (PS)} = \text{GppPS}^{(1)} - r_{\text{P}\text{S}} \exp(\kappa_{\text{ResPS}} T) \text{PS}^{(3)} - \mu_{\text{P}\text{S}} \exp(\kappa_{\text{MorPS}} T) \text{PS}^{2(5)} - \gamma_{\text{PS}} \text{Gpp} \text{PS}^{(7)} - G(\text{PS}) Z^{(9)} \tag{1}
$$

sms (PL) = GppPL⁽²⁾ –
$$
r_{PL} \exp(\kappa_{ResPL} T) PS^{(4)}
$$

– $\mu_{PL} \exp(\kappa_{MorPL} T) PL^{2(6)} - \gamma_{PL} GppPL^{(8)}$
– $G(PL)Z^{(10)}$ (2)

(3) sms (Z) = *G*(PS)Z(9) + *G*(PL)Z(10) − (α − β)(*G*(PS)Z + *G*(PL)Z) (11) − (1 − α)(*G*(PS)Z + *G*(PL)Z) (12) − µZexp(κMorZ*T*)Z2(13)

$$
sms (PON) = \mu_{PS} exp(\kappa_{MorPS} T) PS^{2(5)}
$$

+
$$
\mu_{\text{PL}} \exp(\kappa_{\text{Mor}} \text{PL}^2)^{\text{PL}^{2(6)}}
$$

+ $\mu_{\text{Z}} \exp(\kappa_{\text{Mor}} \text{Z}^2)^{\text{Z}^{2(13)}} + (1 - \alpha)(G(\text{PS})\text{Z})$
+ $G(\text{PL})\text{Z})^{(12)} - \text{VP2N}_0 \exp(\kappa_{\text{P2N}} \text{T})\text{PON}$
× $\max(0, \text{PON-R}_{\text{pocsi}} \times (67.2/12) \times R_{\text{nc}} \times \text{Si})^{(14)}$
- $\text{VP2D}_0 \exp(\kappa_{\text{P2D}} \text{T})\text{PON}$
× $\max(0, \text{PON-R}_{\text{pocsi}} \times (67.2/12) \times R_{\text{nc}} \times \text{Si})^{(15)}$
- $\frac{\partial}{\partial z}(W_s \times \text{PON})^{(18)}$ (4)

sms (DON) =
$$
\gamma_{PS}GppPS^{(7)} + \gamma_{PL}GppPL^{(8)}
$$

+ VP2D₀exp($\kappa_{P2D}T$)PON
× max(0, PON-R_{pocsi} × (67.2/12) × R_{nc} × Si)⁽¹⁵⁾

$$
- \text{ VD2N}_0 \exp(\kappa_{\text{D2N}} T) \text{DON}^{(16)} \tag{5}
$$

$$
sms(NO_3) = -\left(GppPS^{(1)} - r_{PS}exp(\kappa_{ResPS}T)PS^{(3)}\right)Rnew_{PS}
$$

$$
-\left(GppPL^{(2)} - r_{PL}exp(\kappa_{ResPL}T)PL^{(4)}\right)Rnew_{PL}
$$

$$
+ Nit_0exp(\kappa_{Nit}T)NH_4^{(17)}
$$
(6)

$$
sms(NH_4) = -\left(GppPS^{(1)} - r_{PS}exp(\kappa_{ResPS}T)PS^{(3)}\right)(1 - R_{newPS})
$$

-
$$
\left(GppPL^{(2)} - r_{PL}exp(\kappa_{ResPL}T)PL^{(4)}\right)(1 - R_{newPL})
$$

-
$$
Nit_0exp(\kappa_{Nit}T)NH_4^{(17)}
$$

+
$$
VP2N_0exp(\kappa_{P2N}T)PON
$$

×
$$
max(0, PON-R_{pocs} \times (67.2/12) \times R_{nc} \times Si)^{(14)}
$$

+
$$
VD2N_0exp(\kappa_{D2N}T)DON^{(16)}
$$
 (7)

$$
+ (\alpha - \beta)(G(\text{PS})Z + G(\text{PL})Z)^{(11)}
$$

sms (Si) =
$$
-(GppPL \times Rsin^{(19)} - r_{PL}exp(\kappa_{ResPL}T)PL \times Rsin^{(20)})
$$

+ $\gamma_{PL}GppPL \times Rsin^{(21)}$
+ $VP2Si_0exp(\kappa_{P2Si}T)BSi^{(24)}$ (8)

sms (BSi) = $\mu_{PL} \exp(\kappa_{MorPL} T) PL^2 \times R \sin^{(22)}$

+
$$
(1 - \alpha)(G(PS)Z + G(PL)Z) \times R\sin^{(23)}
$$

\n- VP2Si₀exp($\kappa_{P2Si}T$)BSi⁽²⁴⁾
\n- $\frac{\partial}{\partial z}(W_s \times BSi)^{(25)}$ (9)

where superscript number $(1–25)$ of biological tracer flux term in each equation is corresponding to biological tracer flux number of Fig. [3](#page-3-1). GppPS and GppPL are the absolute values of growth rate (gross primary production), as a function of phytoplankton concentration, depth *z*, time *t*, nutrient concentration, *N* and light intensity. Growth rate depends exponentially on temperature, *T*, via the socalled Q10 relation, and the light limitation follows Steel [\(1962](#page-17-16)). Growth rate depends on nutrient concentrations via Optimal Uptake (OU) kinetics (Pahlow [2005;](#page-17-17) Smith [2009](#page-17-13)) as applied, assuming fixed composition of phytoplankton (Shigemitsu [2012\)](#page-17-5). By accounting for physiological acclimation to different nutrient concentrations, OU kinetics has been shown to give a different response under changing environmental conditions, compared to the more widely applied Michaelis-Menten/Monod (MM) equation (Smith [2009;](#page-17-13) Smith et al. [2010\)](#page-17-14). This results in a saturating dependence of growth rate on nutrient concentration, as for the MM equation, but with a slightly different shape expressed by the following equations:

$$
I = I_0 \times \exp(-kz) \tag{12}
$$

$$
k = 0.04 + 0.054 \times \text{Rnchla} \times (\text{PS} + \text{PL})^{0.667} + 0.0088 \times \text{Rnchla} \times (\text{PS} + \text{PL})
$$
 (13)

where I_0 is light intensity at the sea surface, and T is water temperature. Small and large phytoplankton (PS and PL in Eqs. [1,](#page-14-0) [2\)](#page-14-1) are produced by their own growth, and reduced by respiration, mortality, extracellular excretion), and grazing by zooplankton. Grazing rate of phytoplankton by zooplankton is as follows:

$$
G(PS)Z = \text{Max}(0, \text{GR}_{\text{max}} \times \exp(\kappa_{\text{GraPS}} T) \times (1 - \exp(\lambda_{\text{PS}} \times (\text{P2}Z - \text{PS}))) \times Z)
$$
(14)

$$
G(PL)Z = \text{Max}(0, \text{GR}_{\text{max}} \times \exp(\kappa_{\text{GraPL}} T) \times (1 - \exp(\lambda_{\text{PL}} \times (\text{P2}Z - \text{PL}))) \times Z)
$$
 (15)

Zooplankton (Z in Eq. [3](#page-14-2)) depends on the grazing rate of Z, excretion rate of Z, egestion rate of Z, and mortality rate of Z. Particulate organic nitrogen (PON in Eq. [4\)](#page-14-3) is produced by mortality (of PS, PL, and Z), and egestion by Z, and is consumed by its decomposition (to NH4 and DON), and by sinking. Dissolved organic nitrogen (DON in Eq. [5\)](#page-14-4) is produced by extracellular excretion (PS, PL) and decomposition (PON to DON), and is consumed by its remin-eralization (to NH₄). Nitrate (NO₃ in Eq. [6\)](#page-14-5) is consumed

GppPS = VmaxS ×
$$
\left(\frac{NO_3}{NO_3 + \frac{V_{maxS}}{A_{NO3PS}} + 2\sqrt{\frac{V_{maxS \times NO_3}}{A_{NO3PS}}}} \times \exp(-\varphi_{PS}NH_4) + \frac{NH_4}{NH_4 + \frac{V_{maxS}}{A_{NIHPS}} + 2\sqrt{\frac{V_{maxS \times NH_4}}{A_{NIHPS}}}}\right)
$$

\n $\times \exp(\kappa_{GppPS}T)$
\n $\times \int_{-H}^{0} \frac{I}{I_{opt}} \times \exp\left(1 - \frac{I}{I_{opt}}\right) dz \times PS$
\nGppPL = VmaxL × Min $\left(\frac{NO_3}{NO_3 + \frac{V_{maxL}}{A_{NO3PL}} + 2\sqrt{\frac{V_{maxL \times NO_3}}{A_{NO3PL}}}} \times \exp(-\varphi_{PL}NH_4)\right)$
\n $+ \frac{NH_4}{NH_4 + \frac{V_{maxL}}{A_{NIHPL}} + 2\sqrt{\frac{V_{maxL \times NH_4}}{A_{NIHPL}}}}, \frac{Si}{Si + \frac{V_{maxL}}{A_{SiPL}} + 2\sqrt{\frac{V_{maxL \times Si}}{A_{SiPL}}}}\right)$
\n $\times \exp(\kappa_{GppPL}T)$
\n $\times \int_{-H}^{0} \frac{I}{I_{opt}} \times \exp\left(1 - \frac{I}{I_{opt}}\right) dz \times PL$ (11)

The source-sink terms for ammonium $(NH_4$ in Eq. [7\)](#page-14-6) include the growth rate of phytoplankton (PS, PL), respiration rate (PS, PL), nitrification rate, decomposition rate (PON to NH₄, DON to NH₄), and excretion rate (Z) . Silicate Eq. [\(8](#page-14-7)) consumed by the growth of PL (diatoms) minus their respiration and excretion, and is consumed by its dissolution (to Si). Opal (BSi in Eq. [9\)](#page-15-2) is produced by mortality of PL, egestion by Z, and is consumed by its decomposition to Si, and by sinking.

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$$
(17)
$$

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